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Computationally guided circularly polarized

luminescence simulations in chiral adaptive

Chirality and chiral phenomena associated with electromagnetic waves universally arise across many different forms, which involve transitions between states with opposite symmetries. Recently, adaptive chirality (the post-induced chirality in initial achiral environments by asymmetric guests) has undergone a burgeoning development owing to the multitasking features of tetraphenylethene (TPE) faces restricted in particular chemical buildings. Conceptually, initial achiral hosts bearing TPE commutable helical units (P/M forms) can interface with enantiopure guests to produce supramolecular asymmetric luminophores (CPL-active molecules). In these terms, a robust interpretation of these chiroptical events is yet to be computationally provided. In this study, we provide a solid and accessible computational workflow able to accurately predict the circularly polarized luminescence spectra of TPE-based hosts with stereogenic chiral guests (deoxyribonucleotide monophosphate homo-dimers, dipeptide homopairs and organic acids). In virtue of a preliminary DFT benchmark, we decided to use the ωB97XD functional to realize a conformational investigation of H-bonded chiral multicomponents encapsulated within TPE-based architectures in the first excited state by means of Born-Oppenheimer molecular dynamics. Finally, an extensive TD-DFT examination concerning exchange-correlation functionals and basis sets revealed the B3LYP/6-311G(d,p) level as the most precise and transferable method to meticulously model the CPL sign, band shape and peak position in TPE-based chiral reconfigurable host-quest complexes.

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### 1. Introduction

It is not a coincidence that asymmetry is ubiquitous in the universe, from the astronomical level where the Orion Nebula is a source of circularly polarized light<sup>1</sup> to the molecular kingdom in which molecules can be enantiomerically resolved. 2-7 In this sense, chirality represents the narrative thread of a fascinating story impacting the fundamental phenomena in living matter from its origin.8-11 Notwithstanding the large proliferation of chirality in nature, 12-14 its induction, preservation, transfer and, consequently, its complete dominance have caused constant struggle for supramolecular chemists. 15-18 Non-covalent interactions, expressed in their different forms (hydrogen bonding,  $^{19-23}$ ion-dipole,  $^{24}$   $\pi$ - $\pi$ ,  $^{25}$  CH- $\pi$ ,  $^{26}$  electrostatic,  $^{27}$  etc.) undoubtedly facilitate the chiral transmission in artificial self-assembly processes.<sup>28-33</sup> Benefiting from this biomimicking-oriented

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approach, various promising applications, such as molecular recognition,<sup>34-36</sup> probing,<sup>37-39</sup> sensing<sup>40-42</sup> and catalysis,<sup>43,44</sup> were achieved. Furthermore, unlike covalent chirality, supramolecular chirality can exploit the synergistic assistance of non-covalent interactions and host-guest complexation to produce controllable conformational transformation (flipping, rotating, twisting, etc.) with unique asymmetric packing. 45 In recent years, this chiral adaptive strategy has been used to explore the intriguing interaction between the circularly polarized luminescence (CPL) and chiral communication in host-guest chemical architectures. 46,47 For this scope, two ingredients shall thus be mixed: (1) an efficient chiral amplification/transfer during host-guest complexation and (2) a strong CPL signal to detect chiral activity. Intentionally omitting notorious hosts such as cyclodextrins, 48,49 catenanes, 50,51 rotaxanes 52,53 and the other macrocycles<sup>54,55</sup> that possess intrinsic chiral cavity, adaptive chirality directly interests initial achiral hosts which can exhibit excellent chiral recognition for enantiomeric guests by means post-induced homo-directional movements of internal dynamical units<sup>56</sup> (Fig. 1). Novel studies indicate that tetraphenylethene (TPE) scaffolds encapsulated in proper chemical buildings ably combine their high fluorescence emitting

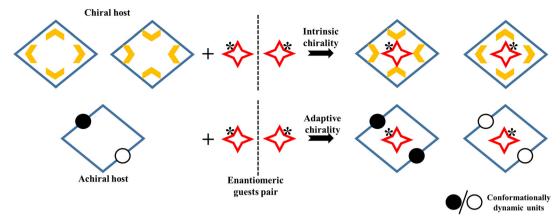


Fig. 1 (top) Chiral recognition through intrinsic chirality. (bottom) Chiral recognition through adaptive chirality

efficiency (also favoured by aggregation-induced emission<sup>57</sup>) with an advantageous conformationally chiral dynamic nature.<sup>58</sup> In this pioneering landscape, an in-depth theoretical treatment of CPL signal triggered by adaptive chirality in chiral guest recognition is still missing. Herein, we propose a computational protocol with the aim of understanding the appealing structure-functionality relationship caused by the chiral emission from an induced-asymmetric interior environment. Specifically, three different cases, in which TPE groups assume a dominant role in adaptive chirality, were analysed in order to create a robust DFT guide for their relative CPL spectral simulations in diverse contexts. Our prediction workflow can be ideally split in some preliminary steps: (1) a DFT investigation to catch the more convenient functional to emulate the arrangements (based on single crystal X-ray diffraction data) of TPE components in an octacationic cage. (2) Born-Oppenheimer molecular dynamics to follow the host-guest systems in their excited states (S1) upon the adaptive chirality mechanism. (3) A TD-DFT exchange-correlation functionals and basis sets benchmark with the goal of obtaining a transferable computational model to reproduce skillfully CPL spectral lines in terms of shape and band position.

#### 2. Results and discussion

Before immediately accessing the chiroptical behavior of hostguest systems it is worth discussing in detail the structural features of TPE arms to understand their chiral arrangements. In particular, TPE faces are often installed in polycyclic cages with the possibility of having multi-cationic water-soluble compounds. 59-63 In such confined pocket TPE fragments can assume a twofold propeller-like dynamic conformation by virtue of the rotation of the four phenyl rings between the two adjacent p-substituted positions. These reversible movements will confer clockwise (P) or anti-clockwise (M) revolutions in the TPE building blocks. However, in the absence of an inner chiral induction mediated by enantiomeric guests, P/M TPE conformations cannot be prevalently homo-directionally

restricted (PP or MM) as a consequence of the lack of an energy barrier between the two possible rotations. In a solid state, free TPE-based cages simultaneously adopt P and M configurations resulting in a mesomeric form (P/M) (Fig. 2A) as confirmed by crystallographic data.64

Considering this wealth of conformational effects, it instantly emerges that the chiroptical fingerprints are strongly dependent on the location of the molecular cage within the hypersurface of potential energy. 65-69 Computationally speaking, in DFT theory the quality of the energy-minimized conformations is substantially influenced by the choice of the exchange-correlation functionals whose performances are commonly ranked in the virtue of benchmarks targeting the accurate prediction of the atomic positions 70,71 defined by X-ray diffraction data. To adequately duplicate the crystallographic coordinates, we decided to define our DFT set conscious that the selected functionals: (1) were already successfully used to model TPE entities, 57,72,73 and (2) are convenient for geometrical optimization of organic molecules.74-77 Therefore, APFD, B3LYP, B3PW91, B97D3, BLYP, BP86, CAM-B3LYP, HCTH, HSEH1PBE, LC-M06L, LC-ωPBE, M06L, M062X, MN15L, N12, PBEPBE, PBEhPW91, SOGGA11, TPSSTPSS and ωB97XD were checked in the gas phase to allow valid comparisons with crystal coordinates obtained by single crystal diffraction experiments. As cropped from the previous list, we tried to compose a homogenous box of pure, hybrid and pure-standalone exchangecorrelation functionals (also including long-range corrections and dispersion effects) to guarantee a balanced picture in the DFT functionals performances. Finally, the Pople basis set was utilized as it is proven to be quite credible in modelling the second-period elements. 78,79 A benchmarking metric, the rootmean-square deviation of all non-hydrogen atoms (RMSD), was elaborated to compare the crystal structures obtained from X-ray diffraction (TPE-based octacationic cage in the PM configuration) with the DFT-optimized gas-phase geometries. The collected statistical data summarized in Fig. 3 attest that averaged skeleton RMSD values are less than 0.3 Å and no excessive fluctuations were recognized. Assisted by Fig. 3 and Table 1, it is easy to intuit that a general DFT trend, in imitating the X-ray structure, can be

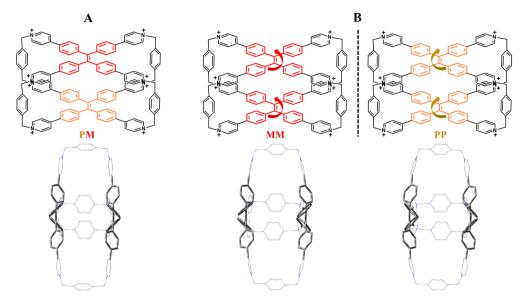


Fig. 2 (A) X-ray chemical structure of the mesomeric configuration (PM). (B) Enantiomeric forms (PP and MM) related to the TPE-based octacationic cage. Chlorides are the counterions.

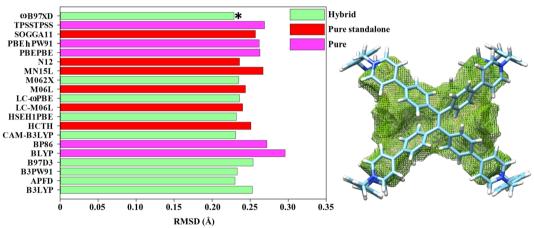


Fig. 3 (left) Cumulative RMSD histograms (Å) at the functional/6-311G(d,p) level in the gas phase for the PM configuration; the asterisk indicates the best DFT performer. (right) Optimized structure at the  $\omega$ B97XD/6-311G(d,p) level with its relative mapped cavity volume.

identified: hybrid > pure-standalone > pure. Within the RMSD values distribution, the hybrid functionals outcomes were exalted by dispersion-embedded  $\omega$ B97XD (0.229 Å), which appeared as the best candidate to clone the X-ray molecular structure (APFD and CAM-B3LYP still remain valid alternatives, Table 1). Contemplating that a TPE-based octacationic cage will act as a host, we also tried to verify the functional attitudes by calculating the inner cavity volume. It must be emphasized that we did not intend to handle  $V_{\rm occ}$  (Table 1) as a rigorous statistical method (such as RMSD) but as an approximately qualitative tool to estimate the internal accessible topological space. Although it is not obvious to correlate  $V_{\rm occ}$  with the RMSD since the TPE-based octacationic cage is not a closed polygonal structure (like fullerenes), good general DFT performances were detected, corroborating the leading position of  $\omega$ B97XD (Table 1).

# 2.1. Case 1: $TPE_{cage} \supset deoxyribonucleotides monophosphate homo-dimers$

Once the leader functional was identified, we focused on the theoretical analysis of a specific host–guest case with the purpose of using it as "computational probe" in order to achieve a single transferable level of theory for other adaptive chirality examples. Recently, Cao *et al.* reported a very elegant way of adaptive chirality concerning the association between the TPE-based octacationic cage and enantiomeric deoxyribonucleotide monophosphate guests. <sup>80</sup> In this situation, 2'-deoxyadenosine 5'-monophosphate (A), 2'-deoxythymidine 5'-monophosphate (T) and 2'-deoxycytidine 5'-monophosphate (C) induced the *PP* conformation in the TPE cage through their stereogenic chirality, p-ribodesose, by means the host–guest complexation in aqueous solution. Although water is a hydrogen

Table 1 RMSD values (Å) and  $V_{\rm occ}$  (Å<sup>3</sup>) related to the whole list of exchange-correlation functionals

Functional	RMSD (Å)	$V_{ m occ}$ (Å $^3$ )
X-Ray	_	475.0240
B3LYP	0.2530	519.4560
APFD	0.2300	513.7600
B3PW91	0.2330	521.6000
B97D3	0.2540	527.2960
BLYP	0.2960	506.3360
BP86	0.2720	512.4800
CAM-B3LYP	0.2310	515.8080
HCTH	0.2510	517.7920
HSEH1PBE	0.2320	509.0880
LC-M06L	0.2400	448.6400
LC-ωPBE	0.2360	506.0160
M06L	0.2440	508.4320
M062X	0.2350	503.1360
MN15L	0.2670	530.9120
N12	0.2360	506.1120
PBEPBE	0.2630	510.8160
PBEhPW91	0.2620	507.2000
SOGGA11	0.2570	523.3600
TPSSTPSS	0.2690	509.0560
ωB97XD	0.2290	488.8400

bonding disruption solvent, stable hydrogen-bonded homodimers (AA/TT/CC) were appropriately entrapped in the hydrophobic cavity of the TPE cage. This type of guest recognition was promoted by a through-space chirality propagation in which non-covalent interactions occur between the TPE cage and the homo-dimer, bringing to a multilevel supramolecular adaptive chirality. Before DFT-optimizing the host-guest systems  $(PP_{\text{cage}} \supset TT, PP_{\text{cage}} \supset CC \text{ and } PP_{\text{cage}} \supset AA)$  we inspected the pairing binding energies of the different hydrogen bonding patterns pertinent to the deoxyribonucleotides monophosphate homodimers to rank their association stabilities. Among the possible  $R_2^2(8)$ ,  $R_2^2(9)$  and  $R_2^2(10)$  hydrogen-bonded motifs, TT1, AA2 and

CC1 were more stable for deoxyribothymidine, deoxyriboadenosine and deoxyribocytidine monophosphate dimers, respectively (Fig. 4). In spite of the multitude of hydrogen bonding schemes, all the pairs showed an analogous tendency in their chiral groups, in fact, an intramolecular hydrogen bonding between the positive-polarized proton of the hydroxyl function in position 4 and the phosphate pendant was regularly found (Fig. 4 and Fig. S1. ESI†).

Despite experimental proton resonances certifying the occupation of the H-bonded nucleobase moieties at the center of the cavity, and NMR titration, isothermal titration calorimetry and mass spectra supporting the 1:2 stoichiometry in the  $PP_{cage} \supset$ deoxyribonucleotides host-guest complexes, 80 their relative hydrogen bonding templates still remain unknown. With this in mind, we optimized all the achievable complexed forms  $(PP_{\text{cage}} \supset \text{TT1}, PP_{\text{cage}} \supset \text{TT2}, PP_{\text{cage}} \supset \text{AA1}, PP_{\text{cage}} \supset \text{AA2}, PP_{\text{cage}} \supset$ AA3 and PP<sub>cage</sub> ⊃CC1) to establish if the energetic rankings individuated for the uncomplexed homo-dimers (Fig. 4 and Fig. S1, ESI†) were also maintained in the host-guest systems (Fig. S2, ESI†). Firstly, the energy-minimized conformations of all the complexes preserved the original homo-dimers hydrogen bonding schemes (Fig. S2, ESI†) in the center of the cage. Beyond the very articulated  $CH-\pi/\pi-\pi$  interactions between nucleobases and the TPE faces, in each complex the anionic monophosphate chain heads to the electron-deficient pyridinium rings to realize the multiple hydrogen bonds (Fig. 5 and Fig. S3, ESI†). For deoxyriboadenosine complexes ( $PP_{\text{cage}} \supset AA1$ , PP<sub>cage</sub> ⊃AA2 and PP<sub>cage</sub> ⊃AA3), their stability energies reasonably match with the ones of isolated homo-dimers, de facto the energetic order is retained ( $PP_{cage} \supset AA2 > PP_{cage} \supset AA3 >$ PP<sub>cage</sub> ⊃ AA1). Conversely, a marked inversion in the stability sequence was revealed for the deoxyribothymidine complexes compared to the isolated homo-dimers (TT1 > TT2 but  $PP_{\text{cage}} \supset TT2 > PP_{\text{cage}} \supset TT1$ , see Fig. S1 and S2, ESI†) as a result

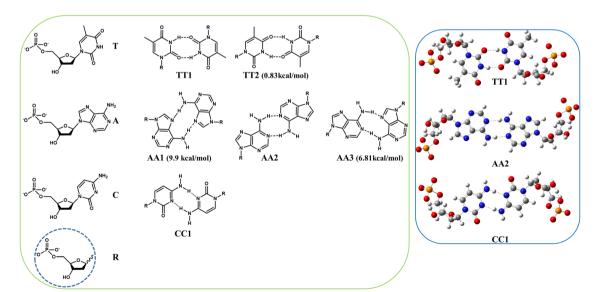


Fig. 4 (green) Neutral hydrogen-bonded homo-dimers accessible for deoxyribonucleotide monophosphate. (blue) Geometrical arrangements of the more stable homo-dimers (for each nucleobase) optimized at the  $\omega B97XD/6-311G(d,p)$  level in the gas phase.

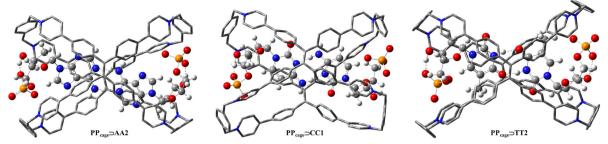


Fig. 5 DFT optimization of the more stable  $PP_{\text{cage}} \supset \text{homo-dimers}$  (for each nucleobase) calculated at the  $\omega B97XD/6-311G(d,p)$  level in the gas phase. Hydrogen atoms of the cages are omitted for clarity

of a more diagonal disposition of the ribo-monophosphate pendants that makes spatially difficult hydrogen bonds with the terminal phenyl and pyridinium rings of the cage. Neglecting the myriad of non-covalent interactions in the low/middle electronic-density regime (yellow/green surfaces), NCI (noncovalent index) mapped surface spotlighted how monophosphate oxygen atoms of TT2 in  $PP_{cage}$  managed to form two more strong hydrogen bonds (blue/violet surfaces) than TT1 in PPcage (Fig. S4 and S5, ESI†), justifying the energy gap inversion ( $\Delta = 8.37 \text{ kcal mol}^{-1}$ ). Additionally, the presence of the monophosphate fragments actively influences the H-bonded package of the nucleobases, which tend to curve in the supramolecular frontier to guarantee a stable accommodation of -PO<sub>4</sub><sup>2-</sup> at the edges of the cage. This phenomenon was authenticated by re-optimizing  $PP_{\text{cage}} \supset AA2$ ,  $PP_{\text{cage}} \supset TT2$  and PP<sub>cage</sub> ⊃CC1 in which their monophosphate units were truncated (only nucleobase-deoxyribose was considered, Fig. S6, ESI†), their acquired minima depicted flat H-bonded regions emphasizing how the -PO<sub>4</sub><sup>2-</sup> pendants simultaneously determine both the host-guest association energies and the chiral propagation via hydrogen bonding. On the other hand, if we focus on the H-bonding lengths between the nucleobases, it is possible to note an asymmetric shortening in the homo-dimer intermolecular distances as an effect of the multiple interactions with the cage (Fig. S7, ESI†).

By condensing the previous conformational findings, it evidently comes up that thermal movements between the three supramolecular-interconnected components (the two monomers and the cage) become relevant (especially in the solvent phase) and certainly impacts the final spectral signatures of the CPL outputs. Given this framework, the asymmetry pocket associated with the supramolecular host-guest adduct was explored by combining thermal-dependent fluctuations with light irradiation along the excited photochemical pathway. For such scope, the ab initio excited molecular dynamics (Born-Oppenheimer molecular dynamic, BOMD) for PP<sub>cage</sub> ⊃ homodimers in the solvent phase allowed us to carry out an appropriate phase space conformational sampling of the first excited state, permitting the collection of an ensemble of statistically relevant conformers. The final CPL spectra were thus reconstructed as a weighted sum of the spectra performed at the TD-DFT level on temporally interspersed molecular conformation snapshots recovered from BOMD trajectories.

The time-dependent formalism becomes fundamental to comprehend how the emission wavelengths (band position) and the rotatory strengths (intensity and sign of the signal) react when the mechanism of adaptive chirality acts. Convinced by our initial DFT benchmark, we adopted the ωB97XD/6-311G(d,p) level for a satisfactory description of the excited potential energy surfaces over a picosecond scale. At first glance, the study of the excited state trajectories pointed to a general trend regarding the H-bonding supramolecular distances for  $PP_{\text{cage}} \supset AA2$ ,  $PP_{\text{cage}} \supset TT2$  and  $PP_{\text{cage}} \supset CC1$  between ground and the first excited states (S0 and S1, respectively). As a point of fact, in the normalized distributions for the three host-guest systems, spatial proximity between the nucleobases moieties (expressed as NH-N and NH-O hydrogen bondings) is on average slightly more extended in S1 than in S0 (Fig. S11-S13, ESI†). Furthermore, no proton transfer was detected between donor and acceptor hydrogen bonding groups during the S1 potential energy surface (PES) scanning. Once the three S1 trajectories were evaluated, a conspicuous list of exchangecorrelation functionals at the TD-DFT level was tested to detect the coexistence of the luminescent macrocycle with the chiral homo-dimer guest in the chiral adaptive mechanism. Hence, M06L, MN12L, B3LYP, CAM-B3LYP, LC-ωhPBE, mPW3PBE, ωB97XD, BMK, SOGGA11X and HSEH1PBE were trialed for this aim. For technical reasons, we first decided to apply our TD-DFT benchmark on the deoxyribothymidine host-guest system as an upshot of its more regular and less fragmented CPL spectral line. At this point, it should be stressed that our primary goal centrepieces on the realistic quantification of the host-guest chiroptical responses in terms of the sign, intensity, shape profile and band positions of the CPL spectra. Following this background, Fig. 6A gives a complete depiction of the heterogeneous functional performances in reproducing the CPL spectral outline. Remembering that experimental CPL maxima is placed at 550 nm (Fig. 6B), it is possible to see that a consistent number of functionals (CAM-B3LYP, LC-ωhPBE, ωB97XD, BMK, SOGGA11X) underestimates the CPL peak position, showing blue-shifted spectra between 380 and 460 nm. Diverging from CAM-B3LYP, LC-ωhPBE, ωB97XD, and SOGGA11X which present a too-sharp and excessively intense chiral emission, M06L and MN12L suffered a silent signal due to the almost zero rotatory strengths during the radiative process (Table S1, ESI†). Undoubtedly, the central interval around 550 nm represents a salient

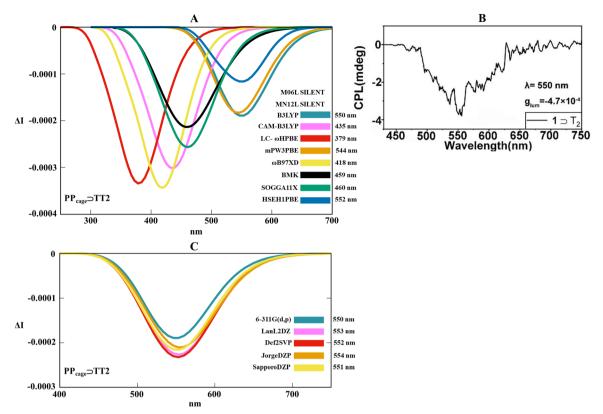


Fig. 6 (A) Collection of TD-DFT calculated CPL spectra at the functional/6-311G(d,p) level along the MD trajectory for PP<sub>cage</sub>⊃TT2 in H<sub>2</sub>O. (B) Experimental CPL spectrum of the PP<sub>cage</sub>⊃TT in water. Adapted with permission from ref. 80 © 2020 Chinese Chemical Society. (C) Overview of TD-DFT calculated CPL spectra at the B3LYP/basis set level along the MD trajectory for  $PP_{cage} \supset TT2$  in H<sub>2</sub>O.

spectral range, with HSEH1PBE, mPW3PBE and B3LYP, which excellently capture both the asymmetrical pseudo-Gaussian lineshape and the CPL maximum (552, 544 and 550 nm, respectively). This collection of spectra straightly testimonies that the diagnostic negative sign (which univocally identifies the PP TPE conformation) was adroitly calculated using all the functionals that conferred an active CPL output. At this point of such computational searching, it easily arrives that just two functionals (mPW3PBE and B3LYP) managed to combine theoretically the features of the optical emission with the chiral feedback (HSEH1PBE moderately belittles the band intensity). Taking into account B3LYP as our gold standard, we attempted to verify if

different basis sets can influence the CPL spectral pattern, hence 6-311G(d,p), LanLDZ, Def2SVP, JorgeDZP and SapporoDZP were applied. Fig. 6C revealed that the basis set has a negligible effect on the  $0 \leftarrow 1$  energy transition (peak position changes very subtly) and a moderate impact on the CPL magnitude, which reflects how a different number of primitive functions can lead to appreciable differences in the rotatory strengths. Coherently with a perfect band position (550 nm), we maintained 6-311G(d,p) coupled with B3LYP.

Motivated by the previous outcomes, we also employed our customized B3LYP/6-311G(d,p) level for PP<sub>cage</sub>⊃AA2 and  $PP_{\text{cage}} \supset \text{CC1}$ . Fig. 7 exemplified that experimental right-handed

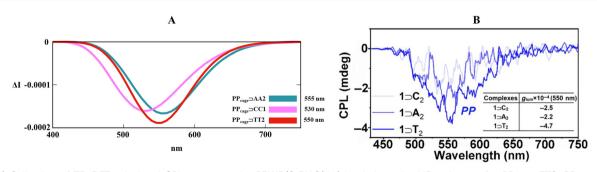


Fig. 7 (A) Collection of TD-DFT calculated CPL spectra at the B3LYP/6-311G(d,p) level along the MD trajectory for  $PP_{cage} \supset TT2$ ,  $PP_{cage} \supset AA2$  and  $PP_{cage} \supset CC1$  in  $H_2O$ . (B) Experimental CPL spectra of the  $PP_{cage} \supset TT$ ,  $PP_{cage} \supset AA$  and  $PP_{cage} \supset CC$  in water. Adapted with permission from ref. 80  $\bigcirc$  2020 Chinese Chemical Society.

(isoenergetic) circularly polarized luminescence (Fig. 7B) was correctly imitated by our theoretical protocol (Fig. 7A), with an excellent match for the PPcage AA2 CPL maximum (555 nm) and modest blue shift for the  $PP_{\text{cage}} \supset \text{CC1 CPL band (530 nm)}$ . Surprisingly, the selected TD-DFT level elegantly caught the right order in the band intensity  $(PP_{\text{cage}} \supset TT2 > PP_{\text{cage}} \supset AA2 >$ PP<sub>cage</sub> ⊃CC1). Lastly, it must be underlined that guanosinebased (G) host-guest was not the computationally treated cause of the photoinduced-electron transfer (PET) mechanism (usually induced by the electron-donating tendency of guanine heterocycle), which quenches the TPE luminogen activity.<sup>80</sup>

#### 2.2. Case 2: TPE<sub>cage</sub> ⊃ dipeptide homo-pairs

The efficiency of TPE-based octacationic cages in biocompatible media was also proficiently exploited for the sequencespecific recognition of peptides with chiroptical responses in an aqueous solution. Newly, Cheng et al. published an unprecedented case of chiral adaptive recognition with sequence specificity of aromatic dipeptides reporting mirror-symmetrical CPL spectra.<sup>64</sup> In such an example, the two direction-selective rotational TPE entities were utilized to accomplish chiral discrimination for supramolecular binding and dimerization of aromatic dipeptides with a 1:2 stoichiometry in the TPE-based octacationic cage, hence the enantiopurity of the L/D-dipeptide mixture can be determined. Precisely, dipeptides pair with a specific sequence of amino acids and transmit their chiral information to the cage resulting in a PP conformation for L-dipeptides and an MM one for D-dipeptides. Despite the negligible CPL signal of most biomolecules such as peptides, phenylalanine-phenylalanine (phe-phe) and tyrosine-tyrosine (tyr-tyr) dipeptides homo-pairs were ingeniously encapsulated in the hydrophobic cavity of the cage, combining their stereogenic chirality (L and D) with the cage luminescent activity to attain a chiral emission (Fig. 8).

Geometrical optimizations of  $PP_{\text{cage}} \supset (L)(\text{phe-phe})_2$ ,  $PP_{\text{cage}} \supset$ (L)(tyr-tyr)<sub>2</sub>,  $MM_{\text{cage}} \supset (D)(\text{phe-phe})_2$  and  $MM_{\text{cage}} \supset (D)(\text{tyr-tyr})_2$ (ωB97XD/6-311G(d,p) in the gas phase) delineated a diagonal arrangement of the guests along the x-shaped molecular skeleton of the cage (Fig. S14, ESI†). This kind of spatial disposition may be promoted by two key factors: (1) a proper relaxation of the ternary steric hindrance between the dipeptides and the cage. (2) A sum of supramolecular cooperations (electrostatic interactions, hydrogen bonds and ion-dipole interactions) between the carboxyl and amido functions with pyridinium rings (a similarity already perceived in Section 2.1 for the monophosphate action). Curiously, an energetic analysis turned up that  $PP_{\text{cage}} \supset (L)(\text{dipeptides})_2$  are more stable than their relative  $MM_{\text{cage}} \supset (D)(\text{dipeptides})_2$  counterparts (about 7.8 kcal for phephe and 3.4 kcal mol<sup>-1</sup> for tyr-tyr, Fig. S15, ESI†). Confident in our theoretical model we executed an excited state molecular dynamic (ωB97XD/6-311G(d,p)) and subsequently a TD-DFT treatment (B3LYP/6-311G(d,p)) for the four supramolecular species. Notwithstanding the non-perfectly symmetrical CPL bands, our computational guide located the theoretical peaks very close to the experimental ones (560 nm) in terms of spectral position (Fig. 9). Fig. 9A displayed how the simulated right-handed emission (produced by the PP conformation) and the lefthanded emission (produced by the MM conformation) are coherent with the experimental part in a matter of sign (negative for PP, positive for MM). Centering the attention, it is also possible to observe that calculated spectra of host-guest systems with phe-phe dipeptides homo-pairs are subjected to a blueshift (546 nm and 551 nm for  $PP_{\text{cage}} \supset (L)(\text{phe-phe})_2$  and  $MM_{\text{cage}} \supset (D)(\text{phe-phe})_2$ , respectively) while the tyr-tyr ones are characterized by a red-shift (569 nm and 565 nm for PP<sub>cage</sub>⊃ (L)(tyr-tyr)<sub>2</sub> and  $MM_{\text{cage}} \supset (D)(\text{tyr-tyr})_2$ , respectively). This type of chiroptical behavior may be due to not-exactly specular packages of (phe-phe)<sub>2</sub> and (tyr-tyr)<sub>2</sub> inside the cage.

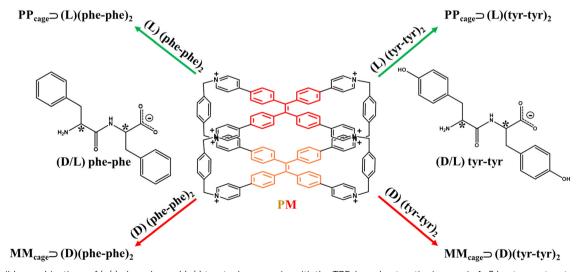


Fig. 8 Possible combinations of (D/L) phe-phe and (D/L) tyr-tyr homo-pairs with the TPE-based octacationic cage in 1:2 host-quest systems. Asterisks highlight stereogenic carbons. Anionic forms for the guests were chosen coherently with the basic conditions (sodium phosphate, pH = 7.4) in the experimental work.64

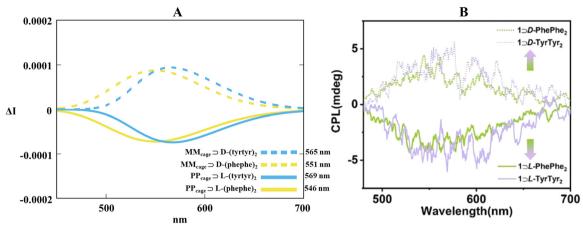


Fig. 9 (A) Collection of TD-DFT calculated CPL spectra at B3LYP/6-311G(d,p) level along the MD trajectories for  $PP_{\text{cage}} \supset \text{(L)}(\text{phe-phe})_2$ ,  $PP_{\text{cage}} \supset \text{(L)}$  $(L)(tyr-tyr)_2$ ,  $MM_{cage} \supset (D)(phe-phe)_2$  and  $MM_{cage} \supset (D)(tyr-tyr)_2$  in  $H_2O$ . (B) Experimental CPL spectra of  $PP_{cage} \supset (L)(phe-phe)_2$ ,  $PP_{cage} \supset (L)(tyr-tyr)_2$ , PMM<sub>cage</sub> ⊃ (D)(phe−phe)<sub>2</sub> and MM<sub>cage</sub> ⊃ (D)(tyr−tyr)<sub>2</sub> in water. Adapted with permission from ref. 64 © 2023 Royal Society of Chemistry.

#### 2.3. Case 3: TPE<sub>platform</sub> ⊃ organic acids

The last case we present intends to be a validation test of the transferability of our theoretical protocol when TPE groups are not contained in the previously examined octacationic cages (see Sections 2.1 and 2.2). Recently, Zheng et al. divulged a very interesting paper in which TPE units were segregated in a triangular macrocycle bearing three crown ethers to accommodate external guests.81 Practically, three TPE groups were interconnected through three ethynylene bridges forming a trimodular platform which thanks to the torsion between the phenyl rings and double bond plane proclaimed the typical propeller-like structure. In solution, the rapid interconversion between the right-(P) and left-handed (M) helical fashion

mediated by a dynamic equilibrium does not trigger a preponderant single-handed helical conformation. At the same time, in solid, the restricted internal rotation (RIR effect) increased the fluorescence and univocally defined a crystal racemic mixture (MMP/PPM). The interference of the trimeric platform with chiral guests (S/R-mandelic acid and L/D camphorsulfonic acid), through hydrogen bonds with the polyethylenglycol rings, activated prevailing single-handed propeller-like conformations (PPP/MMM, Fig. 10), which became CPL sources in the solid state. It must be noted that it is not unmistakably possible to assign the chiroptical event to PPP/MMM conformations only, since, also, an excess of single helical configuration in the presence of TPE units with opposite propeller-like helical

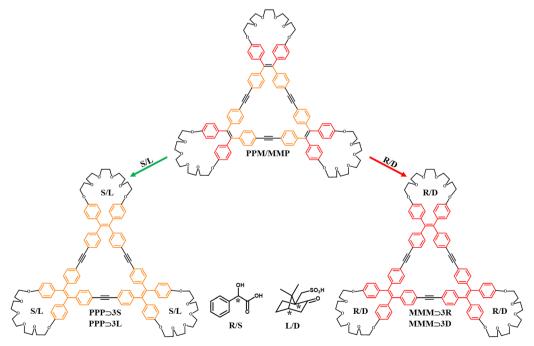


Fig. 10 Schematic routes to obtain chiral luminophores starting from the racemic crystal.

conformations can emit chiral luminescence82 (MMP/PPM in this specific case). Considering the TPE hetero-directional rotation (MMP/PPM) a case limit and aware of the criticalities in separating the multiple contributions, we decided to focus exclusively on the TPE homo-directional rotation (PPP/MMM).

Optimization in the gas phase for  $PPP \supset 3S$ ,  $PPP \supset 3L$ ,  $MMM \supset 3R$  and  $MMM \supset 3D$  (B3LYP/6-311G(d,p)) confirmed the embedding of the enantiopure guests inside the hexaethylene glycol tethers in which carboxyl and sulfonic fragments maximized the supramolecular interactions with the crown ethers (Fig. S16 and S17, ESI†). Although not as precise as the previous cases (see Sections 2.1 and 2.2), excited molecular dynamic (ωB97XD/6-311G(d,p) in the gas phase) and the relative TD-DFT methodology (B3LYP/6-311G(d,p) in the gas phase) brought to satisfactory CPL spectra for the four host-guest systems. Fig. 11A and C described an acceptable red shift for the simulated CPL spectral lines compared to the experimental maxima (520 nm, Fig. 11B and D), symmetrical mirroring CPL bands were affected by errors of 25 nm and 20 nm for PPP ⊃ 3L/  $MMM \supset 3D$  and  $PPP \supset 3S/MMM \supset 3R$ , respectively. As also announced for the previous cases (Sections 2.1 and 2.2), our theoretical model competently recognized that the predominance of P conformations triggered the right-handed light emission (negative sign in the spectra), while M conformations activated the left-handed light emission (positive sign in the spectra).

## Computational details

The X-ray crystallographic structure of the TPE-based cage (CCDC: 2100784†) was energy-optimized in the gas phase employing the following DFT functionals benchmark: APFD, B3LYP, B3PW91, B97D3, BLYP, BP86, CAM-B3LYP, HCTH, HSEH1PBE, LC-M06L, LC-ωPBE, M06L, M062X, MN15L, N12, PBEPBE, PBEhPW91, SOGGA11, TPSSTPSS and ωB97XD combined with 6-311G(d,p) basis set, using an ultrafine grid and a tight criterion for energy and geometry optimization convergence. As a direct consequence of the previous DFT benchmark, the minima of all the presented systems in the manuscript were calculated at the  $\omega$ B97XD/6-311G(d,p) level in the gas phase. For  $PP_{\text{cage}} \supset \text{TT2}$ ,  $PP_{\text{cage}} \supset \text{AA2}$ ,  $PP_{\text{cage}} \supset \text{CC1}$ ,  $PP_{\text{cage}} \supset (L)(\text{phe-phe})_2$ ,  $PP_{\text{cage}} \supset (L)(\text{tyr-tyr})_2$ ,  $MM_{\text{cage}} \supset (D)(\text{phe-phe})_2$ ,  $MM_{\text{cage}} \supset (D)(\text{tyr-tyr})_2$ ,  $PPP \supset 3L$ ,  $PPP \supset 3S$ ,  $MMM \supset 3D$  and  $MMM \supset 3R$ , Born-Oppenheimer molecular dynamic (BOMD) runs were performed at 298 K in the first excited electronic state (S1) at the ωB97XD/6-311G(d,p) level in the solvent phase (water, Sections 2.1 and 2.2) or gas phase (Section 2.3) with a total simulation time of 1 ps and a step size of 2 fs. The solvation box was defined by using the integral equation formalism for the polarizable continuum model (IEF-PCM), the default parameters of Gaussian16 were used for the construction of the cavity, built as the envelope of interlocked spheres centered on each atom of the solute (water:  $\varepsilon$  = 78.3553). Preliminarily, for  $PP_{\text{cage}} \supset TT2$ , 25 equi-temporally

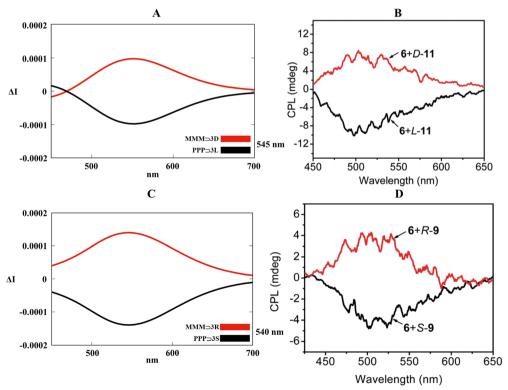


Fig. 11 (A) and (C) Collection of TD-DFT calculated CPL spectra at the B3LYP/6-311G(d,p) level along the MD trajectory for PPP⊃3L, PPP⊃3S, MMM⊃3D and  $MMM \supset 3R$  in the gas phase. (B) and (D) Experimental CPL spectra of  $PPP/PPM \supset 3L$ ,  $PPP/PPM \supset 3S$ ,  $MMM/MMP \supset 3D$  and  $MMM/MMP \supset 3R$  in the solid phase. Adapted with permission from ref. 81 © 2018 Royal Society of Chemistry

interspersed snapshots (every 40 fs) were extracted from the BOMD and TD-DFT subjected to recover rotatory strengths, excitation energies and oscillator strengths at M06L, MN12L, B3LYP, CAM-B3LYP, LC-\ohPBE, mPW3PBE, \omegaB97XD, BMK, SOGGA11X and HSEH1PBE/6-311G(d,p) levels considering the first excited state (solvent phase: water, Sections 2.1, 2.2 and the gas phase for Section 2.3). Subsequently, the previous TD-DFT benchmark was also extended for the basis sets, hence 6-311G(d,p), LanLDZ, Def2SVP, JorgeDZP and SapporoDZP were coupled with the leader functional B3LYP. As an effect of the two precedent TD-DFT benchmarks, the B3LYP/6-311G(d,p) level was applied for all the CPL-active molecules. The CPL intensities  $\Delta I$  were computed as follow:<sup>83</sup>

$$\Delta I = \frac{16E_{\text{emi}}^3 \times R_{\text{0m}} \times \rho(E_{\text{emi}})}{3\hbar^4 \times c^3} \tag{1}$$

where  $\hbar$  represents the reduced Planck's constant, c is the speed of light,  $\rho(E_{\rm emi})$  is the Gaussian band shape centered in the  $E_{\rm emi}$ energy and  $R_{0m}$  are the rotational strengths associated with the transition  $0 \leftarrow 1$  (expressed as R length). Eqn (1) is expressed in cgs units and the band shape was assumed as Gaussian with a bandwidth of 850 cm<sup>-1</sup>. All the computational jobs were carried out with Gaussian16 package.84 Every time energy comparisons between supramolecular architectures were evaluated, the relative computational jobs were corrected with the counterpoise correction to limit the basis set superposition error (BSSE). Cavity volumes  $(V_{occ})$  were calculated by means of two-probes mode with a small probe radius of 1.2 Å, a large probe radius of 3.0 Å, and a grid resolution of 0.1 Å, implemented in the Molovol code.<sup>85</sup> NCI surfaces were generated by the Multiwfn code86 with a high-quality grid for electron density and visualized using VMD.87

#### 4. Conclusions

In the current work, we introduced a computational guide to study the mechanism of adaptive chirality in supramolecular systems through circularly polarized luminescence. The novel emerging TPE-based cages and platforms encouraged us to investigate theoretically the connection between stereogenic chiral-transmitter guests and the luminescent propeller-like (P/M) tetraphenylethene faces. Mindful of this, three different cases were argued: (1) TPE cage with deoxyribonucleotides. (2) TPE cage with dipeptides pairs. (3) TPE trimeric macrocycle with chiral organic acids. An opening DFT benchmark set on the TPE-based octacationic cage helped us to individuate the correct exchange-correlation functional to outline accurately the conformational preferences of TPE moieties when confined in complex chemical architectures.  $\omega B97XD$ , in the role of best DFT-performer, was therefore applied for the Born-Oppenheimer molecular dynamics in the first excited state to collect information about spatial interactions between host and guests when chirality induction takes place. The abundance of collected supramolecular features and a decent experimental CPL spectral line persuaded us to use  $PP_{\text{cage}} \supset \text{deoxyribonucleotides}$ 

monophosphate (specifically PPcage ⊃TT2) as a computational probe for a TD-DFT evaluation of exchange-correlation functionals and basis sets in the CPL spectral simulation. B3LYP (mPW3PBE is also an excellent alternative) joint to 6-311G(d,p) proved to be a very precise and transferable method for the chiral emission prediction of all the PP<sub>cage</sub> ⊃ deoxyribonucleotides monophosphate complexes. The predictive efficacy of our customized level of theory was then challenged with the TPE<sub>cage</sub> ⊃ dipeptides homo-pairs and TPE<sub>platform</sub> ⊃ acids. This theoretical survey certified how our computational treatment was able to authenticate the coexistence of the P/M preponderant conformations with the right/left-handed luminescence (respectively) through the exact computation of the CPL spectral sign (negative for P dominance, positive for M one). In each case, the CPL peak and band shape were deftly emulated with very small deviations compared to the experimental values. Although debates about the chirality transfer at the supramolecular level are continuous, our guide constitutes the first attempt to access computationally the chirality adaptive mechanism through the circularly polarized luminescence of TPE-engineered molecules.

## Data availability

Crystallographic data for the TPE-based cage can be found in the Cambridge Structure Database (CCDC: 2100784†). Experimental CPL spectra were adapted with permission from (1) https://doi.org/10.31635/ccschem.020.202000509 (Fig. 6a in the main article and Fig. S21b in the ESI†), (2) https://doi.org/ 10.1039/D2SC05854E (Fig. 3j in the main article) and (3) https:// doi.org/10.1039/C7TC05759H (Fig. 5 in the main article). The datasets supporting this article and the relative code/software are detailed in the "Computational details" section and in the ESI.†

#### Author contributions

Giovanni Bella: conceptualization, methodology, software, validation, investigation, project administration, writing - original draft. Giuseppe Bruno: resources, supervision, writing - review and editing. Antonio Santoro: supervision, writing – review and editing visualization, resources, data curation.

#### Conflicts of interest

There are no conflicts to declare.

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