



Cite this: *Chem. Soc. Rev.*, 2025, **54**, 10326

Received 2nd August 2025

DOI: 10.1039/d5cs00924c

rsc.li/chem-soc-rev

Au NHC complexes as anticancer agents: milestones, strategies and future developments

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The need for selective and efficient anticancer therapies drives the development of gold N-heterocyclic carbene (NHC) as efficient metallodrugs. Their stability, tunable electronics, and versatile steric features make NHCs ideal ligands, which, paired with an antiproliferating gold centre, form an exemplary metal complex for anticancer research. This review highlights the progress made in designing gold NHC complexes, emphasizing strategies to enhance cytotoxicity and selectivity towards cancer cells while minimizing toxicity to healthy tissues, emphasizing the crucial role of the NHC ligand. Furthermore, challenges concerning revealing the precise modes of action are discussed. Mechanistic pathways beyond the inhibition of thioredoxin reductase are highlighted. By underlining recent developments, this review aims to pave the way to a rational design of next-generation gold NHC complexes.

Key learning points

1. Although a variety of Au NHC complexes inhibit TrxR, no distinct correlation between cytotoxicity and TrxR inhibition can be established.
2. Au NHC complexes can interact with less commonly addressed biological targets: DNA, mitochondria, p53, and cysteine-containing enzymes. However, investigating these targets is often difficult due to cascade reactions happening in the cell.
3. Counter ion exchange, lipophilicity enhancement, addition of other metals, and auxiliaries are strategies to increase Au NHC complex cytotoxicity.
4. Au NHC complexes have been frequently tested against cell lines MCF-7 and HT-29. Based on these cell lines, the activity of Au NHC complexes can be compared.
5. Reports on NHC ligands other than imidazolylidene have been limited, as have investigations into the standalone cytotoxicity of NHCs. Novel NHC ligands can easily be facilitated with strong bases (K_2CO_3 , KH, KHMDS, NaOH) or silver oxide to form Au NHC complexes.

Introduction

According to the World Health Organization, every sixth death worldwide is caused by cancer.¹ In industrialised nations, the risk of developing cancer in one lifetime is around 38.48%.² Therefore, billions of US dollars are invested yearly into finding new, selective, and efficient cancer treatments.³ Metalorganic compounds are seldom used in medicinal applications. However, one drug, a metal complex, is generally associated with chemotherapy: cisplatin.⁴ The modern interest in utilizing gold(I) compounds as potential anticancer agents has been sparked by the properties of auranofin.^{5,6} Auranofin was originally intended as a treatment for rheumatoid arthritis⁷ and was found to display cytotoxicity in human cervical cancer cells (HeLa). Due to gold's high affinity to sulphur and, therefore, cysteine, auranofin's stability in blood plasma is limited, as it reacts with

sulphur-containing proteins through ligand dissociation.⁶ Auranofin's fragility in blood plasma encouraged the research on more stable gold systems. Berners-Price and coworkers first suggested gold(I) N-heterocyclic carbene (NHC) complexes as potential anticancer agents.⁸ NHC transition metal complexes, first reported in 1968,^{9,10} gained considerable attention when they found applications for catalysis, starting in 1995.¹¹ Since then, they have been widely utilised and considerably modified,^{12,13} since they are generally stable under aerobic conditions.¹² NHCs are strong sigma-donors and form strong metal-carbon bonds.^{12,13} The gold-NHC bond is one of the strongest carbene-metal bonds. Investigations have shown that in the presence of sulphur-containing compounds, the gold-NHC bond is stable for several hours, in contrast to, for example, NHC-ruthenium bonds.¹⁴ Berners-Price and coworkers were also the first to investigate the potential of Au(I) NHC complexes as anticancer agents.^{8,15-17} The high cytotoxicity of these compounds sparked the field to grow quickly.^{18,19}

Their versatility, together with their unique biological properties and stability, make Au NHC complexes ubiquitous in

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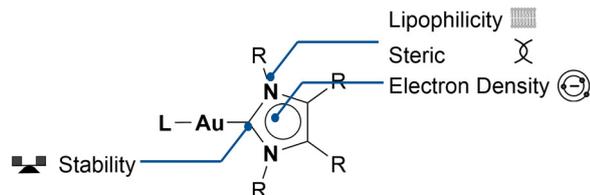


Chart 1 The versatile nature and properties of Au NHC complexes.

metal-based medicinal chemistry research (Chart 1). Au NHC complexes have shown outstanding anti-proliferation activity. The IC_{50} values, a given concentration that reduces the number of viable cells by 50%, of dozens Au(I) NHC complexes can reach the lower nanomolar range.^{20–24} Additionally, selectivity tests have, in various cases, shown a preference for cancerous cells over healthy cells, exhibiting higher IC_{50} values for healthy cells.^{21,25}

Notably, Au NHC complexes also inhibit high cytotoxicity towards various drug-resistant cell lines.^{21,23,26} Most promising, *in vivo* (mice) studies of Au NHC complexes have shown tumour regression without observable damage to healthy liver, heart, and lung tissue.^{27,28}

So far, hundreds of publications in the field have been published.¹⁹ This review will focus on current trends and successful strategies to make Au NHC complexes more selective, reach higher cytotoxicity, and explore new mechanistic pathways. The goal is to inspire researchers to find and close possible research gaps by giving a broad overview, highlighting best practices, and giving introductions to frequently addressed principles (Fig. 1).

NHCs as ligand building blocks

An important aspect of NHC complexes is the stable carbon-metal bond, formed due to their strong sigma-donating properties.¹²

To form these bonds, the NHC-precursors, most commonly imidazolium salts, need to be deprotonated with bases (e.g. K_2CO_3 , KH, KHMDS, NaOH) before ligand exchange reactions with gold precursors, such as $[Au(tht)Cl]$ or $[Au(Me_2S)Cl]$, can take place. Besides commercially available bases, gold precursors with basic ligands can be used, such as $[Au(N(SiMe_3)_2(tht))]$ and $[Au(III)ac_3]$.^{29,30} Another way of forming Au NHC complexes is the reaction of silver oxide with NHC-precursors to yield the corresponding silver complex.²⁰ The obtained silver complex can be transmetalated with gold precursors.³¹ An advantage of the *trans*-metalation route is the possibility of isolating the silver complexes since the use of silver compounds as anti-cancer agents has also been explored successfully.^{32–34}

When forming Au(I) NHC complexes, depending on the amount of ligand used in the reaction, either Au(I) bis-NHC complexes (with two NHC ligands) or Au(I) mono-NHC complexes (containing one NHC ligand) are formed.^{31,35,36}

A great feature of NHCs is their high versatility. The classical imidazolium-based NHC can be modified in several different positions: the organic entities attached to the nitrogen atoms, technically termed wingtip ligands, and the residue-groups attached to the backbone (two carbons located opposite the carbene positions, either connected by a double bond or by a single bond of the five-membered ring structure). Both backbone modification and wingtip modification can alter the electron-donating and steric properties of the NHC.¹²

In the field of Au NHC complexes as anticancer agents, wingtip or backbone modifications are often used to alter the lipophilicity of the complex, as a direct correlation between higher antiproliferation and lipophilicity has been reported.^{20,37} The steric demand of the wingtip ligands has a direct influence on the accessibility of the gold atom, since these ligands can shield the gold atom from external influences. NHC modifications have been studied and described intensively, mainly for catalytic applications.^{12,13,38} The wingtips or backbone ligands can also be used to add moieties to enhance cytotoxicity: targeting



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Her current research focuses on utilizing Au NHC complexes in Targeted Therapy.

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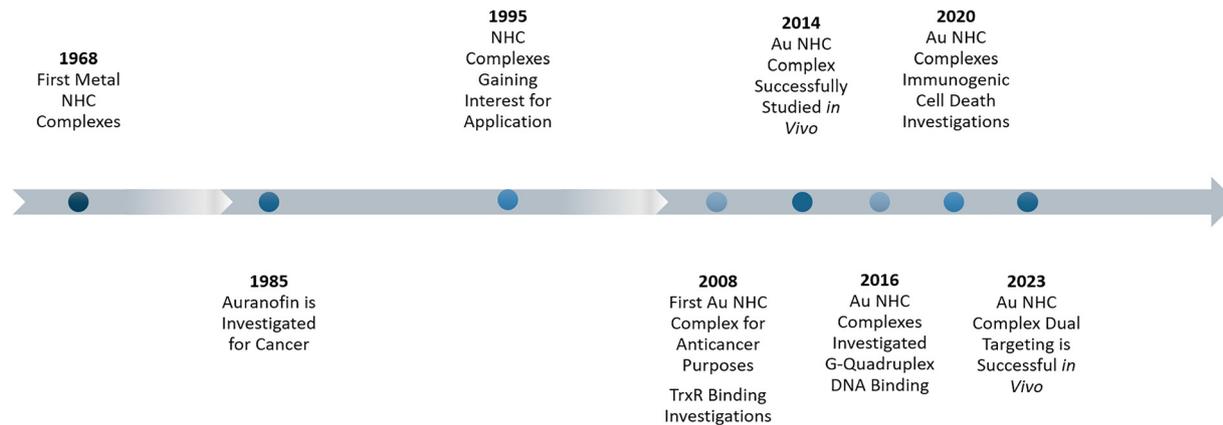


Fig. 1 Historical overview of Au NHC complexes.

entities, cytotoxic building blocks, or scaffolds with different biological properties are commonly used. In the section “Strategies for Boosting Au–NHC Complexes Cytotoxicity and Selectivity” this concept will be described in greater detail.

Cytotoxicity of NHC ligands

When designing a new ligand system, it is important to consider that various reported NHC ligands investigated for their anti-cancer effects have demonstrated cytotoxicity even in the absence of a metal.^{39–41} This can be expected since there are imidazole-based anticancer drugs approved by the US Federal Drug Administration (FDA): Dacarbazine, Mercaptopurine, Nilotinib, and Tipifarnib.⁴² In some reports, the NHC ligands show higher cytotoxicity than their corresponding gold complexes. Similar cytotoxicity for the ligand **L1** and its corresponding Au(III) **1** complexes (Chart 2) has been reported.

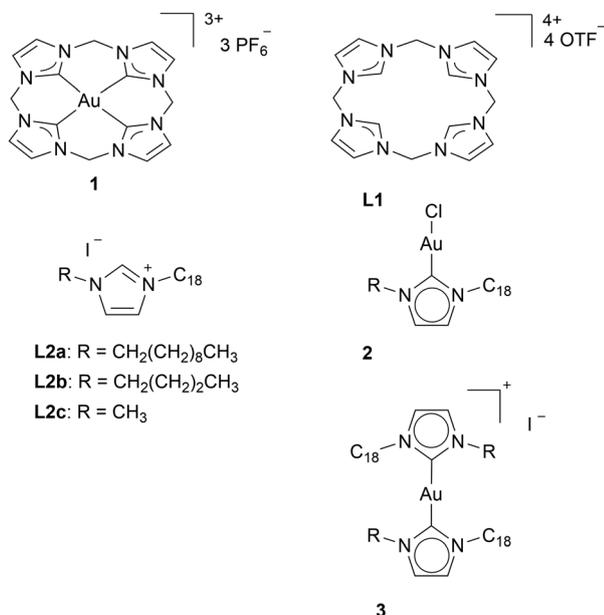


Chart 2 NHC ligands with similar or higher cytotoxicity than their corresponding Au NHC complexes.

The IC₅₀ values in HeLa (cervix cancer) cells are 72.2 ± 19 μM for ligand **L1** and 85.7 ± 15 μM for complex **1**.²⁹ Rodríguez and coworkers synthesised ligands **L2**, which shows higher cytotoxicity in HT-29 (colon) and MDA-MB-231 (breast) cancer cells than the corresponding mono-NHC **2** and bis-NHC **3** gold(I) complexes. Only complex **2a** exhibits similar cytotoxicity to its ligand **L2a**, with IC₅₀ values being 3.10 ± 1.38 and 3.08 ± 0.25 μM, respectively. Therefore, Rodríguez and coworkers tested the TrxR inhibition capability of compounds **L2a**, **2a**, and **3a**. Among them, compound **2a** is the only one showing significant TrxR inhibition (0.320 ± 0.040 μM). Au NHC complex **2a** and **L2a** display no TrxR inhibition below a concentration of 10 μM.⁴⁰

The above-mentioned reports highlight the necessity of thoroughly evaluating both the ligands and their metal complexes individually to fully understand their biological activities and therapeutic potential.

NHC-ligand systems inspired by nature

A common strategy for ligand design is inspiration by nature, as approximately 60% of anticancer drugs are plant-derived.⁴³ The possibility of utilizing L-histidine derivatives as NHC ligands for gold complexes has been explored. To use L-histidine as an NHC ligand, it needs to undergo metalation. The resulting bis-NHC complex was treated with trifluoroacetic acid to remove the BOC group, yielding Au(I) bis-NHC complex **4**. Complex **4** shows only moderate cytotoxicity in MCF-7, PC3 (prostate), and A2780 (ovarian) cancer cell lines. The best result was obtained with MCF-7 cells (IC₅₀: 4.6 ± 1.9 μM). The cellular uptake was assessed in PC3 cells after 2 h of incubation with complex **4**. No gold has been detectable in the cells, complex **4** appeared to be weakly engaged at the cell surface, interacting with the membranal vital system (Chart 3).⁴⁴

The groups of Tamm and Ott investigated the marine natural product norzooanemonin (1,3-dimethyl-1*H*-imidazol-3-ium-5-carboxylate) as an NHC ligand for Au complexes. The carboxyl group, located at the backbone, can be utilised for functionalization. For this study, the carboxylate was esterified. The complexes **5a**, **5b**, **6a**, **6b**, **7a**, and **7b**, were tested against



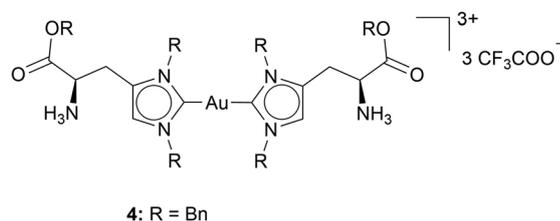
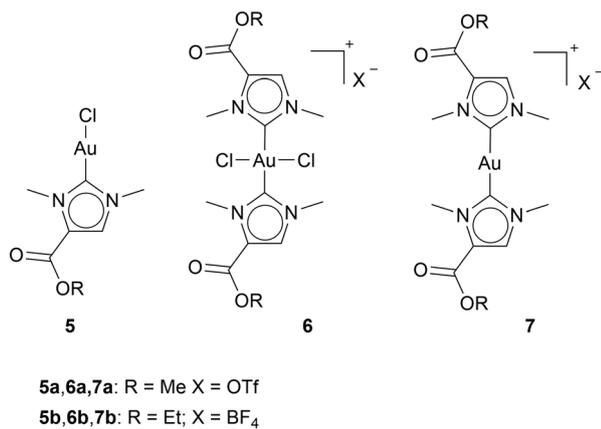


Chart 3 Au NHC complexes with NHC scaffolds inspired by Nature.

HT-29, A549 (lung cancer), breast cancer cell lines MCF-7 and MDA-MB-231. Additionally, the complexes were assessed against VeroE6, non-tumour cells isolated from the kidney of an African green monkey. Mostly, the complexes show a broad spectrum in cytotoxicity with IC₅₀ values between 63 μM and 0.89 μM. From these complexes, complexes **6** have been reported as the most promising complexes for cell line A549 with IC₅₀ values of 3.35 ± 0.89 μM (**6a**) and 0.89 ± 0.10 μM (**6b**). Additionally, complex **6** shows almost no toxicity towards VeroE6 cells (kidney cells of the African green monkey), with IC₅₀ values of >100 μM (**6a**) and 30.79 ± 0.49 μM (**6b**), which may indicate a selectivity towards cancerous cells over healthy cells.²⁵ While natural product-inspired NHC ligands offer a promising foundation for developing novel Au complexes with anticancer potential, the wide variability in reported IC₅₀ values indicates that this approach does not guarantee efficacy, highlighting the need for systematic optimization.

Ligand scaffold: beyond (benz-)imidazole

Most reports about metal NHC complexes, in medicinal and catalytic applications, focus on imidazole and benzimidazole ligand scaffolds. However, there are several reports about Au NHC complexes with scaffolds beyond imidazole, which show remarkable anti-proliferating properties.^{20,21,30,45,46}

NHC ligands are classified as heterocyclic ring systems containing at least one nitrogen atom, which helps stabilise the adjacent carbene position (Fig. 2). The nature of the ring scaffold, including its size and heteroatom composition, has a significant impact on the electronic donating properties of the resulting NHC. Therefore, the ranking of electron-donating probabilities must be done carefully. While increasing the number of nitrogen atoms in the ring often correlates with

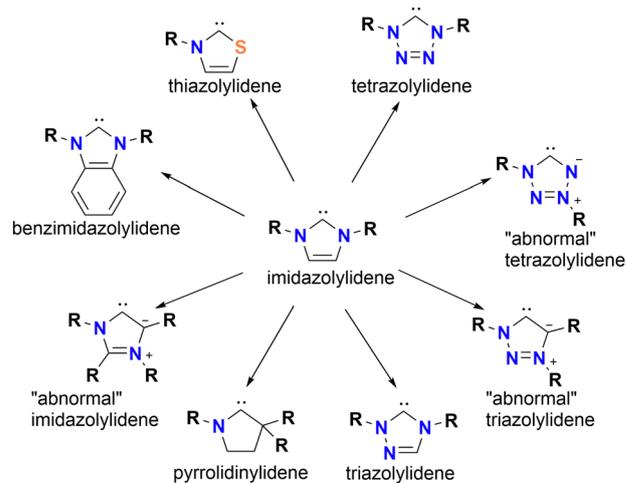


Fig. 2 Display of different ligand scaffolds of NHCs.

reduced σ-donor strength, structural differences can lead to deviations. For instance, 1,3,4-triazolyliene NHCs are weaker electron donors than imidazolylienes, but 1,2,3-triazolylienes are stronger electron donors than imidazolylienes. This discrepancy arises from the distinction between “abnormal”, in which the carbene is located adjacent to only one nitrogen, and “normal” NHCs, where the carbene is flanked by two heteroatoms. Besides nitrogen, other heteroatoms in the scaffold play a significant role in the electron-donating properties. Among the scaffolds discussed here, thiazole-based NHCs are the least donating.^{12,13,38}

Metzler-Nolte and coworkers were the first (and only to this date) to investigate Au(I) NHC complexes facilitating thiazole-based NHCs for their anticancer properties. Inspired by bio-organic thiazole derivatives such as vitamin B₁, Metzler-Nolte and coworkers synthesised an L-thiazolyl alanine-containing dipeptide. This ligand, together with [Au(HMDS)(tht)], forms the desired iodine NHC gold complex **8**. The IC₅₀ values for **8** in A549 cells (lung carcinoma) are in the high nanomolar range (IC₅₀ = 0.4 ± 0.01 μM) (Chart 4).³⁰ These results are very interesting since there are fewer than a dozen results about gold mono-NHC complexes reaching cytotoxicity up to the nanomolar range.¹⁹ It is not clear if the high cytotoxicity stems from the low donating probability, as other NHCs with low electron-donating probabilities do not show consistent cytotoxicity in the nanomolar range.

For example, the first gold NHC complex bearing a normal triazolyliene scaffold tested against cancerous cells did not reach IC₅₀ values in the nanomolar range. Additionally, to being active toward breast cancer cells (MDA-MB-231) (IC₅₀ = 1.0 ± 0.0 μM) and colon cancer cells (HT-29) (IC₅₀ = 2.1 ± 0.0 μM), complex **9** was found to accumulate fast, and efficiently in HT-29 cells.⁴⁶ Shortly after, another example of 1,2,4-triazolyliene-based NHC gold complexes was published. Also, complexes **10a**, **10b**, and **10c** display IC₅₀ values in the lower micromolar range in HeLa-S3 (cervical) and HL-60 (leukemia) cells.⁴⁵

Furthermore, the anticancer activity of gold complexes bearing strongly σ-donating 1,2,3-triazole-based NHCs was explored.



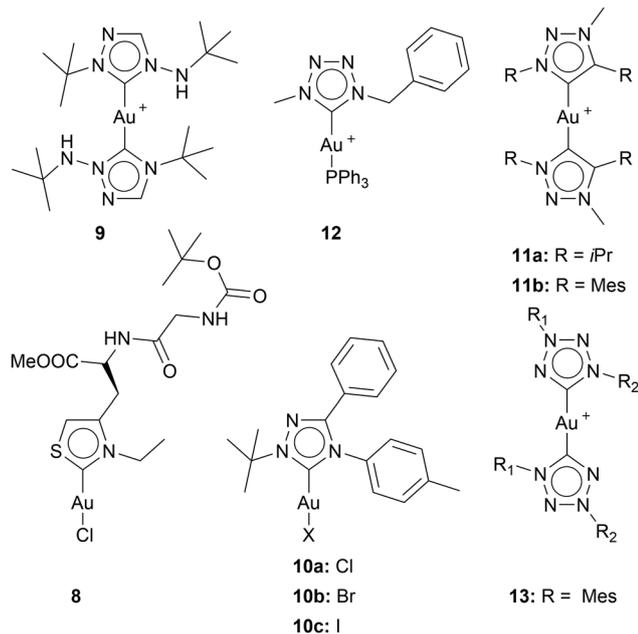


Chart 4 Au(I) NHC complexes with NHC scaffolds beyond imidazole and benzimidazole.

The 1,2,3-triazolylidene complex incorporating isopropyl wingtips **11a** displays IC₅₀ values in the lower micromolar range in various cell lines. Remarkably, 1,2,3-triazolylidene NHC gold complexes with mesityl wingtips **11b** achieve IC₅₀ values in the nanomolar range and perform best in MCF-7 (IC₅₀ = 0.084 ± 0.016 μM) and MDA-MB-231 (IC₅₀ = 0.063 ± 0.02 μM) cell lines.²⁰ Interestingly, compound **11a**, even though showing lower cytotoxicity, shows higher inhibition of TrxR than compound **11b**. Nevertheless, the inhibition values of TrxR are low compared to **11**'s high cytotoxicity. Suggesting that **11** initiates apoptosis over a different pathway than TrxR.

The performance of these complexes can be partially ascribed to the influence of the mesityl groups located at the NHC. Other groups, such as Ott and coworkers, have indeed reported that an increase in phenyl groups can lead to an increase in cytotoxicity in different cell lines, but prominently in MCF-7 cells.^{22,25}

Raubenheimer and coworkers were the first to investigate tetrazole-based NHC gold complexes for their anticancer properties. The cationic gold complex **12** contains one PPh₃ moiety and one tetrazole-NHC ring. **12** shows cytotoxicity activity against HeLa cells (IC₅₀ = 1.67 ± 0.20 μM).⁴⁷

In 2024, the synthesis of a 1-mesityl-3-mesityl-tetrazole NHC ligand was reported. This ligand forms the cationic Au(I) bis-NHC complex **13**. Complex **13** achieves high cytotoxicity with IC₅₀ values in the low nanomolar range for leukaemia (Nalm6) cells (IC₅₀ = 0.014 μM). Interestingly, even at 10 times higher potency (0.1 μM) **13** does not show any activity towards healthy human leukocytes, testifying some degree of selectivity.²¹

Since the reports of Au NHC Complexes with ligand scaffolds besides imidazole are sparse, it is difficult to determine any general trends between cytotoxicity and scaffolds. However,

the activity of complexes **11b** and **13**, suggest that an increase in nitrogen atoms for abnormal NHC, and therefore a decrease in donating probabilities and steric hindrance, does yield more active complexes. While compound **11b** caused 10.98% of Nalm6 cells to be apoptotic at concentrations of 0.05 μM after 72 h, compound **13** at the same concentrations and time caused over 75% of the cells to be apoptotic.

Especially, the low IC₅₀ values reported for **11b**, **13**, and **8**, which are among the lowest reported for Au NHC complexes, make exploration of new NHC ligands beyond imidazole scaffolds attractive.^{20,21,30} There are many yet unexplored NHC ligands for gold complexes as potential anticancer agents available.¹³ Investigating a variety of new scaffolds, investigating the backbone and wingtip influence in these systems, the cytotoxicity, and uptake can give interesting new insights into the field of Au NHC complexes.

Potential targets of Au–NHC complexes in cancer cells

Understanding Au NHC complex's mode of action is crucial for designing highly cytotoxic complexes. Most Au complexes induce apoptosis, the primary form of controlled cell death. Intrinsic apoptosis operates mainly through the mitochondrial and endoplasmic reticulum pathways and is a response to stimuli such as DNA damage, growth factor withdrawal, or oxidative stress.⁴⁸

Prominent examples of apoptosis *via* DNA damage are platinum(II) complexes (such as the long known cisplatin).⁴ Au(III) NHC gold complexes have been developed based on their isoelectronic structure to platinum(II) complexes. However, most Au(III) complexes tend to be unstable in the reducing intercellular environment and are reduced to Au(I) or Au(0). Since Au(III) complexes tend to be reduced to Au(I) complexes, it is assumed that Au(III) complexes follow a similar mode of action as Au(I) complexes.^{49,50}

As a prominent example of Au(I) complexes, auranofin causes an imbalance in the intercellular redox system and oxidative stress. Auranofin strongly binds with thiol- and seleno-species in cells. The inhibition of the seleno-enzyme thioredoxin reductase (TrxR) by auranofin is assumed to be the leading cause of apoptosis.⁵ However, other modes of action have also been reported, such as the inhibition of glutathione *S*-transferase (GST) P1-1.⁵¹

Thioredoxin reductase as main inhibitor of Au(I) NHC complexes

Thioredoxin reductase is part of the thioredoxin system, which includes thioredoxin (Trx) and NADPH. The thioredoxin system is involved in maintaining cellular redox homeostasis: the ability to maintain a stable internal environment in the cell despite external changes, such as oxidative stress. Thioredoxin reductase primarily reduces thioredoxin after its oxidation, caused by oxidants. Trx actively stops the accumulation of H₂O₂ in the cell, by converting generated H₂O₂ to water. The reduction of Trx *via* TrxR takes place at the cysteine–selenocysteine



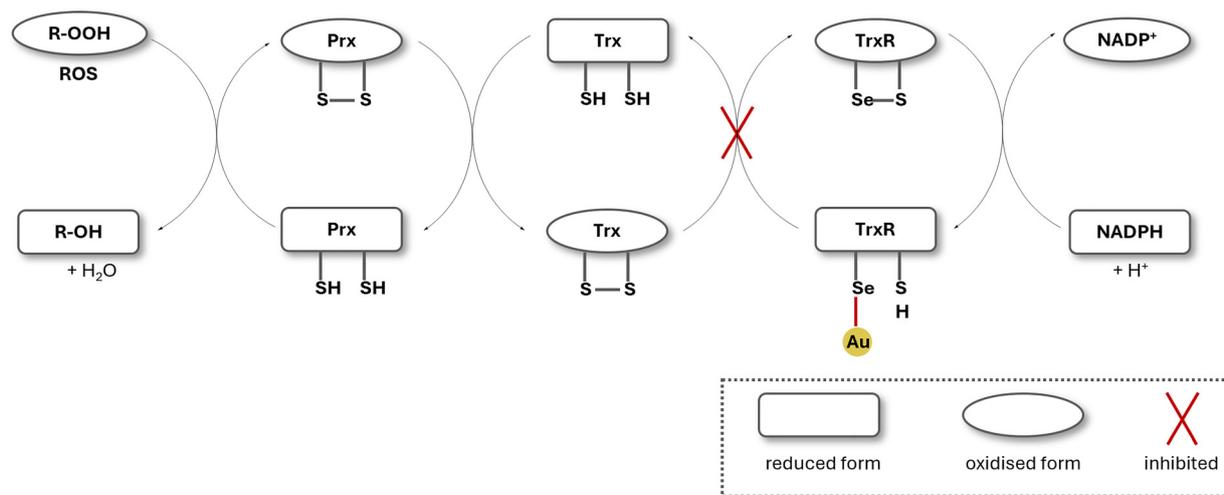


Fig. 3 Simplified illustration of the antioxidant system in the mitochondria. TrxR is deactivated by selenophilic Au(I), leading to a build-up of ROS in the mitochondria. Prx: peroxidase; Trx: thioredoxin; TrxR: thioredoxin reductase; ROS: reactive oxygen species.

Table 1 Selected Au NHC complexes and their cytotoxicity in HT-29 cells after 72 h incubation (IC_{50} given in μM). TrxR inhibition (IC_{50} given in nM) of the complexes

Complex	HT-29	TrxR	Complex	HT-29	TrxR
6 ⁴⁶	2.1 ± 0.00	1200 ± 600	38 ⁵⁶	17.0 ± 1.8	4371.3 ± 322.2
14a ⁵⁴	0.36 ± 0.01	3430.6 ± 249.2	39 ⁵⁶	4.3 ± 0.1	802.7 ± 68.1
14b ⁵⁴	0.62 ± 0.02	6786.2 ± 616.5	40 ⁵⁶	3.2 ± 0.3	374.4 ± 9
15a ⁵⁴	0.43 ± 0.01	> 10 000	41 ⁵⁶	7.7 ± 0.8	379.8 ± 105.1
15b ⁵⁴	0.23 ± 0.01	> 10 000	42 ⁵⁶	6.2 ± 1.0	621.6 ± 51.3
16 ⁵⁴	17 ± 2.8	4371.3 ± 322.1	43 ⁵⁶	7.5 ± 2.9	903.6 ± 1.1
17 ⁵⁴	2.9 ± 0.1	1505 ± 27.1	44 ⁵⁷	4.0 ± 0.7	280 ± 120
18 ⁴⁰	3.08 ± 0.25	320 ± 40	45 ⁵⁷	1.9 ± 0.3	400 ± 130
19 ⁵⁴	0.47 ± 0.01	> 10 000	46 ⁵⁷	7.0 ± 3.5	420 ± 120
20 ⁵⁴	0.42 ± 0.04	700.1 ± 40.2	47 ⁵⁷	4.9 ± 0.1	320 ± 70
21 ⁵⁴	0.37 ± 0.02	424.3 ± 50.4	48 ⁵⁴	7.6 ± 0.3	330 ± 90
22 ⁵⁴	3.19 ± 0.21	784.1 ± 155.2	49 ³⁶	5.2 ± 0.6	430 ± 30
23 ⁵⁴	3.22 ± 0.02	527.7 ± 33.5	50 ³⁶	12.1 ± 2.4	490 ± 150
24 ⁵⁴	0.32 ± 0.01	4028.7 ± 374.1	51 ³⁶	4.2 ± 0.2	1100 ± 300
25 ⁵⁴	0.26 ± 0.03	2521.5 ± 84.5	52 ³⁶	63.8 ± 8.7	2950 ± 700
26 ⁵⁴	0.3 ± 0.01	2388.3 ± 96.1	53 ³⁶	125.8 ± 49.7	6890 ± 1000
27 ⁵⁵	0.89 ± 0.4	660 ± 20	54 ³⁶	2.8 ± 1.7	5080 ± 1000
28 ⁵⁵	5.98 ± 1.78	110 ± 60	55 ³⁶	12.7 ± 1.2	> 10 000
29 ⁵⁵	8.85 ± 2.1	30 ± 0	56 ³⁶	10.5 ± 1.9	7230 ± 1670
30 ⁵⁵	1.46 ± 0.19	30 ± 10	57 ³⁶	45.3 ± 0.12	360 ± 200
31 ⁵⁶	3.1 ± 0.4	597.5 ± 89.6	58 ³⁶	7.1 ± 2.4	1200 ± 400
32 ⁵⁶	4.2 ± 1.0	668.3 ± 38.1	59 ³⁶	0.26 ± 0.06	6400 ± 1400
33 ⁵⁶	2.9 ± 0.1	1505.5 ± 27.3	60 ³⁶	5.1 ± 1.1	470 ± 140
34 ⁵⁶	3.3 ± 1.0	703.9 ± 61.5	61 ⁴⁰	38.6 ± 2.76	> 10 000
35 ⁵⁶	4.2 ± 0.3	1202 ± 110.3	62 ⁵⁴	0.33 ± 0.01	5163 ± 104.1
36 ⁵⁶	2.3 ± 0.1	815.4 ± 74.1	63 ⁵⁴	2.3 ± 0.1	815.4 ± 74.3
37 ⁵⁶	3.3 ± 0.7	1036.1 ± 40.4			

active site. Disruption of the thioredoxin system results in the accumulation of reactive oxygen species (ROS), ultimately triggering apoptosis and cell death (Fig. 3).⁵²

There is a significant amount of reports on Au(I) NHC complexes with high TrxR inhibition, making TrxR inhibition the most commonly proposed mode of action for Au(I) NHC complexes.^{36,40,46,53–57} Gold complexes are assumed to coordinate to the thiolate group of the cysteine or the selenolate group of the selenocysteine located on the active site of TrxR. This interaction blocks the electron transfer within the enzyme, thus

disrupting the thioredoxin system and henceforth triggering the accumulation of reactive oxygen species (ROS) in the cell.⁵⁸

To investigate the role of TrxR on the cytotoxicity of Au(I/III) NHC complexes, in the following paragraphs, the cytotoxicity data reported in connection to the corresponding TrxR inhibition studies are examined. In 2012, Gust and coworkers studied the correlation between TrxR inhibition and cytotoxicity, investigating bis[1,3-diethyl-4,5-diarylimidazole-2-ylidene] gold complexes. Besides the NHC, the examined complexes contain either a bromide, a phosphine, or a thioglucose derivative-ligand.



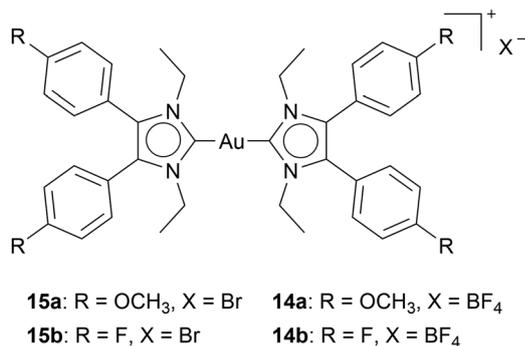


Chart 5 Anion-dependent TrxR inhibition: BF₄⁻ containing complexes inhibit TrxR moderately. Bromide-containing complexes are TrxR inactive.

Additionally, Au bis-NHC complexes and their corresponding Au(III) complexes were tested. A comparison between the activity in HT-29 and TrxR inhibition did not yield a comprehensive correlation between cytotoxicity and TrxR inhibition (Table 1).⁵⁴

It is noteworthy that four cationic Au(I) bis-NHC complexes only differed in their anion: two containing Br⁻ **15**, and the other two BF₄⁻ **14**, inhibit TrxR differently. While all complexes exhibit similar cytotoxicity in HT-29 cells, the BF₄⁻ containing complexes inhibit TrxR, while complexes **15a** and **15b** do not inhibit TrxR in the examined concentrations (Chart 5).⁵⁴ These results highlight the influence of different anions in cationic Au(I) NHC complexes. Moreover, they show the sensitivity of biological activity to seemingly small changes.

In 2013, Ott and coworkers executed a similar study, expanding the list of ligand systems: thiophenolate ligands and different wing-tip substituents. They did not find a direct correlation between TrxR results and cytotoxicity (Table 1). However, some trends can be observed: non-steric thiophenolates lead to potent TrxR inhibition, and Au(I) complexes exhibit stronger TrxR inhibition than Au(III) complexes.³⁶

Inspired by the findings of Ott's and Gust's work, we extended their table of compounds to include data from more

recent published TrxR inhibition studies, which are given in Table 1. The cytotoxicity in HT-29 cells was, therefore, compared against TrxR inhibition of different complexes.^{36,40,46,53–57} Just as with Ott's and Gust's results, no direct correlation can be observed (Fig. 4). Of the 53 considered compounds, 14 show high cytotoxicity in HT-29 cells, with IC₅₀ values in the nanomolar range, eight display moderate to non-existent TrxR inhibition. However, Au NHC complexes with phosphine ligands are potent TrxR inhibitors, consistently showing TrxR inhibition with IC₅₀ values in the nanomolar range.

Fig. 4 indicates that Au(I) bis-NHC complexes exhibit significantly weaker TrxR inhibition compared to other Au NHC complexes. While chloride-containing Au(I) NHC complexes achieve high TrxR inhibition, Au(I) bis-NHC complexes have repeatedly achieved higher cytotoxicity than their halide counterparts.^{22,33,54} This phenomenon is often explained by the high uptake of gold(I) bis-NHC complexes into the cell. Due to their lipophilicity and charge, Au(I) bis-NHC complexes and Au(I) NHC phosphine complexes are called delocalised lipophilic cations (DLCs). The mitochondria of cancer cells have an elevated transmembrane potential ($\Delta\psi$). DLCs can utilise this potential to accumulate in mitochondria.⁵⁹ One example is given in the work of Ott and coworkers: the synthesised Au(I) NHC complexes show low efficiency against TrxR, but higher uptake.²² However, this discrepancy between TrxR inhibition and cytotoxicity is hard to explain in other cases.

This inconsistency may be explained by the requirement of ligand dissociation for an effective TrxR inhibition by Au(I) bis-NHC complexes. Ligands, as mentioned above, can inhibit cytotoxicity of their own.^{29,39–41} The resulting Au(I) mono-NHC complex and the dissociated ligand may both contribute to the cytotoxicity, thereby accounting for the observed effect. Alternatively, the Au(I) bis-NHC complexes may exert their cytotoxic effects through a TrxR-independent mechanism entirely, as other researchers in the field of Au(I) NHC complexes have stated.^{20,46,54,60,61}

Nevertheless, the TrxR inhibition results summarised in Fig. 4, together with previous reports,^{20,46,54,60,61} indicate that

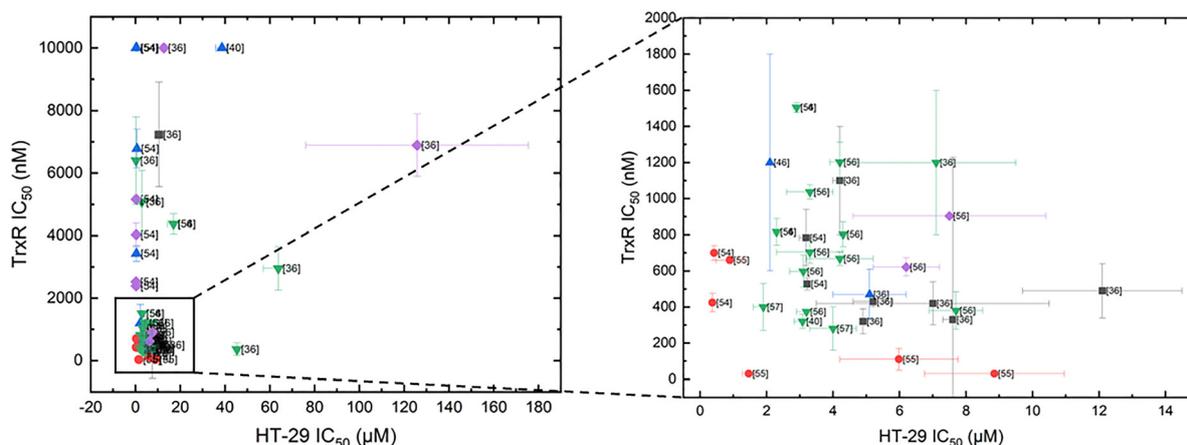


Fig. 4 IC₅₀ values of Au NHC complexes in HT-29 after 72 h incubation [μM]. TrxR inhibition (IC₅₀ given in the nanomolar range). Grey: sulphur ligand; blue: bis-NHC; red: phosphine ligands; purple: Au(III); green: halide ligands.



other pathways besides TrxR inhibition seem to play a role for various Au(I/III) NHC complexes.

Pathways beyond thioredoxin reductase

Yet, the question remains: how do Au(I) NHC complexes interact in the cell besides TrxR inhibition? The reports of other mechanistic pathways are scarce, due to various reasons: for one, the expectation of TrxR being the main pathway discourages researchers from finding other paths, but most importantly, identifying pathways is difficult and costly. Nevertheless, there have been reports on different targets besides TrxR for Au NHC complexes.

Casini and coworkers developed a caffeine-based NHC ligand, which can bind to gold to form an Au(I) bis-NHC complex **64** (Chart 6). Investigations of **64** show a strong binding ability to the G-quadruplex structure of DNA. G-Quadruplexes consist of four-stranded nucleic acid structures formed by guanine-rich sequences that form hydrogen bonds. These noncanonical structures play a critical role in gene expression, telomere maintenance, and genome stability.⁶² Molecular docking simulations showed that three molecules of **64** can bind to one quadruplex due to: the planar structure of compound **64**, the positive charge enhancing the affinity to the negatively charged DNA, and the two aromatic caffeine ligands, able to interact with the guanine moieties through π -stacking.⁶³ This coordination stabilises the G4-structure, therefore interfering with DNA replication and transcription. Some other complexes have since shown G-quadruplex DNA coordination. The groups of Casini and Kühn also tested the ability of imidazole- and benzimidazole-based Au(I) NHC alkynyl complexes to bind to G-quadruplex DNA. The FRET DNA melting analysis indicates that compound **65** significantly stabilises G-quadruplex DNA.⁶⁴ The benzimidazole-based complex **65** highlights the ability of benzimidazole moieties to interact with G-quadruplex DNA (Chart 6).⁶⁴

Magherini and coworkers suggested that Au(I) NHC complexes inhibit the electron transport chain. The disruption of the respiratory complex, a series of protein complexes located in the inner membrane of the mitochondria that are essential for energy production through oxidative phosphorylation, leads to ROS and apoptosis.⁶⁵ Magherini and coworkers showed that complex **66** inhibits the respiratory complex (Chart 7).

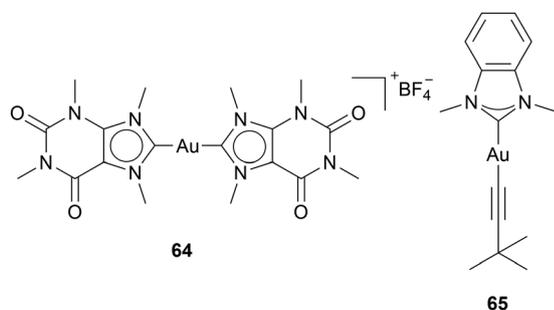


Chart 6 Benzimidazole based Au complexes able to bind to G-quadruplex DNA.

Moreover, they have shown that halide Au(I) NHC complex **67** can interact with other cysteine-containing enzymes, such as glyceraldehyde-3-phosphate dehydrogenase (GAPDH), which is a crucial glycolytic enzyme that catalyses the sixth step in glycolysis.⁶⁶ However, the inhibition of GAPDH outside of the cell is weak. Only 15–20% of GAPDH is inhibited. Therefore, Magherini and coworkers suggest that GAPDH downregulation might be a result of ROS.⁶⁷

Some reports have been focusing on the effect of Au(I) NHC on p53. p53 is a tumour suppression protein that detects cellular stresses and induces adequate responses, such as ROS-associated apoptosis.⁶⁸ In almost all human cancer cells, wild-type p53 activity is disrupted. Reports about Au(I) complexes inducing p53-dependent apoptosis have been published.^{34,69,70} However, in some cases, it is unclear if ROS is caused by TrxR inhibition, inducing p53 activity, or if Au(I) NHC complexes directly activate p53. Dinda and coworkers found that tumour death by complex **68** occurs by activation of p53 and inhibition of anti-apoptotic NF- κ B transcription factor and metastatic markers: VEGF and MMP-9 (Chart 8). However, the authors assume that ROS is induced upstream of p53, and the anticancer activity of complex **68** results from TrxR inhibition.⁷⁰ Ott and coworkers investigated complex **69** against different cell lines with wild-type p53 and p53 mutations. **69** induces high ROS levels regardless of their p53 mutations; however, the pro-apoptotic response occurs in a p53-dependent manner. The authors suggest that **69** can exhibit anticancer effects both dependent and independent from p53.⁶⁹ Saturnino *et al.* assessed complex **70** concerning the expression levels of p53 and p21. P21, a cyclin-dependent kinase inhibitor, plays a crucial role in cell cycle regulation, particularly in halting cell division in response to DNA damage or other stress signals.⁷¹ The levels of p53 and p21 increase depending on the anticancer activity. Molecular docking simulation illustrated the possibility of complex **70** binding to the zinc finger domain of Sp1, which is assumed to be a co-activator in p53-mediated gene regulation.³⁴

The investigations of p53 and GAPDH show the difficulty of detecting new biological targets for Au NHC complexes. Down- or up-regulation of biological targets could be directly or indirectly caused by Au NHC complexes.

Another novel anticancer approach in Au NHC complex research is the work of Sen *et al.* They assessed Au(I) bis-NHC complexes' ability to induce immunogenic cell death (ICD). Unlike conventional apoptosis, which often evades immune detection, ICD not only eliminates cancer cells but also

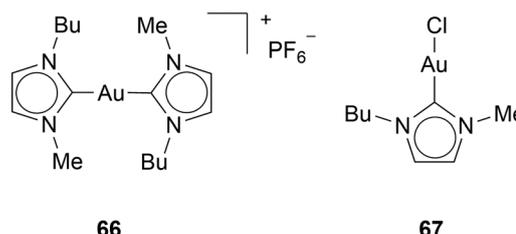


Chart 7 ROS inducing complexes.



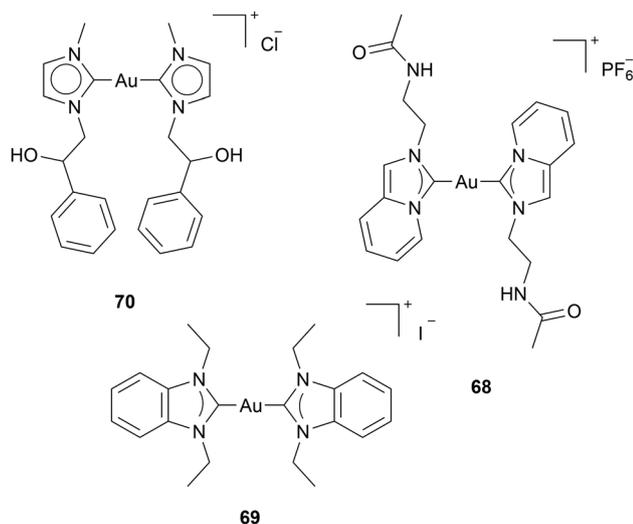


Chart 8 Complexes investigated for their influence on p53.

activates the host immune system to mount a sustained anti-tumor response. When cancer cells undergo ICD, damage-associated molecular patterns signal to activate antigen-presenting cells (APCs). These APCs then process tumour antigens and present them to T cells. This process transforms a local tumour cell death event into a systemic immune response, enhancing the elimination of residual tumour cells and potentially establishing immunological memory against cancer recurrence.^{72,73} Sen *et al.* suggest that a double-enhanced ROS mechanism can induce ICD. Therefore, they developed Au(I) NHC complex **71** containing a quinone moiety (Chart 9). Complex **71** is very active in human A549, HCCT-116 cells, and mouse colon carcinoma CT-26. MTT assays indicate IC₅₀ values in the nanomolar range after 72 h incubation. Afterward, complex **71** was assessed *in vivo*. Mice were injected with **71**-pretreated CT-26 on their right flank; 6 days later, they were injected with untreated CT-26 cells. Some mice that were injected with pretreated cells showed delayed or no tumour development. Therefore, complex **71** is assumed to be able to induce ICD.⁷⁴

Che and coworkers detected vimentin, nucleophosmin, HSP60 and YB-1 to play a potential role in the mechanism of Au(III) NHC complex **72**. Vimentin is an intermediate filament protein whose primary function is to provide structural support to the cell, maintaining the integrity of organelles, such as mitochondria, inside the cell.⁷⁵ Nucleophosmin is a nucleolar phosphoprotein released from the nucleolus in response to cellular stress. It interacts with p53, and is crucial for maintaining genomic stability.⁷⁶ HSP60 is a heat shock protein, found primarily in mitochondria, where it is essential for maintaining the integrity and homeostasis of the mitochondrial proteome, especially under cellular stress.⁷⁷ Che and coworkers were able to detect these proteins as targets for **72**, due to a combination of simulation and photo-active modification of **72**. They attached two clickable photoaffinity probes to identify multiple targets for Au(III) NHC complex **72**, bearing pincer ligands (Chart 10). The photo-active diazirine group

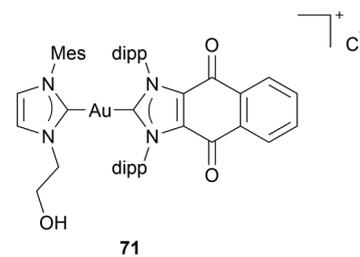


Chart 9 Au(I) NHC complex capable of inducing immunogenic cell death.

located on the wingtip of complex **73** can interact with proteins upon light irradiation. The alkynyl group on the second wingtip can be clicked to azido-biotin or dyes. After treating HeLa cells with complex **73**, UV light, copper and azido-biotin were added. Gel electrophoresis and MALDI-TOF mass spectrometry identified cellular proteins of HeLa cells: mitochondrial HSP60, nucleoside diphosphate kinase A (NKDA), nucleophosmin (NPM), vimentin (VIM) and peroxiredoxin 1(PrDX1), nuclease-sensitive element binding protein (Y box binding protein YB-1). Subsequently, the subcellular distribution of complex **73** was investigated. Again, HeLa cells were incubated with **73** before irradiation with UV light, and a click reaction with Alexa Fluor 488 took place. Complex **73** is mainly detected in the cytoplasm, with a small portion present in the nucleus. Analysis of complex **72**, such as western blot analysis, molecular docking, and hybrid quantum mechanics/molecular mechanics (QM/MM), affirmed the possible binding of complex **72** to vimentin, nucleophosmin, HSP60, and YB-1.⁷⁸

A deeper understanding of the mechanisms of Au(I) NHC complexes is essential for their rational design and future applications. The presented investigations all detected targets attributed to a mitochondria-based pathway. More studies in this field are needed to give a clearer understanding of the mechanisms. While TrxR as a target and apoptosis as a mechanism are established, other targets and regulated cell death pathways, such as ICD, have emerged as a compelling mechanism of action. Additional regulated cell death pathways, such as ferroptosis (typically initiated by excessive ROS accumulation), remain underexplored in the context of gold-based therapeutics. Investigating these mechanisms requires precise tools to study cellular uptake, distribution, and target engagement. Labelling strategies play a central role in these investigations.

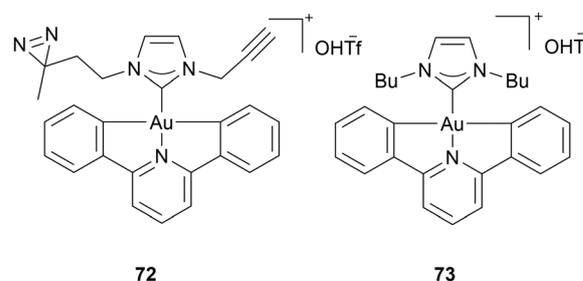


Chart 10 Complex **73** bearing a photo-sensitive azido group and an alkynyl group and the corresponding complex **72**.



In cell modification, like in Che's work,⁷⁸ offers a unique alternative to traditional pre-labelling techniques, which may interfere with compounds' bioavailability or intracellular behaviours. In the context of the next chapter, other reports of labelling Au NHC complexes will be described.

Strategies in the field of Au–NHC complexes: cytotoxicity, labelling, selectivity and drug-resistance

In this chapter, a brief overview of different fields of investigation concerning Au NHC complexes in anticancer research is provided. Established procedures and reports, having gained considerable attention, will be elaborated.

Tracing Au NHC complexes *in vitro* and *in vivo*

As mentioned in the previous section, labelling Au(I) NHC compounds is a necessary tool for gaining insights into mechanisms. Au(I) NHC complexes can be labelled with fluorescence, luminescence, or radioactive markers. While all three labelling types can be used for *in vivo* and *in vitro* studies, luminescence and fluorescence markers are commonly used to track Au(I) NHC *in vitro*, for *in vivo* experiments, radiolabelling is a standard procedure, as sacrificing the animal is not necessary. Salassa and coworkers used radioactive iodine ¹²⁴I to oxidise Au(I) bis-NHC complex **74a** to Au(III) complex **74b** (Scheme 1). As previously mentioned, most Au(III) complexes are reduced to Au(I) under cellular environment,^{49,50} which explains their similar cytotoxicity and mode of action compared to their Au(I) counterparts.^{54,56,79,80} After **74b** admission to the

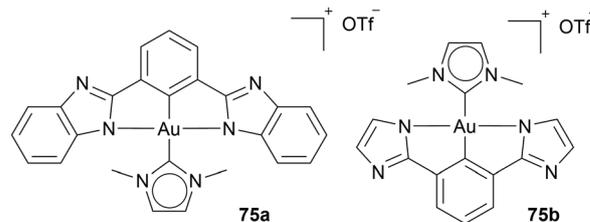
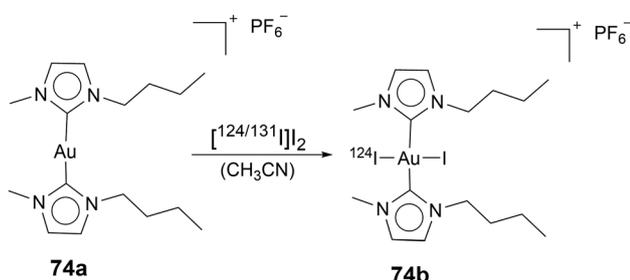


Chart 11 Au(III) NHC complexes with thiol-switch on fluorescent ligand release.

rat, the compounds' distribution can be traced by positron emission tomography (PET). To determine if the radiation was attributed to free radioactive iodine, arising due to reduction in the cell to **74a**, inductively coupled plasma mass spectrometry (ICP-MS) analysis was used (Fig. 5). ICP-MS can be used to detect metals in very low concentrations and is often used for uptake studies in cells.⁸¹ The ICP-MS data, together with the PET images, determined localisation in the liver, kidneys, and lungs.⁸² Therefore, this simple radiolabelling of complex **74a** to compound **74b** can give good information about the distribution of complex **74a** *in vivo*.⁸² Making radiolabelling due to radioactive iodine oxidation a great tool to analyse the distribution of Au(I) NHC complexes *in vivo*.

Additionally, Che and coworkers use Au(III) reduction probability as an advantage by adding fluorescence active ligands (2,6-bis(benzimidazol-2-yl)pyridine) or 2,6-bis(imidazol-2-yl)pyridine to an Au NHC complex **75a**, **75b** (Chart 11). In the vicinity of thiol-containing compounds, the ligand detaches and reveals its fluorescence activity, while an Au(I) NHC complex is formed. The complexes **75a**, **75b** are utilised as a prodrug for Au(I) NHC complexes and function as a fluorescence marker.^{78,83}

Hussaini and Razali give a good overview of Au(I) NHC complexes showing photoluminescence,⁸⁴ which serves as a good inspiration for which Au(I) complexes to investigate for anticancer activity. Besides organic modification and d¹⁰–d¹⁰ interaction, adding metalorganic moieties with luminescent properties to form a hetero-bimetallic system has also been investigated. Hemmert and coworkers added a [Ru(bipy)₃] building block to an Au(I) mono-NHC complex through wingtip modification of the NHC. Unfortunately, the resulting complex showed moderate to absent activity towards human hepatocellular carcinoma (Hep3B). The authors concluded that the



Scheme 1 Radioactive oxidation of compound **74a** to compound **74b**.



Fig. 5 Concentration of radioactivity (as determined by PET) of **74b** and concentration of Au (as determined by ICP-MS) of **74a** in different organs, together with the PET images (maximum intensity projections) obtained at different time intervals after intravenous administration of **74b**.⁸²



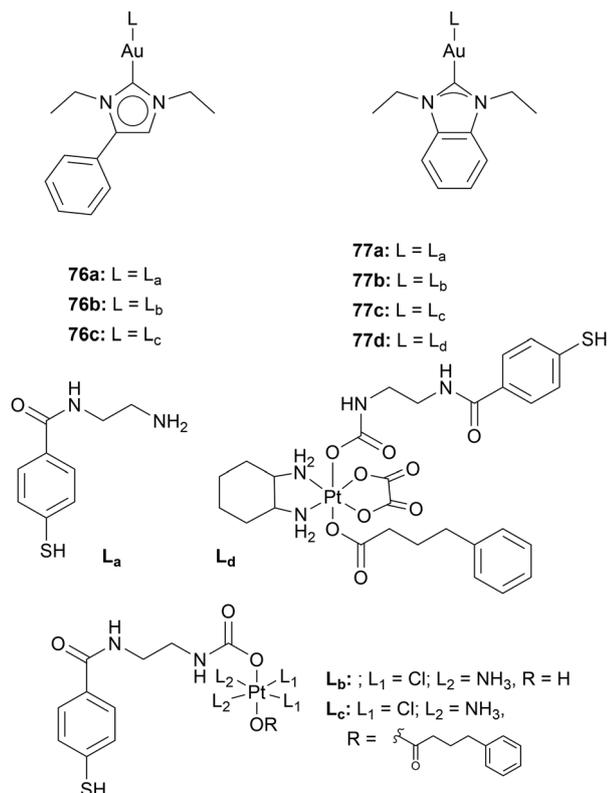


Chart 12 Au(I) complexes containing mercaptobenzoic spacer and a Pt(IV) complex.

[Ru(bipy)₃] was responsible for the deactivation of the compound.⁸⁵

This stands in contrast to various other reports of hetero-bimetallic systems that show enhanced cytotoxicity.^{86–89}

Multitargeting moieties

Adding a moiety facilitating regulated cell death through a mechanism other than gold complexes can increase the cytotoxicity. Moreover, drug resistance can be prevented or overcome since drug resistance merely occurs for single-target anticancer agents caused by genetic mutations.⁹⁰ Multitargeting due to hetero-bimetallic systems is highly reported in the field of Au NHC complexes. Reports about Ru–Au, Fe–Au, Cu–Au, Ti–Au, and Pt–Au complexes have been published.⁹¹ Especially, Contel and coworkers have thoroughly investigated Ru–Au and Ti–Au complexes.^{86–89}

Ott and coworkers synthesised five compounds containing a Pt(IV) complex that is linked to an Au(I) NHC moiety *via* an ethylenediamine-derivatised mercaptobenzoic spacer **76b,c**, **77c-d** (Chart 12). Compounds against **76b,c**, **77c-d** were assessed together with compounds **76a** and **77a**, containing no Pt(IV) moiety. The stability of the compounds was tested in cell medium. Compounds **76b** and **77b** show a half-life of 19 and 15.8 h, respectively, while the other platinum-containing compounds are stable for several days. To mimic the reducing environment of the cell, compound **77c** was monitored in a solution of ascorbic acid. HPLC and mass spectroscopy analysis

showed complex **77a**, **77c**, and free ligand. TrxR inhibition tests of all compounds were conducted against isolated TrxR. The platinum-containing compounds show higher TrxR inhibition than the Au(I) compounds **76a** and **77a**. However, when tested towards cysteine- and selenocysteine-containing peptides, compounds **76a** and **77a** react immediately with the peptides while compounds **76b,c**, **77c-d** only convert to 15–20%. This indicates that the Pt(IV)–Au(I) compounds might interact with TrxR in another way besides the direct binding of the Au(I) to the selenocysteine moiety.

The compounds were also assessed against A2780 cells and A2780 cells resistant against cisplatin (A2780cis). Compound **76c** is the most active compound in both cell lines (IC₅₀ = 0.08 ± 0.001 μM (A2780) and IC₅₀ = 0.15 ± 0.01 μM (A2780cis)), even though compound **76c** contains a platinum moiety. However, when platinum-free Au–NHC complex is incubated together with cisplatin in A2780cis cells, significantly higher IC₅₀ values are reached (compound **76c**: IC₅₀ = 0.15 ± 0.01 μM; Au NHC + cisplatin: IC₅₀ = 1.41 ± 0.01 μM). These results highlight that gold complexes can overcome cisplatin resistance and show a critical difference between multi-targeting (one drug) and multiple-targeting (several drugs) therapy.²⁶

The combination of Au NHC complexes with ferrocene is a prominent example.^{92–95} Several examinations show that Au NHC complexes cause apoptosis due to reactive oxygen species (ROS) formation.

The synergy of Au NHC complexes with a redox-active species, Ferrocene, should increase apoptosis by increasing the formation of ROS. Although Arumbula and Arumugam show enhanced cytotoxicity with an increase of ferrocene moieties on cationic Au(I) bis-NHC complexes (**78a,b,c**), which could indicate a synergetic effect of ferrocene with Au complexes,⁹⁶ some other reports are not so comprehensive (Chart 13). Muenzner *et al.* reported an Au bis-NHC complex **79** with one phenyl group and one ferrocene moiety on the backbone of the NHC.⁹³ Complex **79** shows IC₅₀ values of 0.13 ± 0.001 μM for HT-29 cell lines. A year later, Ott's group reported a similar complex: Au bis-NHC complex **80** with one phenyl group located on the backbone of the ligand.²² The IC₅₀ value of complex **80** for HT-29 cells is 0.15 ± 0.001 μM. Comparison of cytotoxicity between reports has to be done carefully; however, in this case, the difference in cytotoxicity seems negligible. One would assume a redox-active species to directly influence and significantly impact the cytotoxicity. However, the cytotoxicity of complex **79** and complex **80** at the same incubation times is very similar. Since ferrocenes are aromatic moieties, the enhanced cytotoxicity of ferrocene-containing complexes might be attributed to aromatic-moiety addition.

Besides, hetero-bimetallic systems, dual-targeting approaches with organic compounds in combination with Au(I) NHC complexes have also been reported.

Ott and coworkers implemented 1,8-naphthalimide moieties into Au(I) NHC halide complexes **81** by modifying the side arm of the imidazole-based NHC (Chart 14). The incorporation of 1,8-naphthalimide does not hinder the TrxR inhibition.



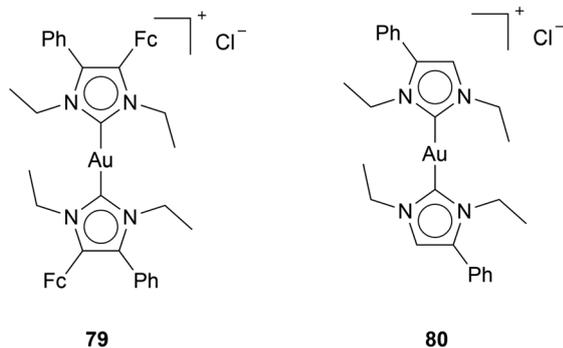
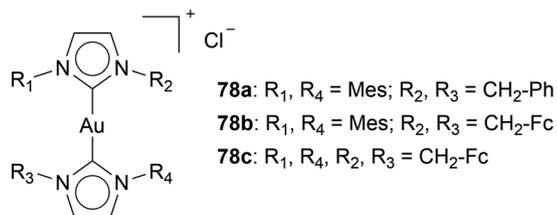


Chart 13 Au bis-NHC complexes containing ferrocene (Fc) and/or phenyl groups.

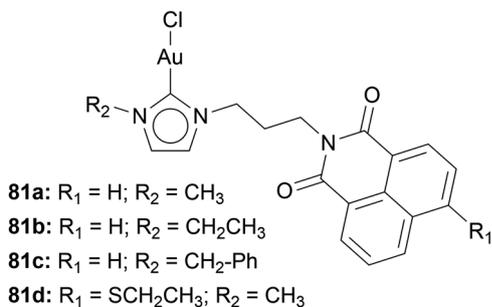


Chart 14 Au(i) NHC complexes containing 1,8-naphthalimide moieties.

IC_{50} values of $0.28 \pm 0.12 \mu\text{M}$ and $0.40 \pm 0.13 \mu\text{M}$ are comparable to the inhibition of $[\text{AuCl}(\text{PR}_3)]$. The gold moiety does not inhibit the intercalation of 1,8-naphthalimide into DNA. *Via* circular dichroism spectroscopy, the structural changes of circulating tumour DNA incubated with compounds **81** have been observed.⁵⁷

Liu and coworkers investigated the combination of a selective oestrogen receptor degrader (SERD) with Au(i) NHC complexes.²⁸ Endocrine therapy, which uses SERDs as treatment, is the conventional approach for advanced oestrogen receptor (ER) positive breast cancer. SERDs are destroying the oestrogen receptor in the cell, which can stop tumour growth in ER-positive cell lines,⁹⁷ such as MCF-7. Since there is evidence that Trx and TrxR are regulated by oestrogen and ER,⁹⁸ Liu and coworkers investigated if a combination of TrxR inhibitor with SERDs may have a positive synergic effect against malignant cells. The newly formed complex **82**, incorporating G1T48, was intensively studied *in vitro*: **82** showed anti-proliferation properties in the lower micromolar range in MCF-7 cells, TrxR

inhibition, and maximum degradation efficacy is reached at $1 \mu\text{M}$. Lastly, *in vivo* studies in mice were performed. Complex **82** exhibits a higher antitumor activity than auranofin and fulvestrant and stimulates the immune response. During the experiment, the mice did not suffer from significant body weight loss. No significant morphologic or structural changes were observed in the heart, liver, spleen, and kidney tissues of the mice.²⁸ Besides these remarkable results *in vitro* and *in vivo*, the investigations lack a control study of G1T48 given together with Au(i) bis-NHC complexes. Synthesis of dual-targeting compounds is often costly, highly difficult, and time-intensive. These syntheses should take place if a direct bond between the two moieties is beneficial.

Overcoming drug-resistance

One major obstacle in anticancer therapy is the development of drug resistance during chemotherapy. Drug resistance, the unresponsiveness towards drugs, can happen in every drug application. There are several known drug-resistance mechanistic pathways, while individual drugs can trigger specific pathways or several pathways at once; naming all drug-resistant pathways known would expand the scope of this review.⁹⁹ Notably, in the case of gold(i) compounds, a drug-resistance mechanism involving TrxR may be hypothesised.¹⁰⁰

Especially in chemotherapy, where drug resistance can occur towards standard chemotherapeutics, designing new compounds that can overcome drug resistance is essential, as overcoming drug resistance can improve the chances of patient recovery.¹⁰¹ In chemotherapy, cytostatic drugs are often given in combination.¹⁰² Therefore, Au(i) complexes are frequently tested towards cell lines with drug resistance of common cytostatic drugs, such as cisplatin or daunorubicin, and multiple drug-resistant cell lines.^{20,21,23}

Also, the ability to overcome cisplatin resistance of A2780 cells with Au(i) bis-NHC complexes has been assessed often. For example, Auranofin and complex **69** were tested against A2780 cells and A2780cis. The A2780cis cells were obtained by treating A2780 cells with sub-toxic concentrations of cisplatin. The activity of complex **69** against resistant and non-resistant cell lines is the same, with IC_{50} values being $0.055 \pm 0.017 \mu\text{M}$ (A2780) and 0.071 ± 0.047 (A2780cis). Additionally, the A2780cis cells were treated with sub-toxic levels of complex **69**. Afterward, cisplatin was assessed against this cell line, and the cytotoxicity of cisplatin towards A2780cis cells is not enhanced.²³

The previously mentioned complex **13** was examined against chronic myeloid leukemia (K562), B-cell leukaemia (Nalm6), and burkitt-lymphoma (BJAB) cells. Complex **13** displays IC_{50} values in the nanomolar range. When tested against a daunorubicin-resistant K562 cell line NiWi-Dau, complex **13** shows even more potent cytotoxicity ($0.16 \mu\text{M}$ K562; $0.14 \mu\text{M}$ NiWi-Dau).

Complex **13** causes a dose-dependent decrease in mitochondrial outer membrane potential (MOMP). Oligomerization of the BCL-2 can reduce the MOMP. Moreover, BCL-2 is over-expressed in Niwi-Dau cells, which is an indication that



complex **13** has an impact on the BCL-2 pathway.²¹ Complex **13** was assessed against leukaemia and lymphoma cells, which is unusual for Au NHC complexes. Au NHC complexes are often tested against solid tumours commonly known, easily accessible, and inexpensive cancerous cell lines: HeLa (cervical), HT-29 (colon), MCF-7 (breast), A2780 (ovarian), A549 (lung), PC3 (prostate).

Even though auranofin is currently only applied in clinical trials, some studies have investigated resistance in auranofin-treated cells. Landini *et al.* made A2780 cells resistant to auranofin and tested their characteristics. Interestingly, they tested the activity of two NHC containing Au(I) complexes towards the drug-resistant cell line A2780/AF-R. Complex **74a** and **74b** show highly different activity toward A2780/AF-R. While the mono-NHC Au(I) complex **74a** shows cross-resistance, complex **74b** completely circumvents resistance to auranofin.¹⁰³ These findings appear to support the previously proposed possibility of a different mechanistic pathway for Au(I) bis-NHC complexes compared to auranofin and Au(I) mono-NHC complexes. It also highlights that Au(I) bis-NHC complexes may help to overcome drug resistance, underscoring their promise as effective candidates for next-generation chemotherapeutic agents.

Enhancing selectivity towards malignant cells

MCF-7 cell lines are commonly used since Au(I) NHC complexes have consistently shown high cytotoxicity against this cell line.^{20,22,54,55} Therefore, high selectivity towards MCF-7 is strongly desirable.

To enhance selectivity towards cancer cells and target specific cell lines, targeting vectors can be employed. These vectors can specifically recognise and bind to cancer cell lines that exclusively overexpress certain receptors.^{104,105} Alternatively, a more general targeting approach is also possible, as many cancer cells, due to their high proliferation rate, commonly overexpress particular receptors.^{105–107}

MCF-7 bears α -positive oestrogen receptors. Therefore, the groups of Ott and Nolan bound oesthisterone to Au imidazole-based NHC complexes **83** (Chart 15). They thereby facilitated gold's ability to bind to alkynyl moieties. The resulting compounds were tested against A549, HT-29, MDA-MB-231, and MCF-7 cells. Complex **83c** is the least active, with IC_{50} values of 13.6 to 25.7 μ M. Complex **83a** displays the most effect with IC_{50} values of 3.7 ± 0.3 μ M in MDA-MB-231 cells. Interestingly, for all examined complexes, the cytotoxicity is the highest in triple-negative MDA-MB-231 cell lines, despite the lack of oestrogen receptors. However, **83a** achieves high cellular uptake compared to the complex without oesthisterone **83a** in MCF-7 cells.¹⁰⁸ In 2024, Ott and coworkers again utilised gold's affinity towards alkynyl and added mestranol to gold benzimidazole-based NHC complexes **84**. However, based on their anti-proliferating activity, the mestranol-containing complexes perform similarly to Au(I) NHC complexes without mestranol moiety.¹⁰⁹ The inconclusiveness of these studies may be attributed to the instability of the alkynyl-gold bond in the presence of thiol-containing molecules.⁶⁴ Attaching oestrogen to the backbone or wing-

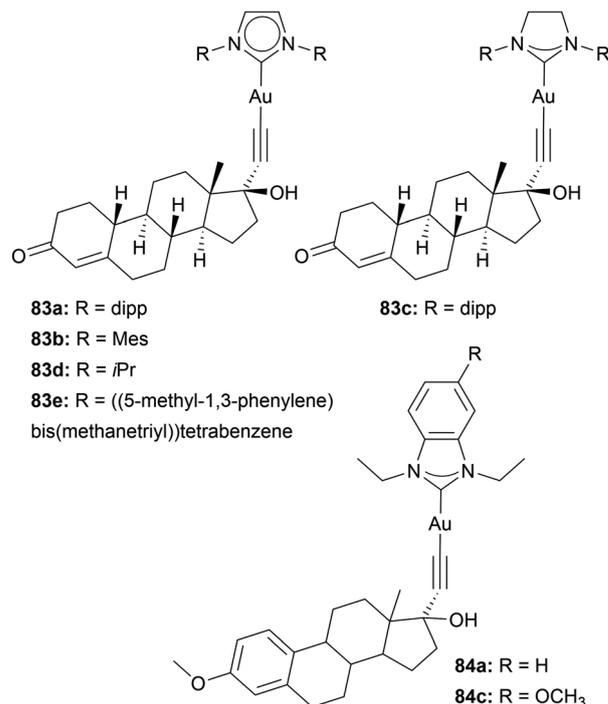


Chart 15 Ethisterone and mestranol Au(I) complexes, facilitating the affinity of gold to alkyl.

tip of the NHC may improve complex stability and lead to more conclusive results.

Proliferating cells, such as cancer cells, use a glycolytic metabolism, thereby stimulating a higher sugar intake. This effect, called the Warburg effect, is used in cancer therapy by using glycolysis inhibitors or glucose derivatives as targets.¹⁰⁷ Auranofin itself also possesses a thioglucose moiety. Inspired by the Warburg effect and auranofin, several Au(I) NHC complexes bear glucose derivatives as a second ligand⁵³ or on the wingtips.³³

Tubaro and coworkers investigated the influence of acetylated glucopyranose moieties on the cytotoxicity of Au(I) NHC complexes. Therefore, the acetylated glucopyranose was incorporated into the wingtip of the NHC. Compounds with one and two acetylated glucopyranose were assessed (Chart 16). With an increase of glucopyranose moieties, an increase in cytotoxicity is observed. In all cell lines **85a** and **85b** were tested against (SVT2, BALB/c3T3 and A431), **85b** is more effective than **85a**.¹¹⁰

Pratesi and coworkers synthesised an Au(I) NHC complex **86** with one acetylated glucopyranose on the wingtip. The activity against A2780 cells is only moderate ($IC_{50} = 13 \pm 1$ μ M). However, **86** shows remarkable selectivity. In the healthy cell line, HSkMC (human skeletal muscle cells), toxicity is low ($IC_{50} = 280 \pm 18$ μ M).³³

Furthermore, Kühn and coworkers added a sugar derivative to triazole-based Au(I) NHC complex **11b**. Here, the sugar derivative was clicked to an azido moiety located on the backbone of the triazole **87a** (Chart 17). The azido group allows the incorporation of biologically active species *via* click chemistry. 2-Propynyl-tetra-*O*-acetyl- β -D-glucopyranoside *via* the CuAAC



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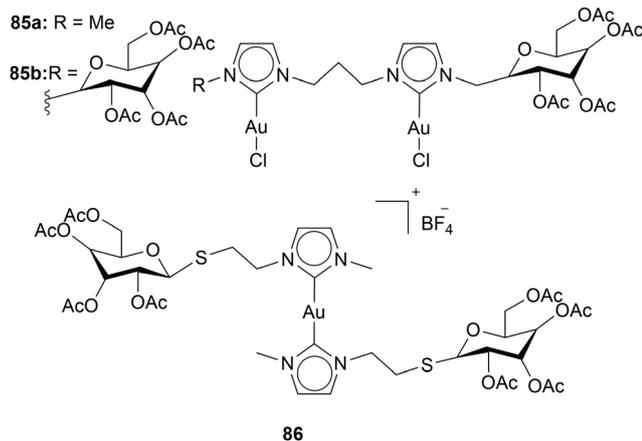


Chart 16 Bi-metallic and mono-metallic Au(I) complexes containing acetylated glucopyranose.

reaction and bicyclononyne *via* the SPAAC reaction was added, as a proof of concept. Both reactions resulted in the desired compound. It is further planned to investigate modifying complex **87a** with biologically active species. Moreover, the azido group can be used for fluorescence labelling, as Che *et al.* have reported.⁷⁸ Investigations of cytotoxicity of the complexes **87a**, **87b**, and **87c** display interesting IC_{50} values. All complexes **87** perform better in A2780 cells than in MCF-7 cells. The methyl-substituted complex **11b** shows the opposite trend. The IC_{50} values of complex **11b** are 360 ± 90 nM (A2780) and 84 ± 16 nM (MCF-7), while complex **87a** shows higher efficiency for A2780 cells (26.6 ± 1.3 nM) and higher IC_{50} values for MCF-7 cells (261 ± 75 nM). This trend highlights the influence linkers can play on cytotoxicity.²⁴

Tacke and coworkers explored direct binding between the Au complex and vectors, by metal-sulphur coordination. Direct bioconjugation between the vector and the complex comes with advantages: no linker influence can occur, while the synthetic expense is significantly decreased.

Tacke and coworkers bioconjugated Au NHC complexes with Human serum albumin – recombinant (rHSA), complex **88a**. In

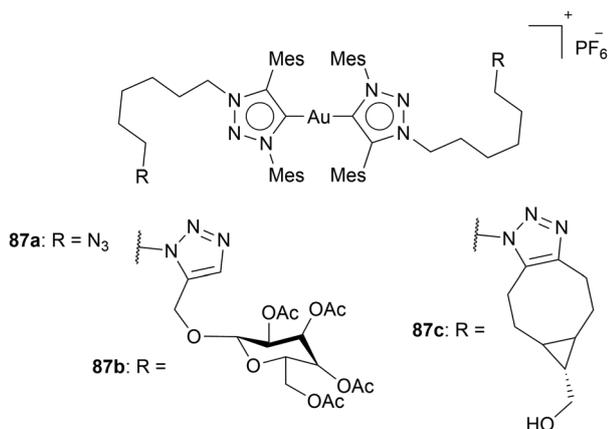


Chart 17 Au(I) bis-NHC complexes bearing an azido moiety at the backbone, which can be utilised *via* click chemistry.

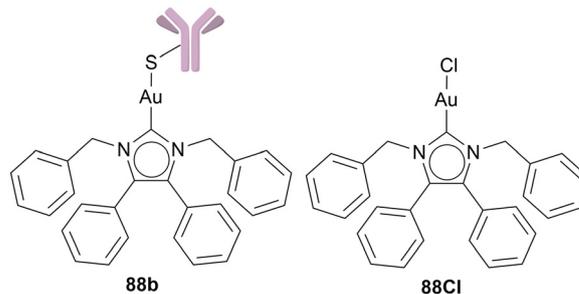


Chart 18 Functionalisation of chloride Au(I) NHC complexes with modified antigens *via* a sulphur-gold-bond.

rats, radiolabeled rHSA accumulated in tumours; therefore, Tacke and coworkers tested rHSA ability as target drug delivery. By conjugating rHSA to gold *via* the free cysteine moiety of rHSA, the ability of thiol-gold linked protein metal complexes is tested as well. The complex **88a** remains stable for 48 h in human plasma and retains its ability to bind to neonatal FcRn receptors.

Moreover, based on their previous results, Tacke and coworkers utilised the engineered monoclonal antibody (Thiomab LC-V205C). Antibodies can be used as drug carriers since they are able to recognise antigens overexpressed in cancer cells. Tacke and coworkers chose Thiomab LC-V205C, which possesses an additional free cysteine per light chain, where the Au(I) NHC complex can bind. Thiomab can bind to the Her2 antigen in SKBR3 breast cancer cells that overexpress Her2. Complex **88b** (Chart 18) was tested against three different cell lines: SKBR3, MDA-MB-231 (breast cancer), and MCF10A (non-tumorigenic breast cells). MDA-MB-231 and MCF10A do not express HER2 receptors. The cell growth (GI_{50}) is reduced by adding antibodies ($GI_{50} = 13.64$ μ M **88c**; $GI_{50} = 9.85$ μ M **88b**) in SKBR3. However, the GI_{50} values towards the Her2 antigen-negative cell lines are also reduced. The GI_{50} between **88c** and **88b** differed around 3 μ M in all cell lines independent of Her2 expression.^{104,111} Even though the Thiomab LC-V205C moiety made the complexes more cytotoxic, it failed to make compound **88** more selective. However, targeted therapy with antibodies has been successfully applied in medicine¹⁰⁶ and should be investigated further for Au(I) NHC complexes.

Based on the above-discussed reports, modifying the NHC ligand at the backbone or wingtip to attach targeting vectors appears to be a more favourable strategy than direct coordination to the gold centre.

Conclusions and outlook

Au NHC complexes appear to have the potential to be a new generation of anti-tumour drugs and are promising candidates for clinical trials. Several complexes have shown remarkable *in vitro* results: cytotoxicity reaching IC_{50} values in the nanomolar range,^{20–24} overcoming drug resistance against cisplatin or daunorubicin-resistant cell lines, or, even more impressively, against multidrug-resistant cells.^{21,26} Some Au NHC complexes



were able to show selectivity towards malignant cells over healthy cells without intensive modifications.^{21,25} *In vivo* studies of Au NHC complexes have demonstrated tumour regression, while the vitality of the mice was maintained.^{27,28}

However, extensive research is still required before Au NHC complexes can be utilised in clinical trials.

A deeper understanding of structure–activity relationships and cellular mechanisms is essential to optimise therapeutic performance and selectivity. While inhibition of TrxR remains the most cited mode of action, the lack of a clear correlation between TrxR inhibition and cytotoxicity in several studies indicates that Au NHC complexes may exert their anticancer effect through multifactorial or other regulated cell death pathways.^{20,46,54,60,61} Especially, Au bis-NHC complexes show remarkable cytotoxicity, despite low inhibition of TrxR. Au bis-NHC complexes may act over an intrinsically different pathway, such as G-quadruplex DNA interaction,^{63,64} or over several pathways. The remarkable ability of NHC complexes to overcome drug resistance might be an indication of their multi-targeting pathway. This review sheds light on the cytotoxicity of the ligand system, which is often overlooked, and in a few cases, even exceeds the cytotoxicity of the corresponding gold compounds.^{29,40} The high cytotoxicity of Au bis-NHC may derive from ligand dissociation, which is needed for TrxR inhibition. Therefore, more ligands should be investigated for their cytotoxicity and their mechanisms in the cell.

Investigations of other mechanisms of Au NHC complexes all point to a mitochondrial-based pathway. This is in alignment with the high number of reports suggesting apoptosis through the mitochondrial-based pathway as a regulated cell death mechanism.^{20,70,74,83} However, the discovery of Au(I) NHC complexes inducing immunogenic cell death not only makes Au(I) NHC complexes an attractive candidate for immune-oncology applications but should also spark interest in investigating different regulated cell death mechanisms.⁷⁴

Nevertheless, investigations of biological targets are challenging to approach. Au NHC complexes might directly or indirectly influence the down or up-regulation of a biological target. Therefore, finding the right tools for labelling Au(I) NHC complexes, identification without loss of activity, is highly important. Even though great tools have been reported,^{82,83} they need to be tested out for various types of Au(I) NHC to see if they are applicable in the great scheme.

To reduce side effects and achieve success in clinical trials, the complexes need to be selective towards cancer cells.

Targeted therapy is a good approach to achieve high selectivity. The targeting approaches for Au NHC complexes are leading to varying results. Attaching the targeting vectors *via* covalent bonding on the NHC is preferred to ligand coordination *via* sulphur or alkyl bonds, as these bonds tend to break in the presence of thiol-containing molecules.^{24,108,109,112} There is great potential for targeted therapy in the field of Au NHC complexes as anticancer agents to gain selectivity.

Some Au(I) NHC complexes have shown selectivity without modification. Especially abnormal coordinating NHCs have shown high cytotoxicity to cancer cells, while showing low

toxicity to healthy cells.^{20,21} While imidazole and benzimidazole-based NHCs have been intensively published in the field of Au NHC complexes as anticancer agents, other scaffolds, like abnormal NHCs, have not been widely investigated. Therefore, novel NHC scaffolds could be explored for their cytotoxicity and selectivity.

Accordingly, Au NHC complexes hold significant potential as anticancer agents. Their structural tunability, multifaceted mechanisms of action, and demonstrated ability to overcome drug resistance make them compelling as next-generation chemotherapeutics. Systematic exploration of ligand properties, target engagement, and *in vivo* behaviour will be crucial for advancing these compounds to reach clinical trials.

Conflicts of interest

There are no conflicts of interest.

Data availability

This tutorial review article does not include primary research results, software, or code. No new data was generated or analysed.

Acknowledgements

Melanie E. Hoffmann gratefully acknowledges the financial and academic support provided by the Hans-Böckler Foundation through her doctoral scholarship (416387).

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