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# Accurate incorporation of hyperfine coupling in diabatic potential models using the effective relativistic coupling by asymptotic representation approach†

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The accurate treatment of relativistic couplings like spin–orbit (SO) coupling into diabatic potential models is highly desirable. We have been developing the effective relativistic coupling by asymptotic representation (ERCAR) approach to this end. The central idea of ERCAR is the representation of the system using an asymptotic diabatic direct product basis of atom and fragment states. This allows to treat relativistic coupling operators like SO coupling analytically. This idea is extended here to the incorporation of hyperfine (HF) coupling into the diabatic potential model. Hyperfine coupling is due to the magnetic dipole–dipole and the Fermi contact interaction as well as the electric quadrupole interaction. The corresponding operators can be expressed in terms of the angular momentum operators for nuclear spin  $\hat{I}$  and for total angular momentum  $\hat{J}$  of the atomic fine structure states. The diabatic basis of an existing ERCAR model is complemented by nuclear spinors and the HF coupling operators are easily evaluated in that basis. Diagonalization of the resulting full diabatic ERCAR model yields the HF energies and states for any molecular geometry of interest. The new method is demonstrated using an existing accurate diabatic potential model for hydrogen iodide (HI) [N. Weike, A. Viel and W. Eisfeld, Hydrogen–iodine scattering: I. Development of an accurate spin–orbit coupled diabatic potential energy model, *J. Chem. Phys.*, 2023, **159**, 244119] to see the effects of hyperfine coupling. The HF coupling effect of the  $^2P_{3/2}$  ground state and spin–orbit excited  $^2P_{1/2}$  state of iodine combined with the  $^2S_{1/2}$  ground state of hydrogen are added to the ERCAR Hamiltonian. It is shown that each fine structure state is split by the hyperfine interactions into sets of seven hyperfine states. The fine structure ground state at the global minimum is split into three degenerate groups of hyperfine states with splittings of 152 and 76 MHz.

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## 1. Introduction

Spin in chemistry, at first glance the fundamental electron spin, often is considered of little relevance in the vast majority of chemical reactions. However, the nuclear spin of many isotopes is used widely for the investigation of chemical structures utilizing nuclear magnetic resonance (NMR) spectroscopy. On the other hand, the field of “spin chemistry” evolved over the past few decades and it turns out that many technologically highly interesting applications can be realized using chemical spin systems like radical pairs, charge-transfer complexes, or excited states.<sup>1–8</sup> Spin chemistry is also considered relevant in biology and biochemistry. A particularly fascinating question for that matter is magnetoreception as a

means of orientation of migrating birds and possibly other animals.<sup>3,9–13</sup>

Many emerging nano technologies are based on nuclear spins in confined nanostructures like quantum dots, defect centers, and molecular magnets and there is a general interest in understanding the underlying effects in detail.<sup>14</sup> *E.g.*, molecular magnets might be used in spintronics devices.<sup>15–17</sup> One proposition is to use the electron spin for the intended device and in that case hyperfine (HF) coupling can cause decoherence limiting the applicability.<sup>16,18</sup> However, organic molecular materials are discussed as very promising because of their weak spin–orbit (SO) and HF interactions.<sup>19</sup> Furthermore, HF coupling also can be utilized intentionally in quantum computing. *E.g.*, clock transitions due to HF couplings can be used in qubits.<sup>20</sup> One advantage might be the many available HF states, which are easily tunable.<sup>21</sup> Among the already realized devices are storage devices<sup>22,23</sup> and multi-qubit spin registers.<sup>24,25</sup> An overview of electron spin dynamics in quantum dots for quantum information applications can be found in ref. 26. Another

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effect related to decoherence due to HF coupling is intersystem crossing (ISC) but this can be an appreciated process. Inter-system crossing is particularly important to enhance the internal quantum efficiency in organic light emitting devices (OLEDs).<sup>27</sup> HF coupling is postulated to possibly play a role in ISC in thermally activated delayed fluorescence (TADF) molecules (modern OLEDs).<sup>28</sup> It is also considered relevant in HF driven ISC in charge-transfer complexes with possible use as phosphorescence materials and photosensitizers.<sup>29</sup> The relevance of HF coupling in such charge-transfer complexes has been proposed because the usually stronger SO coupling is strongly distance dependent while HF coupling is relatively constant.<sup>30</sup> Another interesting application might be HF enhanced magnetic cooling by nuclear adiabatic demagnetization.<sup>31–34</sup> Magnetic manipulation of HF states is widely applied in the field of ultracold chemistry and physics.

Besides the possible impact of HF coupling on technologically interesting applications, there are also more fundamentally scientific questions, particularly in astrochemistry and astrophysics. *E.g.* the spin temperature corresponding to the 21 cm HF transition of H (I) in interstellar space is of great interest because it is highly relevant for the interpretation of many measurements.<sup>35</sup> In general, collisional (de)excitation of interstellar species is of great interest in the astrochemistry and astrophysics community. Due to low collision energies and collision probabilities, transitions between rotational levels and HF levels are of particular interest.<sup>36,37</sup> Since many of the interstellar species are rather exotic and hard to investigate experimentally, it seems highly desirable to utilize theoretical quantum dynamics simulations for this purpose. However, this requires the availability of accurate potential energy models accounting for all relevant coupling effects, including HF coupling. In the present work, we present a new way to account for the HF coupling by an accurate diabatic potential model.

Over the last decade, we have been developing an approach to construct diabatic potential models including such couplings called the effective relativistic coupling by asymptotic representation (ERCAR).<sup>38–45</sup> The fundamental idea of this approach is to represent the electronic Hamiltonian of the system in a diabatic basis defined at the asymptote at which all atoms causing significant relativistic couplings (SO or HF) are separated from a remaining non-relativistic fragment. This corresponds to a direct product basis of fragment and atom states. Thus, the relativistic couplings can be represented by geometry-independent matrices in this diabatic basis, which can be derived analytically. Only the non-relativistic Coulomb Hamiltonian needs to be evaluated for each nuclear configuration of the system and thus requires a geometry-dependent diabatic potential model to be developed. We recently improved the ERCAR method such that it becomes suitable for accurate scattering calculations.<sup>44</sup> The method was applied to represent the relevant fine structure states of the H+I system for scattering dynamics with very high accuracy. With this goal, the final H+I SO coupled ERCAR model consists of 104 fine structure states. The corresponding ERCAR diabatic model then was utilized in studying the scattering dynamics within the close-

coupling formalism.<sup>46</sup> In the present work, we extend that existing ERCAR model by incorporating HF coupling for the H <sup>2</sup>S<sub>1/2</sub> ground state and the two lowest fine structure states of I (<sup>2</sup>P<sub>3/2</sub> and <sup>2</sup>P<sub>1/2</sub>).

## II. Theory

### A. Theoretical background

The ERCAR model is a diabatic potential model, which has been developed to account for relativistic couplings, in particular spin-orbit coupling. This approach is extended for the treatment of hyperfine (HF) coupling in the present work. For a proof of principle it is sufficient to account for the HF couplings of the <sup>2</sup>S<sub>1/2</sub> ground state of hydrogen as well as for the <sup>2</sup>P<sub>3/2</sub> and <sup>2</sup>P<sub>1/2</sub> fine structure states of iodine. The basic idea of the ERCAR method is the diabatic representation of the full electronic Hamiltonian in an asymptotic basis where all atoms with strong relativistic effects are separated from the approximately non-relativistic molecular fragment. This separation is key due to the fact, that the relativistic effects are heavily atom centered. This specific diabatic basis representation is utilized in the ERCAR method as given in the following.

The full diabatic electronic molecular states  $|\psi_j^d(\mathbf{Q})\rangle$  can be expanded in the above mentioned direct product basis of the asymptotic states of the relativistic atom  $|\psi_{\beta(j)}^{\text{at}}\rangle$  with the remaining molecular fragment states  $|\psi_{\alpha(j)}^{\text{frag}}(\mathbf{Q})\rangle$ . For simplicity, only a single relativistic atom is assumed in the following but the approach is easily expanded to multiple relativistic atoms.<sup>45</sup> The *j*th diabatic state can be written as

$$|\psi_j^d(\mathbf{Q})\rangle = |\psi_{\alpha(j)}^{\text{frag}}(\mathbf{Q})\rangle \otimes |\psi_{\beta(j)}^{\text{at}}\rangle, \quad (1)$$

where  $\mathbf{Q}$  contains all nuclear coordinates. It becomes clear, that the asymptotic atom states do not depend on the geometry of the entire system, whereas the diabatic fragment states are only approximately independent of the geometry of the full molecular system. The remaining coordinate dependence of the fragment states can be neglected by diabatizing the molecular orbitals in the non-relativistic electronic structure calculations with respect to the fragment states determined at an asymptotic reference point for a specific geometry of the molecular fragment with the relativistic atom at infinite distance. The diabatic states can then be written as

$$|\psi_k^a\rangle = \sum_j u_{jk}(\mathbf{Q}) |\psi_{\alpha(j)}^{\text{frag}}\rangle \otimes |\psi_{\beta(j)}^{\text{at}}\rangle. \quad (2)$$

The full electronic Hamiltonian  $\hat{H}_{\text{el}}$  can be separated into a geometry dependent Coulomb Hamiltonian  $\hat{H}_{\text{C}}$  and a geometry independent Hamiltonian  $\hat{H}_{\text{rel}}$ , which describes all the relativistic couplings

$$\hat{H}_{\text{el}}(\mathbf{Q}) = \hat{H}_{\text{C}}(\mathbf{Q}) + \hat{H}_{\text{rel}}. \quad (3)$$

Representing the full electronic Hamiltonian in the diabatic direct product basis eqn (1) yields the matrix elements

$$\langle \psi_j^d | H_{\text{el}}(\mathbf{Q}) | \psi_k^d \rangle = \langle \psi_j^d | H_{\text{C}}(\mathbf{Q}) | \psi_k^d \rangle + \langle \psi_{\beta(j)}^{\text{at}} | H_{\text{rel}} | \psi_{\beta(k)}^{\text{at}} \rangle = w_{jk}^d(\mathbf{Q}) + h_{jk}^{\text{rel}} \quad (4)$$



in which the first matrix element on the right-hand side is geometry dependent and simply a diabatic potential matrix element of the standard Coulomb Hamiltonian. This diabatic potential matrix  $W^d(\mathbf{Q})$  is represented by an analytic model and the model parameters are determined by diabatizing non-relativistic *ab initio* data obtained from standard electronic structure calculations.<sup>47–51</sup> By contrast, the basis representation of the relativistic Hamiltonian yields a constant coupling matrix  $H^{\text{rel}}$ , which can be derived analytically for the pure atomic states of the relativistic atoms. The geometry dependence of the relativistic effects is imposed onto the full molecular system through the diabatic potential model and the full ERCAR model accounts accurately for all couplings at arbitrary molecular geometries.

By far the strongest relativistic coupling effect is spin orbit coupling and the ERCAR method was developed primarily to account for SO coupling in diabatic potential models for the quantum dynamics simulation of spectroscopy and reactive scattering processes in excited states. For this purpose, the atomic basis states are expressed in a basis of Slater determinants of spinor orbitals as

$$|\psi_{\beta}^{\text{st}}\rangle = \sum_l \gamma_{\beta l} |\chi_{1(l)}\chi_{2(l)}\chi_{3(l)}\cdots\rangle_{\text{SD}} \quad (5)$$

The number of spinor orbitals in the determinants depends on the system. For example, the uncoupled atomic ground state of iodine has a valence configuration of  $5s^25p^5$  of which the electrons in the  $5s$  orbital do not contribute to the SO coupling. Thus, this state can be expressed by the Slater determinant of five spinor orbitals  $\chi_k$ . Each  $\chi_k$  is represented by the four quantum numbers for orbital and spin angular momenta as

$$|\chi_k\rangle = |l, m_l; s, m_s\rangle. \quad (6)$$

The SO interaction is treated by the effective  $n$ -electron operator

$$\hat{H}^{\text{SO}} = \sum_{\alpha,\beta} \lambda_{\alpha\beta} \hat{P}_{\alpha}^{\dagger} \hat{P}_{\beta} \sum_{k=1}^n \hat{l}_k \cdot \hat{s}_k \quad (7)$$

where the one-electron operators  $\hat{l}_k \cdot \hat{s}_k$  act on the  $k$ th spinor orbital. The pair of projectors ensures that the couplings are determined for the corresponding atomic states  $\alpha$  and  $\beta$  with the corresponding coupling constant  $\lambda_{\alpha\beta}$ , which in many cases can be obtained from experiment or otherwise is computed by *ab initio* methods. Especially the use of experimental coupling constants for the atomic states is a great advantage of ERCAR because the *ab initio* computed SO splittings are way less accurate. *E.g.*, the splitting between the first two fine structure states of the iodine atom,  $^2P_{3/2}$  and  $^2P_{1/2}$ , computed *ab initio* is roughly 10% too small. The representation of  $\hat{H}^{\text{SO}}$  in the spinor determinant basis eqn (5) yields the final SO coupling matrix to be combined with the diabatic model for the Coulomb Hamiltonian. The treatment of HF coupling follows the same pattern with the appropriate spinor basis and effective operators.

## B. Hyperfine coupling operators

The HF couplings are due to various magnetic and electric interactions between a nucleus and the electronic wave function. The Fermi contact term and the magnetic dipole–dipole interaction are in complete analogy to the magnetic interactions between two electrons represented by the Breit–Pauli Hamiltonian. The only difference is that one electron spin must be replaced by the nuclear spin. The third kind of coupling is the electric quadrupole interaction due to the non-isotropic charge distribution within the nucleus. This interaction of course is not present in the Breit–Pauli Hamiltonian but is easily represented by a corresponding operator. The physical background and derivation of these operators can be found in many textbooks like ref. 52 and 53. We now have a look on each of the three coupling operators.

The Fermi contact term has no classical analogon although it often is interpreted as the magnetic interaction between electronic and nuclear magnetic moments of an electron penetrating a finite-sized nucleus. Thus, only electrons in  $s$  orbitals contribute to this interaction represented by the operator

$$\hat{H}_{an}^{\text{fc}} = \frac{e^2 \hbar^2}{m_e m_p c^2} g_a g_e \frac{8\pi}{3} \hat{I}_a \hat{s}_n \delta(r_{an}). \quad (8)$$

Here,  $e$  is the unit charge,  $m_e$  the electron mass,  $m_p$  the proton mass,  $c$ , the speed of light, and  $g_a$  and  $g_e$  the nuclear and electron  $g$ -factors, respectively.  $\hat{I}_a$  represents the nuclear spin operator for nucleus  $a$  and  $\hat{s}_n$  is the spin operator for electron  $n$ . The Dirac Delta-function ensures that this interaction only contributes if both particles are at the same position. For electrons not in a  $s$  orbital there is no Fermi contact term but the magnetic dipole–dipole interaction is non-vanishing. The corresponding operator reads

$$\hat{H}_{an}^{\text{md}} = \frac{e^2 \hbar^2}{m_e m_p c^2} g_a g_e \left\{ \frac{\hat{I}_a \cdot (\hat{\ell}_n - \hat{s}_n)}{r_{an}^3} + \frac{3(\hat{I}_a \cdot \mathbf{r}_{an})(\hat{s}_n \cdot \mathbf{r}_{an})}{r_{an}^5} \right\}. \quad (9)$$

Here,  $\hat{\ell}_n$  is the orbital angular momentum operator for electron  $n$  and  $\mathbf{r}_{an}$  is the position vector of electron  $n$  with respect to the center of nucleus  $a$ . Nuclei with spin greater than 1 also possess an electric quadrupole moment which interacts with the electrons and yields an electric quadrupole term to the full HF interaction Hamiltonian. In general, this can be expressed by

$$\hat{H}^{\text{eq}} = -\frac{4\pi}{5} e^2 \sum_{p=1}^Z \sum_{n=1}^{N_e} \sum_{m=-2}^2 \frac{R_p^2}{r_n^3} (Y_m^2)^* (\Theta_p, \Phi_p) Y_m^2(\theta_j, \phi_n) \quad (10)$$

in which the interaction between each proton  $p$  at position  $(R_p, \Theta_p, \Phi_p)$  and each electron  $n$  at position  $(r_n, \theta_n, \phi_n)$  is treated explicitly and is expressed by the second spherical harmonics.

All these operators in principle could be evaluated numerically by suitable *ab initio* methods. However, the aim of the ERCAR approach is to yield an analytic diabatic potential model, which requires an analytic evaluation of the couplings for well-defined atomic states. To this end, the operators need to be cast into a form in which they can be evaluated on the basis of spin and angular momentum quantum numbers. The



operator  $\hat{H}^{\text{fc}}$  is easily represented by a corresponding effective operator because only S states can contribute and the result reads

$$\hat{H}^{\text{fc,eff}} = \sum_a \sum_n \hat{P}_{an}^{(L=0)} A_{an}^{\text{fc}} \hat{I} \cdot \hat{J}. \quad (11)$$

The first sum runs over the HF coupling nuclei, in the present study  $a = \{H, I\}$ , the second sum runs over all basis states  $n$ , and the projector  $\hat{P}_{an}^{(L=0)}$  ensures that the nuclear spin and total angular momentum operators act on a specific atomic state with orbital angular momentum  $L = 0$  and with the corresponding coupling constant  $A_{an}^{\text{fc}}$ . In the present case, such states are only present for the ground state hydrogen atom and thus  $j^{\text{H}} = 1/2$ .  $\hat{H}^{\text{md}}$  is in complete analogy to the spin-orbit operators and thus can be represented in the same way by an effective operator, yielding

$$\hat{H}^{\text{md,eff}} = \sum_a \sum_n \hat{P}_{an}^{(L>0)} A_{an}^{\text{md}} \hat{I} \cdot \hat{J}. \quad (12)$$

Here, the projector  $\hat{P}_{an}^{(L>0)}$  ensures that only atomic states with non-vanishing orbital angular momenta contribute. In the present case, only the first two iodine fine structure states with  $j^{\text{I}} = 3/2$  and  $j^{\text{I}} = 1/2$  are accounted for.

Finally, the operator for the electric quadrupole coupling also needs to be re-cast such that it can be expressed entirely in the  $\hat{I}$  and  $\hat{J}$  operators with eigenvalues  $i$  and  $j$ . This is possible with help of the Wigner-Eckart theorem and yields the effective operator<sup>52</sup>

$$\hat{H}^{\text{eq}} = \sum_a \sum_n \hat{P}_{an}^{(j>1/2)} \frac{B_{an}^{\text{eq}}}{2i(2i-1)j(2j-1)} \times \left[ 3(\hat{I} \cdot \hat{J})^2 + \frac{3}{2}(\hat{I} \cdot \hat{J}) - \hat{I}^2 \hat{J}^2 \right]. \quad (13)$$

The projector  $\hat{P}_{an}^{(j>1/2)}$  ensures that only atomic states with  $j > 1/2$  can contribute, which in the present case is only fulfilled for the iodine ground state with  $j^{\text{I}} = 3/2$ .

The ERCAR basis states  $|k, j^{(k)}, M_j^{(k)}\rangle$  from ref. 44 are fine structure eigenstates with well-defined electronic angular momentum quantum numbers  $j^{(k)}, M_j^{(k)}$  for each asymptotic basis state  $k$ . This is important because the HF coupling constants are specific for each atomic fine structure state. However, this basis is insufficient to evaluate the HF coupling operators. Therefore, this basis is transformed first such that the states are eigenstates with respect to the total angular momentum operators  $\hat{j}^2$  and  $\hat{j}_z$  of each atom and thus can be given as  $\{|k, j^{\text{I}}, m_j^{\text{I}}, j^{\text{H}}, m_j^{\text{H}}\rangle\}$ . Then, the basis is expanded by the nuclear spinors for each nucleus, which contributes to the HF coupling in a direct product form, thus reading

$$|\psi_k\rangle = |k, j^{\text{I}}, m_j^{\text{I}}, j^{\text{H}}, m_j^{\text{H}}\rangle \otimes |i^{\text{I}}, m_i^{\text{I}}\rangle \otimes |i^{\text{H}}, m_i^{\text{H}}\rangle. \quad (14)$$

In this final basis, the above effective operators are easily represented analytically.

### III. Results

#### A. Atomic hyperfine coupling matrices

We first treat the H  $^2\text{S}_{1/2}$  ground state, which is a particularly simple case. The nuclear spin  $i^{\text{H}} = 1/2$  is coupled to the total angular momentum  $j^{\text{H}} = 1/2$ . The orbital angular momentum is zero and therefore only the contact term needs to be evaluated. The corresponding basis for the H atom can be specified by the four quantum numbers  $|j^{\text{H}}, m_j^{\text{H}}, i^{\text{H}}, m_i^{\text{H}}\rangle$  and consists of four spinors  $\left\{ \left| \frac{1}{2}, \frac{1}{2}; \frac{1}{2}, \frac{1}{2} \right\rangle, \left| \frac{1}{2}, \frac{1}{2}; \frac{1}{2}, -\frac{1}{2} \right\rangle, \left| \frac{1}{2}, -\frac{1}{2}; \frac{1}{2}, \frac{1}{2} \right\rangle, \left| \frac{1}{2}, -\frac{1}{2}; \frac{1}{2}, -\frac{1}{2} \right\rangle \right\}$  yielding the coupling matrix

$$\mathbf{H}^{\text{fc}} = A_{\text{H}}^{\text{fc}} \begin{pmatrix} \frac{1}{4} & 0 & 0 & 0 \\ 0 & -\frac{1}{4} & \frac{1}{2} & 0 \\ 0 & \frac{1}{2} & -\frac{1}{4} & 0 \\ 0 & 0 & 0 & \frac{1}{4} \end{pmatrix}. \quad (15)$$

This matrix has three degenerate eigenvalues of  $\frac{1}{4}$  and one non-degenerate eigenvalue of  $-\frac{3}{4}$ , which is the well-known result. Of course, this is also in agreement with the expectation value for the HF eigenstates

$$\langle F, i, j | H^{\text{fc}} | F, i, j \rangle = \frac{A^{\text{fc}}}{2} [F(F+1) - i(i+1) - j(j+1)], \quad (16)$$

where  $F$  is the quantum number for the total angular momentum of the atomic hyperfine state in consideration. This allows to reproduce the experimentally known 21 cm HF transition of hydrogen.

Next, the coupling matrices for the I  $^2\text{P}_{3/2}$  fine structure ground state are evaluated. Since the orbital angular momentum of this state is  $l = 1$ , the contact term does not contribute but the magnetic dipole-dipole interaction does, which structurally leads to the same coupling matrix. The  $^{127}\text{I}$  nucleus has a spin of  $i^{\text{I}} = 5/2$  and together with the electronic angular momentum of  $j^{\text{I}} = 3/2$  this yields a hyperfine basis of 24 spinors. The representation of the effective magnetic dipole-dipole operator eqn (12) in this spinor basis yields the corresponding coupling matrix, which is given in the supplemental material.

The corresponding eigenvalues are  $\frac{15}{4}, \frac{1}{4}, \frac{13}{4}$  and  $-\frac{21}{4}$  in agreement with eqn (16) and the corresponding degeneracy numbers.

The spin of  $i^{\text{I}} = 5/2$  of the  $^{127}\text{I}$  nucleus corresponds to a quadrupole moment, giving rise to electric quadrupole interaction. This interaction is evaluated by use of eqn (13) in terms of the full atomic spinor basis and yields the corresponding coupling matrix given in the supplemental material. Diagonalization of this matrix yields the eigenvalues  $\frac{7}{10}, \frac{1}{4}, -\frac{1}{10}$  and  $-\frac{11}{20}$  corresponding to values of  $F = 1, 4, 2, 3$ . Both eigenvalues and degeneracies are in agreement with the known expectation



values given by

$$\langle F, i, j | H^{\text{eq}} | F, i, j \rangle = \frac{B^{\text{eq}}}{2i(2i-1)j(2j-1)} \times \left[ \frac{3}{4}x(x-1) - i(i-1)j(j-1) \right] \quad (17)$$

with

$$x = i(i+1) + j(j+1) - F(F+1). \quad (18)$$

Since the eigenvalues of the magnetic dipole-dipole and electric quadrupole operators are not identical, the correct level structure will depend on the values of the corresponding coupling constants. These values are taken from experiments by Ashok *et al.* who measured and fitted a large number of atomic HF transitions for neutral  $^{127}\text{I}$  atoms.<sup>54–56</sup> We reproduced the experimental spectrum for the transitions between the fine structure states with a splitting of  $7602.967 \text{ cm}^{-1}$ . The experimental values of  $A_{\text{I}}^{\text{md}}(j^{\text{I}} = 3/2) = 27.59 \times 10^{-3} \text{ cm}^{-1}$  and  $B_{\text{I}}^{\text{eq}} = 38.18 \times 10^{-3} \text{ cm}^{-1}$  are verified by our model and will be used in the following. The use of experimentally determined HF coupling constants (as well for the SO coupling constants) is a major advantage of the ERCAR method regarding accuracy. The above analysis also requires the evaluation of the HF coupling for the  $j^{\text{I}} = 1/2$  spin-orbit excited state of iodine. Only the magnetic dipole-dipole interaction contributes to this fine structure state and the corresponding coupling matrix for the  $\text{I } ^2\text{P}_{1/2}$  state is given in the supplemental material. Diagonalization of the above matrix yields the eigenvalues  $\frac{5}{4}$  and  $-\frac{7}{4}$  and the coupling constant from experiment, needed to reproduce the observed spectrum, is  $A_{\text{I}}^{\text{md}}(j^{\text{I}} = 1/2) = 219.75 \times 10^{-3} \text{ cm}^{-1}$ .

## B. Hyperfine coupling for H+I

The results from Section IIIA need to be combined with the existing ERCAR model for H+I to yield the HF coupled model for H+I. This is achieved easily by using the full spinor direct product basis eqn (14) and evaluating the corresponding operators eqn (11)–(13). The resulting full HF coupling matrix of dimension  $1248 \times 1248$  is then added to the ERCAR potential model expanded to the same basis. Diagonalization of the fully HF coupled ERCAR model finally yields the HF eigenstates and energies for the full H+I system for any nuclear distance of interest. The results will be analyzed first for the asymptote of the non-interacting atoms. A qualitative level scheme for the lower asymptote corresponding to  $j^{\text{I}} = 3/2$  is given in Fig. 1. The splittings are not to scale for clarity.

The observed pattern can be rationalized by the results for the individual atomic states. First, a splitting into two levels is seen, which corresponds to the well-known HF splitting of the ground state hydrogen atom. Each of the two levels for  $F_{\text{H}} = 0$  and  $F_{\text{H}} = 1$  are then split up by HF interactions in the iodine atom into four levels corresponding to  $F_{\text{I}} = 1-4$ . The degeneracy for each of the levels is given by the small numbers in Fig. 1. For scattering calculations, the individual HF eigenstates of the atoms need to be coupled to a new total angular momentum

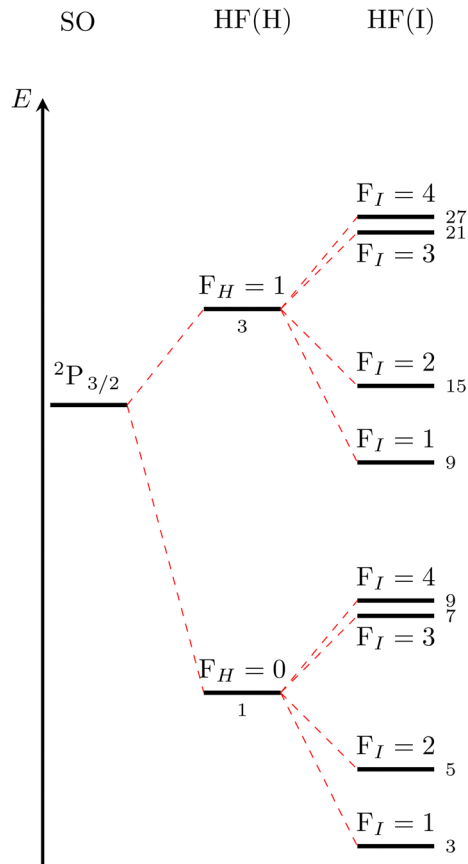


Fig. 1 Qualitative level scheme of the asymptotic HF splittings corresponding to  $\text{H } ^2\text{S}_{1/2} + \text{I } ^2\text{P}_{3/2}$ .

$J_{\text{HF}}$ . For instance, the uppermost level in Fig. 1, which results from  $F_{\text{H}} = 1$  and  $F_{\text{I}} = 4$ , yields  $J_{\text{HF}}$  values of 3, 4, and 5. It is easily seen that the corresponding  $M_{\text{HF}}$  degeneracies of 7, 9, and 11 add up to the 27 given in Fig. 1. This analysis is quite helpful because as soon as the two atoms start interacting, these degeneracies will be split and the only good electronic quantum number remaining will be  $\Omega_{\text{HF}} = |M_{\text{HF}}|$  ( $\Omega_{\text{SO}} = |M_{\text{SO}}|$  in case of fine structure states). Note that we label the hyperfine states by the  $\Omega_{\text{HF}}$  quantum numbers in complete analogy to the well-established nomenclature for fine structure states as explained for instance by Herzberg in Chapter VI of ref. 57. Subscripts HF and SO are added to distinguish between hyperfine and fine structure states, respectively. Thus, the above single level with degeneracy 27 will split into 15 levels characterized by  $\Omega_{\text{HF}} = 0^-, 1, 2, 3, 0^+, 1, 2, 3, 4, 0^-, 1, 2, 3, 4, 5$ . All other asymptotic levels will split following an analogous pattern.

The corresponding level splitting situation for the  $\text{H } ^2\text{S}_{1/2} + \text{I } ^2\text{P}_{1/2}$  asymptote is given in Fig. 2. The qualitative analysis is in complete analogy to the lower asymptote but less levels are observed because  $F_{\text{I}}$  can only take values of 2 and 3.

## C. Distance dependence of the hyperfine eigenstates of H+I

The asymptotic situation is reproduced correctly by the present model, which verifies that the full HF coupling is incorporated correctly into the expanded ERCAR model. This is further



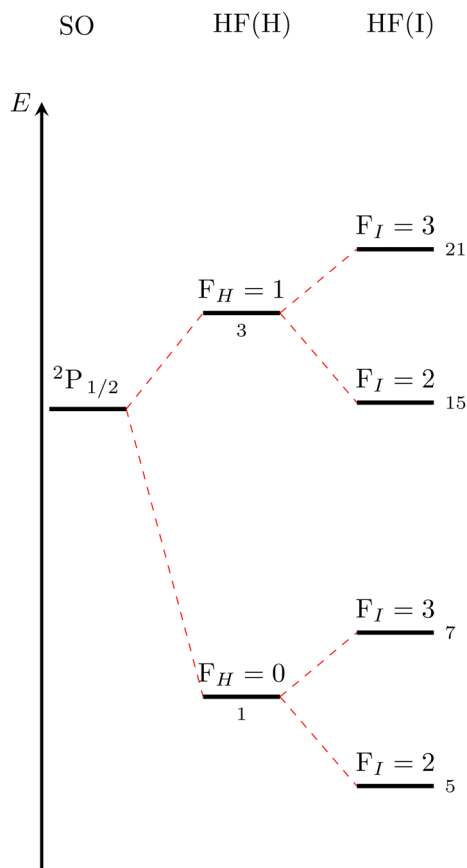


Fig. 2 Qualitative level scheme of the asymptotic HF splittings corresponding to  $H\ ^2S_{1/2} + I\ ^2P_{1/2}$ .

confirmed by plotting the HF eigenvalues in comparison with the known fine structure eigenvalues from the original ERCAR model. This plot over the distance range from 2 to 10 a.u. is presented in Fig. 3.

The energies of the lowest 12 fine structure states and 144 HF states along the H-I inter-atomic distance are shown. The HF splittings are not discernible on the scale of that figure because they are five to six orders of magnitude smaller than the fine structure splittings. However, Fig. 3 gives a good overview over the potential curves and demonstrates that the expansion of the ERCAR model to the full 1248 dimensional HF basis was carried out correctly. The two asymptotic fine structure levels due to the  $I\ ^2P_{3/2}$  ground and  $I\ ^2P_{1/2}$  SO excited state are clearly visible. The ground state minimum for the bound HI molecule correlates to the lower asymptote corresponding to a non-degenerate  $\Omega_{SO} = 0^+$  fine structure state. Similarly, the  $\Omega_{SO} = 0^+$  fine structure state arising from the SO excited asymptote also forms a shallow minimum and at shorter distance crosses the uppermost  $\Omega_{SO} = 1$  fine structure state of the lower state manifold. These general features are not visibly modified by the HF coupling on this scale. Next we will have a closer look at the long-range region of the  $I\ ^2P_{1/2}$  SO excited asymptote.

The energy scale in Fig. 4 is chosen such that the effect of HF coupling on the different fine structure states is visible. On this scale one can see that all fine structure states form shallow

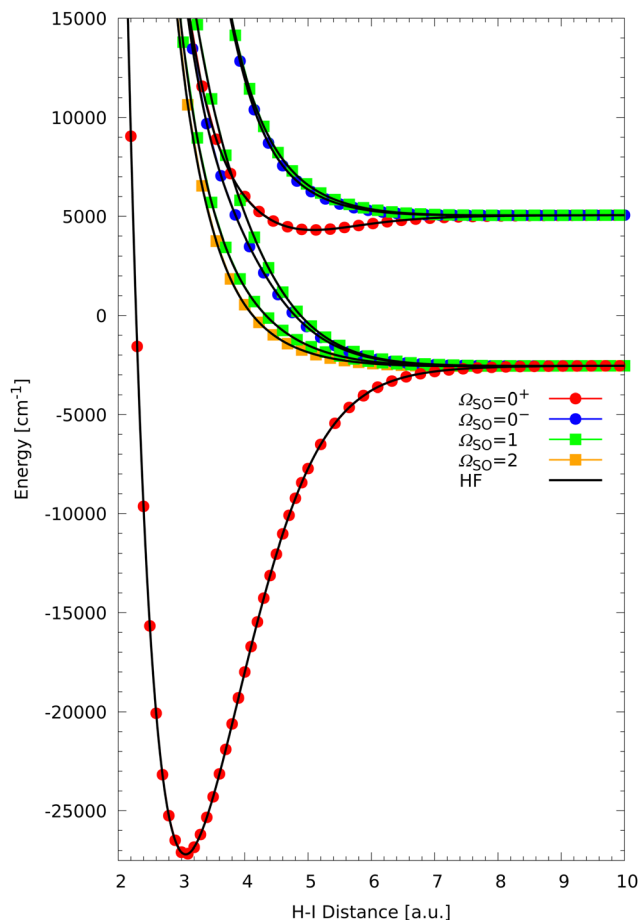


Fig. 3 Overview of the low-lying fine structure (SO in colour depending on  $\Omega_{SO}$ ) and hyperfine (HF in black) energies for H+I plotted along the inter-atomic distance.

minima but the ones corresponding to  $\Omega_{SO} = 0^-$ , 1 are much more shallow with well depths of around 20 to 24  $\text{cm}^{-1}$ . The well of the  $\Omega_{SO} = 0^+$  state is an order of magnitude deeper (760  $\text{cm}^{-1}$ ) and the H-I distance of the minimum much shorter so that it is not visible in Fig. 4 but can be seen easily in Fig. 3.

Between 11 and 12 a.u. one can distinguish the expected four different hyperfine structure bands. The lowest band aligns with the  $\Omega_{SO} = 0^+$  fine structure state with decreasing H-I distance while the other three bands are more located around the  $\Omega_{SO} = 0^-$ , 1 fine structure states. Furthermore, the HF splittings corresponding to the  $\Omega_{SO} = 0^+$ ,  $0^-$  fine structure states depend strongly on the H-I distance and are so small below 9 a.u. that no splittings are discernible anymore on the scale of Fig. 4. However, the splittings can be analyzed numerically. The minimum of the  $\Omega_{SO} = 0^-$  state is located at 7.96109 a.u. and is split into seven hyperfine states with  $\Omega_{HF} = 0^+$ , 1,  $0^-$ , 2, 1, 3, 2. The state assignments and splittings between the successive HF states are listed in Table 1. These splittings vary between 14 and 339 MHz, respectively. The  $\Omega_{HF} = 0^+$ ,  $0^-$  states are distinguished by their eigenvector sign characteristics. The minimum of the  $\Omega_{SO} = 0^+$  fine structure state is also split into seven hyperfine states with  $\Omega_{HF} = 2, 3, 1, 2, 0^+$ , 1,  $0^-$  with significantly smaller splittings between



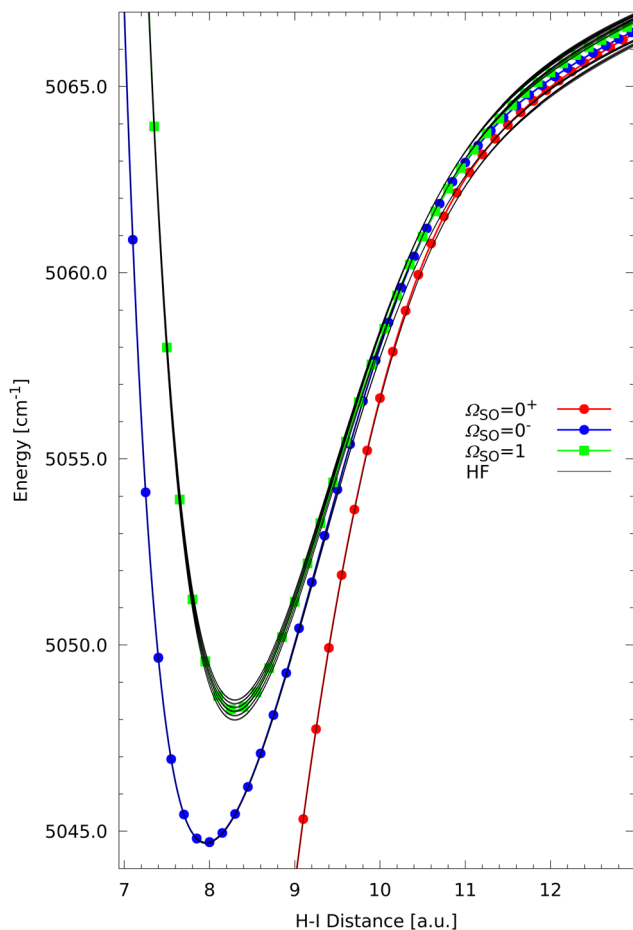


Fig. 4 Long-range interaction region of the upper fine structure asymptote  $H\ ^2S_{1/2} + I\ ^2P_{1/2}$ .

consecutive states compared to the  $\Omega_{SO} = 0^-$  manifold (see Table 1). By contrast, the HF splittings around the minimum of the  $\Omega_{SO} = 1$  state are clearly visible in Fig. 4 because the HF splittings are much larger (see Table 1) with an energy difference between the lowest and the highest hyperfine state of around  $0.56\text{ cm}^{-1}$  (16 745.3 MHz). The HF states around the  $\Omega_{SO} = 1$  state are magnified in Fig. 5.

The HF splittings of the  $\Omega_{SO} = 1$  state at 8.29576 a.u. are clearly visible in Fig. 5 and show some regularities. Because the  $\Omega_{SO} = 1$  state is degenerate, the state splits into 24 HF components corresponding to 14 distinguishable HF states. In ascending energy order one can assign the HF states with  $\Omega_{HF} = 2, 1, 1, 0^+, 0^-, 0^-, 0^+, 1, 1, 2, 2, 3, 3, 4$ . As can be seen in Fig. 5 the HF states are not split symmetrically around the  $\Omega_{SO} = 1$  state. The average energy of the HF states is slightly above the energy of the  $\Omega_{SO} = 1$  fine structure state, which is due to couplings to the lower lying fine structure states, in particular those with  $\Omega_{SO} = 0^+, 0^-$ . One interesting feature is that most of the splittings can be grouped into two groups, one around 568–700 MHz and one around 2247–2745 MHz (see Table 1). The only exception to these groupings are the small splittings of the four  $\Omega_{HF} = 0^+, 0^-$  states which are not degenerate but still follow the regular grouping pattern seen in Fig. 5. Additionally, one

Table 1 State assignments and HF splittings between consecutive HF states corresponding to the four fine structure states resulting from the  $^2S_{1/2} + ^2P_{1/2}$  asymptote of H+I

$\Omega_{SO}$	$0^+$		$0^-$		1	
$r$ [a.u.]	5.08680		7.96109		8.29576	
	$\Omega_{HF}$	$\Delta E$ [MHz]	$\Omega_{HF}$	$\Delta E$ [MHz]	$\Omega_{HF}$	$\Delta E$ [MHz]
	2		$0^+$		2	
	3	0.15	1	35.50	1	700.26
	1	27.06	$0^-$	61.76	1	2745.49
	2	0.09	2	121.18	$0^+$	662.59
	$0^+$	13.45	1	21.09	$0^-$	19.24
	1	0.12	3	338.52	$0^-$	2694.55
	$0^-$	0.05	2	14.38	$0^+$	203.98
					1	568.54
					1	2447.32
					2	697.50
					2	2351.46
					3	701.98
					3	2247.73
					4	704.66

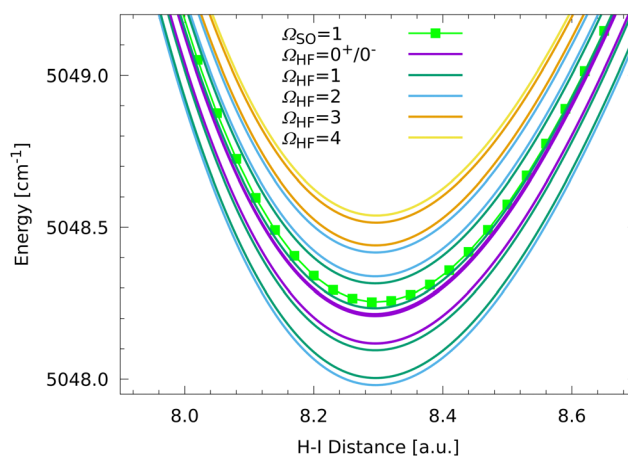


Fig. 5 Further magnification of the long-range interaction region of the  $\Omega_{SO} = 1$  state corresponding to the upper fine structure asymptote  $H\ ^2S_{1/2} + I\ ^2P_{1/2}$ .

pattern is already clearly visible, namely that the HF states always come in sets of seven states with two non-degenerate ( $\Omega_{HF} = 0^-, 0^+$ ) and five degenerate states. This is easily understood by the nuclear spin multiplicities of  $2 \times 6 = 12$ , splitting a given fine structure level into the corresponding HF states. For degenerate fine structure states 24 HF components are observed with 4 non-degenerate and 10 degenerate states. We now will focus on the lower state manifold resulting from the  $H\ ^2S_{1/2} + I\ ^2P_{3/2}$  asymptote and the corresponding levels are plotted in Fig. 6 for the beginning interaction region on a similar energy scale as above.

The hyperfine states shown in Fig. 6, corresponding to the  $^2P_{3/2}$  fine structure states, show similar characteristics as the HF states corresponding to the  $^2P_{1/2}$  fine structure states. Like the  $\Omega_{SO} = 0^+$  fine structure state in the  $^2P_{1/2}$  manifold, the  $\Omega_{SO} = 0^+$  fine structure state in the  $^2P_{3/2}$  manifold has a much deeper minimum ( $-24\ 655\text{ cm}^{-1}$ ) (see Fig. 3) at a shorter H-I





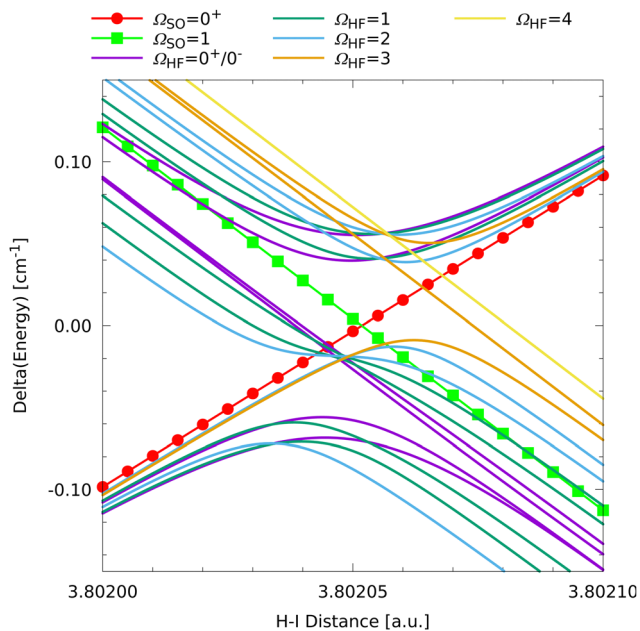


Fig. 7 Close-up visualization of the crossing between the  $\Omega_{\text{SO}} = 0^+$  state from the upper and the  $\Omega_{\text{SO}} = 1$  state from the lower fine structure asymptote at a HI distance around 3.8 a.u. For a better visualization, the average fine structure energy of the two states was subtracted for each distance.

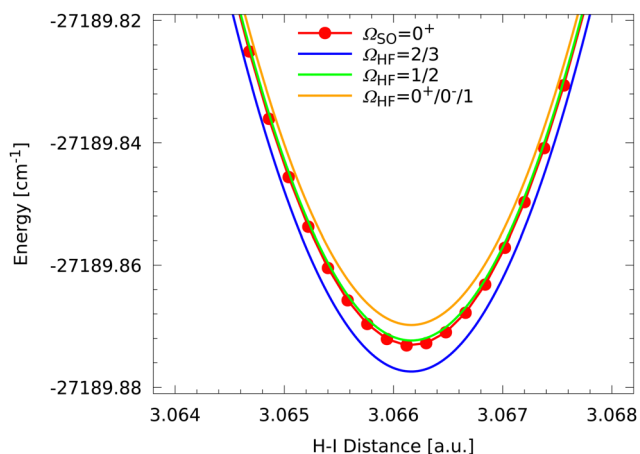


Fig. 8 Close-up of the global minimum region of the HI molecule showing the splitting of the hyperfine states due to HF coupling compared to the  $\Omega_{\text{SO}} = 0^+$  fine structure state.

to the typical set of seven states as was discussed above and a noticeable splitting is observed.

However, inspection of Fig. 8 shows some significant differences to the observations for the higher excited states. First of all, only three different energies are found, which correspond to three groups of degenerate states. The lowest group consists of two states with  $\Omega_{\text{HF}} = 2, 3$  followed by a degenerate group of two states 152 MHz above with  $\Omega_{\text{HF}} = 1, 2$ . The latter two HF states also seem to be almost coinciding with the  $\Omega_{\text{SO}} = 0^+$  fine structure state. The third degenerate set of states consists of states with  $\Omega_{\text{HF}} = 0^+, 0^-, 1$  and is found 76 MHz above. The

splittings around the fine structure state are found to be exactly of the ratio  $\frac{2}{3} : 0 : \frac{1}{3}$ .

The HF splitting of the global fine structure ground state might seem counter intuitive at first glance. The usual assumption would be that a simple closed-shell ground state molecule such as HI has a total spin of  $S = 0$  and no unpaired electrons to interact with the nuclear spins. But that of course is a rather simplified and non-relativistic view on the system. A closer and relativistic view of course shows that spin is not a good quantum number anymore and the ground state of HI does not have a total spin exactly but only close to zero. Furthermore, the simplified idea of a “closed-shell” molecule is based on the Hartree–Fock picture of the electronic wave function, meaning that the wave function is a single (closed-shell) Slater determinant. But of course, electron correlation causes the state to be a superposition of many Slater determinants and most of them are open shell and thus would contribute to the relativistic coupling. Spin–orbit coupling leads to a mixing of configuration state functions of different total spin in the ground state wave function causing the computed effect. Although this might seem an unusual observation, it nevertheless is well established and experimentally observable. Brown and Carrington point out in the general introduction chapter of their book on rotational spectroscopy of diatomic molecules that spin–orbit coupling always mixes states of different angular momentum and, thus, generating some orbital (and spin) angular momentum in each state.<sup>53</sup> This phenomenon was discussed as early as 1951 by Van Vleck already.<sup>58</sup> The ERCAR model accounts for these effects in a straightforward way but using a different basis representation. The diabatic model for the “spin-free” Coulomb Hamiltonian yields for the ground state around the global minimum a mixture of closed-shell ionic atom states ( $\text{I}^- + \text{H}^+$ ) and various open-shell atomic states. This corresponds to the electron correlation and correctly represents the electronic wave functions from *ab initio* calculations. Adding the fine structure coupling also mixes singlet and triplet basis states as the ERCAR eigenvectors show clearly. The observed non-vanishing splittings of the HF energies of the fine structure ground state are due to the same effect that HF active basis states are contributing to the fine structure state. This means that non-vanishing HF splittings should be present in any molecule that contains nuclei with non-vanishing nuclear spin. In particular, all organic molecules, usually containing many protons, might show this effect to some degree.

While the computed energy splittings cannot be measured experimentally, the effect of HF coupling in closed-shell ground state molecules is well known. The experimental manifestation of this effect is observed in the highly resolved rotational spectra of small molecules. One example of an organic closed shell molecule showing this effect is the argon complex of acetylene,  $\text{Ar-HCCH}$ .<sup>59</sup> HF coupling was measured for the HI molecule as well. The lowest rotational transition in the vibronic ground state of HI shows two distinct hyperfine splittings of roughly 160 and 385 MHz.<sup>60–62</sup> These hyperfine splittings are usually explained as nuclear quadrupole couplings with the



overall rotation and can be reproduced by appropriate effective Hamiltonians.<sup>53</sup> The present ERCAR model does account for the nuclear quadrupole coupling of  $^{127}\text{I}$  but also for the magnetic dipole interaction and the Fermi contact interaction with the proton. In contrast to rotational effective Hamiltonians, there are no molecular free parameters in the ERCAR model to be fitted with respect to experimental measurements. The only parameters entering the ERCAR model (besides those of the diabatic Coulomb model) are the atomic coupling constants, which are taken from experimental data, which ensures the highest accuracy possible within the ERCAR framework. In the  $^2\text{P}_{3/2}$  ground state of the iodine atom, the hyperfine splittings are dominated by the magnetic dipole rather than the electric quadrupole interaction. The Fermi contact interaction in the hydrogen atom is of a similar order of magnitude. This raises the question why in the simulation of experimental rotational spectra only the quadrupole moment seems to be relevant. This can be investigated using the present model. We studied the effect of the four different coupling constants on the HF splittings of the molecular ground state at the global minimum seen in Fig. 8. It turns out that indeed only the quadrupole coupling contributes significantly to the splittings and the effect of the other coupling constants is absolutely negligible. By contrast, the same analysis yields for all other fine structure states that always magnetic dipole interaction of iodine and Fermi contact interaction of hydrogen contribute heavily to the HF splittings and are not negligible at all. This finding is consistent with the experimental observations<sup>53</sup> and gives quite some support that the present ERCAR model represents the physics of the system correctly.

However, the main purpose of the ERCAR model is not to simulate a single experimental feature like a rovibronic transition but much rather to study many excited states and reactive processes or scattering.<sup>46</sup> The computed eigenvalues are not an experimental observable and the ERCAR model is not meant to simulate a rotational spectrum. Also note, that ERCAR represents the couplings of the nuclear spins with the electronic wave function rather than the overall molecular rotation. Thus, the observed hyperfine splittings are not directly comparable. Nevertheless, the computed splittings seem compatible with the experimental observations and are in the right order of magnitude. This is quite promising especially in the light of how tiny these energy splittings are.

Another take to assessing the reliability of the new model would be comparison with computed data. The situation is similar to experimental reference data. *E.g.*, hyperfine coupling constants can be computed but are very sensitive to molecular geometry, one-electron basis sets, electron correlation, spin-orbit coupling and so on.<sup>63,64</sup> Most usually, they are only computed for the electronic ground state of radicals at the equilibrium geometry. This is the difference to spin-orbit coupling and the corresponding energy splittings, which are directly accessible from electronic structure calculations and also measurable. For SO coupling we showed early on<sup>39</sup> by comparison with *ab initio* computed fine structure energies that the errors introduced by the approximations of the ERCAR method are an order of magnitude smaller than the effect of

atomic orbital basis sets or effective core potentials. The *ab initio* computed SO splitting of the  $^2\text{P}_{3/2}$  ground and  $^2\text{P}_{1/2}$  SO excited state of the iodine atom is roughly 10% too small while the asymptotic splitting from the ERCAR model is accurate by construction. We assume that the situation for the hyperfine energies will be similar. State-of-the-art computations of HF coupling constants like in ref. 63 also reach an accuracy of roughly  $\pm 10\%$  of experimental values while at least the atomic coupling constants of the ERCAR method are accurate because they are the experimentally determined ones. Previous ERCAR results for fine structure energies reach this accuracy not only for the ground but also for excited states without additional computational effort. This means that the method represents the adiabatic electronic states very well in terms of the diabatic asymptotic basis and thus the atomic coupling operators are incorporated with high accuracy into the molecular framework. Whether these atomic coupling operators represent spin-orbit coupling or hyperfine coupling should not make any difference regarding the accuracy of the final results. Finally, we note that ERCAR does not require additional computational effort for the incorporation of HF coupling. The coupling matrix is obtained purely analytically and coupling constants are usually available from experiment. At most the atomic coupling constants would have to be computed if not known for an atomic state of interest. Thus, all computational resources can be used for obtaining the best possible reference data for the Coulomb model. The other important point is that ERCAR by construction yields a fully coupled potential energy model for use in quantum dynamics or scattering studies. Developing such a model based on *ab initio* fine structure or hyperfine energies seems rather unfeasible for most systems of chemical interest.

## IV. Conclusions and outlook

Hyperfine (HF) couplings arising from interactions of nuclear spin with the electronic wave function are of significant interest in many fields and also for technological applications. Theoretical investigations of the quantum dynamics of a molecular system, accounting for the HF interactions properly, requires to incorporate these interactions into the full Hamiltonian of the system. It is of advantage to do this by an analytic model for HF couplings in the sense of a diabatic coupling model, which can be used easily in any general quantum dynamics approach. The Effective Relativistic Coupling by Asymptotic Representation (ERCAR) method was developed exactly for this purpose and yields an analytic diabatic potential energy surface (PES) model including relativistic coupling effects. However, so far it has been applied only to spin-orbit coupling. In this work, the ERCAR approach is extended by the incorporation of HF couplings and a proof of principle study is carried out. The H+I benchmark system is chosen for this purpose since the HF couplings can be added easily to an already existing ERCAR model. The non-vanishing nuclear spins of both atoms, H and I, lead to HF splittings of the fine structure states. The corresponding HF coupling matrices are derived for the  $^2\text{S}_{1/2}$  state of



H as well as the lowest two fine structure states  $^2P_{3/2}$  and  $^2P_{1/2}$  of I. This is sufficient at least for the present proof of principle study. The relevant HF interactions are the Fermi contact, magnetic dipole–dipole and electric quadrupole term. All these interactions are represented by effective coupling operators, expressed in the form of total nuclear spin  $\hat{I}$  and total angular momentum  $\hat{J}$  operators. These operators are represented in the ERCAR basis, which is extended by the nuclear spinors. The explicit expressions for the analytic HF couplings of the  $^2S_{1/2}$  state of H and the  $^2P_{3/2}$  and  $^2P_{1/2}$  states of I are given. The corresponding coupling constants are determined such that the HF model reproduces the experimental atomic hyperfine transitions. The original H+I model containing 104 electronic states is expanded to 1248 states by forming the direct product with the nuclear spinor basis and the HF model is added. Diagonalization of the full ERCAR model yields the HF energy levels and eigenvectors. The asymptotic as well as geometry dependent hyperfine splittings are discussed in detail.

Though the overall picture is unchanged with respect to the fine structure states, the HF coupling adds a lot of detail and additional effects to the potential curves. One of these effects is the appearance of additional avoided crossings between the fine structure states, which are due to the HF couplings. First of all, each degenerate fine structure state ( $\Omega_{SO} = 1, 2, \dots$ ) is split into two distinct sets of hyperfine states. Each of these sets consists of seven hyperfine states,  $\Omega_{HF} = 0^+, 0^-$  and five degenerate ones, with a total of 12 state components. This is due to the 12 nuclear spinor basis states corresponding to the spin multiplicities of  $2 \times 6$  for the proton with  $i^H = 1/2$  and the  $^{127}\text{I}$  nucleus with  $i^I = 5/2$ . The observed hyperfine splittings within each set are geometry dependent and are particularly large at the asymptote. They are much smaller in the interaction region and range between a few tens to a few thousands of MHz. Quite remarkably, significant splittings of 152 and 76 MHz are observed even at the global minimum of the fine structure ground state. These splittings are almost entirely due to the quadrupole coupling of the  $^2P_{3/2}$  iodine ground state, which is consistent with the interpretation of experimental rotational spectra of closed-shell molecules like HI.

The present HF coupled ERCAR model is sufficient to study the quantum dynamics within the manifold of the lowest two fine structure asymptotes. However, adding the HF interactions for higher asymptotes is straightforward and may improve the accuracy and extend the applicability of the model. We will study the elastic and inelastic scattering dynamics next because this will allow for a direct comparison with our previous study and will reveal the impact of the HF coupling. Since HF couplings and the corresponding splittings should be omnipresent in organic molecules, a future goal will be to develop simple models to account for these effects in more complex molecules.

## Data availability

The model developed and presented in the present work is available from the authors upon reasonable request.

## Conflicts of interest

The authors have no conflicts to disclose.

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