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Self-encapsulation or picket-fence? An answer to molecular designs of highly solid-state luminescent conjugated polymers†

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Both self-encapsulation and picket-fence molecular designs are individually applied to three types of phenylene-based conjugated polymers for reducing the interchain interactions and enhancing the solid-state luminescence. We discovered that all the picket-fence polymers show higher photoluminescence quantum yields in solid films than the corresponding self-encapsulated analogues despite their less bulky substituents.

Conjugated polymers (CPs) in the solid state transport both charges and excitons within and between the main chains, which has encouraged their application to electronic and sensing devices.¹ Some CPs exhibit fluorescence even in the solid state, making them useful candidates as emissive layers in solution-processable organic light-emitting diodes and transistors.² However, most CPs in the solid state are weakly emissive due to the interchain exciton transport and their eventual deactivation through strong interactions of the main chains.³ Thus, particular care is needed for the molecular design of solid-state luminescent CPs.

Self-encapsulation is one of the well-known, innovative molecular designs to reduce the interchain interactions.⁴ This design represents that the conjugated chain is covered with the insulated alkylene straps or molecular hosts, separating the main chains even in the solid state and thus reducing their interactions (Fig. 1a). Several examples of self-encapsulated CPs have been reported to exhibit excellent photoluminescence quantum yields (Φ) in films. Sugiyasu, Takeuchi and coworkers developed a self-encapsulated phenylene unit, which transformed into a series of alternating copolymers showing efficient luminescence.⁵ The same type of encapsulated structure was further employed by Bronstein *et al.*⁶ Terao and coworkers reported solid-state photoluminescent cyclodextrin-encapsulated CPs.⁷

Meanwhile, “picket fence” is another type of design concept that prevents π - π stacking by using bulky or vertical groups (Fig. 1a), as represented by 2,6-disubstituted phenyl groups, attached to a horizontal π -conjugated plane.⁸ Its fence-like shape aligns vertically with respect to the π -plane due to the high rotational barrier of the C-C bond between the group and π -plane. Although not clearly denoted as “picket-fence” polymers in the literature, several highly photoluminescent CPs can be classified in this category.⁹

We were strongly interested in the question of which is more effective for efficient solid-state luminescence, the self-encapsulated design or the picket-fence one (Fig. 1a). However, there has been no research that systematically studies and compares the photophysical properties of both designs of CPs derived from a common main chain. To answer this question, here we report the synthesis and evaluation of self-encapsulated and picket-fence CPs from three phenylene-based one-dimensional (1D) chains – poly(*p*-phenylene) (PP), poly

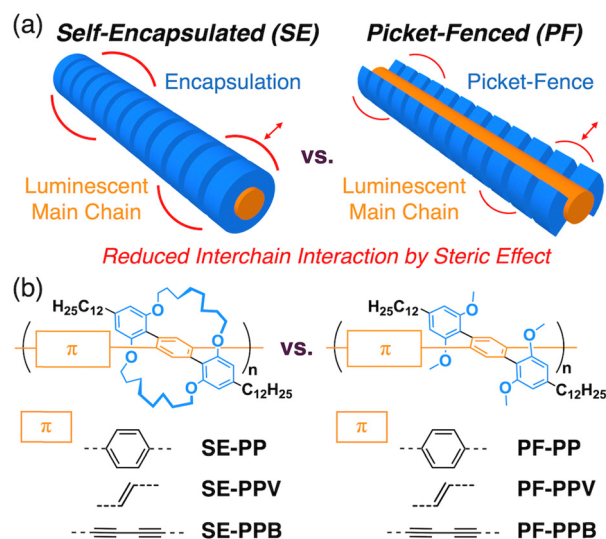


Fig. 1 (a) Schematic illustrations and (b) molecular structures of self-encapsulated and picket-fenced conjugated polymers.

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(*p*-phenylene vinylene) (PPV) and poly(*p*-phenylene butadiynylene) (PPB) (Fig. 1b and Fig. S1 in ESI†). The encapsulating unit was two octylene chains, while the picket-fence group is the methyl analogue (Fig. 1b). We disclosed that the picket-fence design is more effective, in spite of the less bulkiness, allowing higher Φ in solid films than the corresponding self-encapsulated CPs. Additionally, we found the unprecedented notion that encapsulating chains, arranged along the 1D conjugated chain, sometimes promote aggregation of CPs *via* multiple van der Waals interactions of strapping chains and decrease the solid-state luminescence efficiency. This aggregation is more enhanced by the polymers with a higher degree of polymerization (DP).

The full synthetic scheme of self-encapsulated monomers **SE-M1**, **SE-M2** and **SE-M3** and picket-fence monomers **PF-M1**, **PF-M2** and **PF-M3** (Fig. 2) is shown in Fig. S2 (ESI†). Boronic acids **1** and **2** are the picket-fence unit and precursor of the self-encapsulating unit, respectively, while **3** is a precursor of the diethynyl monomer. The monomers for PPs, **SE-M1** and **PF-M1**, were synthesized according to the earlier report⁵ except shortening the steps for **SE-M1** by using direct Williamson ether synthesis (see the protocols in ESI†). **SE-M2** was synthesized *via* a Suzuki–Miyaura cross-coupling reaction of diethyl 2,5-dibromoterephthalate with **2**, followed by reduction of the ethoxycarbonyl groups, deprotection of methoxymethyl groups, strapping with octylene chains, and transformation of the hydroxymethyl groups to bromomethyl groups (Fig. S2, ESI†). **PF-M2** was synthesized similarly using compound **1** instead of **2**. **SE-M3** was synthesized *via* a Suzuki–Miyaura cross coupling reaction between **2** and **3**, followed by the deprotection of the methoxymethyl groups, strapping with octylene chains and deprotection of the triisopropylsilyl groups (Fig. S2, ESI†). **PF-M3** was synthesized similarly starting from **1** and **3**. The NMR charts of these monomers are listed in Fig. S3–S21 (ESI†).

SE-PP and **PF-PP** (Fig. 1b) were prepared *via* Suzuki-coupling polymerizations of **SE-M1** and **PF-M1**, respectively, with

phenylene-1,4-diboronic acid (Fig. 2). Although the same reaction conditions were employed, the average size of the polymers was larger for **PF-PP**, judging from the retention time in analytical size-exclusion chromatography (SEC) of the crude polymers. This difference is probably due to the different reactivity of monomers originating from the larger steric effect by the encapsulating units for **SE-M1**. Thus, **SE-PP** was purified by preparative SEC to remove the oligomeric small molecular products. As a result, **SE-PP** and **PF-PP** were isolated with comparable average molecular weights (M_n and M_w) (Table 1). The Gilch polymerization of **SE-M2** and **PF-M2** in the presence of *t*BuOK in PhCl at 110 °C yielded **SE-PPV** and **PF-PPV**, respectively (Fig. 2). The molecular weight of **PF-PPV** is much larger than that of **SE-PPV**, probably due to the smaller steric effect of picket-fences than encapsulating chains. After reprecipitation, we collected **PF-PPV** in two fractions by preparative SEC and denoted them as **PF-PPV_L** and **PF-PPV_S**, with relatively large (L) and small (S) M_n , respectively (Table 1). The M_n of **SE-PPV** is even much smaller than that of **PF-PPV_S**. The PPBs were synthesized *via* Eglinton coupling of **SE-M3** or **PF-M3** in the presence of excess Cu(OAc)₂ in pyridine (Fig. 2). **SE-PPB** with enough DP was obtained by using PhCl as a co-solvent and elevating the reaction temperature at 80 °C. **PF-PPB_L** and **PF-PPB_S** with relatively large and small average molecular weights were obtained by more mild conditions using PhCl/pyridine at 60 °C and pyridine at 25 °C, respectively. To our surprise, these PPBs, though having two dodecyl chains per monomer unit and bulky encapsulating or picket-fence units, show low solubility in CHCl₃ and PhCl, implying the strong interpolymer interactions. The SEC charts of the polymers are shown in Fig. S22–S29 (ESI†) and the average molecular weights, M_n and M_w , are estimated based on polystyrene standards (Table 1).

The absorption and fluorescence spectra of the polymers are shown in Fig. 3, and the related parameters are summarized in Table 1 and Table S1 (ESI†). The fluorescence spectra of **SE-PP** in CHCl₃ and the spin-coated film are basically close to each other, but the spectrum in the film shows a shoulder over 450 nm (Fig. 3a). **PF-PP** shows a further higher degree of spectral similarity between the solution and film states (Fig. 3b). The observed spectral similarities indicate that the excited-state interactions among the main chains are suppressed in their films. In fact, both **SE-PP** and **PF-PP** recorded high Φ values of 0.32 and 0.39 in films. Of interest here, the value of **PF-PP** is higher than that of **SE-PP**. **SE-PPV** exhibited a large Φ of 0.58 in CHCl₃ and 0.28 in the film state, where the absorption and emission maxima are almost the same in the solution and film (Fig. 3c). **PF-PPV_L** and **PF-PPV_S** show remarkably bright luminescence in solutions with Φ of 0.79 and 0.83, respectively. These values are much larger than that of the conventional PPV derivatives such as MEH-PPV (poly[2-methoxy-5-(2'-ethylhexyloxy)-1,4-phenylenevinylene])^{9c} (Φ = 0.33 in our measurements). The high DP (M_n = 130 000 and 61 000) and picket-fence structures leading to the highly planar conformation main chain would contribute to this remarkable luminescence efficiency (see the large radiative rate constant value (k_r) in Table S1, ESI†). The values of Φ in a film dropped compared with those in solution and exhibited small

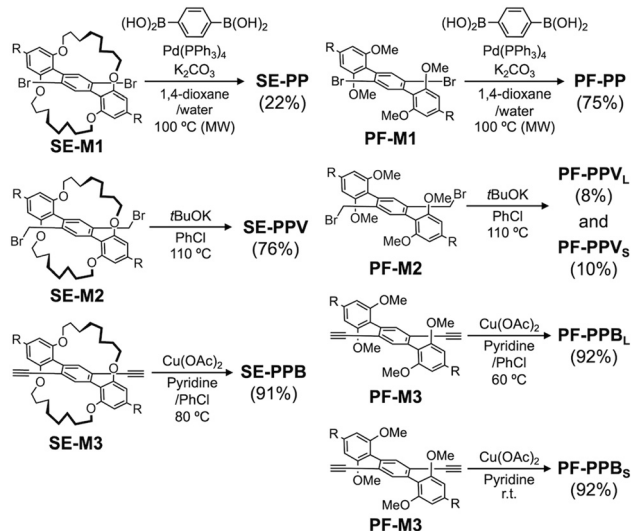


Fig. 2 Synthetic schemes with isolated yields of self-encapsulated and picket-fenced polymers from corresponding monomers. R = C₁₂H₂₅.



Table 1 Average molecular weights (M_n/M_w), absorption/emission maxima ($\lambda_{\text{abs}}/\lambda_{\text{em}}$) and photoluminescence quantum yield (Φ). λ_{ex} = 320, 405 and 365 nm for PPs, PPVs and PPBs, respectively

	M_n/M_w		$\lambda_{\text{abs}}/\text{nm}$	$\lambda_{\text{em}}/\text{nm}$	Φ
SE-PP	5800/8600	CHCl_3	271	386	0.49
		Film	269	387	0.32
PF-PP	5800/7900	CHCl_3	272	384	0.61
		Film	272	386	0.39
SE-PPV	10 000/18 000	CHCl_3	431	493, 523	0.58
		Film	435	496, 531	0.28
PF-PPV_L	130 000/186 000	CHCl_3	431	484, 515	0.79
		Film	441	494, 528	0.25
PF-PPV_S	61 000/94 000	CHCl_3	431	484, 514	0.83
		Film	441	493, 528	0.30
SE-PPB	17 800/47 200	PhCl	403, 413, 441	430, 445, 474, 490	0.25
		Film	401, 411, 440	446, 475, 491	0.09
PF-PPB_L	20 000/67 600	PhCl	404, 414, 441	434, 449, 477, 493	0.33
		Film	405, 415, 441	449, 479, 495	0.12
PF-PPB_S	7400/13 600	PhCl	390, 409	437, 461	0.38
		Film	401, 414, 439	446, 477, 494	0.13

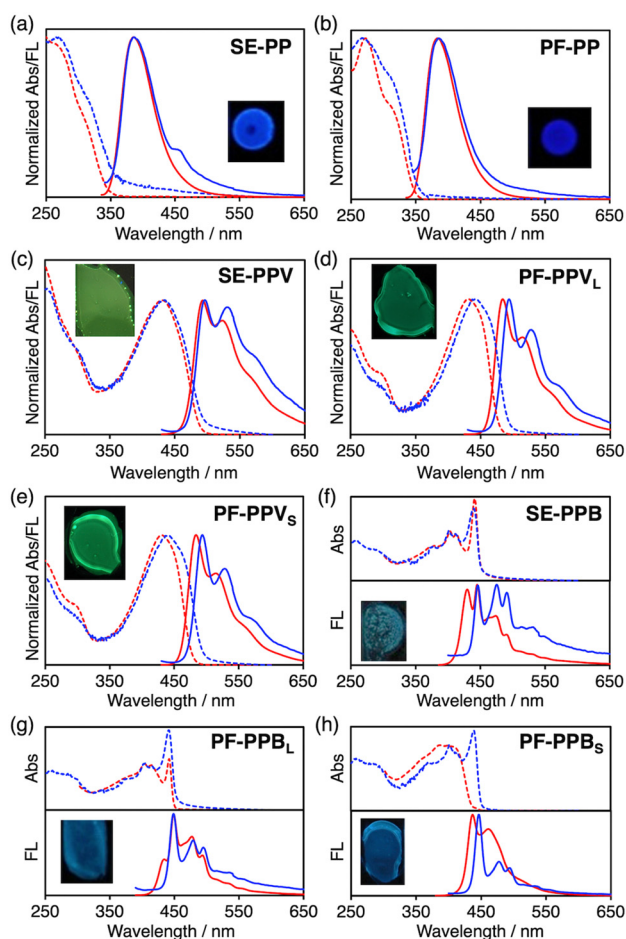


Fig. 3 Electronic absorption (dashed) and fluorescence (solid) spectra of (a) **SE-PP**, (b) **PF-PP**, (c) **SE-PPV**, (d) **PF-PPV_L**, (e) **PF-PPV_S**, (f) **SE-PPB**, (g) **PF-PPB_L** and (h) **PF-PPB_S** in solution (red) and film (blue). λ_{ex} = 320, 405 and 365 nm for PPs, PPVs and PPBs, respectively. CHCl_3 was used for PPs and PPVs, while PhCl for PPBs as solvents. The concentration of the solutions is 2.0×10^{-5} M. Inset shows the photographs of the spin-coated films under 365 nm light.

red shifts in the absorption and emission maxima (Fig. 3d and e). However, the Φ value of 0.30 observed for the film of **PF-PPV_S** is still higher than those of well-known MEH-PPV^{9c} ($\Phi \sim 0.14$)

and Super Yellow¹⁰ ($\Phi \sim 0.28$) (Fig. S30 and Table S1, ESI†) as well as that of **SE-PPV**. Our observations so far revealed a new insight – the picket-fence design has an advantage over self-encapsulation on the luminescence efficiency in solid films.

All the films of **SE-PPB**, **PF-PPB_L** and **PF-PPB_S** showed Φ values around 0.10. These lower values compared with PP and PPV derivatives originate from both the significant decrease of k_r and large nonradiative rate constant (k_{nr}) (Table S2, ESI†). The interdigitated aggregates formed in the films may cause the cancellation of the transition dipole moments along the main chain direction and thus decrease k_r .¹¹ The large k_{nr} can be partly explained by the strong contribution of the intersystem crossing for diyne-containing structures.¹² Taking the unexpected low solubility of the PPB derivatives into account, we focused on the aggregation of polymers in diluted solutions. In PhCl solutions, **SE-PPB** and **PF-PPB_L** display obvious vibronic structures both in their absorption and fluorescence spectra (Fig. 3f and g). The characteristic sharp absorption at around 440 nm corresponds to the highly coplanar conjugated main chains. This absorption band has been observed for the β phase of polyfluorenes and low-temperature solution phase of PPEs,¹³ where the planarization of the main chains and their inter-chain aggregation work cooperatively. To confirm the aggregation behavior of these two polymers in diluted solutions, dynamic light scattering (DLS) measurements were carried out. These polymers in PhCl give strong light scattering and suggest aggregates with an approximate hydrodynamic radius of 1–10 nm (Fig. 4a and b). Moreover, the absorption band at ~ 440 nm decreased with increasing the temperature and eventually disappeared at 100 °C (Fig. 4c and d), while atomic force microscopy confirms the aggregates with a height of 4–10 nm (Fig. S31, ESI†). The observed aggregation behavior has not been expected because we thought the encapsulating chains and picket-fence groups must suppress the π - π stacking. In contrast, **PF-PPB_S** does not show the sharp absorption at ~ 440 nm and the vibronic structure is absent (Fig. 3h and 4e). Namely, the value of DP, *i.e.* the average chain length, significantly affects the strength of interchain interactions. We consider that the aggregates are formed by



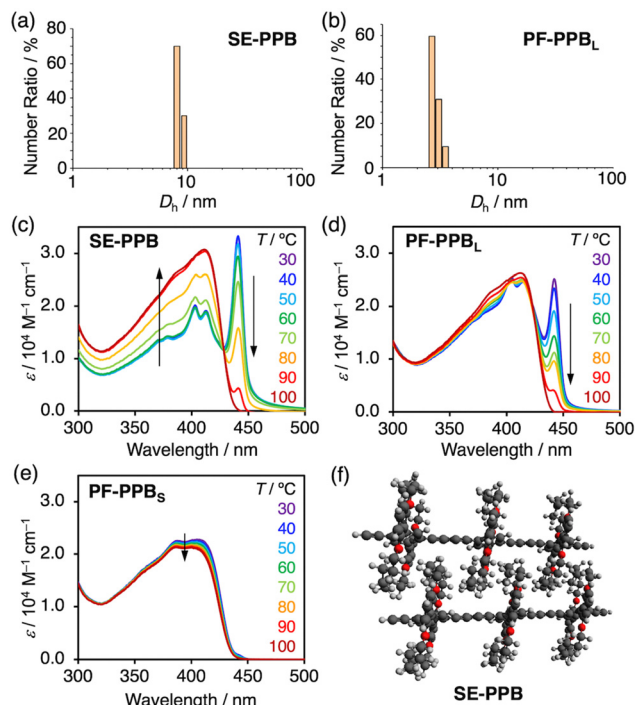


Fig. 4 Hydrodynamic radius distributions of (a) SE-PPB and (b) PF-PPB_L in PhCl at 2.0×10^{-5} M at 25 °C. VT-absorption spectra of (c) SE-PPB, (d) PF-PPB_L and (e) PF-PPB_S in PhCl at 30–100 °C on heating. (f) Packing model of two adjacent chains of trimer segments for the SE-PPB aggregate.

the interdigitation of the periodically-arranged encapsulating or picket-fence units. As shown in Fig. 4f and Fig. S32 (ESI[†]), the space between adjacent encapsulating or picket-fence units can be filled with those from other chains. Consequently, the highly planar PPB chains are formed without direct π - π stackings. The stronger aggregation for SE-PPB than PF-PPB_L is most likely due to the larger total van der Waals contacts given by the interdigitation of the straps arranged along the long main chain.

The aggregated structures of the polymers were investigated by the powder X-ray diffraction (PXRD) of the reprecipitated polymer solids and wide-angle X-ray scattering (WAXS) of the dropcast films (Fig. S33, ESI[†]). Some of the observed peaks can be assigned as a periodicity of the interdigitation for PPBs (Fig. S34, ESI[†]). Meanwhile, PPs and PPs are revealed to be amorphous, where the aggregates do not have clear structural periodicity. Our studies so far suggest a new insight into the importance of DPs on the solid-state luminescence. Large DP tends to induce strong polymer aggregation *via* multiple van der Waals contacts, especially stronger for the self-encapsulated design, thus decreasing the solid-state luminescence efficiency.

In summary, a series of 1D CPs with self-encapsulated or picket-fence structures were synthesized, and their solution and solid-state luminescence were characterized. We clarified that the picket-fence derivatives show higher Φ in films even though the steric effect from the picket-fence dimethyl units is smaller than the encapsulating octylene chain. Considering the easier synthesis of picket-fence CPs over self-encapsulated analogues, this finding is quite encouraging. We propose that a dense arrangement of picket-fence units is important to avoid the unfavourable interdigitation of the polymer chains, while moderate DPs possibly enhance the k_r by full conjugation of the main chains and avoid

significant increase of k_{nr} due to the strong parallel stacking of the chains. The highly emissive picket-fence polymers can be synthesized *via* only 5–7 steps with a sufficient yield in each step. The picket-fence unit used in this study has an advantage in terms of its applicability to other CPs, which will potentially boost future development of solution-processable efficient solid-state emitters from CPs with controlled DPs.

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Data availability

The data supporting this article have been included as part of the ESI.[†]

Conflicts of interest

There are no conflicts to declare.

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