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Through-space conjugation driven luminescence enhancement in crystalline butterfly architectures†

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Through-space conjugation in organic chromophores offers significant potential for developing highly efficient luminescent materials. Herein, we investigate the luminescent properties of crystalline tetra-naphthalene connected dihydropentacene isomers, 1-NP and 2-NP, using both experimental and theoretical approaches, establishing the presence of through-space conjugation mediated luminescence enhancement.

Organic luminescent materials play a pivotal role in the development of optoelectronic devices, sensors, biological probes, and so on.^{1–3} Understanding the fundamental principles and mechanisms accountable for the intriguing photophysical changes that occur in a chromophore, from its monomeric state to its aggregate state, is of great interest.^{4,5} The pioneering work by Tang and co-workers on hexaphenylsilole paved the way for luminogens exhibiting aggregation-induced emission (AIEgens).⁶ Through-bond conjugation (TBC) and/or through-space conjugation (TSC) possibly act as the key factors in determining the efficiency of luminogens by enabling effective electron delocalisation within the molecular scaffold.⁷ AIE of conventional luminogens is well explained by TBC mediated rigidification and restriction of intramolecular motions (RIM), which enhances photoluminescence in both crystalline and aggregate states.^{4,8} Apart from TBC, recent studies have emphasized the role of TSC, a non-bonding interaction arising from the spatial proximity of the orbitals, can assist in enhancing the luminescence, commonly observed in non-conjugated molecules upon formation of aggregates or crystals.^{9,10}

Chromophores with low π -conjugation that exhibit visible-range emission are highly sought after due to their superior

biocompatibility and processability compared to highly conjugated luminogens.¹¹ Previous reports have shown the presence of TSC in luminogens with limited π -conjugation.¹² Therefore, designing materials with low-conjugation structure and a through-space interacting molecular framework will facilitate the investigation of TSC effects. Dihydroacene derivatives, a group of cross-conjugated chromophores, can exhibit luminescence enhancement due to the interplay between multiple inter- and intramolecular interactions, coupled with the formation of a rigid contorted butterfly architecture resulting from the incorporation of bulky substituents.¹³ The presence of AIE in dihydroacene derivatives is well explained *via* RIM mechanisms; however, the integral role of TSC remains less explored.¹³ Herein, we explore the role of TSC in achieving enhanced luminescence using two positional isomers of tetra-naphthalene connected dihydropentacene derivatives, namely **1-NP** and **2-NP**.

1-NP and **2-NP** were synthesized and characterized according to the previously reported procedure (Schemes S1, S2 and Fig. S1, S2, ESI†).¹⁴ Good quality single crystals of **1-NP** and **2-NP** were obtained by slow evaporation from a 1 : 1 chloroform-hexane mixture, respectively (Fig. 1, Fig. S3, S4 and Table S1, ESI†). The crystal structure of **1-NP** contains two chloroform molecules in the asymmetric unit. To unravel the nature and strength of various noncovalent interactions present in the crystalline architectures, Hirshfeld surface, truncated symmetry-adapted perturbation theory (SAPT(0)), and electrostatic surface potential (ESP) analysis were performed.^{15,16} Hirshfeld surface analysis provides a quantitative picture of the role of weak intermolecular interactions in the crystal assembly. The generated Hirshfeld surface and the two-dimensional fingerprint plots revealed a high value of % C–H...C/% C...C (> 4.5), indicating the dominant role of edge-face interactions in governing a herringbone-type crystal packing motif (Table S2 and Fig. S5, S6, ESI†). Closer inspection of the crystal packing revealed three types of dimers for **1-NP** and two types of dimers for **2-NP** (Fig. S7–S11, ESI†). SAPT(0) analysis elucidated a dispersion dominant stabilization exhibited by all the identified dimers of **1-NP** and **2-NP** (Tables S3 and S4, ESI†). Dimer 1 ($E^{\text{SAPT}(0)} = -95.03 \text{ kJ mol}^{-1}$) of **1-NP** and Dimer 2

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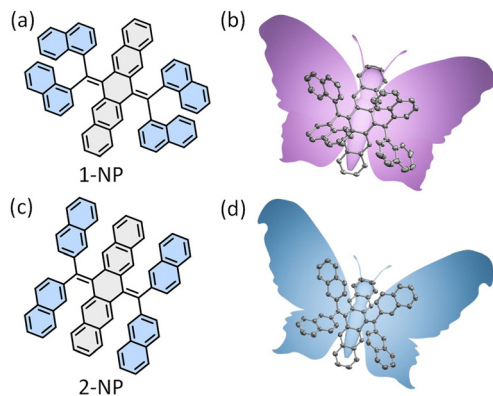


Fig. 1 Chemical structures of (a) **1-NP** and (c) **2-NP** and the crystal structures of (b) **1-NP** and (d) **2-NP**. Hydrogen atoms are omitted for clarity.

($E^{\text{SAPT}(0)} = -60.11 \text{ kJ mol}^{-1}$) of **2-NP** were identified as more stable compared to other identified dimers. The electrostatic surface potential (ESP) analysis provided a qualitative picture of electron density distribution in the crystalline geometry. The blue regions signify σ -hole having a positive potential, concentrated in the naphthalene fragments, whereas the red regions signify the electron-rich regions having a net negative potential, concentrated in the dihydropentacene core, validating the existence of a σ -hole $\cdots \pi$ interaction mediated herringbone motif (Fig. S12 and S13, ESI[†]).

Having elucidated the noncovalent interactions orchestrating the assembly of the crystal systems, we set out to explore the excitonic interactions prevalent in **1-NP** and **2-NP**. The excitonic coupling between the selected dimers were computed considering both the long-range coulombic (J_{coul}) and short-range charge transfer coupling (J_{CT}). J_{coul} calculated using electronic energy transfer (EET) analysis revealed a low magnitude of J_{coul} ($\leq 11.09 \text{ cm}^{-1}$) for all the identified dimers of **1-NP** and **2-NP** (Table S5, ESI[†]). Similarly, J_{CT} computed using charge transfer integral package (CATNIP), also exhibited low magnitude of J_{CT} ($\leq 13.63 \text{ cm}^{-1}$). Insights into the charge transport properties in the crystal systems were obtained by analyzing the anisotropic charge carrier mobility.^{17,18} Higher hole mobility was observed in both **1-NP** and **2-NP** with its maxima around the 30° – 210° axis ($\mu_{\text{h}} = 0.293 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for **1-NP** and $\mu_{\text{h}} = 0.928 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for **2-NP**) with respect to the conducting channel. An ambipolar character of **1-NP** crystals could be visualized, whereas in **2-NP**, the hole mobility is considerably higher compared to electron mobility (Fig. S14 and S15, ESI[†]).

Spectroscopic inspections for **1-NP** and **2-NP** were performed to comprehend distinct luminescence properties at the solution state and crystalline state. In the solution state, the electronic absorption spectra recorded for **1-NP** and **2-NP** in hexane exhibited maxima at 217 and 221 nm, respectively (Fig. S16, ESI[†]). The Kubelka–Munk absorbance of **1-NP** and **2-NP** crystals revealed broad spectra spanning from ~ 200 – 410 nm for **1-NP** and ~ 200 – 500 nm for **2-NP** (Fig. S17, ESI[†]). Notably, the absorption spectra of **1-NP** and **2-NP** crystals exhibited a red shift compared to their solution-state spectra. Solvent-dependent absorption spectra of **1-NP** and **2-NP** were

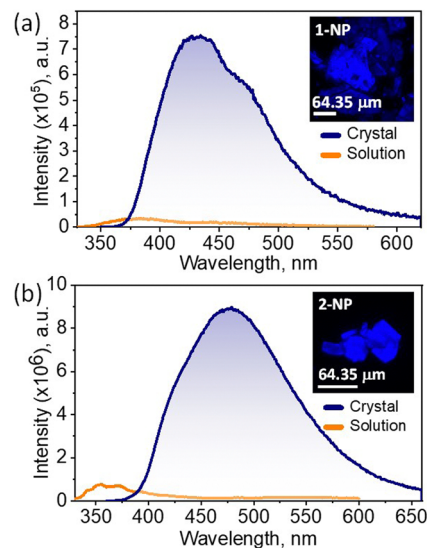


Fig. 2 Fluorescence spectra of (a) **1-NP** and (b) **2-NP** in tetrahydrofuran solution ($10 \mu\text{M}$, orange line) and crystalline state (blue line). Inset showing the confocal images of the respective crystals.

recorded in hexane, tetrahydrofuran (THF), and acetonitrile solution (Fig. S18, ESI[†]). The spectra obtained revealed negligible solvatochromism in both **1-NP** and **2-NP**. The emission spectra of **1-NP** and **2-NP**, recorded by exciting at 310 nm, exhibited weak emission bands having maxima at 382 and 355 nm, respectively (Fig. 2 and Fig. S19, ESI[†]). The fluorescence quantum yield measurements of **1-NP** and **2-NP** in THF solution relative to quinine sulphate in water resulted in $< 1\%$ yield, establishing its weak emissive nature. The fluorescence emission spectra of **1-NP** and **2-NP** crystals were recorded by exciting the crystals at 340 nm. Interestingly, **1-NP** exhibited broad intense emission spectra in the range ~ 364 – 660 nm with a maximum of 430 nm, and **2-NP** exhibited fluorescence spectra ranging from ~ 374 – 660 nm with a maximum of 479 nm (Fig. 2). The photoluminescence quantum yield of the crystals was recorded by absolute method, unambiguously confirming the enhancement in the solid-state luminescence in **1-NP** ($\phi_{\text{PL}} = 22.3\%$) and **2-NP** ($\phi_{\text{PL}} = 9.4\%$) crystals. The excitation spectra of the **1-NP** and **2-NP** crystals revealed maxima at 334 and 379 nm, respectively (Fig. S20a, ESI[†]). The time-resolved fluorescence decay profiles of crystalline samples were acquired by exciting the sample at 314 nm. The fluorescence decay profile of **1-NP** and **2-NP** revealed a mono-exponential decay with a fluorescence lifetime of 2.52 ns and 2.38 ns, respectively (Fig. S20b, ESI[†]).

In a bid to understand the aggregation-induced photophysical characteristics, the emission spectra of **1-NP** and **2-NP** (0.1 mM in THF) were recorded in THF:H₂O solvent mixtures. Initially, low fluorescence intensity was observed in THF solution which intensified upon continued increase of H₂O fraction (f_w) (Fig. S21a, ESI[†]). In **1-NP**, an increased emission intensity accompanied by the gradual red-shifted maximum in the ~ 450 – 475 nm range ($f_w < 70\%$) was observed. The formation of a long wavelength band dominated in $f_w > 80\%$,



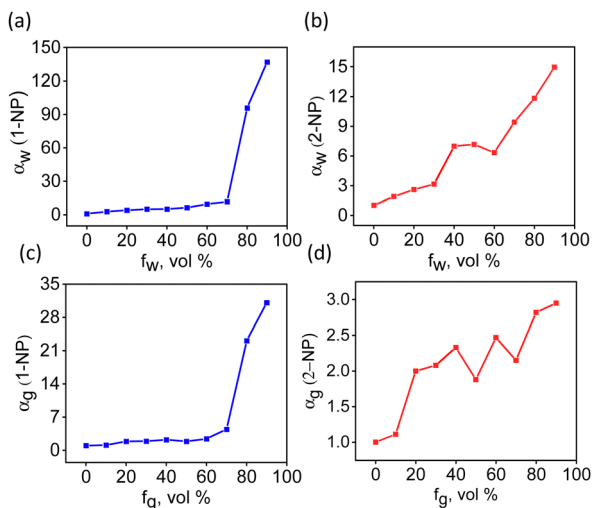


Fig. 3 Relative fluorescence intensity α_w of (a) **1-NP** and (b) **2-NP** in THF:water mixtures at different water fractions, f_w ($\alpha_w = I/I_0$, I_0 : Intensity at $f_w = 0\%$). Relative fluorescence intensity α_g of (c) **1-NP** and (d) **2-NP** in methanol: glycerol mixtures at different glycerol fractions, f_g ($\alpha_g = I/I_0$, I_0 : Intensity at $f_g = 0\%$).

notably 90% H₂O mixture exhibited 137-fold increase from the initial intensity (I_0) with a broad emission maximum in the 570 nm range (Fig. 3a). For **2-NP**, the intrinsic emission in the 350 nm range was prominent in lower f_w ($<40\%$) which then gradually started to diminish as f_w increased (Fig. S21b and S22, ESI[†]). The presence of a broad red-shifted band started to emerge, having maximum at 480 nm till f_w reached 60%, after which the band at 530 nm started to dominate, with 90% f_w showing 16-fold increase with respect to I_0 (Fig. 3b and Fig. S21b, ESI[†]). The presence of broad red-shifted emission, which intensified upon increasing f_w , could indicate the possibility of intra- and/or inter-molecular TSC enhanced by the formation of amorphous aggregates having low $\pi \cdots \pi$ interaction in both **1-NP** and **2-NP**.¹² The excitation-dependent

emission spectra of 1 mM **1-NP** and **2-NP** in THF revealed negligible excitation wavelength dependence (Fig. S23, ESI[†]). The emission spectra of **1-NP** and **2-NP** (10 μ M) were recorded in frozen THF solvent, excluding the possibility of bond rotations. The spectra obtained exhibited trends in line with TSC-mediated emission with negligible excitation wavelength dependence (Fig. S24, ESI[†]). Hinting at the dominant role of intramolecular TSC in governing aggregate state photophysics.⁹

To unveil the mechanism of enhanced emission in the crystalline and aggregate state, viscosity-dependent measurements were performed in methanol:glycerol mixture. **1-NP** and **2-NP** demonstrated a significant rise in emission as the glycerol fraction (f_g) increased. Notably, at 90% f_g **1-NP** and **2-NP** exhibited 93-fold and 16-fold enhancement in α_g respectively, compared to the initial intensity (Fig. 3c, d and Fig. S25, ESI[†]). The time-resolved fluorescence decay profile of **1-NP** and **2-NP** at 95% and 50% f_g , were recorded by exciting at 310 nm. In an equal proportion of methanol and glycerol ($f_g = 50\%$), **1-NP** and **2-NP** exhibited mono-exponential decay with fluorescence lifetime of 3.15 and 2.86 ns, respectively (Fig. S26, ESI[†]). Interestingly, 95% f_g exhibited an enhancement in fluorescence lifetime with $\tau_{\text{avg}} = 3.17$ for **1-NP** and $\tau_{\text{avg}} = 3.38$ ns for **2-NP** (Table S6, ESI[†]). The rise in viscosity restricts the bond rotations possible at the molecular level, explaining the enhanced fluorescence lifetime in higher f_g . This suggests that the RIM is a possible mechanism for the enhancement of emission in the crystalline state of **1-NP** and **2-NP**.

To decipher the origin of boosted luminescence at the crystalline/aggregate state of **1-NP** and **2-NP**, calculations were performed at the minimum energy geometries of the ground state (S_0), excited singlet (S_1) and triplet (T_1) states employing density functional theory (DFT). The excited state frontier molecular orbitals obtained from the optimised S_1 geometries of **1-NP** and **2-NP** exhibited through space orbital overlap, indicating the presence of TSC (Fig. 4a and Fig. S27, ESI[†]). The S_1 state geometries of **1-NP** and **2-NP** displayed increased

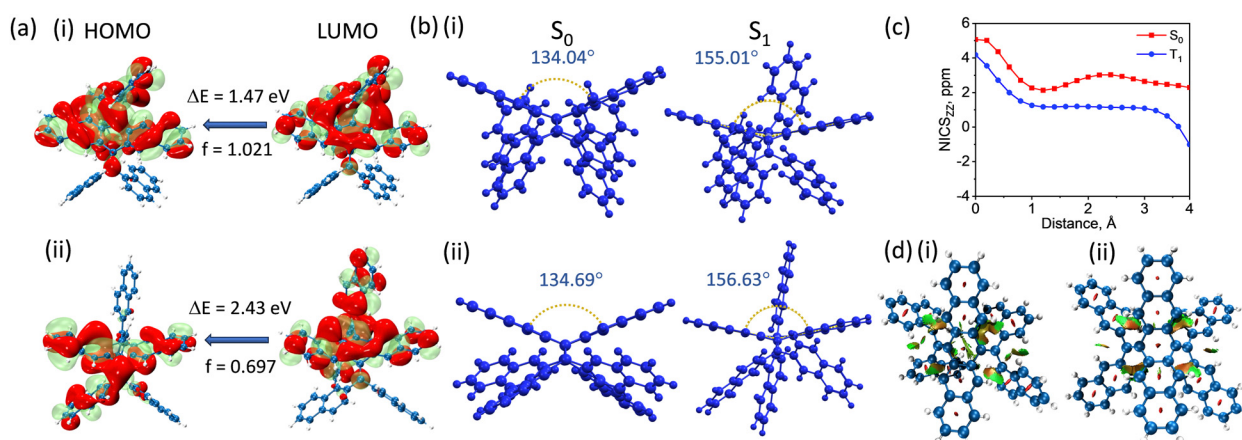


Fig. 4 (a) Excited singlet state (S_1) frontier molecular orbitals of (i) **1-NP** and (ii) **2-NP** exhibiting through space orbital overlap, with the calculated HOMO–LUMO energy gap (ΔE) and corresponding oscillatory strength (f). (b) Optimized ground state (S_0) and first singlet excited state (S_1) state of (i) **1-NP** and (ii) **2-NP** showing the excited state planarization. (c) NICS_{zz} scan of **1-NP** in S_0 and first triplet excited state (T_1) states. (d) NCI plots of (i) **1-NP** and (ii) **2-NP**.



planarity compared to S_0 , indicated by increase in the angle between the terminal rings from 133.97° to 155.01° for **1-NP** and 128.47° to 156.63° for **2-NP** (Fig. 4b and Fig. S28, S29, ESI[†]). This conformation formed by the internal conversion of the Frank–Condon excited state will be lower in energy, and opens up various non-radiative pathways, leading to weak emission in the solution state. The planarization of S_1 geometry in similar molecules reduces the antiaromatic character, explaining the lowered energy level from the Frank–Condon state.¹³ Nucleus-independent chemical shift (NICS_{zz}) scan revealed antiaromaticity relief from the ground state geometry to the triplet state geometry (Fig. 4c, Fig. S30 and Tables S7, S8, ESI[†]).¹⁹ This possibly opens up a new nonradiative pathway where the planarized S_1 state geometry can facilitate intersystem crossing. However, in the crystalline state, the planarization of S_1 state geometry *via* internal conversion is inhibited due to the interaction between neighbouring molecules. Hence, the excited molecule reaches the ground state radiatively, possibly explaining the luminescence enhancement in the crystalline states of **1-NP** and **2-NP**. The presence of TSC between the core and naphthalene moieties was further validated by the stabilizing green iso-surface obtained from NCI analysis for the monomers (Fig. 4d).

To gain further insights into the photophysical properties at the aggregate state, the role of inter- and intra-molecular interactions was analyzed. The negligible proportion of C···C interactions obtained from Hirshfeld analysis, along with the low magnitude of excitonic coupling obtained for all the identified dimers, corroborated the dominant role of intra-molecular rather than intermolecular interactions in governing the aggregate state photophysics. The inherent cross-conjugated nature of **1-NP** and **2-NP** diminishes the extent of TBC, further validated by the anisotropy of the induced current density (AICD) plots. The opposing current densities at the central six-membered ring indicate the disruption of continuous delocalization of π -electrons within the chromophore, thereby reducing TBC (Fig. S31, ESI[†]). Further inspection of the crystal structure of both the isomers revealed the possibility of TSC evident from the C···C distance of $<3.4 \text{ \AA}$ (Fig. S32, ESI[†]). Insights into the charge delocalisation were obtained using fragment-based TheoDORE analysis where partial CT nature was identified for both **1-NP** (CT = 0.328) and for **2-NP** (CT = 0.363), which implies the partial delocalisation of hole and electron densities across the fragments, predominantly *via* TSC (Tables S9, S10 and Fig. S33, S34, ESI[†]).²⁰ Further validation was obtained from the hole–electron analysis, which elucidated the spatial electron overlap between the core and naphthalene moieties (Fig. S35, ESI[†]). Hence formation of aggregates restricts the motion of the naphthalene fragments, thereby enhancing the intra-molecular interactions governing TSC, ultimately leading to enhanced emission in the long wavelength region.⁹

In summary, TSC-assisted luminescence enhancement is reported in two butterfly-like architectures of tetra-naphthalene connected dihydropentacene derivatives, **1-NP** and **2-NP**. Spectroscopic investigations revealed the presence of AIE, where broad,

intense PL spectra were observed for both crystals ($\phi_{\text{PL}} = 22.3\%$ for **1-NP** and $\phi_{\text{PL}} = 9.4\%$) in contrast to the weakly emissive monomeric solution ($\phi_{\text{PL}} < 1\%$). Tuning the bond rotation and intermolecular proximity of molecules using appropriate solvent mixtures further validated the AIE mechanisms. Enhanced luminescence was observed upon increasing the solvent viscosity, indicating the significance of RIM mechanisms. Hirshfeld surface, NCI, and FMO analysis in the S_1 state elucidated the possible existence of intramolecular TSC in the chromophores, resulting in the emergence of a red-shifted intense PL band in the crystalline state and aggregate state.

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Data availability

All the experimental data were provided in the ESI[†].

Conflicts of interest

There are no conflicts to declare.

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