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Twisting of aryl groups affects thermal back reactivity of diarylbenzene photoswitches†

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Herein, we demonstrated that the thermal back reactivity of diarylbenzene photoswitches depended on the degree of twisting of the aryl groups. Density functional theory calculations revealed that the π -conjugation length in the transition state was the key to determining the activation free energy.

Molecular photoswitches serve as important components of photochemistry in materials and life sciences.^{1–8} Among various photoswitches, diarylethene (DAE) derivatives are known as the representative photochemically reversible type (P-type) photoswitches that exhibit high thermal stability in both isomers and high durability.^{9,10} In the course of study on the photochromic reactivity of DAEs to date, it has been revealed that the thermal back reactivity from the closed-ring isomer to the open-ring isomer can be controlled by tuning the aromatic stabilization energy of the aryl groups, introducing bulky substituents at the reactive carbons, and introducing polar substituents at the lateral phenyl rings.^{11–15} Moreover, in 2019, our group developed diarylbenzenes (DABs) that are analogues of DAE with a benzene ring at the ethene bridge moiety.¹⁶ DABs function as thermally reversible type (T-type) photoswitches, and the thermal back reactivity of DABs can also be modulated by the three factors mentioned above.^{17–23} The change in the thermal back reactivity can be explained by the change in the energy difference between the open- and closed-ring isomers in the ground state. A larger energy difference corresponds to a lower activation energy barrier for the thermal back reaction, resulting in an accelerated thermal back reaction, which is called the Bell–Evans–Polanyi principle.²⁴ Here, we investigated

whether there were any other factors controlling the thermal back reactivity of DAB besides the above three factors.

Recently, the dihedral angle between two aromatic rings has been in the spotlight because it affects various physicochemical properties and reactivities.^{25–28} For instance, Risko and co-workers investigated the influence of the type of connected aromatic rings and the associated dihedral angles on the molecular energy landscape.²⁹ Their study revealed four distinct patterns of energy variation, depending on the nature of the aromatic rings and the dihedral angle between them. Kim, Osuka, and co-workers reported that modulation of the dihedral angle between porphyrin units alters the extent of π -conjugation, significantly affecting the efficiency of two-photon absorption processes.³⁰ Suganuma and co-workers reported that the photocyclization reactivity of inverse-type DAEs is affected by the dihedral angles in the aryl groups.^{31,32} These studies collectively highlight the effect of twisting the dihedral angles between aromatic units on inducing substantial changes in the physical and electronic properties of molecules, offering a powerful strategy for molecular design.

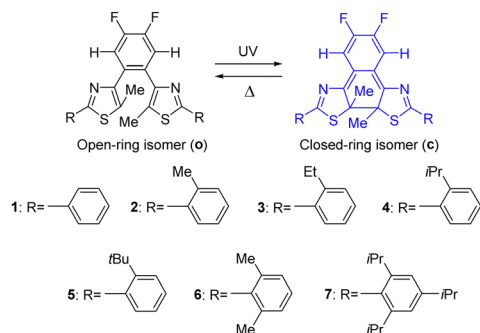
Inspired by these reports, in this study, to examine the effect of the dihedral angles in the aryl groups of DABs on thermal back reactivity, we focused on steric repulsion and designed novel compounds 2–7 with various alkyl groups at the lateral phenyl rings (Scheme 1). To estimate the dihedral angles of the open- and closed-ring isomers in the ground state for compounds 1–7, density functional theory (DFT) calculations were conducted. The dihedral angles for the open-ring isomers (φ_{open}) 1–7 were estimated to be 2.2°, 30°, 36°, 40°, 74°, 75°, and 82°, respectively, as shown in Fig. S1 (ESI†). The dihedral angles for the closed-ring isomers 1–7 (φ_{closed}) were also estimated to be 4.2°, 16°, 21°, 29°, 77°, 76°, and 83°, respectively, as shown in Fig. 1a and Fig. S2 (ESI†). These results indicate that by introducing different alkyl groups such as methyl, ethyl, isopropyl, and *tert*-butyl groups into the *ortho* position of the lateral phenyl ring, it is possible to prepare DABs with various dihedral angles in the aryl groups. Therefore, we synthesized 2–7 using a procedure similar to that described in the literature.²³ The details are described in the ESI.† The chemical

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Scheme 1 Molecular structures of the diarylbenzene derivatives (**1–7**) investigated in this work.

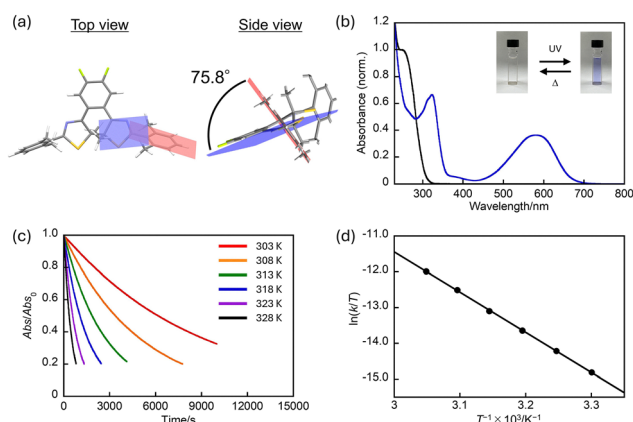


Fig. 1 (a) Optimized structure of **6c** in the ground state using DFT calculations. (b) Absorption spectral change of **6** at 298 K in *n*-hexane: the open-ring isomer (black line) and the photostationary state upon irradiation with 254 nm light (blue line). (c) Absorption decay curves at λ_{max} for **6c** in *n*-hexane at various temperatures. (d) Eyring plot for the thermal back reaction of **6c**.

structures were confirmed by ^1H and ^{13}C NMR spectroscopy, high-resolution mass spectrometry, and X-ray crystallographic analysis.

The photochromic behaviors of **1–7** in *n*-hexane were investigated. Fig. 1b shows the absorption spectral change of **6**. **6o** has an absorption maximum (λ_{max}) of 249 nm in *n*-hexane. Upon irradiation with 254 nm light, the colorless solution turned blue, and an absorption maximum was observed at 580 nm. This spectral change is ascribed to photoisomerization

from the open-ring isomer to the closed-ring isomer. After cessation of UV irradiation, the photogenerated colored closed-ring isomer **6c** gradually underwent a thermal back reaction to the initial colorless open-ring isomer **6o**, reducing the absorbance in the visible region. This indicates that **6o** exhibits T-type photochromism, as in the case of **1o** reported previously.²¹ **2o–5o** and **7o** also exhibited similar absorption spectral changes, as shown in Fig. S3 (ESI†). The photophysical properties of **1–7** are summarized in Table 1. As the dihedral angle increases, the absorption maximum of both the open- and closed-ring isomers shift to shorter wavelengths (Fig. S4, ESI†). The reason is that the twisting of the phenyl ring reduces the effective π -conjugation length.

To quantitatively evaluate the thermal back reactivity of **2c–7c**, the change in absorbance at various temperatures was measured, as shown in Fig. 1c and Fig. S5 (ESI†). The decay curve followed first-order kinetics, and the rate constants (k) of the thermal back reaction at various temperatures were determined (Fig. S6 and Tables S1–S6, ESI†). Fig. 1d and Fig. S7 (ESI†) show the Eyring plots for the thermal back reaction of **2c–7c**. The experimental activation enthalpy ($\Delta H_{\text{exp}}^\ddagger$) and activation entropy ($\Delta S_{\text{exp}}^\ddagger$) in the thermal back reaction were determined from the slope and intercept, respectively. Using these values, the experimental activation free energy ($\Delta G_{\text{exp}}^\ddagger$) and half-life ($t_{1/2}$) at 298 K were calculated. The results are summarized in Table 1. The values of $\Delta G_{\text{exp}}^\ddagger$ for **2–7** were determined to be 88, 89, 90, 95, 97, and 99 kJ mol $^{-1}$, respectively. The values of $\Delta G_{\text{exp}}^\ddagger$ for **1–4** were almost the same, but those for **5–7** gradually increased in the order of **5**, **6**, and **7**. The $t_{1/2}$ values for **2–7** were also determined as 5.7, 6.1, 10.2, 76.6, 193, and 447 min, respectively. Again, the values of $t_{1/2}$ for **1–4** were similar, but those for **5–7** were significantly longer in the order of **5**, **6**, and **7**. These results demonstrate for the first time that the twisting of the aryl groups by introducing bulky alkyl groups on the phenyl ring reduces the thermal back reactivity.

To reveal the reason why the twisting of the aryl groups affects the thermal back reactivity, it would be desirable to experimentally determine the energy difference between the open and closed ring isomers. However, DABs are T-type compounds and closed-ring isomers cannot be isolated, making it difficult to accurately estimate the energy difference. Therefore, we adopted DFT calculations, and the values of the theoretical activation free energy ($\Delta G_{\text{calc}}^\ddagger$) at 298 K and the energy difference between the closed-ring isomer and the

Table 1 Photophysical properties and Eyring parameters of the thermal back reaction of **1–7** at 298 K in *n*-hexane

| | Open-ring isomer | | | Closed-ring isomer | | | $\Delta H_{\text{exp}}^\ddagger/\text{kJ mol}^{-1}$ | $\Delta S_{\text{exp}}^\ddagger/\text{J mol}^{-1}$ | $\Delta G_{\text{exp}}^\ddagger/\text{kJ mol}^{-1}$ | $t_{1/2}/\text{min}$ |
|-----------------------|--------------------------------|----------------------------------------------|-----------------------------------------|----------------------------------|----------------------------------------------|------|-----------------------------------------------------|----------------------------------------------------|-----------------------------------------------------|----------------------|
| | $\varphi_{\text{open}}/^\circ$ | $\lambda_{\text{max}}(\text{exp})/\text{nm}$ | $\epsilon/\text{M}^{-1} \text{cm}^{-1}$ | $\varphi_{\text{closed}}/^\circ$ | $\lambda_{\text{max}}(\text{exp})/\text{nm}$ | | | | | |
| 1 ^a | 2.2 | 312 | 28 000 | 4.2 | 670 | 84.3 | | −11.9 | 87.9 | 4.6 |
| 2 | 30 | 298 | 25 300 | 16 | 647 | 85.6 | | −9.3 | 88.4 | 5.7 |
| 3 | 36 | 289 | 20 500 | 21 | 637 | 86.2 | | −9.7 | 89.0 | 6.1 |
| 4 | 40 | 284 | 17 200 | 29 | 629 | 85.5 | | −14.5 | 89.8 | 10.2 |
| 5 | 74 | 266 | 14 700 | 77 | 598 | 88.9 | | −19.8 | 94.8 | 76.6 |
| 6 | 75 | 249 | 19 000 | 76 | 580 | 93.3 | | −12.7 | 97.1 | 193 |
| 7 | 82 | 248 | 18 800 | 83 | 577 | 95.4 | | −12.9 | 99.2 | 447 |

^a Ref. 21.



open-ring isomer (ΔG_{calc}) were calculated. The results are summarized in Table S7 (ESI[†]). The values of $\Delta G_{\text{calc}}^{\ddagger}$ were close to those of $\Delta G_{\text{exp}}^{\ddagger}$, indicating that the DFT calculations reproduced the experimental results well. In addition, we checked the relationship between the ΔG_{calc} and $\Delta G_{\text{calc}}^{\ddagger}$. As mentioned in the introduction part, in the case of DAEs and DABs, the change in thermal back reactivity can be generally explained by the Bell–Evans–Polanyi principle.²⁴ Namely, the smaller ΔG , the larger ΔG^{\ddagger} , resulting in the deceleration of the thermal back reaction (and *vice versa*). Therefore, there is usually a linear relationship between ΔG and ΔG^{\ddagger} . However, in this case, there is no correlation between the ΔG_{calc} and $\Delta G_{\text{calc}}^{\ddagger}$, as shown in Fig. S8 (ESI[†]). This indicates that the change in the thermal back reactivity cannot be simply explained by the Bell–Evans–Polanyi principle, and the twisting of the aryl groups greatly affects the energy level of the transition state compared with those of the open- and the closed-ring isomers.

To investigate the origin of the change in the energy level of the transition state, we focused on the dihedral angles in the transition states (φ_{TS}) of **1–7** and compared them with the dihedral angles of the closed-ring isomers. In addition, the molecular orbital distributions of the closed-ring isomer and the transition state at the highest occupied molecular orbital (HOMO) were visualized. The results are shown in Fig. 2a, b and Fig. S9, S10 (ESI[†]). For the transition state, only the molecular orbitals in the α spin state are shown because the molecular orbitals in the β spin state are mirror images of the molecular orbitals in the α spin state. The φ_{TS} of **1–7** were 1.8°, 19.2°, 19.9°, 32.5°, 48.9°, 57.5°, and 81.3°, respectively. There are no large differences in the dihedral angles between the transition

state and the closed-ring isomer for **1–4** and **7**, but there are large differences for **5** and **6**. Moreover, in the transition state, all compounds have a greater spread of electron orbitals to the lateral phenyl ring. These results suggest that a longer π -conjugation length is preferable in the transition state. This is probably because the transition state is more delocalized in 6π electrocyclic reactions than in open- and closed-ring forms. Therefore, extending the π -conjugation further to the phenyl ring leads to stabilization. However, the π -conjugation length in the transition state tends to become shorter as the dihedral angles of the closed-ring isomer increase. This trend can be interpreted from the viewpoint of the steric repulsion between the thiazole and alkyl groups on the phenyl rings. In the case of **1–4**, the alkyl groups are not very large, and the dihedral angles of the closed-ring isomer are already small. Therefore, no significant change in the molecular structure was observed in the transition state. For **5** and **6**, the dihedral angles of the closed-ring isomers were large; thus, the molecular structure changed significantly to reduce the dihedral angles and extend the π -conjugation length for stabilization in the transition state. However, because of the steric repulsion, the dihedral angles in the transition state are larger than those in **1–4**, resulting in a shorter π -conjugation length than in **1–4**. On the other hand, although the dihedral angles of the closed-ring isomer of **7** are also large, the isopropyl groups on the phenyl rings are sterically very bulky, and steric repulsion occurs between the thiazole ring and the isopropyl groups. Therefore, no structural change can occur between the transition state and the closed-ring isomer, resulting in the largest dihedral angle and the shortest π -conjugation length in the transition state. The value of $\Delta G_{\text{exp}}^{\ddagger}$ increases as the dihedral angles of the aryl groups in the transition state, as shown in Fig. 2c.

Summing up the results described above, we propose a mechanism for the change in thermal back reactivity induced by twisting of the aryl groups due to the introduction of bulky alkyl groups on the lateral phenyl ring. In the closed-ring isomer, the dihedral angles are set to eliminate the steric repulsion between the thiazole ring and the alkyl group on the lateral phenyl ring, but in the transition state, they likely become planar to extend the π -conjugation length for stabilization. However, the dihedral angles in the transition state also depend on the compounds due to steric repulsion between the thiazole ring and the alkyl group on the lateral phenyl ring, resulting in different π -conjugation lengths. This makes the energy of the transition state unstable, and ΔG^{\ddagger} increases (Fig. 3). In particular, in compound **7**, the large steric hindrance makes it impossible to reduce the dihedral angle in the transition state, resulting in an insufficient extension of the π -conjugation and a very low thermal back reactivity.

In conclusion, we demonstrated that the thermal back reactivity of DABs can be effectively tuned by controlling the dihedral angles in the aryl groups by introducing various bulky alkyl substituents. Both experimental and theoretical investigations revealed that the larger dihedral angles of the closed-ring isomers lead to an increase in the activation-free energy and prolongation of the thermal half-life. DFT calculations suggested

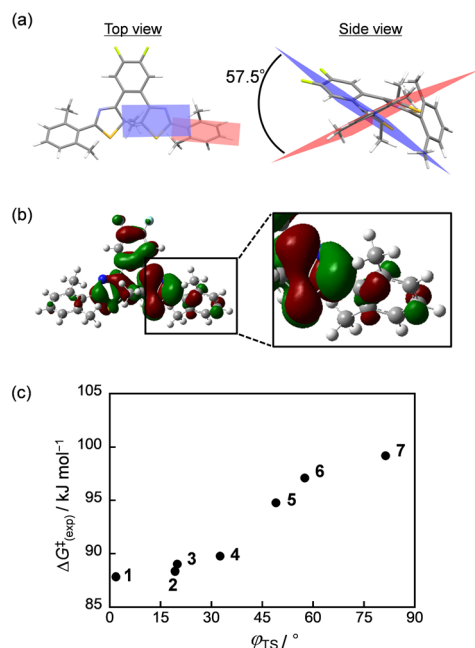


Fig. 2 (a) Optimized structure and (b) molecular orbital distribution of **6** at the HOMO in the transition state using DFT calculations. (c) Relationship between the dihedral angles of aryl groups in the ground state and the experimental activation free energy.



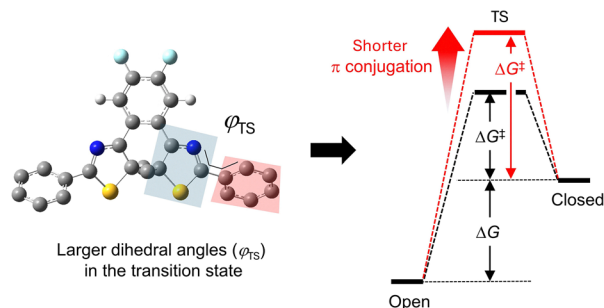


Fig. 3 Mechanism of the change in thermal back reactivity depending on the dihedral angles in the aryl groups.

that the destabilization of the transition state, caused by the inability to achieve planar geometries due to steric repulsion, results in the suppression of π -conjugation in the transition state, thereby elevating the energy barrier for thermal back reaction. This mechanism provides a clear explanation for the observed decrease in thermal back reactivity in DABs having highly twisted aryl groups. Overall, this study highlights a new structural strategy for controlling the thermal back reactivity of DABs by modulating aryl ring twisting, which may facilitate the design of advanced molecular switches with tunable kinetic properties.

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Conflicts of interest

There are no conflicts to declare.

Data availability

The data supporting this article have been included as part of the ESI.†

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