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# Improved thermoelectric efficiency of $\text{Sb}_2\text{Si}_2\text{Te}_6$ through yttrium-induced nanocompositing†

Kivanc Saglik,<sup>†</sup> Xian Yi Tan,<sup>‡</sup> Jinfeng Dong,<sup>‡</sup> Ady Suwardi,<sup>c</sup> Xizu Wang,<sup>b</sup> Jianwei Xu,<sup>d</sup> Qiang Zhu,<sup>d</sup> Hongfei Liu,<sup>b</sup> Jing Cao<sup>\*,b</sup> and Qingyu Yan<sup>\*,a</sup>

$\text{Sb}_2\text{Si}_2\text{Te}_6$  is a promising 2D material for medium-temperature thermoelectric applications, with the thermoelectric figure of merit  $zT$  approaching 1 at 823 K. However, its widespread use has been limited by relatively low power factor values. In this study, we successfully enhanced the performance of  $\text{Sb}_2\text{Si}_2\text{Te}_6$  by introducing Yttrium nanocomposites. This modification fine-tuned the carrier concentration and electrical conductivity, and increased the power factor up to  $946 \mu\text{W K}^{-1}$  at 570 K. Jonker plot analysis revealed that increased carrier concentration did not affect the intrinsic electronic properties. SEM and TEM analyses revealed that Y nano-compositing introduced secondary phases, reducing the lattice thermal conductivity to values close to simulated ones using the Debye–Callaway model.  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  exhibited the highest  $zT$  of 1.49 at 773 K due to the ultralow lattice thermal conductivity of  $0.29 \text{ W m}^{-1} \text{ K}^{-1}$  and a moderate power factor of  $858 \mu\text{W K}^{-1}$  at the same temperature. The single parabolic band (SPB) model suggests that with further optimization of the Fermi level and additional reduction in lattice thermal conductivity, the  $zT$  value could potentially increase to 1.55. These results demonstrate the potential of Y nanocompositing for enhancing  $\text{Sb}_2\text{Si}_2\text{Te}_6$  as an efficient medium-temperature thermoelectric material.

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## Introduction

With the rising energy demand, transitioning to renewable energy sources has become essential. However, approximately 60% of the energy is lost as waste heat to the surroundings. Thermoelectric materials offer a promising solution by directly converting this waste heat into useful electricity.<sup>1</sup> The performance of thermoelectric materials is quantified by a dimensionless figure of merit,  $zT$ , which is expressed as  $zT = (S^2\sigma/\kappa)T$ , where  $S$ ,  $\sigma$ ,  $\kappa$ , and  $T$  represent the Seebeck coefficient, electrical conductivity, thermal conductivity, and temperature, respectively.<sup>2</sup> Ideally, thermoelectric materials should possess a high Seebeck coefficient and electrical conductivity alongside exceptionally low thermal conductivity, as evident from the  $zT$

equation.<sup>3</sup> However, achieving these seemingly conflicting properties simultaneously presents a significant challenge due to the inherent interdependence of these thermoelectric transport properties.

Consequently, strategies to optimize the thermoelectric figure of merit focus on two primary approaches: enhancing the power factor ( $S^2\sigma$ ) or minimizing the lattice thermal conductivity, the only term in the  $zT$  equation independent of carrier concentration.<sup>1</sup> The power factor can be improved through various techniques, including increasing band degeneracy,<sup>4–6</sup> optimizing carrier concentration,<sup>7</sup> and implementing band engineering strategies.<sup>8,9</sup> Conversely, strategies to reduce the lattice thermal conductivity involve the introduction of nanoprecipitates,<sup>10–12</sup> defect engineering,<sup>13–15</sup> high entropy engineering,<sup>16</sup> the creation of all-scale hierarchical microstructures,<sup>17–19</sup> or the utilization of materials with complex crystal structures.<sup>20–23</sup> State-of-the-art thermoelectric materials generally contain tellurium due to their heavy nature yielding low thermal conductivity. Governed by their bandgap, thermoelectric materials can be utilized in different temperature applications, such as in low, medium, and high temperatures. Some superior thermoelectric materials containing Te could be classified as  $\text{GeTe}$ <sup>24,25</sup> and  $\text{PbTe}$ <sup>17,26</sup> for high temperature,  $\text{MnTe}$ <sup>2</sup> and  $\text{AgSbTe}_2$ <sup>27,28</sup> for medium temperature, and  $\text{Bi}_2\text{Te}_3$ <sup>29,30</sup> or  $\text{Sb}_2\text{Te}_3$ <sup>23</sup> based materials for room temperature applications. This enables thermoelectric materials to be

<sup>a</sup> School of Materials Science and Engineering, Nanyang Technological University, 50 Nanyang Ave, Block N4.1, 639798, Singapore. E-mail: alexyan@ntu.edu.sg

<sup>b</sup> Institute of Materials Research and Engineering (IMRE), Agency for Science, Technology, and Research (A\*STAR), 2 Fusionopolis Way, 138634, Singapore. E-mail: cao\_jing@imre.a-star.edu.sg

<sup>c</sup> Department of Electrical Engineering, The Chinese University of Hong Kong (CUHK), Central Ave, Hong Kong 999077

<sup>d</sup> Institute of Sustainability for Chemicals, Energy, and Environment (ISCE<sup>2</sup>), Agency for Science, Technology, and Research (A\*STAR), 1 Pesek Road, Jurong Island, 627833, Singapore

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‡ Contributed equally.

utilized in a wide range of fields, such as space exploration,<sup>1</sup> vehicles,<sup>13</sup> wearables,<sup>31,32</sup> and more.

Recently, the  $A_2B_2Q_6$  family (A: Sb, Bi, Sc, Cr; B: Si, Ge; Q: Te, Se) has emerged as a promising candidate for medium-temperature applications due to their layered structure.<sup>33,34</sup> These materials exhibit the potential to decouple the inherently interdependent thermoelectric transport properties due to their anisotropic electronic and phonon transport characteristics.<sup>34,35</sup>

Within  $A_2B_2Q_6$  family,  $Sb_2Si_2Te_6$  (SST) outperforms  $Bi_2Si_2Te_6$ ,<sup>36</sup>  $Sc_2Si_2Te_6$ ,<sup>34</sup> and  $Cr_2Ge_2Te_6$ <sup>33</sup> due to its lower thermal conductivity and moderate Seebeck coefficient. The low thermal conductivity is attributed to the low sound speed, large Grüneisen parameters, and point defect scattering caused by nanoprecipitates.<sup>8,30,35</sup> These nano-precipitates reduce the lattice thermal conductivity and facilitate hole transport.<sup>36</sup> Additionally, van der Waals forces between SST layers contribute to its low thermal conductivity. Computational studies support these findings by revealing short phonon lifetimes in SST monolayers.<sup>37</sup>

The moderate Seebeck coefficient  $120 \mu V K^{-1}$  in p-type SST stems from its doubly degenerate valence band maximum (VBM)<sup>38</sup> and strong band dispersion,<sup>34,35</sup> resulting in higher carrier mobility. Despite its promising properties, the peak  $zT$  of p-type pristine SST remains at 1.08, prompting researchers to explore doping strategies with elements like Ca, Mg, into Sb, and Ge into Si sites.<sup>7,39,40</sup>  $Bi_2Si_2Te_6$ , a structural analogue of SST, exhibits inferior performance. However, the alloy containing  $BiSbSi_2Te_6$  achieves a peak  $zT$  of 1.15 at 773 K.<sup>36,41</sup> Interestingly, doping  $Bi_{0.4}Sb_{1.6}Te_3$  with SST nanoparticles elevates its  $zT$  to 1.35 by prompting phonon scattering and increasing carrier concentration.<sup>30</sup> However, a significant challenge associated with SST is its instability at elevated temperatures, leading to irreversible decomposition. Doping studies inadvertently accelerate this process,<sup>7,39</sup> but incorporating  $Si_2Te_3$  nanostructures within the SST matrix offers a groundbreaking solution. This disrupts electron transport while preserving hole transport and enhances phonon scattering, yielding a peak  $zT$  of 1.6.<sup>8</sup> Additionally, yttrium serves as an effective dopant to tune the carrier concentration and optimize thermoelectric performance of many thermoelectric materials, such as  $Mg_3Sb_2$ ,<sup>42-44</sup>  $GeTe$ ,<sup>45</sup> and  $Hf_{0.6}Zr_{0.4}NiSn_{0.98}Sb_{0.02}$ .<sup>46</sup>

In this work, instead of increasing carrier concentration *via* doping with 2+ elements, we introduced isovalent Y-nanocomposites (3+) to strategically reduce the carrier concentration of  $Sb_{2-x}Y_xSi_2Te_6$ , ( $0 \leq x \leq 0.03$ ). Hall effect measurements revealed a reduction in both the carrier concentration and Hall mobility of samples. Furthermore, the effective mass decreased due to increased scattering. An optimum value of Seebeck coefficient<sup>47</sup> has been achieved, thereby the power factor of the best-performing sample ( $Sb_{1.98}Y_{0.02}Si_2Te_6$ ) has increased to  $946 \mu W K^{-1}$ . However, weighted mobilities remain unchanged, suggesting that the carrier scattering mechanism was unaffected. Jonker plot analysis confirmed that the samples' intrinsic electronic transport quality  $\sigma_{E0}$  was also preserved. Y-nanocompositing also led to a notable reduction in the lattice thermal conductivity to  $0.29 W m^{-1} K^{-1}$  due to additional defects.

Using the simplified Debye–Callaway model, we deduced that increased point defect scattering effectively reduced the lattice thermal conductivity, approaching the amorphous limit of  $0.27 W m^{-1} K^{-1}$ . The possible defects are determined to be  $Y_xO_y$ , Y,  $Si_2Te_3$  and  $Sb_2Te_3$  as revealed by SEM and TEM studies. It is widely recognized that when lone pairs from positively charged species like  $Sb^{3+}$  are present, thermal conductivity decreases significantly.<sup>20,48</sup> In our study, we managed to achieve an even lower thermal conductivity by reducing the number of lone pairs. Due to the simultaneous reduction in electrical conductivity and thermal conductivity, the weighted mobilities of all samples decreased. However, the lattice thermal conductivities experienced a sharp decrease and, the quality factor was significantly enhanced with a corresponding decrease in the Fermi level. Single parabolic band (SPB) model analysis reveals that this synergistic interaction between reduced thermal conductivity and optimized carrier concentration leads to a peak  $zT$  value of 1.49 for  $Sb_{1.98}Y_{0.02}Si_2Te_6$ . Moreover, the Y-nanocompositing strategy introduced in this work opens a pathway for further  $zT$  improvement by further alloying with Y.

## Experimental

Nominal compositions of  $Sb_{2-x}Y_xSi_2Te_6$  ( $x = 0.0, 0.01, 0.02, \text{ and } 0.03$ ) were prepared by precisely weighing stoichiometric amounts of pure Sb, Si, and Te granules alongside Y powder. The elemental constituents were loaded into high-energy ball milling jars within an  $N_2$ -filled glovebox, and subsequently subjected to ball milling (SPEX 8000D) for 1.5 hours. Following milling, the resultant powders were transferred into quartz ampoules and hermetically sealed under vacuum. The quartz ampoules containing the powders were then gradually heated to 823 K over 5 hours, maintained at this temperature for 48 hours, and naturally cooled under ambient conditions. The resulting ingots were densified *via* Spark Plasma Sintering (SPS, Ed-PassIVJ, 6T-3P-30, Japan) at 773 K, applying a uniaxial pressure of 60 MPa for 5 minutes. Recognizing the anisotropic nature of SST, samples were cut into in-plane and cross-plane orientations.

The thermal and electrical transport properties were evaluated along the direction parallel to the pressing direction, which is cross-plane. Electrical conductivity ( $\sigma$ ) and Seebeck coefficient ( $S$ ) were measured for bar-shaped samples ( $11 \times 2 \times 2 \text{ mm}^3$ ) using a ZEM-3 system (ULVAC-RIKO, Japan). Thermal diffusivity ( $D$ ) was determined using the Laser Flash Analysis technique with a NETZSCH instrument. Thermal conductivity was calculated using the following equation:

$$\kappa = D \times C_p \times \rho$$

where  $D$ ,  $C_p$ , and  $\rho$  represent diffusivity, specific heat capacity, and Archimedes density, respectively.

Due to the potential decomposition of SST into  $Sb_2Te_3$  and  $Si_2Te_3$  at high temperatures, and the known phase transition in  $Si_2Te_3$ , temperature-dependent specific heat capacity ( $C_p$ ) values were obtained from the literature,<sup>8</sup> instead of using the

Dulong–Petit approximation. The electronic contribution to thermal conductivity ( $\kappa_e$ ) was calculated using the Wiedemann–Franz relation:

$$\kappa_e = L \cdot \sigma \cdot T,$$

where  $L$  refers to the Lorenz number calculated using the single parabolic band (SPB) model. The details of the SPB model are provided in the ESI.† The lattice thermal conductivities were calculated by using the relationship:  $\kappa = \kappa_e + \kappa_L$ .

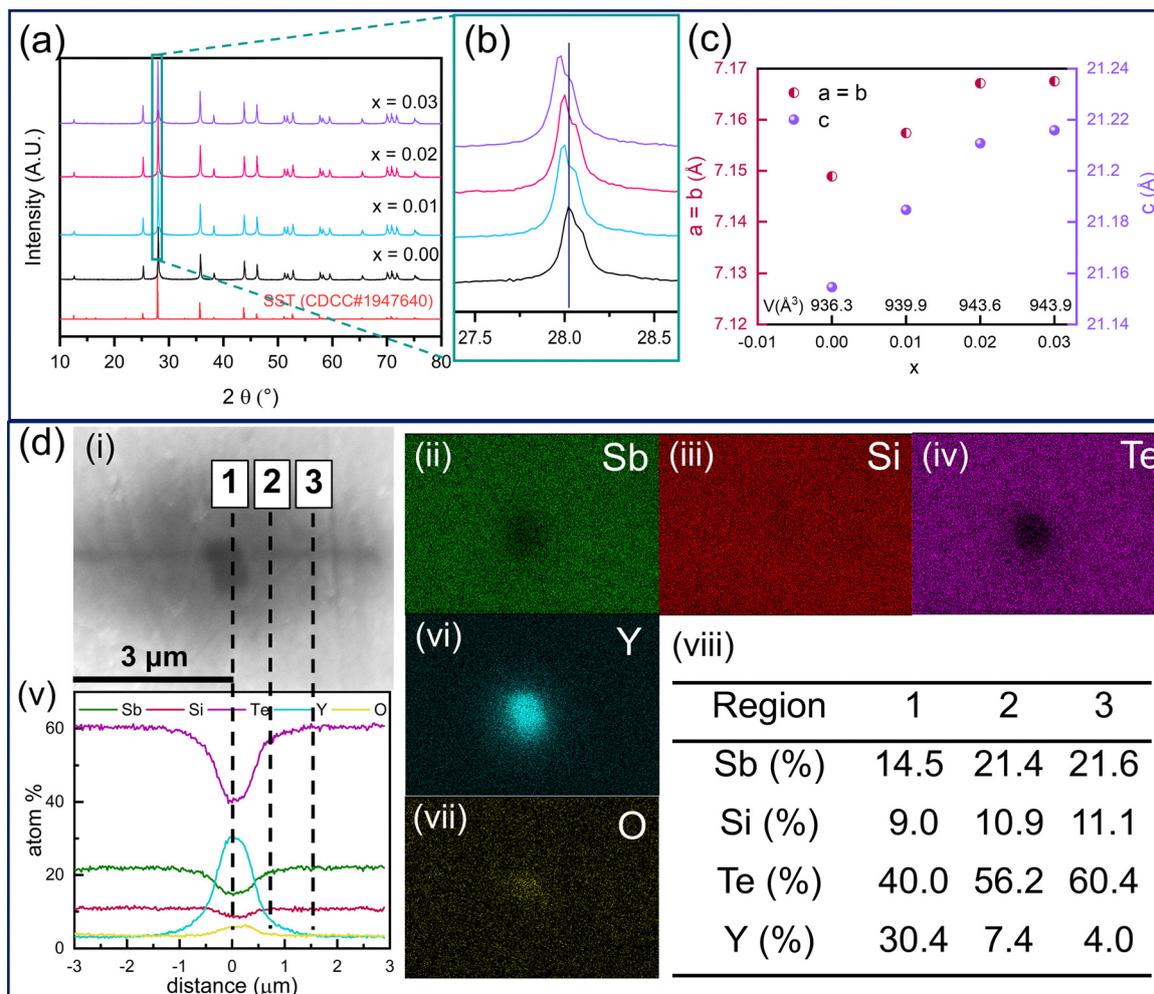
To identify the crystalline phases present in the sample, X-ray diffraction (XRD) analysis was performed using a Bruker D8 Advance diffractometer with  $\text{CuK}\alpha$  radiation. The scan parameters included a step size of  $0.02^\circ$  and a  $2\theta$  range of  $10^\circ$  to  $80^\circ$ . Rietveld refinement of the  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  samples was performed using the Fullprof software. The nominal compositions were analyzed using X-ray fluorescence (XRF) with a Bruker M4-Tornado, equipped with a Rh X-ray tube operating at 50 kV and 200  $\mu\text{A}$ . Microstructural and elemental characterization was performed using a field-emission scanning electron

microscope (FESEM, JEOL JSM 7600F) containing an energy-dispersive X-ray spectrometer (EDS) detector.  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  was analyzed by XPS using a Kratos AXIS Supra+ instrument with an Al- $\text{K}\alpha$  X-ray source. The NIST database was utilized for matching XPS peaks with the literature.

## Results and discussion

### Structural characterization

The powder X-ray diffractograms depicted in Fig. 1a present the structural characterization of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  ( $x = 0.00, 0.01, 0.02, \text{ and } 0.03$ ). The cif. file and intensity vs.  $2\theta$  values for the  $\text{Sb}_2\text{Si}_2\text{Te}_6$  (CDCC #1947640) were obtained from the literature.<sup>8</sup>  $\text{Sb}_2\text{Si}_2\text{Te}_6$  crystallizes in a trigonal symmetry with a space group of  $R\bar{3}$ . All samples were successfully indexed to pure  $\text{Sb}_2\text{Si}_2\text{Te}_6$ , indicating the absence of decomposition products such as  $\text{Sb}_2\text{Te}_3$  and  $\text{Si}_2\text{Te}_3$ . Notably, XRD analysis did not detect any peaks associated with Yttrium, implying either its dissolution within the SST matrix or its concentration being below the



**Fig. 1** Powder X-ray diffractograms of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$ ; (a) spanning from  $2\theta = 10\text{--}80^\circ$ , (b) zoomed-in diffractogram spanning from  $2\theta = 27.5\text{--}29.5^\circ$ , (c) lattice parameters of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$ , (d) (i) FESEM image of  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  and its elemental mapping images showing the distribution of (ii) Sb, (iii) Si, (iv) Te, (vi) Y and (vii) O. (v) Line scan of  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  showing the compositional change throughout the sample. (viii) Detailed quantification results revealing the atomic percent of each element.

detection limit of XRD. Fig. 1b clearly depicts a significant shift in the  $2\theta$  positions of the maximum intensity peak of SST following Y-nanocompositing. This shift is attributed to the larger ionic radius of  $Y^{3+}$ , which likely induces structural expansion upon Sb substitution. Further substantiating this observation are the lattice parameters calculated through Rietveld Refinement, as shown in Fig. 1c. Notably, all lattice parameters, and consequently the cell volume, exhibit an increase with Y amount. The lattice parameters and the cell volume start to saturate for  $Sb_{1.98}Y_{0.02}Si_2Te_6$ , indicating that the solubility limit of Y in  $Sb_2Si_2Te_6$  is about to be reached. In order to further elaborate on the solubility limit, Rietveld refinement was performed on an additional composition,  $Sb_{1.96}Y_{0.04}Si_2Te_6$ , as shown in Fig. S5 (ESI<sup>†</sup>). It has been revealed that the lattice parameters of this composition are lower than the compositions with lower Y content. This means that the lattice parameters of  $Sb_{2-x}Y_xSi_2Te_6$  first increase with Y doping until it reaches a saturation point. After the saturation point, the excessive Y could lead to secondary phases or strain in the sample which reduces the lattice parameters. As a result, it has been revealed that the solubility limit has been achieved in  $Sb_{1.97}Y_{0.03}Si_2Te_6$ . Graphical representations of Rietveld refinement and analysis parameters are reported in Fig. S4 and Table S3 (ESI<sup>†</sup>), respectively.

Microstructural characterization of  $Sb_{2-x}Y_xSi_2Te_6$  samples was conducted using scanning electron microscopy (SEM) (Fig. 1d(i), Fig. S1 and S2, ESI<sup>†</sup>). Elemental mapping images in Fig. 1d(ii)–(iv), (vi) and (vii) reveal the presence of  $Y_xO_y$  precipitates in  $Sb_{1.98}Y_{0.02}Si_2Te_6$ . These findings are further proven by the line scan analysis reported in Fig. 1d(v). The black precipitate shown in Fig. 1 has a Y concentration of 30.4%, which decreases to 4.0% with increasing distance from the center. Additionally, this finding reveals the diffusion of Y into the matrix. The XRD analysis of the same surface reveals the  $Y_2O_3$  phase, as reported in Fig. S3 (ESI<sup>†</sup>). On the other hand, the SEM images in Fig. S2 (ESI<sup>†</sup>) reveal a distinct contrast between regions (1) and (2). Energy dispersive X-ray spectroscopy (EDS) analyses confirmed region (2) as comprising  $Sb_2Te_3$  precipitates, while regions (1), (4) and (6) represent  $Sb_2Si_2Te_6$ . These observations are corroborated by elemental maps, which show Sb agglomerates in the regions (2) and (6) and a lack of Si. Conversely, Te distribution was observed to be homogenous throughout the sample, consistent with both the SST matrix and  $Sb_2Te_3$  containing Te. Notably, Te precipitates are observed in the region (3), in addition to  $Sb_2Te_3$  precipitates revealed in the region (5). Significantly, Y precipitates are also observed in  $Sb_{1.98}Y_{0.02}Si_2Te_6$  as shown in the region (7) in Fig. 1d(vi). The detailed quantification of each region is reported in Fig. 1d(viii). On the other hand, the undoped  $Sb_2Si_2Te_6$  contains only Sb and Si precipitates, as revealed by the elemental maps in Fig. S1 (ESI<sup>†</sup>). Localized precipitates of Y and  $Y_xO_y$ , rather than a homogenous distribution of Y over the matrix reveal the Y nanocompositing through  $Sb_2Si_2Te_6$ . As a result, Y-nanocompositing results in an increased density of micro-precipitates, which explains the drastic drop in lattice thermal conductivity.

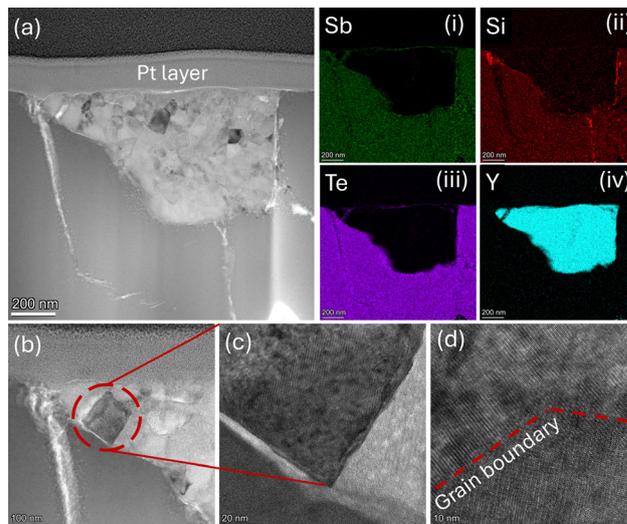
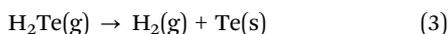
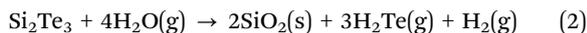
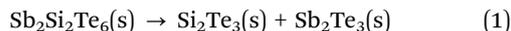


Fig. 2 (a) HRTEM image of  $Sb_{1.98}Y_{0.02}Si_2Te_6$ , and elemental mapping images showing the distribution of (i) Sb, (ii) Si, (iii) Te and (iv) Y. (b), (c) and (d) show the zoomed-in HRTEM images of the Y precipitate.

High-resolution transmission electron microscopy (HRTEM) analysis was performed on  $Sb_{1.98}Y_{0.02}Si_2Te_6$  (Fig. 2). Fig. 2a reveals bright precipitates identified as Y-based through elemental mapping (Fig. 2a(i)–(iv)). The surrounding darker matrix corresponds to the SST phase. Elemental mapping confirms the presence of Y in the bright regions, while Sb, Si and Te exhibit homogenous distribution within the darker regions. The HRTEM observation of Y precipitates in  $Sb_{1.98}Y_{0.02}Si_2Te_6$  further confirms that the solubility limit of Y in  $Sb_2Si_2Te_6$  has been reached,<sup>49–51</sup> consistent with the results from Rietveld refinement. The phonon scattering by the Y-precipitates likely reduces the lattice thermal conductivity. The zoomed-in images in Fig. 2b–d showcase the Y-based precipitates with clear grain boundaries (Fig. 2d). Grain boundaries are known to be preferential locations for dislocations. Consequently, the presence of grain boundaries within the Y-precipitates could further decrease the lattice thermal conductivity of  $Sb_{1.98}Y_{0.02}Si_2Te_6$ .

In addition, the nominal compositions of  $Sb_{2-x}Y_xSi_2Te_6$  were tested by XRF and EDS. As reported in Table S2 (ESI<sup>†</sup>), Yttrium concentration in the actual composition aligns with the nominal compositions.

X-ray photoelectron spectroscopy (XPS) was performed on  $Sb_{1.98}Y_{0.02}Si_2Te_6$  to gain deeper insights into the partial decomposition of  $Sb_2Si_2Te_6$  and the formation of Y-related crystalline phases. As reported in Fig. S6 (ESI<sup>†</sup>), Sb peaks match with those of  $Sb^{3+}$  and  $Sb_2Te_3$ .<sup>52</sup> Similarly, Te peaks reported in Fig. S6(d) (ESI<sup>†</sup>) match with those of  $TeO_2$ <sup>53</sup> and  $Sb_2Te_3$ .<sup>52</sup> As a result, these findings confirm the presence of  $Sb_2Te_3$  precipitates, as observed in the SEM images reported in Fig. S2 (ESI<sup>†</sup>). On the other hand,  $TeO_2$  and  $Sb_2Te_3$  are decomposition products of  $Sb_2Si_2Te_6$ , supporting that doping elements favor partial decomposition of  $Sb_2Si_2Te_6$ .<sup>39</sup> The decomposition reactions are outlined below:<sup>39,54</sup>



Another decomposition product,  $\text{SiO}_2$ , was observed in the XPS spectra of Si, reported in Fig. S6(b) (ESI<sup>†</sup>). However, the binding energy shifts indicate that  $\text{SiO}_2$  forms an interface with  $\text{Y}_2\text{O}_3$ , whose peaks are reported in Fig. S6(c) (ESI<sup>†</sup>). A high signal-to-noise ratio is observed in the XPS spectra of Y due to the low concentration of Y in the  $\text{Sb}_2\text{Si}_2\text{Te}_6$  matrix. The  $\text{SiO}_2/\text{Y}_2\text{O}_3$ <sup>55,56</sup> interface is further supported by the elemental mapping images shown in Fig. 1d(iii) and (vi). In these images, the Y-agglomerated region contains only Si and O, with no detectable Te or Sb.

### Thermoelectric transport properties

The electrical transport properties and  $zT$  values of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  samples in the direction parallel to the pressing direction (cross-plane) are reported in Fig. 3. Fig. 3a shows that the electrical conductivity of all samples decreases with increasing temperature, a characteristic behavior of degenerate semiconductors due to decreased carrier mobility at high temperatures. Interestingly, the electrical conductivity of  $\text{Sb}_{1.99}\text{Y}_{0.01}\text{Si}_2\text{Te}_6$  is nearly identical to that of the pristine SST. In contrast, the room temperature electrical conductivity increases for samples with higher Y content, converging towards similar values for all samples at the maximum measurement temperature (773 K). The negative slope of electrical conductivity with respect to the temperature indicates that the acoustic phonon scattering ( $\sigma \propto T^{-1.5}$ )<sup>57</sup> dominates throughout the measurement temperature. As a result,

Y-nano compositing does not alter the scattering mechanism of  $\text{Sb}_2\text{Si}_2\text{Te}_6$ .

The Seebeck coefficient of SST-Y nanocomposites in Fig. 3b exhibits a trend similar to the electrical conductivity, reflecting the decrease in carrier concentration. The room temperature Seebeck coefficient of  $\text{Sb}_{1.99}\text{Y}_{0.01}\text{Si}_2\text{Te}_6$  is comparable to that of  $\text{Sb}_2\text{Si}_2\text{Te}_6$ . However, the Seebeck coefficient of  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  and  $\text{Sb}_{1.97}\text{Y}_{0.03}\text{Si}_2\text{Te}_6$  decreases with increasing yttrium concentration, indicating a reduction in carrier concentration. In addition, it is well known that the Seebeck coefficient changes either with carrier concentration, or alterations in the scattering mechanism. The unchanged slope of electrical conductivity indicates that the scattering mechanism is the same, so the increase in the Seebeck coefficient originates from decreased carrier concentration. This observation is further confirmed by Hall Effect measurements in Table 1, which reveal a drastic decrease at higher Y concentrations. For p-type materials like SST, a decrease in carrier concentration is associated with an increase in the Fermi level. This trend is corroborated by the Single parabolic band (SPB) model results in Fig. 6b, which depict a lowering of the Fermi level with Y nanocompositing. The decrease in carrier concentration may result from the formation of  $\text{Y}_2\text{Te}_3$ , which is an n-type material.<sup>58</sup> However, the Y amount used in these samples is lower than the detection limits of SEM, limiting the observation of  $\text{Y}_2\text{Te}_3$  precipitates in the samples. The Seebeck coefficient reaches optimal values ( $210 \mu\text{V K}^{-1}$ ) at temperatures exceeding 550 K, considering the reported lattice thermal conductivity of SST samples ( $0.9 \text{ W m}^{-1} \text{ K}^{-1}$ ).<sup>59</sup> This finding suggests that the carrier concentration in SST is already optimized, and further improvement through carrier concentration optimization might be limited.

Capitalizing on the decent electrical conductivity and moderate Seebeck coefficient, the power factor (Fig. 3c) of  $\text{Sb}_{1.99}\text{Y}_{0.01}\text{Si}_2\text{Te}_6$

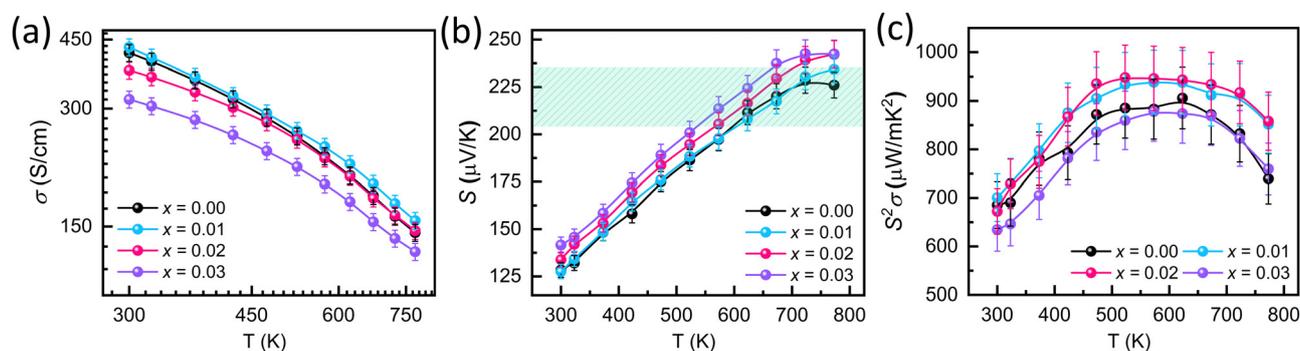


Fig. 3 Electronic transport properties of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  ( $x = 0.00–0.03$ ) with respect to temperature: (a) electrical conductivity, (b) Seebeck coefficient, and (c) power factor.

Table 1 Room temperature electrical properties of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  ( $x = 0.0, 0.01, 0.02, \text{ and } 0.03$ )

|            | $n_{\text{H}}$ ( $10^{19} \text{ cm}^{-3}$ ) | $S$ ( $\mu\text{V K}^{-1}$ ) | $m_{\text{DOS}}^*$ ( $m_e$ ) | $\sigma$ ( $\text{S cm}^{-1}$ ) | $\mu_{\text{W}}$ ( $\text{cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ) | $\mu_{\text{H}}$ ( $\text{cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ) |
|------------|--|------------------------------|------------------------------|---------------------------------|--|--|
| $x = 0.00$ | 8.12   | 128.3                        | 1.36                         | 415.9                           | 81.3   | 32.0   |
| $x = 0.01$ | 7.61   | 127.6                        | 1.29                         | 430.2                           | 83.6   | 35.3   |
| $x = 0.02$ | 7.09   | 133.8                        | 1.32                         | 375.4                           | 78.4   | 33.1   |
| $x = 0.03$ | 6.56   | 141.6                        | 1.36                         | 316.7                           | 72.4   | 30.2   |

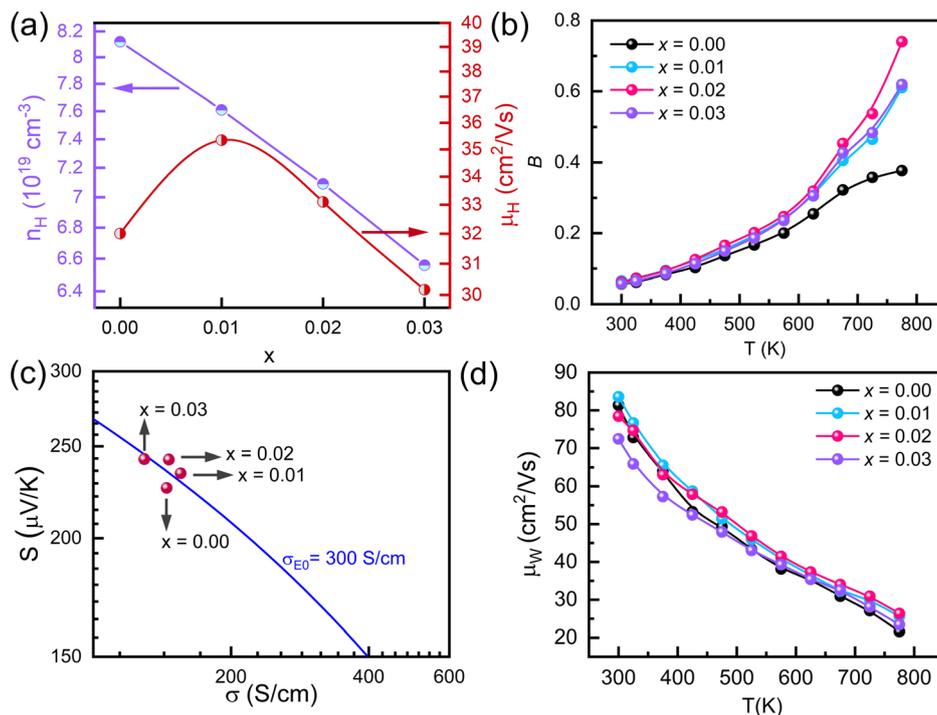


Fig. 4 (a) The carrier concentration and hall mobility with respect to carrier concentration, (b) quality factor values, (c) Jonker plot analysis, and (d) weighted mobility of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  ( $x = 0.00, 0.01, 0.02, \text{ and } 0.03$ ).

and  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  surpasses that of the pristine SST, potentially due to enhanced weighted mobilities ( $\mu_W$ ). Conversely, the power factor of  $\text{Sb}_{1.97}\text{Y}_{0.03}\text{Si}_2\text{Te}_6$  decreases due to a substantial reduction in carrier concentration at high Y content. Weighted mobility offers a more comprehensive evaluation of a material's thermoelectric potential compared to the power factor alone. Therefore, we calculated and reported the weighted mobilities in Fig. 4d. Weighted mobility of all samples decreases with increasing temperature, because charges are more scattered when they have higher energy at high temperatures. The weighted mobilities of samples do not reveal a significant change with nanocompositing, this means that the Y did not induce charge scattering. To elucidate the trends in electrical conductivity and Seebeck coefficient, we conducted room temperature measurements of carrier concentration and Hall mobility ( $\mu_H$ ) using the Hall Effect. Fig. 4a illustrates that the carrier concentration of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  samples decreases with increasing yttrium amount. The reduction in carrier concentration also correlates with a decrease in Hall mobilities, as depicted in Fig. 4a. It should be noted that the Hall mobility of  $\text{Sb}_{1.99}\text{Y}_{0.01}\text{Si}_2\text{Te}_6$  is larger than that of the pristine  $\text{Sb}_2\text{Si}_2\text{Te}_6$ . However, the Hall mobilities of samples decrease with further Y introduction ( $x > 0.02$ ). This may suggest changes in the band structure. As reported in Fig. 6b, the Fermi level decreases with increased Y introduction. For the  $\text{Sb}_{1.99}\text{Y}_{0.01}\text{Si}_2\text{Te}_6$ , slightly reduced Fermi level may expose bands with light effective mass. As a result, the effective mass lowers and the mobility increases. With the Fermi level further going down, the mobility drops because of the reduced carrier concentration. In addition, the

simultaneous reduction in lattice thermal conductivity (explained later) indicates that  $\text{Sb}_2\text{Te}_3$  precipitates increase the phonon scattering. Similarly, these precipitates could increase the scattering of charge carriers, and lead to a slight decrease in their mobilities. The decrease in electrical conductivity with Y amount aligns with this finding.

Understanding weighted mobility allows for the evaluation of the density of states effective mass ( $m_{\text{DOS}}^*$ ) through the relationship  $\mu_W = \mu_0(m_{\text{DOS}}^*/m_c)^{.60}$ . The comparable weighted mobility values among Y added samples imply that Y does not significantly alter the  $m_{\text{DOS}}^*$  and band structure. This result is further supported by the similar  $m_{\text{DOS}}^*$  values reported in Table 1. Consequently, the observed  $zT$  enhancement can be primarily attributed to optimized carrier concentration. Since the  $m_{\text{DOS}}^*$  significantly influences the Seebeck coefficient, we calculated and tabulated the  $m_{\text{DOS}}^*$  values for all samples in Table 1. The  $m_{\text{DOS}}^*$  of  $\text{Sb}_{1.99}\text{Y}_{0.01}\text{Si}_2\text{Te}_6$  is lower than that of the pristine sample, but the effective mass increases with further Y introduction. These findings align with the changes in weighted mobility, regarding that the bands with higher effective mass induce carriers with lower mobility.

Given the interconnected nature of electrical properties *via* carrier concentration, analyzing the quality factor proves to be a promising strategy for uncovering the overall enhancement in thermoelectric performance. Accordingly, we have conducted a quality factor (B) analysis and presented the results in Fig. 4b. The quality factor ( $B = 8\pi k_B(2m_c)^{3/2}(3eh^3)^{-1}(k_B T)^{5/2} \cdot \mu_W/\kappa_L$ )<sup>60</sup> values, calculated across the measurement

temperatures, exhibit a trend that mirrors the observed increase in  $zT$ . This behavior aligns with the established relationship between  $B$ ,  $\mu_W$ , and  $\kappa_L$  ( $B \propto \mu_W/\kappa_L$ )<sup>61</sup>. Despite a slight decrease in weighted mobility, the substantial reduction in lattice thermal conductivity ultimately leads to a higher  $B$  value throughout the measurement temperature range. This suggests that the improvement in  $zT$  primarily stems from the optimized carrier concentration achieved through Y-nanocompositing, allowing the material to capitalize on its intrinsic potential for thermoelectric performance.

To isolate the impact of carrier concentration on the improvement in electrical transport properties, Jonker plot analysis was performed on all samples, as shown in Fig. 4c. The analysis reveals electronic transport coefficients ( $\sigma_{E0}$ ) hovering around  $300 \text{ S cm}^{-1}$  for all samples. The insignificant change in  $\sigma_{E0}$  after Y nanocompositing suggests that the intrinsic electronic transport properties of  $\text{Sb}_2\text{Si}_2\text{Te}_6$  remain largely unaffected. This implies that the observed enhancement in electrical transport likely originates from the optimized carrier concentration achieved through nanocompositing with Y.

The thermal transport properties of cross-plane  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  samples are reported in Fig. 5a–c. The electronic portion of thermal conductivity ( $\kappa_e$ ) was calculated using the Wiedemann–Franz law as:  $\kappa_e = \sigma \cdot L \cdot T$  where  $L$  is the Lorenz factor calculated using the SPB model. The detailed explanation is provided in the ESI.† The phonon contribution of thermal conductivity ( $\kappa_L$ ) was calculated using the following equation:

$$\kappa = \kappa_e + \kappa_L.$$

The thermal conductivities of all samples decrease with temperature. The absence of an upturn in the thermal conductivity indicates that the bandgap is large enough, so minority carriers do not contribute to the thermal conductivity. The slight change in the slope of thermal conductivity is ascribed to the phase change from  $\alpha$  to  $\beta$ - $\text{Si}_2\text{Te}_3$ .<sup>8</sup> This finding suggests that  $\text{Sb}_2\text{Si}_2\text{Te}_6$  decomposes into  $\text{Si}_2\text{Te}_3$  and  $\text{Sb}_2\text{Te}_3$ . While  $\text{Sb}_2\text{Te}_3$  precipitates could be observed in FESEM images (Fig. S1 (ESI†)),  $\text{Si}_2\text{Te}_3$  reacts with the humidity in the air and produces Te.<sup>62</sup> Since all compounds contain tellurium, its detection through SEM is highly challenging. Notably, the total thermal conductivities were found to decrease with increasing Y content. Similarly, the lattice thermal conductivities (Fig. 5b) decrease with Y addition. However, the decrease in the lattice thermal conductivity reaches a saturation value at  $\text{Sb}_{1.97}\text{Y}_{0.03}\text{Si}_2\text{Te}_6$ , and is not affected by further doping.  $\text{Sb}_2\text{Te}_3$  precipitates, reported in Fig. 1c, potentially enhance the phonon scattering in  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$ , to decrease the lattice thermal conductivity. To delve deeper into the reasons behind this decrease, we employed the Debye–Callaway model (Fig. 5c). The blue dashed line indicates the contributions from Umklapp (U) and grain boundary (GB) scatterings, whereas the red dashed line represents point defect (PD) scattering in addition to U and GB. While pristine  $\text{Sb}_2\text{Si}_2\text{Te}_6$  exhibits Umklapp and grain boundary scattering, SST-Y nanocomposites show additional point defect scatterings, as supported by the presence of  $\text{Sb}_2\text{Te}_3$  precipitates revealed by SEM analysis. These point defects scatter low to mid-frequency phonons, elucidating the decrease in lattice thermal conductivity and the peak performance observed at higher temperatures. In addition, the electronic

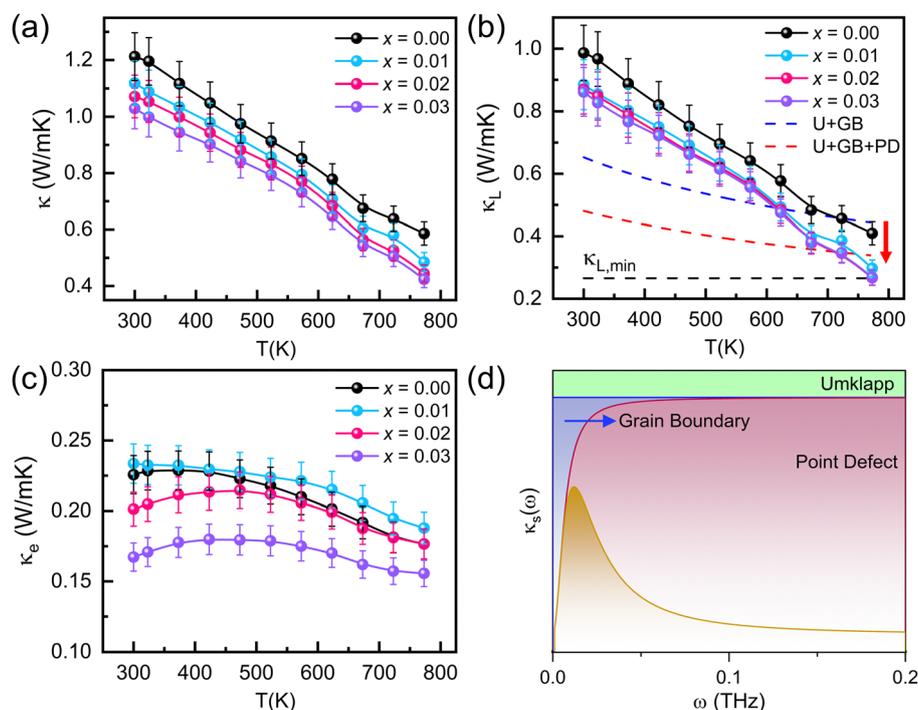


Fig. 5 (a) Thermal conductivity, (b) lattice thermal conductivity, (c) electronic thermal conductivity, and (d) Debye–Callaway modeling of lattice thermal conductivity of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  ( $x = 0.0, 0.01, 0.02, \text{ and } 0.03$ ).

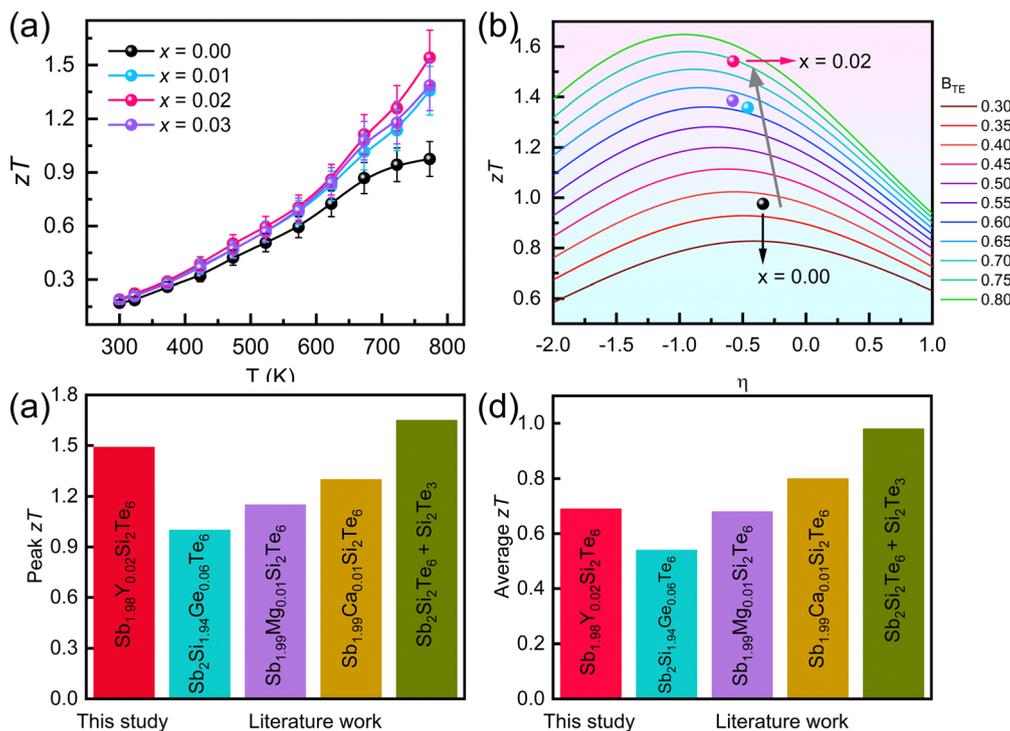


Fig. 6 (a) Thermoelectric figure of merit  $zT$ , (b) quality factor analysis showing the increase of  $zT$  values, (c) peak  $zT$  values, (d) average  $zT$  values of  $\text{Sb}_2\text{Si}_2\text{Te}_6$ -related materials studied in the literature ( $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  (this study),  $\text{Sb}_2\text{Si}_{1.94}\text{Ge}_{0.06}\text{Te}_6$ ,<sup>39</sup> and  $\text{Sb}_{1.99}\text{Mg}_{0.01}\text{Si}_2\text{Te}_6$ ,<sup>40</sup>  $\text{Sb}_{1.99}\text{Ca}_{0.01}\text{Si}_2\text{Te}_6$ <sup>7</sup>).

thermal conductivities (Fig. 5c) of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  decrease with  $Y$  because of lower electrical conductivities. However, Fig. 5b and c reveal that the main contribution to the thermal conductivity of  $\text{Sb}_2\text{Si}_2\text{Te}_6$  comes from the phonons. Although there is a significant reduction in the thermal conductivities, there is still room for further reduction by applying appropriate strategies, such as hierarchical nanostructures or dense dislocations. Fig. 5d shows how the cumulative reduction in lattice thermal conductivity varies with frequency. At low temperatures, the reduction in  $\kappa_L$  is mainly due to grain boundary scattering, while at high temperatures, point defect scattering is the primary cause. Consequently, phonon transport is effectively suppressed by the combined influence of both grain boundary and point defect scattering.

Thermoelectric materials exhibit peak performance at specific Fermi levels ( $\eta$ ), highlighting the crucial role of compositional engineering. To analyze strategies for achieving optimal performance, we plotted  $zT$  as a function of the Fermi level using the quality factor in Fig. 6b. The combined effect of  $Y$  nanocompositing, which optimizes the Fermi level, and the reduction in lattice thermal conductivity, elevates the  $B$  value of  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  from 0.33 to 0.75. While this composition appears close to the optimal performance based on the  $B$  analysis, further improvement might be achievable through additional strategies that elevate the Fermi level.  $Y$ -nanocompositing strategies hold promise for increasing the  $zT$  of  $\text{Sb}_2\text{Si}_2\text{Te}_6$  to potentially reach 1.55. This value could be surpassed by implementing strategies to further reduce the lattice thermal conductivity.

The performance of thermoelectric materials can be optimized only at certain Fermi levels, hence compositional changes play a significant role in the maximum performance. In order to analyze strategies to achieve the peak performance, we plotted  $zT$  vs Fermi level in terms of the quality factor, as shown in Fig. 6b. The simultaneous optimization in the Fermi level through nanocompositing with  $Y$  and the reduction in the lattice thermal conductivity increase the  $B$  from 0.33 to 0.75 in  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$ . Although the performance of SST seems to be optimized near this composition ( $x = 0.02$ ), further improvements are possible through increasing the Fermi level. Nanocompositing with  $Y$  holds promise to increase the  $zT$  of  $\text{Sb}_2\text{Si}_2\text{Te}_6$  up to 1.55.

Finally, Fig. 6c reveals that the peak  $zT$  of  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  outperforms the reported peak  $zT$  values of doping studies on  $\text{Sb}_2\text{Si}_2\text{Te}_6$ . However, the  $zT$  value achieved by nanostructured  $\text{Sb}_2\text{Si}_2\text{Te}_6$  remains highest overall. Fig. 6d shows that average  $zT$  values of  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$  are comparable to other studies in the literature.

## Conclusion

In conclusion, this study demonstrates that introducing isovalent  $Y$ -nanocomposites into  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  ( $0.0 \leq x \leq 0.03$ ) effectively reduce the carrier concentration while preserving the intrinsic electronic transport properties. The resulting optimization in the Seebeck coefficient led to a significant power factor improvement, reaching  $946 \mu\text{W K}^{-1}$  for the best-performing sample,  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$ . Additionally,  $Y$ -nano-compositing reduced

lattice thermal conductivity to  $0.29 \text{ W m}^{-1} \text{ K}^{-1}$ , approaching simulated values, due to increased defect scattering. Defects such as  $\text{Y}_x\text{O}_y$ , Y,  $\text{Si}_2\text{Te}_3$  and  $\text{Sb}_2\text{Te}_3$  were identified as key contributors to this reduction. The interplay between reduced thermal conductivity and optimized carrier concentration resulted in a peak  $zT$  value of 1.49, showcasing the effectiveness of Y-nanocompositing into  $\text{Sb}_2\text{Si}_2\text{Te}_6$ . This approach opens promising avenues for further  $zT$  enhancements. The  $zT$  of  $\text{Sb}_{2-x}\text{Y}_x\text{Si}_2\text{Te}_6$  could be further enhanced by doping other elements to tune the Fermi level or increase the weighted mobility through band engineering. Another useful strategy could be to reduce the lattice thermal conductivity at low temperatures, converting the material to an alternative for low temperature applications. For future studies,  $\text{Sb}_{1.98}\text{Y}_{0.02}\text{Si}_2\text{Te}_6$ , a medium temperature material, could be coupled with  $\text{Bi}_{0.5}\text{Sb}_{1.5}\text{Te}_3$ , a low temperature material, to construct a segmented single leg thermoelectric device. In summary, this research highlights that reducing the carrier concentration and introducing defects via Y-nanocompositing is an effective strategy to enhance the thermoelectric performance of  $\text{Sb}_2\text{Si}_2\text{Te}_6$ .

## Author contributions

Kivanc Saglik: sample preparation, characterization and writing the manuscript. Xian Yi Tan: helped with characterization and analysis, especially the SPB model. Jinfeng Dong: helped with analysis, especially the Debye–Callaway model. Ady Suwardi: helped with modeling. Xizu Wang: provided advice on the manuscript. Jianwei Xu: helped with thermoelectric property analysis. Qiang Zhu: helped with thermal transport analysis. Hongfei Liu: helped with structural characterization. Jing Cao: provided timely advice on experiments. Qingyu Yan: supervised and guided the work and provided timely advice on the experiments.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

The authors state that there are no conflicts to declare.

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