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# Thermodynamics-informed neural networks and extensive data sets: key factors to accurate blind predictions of apparent $pK_a$ values in the euroSAMPL challenge†

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**Microscopic and macroscopic  $pK_a$  values for 35 compounds selected by the organizers of euroSAMPL 1 challenge were blindly predicted with our thermodynamics-informed empirical S +  $pK_a$  model (ranked submission 0x4cb7101f). Our results have received the first overall rank from the challenge organizers. We describe our methodology and discuss evaluation methods.**

EuroSAMPL, the first European blind prediction challenge in the spirit of established SAMPL challenges ran from February 2024 until it concluded in June of that year.<sup>1</sup> Participants were asked to predict ionization constants ( $pK_a$ ) of 35 newly synthesized drug-like compounds. The organizers made good effort to select compounds with only one deprotonation transition in the range of their experimental techniques ( $pH = 2-12$ ).<sup>1</sup> In addition, the single transition per compound in this pH range was confirmed by alternative experiments.<sup>2</sup> Final prediction results are shown in Table 1. The organizers employed a simple null benchmark where all “predicted”  $pK_a$  values were set to 7.0. The benchmark resulted in root mean square error (RMSE) of 2.444. Another benchmark was the organizers’ EC-RISM method achieving RMSE = 1.107. Based on these results, machine learning approaches tend to dominate methods based on quantum chemistry. In the previous SAMPL6  $pK_a$  competition it was a hybrid method (QM with COSMO-RS approach to solvation followed by a fit to experimental data, ID = “xvxd”) that achieved the best RMSE = 0.68.<sup>3,4</sup> The next two best methods were empirical. One of them, however, easily beat the “xvxd” metric after retraining with more data.<sup>5</sup>

Prior to discussing ranked results of all the participants, we must explain “first” and “best” – the two methods of matching predicted and observed  $pK_a$ . Even though there was only one

measured  $pK_a$  per compound, some compounds were *not* monoprotic.

Therefore, methods with automatic detection of ionizable sites predicted multiple  $pK_a$  for some compounds (see Table 2). For example, our S +  $pK_a$  method<sup>5-7</sup> predicted multiple  $pK_a$  in the 2–12 range for seven compounds, albeit marginally. Fig. 1 shows one such example; others can be found in the ESI.† The natural question is which of the predicted  $pK_a$  (*i.e.*, which macroscopic deprotonation transition) should be matched against the one observed in the organizer’s experiment? We have addressed this issue in our reference work:<sup>12</sup> The only fair and objective method of matching a sequence of multiple predicted *vs.* a sequence of multiple observed values per compound is to form a pairing with minimal sum of absolute deviations while preserving the same order of both sequences. The latter is dictated by a simple physics of ionization: the order of  $pK_a$  is descending as a function of the number of bound protons. After all, the more protons a compound has it is energetically more expensive to add another one. Such a method was employed by the organizers of SAMPL6 competition<sup>3</sup> and it corresponds to the “best” matching in

**Table 1** Results of the EuroSAMPL competition ranked by “first” RMSE. RMSE = root mean square error, MAE = mean absolute error. “First” and “best” refer to different methods of  $pK_a$  matching explained in text. Lowest errors are distinguished in bold font. The last two rows show reference result that did not participate in euroSAMPL

Method <sup>1</sup>	ID	RMSE “first”	MAE “first”	RMSE “best”	MAE “best”
Simulations plus, S + $pK_a$ <sup>5-7</sup>	0x4cb7101f	<b>0.529</b>	<b>0.379</b>	0.529	<b>0.379</b>
ORCA/DFT/DRACO/MM/ML	0x4a6c0760	0.806	0.632	0.806	0.632
RF/CDK/Jazzy	0xc7960c21	1.207	0.812	1.207	0.812
BIOVIA, COSMO-RS	0x4b7b06e5	1.392	0.705	0.734	0.519
QupKake <sup>8</sup>	0x216604d8	1.672	0.779	<b>0.513</b>	0.408
Gaussian/DFT/SMD <sup>9</sup>	0x421c06f1	1.726	1.410	1.726	1.410
ORCA/DFT/SMD	0x4cb00786	2.123	1.757	2.123	1.757
Gaussian/DFT/IEF-PCM	0x3f2606c6	2.569	2.009	2.569	2.009
Gaussian/uESE <sup>10</sup>	0x541007e2	5.280	3.375	3.422	2.231
reference_EC-RISM <sup>11</sup>		1.107	0.935	1.107	0.935
All $pK_a = 7$	0xb8320bc2	2.444	2.142	2.444	2.142

Simulations Plus, Research Triangle Park, Inc., P.O. Box 12317, NC 27709, USA.

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† Electronic supplementary information (ESI) available: S +  $pK_a$  predictions and microstates for all 35 compounds in PDF format. See DOI: <https://doi.org/10.1039/d5cp00165j>

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**Table 2**  $S + pK_a^{5-7}$  predictions on the 35 euroSAMPL compounds. The non-default “aliphatic –OH” and “aliphatic amides” options were turned on. Predicted  $pK_a$  outside the 2–12 range were ignored. We have corrected the dominant tautomer of compound euroSAMPL-14 and subtracted bromide anion from euroSAMPL-07. “Matched  $pK_a$ ” indicates  $S + pK_a$  predicted  $pK_a$  presumed to be measured in euroSAMPL experiment range of 2–12. “Other  $pK_a$ ” indicates  $S + pK_a$  predicted  $pK_a$  in the 2–12 range assumed *not* to be measured. Bold font indicates “complex” compounds; see text for definition

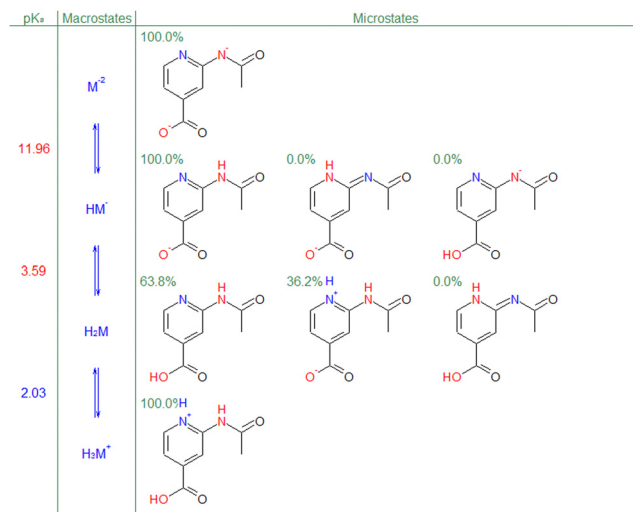
SMILES	Name	Matched $pK_a$	Other $pK_a$
<chem>o1cccc1-c1n(nc(c1)C(O)=O)C</chem>	euroSAMPL-01	3.5	
<chem>O=C1N(C=C(C=C1)c1cccc1)CC(O)=O</chem>	euroSAMPL-02	3.49	
<chem>O(C)c1ccc(cc1)-c1ncccc1</chem>	euroSAMPL-03	5.13	
<chem>n1cnc(N)cc1C(C)(C)C</chem>	euroSAMPL-04	6.54	
<chem>O=C(N)c1ccc(cc1)CN</chem>	euroSAMPL-05	8.97	
<chem>O=C1N(c2c(C1)cc(cc2)C(O)=O)C</chem>	euroSAMPL-06	3.91	
<chem>s1cc(nc1N)-c1ccc(cc1)C</chem>	euroSAMPL-07	4.68	
<chem>Oc1ccc(cc1)C(=O)NCC1c1cccc1</chem>	euroSAMPL-08	8.91	11.65
<chem>OC(=O)c1ccc(N2CCN(CC2)C(=O)C(C)(C)C)cc1</chem>	euroSAMPL-09	4.41	2.62
<chem>Clc1cc(C(O)=O)c(OC)cc1N</chem>	euroSAMPL-10	4.84	
<b><chem>OC(=O)c1nc2ncccc2cc1</chem></b>	<b>euroSAMPL-11</b>	<b>3.45</b>	
<b><chem>OC(=O)c1ccc(ncc1)NC(=O)C</chem></b>	<b>euroSAMPL-12</b>	<b>3.59</b>	<b>11.96, 2.03</b>
<chem>o1cnnnc1-c1ccc(O)cc1</chem>	euroSAMPL-13	8.25	
<chem>O=C1C(Br)=C(N=CN1)C</chem>	euroSAMPL-14	7.96	
<chem>s1c2c(ccc2)c(O)c1C(=O)C</chem>	euroSAMPL-15	6.2	
<chem>Oc1ccc(NC(=O)CC(C)C)ccc1</chem>	euroSAMPL-16	9.4	
<chem>OC(=O)c1ccc(-n2ccc2)ccc1</chem>	euroSAMPL-17	3.83	
<chem>s1c2N=CNC(=O)e2cc1CC</chem>	euroSAMPL-18	9.46	
<chem>OCc1n2c(nc1C)C=CC=C2</chem>	euroSAMPL-19	7.57	
<chem>O=C1N(c2c(cc(cc2)C(O)=O)C1(C)C)C</chem>	euroSAMPL-20	4.1	
<b><chem>OC(=O)C=1n2nc(cc2N=C(C=1)C1CC1)C(C)(C)C</chem></b>	<b>euroSAMPL-21</b>	<b>3.02</b>	
<chem>Oc1cccc1C(=O)N(C)C</chem>	euroSAMPL-22	9.37	
<b><chem>OC(=O)c1cnc(nc1C)CC</chem></b>	<b>euroSAMPL-23</b>	<b>3.49</b>	
<chem>O1CCN(CC1)c1cc(nc2c1cccc2)C</chem>	euroSAMPL-24	9.31	
<chem>O=C(N)CCn1c2cc(C)cc2nc1C</chem>	euroSAMPL-25	4.9	11.85
<chem>Fc1cc2c(N=CNC2=O)cc1</chem>	euroSAMPL-26	9.51	
<chem>O=C(N)c1ccc(cc1)-c1ncccc1</chem>	euroSAMPL-27	3.91	11.88
<chem>n1ccn(C)c1-c1cccnc1</chem>	euroSAMPL-28	6.1	2.16
<chem>OC(=O)c1nnn(c1C1CC1)-c1ccc(cc1)C</chem>	euroSAMPL-29	3.63	
<chem>Oc1cc2c(cc1C(=O)NCCO)cccc2</chem>	euroSAMPL-30	9.06	11.68
<chem>O1CC(=O)N(c2c1cccc2)CC(O)=O</chem>	euroSAMPL-31	3.35	
<chem>o1nc(cc1-c1cccc1)C(=O)N1CCN(CC1)C</chem>	euroSAMPL-32	7.27	
<chem>s1cccc1-c1n2CCCc2nc1</chem>	euroSAMPL-33	6.39	
<chem>S(Cc1ccc(cc1)C(O)=O)C</chem>	euroSAMPL-34	4.02	
<chem>n1cc(ccc1N)-c1cccc1</chem>	euroSAMPL-35	6.31	

euroSAMPL. The “first” matching, although one may argue that best predictive algorithms should handle that part as well, was in our opinion subjective since each participant had to blindly

guess, relying solely on their chemical intuition, which of the predicted  $pK_a$  were measured in euroSAMPL experiments. Due to our vast experience in ionization chemistry, we have guessed each pairing correctly, but three participants were not so lucky. This is why their “first” and “best” results differ. In particular, developers of the empirical QupKake model<sup>8</sup> mismatched just two of their guessed predictions resulting in high “first” RMSE while their “best” RMSE was much lower.

Our  $S + pK_a$  method stands out as the best one in all “first” (MAE) categories outpacing the nearest participant by 0.3 log units. In the “best” category  $S + pK_a$ 's RMSE is higher than QupKake's RMSEs by 0.016 log units while its mean absolute error (MAE) is lower by 0.029 log units – this could be considered a tie. The observed *vs.* predicted plot for  $S + pK_a$  is shown in Fig. 2 generated by the euroSAMPL organizers.

Full details of the  $S + pK_a$  method have been described elsewhere,<sup>5,6</sup> but let us provide a short summary below. It belongs to a category of empirical, thermodynamics-informed machine learning methods. Its strength is in the exact, microscopic description of protic ionization. In fact,  $S + pK_a$  predict all  $N \times 2^{N-1}$  microconstants for a compound with  $N$  protonation sites, up to  $N = 20$ . The microconstants are calculated for each of the  $2^N$  microstates. For the calculation of each



**Fig. 1** Multiple predicted  $pK_a$  for compound euroSAMPL-12 with full resolution of ionization microstates ( $S + pK_a$  method). The “0.0%” labels mean “<0.1%”.



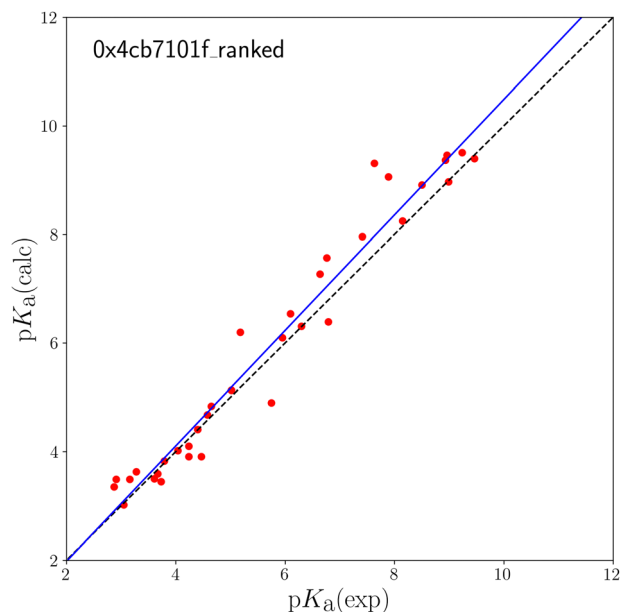


Fig. 2 Observed vs. predicted plot for the  $S + pK_a$  predictions. Dashed line = identity line, solid line = trendline.

microconstant an artificial neural network ensemble (ANNE) is employed. Each of the component neural networks (ANN) is of the Multilayer Perceptron type with a single sigmoid hidden layer. Individual ANNs were obtained by training against different random splits of modelling data into actual training and verification subsets. An external test set was not used in this process.<sup>13</sup> The ANNE uses atomic descriptors calculated for each ionization site and for each protonation state of other sites. Microequilibria theory<sup>12</sup> is then used to calculate ionization macroconstants (a.k.a. apparent  $pK_a$ ) that can be matched against  $pK_a$  obtained with standard experimental methods.  $S + pK_a$  uses 10 ANNE trained for 10 types of ionizable groups (hydroxyacids, acidic amides, aromatic NH acids, thioacids, carboxylic acids, amines, aromatic N bases, N-oxides, thiones, carbobases). In its latest incarnation  $S + pK_a$  was trained against 70 810 measured apparent  $pK_a$  obtained from public sources and industrial partnerships.<sup>5</sup>

It is interesting to ask to what degree the 35 challenge compounds represent the modern pharmaceutical chemistry space. In collaboration with our industrial partners, we have had a privilege to examine large sets of proprietary pharmaceutical and

Table 3 A breakdown of whether participating methods considered multiple ionization microstates or not. Data for the last column was pulled out of the “metadata” reports<sup>1</sup>

Method <sup>1</sup>	ID	Microstates?
Simulations plus, $S + pK_a^{5-7}$	0x4cb7101f	Yes
ORCA/DFT/DRACO/MM/ML	0x4a6c0760	Probably
RF/CDK/Jazzy	0xc7960c21	Unknown
BIOVIA, COSMO-RS	0x4b7b06e5	Yes
QupK <sub>a</sub> ke <sup>8</sup>	0x216604d8	Yes
Gaussian/DFT/SMD <sup>9</sup>	0x421c06f1	Unknown
ORCA/DFT/SMD	0x4cb00786	Unknown
Gaussian/DFT/IEF-PCM	0x3f2606c6	Yes
Gaussian/uESE <sup>10</sup>	0x541007e2	Unknown

agrochemical compounds and their ionization patterns.<sup>5,6</sup> Unequivocally, most of these compounds contains multiple ionizable groups. Moreover, a large portion of these multiprotic compounds exhibit complex ionization patterns in the following sense: Please refer to figures in the ESI† to convince yourself that microscopic deprotonation transitions of 31 challenge compounds are “simple”, *i.e.*, dominated by a single microstate, taking 90% contribution as a cutoff value. In the same sense, deprotonations of the remaining 4 compounds (euroSAMPL-11, euroSAMPL-12, euroSAMPL-21, and euroSAMPL-23, indicated by a bold font in Table 2) have non-negligible contributions from other microstates, *i.e.*, are “complex”. Fig. 1 illustrates that for euroSAMPL-12 the macroscopic  $pK_a = 3.59$  transition is dominated by two microstates contributing 63.8% and 38.2%, respectively. Our point is that predictive methods that do not take the microscopic thermodynamics of ionization into account and rely on single microstates will likely do better on “simple” cases. Fig. 3 illustrates this point: Neglect of the second dominant microstate in euroSAMPL-12 would present the 3.40 microconstant in lieu of the apparent  $pK_a$  of 3.59.

In turn, methods with exact treatment of the ionization thermodynamics should perform well on both the “simple” and “complex” cases. Blind predictions on large sets of proprietary pharmaceutical and agrochemical compounds, mentioned above and conducted by our industrial partners prove this point for our  $S + pK_a$  model.<sup>5,6</sup> Unfortunately, euroSAMPL organizers have not revealed experimental  $pK_a$  values for the 35 challenge compounds<sup>1</sup> and we cannot present quantitative assessment of “complex” compound predictions across all participating methods. I hope the organizers will address this issue in their upcoming report. The good news is that five methods either included or probably included microstate analysis in their calculations (Table 3).

## Conclusions

Empirical and hybrid (quantum chemical + empirical post training) methods continue leading the pack of predictive accuracy of ionization constants. The pure *ab initio* approaches require massive computational resources seem to be less accurate, mainly due to significant difficulties in estimating solvation energies and entropies. On the other hand, the

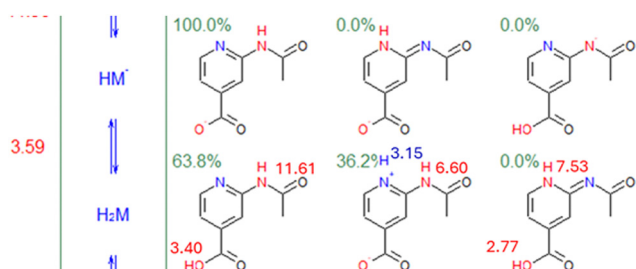


Fig. 3 Predicted microconstants for the 3.59 transition in euroSAMPL-12 positioned next to the respective ionizable groups, acidic in red, basic in blue.



drawback of empirical methods is their reliance on massive amounts of experimental data.

Future  $pK_a$  competitions should use optimal matching algorithms instead of subjective guessing since it is only the former that evaluates the method in question, while the latter evaluates both the method and its developer or user. To make the competitions more meaningful at representing pharmaceutical chemistry their organizers should choose a sizable percentage of “complex” compounds.

## Data availability

The  $S + pK_a$  results are available in the accompanying ESI.† ADMET Predictor® is available at no charge to academic users.<sup>7</sup> To reproduce results quoted in this communication turn on the detection of aliphatic –OH and aliphatic amides – these options are turned off by default to save processing time.

## Conflicts of interest

There are no conflicts to declare.

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