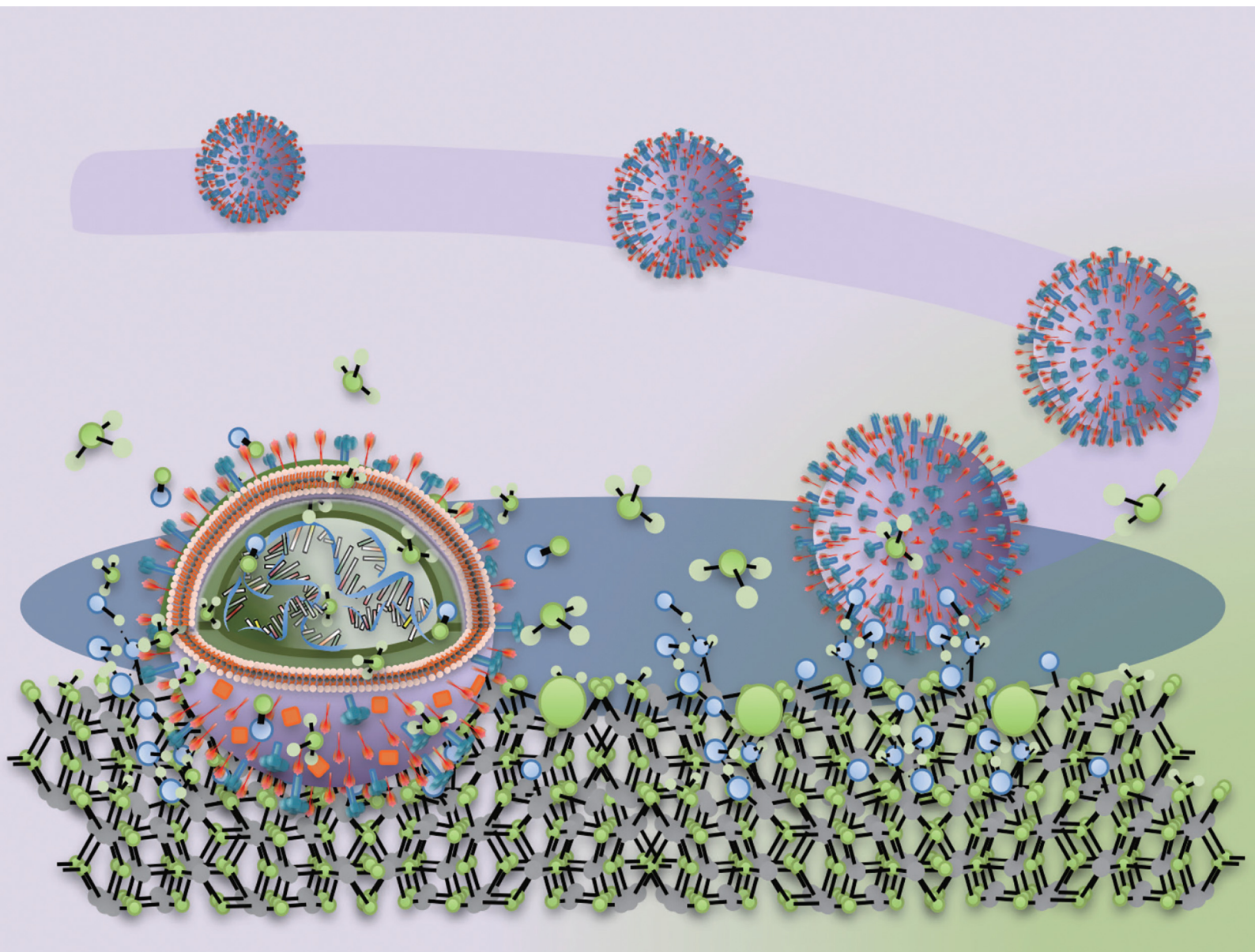


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**PAPER**

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# Raman signatures of type A and B influenza viruses: molecular origin of the “catch and kill” inactivation mechanism mediated by micrometric silicon nitride powder†

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A multiomic study of the structural characteristics of type A and B influenza viruses by means of highly spectrally resolved Raman spectroscopy is presented. Three virus strains, A H1N1, A H3N2, and B98, were selected because of their known structural variety and because they have co-circulated with variable relative prevalence within the human population since the re-emergence of the H1N1 subtype in 1977. Raman signatures of protein side chains tyrosine, tryptophan, and histidine revealed unequivocal and consistent differences for pH characteristics at the virion surface, while different conformations of two C–S bond configurations in *gauche* and *trans* methionine rotamers provided distinct low-wavenumber fingerprints for different virus lineages/subtypes. Short-term exposure to a few percent fraction of silicon nitride (Si<sub>3</sub>N<sub>4</sub>) micrometric powder in an aqueous environment completely inactivated the influenza virions, independent of lineage/subtype dependent characteristics. The molecular-scale details of the inactivation process were studied by Raman spectroscopy and interpreted in terms of a “catch and kill” mechanism, in which the hydrolyzing ceramic surface first attracts virions with high efficiency through electrochemical interactions (mimicking cellular sialic acid) and then “poisons” the viruses by local hydrolytic elution of ammonia and nitrogen radicals. The latter event causes severe damage to the virions’ structures, including structural degradation of RNA purines, rotameric scrambling of methionine residues, formation of sulfhydryl and ionized carboxyl groups, and deprotonation/torsional deformation of tyrosine, tryptophan, and histidine residues. This study confirmed the antiviral effectiveness of Si<sub>3</sub>N<sub>4</sub> powder, which is safe to the human body and simply activated by water molecules. Raman spectroscopy was confirmed as a powerful tool in molecular virology, complementary to genomics and unique in providing direct information on virus structures at the molecular scale.

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## 1. Introduction

Seasonally recurrent influenza epidemics, which systematically originate from the emergence of antigenic viral variants, have

so far comprised the concurrent circulation of two influenza A subtypes and of the antigenically distinct lineages of type B.<sup>1</sup> These lineages have so far given rise to genetic reassortment with antigenic drifts leading to recombinants of both A- and B-

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type viruses.<sup>1,2</sup> Influenza viruses have so far been identified and thoroughly investigated by assessing their genome sequences<sup>3–5</sup> and imaging their morphological characteristics by means of high-resolution cryo-electron microscopy and tomography.<sup>6–8</sup> However, multiomic structural assessments of influenza virions have so far been less commonly reported in the published literature.<sup>9,10</sup> In the stream of pioneering studies conducted by Thomas and coworkers<sup>11–13</sup> and Takeuchi and coworkers,<sup>14–16</sup> extensive multiomic Raman analyses have recently been developed and have found applications in virus identification,<sup>17–19</sup> structural analysis<sup>20,21</sup> and detection,<sup>22,23</sup> monitoring viral replication,<sup>24,25</sup> and supporting vaccine development and control.<sup>26</sup>

The uniqueness of Raman spectroscopy in virology consists of providing a nearly instantaneous multiomic snapshot of the virion molecular structure, which could be used as a fingerprint unique to virus types and subtypes. Raman analysis of viruses not only enables the identification/classification of viral strains, but also helps to interpret their virulence, replication, and inactivation characteristics.<sup>27</sup> For example, Raman spectroscopy can provide insights into structural properties that reveal conformational changes in viral proteins upon interaction with host cells or therapeutic agents. This information could help understanding virus–host interactions and developing antiviral drugs. Moreover, Raman spectroscopy has the potential for enabling on-site detection of viruses in clinical samples, although these developments are yet *in embryo*. For example, upon analyzing Raman spectra of virus-infected saliva, blood cells, or tissues, it has been possible to distinguish between infected and uninfected samples.<sup>28</sup> This approach could be particularly useful in promptly diagnosing viral infections in resource-limited settings or in circumstances where traditional diagnostic methods are unavailable. In more basic research approaches,<sup>24,25</sup> Raman spectroscopy has been employed to monitor the replication dynamics of viruses in real-time. Upon tracking changes in the Raman spectra of infected cells in time lapse, it has been possible to gain insights into the kinetics of viral replication and the effectiveness of antiviral treatments. Raman spectroscopy could also be used to analyse the structural integrity of viral antigens and to monitor the stability of vaccine formulations.<sup>29,30</sup> Accordingly, once appropriately developed and validated, Raman analysis could be key in ensuring the efficacy and safety of vaccines before they are administered to the public.

In the present work, we document a Raman multiomic approach to characterize three influenza viral strains: A H1N1, A H3N2, and B98. It is stated at the outset that a complete understanding of all Raman band components in different viral strains is a quite challenging task because of the molecular complexity of the viral structures, in turn leading to strong overlaps of multiple Raman signals. Therefore, in a number of contexts related to RNA and amino acid signals, we could only offer here the most probable interpretation of the observed Raman behaviors. First, we studied and compared structural differences among the above three strains by interpreting the main Raman fingerprints of RNA bases and aromatic and sulphur-containing amino acids. As an additional goal, we

studied the process of inactivation of the three examined viral strains after short-term exposure to micrometric Si<sub>3</sub>N<sub>4</sub> ceramic powder in aqueous solution. In the latter effort, emphasis was placed on the effects of hydrolytic reactions taking place at the immediate surface of the ceramic powder, leading to concurrent environmental alkalization and ammonia elution. In particular, the following aspects were elucidated: (i) ring damage to RNA purine bases; (ii) scrambling of S-containing methionine rotamers upon contact with surface silanols and their successive oxidation by nitrogen radicals; (iii) hydration levels of “buried” tyrosine as a pH sensor and the formation of tyrosinate anions; and (iv) structural variations of aromatic amino acids tryptophan (in terms of the molecular torsional angle) and histidine (in terms of tautomers) upon pH alkalization. Despite the complexity of signal assignments, we shall show in the remainder of this paper that Raman spectroscopy is capable of providing molecular-scale features specific to each viral strain at a level of depth and thoroughness that are unachievable by other analytical methods. In this study, multiomic analyses of Raman spectra have enabled us to accurately speciate different viral strains and to deepen our understanding of their molecular structures, while providing direct evidence at the molecular level for the mechanism exerted on virions by Si<sub>3</sub>N<sub>4</sub> ceramic powder independent of viral strain. We define this mechanism as a “*catch and kill*” two-step machinery that first attracts the virions towards the Si<sub>3</sub>N<sub>4</sub> surface through the exploitation of electrochemical interactions mimicking those of cellular sialic acid and then “poisons” the viruses through hydrolytically eluted ammonia molecules entering the viral membrane and subsequently forming nitrogen radicals.

## 2. Experimental procedures

### 2.1 Description of influenza strains and inoculated cells

Three influenza strains were all obtained from the Virus Research Center, National Institute of Infectious Diseases: A/Puerto Rico/8/34 (H1N1), A/Panama/2007/1999 (H3N2), and B/Yamanashi/166/1998 (B98). All strains were enveloped viruses with the negative-sense, single-stranded, and segmented RNA genome (belonging to the *Orthomyxoviridae* family). They were selected since they represent an exemplification of both structural and genetic diversities among influenza viruses. Different molecular compositions, both in capsid proteins and RNA structure, are known to confer on influenza virions different specificity and infectivity characteristics.<sup>31</sup> The studied virions have also been reported to possess different surface charges<sup>32</sup> and differences in surface chemistry and electrochemistry characteristics, playing important roles in their level of infectivity and immune escape. The two studied subtypes of influenza A viruses differed from each other in the properties of their surface antigens, hemagglutinin (HA) and neuraminidase (NA), which are also the main targets in developing antiviral drugs.<sup>33</sup> Such differences make H1N1 and H3N2 viruses differ in their epidemiological characteristics and contribute to variations in the levels of infectivity, virulence, and ability to cause





both being highly susceptible to influenza virus and having replication kinetics similar to that of human cells.

## 2.2 Immunochemistry characterization

An aqueous solution containing a concentration of  $\sim 2.5$  vol%  $\text{Si}_3\text{N}_4$  micrometric powder was added to an aqueous solution containing influenza virions (virus/solution 1:1), leading to a final concentration of  $\text{Si}_3\text{N}_4$  particles of 2.5 vol%. Influenza virus isolated from infected cells had a titer of  $3 \times 10^5$  pfu/150  $\mu\text{L}$ . Mixing was applied for times variable between 1 and 30 min (referred to as virus inactivation time, henceforth) at room temperature using slowly rotating equipment. The ceramic particles were then separated by filtration and centrifugation (at 12 000 rpm for 2 minutes at  $4^\circ\text{C}$ ). The viral infectivity of the supernatant was compared with that of sham samples (negative control; simply referred to as the control sample, henceforth) by plaque assay. The number of plaque forming units (PFU/100  $\mu\text{L}$ ) was then counted as a function of virus inactivation time. Specifications of the  $\text{Si}_3\text{N}_4$  particle size and surface chemistry characteristics before/after exposure to the aqueous environment have been given in ref. 49, together with a schematic draft of inoculation and testing/characterization procedures. In the same context, additional evidence of viral inactivation was given by means of fluorescence microscopy.

In plaque assay, a confluent monolayer of MDCK cells was set in a 6-well plate and washed twice with serum-free DMEM (SF DMEM). Infection followed with 100  $\mu\text{L}$  of virus suspension in a tenfold serial dilution. After incubation at  $37^\circ\text{C}$  for 1 h with tilting every 10 min, unabsorbed inoculum was removed, and infected cells were overlaid with 4 ml of DMEM containing  $2.5 \mu\text{g ml}^{-1}$  trypsin (Sigma-Aldrich Co. LLC, Saint Louis, USA) and 0.2% albumin (Wako Pure Chemical Industries, Ltd, Osaka, Japan). The plate was incubated at  $37^\circ\text{C}$  in an atmosphere of 5%  $\text{CO}_2$  for 2 days. For plaque counting, the cells were fixed with 5% glutaraldehyde solution for 2 h, the agarose medium was then removed, and the cells were stained with 1% crystal violet before being subjected to plaque counting.

## 2.3 Statistical analysis

The statistical relevance of the experimental data was analyzed by computing mean values and standard deviations. Statistical validity was evaluated by applying the unpaired Student *t*-test. Values  $p < 10^{-2}$  and  $p < 10^{-3}$  were considered as statistically significant and labeled with two and three asterisks, respectively.

## 2.4 Raman spectroscopic analysis

Raman spectra were collected using a highly spectrally resolved and sensitive instrument (LabRAM HR800, Horiba/Jobin-Yvon, Kyoto, Japan) with a  $20\times$  optical lens. The spectroscopy was operated in confocal imaging configuration, using sub-micrometric shifts along a direction perpendicular to the sample plane in order to maximize the signal intensity at each spotted location. Full details about virus sample configuration and virus/probe interaction were given in a previous paper.<sup>19</sup> A holographic notch filter within the optical circuit was used to concurrently achieve highly sensitive and highly resolved spectral acquisitions.

The spectral resolution of  $1.5 \text{ cm}^{-1}$  was obtained using a 532 nm excitation source operating at 10 mW. The Raman scattered light was monitored using a single monochromator connected to an air-cooled charge-coupled device (CCD) detector (Andor DV420-OE322;  $1024 \times 256$  pixels). The acquisition time was fixed at 10 s. Thirty spectra were collected and averaged at each location/condition. Raman spectra were deconvoluted into Gaussian-Lorentzian sub-bands using commercially available software (LabSpec 4.02, Horiba/Jobin-Yvon, Kyoto, Japan).

## 3. Experimental results

### 3.1 Immunochemistry assessments

Results of plaque counting and the virus reduction rate as a function of exposure time to 2.5 vol%  $\text{Si}_3\text{N}_4$  ceramic particles in aqueous solution are shown in Fig. 2a by conducting inoculation experiments with the A H1N1 strain at  $4^\circ\text{C}$ . As seen, the PFU counts decreased and the reduction rate increased as compared to the control sample with increasing exposure time of the virus to the  $\text{Si}_3\text{N}_4$ -containing solution. The reduction rate was  $\sim 82\%$  ( $p < 10^{-2}$ ;  $n = 3$ ),  $\sim 98\%$  ( $p < 10^{-2}$ ;  $n = 3$ ), and  $100\%$  ( $p < 10^{-3}$ ;  $n = 3$ ) after 1 min, 5 min, and  $\geq 10$  min exposure, respectively. In other words, no infection occurred in cells inoculated with the A H1N1 virus samples preliminary exposed to  $\text{Si}_3\text{N}_4$  for times equal or longer than 10 min. Experiments conducted using the same protocol, but limited to 10 min  $\text{Si}_3\text{N}_4$ /virus exposure, were then applied to quantitatively assess the inactivation effect of the  $\text{Si}_3\text{N}_4$  powder in the cases of A H3N2 and B98 strains. As shown in Fig. 2b, this additional set

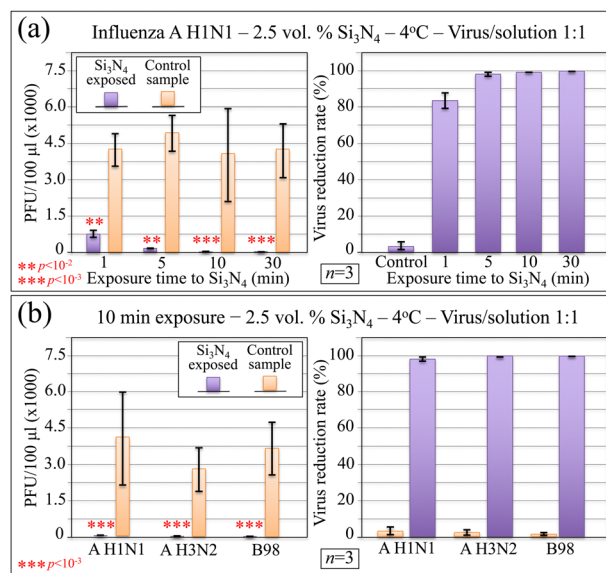


Fig. 2 (a) Results of plaque counting (left side) and the virus reduction rate (right side) as a function of exposure time to 2.5 vol%  $\text{Si}_3\text{N}_4$  micrometric particles ( $n = 3$ ; inoculation experiments conducted at  $4^\circ\text{C}$ ; cf. labels in the inset) and (b) comparison among the three investigated influenza strains after 10 min-exposure experiments conducted with the same protocol in (a). The latter data show that, independent of influenza viruses being of A- or B-type, exposure to  $\text{Si}_3\text{N}_4$  powder led to their full inactivation.



of experiments proved that, independent of influenza virus being of A or B type, the  $\text{Si}_3\text{N}_4$  powder fully inactivated the virus within an exposure time as short as 10 min. Given the quite small volumetric fraction (*i.e.*, 2.5 vol%) of  $\text{Si}_3\text{N}_4$  particles dispersed in aqueous solution, the above data support the hypothesis of a strong attraction and successive destructive chemical interaction between influenza viruses and the  $\text{Si}_3\text{N}_4$  surface. This hypothesis will be substantiated by Raman analysis comparing viral strains before and after  $\text{Si}_3\text{N}_4$  exposure, as described in the following sections.

### 3.2 Raman spectroscopic results

Fig. 3a and b show average Raman spectra in the wavenumber interval 600–1800  $\text{cm}^{-1}$ , which were collected on the three investigated viral strains before and after exposure for 10 min to 2.5 vol%  $\text{Si}_3\text{N}_4$  in aqueous solution, respectively (*cf.* strain labels in the inset). As evident at a first glance, the average Raman spectra of non-treated strains were clearly different from each other, thus revealing major differences in molecular conformation. Moreover, virus spectra collected after  $\text{Si}_3\text{N}_4$  exposure strongly differed from those of the respective non-treated strains. Such differences represent a clear proof for the impact that exposure to  $\text{Si}_3\text{N}_4$  in aqueous solution had on the molecular structure of different virions. The investigated spectral interval was divided into six zones (referred to as Zones I–VI henceforth). In each zone, high-resolution spectra were newly collected, which revealed with better sharpness the specific vibrational features of interest. Fig. 4–9 show the high-resolution (average)

Raman spectra recorded in the wavenumber intervals 600–800  $\text{cm}^{-1}$  (Zone I), 800–1000  $\text{cm}^{-1}$  (Zone II), 1000–1200  $\text{cm}^{-1}$  (Zone III), 1200–1400  $\text{cm}^{-1}$  (Zone IV), 1400–1600  $\text{cm}^{-1}$  (Zone V), and 1550–1750  $\text{cm}^{-1}$  (Zone VI), respectively, for A H1N1, A H3N2, and B98 viral strains before/after 10 min-exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution (*cf.* also labels in the inset). High-resolution spectra in each spectral zone were deconvoluted into a series of Gaussian–Lorentzian sub-band components, which were numbered (*cf.* labels in the inset) and assigned to vibrational origins. In the case of non-treated viral strains, we located a total number of 67 Raman bands in the wavenumber interval 600–1800  $\text{cm}^{-1}$ . However, several additional bands appeared in  $\text{Si}_3\text{N}_4$ -exposed virions as a result of oxidation and other post-translational and degradation phenomena due to the interaction between  $\text{Si}_3\text{N}_4$  hydrolytic moieties and viral molecules. Raman bands in RNA purines/pyrimidines and in key amino acid residues (relevant to the discussions in this paper) are reviewed in Fig. S1 and S2 (ESI<sup>†</sup>), respectively; wavenumbers at maximum and tentative assignments for the numbered Raman sub-band components are given in Tables S-I–S-VI (*cf.* ESI<sup>†</sup>). In a preliminary effort to understand whether or not the spectra of different influenza variants could be distinguished by examining their mere morphology, we performed PCA analysis (*cf.* Fig. S3 in the ESI<sup>†</sup>). However, the PCA analysis was not capable of giving clear-cut variant speciation, suggesting that advanced machine learning procedures should be developed in future work for achieving this specific purpose. Nevertheless, with the main focus of this paper being multiomic

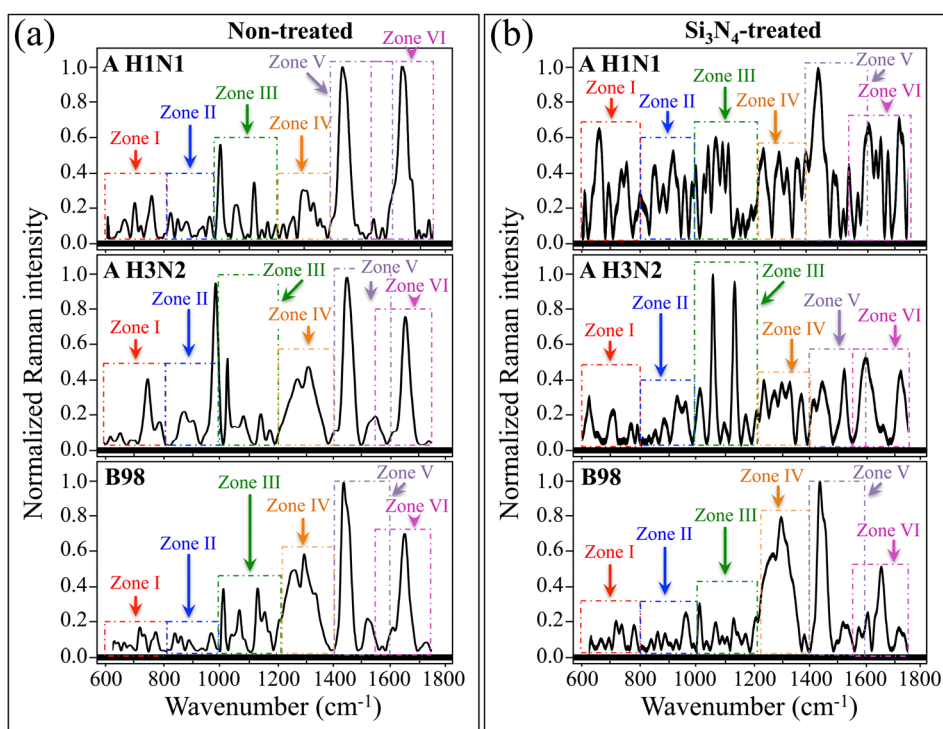
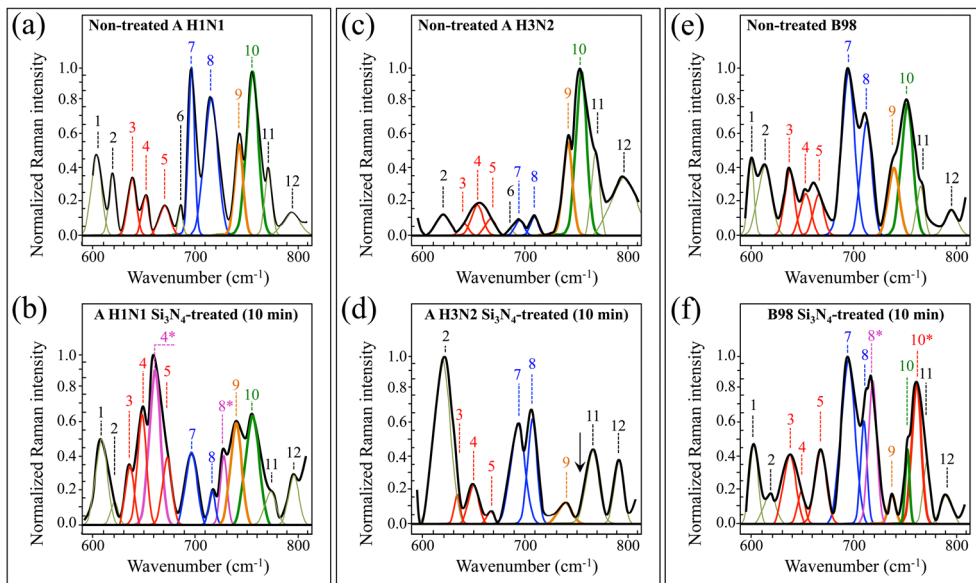
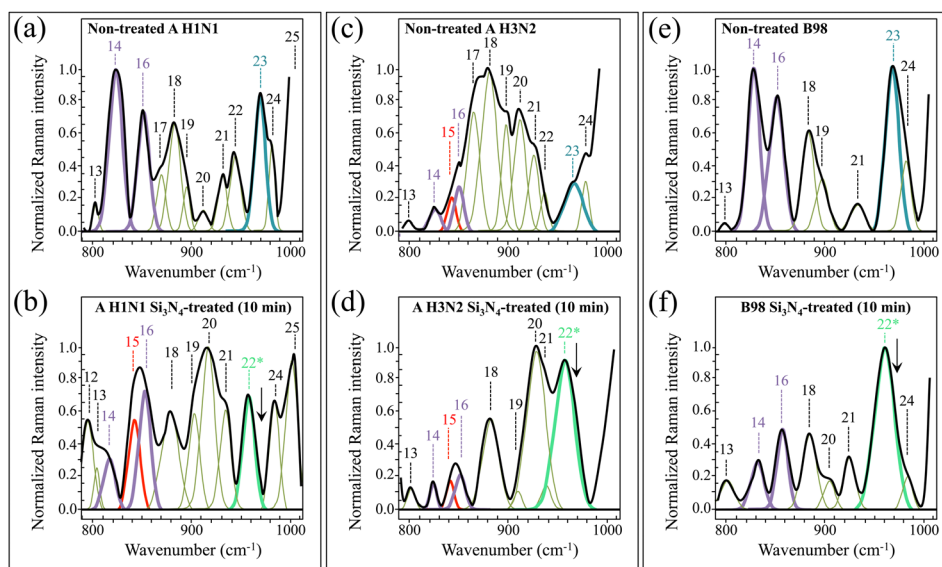


Fig. 3 Average Raman spectra recorded in the wavenumber interval 600–1800  $\text{cm}^{-1}$  for the three investigated viral strains (a) before (non-treated) and (b) after ( $\text{Si}_3\text{N}_4$ -treated) exposure for 10 min to 2.5 vol%  $\text{Si}_3\text{N}_4$  in aqueous solution (strains located by labels in the inset). The investigated spectral interval is divided into six zones (labeled as Zones I–VI) in which high-resolution spectra were collected to reveal specific vibrational features of interest.





**Fig. 4** High-resolution Raman spectra recorded in the interval 600–800  $\text{cm}^{-1}$  (Zone I), for A H1N1, A H3N2, and B98 viral strains before (labelled as non-treated in (a), (c) and (e), respectively) and after (labelled as  $\text{Si}_3\text{N}_4$ -treated in (b), (d) and (f), respectively) 10 min-exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution. Spectra are deconvoluted into a series of numbered Gaussian-Lorentzian sub-band components (precise wavenumber positions and vibrational origins are given in Table S-I, ESI†). Different colors are used to emphasize specific components and to locate common molecular origins. Asterisks locate new bands that only appear after  $\text{Si}_3\text{N}_4$  exposure.



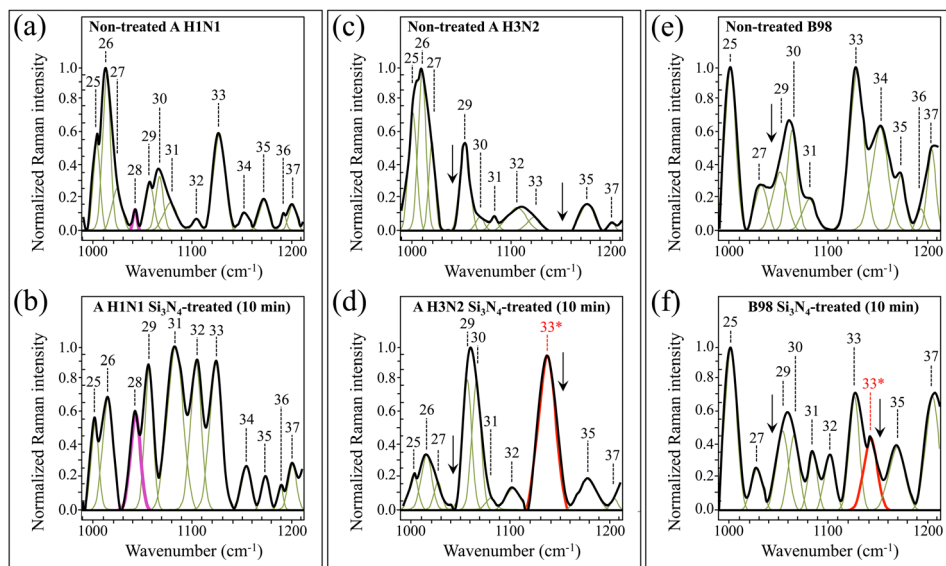
**Fig. 5** High-resolution Raman spectra recorded in the interval 800–1000  $\text{cm}^{-1}$  (Zone II), for A H1N1, A H3N2, and B98 viral strains before (labelled as non-treated in (a), (c) and (e), respectively) and after (labelled as  $\text{Si}_3\text{N}_4$ -treated in (b), (d) and (f), respectively) 10 min-exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution. Spectra are deconvoluted into a series of numbered Gaussian-Lorentzian sub-band components (precise wavenumber positions and vibrational origins are given in Table S-II, ESI†). Different colours are used to emphasize specific components and to locate common molecular origins. Asterisks locate new bands that only appear after  $\text{Si}_3\text{N}_4$  exposure.

analyses of virions' structures, we attempt hereafter to provide a thorough spectroscopic view of Raman fingerprints of key-molecules and to explain how and why they differ among different influenza variants.

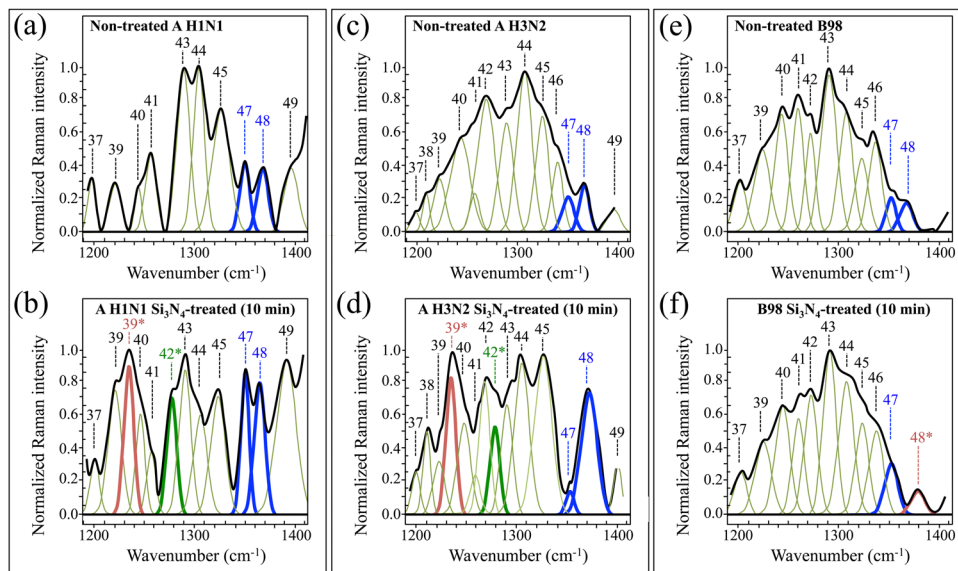
As an anticipation of the discussion in Section 4, we shall first introduce the peculiar signals of interest included in the above 6 Raman spectral regions, as follows: C–S stretching from

S-containing amino acids and ring vibrations from RNA purine bases (Zone I), ring vibrations from both tyrosine (and tyrosinate) residues and RNA purine bases (Zone II), S=O stretching in oxidized S-containing amino acids (Zone III), C–N stretching and C–H vibrations from the tryptophan ring (Zone IV), C=C stretching in deprotonated/partly protonated histidine and tryptophan, and stretching of  $-\text{COO}^-$  deprotonated terminal





**Fig. 6** High-resolution Raman spectra recorded in the interval 1000–1200  $\text{cm}^{-1}$  (Zone III), for A H1N1, A H3N2, and B98 viral strains before (labelled as non-treated in (a), (c) and (e), respectively) and after (labelled as  $\text{Si}_3\text{N}_4$ -treated in (b), (d) and (f), respectively) 10 min-exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution. Spectra are deconvoluted into a series of numbered Gaussian–Lorentzian sub-band components (precise wavenumber positions and vibrational origins are given in Table S-III, ESI†). Different colours are used to emphasize specific components and to locate common molecular origins. Asterisks locate new bands that only appear after  $\text{Si}_3\text{N}_4$  exposure.



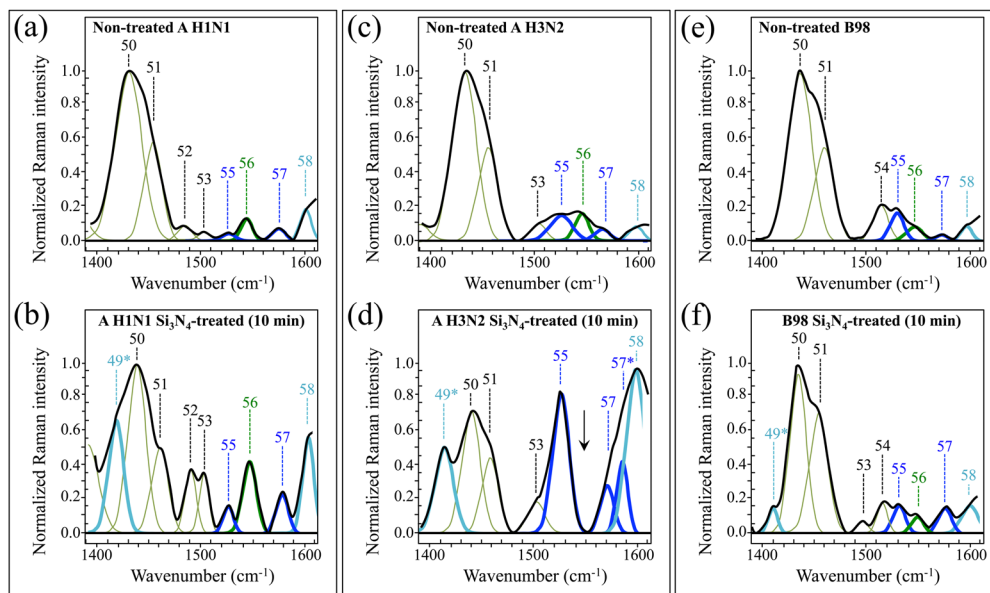
**Fig. 7** High-resolution Raman spectra recorded in the interval 1200–1400  $\text{cm}^{-1}$  (Zone IV), for A H1N1, A H3N2, and B98 viral strains before (labelled as non-treated in (a), (c) and (e), respectively) and after (labelled as  $\text{Si}_3\text{N}_4$ -treated in (b), (d) and (f), respectively) 10 min-exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution. Spectra are deconvoluted into a series of numbered Gaussian–Lorentzian sub-band components (precise wavenumber positions and vibrational origins are given in Table S-IV, ESI†). Different colours are used to emphasize specific components and to locate common molecular origins. Asterisks locate new bands that only appear after  $\text{Si}_3\text{N}_4$  exposure.

bonds (Zone V); and C=C stretching vibrations from fully protonated histidine and carbonyl stretching vibrations (Zone VI).

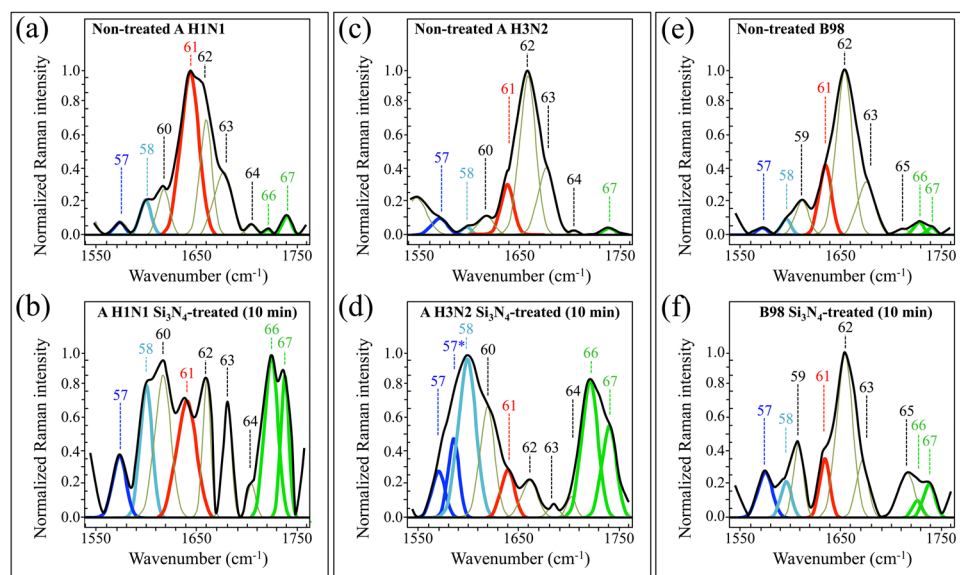
In Zone I (Fig. 4), the major spectral differences among different strains could be seen in the relative intensity of Bands 3–5, 7, and 8. These signals represent C–S stretching vibrations from different methionine rotamers.<sup>50</sup> Significant intensity variations in C–S bands were also noticed for each strain after

exposure to  $\text{Si}_3\text{N}_4$  powder, an observation that can be interpreted by invoking the occurrence of significant scrambling in methionine rotameric structures upon interaction with the ceramic particles. In addition, there is also strong spectroscopic evidence in support of the occurrence of oxidation in S-containing amino acids. One striking evidence resides in the appearance of two new bands for the  $\text{Si}_3\text{N}_4$ -exposed A H1N1 virus (*cf.* Bands 4\* and 8\* at 662 and





**Fig. 8** High-resolution Raman spectra recorded in the interval 1400–1600  $\text{cm}^{-1}$  (Zone V), for A H1N1, A H3N2, and B98 viral strains before (labelled as non-treated in (a), (c) and (e), respectively) and after (labelled as  $\text{Si}_3\text{N}_4$ -treated in (b), (d) and (f), respectively) 10 min-exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution. Spectra are deconvoluted into a series of numbered Gaussian-Lorentzian sub-band components (precise wavenumber positions and vibrational origins are given in Table S-V, ESI†). Different colours are used to emphasize specific components and to locate common molecular origins. Asterisks locate new bands that only appear after  $\text{Si}_3\text{N}_4$  exposure.



**Fig. 9** High-resolution Raman spectra recorded in the interval 1550–1750  $\text{cm}^{-1}$  (Zone VI), for A H1N1, A H3N2, and B98 viral strains before (labelled as non-treated in (a), (c) and (e), respectively) and after (labelled as  $\text{Si}_3\text{N}_4$ -treated in (b), (d) and (f), respectively) 10 min-exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution. Spectra are deconvoluted into a series of numbered Gaussian-Lorentzian sub-band components (precise wavenumber positions and vibrational origins are given in Table S-VI, ESI†). Different colours are used to emphasize specific components and to locate common molecular origins.

725  $\text{cm}^{-1}$ , respectively; *cf.* Fig. 4b). These relatively strong signals could be assigned to stretching of  $-\text{C}(\text{H}_2)\text{-S}-$  bonds (with the H atom at the *trans* position with respect to the sulfur atom) and stretching of  $-\text{S}-\text{CH}_3$  bonds, respectively, in methionine (*cf.* also Table SI, ESI†).<sup>51</sup> However, they are also strongly contributed by C-S stretching in methionine sulfoxide on the  $\text{CH}_2$  side (Band 4\*) and the  $\text{CH}_3$  side (Band 8\*<sup>52</sup>). None of these two signals could

be seen in the exposed A H3N2 strain (*cf.* Fig. 4d) and only the 8\* signal in the exposed B98 one (*cf.* Fig. 4f). On the other hand, the exposed B98 strain was the only strain presenting a new strong signal at 764  $\text{cm}^{-1}$  (Band 10\* in Fig. 4f), which arises from stretching of  $-\text{C}(\text{H}_2)\text{-S}-$  bonds with the C atom at the *trans* position with respect to the sulfur atom. The latter spectral change can be related to modifications in the number and



vibrational boundary condition of CH<sub>2</sub> groups that occur as a consequence of both desulfurization and decarboxylation processes due to radical attack. The first leads to the formation of CH<sub>3</sub>SH moieties and the second to the corresponding formation of amine and aldehyde groups.<sup>52</sup> The change in CH<sub>2</sub> configuration should also lead to an enhancement in its rocking signal, which also contributes to the band at 764 cm<sup>-1</sup>, as an index of relevant modifications in the methylene structure and content upon Si<sub>3</sub>N<sub>4</sub> exposure.<sup>52</sup> Additional discussion on the spectral configuration of Zone I in different strains is given in the forthcoming section (Section 4.2). A final important feature is regarding the signal of benzene ring breathing in RNA adenine, which appears at 738 cm<sup>-1</sup> (Band 9 in Fig. 4).<sup>53</sup> This band conspicuously disappeared upon Si<sub>3</sub>N<sub>4</sub> exposure for both A H3N2 and B98 strains, while it remained almost unchanged for the A H1N1 strain. This feature will further be discussed later in Section 4.1.

Interesting spectral differences among non-treated viral strains and their modifications upon exposure to Si<sub>3</sub>N<sub>4</sub> powder could also be seen in Zone II (800–100 cm<sup>-1</sup>; cf. Fig. 5 and Table S-II, ESI<sup>†</sup>). The most striking difference in this zone resided in the morphology of the tyrosine doublet (cf. Bands 14 and 16 located at 824 and 852 cm<sup>-1</sup>, respectively). The doublet arises from out-of-plane C–H bending in tyrosine (Band 14) and in-plane ring breathing (Band 16).<sup>54</sup> The relative intensity of these band components greatly varied among different variants and, for the same virus variant, after exposure to Si<sub>3</sub>N<sub>4</sub>. Variations in the relative intensity of the tyrosine doublet are related to environmental pH in the immediate neighborhood of the virion surface and give us the possibility to obtain accurate information on the state of ionization of tyrosine hydroxyl groups in proteins,<sup>54</sup> as discussed in detail in the forthcoming section (Section 4.3). An additional band appearing in between the two components of the doublet has been assigned to ring deformation in tyrosinate, namely, the anionic form of tyrosine.<sup>55</sup> Moreover, a new band at 959 cm<sup>-1</sup> (labeled as Band 22\*) was seen in all strains after exposure to Si<sub>3</sub>N<sub>4</sub> powder (cf. Fig. 5b, d and f). The appearance of this new strong signal can be assigned to S–H in-plane bending vibrations in homocysteine.<sup>56</sup> The latter observation, together with variations observed in Zone I, supports the hypothesis of post-translational oxidative processes in viral proteins upon exposure to Si<sub>3</sub>N<sub>4</sub> powder. In other words, the S-containing (unburied) residues of HA in all influenza strains are the ones that most promptly adsorb on the Si<sub>3</sub>N<sub>4</sub> surface and undergo fundamental modifications in their thioether groups and terminal structures. A probable scenario for such an efficient reaction might consist of an electrostatic attraction between the Si<sub>3</sub>N<sub>4</sub> surface and the envelope of influenza A virions, as further discussed later in Sections 4.2 and 4.4. Finally, Band 23 at 965 cm<sup>-1</sup>, assigned to 5-ring deformation in RNA guanine,<sup>53</sup> completely disappeared for all strains after exposure to Si<sub>3</sub>N<sub>4</sub> powder (cf. Band 23 in Fig. 6a, c and e vs. arrows indicating this missing band in Fig. 6b, d and f). A discussion about the latter spectroscopic feature is given later in Section 4.1.

The main characteristics of Zone III (1000–1200 cm<sup>-1</sup>) resided in the presence of a strong signal at 1043 cm<sup>-1</sup> from

S=O stretching in oxidized methionine (methionine sulfoxide),<sup>52</sup> a peculiar characteristic of the Si<sub>3</sub>N<sub>4</sub>-exposed A H1N1 strain (cf. Band 28 in Fig. 6a and b and arrows for the same (missing) band in Fig. 6c–f). This band was very weak in the non-treated A H1N1 virions, and its significant increase upon exposure to Si<sub>3</sub>N<sub>4</sub> powder supports the hypothesis of methionine oxidation. On the other hand, exposure of the A H3N2 and B98 strains to Si<sub>3</sub>N<sub>4</sub> promoted the appearance of a new band at 1141 cm<sup>-1</sup> (labeled as Band 33\* in Fig. 6d and f), which was not seen in the spectrum of the exposed A H1N1 strain (cf. Fig. 6b). The newly appeared Band 33\* could be assigned to C–S–C stretching in the thioether configuration of methionine (cf. Table S-III, ESI<sup>†</sup>).<sup>52</sup> Thioether cleavage can be triggered by protonated amino groups, which act as hydrogen bond donors and form strong hydrogen bonds with hydrogen bond acceptor silylamine sites on the Si<sub>3</sub>N<sub>4</sub> surface.<sup>57</sup> Note that the potential ability of secondary silylamines to bond to carbon likely involves the possibility of direct links with the methionine methyl group CH<sub>3</sub> to form a quaternary amine with a positive charge. The rationale for the above peculiar features regarding methionine and related compounds will be given in Sections 4.2 and 4.4.

Besides the presence of Amide III bands between 1220 and 1270 cm<sup>-1</sup> (cf. Bands 30–42 in Fig. 7a–c and Table S-IV, ESI<sup>†</sup>), the spectral morphology of Zone IV (1200–1400 cm<sup>-1</sup>) included a number of interesting features. Such additional signals provided insights into differences among non-treated strains and the degradation phenomenon upon contact with Si<sub>3</sub>N<sub>4</sub> particles. The most important spectral characteristic in Zone IV was the tryptophan doublet at 1345 and 1366 cm<sup>-1</sup> (Bands 47 and 48 in Fig. 7; arising from C–H bending vibrations and indole ring C–N stretching, respectively).<sup>50</sup> This doublet senses surface pH and is related to torsional angles of the tryptophan molecule (as shown later in Zone V), thus providing unique insight into both virions' surface pH (to be compared to the output of tyrosine doublet analysis from Zone II) and functionality of viral proton channels before/after exposure to Si<sub>3</sub>N<sub>4</sub> powder. Similar to the case of the tyrosine doublet, the intensity ratio of the tryptophan doublet has been studied in detail by several authors.<sup>14,16</sup> An interpretation of the Raman behavior of the tryptophan doublet for different viral strains will be given in the forthcoming sections (Sections 4.3 and 4.4). As a further issue seen in Fig. 7b and d, both A-type viral strains presented two new bands (labeled as 39\* and 42\*, at 1236 and 1278 cm<sup>-1</sup>, respectively) after exposure to Si<sub>3</sub>N<sub>4</sub>. These additional bands were not seen in the Si<sub>3</sub>N<sub>4</sub>-exposed B98 strain (cf. Fig. 7f). On the other hand, the exposed B98 strain showed a new signal at 1380 cm<sup>-1</sup> (labeled as Band 48\* in Fig. 7f), which could not be resolved in neither of the A-type strains. The 39\*, 42\*, and 48\* signals were related to the degradation phenomena of amino acid residues (cf. vibrational origins in Table S-IV, ESI<sup>†</sup>), as discussed in the forthcoming sections (Sections 4.2 and 4.3). Zone V (1400–1600 cm<sup>-1</sup>), depicted in Fig. 8 (cf. also Table S-V, ESI<sup>†</sup>), contained two types of signals intrinsically capable of clarifying the degree of protonation in the aromatic amino acid residues histidine and tryptophan contained in viral proteins. As discussed later, the protonation of these two residues is key



to the functionality of the viruses. Bands labeled as 55, 57, and 57\* (at 1528, 1575, and 1584  $\text{cm}^{-1}$ , respectively) indicate stretching vibrations of the C4=C5 bond in the imidazole ring of deprotonated histidine and partially protonated  $\tau$  and  $\pi$  histidine tautomers, respectively.<sup>14</sup> Different tautomeric structures, whose Raman signatures are seen in Zone V through their C4=C5 stretching signal, develop in strongly alkaline (*i.e.*, the deprotonated structure) and slightly acidic-to-slightly alkaline ( $\tau$  and  $\pi$  histidine tautomers) environments. As can be seen in Fig. 8, the intensities of Raman signals from different histidine tautomers, which are directly related to their volume fractions, differed in different influenza strains. Moreover, tautomeric fractions were strongly altered upon exposure to  $\text{Si}_3\text{N}_4$  powder. This information adds upon pH assessments using tyrosine and tryptophan doublets, as reported above and further discussed in the forthcoming section (Section 4.3). Another important signal in Zone V is represented by Band 56 (at 1546  $\text{cm}^{-1}$ ), which arises from C2=C3 bond stretching in the pyrrole ring of tryptophan.<sup>14</sup> The wavenumber location of this band has been related to the torsional angle,  $\chi^{2,1}$ , of the indolyl side chain, which is affected by the degree of deprotonation of the tryptophan molecule, and thus depends on environmental pH. The  $\chi^{2,1}$  angle in unexposed and  $\text{Si}_3\text{N}_4$ -exposed strains varied in the intervals 1544–1548 and 1547–1550  $\text{cm}^{-1}$ , respectively, thus revealing important differences and fluctuations in both molecular conformation and environmental pH. It was also noticed that the C2=C3 stretching signal disappeared in  $\text{Si}_3\text{N}_4$ -exposed A H3N2 virions (*cf.* Fig. 8d), this being an experimental evidence hinting to tryptophan ring breaking in this strain under  $\text{Si}_3\text{N}_4$  hydrolytic reactions. More details of this point will be given in Section 4.3. Finally, two signals at 1414 and 1598  $\text{cm}^{-1}$  (Bands 49\* and 58, respectively) were assigned to symmetric and antisymmetric stretching in the deprotonated  $-\text{COO}^-$  group of homocysteine, thus confirming the presence of this molecule after  $\text{Si}_3\text{N}_4$  exposure, as already reported for Zone II. Note that Band 58 is also contributed by  $\text{NH}_3$  bending in methionine sulfoxide as an additional product of oxidation.

Zone VI (1550–1750  $\text{cm}^{-1}$ ), shown in Fig. 9 and Table S-VI (ESI<sup>†</sup>) with respect to its band components, is characteristic of the Amide I vibrational mode as a composite band assembly from different secondary structures of viral proteins (*cf.* Bands 61–65).<sup>58</sup> All three non-treated strains showed similar Raman morphological profiles in their Amide I interval at 1630–1715  $\text{cm}^{-1}$ . The A H3N2 and B98 non-treated strains both experienced the most prominent Amide I signal at 1657  $\text{cm}^{-1}$  (*i.e.*,  $\alpha$ -helix configuration; *cf.* Band 62 in Fig. 9c and e, and Table S-VI, ESI<sup>†</sup>). On the other hand, the non-treated strain A H1N1 presented its strongest signal at 1638  $\text{cm}^{-1}$ , namely, in the wavenumber interval of the Amide I  $\beta$ -sheet configuration (*cf.* Band 61 in Fig. 9a and Table S-VI, ESI<sup>†</sup>). All non-treated strains showed relatively weak (and partly missing) signals from  $\beta$ -turn configurations (*cf.* Bands 64 and 65 in Fig. 9a, c and e). The anomaly of an apparently stronger Amide I signal from the  $\beta$ -sheet configuration only in the A H1N1 non-treated strain could be interpreted by taking into consideration the overlap of this signal with the C4=C5 stretching mode of diprotonated histidine (imidazolium).<sup>14</sup> As explained in more

detail later in Section 4.3, the A H1N1 strain presented the most acidic pH at its environmental interface among other strains, which justifies a stronger signal from the imidazolium molecule.

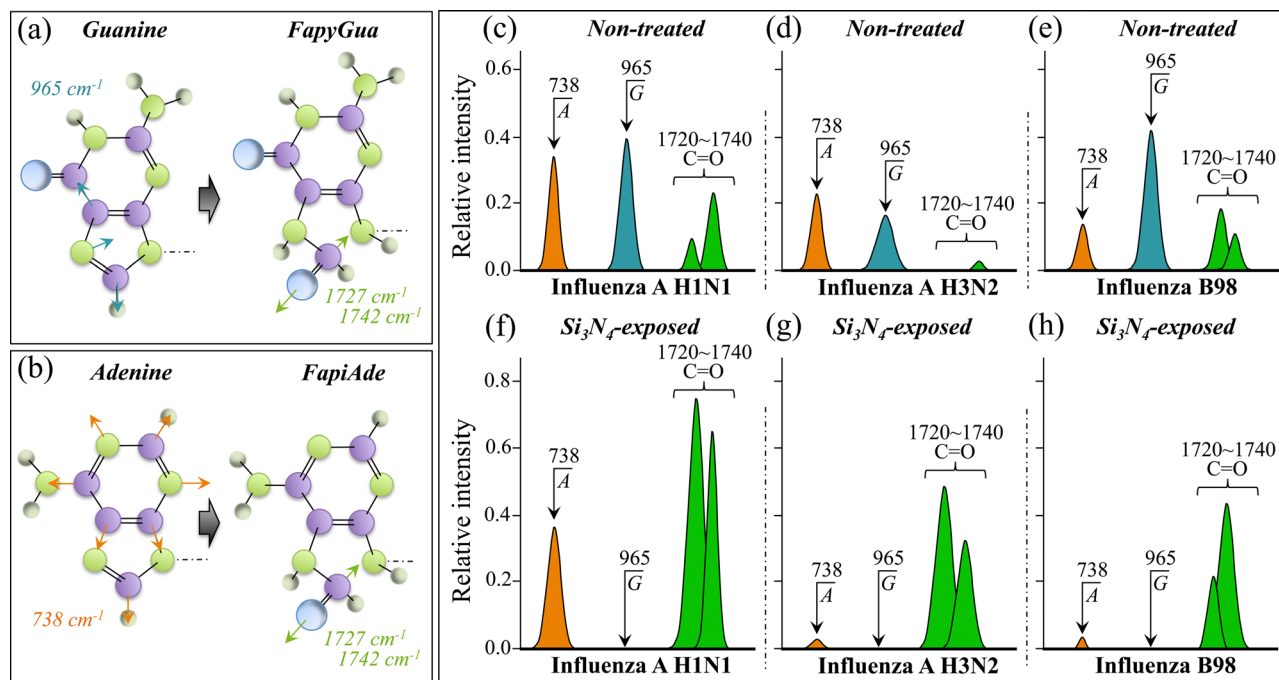
Another striking feature of Zone VI resided in the strong amplification, detected for all strains, of the carbonyl-stretching doublet located at 1727 and 1742  $\text{cm}^{-1}$  upon exposure to  $\text{Si}_3\text{N}_4$  powder (*cf.* Bands 66 and 67 in Fig. 9). Although also contributed by C=O stretching in lipids,<sup>59</sup> those two signals are likely to be strongly enhanced by carbonyl stretching at the oxidized C8 atom of guanine and adenine RNA purines.<sup>60</sup> Such oxidation, only possible due to radical interactions, results in the opening of the imidazole ring to form 2,6-diamino-4-oxo-5-formamidopyrimidine and 4,6-diamino-5-formamidopyrimidine molecules.<sup>61,62</sup> Such oxidized compounds are usually referred to as Fapy-guanine and Fapy-adenine (simply FapyGua and FapyAde, henceforth).<sup>63,64</sup> Note that the observation of enhanced carbonyl stretching bands as a consequence of imidazole ring breakage also matches the finding of a complete disappearance of Band 23 at 965  $\text{cm}^{-1}$  (*cf.* above description in Zone II) mainly contributed by 5-ring deformation in RNA guanine.<sup>53</sup> Further discussion about the latter spectroscopic feature will be given in the next section (Section 4.1).

## 4. Discussion

### 4.1 Raman fingerprints of RNA and its degradation patterns

RNA purine and pyrimidine present distinct markers in the Raman spectra of viruses.<sup>11–13,19,21,41,49,53</sup> As shown in Fig. S1 (ESI<sup>†</sup>), specific Raman signals could be selected to univocally (or, at least, predominantly) mark RNA in the Raman spectrum of viruses. Regarding purines, the 5-ring deformation signal in guanine (at 965  $\text{cm}^{-1}$ ; Band 23 in Fig. 5 and 10a) and the ring-breathing signal in adenine (at 734  $\text{cm}^{-1}$ ; Band 9 in Fig. 4 and 10b) were suitable choices for RNA Raman analysis of influenza viruses. Possible pyrimidine markers were located at 760  $\text{cm}^{-1}$  (ring breathing) in cytosine and 802  $\text{cm}^{-1}$  (ring breathing) in uracil (*cf.* Bands 10 and 13 in Fig. 4 and 5, respectively, and Fig. S1, ESI<sup>†</sup>). However, only the latter signal, although quite weak in the non-treated strains, is mainly contributed by uracil, while the former suffers severe overlap by a significantly stronger tryptophan band, which gives the preponderant contribution to this signal (*cf.* Fig. S2, ESI<sup>†</sup>). In previous work,<sup>19</sup> we defined the concept of “Raman genome” in order to emphasize differences among RNA of different viral strains assessed by means of Raman spectroscopy, in comparison with other well-established methods of genomic analysis in virology. It was found that the relative intensity of RNA Raman signals not only depended on the fractions of RNA bases, but also, and in a complex way, on the specific RNA sequences, since the vibrational intensity of each molecule also depends on neighboring conditions. Here, we focus on the impact that hydrolytic reactions at the interface between  $\text{Si}_3\text{N}_4$  and virions had on the structure of RNA purines, as summarized in Fig. 10c–h. The guanine signal at 965  $\text{cm}^{-1}$  completely disappeared from the spectrum of all  $\text{Si}_3\text{N}_4$ -exposed influenza strains (*cf.* Band 23 in Fig. 10f–h). The selected spectroscopic marker for the adenine





**Fig. 10** Structure of RNA purines and their oxidized structures: (a) guanine and FapyGua and (b) adenine and FapyAde; the 5-ring deformation signal from guanine at  $965\text{ cm}^{-1}$  and the ring breathing signal from adenine at around  $734\text{ cm}^{-1}$  were taken as markers of the two RNA bases, respectively (cf. labels in the inset). Both oxidized molecules present carbonyl stretching bands at around  $1727$  and  $1742\text{ cm}^{-1}$ . Band components depicted in (a) and (b) were extracted from deconvoluted spectra in Fig. 4, 5 and 9, and are shown in (c), (d) and (e) for non-treated and in (f), (g) and (h) for  $\text{Si}_3\text{N}_4$ -exposed A H1N1, A H3N2, and B98, respectively. Note that the  $965\text{ cm}^{-1}$  5-ring deformation band of guanine systematically disappeared in all strains and the carbonyl stretching bands significantly enhanced after exposure to  $\text{Si}_3\text{N}_4$  (cf. (f)–(h)), which is spectroscopic proof of 5-ring breakage and degradation into Fapy molecules, respectively.

base, seen at  $738\text{ cm}^{-1}$  (labeled as Band 9), was found unchanged in the A H1N1 strain, but it almost disappeared in both A H3N2 and B98 strains upon exposure to  $\text{Si}_3\text{N}_4$ . A different pattern in the above prospect of RNA damage was recorded for the uracil signal located at  $802\text{ cm}^{-1}$  (cf. Band 13 in Fig. 5). This signal was generally weak in the non-treated strains, but tended to increase in intensity and to slightly shift towards higher wavenumbers upon  $\text{Si}_3\text{N}_4$  exposure.

RNA viruses are the most prone to ammonia attack, because their genome type is more susceptible to degradation, while other more stable genome types present a slower inactivation, mainly driven by degradation of viral proteins.<sup>65</sup> The complete or almost complete disappearance of ring vibrations in both guanine and adenine purines, as observed here, obviously arises from breakage of the imidazole ring with formation of the respective formamidopyrimidines (Fapy structures).<sup>66</sup> However, ring breakage cannot be simply assigned to a direct interaction with ammonia molecules, but instead requires the occurrence of off-stoichiometric reactions with oxygen and/or nitrogen radicals. Peroxynitrite anions ( $\text{O}=\text{NOO}^-$ ) exhibit pronounced oxidizing properties toward purine moieties, which in turn lead to the production of 8-oxo-7,8-dihydro-2'-deoxyguanosine (8-oxo-dGuo) in a very low yield. Then, the 8-oxo-dGuo molecules further react to form 2,6-diamino-4-hydroxy-5-formamidopyrimidine (FapyGua). Similarly, the 4,6-diamino-5-formamidopyrimidine molecule (FapyAde) is formed as an oxidation product of the adenine base. The structures of FapyGua and FapyAde are schematically

shown in Fig. 10a and b, respectively. A strong hint in support of the hypothesized purine oxidation mechanism is given by the significant enhancement of  $\text{C}=\text{O}$  stretching signals in the spectral interval  $1720\text{--}1740\text{ cm}^{-1}$  (cf. Fig. 10f–h and Bands 66 and 67 in Fig. 9), which are strong Raman signals characteristic of Fapy and other oxidized molecules.

Despite being in contrast to the evidence of ring disruption in purines, the recorded behavior of uracil RNA pyrimidine upon exposure to  $\text{Si}_3\text{N}_4$  powder could also be considered as evidence in support of the hypothesis of a radical species effecting RNA degradation. Ring degradation of pyrimidines can only arise from photohydration reactions,<sup>67</sup> through a mechanism that requires high-energy radiative and oxygen-independent processes, which are obviously not involved in the hydrolytic reactions studied here. Moreover, Nonoyama *et al.*<sup>68</sup> have reported that both cytosine and uracil pyrimidines are conspicuously unaffected by exposure to peroxynitrite anions, thus supporting the present finding of uracil inertness in a hydrolytic  $\text{Si}_3\text{N}_4$  environment. Note, however, that the uracil structure, which primarily exists in the lactam (amide) form in neutral aqueous solution,<sup>69,70</sup> is ionized in an alkaline environment to form hydroxyl groups to replace the double-bonded oxygens forming the lactim (imidic acid) structure.<sup>71</sup> The hydrolytic reactions taking place at the solid surface of  $\text{Si}_3\text{N}_4$  in an aqueous environment induce a robust pH buffering at the biological interface with virions;<sup>72–74</sup> according to such reactions, the local pH values become comparable with that of uracil

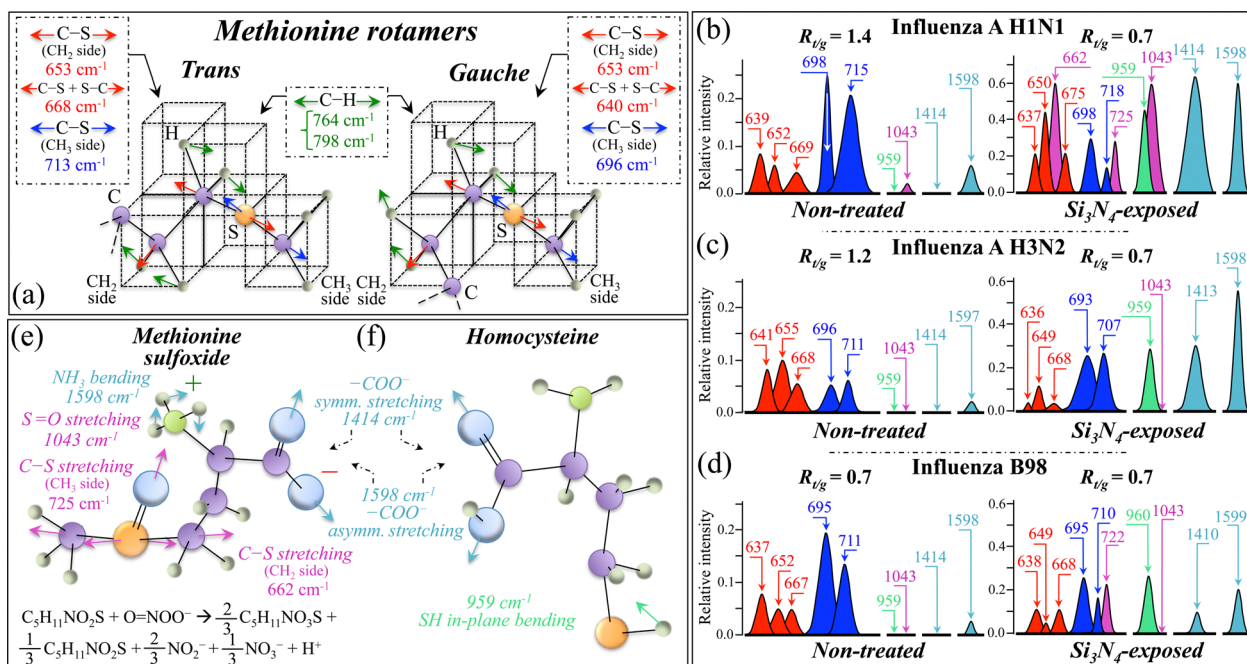


ionization ( $pK \sim 9.2$ ). Since uracil lacks aromatic properties, it is prone to amide-imide tautomeric shift.<sup>75</sup> Under the high-pH environmental conditions developed at the interface with  $\text{Si}_3\text{N}_4$  particles, the uracil structure could thus undergo amide-imidic acid tautomeric shift, a structural change that could in turn explain the observed variations of Band 13 in both intensity and wavenumber shift, as reported above.

#### 4.2 The spectroscopic role of S-containing amino acid residues

In the stream of our previous Raman studies of viral strains,<sup>19,21,49,76</sup> this study focused on and confirmed how the molecular symmetry properties of S-containing amino acid residues could be exploited in order to unequivocally classify different viral strains. In particular, we focused here on the low-wavenumber C–S stretching signals of *trans* and *gauche* methionine rotamers (*cf.* Zone I in Fig. 3 and 4). As shown in Fig. 11a, the different molecular symmetry of methionine rotamers leads to clear spectral differences in their vibrational signals. C–S stretching Raman signals extracted from the respective spectra in the spectral zone between 600 and 750  $\text{cm}^{-1}$  are shown for the three investigated influenza strains in Fig. 11b–d (*cf.* labels in the inset). An examination of C–S band fractions in the non-treated strains revealed remarkable differences, which could be classified according to the fraction of S-containing amino acid rotamers. *trans* and *gauche* methionine rotamers share a common C–S stretching band on the  $\text{CH}_2$  side of the molecule at

$653 \pm 2 \text{ cm}^{-1}$  (Band 4 in Fig. 4 and Table SI, ESI<sup>†</sup>). An additional stretching component for C–S + S–C bonds is seen at  $669 \pm 1$  and  $640 \pm 3 \text{ cm}^{-1}$  (Bands 5 and 3 in Fig. 4 and Table SI, ESI<sup>†</sup>) in *trans* and *gauche* rotameric configurations, respectively. On the other hand, C–S and C–S–C stretching components on the  $\text{CH}_3$  carboxyl side in *trans* and *gauche* configurations can be found at  $713 \pm 2$  and  $696 \pm 2 \text{ cm}^{-1}$ , respectively (*cf.* Bands 7 and 8 in Fig. 4 and Table SI, ESI<sup>†</sup>).<sup>50,51,77–79</sup> The large variations in both the spectral position and the relative intensity of C–S bond-related Raman bands among different (non-treated) strains reflect compositional differences in the fractions of methionine rotamers, which in turn are the consequence of the different amino acid sequences to which methionine residues link. Such differences in molecular symmetry characteristics are of fundamental importance in virus classification and response to external stress, since they play key roles in a number of functions during the virus lifetime.<sup>78,79</sup> The methionine *trans*-to-*gauche* ratio,  $R_{t/g}$ , namely, the ratio of the cumulative areal intensity C–S bands from *trans* and *gauche* rotamers, can be selected as a parameter that swiftly represents the structural difference between influenza strains. The  $R_{t/g}$  values computed for the three non-treated strains, given in the inset in Fig. 11b–d, showed relatively close ( $> 1$ ) values for the two strains of type A, while the ratio for the type B strain was  $< 1$  and 40–50% lower than those of both type A strains. Such a big difference in the  $R_{t/g}$  ratio between type A and type B strains reflects their different chirality characteristics and could be



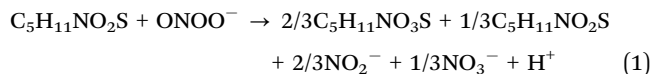
**Fig. 11** (a) Different molecular symmetries of *trans* and *gauche* methionine rotamers leading to different wavenumbers for C–S stretching signals with the same vibrational origin (*cf.* labels in the inset); on the left side of (b), (c) and (d), band components extracted from the respective spectra in Zone I are plotted for non-treated A H1N1, A H3N2, and B98 strains, respectively. In (e) and (f), the structures and main spectroscopic markers of methionine sulfoxide and homocysteine are shown as oxidation products (*cf.* labels and chemical reaction with peroxyntirite in the inset). On the right side of (b), (c) and (d), C–S band components extracted from the respective spectra in Zone I are plotted for  $\text{Si}_3\text{N}_4$ -exposed A H1N1, A H3N2, and B98 strains, respectively, together with spectroscopic markers of the oxidized molecules shown in (e) and (f). Note how C–S band fractions both in non-treated and  $\text{Si}_3\text{N}_4$ -exposed strains revealed marked structural differences (classified according to the *trans*-to-*gauche* ratio,  $R_{t/g}$ ; *cf.* values in the inset).



used as an effective fingerprint in type speciation of influenza virus. Methionine residues are strongly hydrophobic and are located at buried sites in the core of globular proteins. Fig. 1e–g emphasizes the location of buried methionine residues in the M1 matrix protein of H1N1, H3N2, and B98 strains, respectively. Nevertheless, they showed large structural variations upon exposure to the Si<sub>3</sub>N<sub>4</sub>-surface environment, thus hinting at a strong interaction with Si<sub>3</sub>N<sub>4</sub> hydrolytic products and their radical sub-products, as discussed in Section 4.4. From the present data, it is immediately clear that environmental effects heavily impacted the methionine structure by inducing a scrambling drift in the population ratio of *trans* and *gauche* rotamers. The  $R_{t/g}$  value for all three investigated strains was 0.7, lowered by 40–50%, while the B98 strain remained the same as that of the non-treated strain. Additional fingerprints of environmental interaction could also be found in the methionine spectrum of Si<sub>3</sub>N<sub>4</sub>-exposed virions. In A H1N1 and B98 strains, a more than two fold increase was recorded in the relative intensity of both C–S and C–S + S–C stretching signals on the CH<sub>2</sub> side (*cf.* Bands 7 and 8 in Fig. 11b). Concurrently, three new or strongly intensified bands appeared at 662, 725, and 1043 cm<sup>-1</sup> (*cf.* also bands 4\*, 8\*, and 28; the first two in Fig. 4b and the latter in Fig. 6b). According to Torreggiani *et al.*,<sup>52</sup> the above three signals could be assigned to C–S stretching on the CH<sub>2</sub> side, C–S stretching on the CH<sub>3</sub> side, and S=O stretching in methionine sulfoxide, respectively; the first two bands were completely missing in the spectrum of all three unexposed virions, while the latter could be seen with very low intensity only in the A H1N1 strain; however, it increased in relative intensity by more than one order of magnitude after Si<sub>3</sub>N<sub>4</sub> exposure (*cf.* Fig. 11b). The methionine sulfoxide molecule, which is depicted in Fig. 11e, is a post-translational product of methionine, which forms upon oxidation and occurs as a consequence of environmental stress exposure operated by both non-radical and free radical species. Note that the marked shift and signal intensification of C–S and of C–S + S–C stretching in the sulfoxide molecule as compared to non-oxidized methionine are the consequences of variations in both stiffness and flexibility of chemical bonds upon oxidation. A further proof of the formation of methionine sulfoxide is the appearance or the significant enhancement of two bands at 1414 and 1598 cm<sup>-1</sup> (*cf.* bands 49\* and 58 in Fig. 8b), which could be seen in all exposed strains. These bands are assigned to symmetric and antisymmetric stretching of –COO<sup>-</sup> terminal bonds, respectively (*cf.* Fig. 11e). The signal at 1598 cm<sup>-1</sup> might be overlapped and, thus, be contributed by in-plane bending vibrations of the NH<sub>3</sub><sup>+</sup> terminal group of methionine sulfoxide.<sup>52</sup> Note that vibrational signals from –COO<sup>-</sup> terminal bonds are also present in the spectrum of homocysteine (Fig. 11f), whose presence in the spectrum of the Si<sub>3</sub>N<sub>4</sub>-exposed A H1N1 strain seems to be also substantiated by a relatively strong signal at 959 cm<sup>-1</sup> from S–H in-plane bending (band labeled 22\* in Fig. 5b, not detectable in the non-treated virus sample; *cf.* Fig. 11b).<sup>52</sup> Note that the A H1N1 strain was the only one showing the sulfoxide S=O stretching signal at 1043 cm<sup>-1</sup> after exposure to Si<sub>3</sub>N<sub>4</sub>, while all strains showed the homocysteine S–H bending signal at 959 cm<sup>-1</sup>. In other words, only the A H1N1 strain

underwent oxidation of the buried methionine residues, while all strains experienced oxidation of environmentally exposed cysteine residues upon interaction with Si<sub>3</sub>N<sub>4</sub> hydrolytic products.

Oxidation of methionine residues within proteins takes place in the presence of a variety of oxygen and nitrogen radicals, including peroxyxynitrite, O=NOO<sup>-</sup>.<sup>80</sup> The proposed radical reaction causing methionine oxidation at the surface of Si<sub>3</sub>N<sub>4</sub> bioceramics is given as follows:<sup>80–82</sup>

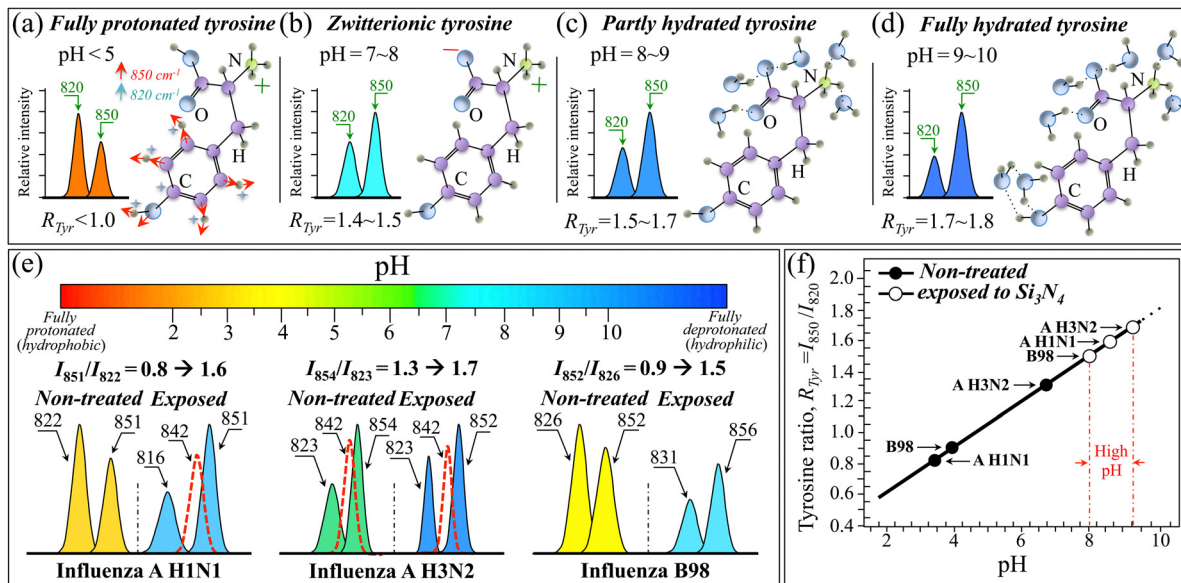


A discussion about the mechanisms, which give rise to the formation of peroxyxynitrite at the virion/Si<sub>3</sub>N<sub>4</sub> interface, will be given in the following section.

### 4.3 Raman signals from aromatic amino acids as environmental sensors

The phenyl ring of tyrosine residues is known to generate a Raman doublet of relatively high intensity at around 850 and 820 cm<sup>-1</sup>, which has been ascribed to Fermi interaction.<sup>83,84</sup> The doublet bands, governed by Fermi coupling, arise from fundamental ring-stretching vibrations (at 850 cm<sup>-1</sup>) and the first overtone of the phenolic ring deformation mode (at 820 cm<sup>-1</sup>) (*cf.* Bands 16 and 14, respectively, in Fig. 5 and 12a). The intensity ratio of such a doublet,  $R_{\text{Tyr}} = I_{850}/I_{820}$ , is related to the hydrogen-bonding state of the phenolic OH group, according to a relationship that was initially quantified by Siamwiza *et al.*<sup>84</sup> and later extended to the non-hydrogen-bonded phenoxyl state by Arp *et al.*<sup>85</sup> A more recent study conducted by Hernandez *et al.*<sup>55</sup> revealed all characteristic Raman markers of tyrosine and tyrosinate phenol rings as a function of environmental pH. In an acidic environment, the tyrosine ratio of fully protonated tyrosine is  $R_{\text{Tyr}} < 1$ , then, at increasing pH,  $R_{\text{Tyr}}$  reaches values increasingly larger than 1 for zwitterionic, partly hydrated, and fully hydrated configurations (Fig. 12a–d). Raman analysis using the tyrosine doublet has been quite popular in studying the protein structure of viral strains.<sup>21,86–91</sup> Since viral proteins contains tyrosine residues at multiple sites, the Raman spectrum obviously reads an  $R_{\text{Tyr}}$  value that reflects the average hydrogen-bonding state of all tyrosine phenoxyls (*i.e.*, “buried” vs. “unburied” configurations). For tyrosine residues in “buried” configuration, the non-hydrogen-bonded phenoxyl state is often encountered in the tightly packed  $\alpha$ -helical sub-units of viral capsids.<sup>85,86,90</sup> The above observation implies that values  $R_{\text{Tyr}} < 1$  prove that the majority of tyrosine residues are in a “buried” state.<sup>92</sup> Fig. 12e shows the band components, the exact wavenumbers and the values of the tyrosine ratio as recorded for the three influenza strains studied in this paper, before and after exposure to Si<sub>3</sub>N<sub>4</sub> aqueous solutions (*cf.* labels in the inset). As seen, the viral strains differ from each other in their non-treated state, with types A H1N1 and B98 mainly showing hydrophobic and “buried” tyrosine residues ( $R_{\text{Tyr}} = 0.8$  and 0.9, respectively), while type A H3N2 virions mainly include zwitterionic tyrosine residues ( $R_{\text{Tyr}} = 1.3$ ). After exposure to Si<sub>3</sub>N<sub>4</sub> powder dispersed in aqueous solution, all virion types





**Fig. 12** Tyrosine structure and its characteristic Raman doublet (doublet ratio,  $R_{\text{Tyr}} = I_{850}/I_{820}$ ) as a function of environmental pH: (a) fully protonated structure in an acidic environment, (b) zwitterionic structure in a neutral environment, and (c) partly and (d) fully hydrated structures in an increasingly alkaline environment. In (e), tyrosine doublets of A H1N1, A H3N2, and B98 strains as extracted from the respective Zone II spectra (Fig. 5) before and after exposure to  $\text{Si}_3\text{N}_4$  particles (cf. tyrosine ratios in the inset). Broken lines represent an intermediate ring deformation band of tyrosinate anions located at  $842\text{ cm}^{-1}$  (cf. explanations in Section 4.3). The plot in (f) gives the relationship between the tyrosine ratio,  $R_{\text{Tyr}}$ , and the pH value at the virion surface (from ref. 92). Note how all strains after exposure to  $\text{Si}_3\text{N}_4$  revealed high surface pH values as a consequence of irreversible modifications to the tyrosine structure.

similarly shifted toward a hydrophilic “unburied” configuration, characteristic of high pH values. Upon plotting these results in terms of pH values (Fig. 12f),<sup>93</sup> A H1N1 and B98 virions are both found to display surface pH values at around 4, while the A H3N2 stems at neutral pH ( $\sim 7$ ). After  $\text{Si}_3\text{N}_4$  exposure all strains reached a fully hydrated “unburied” state with environmental pH between 8 and 10.

An additional characteristic in the spectral zone of the tyrosine doublet was the presence of a relatively strong band in between the doublet (at  $842\text{ cm}^{-1}$ ), which only appeared in the two type A viral strains at  $R_{\text{Tyr}} > 1$  (cf. broken-line peak components in Fig. 5 and 12e). In order to explain this additional feature, we hypothesized that high pH leads to deprotonated tyrosine (tyrosinate), which in turn alters the morphology of the Raman doublet with the appearance of an intermediate band. The presence of the  $842\text{ cm}^{-1}$  band thus suggests the coexistence of tyrosine and tyrosinate moieties in the protein structure of influenza type A virions. This interpretation is supported by the fact that the  $R_{\text{Tyr}}$  value observed for strain type A, when the intermediate band appears, is  $\sim 1.25$ , as reported in the literature for the phenolic OH group acting as both the donor and the acceptor of moderate hydrogen bonds.<sup>86,94</sup> In summary, one could state that the presence of the  $842\text{ cm}^{-1}$  band indicates a tendency to deprotonate and is symptomatic of an increased environmental pH. Tyrosine residues (specifically those at position 76), which are conserved in  $>99\%$  of influenza type A virus strains sequenced to date, are critical for the formation of infectious virus particles.<sup>95</sup> Therefore, their deprotonation should be a critical circumstance in their inactivation. Note that the

presence of tyrosinate molecules at high pH could be a consequence of higher fractions of “buried” locations for tyrosine residues, which could be deprotonated upon alkalization while yet remaining dehydrated. In other words, tyrosine residues “buried” in the three-dimensional structure of proteins of influenza A type are damaged, but yet remain “buried”.<sup>91</sup> On the other hand, the absence of any intermediate peak between the doublet components in type B virions, despite their high surface pH after exposure to  $\text{Si}_3\text{N}_4$  powder, could be a consequence of a higher fraction of environmentally exposed tyrosine residues, which thus become promptly hydrated after being deprotonated by alkalization.

Raman signals from tryptophan have also been extensively investigated since they, similar to the case of tyrosine, can provide useful information about side chains in proteins.<sup>16,96–102</sup> One of the main tryptophan markers lies in the wavenumber interval  $1540\text{--}1560\text{ cm}^{-1}$  and arises from stretching of the  $\text{C}2=\text{C}3$  double bond in the indole ring (Fig. 13a). This Raman signal is indicative of the amount of torsional rotation of the indolyl side chain, which in turn links to the degree of deprotonation and thus to environmental pH. Torsional displacements are represented by the torsional angle,  $\chi^{2,1}$ , of the  $\text{C}2\text{--C}3\text{--C}\beta\text{--C}\alpha$  linkage (cf. Fig. 13a). The wavenumber of the  $\text{C}2=\text{C}3$  stretching,  $\nu_{\text{C}=\text{C}}$ , Raman signal correlates with the absolute value of the torsional angle,  $\chi^{2,1}$ , in the range  $60^\circ\text{--}120^\circ$ , according to the following equation:<sup>98</sup>

$$\nu_{\text{C}=\text{C}} = 1541.8 + [6.9 \cos(3|\chi^{2,1}|) + 1]^{1.2} \quad (2)$$

as plotted in Fig. 13b. The limited range of  $60^\circ$  in molecular torsion is due to steric hindrance between the  $\text{C}\alpha$  atom and the



indole ring, while the dependence on the absolute value of the torsional angle arises from the presence of a plane of symmetry in the indole ring. As a consequence of such symmetry, positive and negative  $\chi^{2,1}$  angles of the same magnitude lead to the identical orientation of the  $C\alpha$  atom with respect to the ring.

In the Raman spectrum of tryptophan, a Fermi doublet is also present with components at  $1340\text{ cm}^{-1}$  (C–H vibrations) and  $1360\text{ cm}^{-1}$  (C–N stretching) (Fig. 13a).<sup>102</sup> Such a doublet represents an additional sensor for the local environment around the indolyl ring: the tryptophan intensity ratio,  $R_{\text{Trp}} = I_{1360}/I_{1340}$ , increases with decreasing pH (*i.e.*, with increasing hydrophobicity) and *vice versa*. The relationship between  $R_{\text{Trp}}$  and pH was precisely established in a basic study conducted by Harada *et al.*<sup>16</sup> and is shown in Fig. 13c. Fig. 13d–f show the band components and the exact wavenumbers for the C2=C3 stretching mode (expected in the wavenumber interval  $1540\text{--}1560\text{ cm}^{-1}$ ) and the Fermi doublet (expected at around  $1340/1360\text{ cm}^{-1}$ ) as recorded for the three influenza strains studied in this paper, before and after exposure to  $\text{Si}_3\text{N}_4$  aqueous solutions (*cf.* labels in the inset). The computed values for the torsional angle,  $\chi^{2,1}$ , (from experimental  $\nu_{\text{C=C}}$  values) and pH (from experimental  $R_{\text{Trp}}$  values) were retrieved for different viral strains, as shown in Fig. 13b and c, respectively. Notably both plots are basically consistent with the tyrosine plot in Fig. 12f, showing an increasing alkaline order and consistent pH values at the surface of non-treated A H1N1, B98, and A H3N3 virions (Fig. 13b and c, respectively). Additional proof for Raman pH sensing could be found upon monitoring the intensity of the Raman band at  $752\text{ cm}^{-1}$  (*cf.* Band 10 in Fig. 4; from breathing vibration of the indolyl ring),<sup>102</sup> whose intensity is inversely

related to the hydrophobicity of the local ring environment.<sup>99</sup> The trend for the latter parameter was consistent with the above pH estimations made by using both tyrosine and tryptophan doublets: the intensity for the  $752\text{ cm}^{-1}$  band was lower in the spectra of A H1N1 and B98, which possessed lower surface pH (*i.e.*, higher hydrophobicity) as compared to A H3N2. Upon exposure to  $\text{Si}_3\text{N}_4$ , all three tryptophan pH-sensitive sensors consistently indicated a shift to an increasingly alkaline environment. However, after exposure to  $\text{Si}_3\text{N}_4$ , only the A H1N1 strain maintained a spectral morphology fully compatible with the above-discussed algorithms, while several anomalies were noticed for both A H3N2 and B98 strains, as follows:

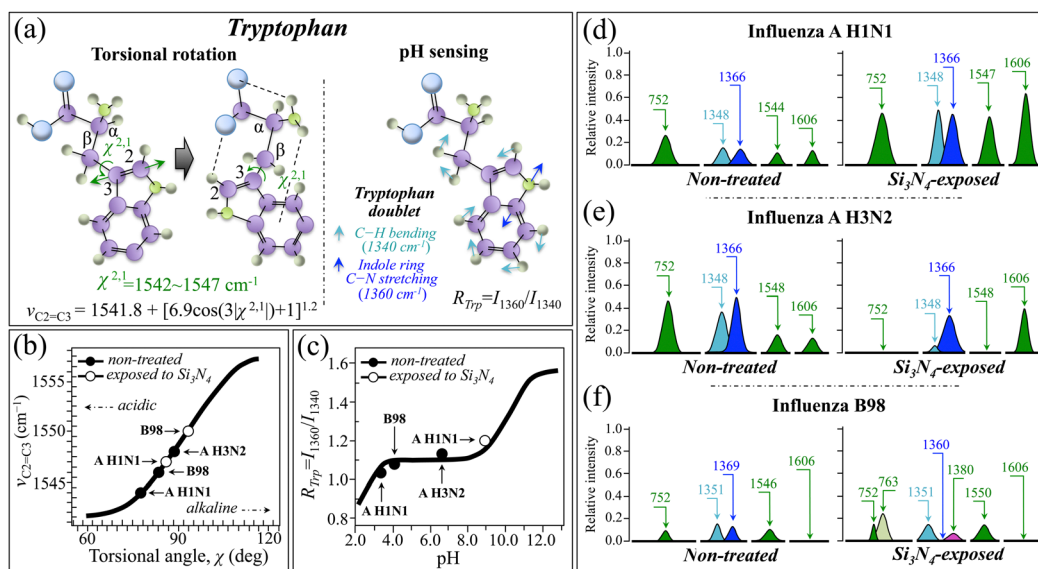
(i) The C2=C3 stretching band was missing in the A H3N2 strain (*cf.* missing Band 56 as emphasized by an arrow in Fig. 8d).

(ii) In the Fermi doublet of the A H2N3 strain, the lower wavenumber component (seen at  $1348\text{ cm}^{-1}$ ) was so low in intensity to lead to unrealistically high values of the  $R_{\text{Trp}}$  ratio (*cf.* Band 47 in Fig. 7d).

(iii) In the Fermi doublet of the B98 strain, the low-wavenumber component (seen at  $1351\text{ cm}^{-1}$ ) was maintained at wavenumber/intensity similar to the non-treated state, but the high-wavenumber component completely disappeared, while a new band component appeared at around  $1380\text{ cm}^{-1}$  (*cf.* Band 48\* in Fig. 7f).

(iv) The indole ring-breathing band at  $752\text{ cm}^{-1}$  completely disappeared in the spectrum of the exposed A H3N2 strain (*cf.* absence of Band 10 as emphasized by an arrow in Fig. 4d).

A consistent explanation for all these characteristics could be given by considering the impact that the presence of radical



**Fig. 13** (a) Raman spectroscopic markers of tryptophan: (i) stretching of the C2=C3 double bond in the indole ring (wavenumber interval  $1540\text{--}1560\text{ cm}^{-1}$ ), and (ii) Fermi doublet at around  $1340\text{ cm}^{-1}$  (C–H vibrations) and  $1360\text{ cm}^{-1}$  (C–N stretching). Section (b) gives the relationships between molecular torsional displacements,  $\chi^{2,1}$ , of the C2–C3–C $\beta$ –C $\alpha$  linkage and the wavenumber of the C2=C3 stretching signal (from ref. 97), while section (c) shows the relationship between the tryptophan intensity ratio,  $R_{\text{Trp}} = I_{1360}/I_{1340}$ , and virions' surface pH values (from ref. 16). In (d), (e) and (f), C2=C3 stretching bands and tryptophan doublets of A H1N1, A H3N2, and B98 strains are shown, respectively, as extracted from spectral Zones IV and V before and after exposure to  $\text{Si}_3\text{N}_4$  particles (*cf.* Fig. 7 and 8, respectively). The computed values are then plotted in (b) and (c). Additional signals of interest are also shown as discussed in the text.



species could have on the tryptophan structure.<sup>103</sup> The tryptophan spectral markers after exposure to Si<sub>3</sub>N<sub>4</sub> unequivocally hints at tryptophan residues having been heavily damaged in their original structure for both A H2N3 and B98 strains. This conclusion can be drawn because a complete or almost complete vanishing is observed for the indole ring vibration at 752 cm<sup>-1</sup> (cf. Fig. 13e and f). In addition, the conspicuous disappearance of the stretching signals of the C2=C3 bond (in the range of 1540–1560 cm<sup>-1</sup>), concurrent with a remarkable increase in intensity of the band at 1606 cm<sup>-1</sup> (contributed by C–C stretching in the benzene rings of different aromatic amino acids; cf. also Table SVI, ESI<sup>†</sup>),<sup>102</sup> points to breakage of the indole ring and could be interpreted as proof of the formation of degradation products (e.g., *N*-formylkynurenine).<sup>104</sup> For exposed A H1N1 and A H3N2, additional proof in support of the formation of *N*-formylkynurenine could be found in the appearance or significant intensification of two new bands at 1239 and 1685 cm<sup>-1</sup> (labeled as 39\* and 66, respectively), both of which can be assigned to vibrations of the *N*-formyl group and can superimpose the amide regions.<sup>104</sup> The appearance of a new band at 1380 cm<sup>-1</sup> (Band 48\* in Fig. 7f), only seen for the Si<sub>3</sub>N<sub>4</sub>-exposed B98 strain, could indicate the presence of strongly deprotonated tryptophan or of an intermediate compound in the tryptophan degradation pathway towards the formation of *N*-formylkynurenine.<sup>24,29</sup> In this context, the significant broadening of the high-wavenumber components of the tryptophan doublet (seen at 1366 cm<sup>-1</sup>) in the exposed A H3N2 strain also appears to be a consequence of this band incorporating additional spectral components from kynurenine.

The pK<sub>a</sub> of the side-chain amino acid histidine (~6) is the closest to physiological pH among all amino acids.<sup>105,106</sup> This

characteristic makes histidine extremely sensitive to even small changes in environmental pH, whose structural variations produce charged states reflecting a series of tautomeric structures (Fig. 14a). At low pH, both imidazole nitrogens are in the protonated state to give a structure referred to as cationic imidazolium (Fig. 14a, top). Near neutral pH, two uncharged rotameric structures can be found, namely, the Nε2-protonated τ and the Nδ1-protonated π tautomers (cf. Fig. 14a, middle). With further increasing pH (mildly alkaline), the backbone nitrogen becomes first deprotonated to give anionic histidine, while conserving a neutral side chain in either the τ or the π tautomeric state. In addition, at higher pH, the imidazole ring loses additional protons to become an imidazolate ion (Fig. 14a, bottom).<sup>106,107</sup> Despite the high sensitivity to pH, the Raman bands of histidine tautomers are generally relatively weak as compared with those of other aromatic amino acids. According to Takeuchi,<sup>14</sup> a powerful Raman structural marker available to unveil tautomeric conformation, hydrogen bonding state, and hydrophobic interaction of histidine residues can be found in the wavenumber interval 1520–1640 cm<sup>-1</sup> and arises from C4=C5 stretching vibrations. This vibrational marker senses the presence of hydrogen at the nitrogen sites of the imidazole ring. Fig. 14b compares the C4=C5 stretching wavenumbers in various histidine tautomers. As seen, the wavenumber of the C4=C5 signal increases with increasing number of protonated nitrogen atoms, namely, with decreasing pH. In Fig. 14c, d and e, intensities are compared for the C4=C5 Raman components of different tautomers in the spectra of A H1N1, A H3N2, and B98 strains, respectively. Information that can be deduced from this analysis is in line with the outputs of the Raman behavior of tyrosine and tryptophan bands, as described above. Regarding

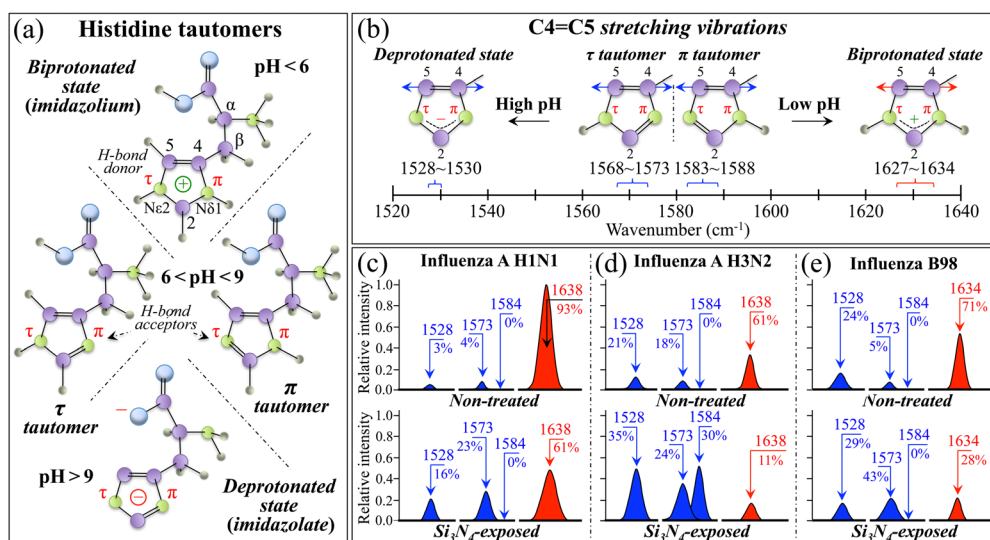


Fig. 14 (a) Histidine tautomeric structures: biprotonated (cationic) imidazolium (top) at low pH, uncharged Nε2-protonated τ and Nδ1-protonated π tautomers (middle) at near neutral pH, and deprotonated (anionic) imidazolate (bottom) at high pH. In (b), plot of the C4=C5 stretching marker of tautomeric conformation in the wavenumber interval 1520–1640 cm<sup>-1</sup> (according to ref. 14). In (c), (d) and (e), C4=C5 Raman signals of different tautomeric structures are compared as extracted from the spectra of A H1N1, A H3N2, and B98 strains, respectively (cf. Fig. 8 and 9). Fractions of tautomeric structures are computed as areal fractions and shown in the inset. The information deduced from tautomeric analysis of histidine is compared with the outputs of similar analysis for tyrosine and tryptophan, as described in the text.



non-treated strains, A H1N1 was the strain with the strongest Raman signal at  $1638\text{ cm}^{-1}$  (93%; Fig. 14c), which symbolizes its highest level of surface acidity among the studied strains (*cf.* also Fig. 12f and 13c). On the other hand, the lowest intensity of the  $1638\text{ cm}^{-1}$  imidazolium signal, and thus the strongest shift toward surface basicity, was recorded in the non-treated A H3N2 strain (61%; Fig. 14d), again in agreement with analysis based on tyrosine and tryptophan Raman signals (*cf.* also Fig. 12f and 13c). The non-treated B98 strain maintained an intermediate level of surface pH with its 71% fraction of biprotonated histidine side-chain residues. After exposure to  $\text{Si}_3\text{N}_4$  aqueous solution, all strains shifted towards alkaline surface-pH values, with their Raman spectra showing a decrease in the relative intensity of the imidazolium C4=C5 high-wavenumber band with respect to the same signal from both neutral and imidazolate tautomers. The alkaline shift appeared somewhat related to the initial degree of surface acidity with the A H1N1 and A H3N2 strains, *i.e.*, the most and least acidic strains in the non-treated state, respectively, yet maintaining the lowest and the highest pH levels, respectively, after  $\text{Si}_3\text{N}_4$  exposure (*cf.* tautomer fractions in Fig. 14c–e). An additional interesting feature was that the A H3N2 strain was the only strain to display a relatively strong signal from the  $\pi$  tautomeric state after exposure to  $\text{Si}_3\text{N}_4$  aqueous solution (*i.e.*, the signal at  $1584\text{ cm}^{-1}$ ; *cf.* Fig. 14d). Although the present Raman analysis cannot explain the reason(s) for such peculiarity, it is believed that it is a consequence of the peculiar arrangement of histidine in the M2 channel,<sup>108</sup> as further discussed in the next section. Note that cationic histidine can serve as a general acid and hydrogen bond donor, with its deprotonation chemistry combining tautomerization with ring flips, as a consequence of interconversion of protonated and unprotonated nitrogens and according to protein function.<sup>108</sup>

In summary, Raman markers from three distinct aromatic amino acid residues, namely, tyrosine, tryptophan, and histidine, consistently provided clear hints about molecular-scale differences at the biological interface of different influenza viral strains, as well as their modifications upon short-term exposure to  $\text{Si}_3\text{N}_4$  powder in aqueous solution. Such differences have a profound impact on virus/cell interaction and on the successive conduction mechanisms of biological proton channels that are fundamentally important for the virus life cycle. These points are further discussed in the next section.

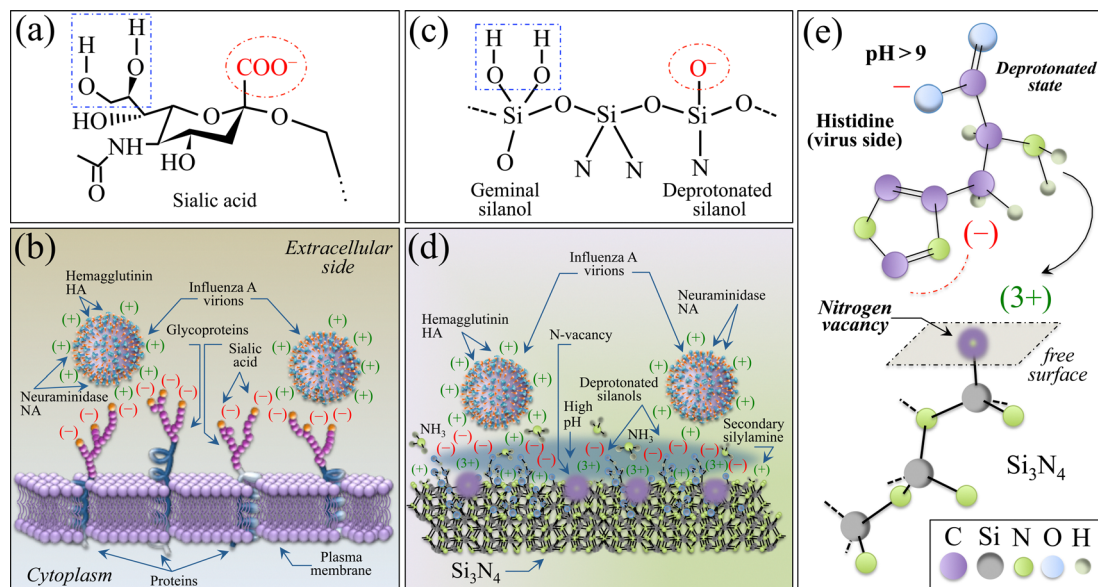
#### 4.4 Molecular scale mechanisms of the $\text{Si}_3\text{N}_4$ “catch and kill” effect

**Competitive binding – the “catch” effect.** The trimeric surface protein HA is responsible for two consecutive steps during viral replication: (i) an initial step preliminary to endocytosis, in which HA binds to the sialic acid present on the cell membrane and (ii) a successive step of conformational change, which induces membrane fusion in an environment of lowered pH in the virion-containing acidified endosome.<sup>109</sup> HA, which has so far been found to exist in some 16 distinct subtypes,<sup>110</sup> has been the subject of intense research with the goal of identifying a multivalent antiviral remedy effective against multiple HA subtypes.<sup>111</sup> Fig. 1b–d emphasizes amino

acids in HA, which are considered to be key in binding to sialic acid.<sup>112</sup> Note also that, as reported by Lazniewski *et al.*,<sup>113</sup> amino acids at positions 98, 153, 183, and 195 (*cf.* Fig. 1b and c) are conserved among different lineages of influenza A. The high plasticity of HA is the molecular-scale phenomenon that mostly drives influenza viral escape from immune neutralization and antiviral drugs. In other words, HA continuously changes its structure to evade the host immune system.<sup>114</sup> For example, influenza A H3N2 has accumulated at least 75 substitutions ( $\sim 13\%$  of the entire protein) since its first appearance, with the majority of such mutations being located within or in the immediate proximity of the receptor binding site.<sup>115,116</sup> Such changes determine both antigenic phenotype and HA binding, several different residues in the HA backbone structure having been indicated as key locations in influenza virus structural evolution.<sup>117–120</sup> Understanding the structural properties of the HA trimer nowadays represents, and will continue to represent in the future, the fundamental approach for elucidating the correlation between viral evolution and infection. However, building up antiviral therapeutic strategies from the *a posteriori* understanding of viral mutations appears to be a never-ending story. Developing a compound that mimics cell sialic acid in HA binding and universally attracts virions at the beginning of their infective cycle whatever their structural evolution appears to be a way out of the above-described current situation. This approach, known as the “competitive binding” approach, has already been reported in the literature on anti-influenza drug design.<sup>121,122</sup> For example, *tert*-butylhydroquinone is known to be a compound that binds to three identical sites on the pre-fusion HA trimer with the effect of stabilizing the proteins, preventing their conformational changes in an acidic pH environment and inhibiting viral/endosome membrane fusion.<sup>121</sup>

The present paper, in line with our previously published studies on silicon nitride bioceramics,<sup>49,91,94,123</sup> demonstrates that this compound in the solid state can operate, in the presence of water, a competitive binding mechanism against the HA trimer. In particular, the originality of the present study lies in the fact that the proposed competitive binding mechanism unambiguously applies to influenza strains with quite different HA trimeric structures. Note also that, as discussed in more detail later in this section, silicon nitride also dramatically alters the environmental pH at the virion/solid interface, thus irreversibly altering the successive step of conformational change needed for membrane fusion and requiring endosome acidification (*cf.* above point (ii)). Fig. 15a and b show the chemical structure of sialic acid and a schematic draft of the interaction between influenza virions and the sialic acid terminals of glycoproteins in the cellular plasma membrane, respectively. In a similar way, Fig. 15c and d schematically describe the structure of surface silanols (deprotonated by pH alkalization at the  $\text{Si}_3\text{N}_4$  surface) and their interactions with the influenza virions, which mimics the role of sialic acid in cells according to the proposed competitive binding mechanism. An additional attraction spot is also proposed in Fig. 15e, which configures nitrogen vacancy sites (charging 3+) in their interaction with deprotonated histidine tautomers. The latter





**Fig. 15** (a) Chemical structure of sialic acid and (b) schematic draft of the interaction between influenza virions and the sialic acid terminals of glycoproteins in the cellular plasma membrane; (c) structure of surface silanols (deprotonated by pH alkalization at the  $\text{Si}_3\text{N}_4$  surface) and (d) their interactions with the influenza virions, which mimics the role of sialic acid in cells according to the proposed competitive binding mechanism. In (e), a nitrogen vacancy site (charging  $3+$ ) interacts with a fully deprotonated histidine tautomeric molecule as developed at the highly alkaline interface of  $\text{Si}_3\text{N}_4$  powder.

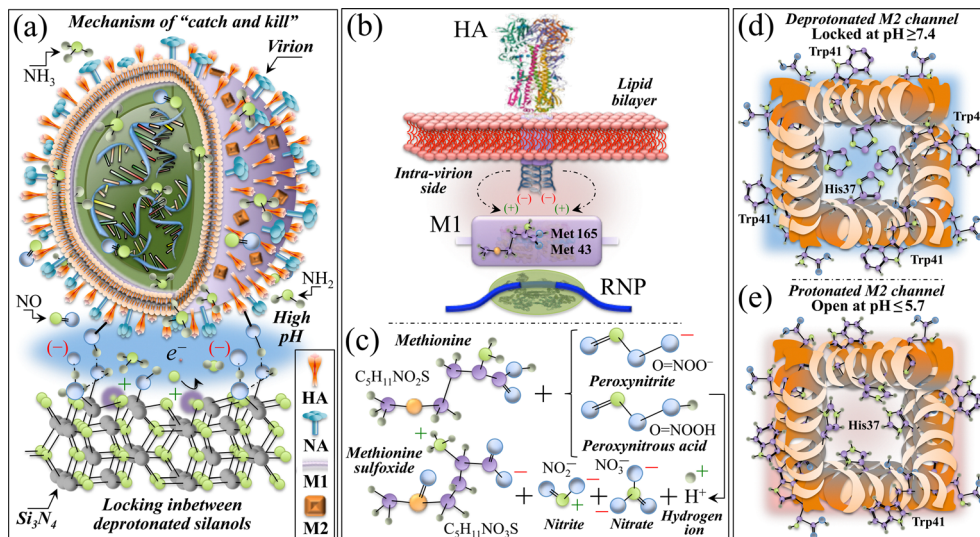
binding mechanism is determined by the pronounced structural modifications of the histidine structure revealed by Raman analysis after contact with the highly alkaline interface of  $\text{Si}_3\text{N}_4$  powder (cf. Fig. 14). Note, finally, that, besides the outputs of the Raman analysis (further discussed in the following), the presence of a strong electrostatic attraction between influenza virions and  $\text{Si}_3\text{N}_4$  micrometric grains is also supported by the fact that a quite small fraction of ceramic particles in solution is capable of interacting within a short interval of time with almost the totality of virions, as demonstrated by the immunochemistry data in Fig. 2.

**Poisoning by ammonia and nitrogen radicals – the “kill” effect.** In aqueous solution,  $\text{Si}_3\text{N}_4$  undergoes homolytic dissociation of silicon–nitrogen covalent bonds at its surface. Such dissociation and the successive reaction with water lead to local pH buffering, elution of ammonia, and formation of nitrogen vacancies and surface silanols at the material surface. Silanols, as schematically depicted in Fig. 16a, are penta-coordinated complexes, which form at silicon sites as a consequence of these sites being susceptible to nucleophilic attack by water after nitrogen elution. After their formation, ammonia and silanol molecules undergo protonation and hydrolysis to become ammonium ions and orthosilicic acid,  $\text{Si}(\text{OH})_4$ , respectively. It should be noted that, as nitrogen originally elutes in its gaseous state, it promptly scavenges hydrogen protons from the environment to form  $\text{NH}_3$  and  $\text{NH}_4^+$  species. This leads to a robust pH buffering in the immediate vicinity of the solid surface. An additional (consistent) contribution to environmental alkalization is also made by the formation of silicic acid. While  $\text{Si}(\text{OH})_4$  and  $\text{NH}_4^+$  remain in aqueous solution, the gaseous  $\text{NH}_3$  quickly penetrates virions, irreversibly interacts with and damages RNA and amino acid residues.

A further important aspect of the homolytic cleavage of Si–N bonds at the solid surface of  $\text{Si}_3\text{N}_4$  is the concurrent formation of free electrons (cf. Fig. 16a). Free electrons can split water molecules and react with adsorbed oxygen to yield oxygen radical anions and other highly oxidative protonated radicals. The availability of free electrons enables oxidation of  $\text{NH}_3$  into hydroxylamine molecules ( $\text{NH}_2\text{OH}$ ), which represents the starting point for the formation of nitric oxide (NO), the concurrent production of three hydrogen protons, and the liberation of three additional free electrons. NO radicals then promptly react with oxygen leading to additional radical species such as nitrogen dioxide ( $\text{NO}_2$ ), nitrite ( $\text{NO}_2^-$ ) and nitrate anions ( $\text{NO}_3^-$ ), higher oxides ( $\text{N}_2\text{O}_3$ ), and peroxides (peroxynitrite,  $\text{O}=\text{NOO}^-$ , and its conjugate peroxynitrous acid,  $\text{O}=\text{NOOH}$ ). Among the above-mentioned reactive nitrogen radicals,  $\text{O}=\text{NOO}^-$  is by far the chemical species most lethal to virions.<sup>124</sup>

In summary, the above-discussed three chemical events, namely, initial HA/silanol locking (i.e., the above-discussed “catch” mechanism), hydrolytic elution of  $\text{NH}_3$  gas and  $\text{NH}_4^+$  ions, strong environmental alkalization at the virion/solid interface, and successive formation of nitrogen radicals poisoning the virions represent the main circumstances behind the observed antiviral behavior of  $\text{Si}_3\text{N}_4$ . Such a set of events are schematically shown in Fig. 16a. Highly spectrally resolved Raman analysis has clearly shown the consequences at the molecular level of the effect of ammonia and nitrogen radicals on influenza virions, with slightly different details but equal effectiveness against both A- and B-type strains. As shown in Fig. 10–14 and discussed in the previous sections, the main observed structural damage is related to structural degradation of RNA purines, methionine rotameric scrambling, formation





**Fig. 16** (a) Schematic draft of HA/silanol locking, namely, the proposed “catch” mechanism, and hydrolytic elution of  $\text{NH}_3$  gas and  $\text{NH}_4^+$  ions with strong environmental alkalinization and formation of nitrogen radicals at the virion/solid interface, namely, the proposed “kill” mechanism. In (b), a schematic draft is shown of the M1 protein linking the viral lipid envelope (containing HA) to the nucleocapsid RNP. The M1 methionine-aromatic motif, which plays a fundamental role in protein–protein binding interactions, could be disrupted and M1 destabilized upon interaction with peroxyxynitrite (*cf.* oxidation reaction to form methionine sulfoxide in (c)). The schematic drafts in (d) and (e) show the molecular conformations of tryptophan 41 and histidine 37 residues in the AM2 channel in alkaline and acidic environments, respectively. In the former case (d), histidine is in the imidazole state and the completely deprotonated and strongly negatively charged rings lock the M2 channel. On the other hand, at low pH (e), the protonated histidine 37 residues flip away from each other and allow proton conductivity in the AM2 ion channel. The strong environmental alkalinization induced at the virion surface by  $\text{Si}_3\text{N}_4$  hydrolysis is thus expected to lock the M2 proton channels, thus impeding viral reproduction and propagation.

of sulfhydryl and ionized carboxyl groups, and deprotonation/torsional deformation of tyrosine, tryptophan, and histidine molecules. Structural damage to key amino acids is discussed in some further detail below.

Key methionine residues have long been known to play a fundamental role in viral propagation of influenza virus by functioning in its biosynthesis. It was also reported that their oxidation drastically interferes with viral propagation.<sup>125</sup> The strong propensity of methionine to interact with aromatic-containing residues, including tryptophan, tyrosine, and phenylalanine, is key in viral assembly. The so-called methionine-aromatic motif is prevalent in many viral protein structures, is common to all types of influenza viruses, and acts as a stabilizer in protein–protein binding interactions.<sup>126</sup> Accordingly, methionine oxidation, as observed in this study upon surface contact with  $\text{Si}_3\text{N}_4$  powder, is expected to disrupt key methionine-aromatic motifs and destabilize protein binding interactions.

M1 is the most abundant protein in the influenza virus particles and plays a critical role in their assembly and budding processes.<sup>127,128</sup> As schematically shown in Fig. 16b, M1 juxtaposes between the viral lipid envelope and the nucleocapsid, thus interacting with the cytoplasmic tail of transmembrane viral proteins (*i.e.*, HA, NA, and the M2 channel) on the outer side and the viral nucleocapsid, namely, the viral ribonucleoprotein (RNP) on the inner side. These interactions are fundamental in triggering the budding process responsible for both formation and release of virus particles. Ali *et al.*<sup>129</sup> demonstrated that M1 specifically interacts with the transmembrane domain of influenza HA at the initial stage of the budding

process. Gomez-Puertas *et al.*<sup>130</sup> confirmed this hypothesis by showing that the M1 protein, even in the absence of viral polypeptides other than HA, can assemble into virus-like budding particles, which are then released into the culture medium. These results suggest that co-expression of the HA glycoprotein is a key-factor in modulating self-association and membrane-binding properties of the M1 polypeptide. Other authors have later reported results consistent with the above interpretation of the M1 protein functionality, including the interaction of methionine-rich hydrophobic clusters at the interfaces between C-terminal domains along the M1 oligomer,<sup>131,132</sup> and cryo-microscopy shows that the association between most of the M1 structure and the virus membrane occurs through electrostatic interactions.<sup>133</sup> Among a number of key-locations in the M1 structure of influenza A virus, the methionine 165 residue was reported to fundamentally contribute to the nuclear import and to be essential for viral replication.<sup>134</sup> As mentioned above, the influenza M1 protein constitutes a layer under the viral lipid membrane and consists of the N-domain and the C-domain connected by a loop region including the sequence arginine 163, glutamine 164, methionine 165, and valine 166 (referred to as the RQMV motif).<sup>133</sup> M165 stabilizes the structure of M1 protein and affects its interaction with other cellular proteins. Among several other functions, M1 binds to the cytoplasmic tails of HA and serves as a docking site in the interaction between M1 and nucleoproteins, which mainly relies on the positive and negative charges of the two counterparts (*cf.* Fig. 16b).<sup>135,136</sup> Moreover, inside the viral envelope, M1 provides structural stability to the virion and bridges the interactions between the viral lipid



membrane and the ribonucleoprotein core. M165, which is not transported into but stays near the nucleus, once oxidized, hinders the transport of clustered mitochondria homolog proteins and impedes the successive translocation of chromosome region maintenance 1 proteins, thus blocking viral replication.<sup>134</sup>

Another key position for methionine residues in the M1 matrix protein is methionine 43. The amino acid position 43 is located in the N-terminal domain and folds into the third helix of the M1 protein.<sup>137,138</sup> Based on data from the genome sequence database, the methionine amino acid at position 43 of the M1 protein is highly conserved among influenza A viruses.<sup>139</sup> This fact strongly hints at the importance of methionine 43 in the viral life cycle. In other words, besides the above-discussed functions of the M1 protein, which include its roles in viral assembly associated with RNP and RNA, and the control of RNP nuclear import and export, the M1 protein also contributes through the methionine 43-residue to the pathogenicity of influenza virus. Although some rare mutations showing a different amino acid at the 43-position yet retain high pathogenicity and replication capacity, substitutions at this key position affect the fundamental functions of the M1 protein.

According to the above reasoning, the formation of methionine sulfoxide, which we have observed in the Raman spectrum of Influenza A H1N1, if extended to the methionine 165 residue in the RQMV motif and the to methionine 43 residue in the N-terminal domain of the M1 matrix protein, is expected to lead to destabilization and dysfunction of the viral matrix protein M1. The highly volatile peroxy nitrite molecules, which form in the cascade reactions originating from  $\text{Si}_3\text{N}_4$  hydrolysis, could easily reach all buried methionine positions in the virion structure and compromise their functions. Fig. 16c schematically shows the chemical reaction between methionine and peroxy nitrite (or peroxy nitrous acid) molecules to form methionine sulfoxide, additional nitrite and nitrate radicals, and hydrogen ions.<sup>140</sup>

Another important spectroscopic aspect, which directly relates to structural changes in aromatic amino acid residues, is the presence of deprotonated configurations of tryptophan and the tautomeric modifications of histidine residues in the structure of the M2 channel in influenza A virions (AM2). The AM2 protein forms a homotetramer ion channel in the virion lipid membrane, which is specific for proton conductance and is activated by low pH with a transition midpoint at pH 5.7.<sup>15</sup> The pH regulation in the golgi vesicular compartments is necessary for the protection of the acid-sensitive HA trimer from denaturing during transport of glycoproteins to the host cell surface prior to virus assembly.<sup>141–143</sup>

In influenza A type the polypeptide chain of the AM2 protein consists of 97 amino acid residues, which include a putative 19-residue single transmembrane domain (residues 25–43) positioned between the N- and C-terminal hydrophilic regions.<sup>144</sup> The transmembrane domain is rich in hydrophobic residues including tryptophan 41 residues with rings external to the channel; it also contains histidine 37 residues with rings oriented internally to the channel. Fig. 16d schematically shows tryptophan 41 and histidine 37 locations with respect to the AM2 channel, drawn on the basis of the rigorous plot of the M2

channel shown in Fig. 1h and i for H1N1 and H3N2, respectively. As previously discussed, the imidazole group of histidine is positively charged, polar, and hydrophobic at pH = 5, while it turns into neutral, apolar, and hydrophobic at pH = 7.4.<sup>145</sup> At higher pH, the imidazolate state occurs with the aromatic ring completely deprotonated and strongly negatively charged (*cf.* Fig. 14a). As schematically shown in Fig. 16d and e, the four histidine 37 residues are sensitive to environmental pH and obey a ring-flip-assisted imidazole deprotonation mechanism, which regulates proton conductivity in the AM2 ion channel.<sup>15</sup> The channel is in its closed state at high pH, with the four histidine residues forming a flat and stacked structure preventing the formation of the hydrogen-bonded water chain that conducts protons (Fig. 16d). On the other hand, the AM2 channel turns into a conducting state at low pH, with the imidazolium residues acquiring hydrogen protons, promptly moving away from each other under the effect of electrostatic repulsion among their positively charged imidazole rings, and thus opening the channel to a flow of water molecules that in turn transports protons (Fig. 16e).<sup>146–150</sup> The M2 protein of influenza B (BM2) has also been reported as consisting of a tetrameric proton channel embedded in the lipid membrane of B-type virions (*cf.* Fig. 1j).<sup>151</sup> BM2 is yet less known with respect to its complete structure and functions as compared to AM2, and no drug is so far available for its inhibition. However, it is known that BM2 conserves the proton-selective residue histidine and the gating residue tryptophan.<sup>152,153</sup> Mutation of histidine 19 in BM2 abolishes proton conduction<sup>154</sup> exactly in the same way as mutation of histidine 37 in AM2 disrupts proton selectivity and acid activation.<sup>155–157</sup> Nevertheless, despite the structural and functional similarities, AM2 and BM2 yet exhibit important differences in their proton conduction profiles. BM2 was found to conduct protons at twice as fast as AM2.<sup>158</sup> Moreover, BM2 is capable of conducting protons outward, whereas AM2 is not.<sup>159</sup> As a consequence of these functional differences, histidine 19 in BM2 protonates with a significantly lower proton-dissociation equilibrium constant as compared to histidine 37 in AM2.<sup>160</sup>

Raman data on aromatic residues discussed in Fig. 12–14 consistently showed that exposure to  $\text{Si}_3\text{N}_4$  altered the surface pH of influenza virions causing a significant shift towards alkaline values, independent of strain being A or B type. In such a high-pH environmental situation, the four internal histidine residues form a strong edge-face  $\pi$ -stacked structure, preventing the formation of a hydrogen-bonded water chain to conduct protons. In other words, environmental alkalization by  $\text{Si}_3\text{N}_4$  hydrolytic reactions tightly locks either AM2 or BM2 channels in a configuration similar to that depicted in Fig. 16d. Such ionic barrier hampers the proton transport function of both types of M2 channels and, with it, precludes acidification inside the virions and viral uncoating. While the scientific community is yet waiting for complete elucidation of the three-dimensional BM2 structure to facilitate drug design and inhibit influenza B virus, the present Raman spectroscopic data confirmed that histidine 37 (in AM2) and histidine 19 (in BM2) residues could be completely deprotonated and the M2 channel completely deactivated upon



short-term exposure to micrometric  $\text{Si}_3\text{N}_4$  powder, a powerful and widely effective solid-state inactivator of influenza viruses.

Note finally that, in previous studies on viral inactivation, we have compared  $\text{Si}_3\text{N}_4$  with different antipathogenic or inert compounds such as aluminium nitride, alumina/zirconia, and metallic copper.<sup>161,162</sup> Such comparisons demonstrated that the “catch and kill” mechanism is peculiar to  $\text{Si}_3\text{N}_4$ . More importantly, the high antiviral effect of  $\text{Si}_3\text{N}_4$  does not require pushing the particle size to a nanometric level. Accordingly, despite its high antiviral effectiveness,  $\text{Si}_3\text{N}_4$  is the only so far known inorganic compound that, effective on a micrometric size, does not damage eukaryotic cells.<sup>74</sup>

## 5. Conclusions

In the present study, we have investigated the structural differences of influenza viruses of types A and B by means of highly spectrally resolved Raman spectroscopy. Within the main structural motifs, which were conserved in all influenza strains, we located fundamental differences in methionine rotameric fractions, tyrosine hydration levels, tryptophan torsional configurations, and histidine tautomeric structures, which are peculiar to each viral strain and crucially define its function in the virus life cycle. This study emphasizes the importance of Raman multiomic analysis of viruses in clarifying the details of their molecular structures that could not be accessible by other analytical techniques. The Raman spectrum could also capture fine structural variations that enable the unfolding of mechanisms of viral inactivation, thus providing a unique path to develop drugs and vaccines with high efficiency.

The present study also confirmed  $\text{Si}_3\text{N}_4$  as a potent solid-state virus inactivator, which merges the effects of competitive HA binding, oxidation and thioether cleavage of methionine residues, M2 channel deprotonation, and RNA purine damage. The pronounced structural modifications of HA, RNA, M1 and M2 channels, unequivocally revealed by large variations in the Raman spectra, provided the rationale for the mechanisms by which  $\text{Si}_3\text{N}_4$  particles almost instantaneously deactivate influenza virions, independent of their type/subtype. Control experiments conducted by following exactly the same procedure, except for the lack of a minor fraction of  $\text{Si}_3\text{N}_4$  powder in solution, proved that the molecular changes observed in the presence of powder in solution could only be ascribed to  $\text{Si}_3\text{N}_4$ -particle/virion chemical interactions. The initial action of competitive binding displayed by deprotonated silanols, which mimic cellular sialic acid sites, greatly enhanced the probability of particle/virion encounter, while the following elution of  $\text{NH}_3$  and nitrogen radical molecules poisons the virions. Since the inactivation kinetics was the same among different influenza viruses, the interaction between  $\text{Si}_3\text{N}_4$  particles and virions was figured out as a “catch and kill” sequence. From a technological point of view, electrical attraction of virions towards the inactivating  $\text{Si}_3\text{N}_4$  particles appeared to be a key factor since only a small volumetric fraction of particles sufficed to fully inactivate a high concentration of viral strains. Finally, it should be noted

that a large part of the structural information obtained here is largely invisible to conventional techniques of virus analysis. This demonstrates the unique ability of Raman spectroscopy to elucidate functionally important aspects of viral structure and inactivation.

## Author contributions

Conceptualization, G. P., T. A., T. Y., and O. M.; data curation, Y. Y., E. O., M. N., M. S.-Y., T. A., S. I., W. Z., K. H., K. O., and O. M.; formal analysis, G. P., Y. Y., and W. Z.; funding acquisition, T. A. and T. Y.; methodology, G. P., E. O., M. N., and M. S.-Y.; project administration, G. P.; resources, K. H., K. O., and O. M.; software, Y. Y.; visualization, G. P.; writing – original draft, G. P.; and writing – reviewing and editing, W. Z.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

Giuseppe Pezzotti is a consultant of Denka Co. Ltd. Research Center and YKK Corporation. Other authors declare that they have no conflicts of interest.

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