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Towards photoelectrochromic modulation of NIR absorption in plasmonic ITO using pentacene films†

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As a prototypical organic semiconductor material, pentacene is investigated in numerous electronic and optical systems for its high charge carrier mobility and attractive photophysical properties. However, charge generation, transfer and collection remain critical challenges, especially when considering hybrid structures with inorganic metal oxides as charge acceptors. Herein pentacene molecular layers are associated with plasmonic indium tin oxide (ITO) nanostructures, aiming to allow them to electrochromically modulate their near-infrared (NIR) absorption in the absence of external electric power. Multi-modal surface characterization shows that pentacene molecules transition from a perpendicular alignment when deposited on bare surfaces to more random organization, involving standing-up and face-on orientations, when deposited on ITO thin films. The optoelectronic properties of the interfaced materials are consequently impacted, with steady-state and ultrafast transient spectroscopies further highlighting how electrons are photogenerated in pentacene and consecutively transferred into plasmonic ITO, ultimately tuning its NIR optical response. Such ITO–pentacene bilayers therefore hold promise as novel heterojunction-like structures for the further innovative design of photoelectrochromic, self-powered smart windows.

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1. Introduction

The remarkable structural, optical and electronic properties of pentacene make it an attractive material integrable to numerous device architectures that rely on highly ordered molecular thin films.^{1–3} Alongside efficient transport properties due to high charge carrier mobility, pentacene's unique photoactivity has notably attracted major interest in organic solar cells for its singlet fission activity, namely the spin-allowed photophysical process that enables the generation of up to two electrons from the absorption of a single photon *via* the specific conversion of one singlet excited state into two triplet states.^{4–8} Pentacene can

therefore overcome the Shockley–Queisser theoretical limit of photoconversion efficiency in photovoltaic or photodetector devices, with singlet fission mechanisms leading to quantum yields over 100% with extremely fast kinetics (fs range) of charge generation. Various organic (fullerenes,^{9–13} phthalocyanines,¹⁴ tetracyanoquinodimethane (TCNQ), *etc.*¹⁵) and inorganic compounds (PbS,¹⁶ PbSe,¹⁷ MoS₂,^{18,19} *etc.*) have already shown their suitability as convenient charge acceptor materials as they are able to dissociate singlet and/or triplet excitons. The use of metal oxides as electron acceptors, being easily processable and bearing outstanding semiconducting properties thanks to their wide bandgaps, remains a significant opportunity in the field,^{20–24} especially as it involves the controlled design and in-depth characterization of potentially complex hybrid inorganic–organic structures. Studies focusing on pentacene interfaced with TiO₂ and ZrO₂ (nano)structures^{20–22} have recently highlighted the critical balance needed between efficient electron generation and suitable energetics for charge transfer between the organic donor and the inorganic acceptor. Charge generation, transfer and collection are ultimately shown to be highly dependent on the interface chemical, morphological and structural properties, considerably influencing both their dynamics and kinetics.

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Indium tin oxide (ITO), being widely used as a transparent electrode material of high conductivity and electrochemical tuneability,^{25–27} can also be considered as an inorganic electron acceptor in this context.^{28,29} Interestingly, ITO is subject to localized surface plasmon resonance (LSPR) when processed into highly crystalline nanoparticles through colloidal synthesis, which induces strong absorption of near-infrared (NIR) light in the 1.5–2.0 μm wavelength range. LSPR can be controlled by tuning the ITO nanostructure, *i.e.* size, shape, dopant concentration and distribution, depending on the synthetic protocols being used;^{30–33} it can also be modulated through electrical or electrochemical charging when exposed to an external bias, resulting in progressive and reversible changes in absorption frequency and intensity.^{34–38} When processed as a coating, plasmonic ITO therefore allows for NIR-selective electrochromic abilities of particular interest for the development of new-generation heat-filtering smart windows, being more optically functional and more durable than conventional electrochromic technologies. Indeed, whilst most electrochromic smart glazing systems traditionally rely on faradaic redox processes in metal oxides, the use of plasmonic nanocrystals allows for the occurrence of capacitive charging mechanisms which do not require cationic structural insertion/extraction – being potentially detrimental upon long-term cycling. The LSPR modulation in ITO is considered to be analogous to that found in metallic nanostructures, and is reported to result from the formation of accumulation and depletion regions near the surface of the nanocrystals. Such behavior implies larger ranges of modulation with smaller nanocrystals, *i.e.* 5 nm radius and below, as their entire volume can then lie within the accumulation/depletion region. Therefore, ITO coatings operate like supercapacitor electrodes whereas conventional electrochromic films are more analogous to battery electrodes, being subjected to cation migration through the active material; this capacitive mode specifically endows them with faster switching kinetics and enhanced stability upon reversible charge/discharge cycling.^{31,39}

To strengthen the operational autonomy and bypass the need for an external power generator,^{40–42} we propose herein to study how plasmonic electrochromic materials can be combined with suitable photoactive components, namely, processing ITO–pentacene bilayers with the perspective of designing novel NIR-active *photoelectrochromic* systems. *Photoelectrochromism* refers to a change in color (or more generally, in optical properties) being produced within a considered material by charge carriers being photogenerated outside of this considered material. It constitutes a particular configuration of *X-chromism* to be distinguished from *photochromism*, where the change in color/optical properties is generated from light absorption within the considered material, and from *electrochromism*, referring to an electrically induced change in color/optical properties within the considered material. Here, relying on appropriate thin coating engineering, pentacene thin films are able to generate photoexcited electrons from visible (VIS) light absorption; these electrons can then be efficiently transferred and collected in ITO, which permits their NIR optical modulation.

Such a concept of structural architecture stands therefore as a disruptive alternative to previously designed photoelectrochromic systems, which typically require complex tandem configurations (*i.e.*, a solar cell connected to an electrochromic device) or bulky multilayered assemblies associating electrochromic, electrolytic and photovoltaic components.^{43,44} In addition, the considered structure represents a heterojunction of pentacene as an organic molecular photodonor being interfaced with nanostructured ITO acting as an inorganic photoacceptor. This implies that an electrolyte, ion-conducting layer is presently not required as only electrons are involved in such capacitive form of electrochromism, and thus no insertion/extraction of cations takes place. All in all, the present approach of materials chemistry and structure design is therefore novel and can be transformative for the electrochromic field, with an additional impact expected in correlated fields of optoelectronics, semiconductors, and photovoltaics.

2. Experimental section

Unless specified otherwise, all chemicals are supplied by Sigma-Aldrich and used without further purification. Tin-doped (10 wt. %) indium oxide ITO nanocrystals are synthesized using previously established colloidal approaches.^{30,36} Briefly, indium and tin(II) acetates (99.99%) are mixed with oleylamine (70%), degassed under vacuum for 1 h at 120 °C, and then reacted at 230 °C under nitrogen for 1 h; after cooling to 60 °C, toluene (VWR, 99.9%) and oleic acid (90%) are added to the solution, and ITO nanocrystals are purified and recovered (five washing cycles) by adding absolute ethanol, centrifuging, and redispersing in toluene. The hydrophobic, insulating ligands bound to the ITO surface are then chemically removed using a nitrosonium tetrafluoroborate (NOBF_4 , 95%) solution in *N,N*-dimethylformamide (DMF, 99.8%); ligand stripping is induced by sonication, and bare ITO nanocrystals are recovered (seven washing cycles) by precipitating with toluene, centrifuging, and redispersing in DMF. Transmission electron microscopy (TEM) measurements on ITO nanocrystals are completed using a JEOL JEM-2100F instrument. ITO dispersions (45 mg mL^{-1}) in 1:1 DMF:acetonitrile by volume are then spin-coated (40 μL) onto silicon, glass or quartz substrates at 5000 rpm for 30 s (three consecutive runs in total), resulting in uniform ITO layers. Pentacene thin films are grown by organic molecular beam deposition (OMBD) in a Kurt J. Lesker Spectros 100 system at a base pressure of 5×10^{-7} mbar, from pentacene powder (TCI, 99.999%) being sublimed at a rate of 0.5 \AA s^{-1} on bare or ITO-coated silicon, glass or quartz substrates, kept at ambient temperature during deposition.

Surface characterization is carried out on silicon substrates covered with ITO, pentacene and ITO–pentacene bilayers using tapping mode atomic force microscopy (AFM) on an Asylum Research MFP-3D instrument, and scanning electron microscopy (SEM) on a Zeiss LEO Gemini 1525 instrument. Root mean square roughness (R_q) values are determined from the



total window of the AFM images using the open-source software Gwyddion, and calculated over three different images with the standard deviation quoted as an error. Top-view and cross-section SEM images are recorded on samples coated with a 10 nm conductive layer of chromium and grounded to the sample holder with silver paste. Optical microscopy images are recorded using an Olympus BX51 instrument. X-ray diffraction is conducted in the fixed θ - 2θ geometry on a Philips X'Pert Pro Panalytical instrument using a Cu K α source at a current of 40 A and a voltage of 40 V. The Scherrer equation $D = K\lambda/\beta \cos(\theta)$ is used to determine the relationship between the crystallite size D and the full width at half maximum (FWHM) β of a peak of the diffraction pattern, K is a dimensionless shape factor equaling 0.9, λ is the wavelength of the incident Cu K α radiation, and θ is the Bragg angle. Raman spectra are recorded using a Renishaw inVia Raman microscope with a 50X objective lens, using a 633 nm excitation wavelength and a total acquisition time of 20 s. ATR-FTIR spectra are acquired using an Agilent Cary 630 instrument. VIS-NIR spectrophotometry is performed on an Agilent Cary 5000 instrument, using an integrating sphere configuration to measure total transmittance $T(\%)$ and total reflectance $R(\%)$ values. Transient absorption spectroscopy is conducted in an ambient environment with two instruments. For fs–ns experiments, a Ti:Sapphire amplifier (Spectra Physics' Solstice) is used to generate the excitation ($\lambda = 545$ nm) and probe pulses ($\lambda = 450$ – 800 nm and 800 – 1500 nm), with 100 fs and 1 kHz repetition rate; the excitation is generated by a Light Conversion's TOPAS-NIRUVIS instrument, and the transient absorption signals are acquired with an Ultrafast Systems' HELIOS using a 6 ns delay line. Microsecond transient absorption is carried out with a 355 ns pulse ($390 \mu\text{J cm}^{-2}$) generated by Litron laser's Aurora optical parametric oscillator passed through color filters and monochromator; detection is achieved using an InGaAs diode coupled to a Costronics amplifier, connected to Tektronics TDS 2012B for signal reading. Data analysis is conducted using Origin and Surface Explorer.

3. Results

3.1. Fabrication of plasmonic ITO and pentacene layers

Colloidal synthesis of plasmonic ITO (10% wt. Sn) results in nanocrystals of 6.0 ± 1.0 nm diameter (average value on 40 measurements; TEM images in Fig. S1, ESI[†]), which are then ligand-stripped and spin-coated into thin films of 90 ± 10 nm thickness (average value on 10 measurements from SEM cross-section imaging). This coating methodology has been favored here for its simplicity and high degree of control. Such a thickness range represents an optimal trade-off at which the dynamic range of NIR absorbance is maximized with minimal impact on transparency in the VIS range.^{31,35,36} Pentacene thin films are grown by OMBD, selected and used here for delivering high coating material purity and strong adhesion with the substrate, while providing optimal control over layer thickness and structure. The used deposition growth rate of 0.5 \AA s^{-1} is

based on previous literature^{45–48} in order to obtain continuous layers predominantly in the thin film phase. A 120 ± 10 nm thickness (average value on 10 measurements) is implemented, again as an optimal trade-off between sufficient transparency/low opacity in VIS, and photon absorption (corresponding to a sufficient degree of charge generation/transfer). Bilayer architectures are then obtained through spin-coating of ~ 90 nm thick layers of plasmonic ITO nanocrystals and subsequent deposition of ~ 120 nm thick layers of pentacene by OMBD. It can be noted that the reverse order of deposition, *i.e.* spin-coating of ITO onto pentacene, causes the partial delamination of the pentacene layers due to the solvents used during spin-coating, and results in highly inhomogeneous bilayers with poor coverage.

At this stage, it is key to analyze how the molecular packing and organization of pentacene molecules are affected by the presence of underlying ITO layers. The growth of pentacene thin films onto various substrates or sublayers is known to be strongly dependent on the structure of the initial monolayer, which in turn is governed by competing forces of intermolecular and/or interfacial type. When occurring, the nature and intensity of these molecule–molecule and/or molecule–substrate interactions can have a strong impact on the formation, stability, and optical/electrical properties of the bilayer structure. These could notably result in so-called templating effects, known to induce different molecular orientation, evolving from perpendicular with bare substrates to, notably, flat-lying with CuI underlayers – which is acknowledged to improve efficiency of charge generation and transfer – while PTCDA underlayers do not affect orientation.⁴⁸ In turn, the geometrical configuration of the donor/acceptor interfaces is known to significantly impact the optoelectronic properties of the processed structures, as for instance the rates of exciton dissociation and charge recombination in solar cells based on pentacene – fullerene heterojunctions, or the nature of optical excitation – as modelled for pentacene – TiO₂ interfaces.^{9,49,50} It is therefore important to screen and discuss such structural aspects for our ITO – pentacene structures, which is done in the next section.

3.2. Topographic and structural properties

The morphology and topography of neat plasmonic ITO, neat pentacene (PEN), and plasmonic ITO – pentacene (PEN-ITO) bilayers, are established from top-view and cross-section SEM as well as tapping mode AFM measurements (Table 1 and Fig. 1). Plasmonic ITO films (Fig. 1a) consist of densely packed nanocrystals with an average lateral grain size of 19 ± 11 nm, while pentacene films (Fig. 1b) consist of heterogeneously shaped grains with an average grain size of 125 ± 60 nm. In both cases, the neat films are observed to be highly continuous, homogeneous, and pinhole-free. Roughness analysis indicates smooth ITO layers – with an average R_q value of 0.9 ± 0.1 nm – and of quite rougher pentacene films – with R_q of 12.9 ± 0.2 nm. When deposited onto ITO, pentacene layers retain their high degree of coverage and morphology (Fig. 1c), with an average lateral grain size of 102 ± 47 nm. The size is similar to the layers grown onto Si substrates within error.



Table 1 Topographic and structural data obtained from AFM (lateral grain size, roughness R_q) and XRD (out-of-plane grain size) measurements on plasmonic ITO, PEN and PEN-ITO layers

Sample	Roughness R_q (nm)	Lateral grain size (nm)	Out-of-plane grain size ^a (nm)
ITO	0.9 ± 0.1	19 ± 11	7 ± 1
PEN	12.9 ± 0.2	125 ± 60	76 ± 2
PEN-ITO	10.7 ± 1.7	102 ± 47	31 ± 1

^a Scherrer analysis is performed for plasmonic ITO on the (222) reflection of ITO at $2\theta = 30.7^\circ$, and for PEN and PEN-ITO on the (001) reflection of pentacene at $2\theta = 5.8^\circ$.

The roughness (R_q of 10.7 ± 1.7 nm) is not affected by the underlying layer within error, although morphology is less heterogeneous with some grains protruding from the interface. Complementary optical microscopy images and photographs of samples are shown in Fig. S2 (ESI†).

X-ray diffraction (XRD) supports the interpretation of pentacene molecules being differently packed on bare and plasmonic ITO-covered substrates. The XRD pattern of neat pentacene (Fig. 2, blue curve) highlights the main characteristic (00 l) planes from the thin film phase (CCDC 665900)⁵¹ at $2\theta = 5.8^\circ$ (001), 11.6° (002), 17.3° (003), 23.2° (004) and 29.0° (005). In good agreement with previous works^{45–48} where 0.5 \AA s^{-1} rates were also used for pentacene growth by OMBD, this indicates a highly-ordered pentacene molecular layer consisting of two polymorphs – a thin film phase with its dominant (001) peak at $2\theta = 5.8^\circ$, plus a secondary bulk phase with a weak (001) signal at $2\theta = 6.1^\circ$ – with molecules being aligned in a nearly perpendicular orientation to the substrate surface.

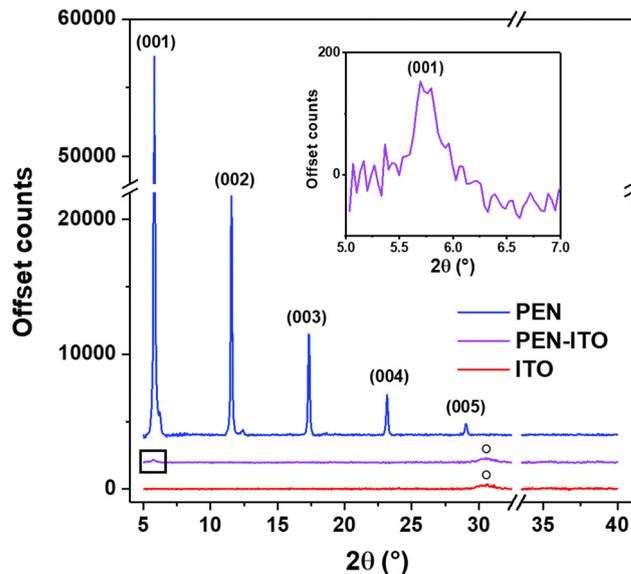


Fig. 2 XRD patterns of PEN film deposited onto silicon (blue curve), PEN film deposited onto plasmonic ITO layer covered silicon (PEN-ITO; purple curve), and plasmonic ITO layer deposited onto silicon (red curve). The diffraction scans are stacked at regular intervals of 2000 counts. The inset presents the close up (2θ range of $5.0\text{--}7.0^\circ$) on the (001) plane of pentacene in PEN-ITO. \circ symbol highlights the presence of the (222) reflection of ITO.

An out-of-plane grain size of 76 ± 2 nm is further determined using the Scherrer equation on the (001) plane, with a FWHM of $0.1^\circ/0.002$ radians (Table 1). In comparison, a pentacene film deposited onto plasmonic ITO results in almost suppressed

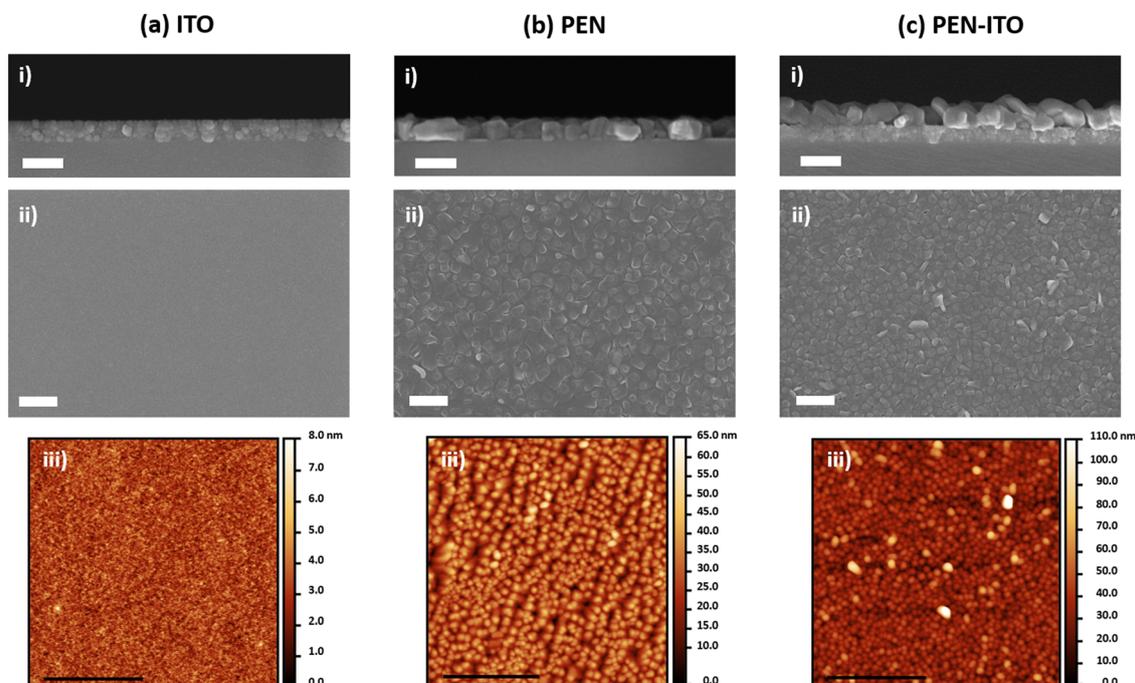


Fig. 1 Cross-section (i) and top-view (ii) SEM images, and (iii) AFM images of (a) plasmonic ITO, (b) PEN, and (c) PEN-ITO deposited onto silicon substrates. Scale bars are set at 200 nm for cross-section SEM images, $1 \mu\text{m}$ for top-view SEM images and $2 \mu\text{m}$ for AFM images.



scattering from the (001) plane (Fig. 2, purple curve and inset) and strongly reduced out-of-plane grain sizes (31 ± 1 nm, with FWHM increasing to $2\theta = 0.2^\circ/0.004$ radians). These observations imply less crystalline order, with fewer molecules aligning perpendicular when deposited onto plasmonic ITO. The XRD signature of the bilayer structure also shows a small contribution at $2\theta = 30.7^\circ$ (crystallite size of 7 ± 1 nm), which corresponds to the (222) reflection of body-centered cubic indium oxide In_2O_3 (reference pattern JCPDS File No. 06-0416),⁵² and unchanged following Sn-doping, which is also characteristic of the neat ITO (Fig. 2, red curve).^{31,35}

In all generality, such a molecular orientation change is governed by the balance between the electrostatic forces between the layer and the substrate, and the intermolecular quadrupolar interactions.⁴⁸ Specifically, the pentacene herringbone arrangement is driven by quadrupolar interactions across the dimer pair. When deposited onto a negatively charged surface, such as CuI, the pentacene molecules undergo a transition from a standing orientation to a flat-lying orientation, whilst maintaining the herringbone molecular arrangement. A similar principle can be extended and postulated for the present pentacene-ITO bilayer system. The polarity of the ITO surface can be assumed to be varied with clusters of positive and negative charges randomly distributed.⁵³ In the areas of negative charges, a similar interaction to that observed in the pentacene/CuI bilayer system can be expected. On the other hand, in areas of positive charges, the intermolecular interactions between the pentacene molecules are dominant and the molecules can maintain their thermodynamically preferred standing arrangement. The varied distribution of the interactions between the pentacene molecules and ITO surface presumably results in a mixture of pentacene molecules aligned parallel and perpendicular to the ITO surface.

We then consider Raman spectroscopy to strengthen our understanding of the structural order and molecular orientation of pentacene. Related literature⁵⁴ has shown that the intensity of C-H bending modes at the extremity of the pentacene molecules (1157 and 1180 cm^{-1} ; Fig. 3a) relative to the intensity of C-C ring stretching modes along the short-axis of

pentacene molecules (1353 and 1374 cm^{-1} ; Fig. 3b) could be used to determine the degree of deviation from equilibrium packing. Presently, the slight increase of I_{1157}/I_{1374} for pentacene on plasmonic ITO ($I_{1157}/I_{1374} = 0.67$) compared to a bare substrate ($I_{1157}/I_{1374} = 0.60$) is therefore indicative of increased (001) *d*-spacing and more lattice strain. Additionally, the increase of the C-C_{Long} peak (1596 cm^{-1} ; Fig. 3c) is indicative of pentacene molecules transitioning from perpendicular to flat-lying orientation.

Complementary ATR-FTIR spectroscopy analysis further confirms these trends. The two bands observed at 904 and 1296 cm^{-1} for neat pentacene layers (see spectra on Fig. S3a and b, blue curve, ESI†) are associated to the molecular Z and X modes, *i.e.* vibrational modes along the Z- and X- short-axes, respectively (see inset with pentacene's molecular structure), while the characteristic bands of the Y mode (along the Y- long-axis) at 1443 and 1498 cm^{-1} are actually missing; based on literature,^{55,56} these observations can be correlated to pentacene molecules mostly standing upright on the surface. When pentacene molecules are deposited onto plasmonic ITO layers (purple curve on Fig. S3a and b, ESI†), notably, the intensity of the 904 cm^{-1} band (Z mode) significantly decreases, the 1296 cm^{-1} band (X mode) remains noticeable, and a supplementary band slightly appears by 1443 cm^{-1} (attributed to the Y mode). Such an "heterogeneous" signature can therefore be attributed to less organized and more randomly oriented pentacene molecules.

3.3. Optoelectronic properties

Fig. 4 shows optical spectra of neat pentacene, neat plasmonic ITO and ITO-pentacene bilayers for both VIS and NIR ranges. Absorbance data are presented, being obtained from total transmittance and total reflectance measurements (presented in Fig. S4a, ESI†) as $A(\%) = 1 - T(\%) - R(\%)$; additional optical constants being further extracted from the VIS-NIR spectra, such as absorption coefficient α , extinction coefficient k and refractive index n , are reported and discussed in ESI† (see Fig. S4b-d and complementary analysis). In VIS (Fig. 4a), neat pentacene films contain four characteristic peaks: the lowest

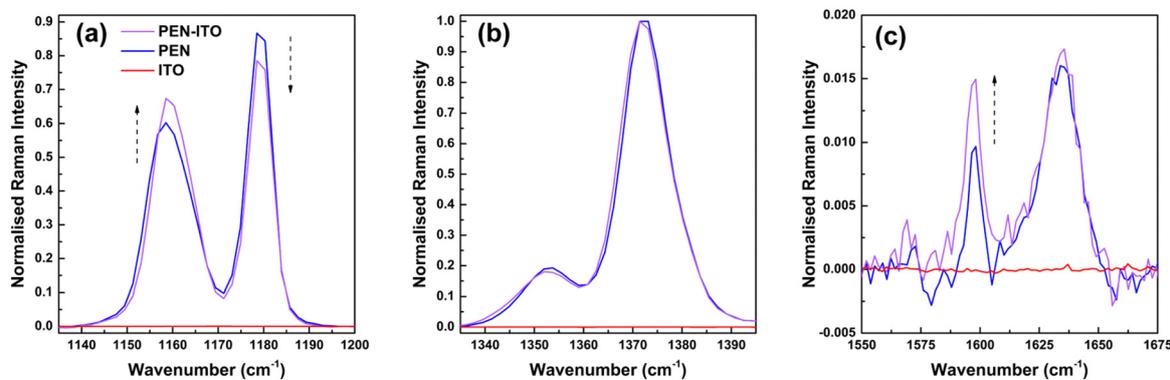


Fig. 3 Raman spectra measured over (a) 1135 – 1200 cm^{-1} , (b) 1335 – 1395 cm^{-1} and (c) 1550 – 1675 cm^{-1} ranges of plasmonic ITO layer (red curve), PEN film (blue curve), and PEN film deposited on plasmonic ITO layer (PEN-ITO; purple curve). Data are normalized to the intensity of the C-C_{Short} stretch at 1374 cm^{-1} . Arrows highlight the evolution of PEN-ITO signals vs. PEN ones.



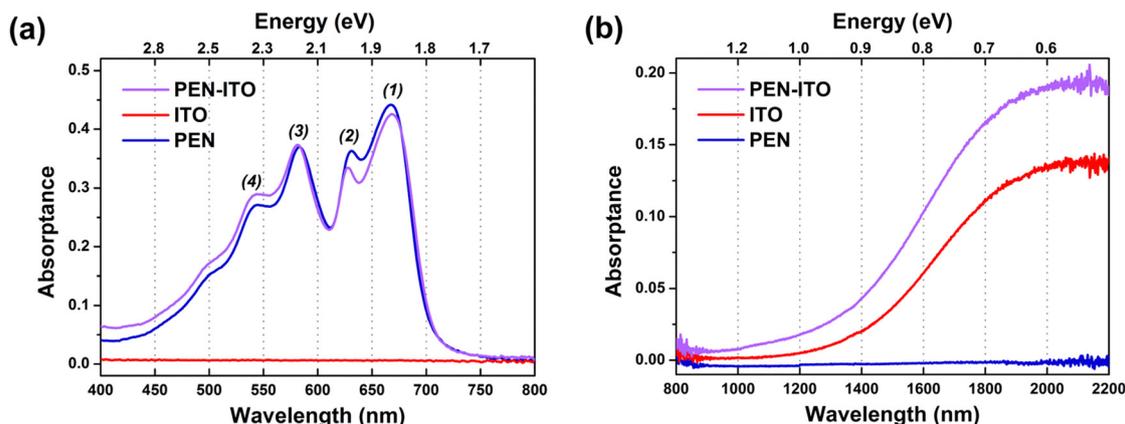


Fig. 4 (a) VIS and (b) NIR absorbance spectra of PEN film deposited onto quartz (blue curve), plasmonic ITO layer deposited onto quartz (red curve) and PEN film deposited onto ITO layer covered quartz (PEN-ITO; purple curve).

singlet transition ($S_0 \rightarrow S_1$) is observed through two signals attributed to Davydov splitting (DS),⁵⁷ with the lower level (1) at 667 nm (1.86 eV) and the upper level (2) at 632 nm (1.96 eV); peaks (3) and (4) at 584 nm (2.12 eV) and 545 nm (2.27 eV) correspond to pentacene–pentacene charge-transfer (CT) transitions ($S_0 \rightarrow CT$).¹⁰ Neat ITO layers also present their characteristic signatures with a strong LSPR peak in NIR (Fig. 4b) centered here around 2.15 μm (0.57 eV) together with VIS transparency, corresponding to literature (notably by D. J. Milliron *et al.*).^{31,58} The ITO–pentacene bilayers combine the optical responses of pentacene in VIS and of ITO in NIR. Band gaps of ITO and pentacene, calculated from Tauc plots (Fig. S5, ESI†), are similar in neat and bilayer configurations: 1.75 ± 0.05 eV for pentacene, 4.05 ± 0.05 eV for ITO.

Differences are observed when comparing the optical curves of the bilayers with the individual films. Most strikingly, in the NIR, the LSPR peak maximum wavelength blueshifts from 2.15 μm (0.57 eV) for ITO to 2.11 μm (0.59 eV) for ITO–pentacene, while the LSPR absorption intensity increases by ~41% (based on integrated area). As further described in full details in Section 4 “Discussion” below, these variations can be directly correlated to an increase of free carrier concentration in ITO, being a consequence of a charge injection process that presumably occurs following photoexcitation of pentacene and exciton separation at the interface. Optical changes are also observed in VIS, where the electronic transitions of pentacene are obviously impacted by the presence of plasmonic ITO: the relative intensity of peak (2) to peak (1) slightly decreasing (0.81 to 0.78), together with a redshift [blueshift] of peak (1) [(2)] from 667 [632] to 668 [628] nm, resulting in a slight increase of DS to 0.12 eV *vs.* 0.11 eV with single pentacene layers. The shift of peak (2) toward higher photon energies (shorter wavelength) and the variation of the relative intensities of the two Davydov components, *i.e.* peaks (1) and (2), have been attributed to a change in the polarizability of the local environment of pentacene,^{7,10,45,59} which may be due in our case to the presence of the plasmonic ITO layer, but also to enhanced intermixing and electronic coupling occurring between adjacent perpendicular (in majority) and flat-lying (in minority) pentacene molecules.

These changes of intensity and DS are thus indicative of stronger intermolecular coupling and π – π interactions within the pentacene layer, pointing out a larger degree of charge transfer character in the lower-lying excited state of pentacene when deposited onto plasmonic ITO as compared to the single pentacene layer, as well as a globally slower charging rate.^{5,10} However, it remains unclear at this stage whether these variations could result from the “destruction” of the pentacene molecules provoked by the underlying ITO layer, and/or from any enhanced acceptor effect being caused by the optoelectronic characteristics of ITO, *i.e.* its plasmonic nature.

We then turn to ultrafast transient absorption spectroscopy (TAS) to investigate the specific nature and extent of electronic interactions in response to photoexcitation. In the 100 fs to 5 ns range, TAS signatures in VIS are similar for the ITO–pentacene bilayers compared to neat pentacene (Fig. 5a): two ground-state bleaching bands are noticed at 585 and 680 nm, in addition to three positive signals – two sharp bands at 620 and 650 nm related to heating effects,⁶⁰ and a broad one above 720 nm (up to ~1100 nm) related to triplet transitions – which are consistent with the literature.^{10,61} The triplet signal is monitored in NIR (Fig. 5b), showing a redshift (see the arrow in Fig. 5b-iii) from 915 nm (1.36 eV) in neat pentacene to 950 nm (1.30 eV) in ITO–pentacene bilayers. This spectral evolution, together with an increased intensity of a corresponding high-energy feature at 450–500 nm also noticed here (see arrow in Fig. 5a-iii), can be assigned to the formation of pentacene’s radical cation,⁶¹ which might be suggestive of electron injection into the plasmonic ITO. That said, this hypothesis is nuanced from a global fitting analysis of the 840–1400 nm transient spectra of neat pentacene and ITO–pentacene, being performed to extract the time constant of the peak formation (Fig. S6, ESI†). Corresponding results indicate the presence of a signal rise at 945 nm (time constant 770 ± 360 fs) for pentacene and redshifted to 965 nm (time constant 869 ± 410 fs) for ITO–pentacene.

To determine the possibility for charge injection into ITO, transient absorption measurements in the microsecond timescale are further conducted. The kinetic traces (ΔOD *vs.* time, Fig. 6) from ITO LSPR absorption at 1600 nm (0.77 eV) supports the assumption



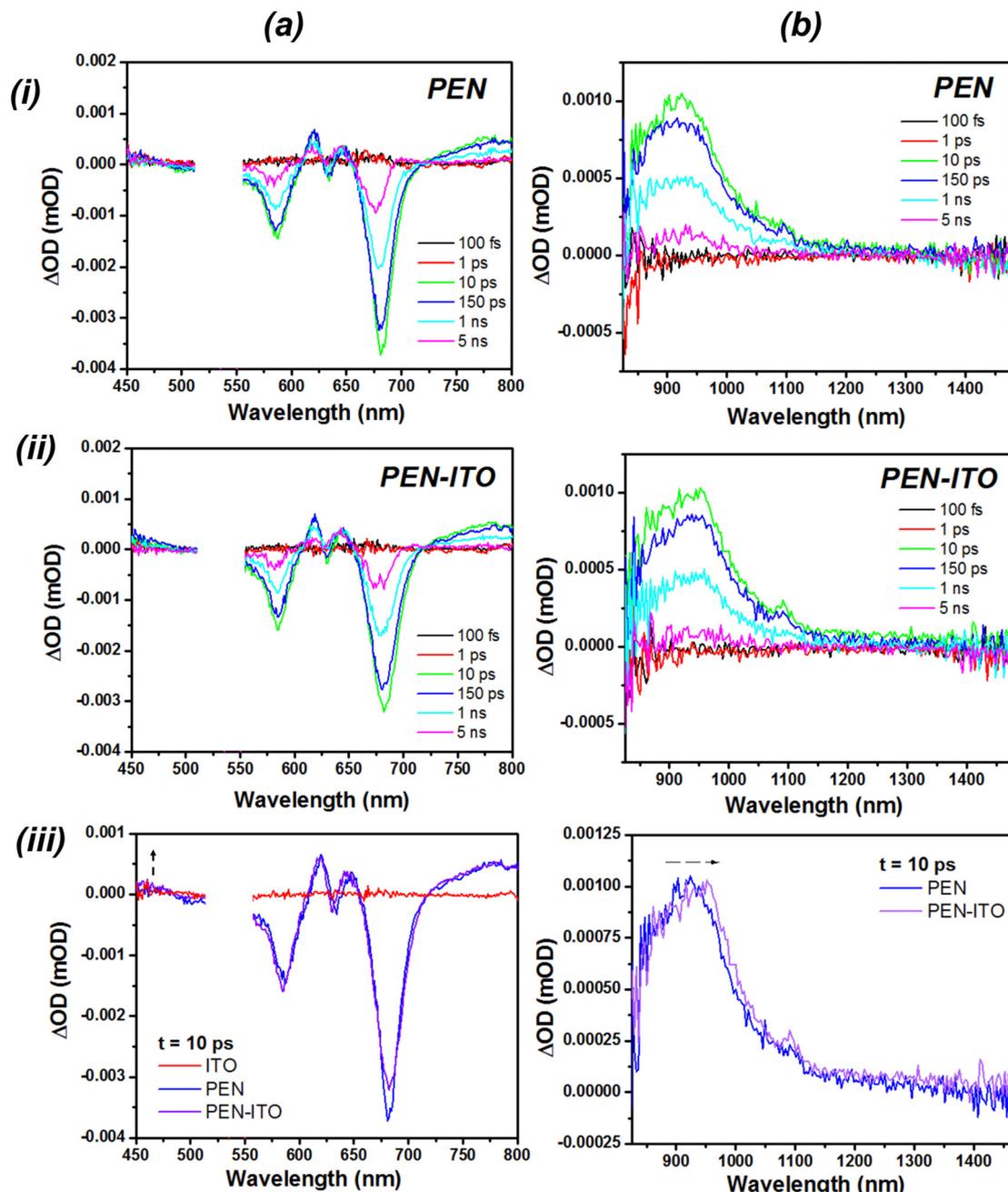


Fig. 5 (a) VIS and (b) NIR transient absorption spectroscopy data measured (excitation at 545 nm) on quartz samples covered with a PEN film (blue curve), ITO layer (red curve), and PEN film deposited onto the ITO layer (PEN-ITO, purple curve); (i) PEN and (ii) PEN-ITO spectra recorded between 100 fs and 5 ns probe times, with 525–556 nm range left blank due to artefact from excitation pulse scattering signal; (iii) ITO, PEN and PEN-ITO spectra recorded at a 10 ps probe time. Arrows highlight the evolution of PEN-ITO signal vs. PEN one.

of electron transfer from pentacene: this interpretation is based on the detection of the positive plasmonic signal after pentacene excitation only in ITO-pentacene bilayers but not in neat ITO nor neat pentacene films, all probed under the same conditions. Interestingly, this feature decays over an overall ~ 50 μs timescale, which is much slower than the triplet-influenced hole charging process evoked earlier. This remains to be further investigated, especially since the analysis of the pentacene kinetic traces in VIS through samples probing at 665 nm (1.86 eV), *i.e.* pentacene

absorption (see Fig. S7, ESI[†]), does not highlight any significant difference between neat pentacene and ITO-pentacene bilayers.

4. Discussion

An energy diagram level of the ITO-pentacene structures is presented in Fig. 7. It represents the photoexcited state generation in pentacene and further charge injection into plasmonic



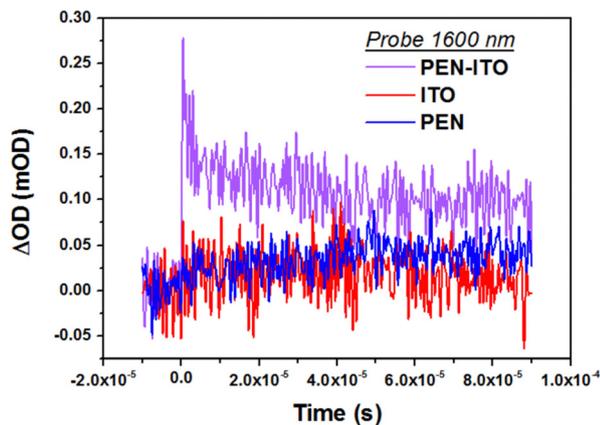


Fig. 6 Transient absorption dynamics of PEN film (blue curve), plasmonic ITO layer (red curve) and PEN film deposited onto the ITO layer (PEN-ITO, purple curve), all deposited on quartz substrates and measured at a probe wavelength of 1600 nm.

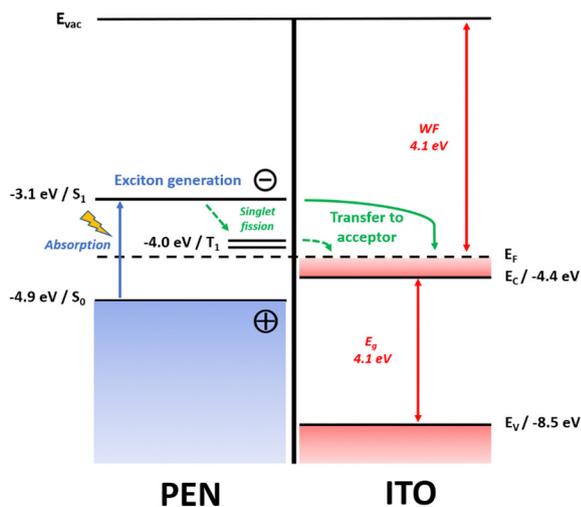


Fig. 7 Hypothetical energy level diagram of ITO–pentacene structures. E_{vac} stands for vacuum level; S_0 , S_1 and T_1 stand for the ground-state singlet, excited singlet and triplet levels in pentacene, respectively; WF, E_F , E_C , E_V and E_g stand for work function, Fermi level, conduction band minimum, valence band maximum and band gap value in ITO, respectively, with corresponding energy values established from the literature.^{10,63–66} S_1 – S_0 and E_g band gap values are retrieved experimentally from UV-VIS-NIR spectrometry (see Fig. 4 and Fig. S5, ESI†).

ITO, being at the basis of the electronic and optical changes mechanisms taking place in the bilayers and leading to an increase of NIR absorption; notably, a ground-state charge transfer does not seem plausible here, as it would necessitate a higher energy pentacene S_0 level than the E_F of ITO.⁶²

Considering first the charge generation/transfer processes in pentacene, they are reported to primarily occur within up to 2–10 ns after photoexcitation, based upon the state-of-the-art on “model” donor–acceptor systems such as pentacene–fullerene structures.¹⁰ First, the absorption of VIS photons in pentacene leads to the photogeneration of singlet excitons ($S_0 \rightarrow S_1$). These can then undergo either direct dissociation,

which typically takes place within 1–100 ps timescales (even longer if the whole charging process is considered up to proper transfer towards and collection by the acceptor material), or singlet fission – which would theoretically occur within ultrafast kinetics of 80 fs and with up to 200% yield – so as to generate triplet excitons (see dashed arrows in Fig. 7) that would then be capable of dissociating and similarly inducing charge transfer towards the acceptor material.^{21,67–69}

However, the data presently collected on the PEN–ITO samples do not allow at this stage to rule on the exact decay mechanism of singlet exciton in pentacene being interfaced with plasmonic ITO. This is due to the limit in the timescale resolution of the spectroscopic tools used (typically of 100 fs) as well as the intrinsically ultrafast kinetics of the singlet fission process (typically on a few tens-to-hundreds fs timescale for pentacene-based materials^{5,59,67}). These aspects remain therefore to be further probed.

Considering the optical behavior of ITO as plasmonic electrochromic material, it is important to note here that it implies no (eye-)visible color change but a tuning of NIR absorption, evolving towards more NIR-darkening when ITO carrier concentration is increased from electronic doping. Here, the tuning of ITO NIR absorption is aimed to be driven by the incorporation of the pentacene layer, allowing for an *in situ* electronic doping, *i.e.* without external electrical powering like in state-of-the-art electrochromic setups. At lab-scale, these classically consist of a 3-electrodes cell made of a conducting glass working electrode covered with the plasmonic ITO film, completed with reference and counter electrodes all immersed in a liquid electrolyte; tuning of ITO NIR absorption between dark and clear states is then driven by electrochemical bias between charging and discharging potentials, respectively.^{31,34–36,39,58}

In the present study, the association of pentacene to plasmonic ITO is observed to already impact the optical properties of the “as deposited” state: as seen on Fig. 4b, PEN–ITO samples (purple curve) display a small blueshift of ITO LSPR peak in NIR together with increased absorption intensity, in comparison with neat plasmonic ITO (red curve). From these data being further correlated by TAS measurements (Fig. 5 and Fig. 6), the optical change occurring in PEN–ITO structures is obviously the result of an electron transfer process from pentacene into plasmonic ITO. The resulting electronic tuning of ITO absorbance can then be first described and quantified according to a Drude model,³¹ assuming free carrier concentration n_c and LSPR resonance frequency ω_{LSPR} , as being given in all generality by eqn (1) and (2):

$$\omega_{LSPR} = \sqrt{\frac{\omega_p^2}{\epsilon_\infty + 2\epsilon_m} - \gamma^2} \quad (1)$$

$$\omega_p = \sqrt{\frac{n_c e^2}{\epsilon_0 m_c^*}} \quad (2)$$

With ω_p being the bulk plasmon frequency of free carriers in the material (*i.e.* electrons); m_c^* the electron effective mass, *i.e.* 0.35 electron mass for ITO;^{69,70} ϵ_∞ , ϵ_m and ϵ_0 the dielectric



constants of ITO in VIS range, of surrounding environment, and of free space (respectively); and γ the damping of the bulk plasmon. We have evaluated the value of ω_p at 2.0 eV from a fit of the optical absorption of ITO nanoparticles in solution (see ESI,† Fig. S8) with an extended Drude model.⁷¹

The LSPR frequency given by eqn (1) is valid for an isolated nanoparticle in a homogeneous medium described by ϵ_m . However, in the present situation, the interactions between ITO nanoparticles within the film, and the presence of both quartz substrate underneath ($\epsilon_m = 4.0$) and pentacene layer above ($\epsilon_m = 3.6\text{--}4.0$), are all influencing the resonance wavelength. The first effect is modeled here by considering an effective dielectric function for the ITO film (according to Maxwell–Garnett theory), and the second effect is taken into account by considering $\epsilon_m > 1$.^{72,73} The absorption in the NIR of the ITO film on the quartz substrate is qualitatively reproduced for $\epsilon_m = 2.0$ (Fig. S9a, blue curve, ESI†). To describe the effect of the pentacene layer, the sole modification of ϵ_m without charge injection, *i.e.* with a free carrier density n_c that does not increase (constant ω_p), would lead to a redshift of the LSPR (Fig. S9a, orange curve *vs.* blue curve, ESI†), which is opposite to what is seen here. Instead, our observation of a small blueshift of the LSPR and an increase of absorption intensity suggests that the presence of the pentacene film not only provokes the increase of ϵ_m but also results in an increase of n_c (increase of ω_p) in ITO as a consequence of a charge injection process (Fig. S9b, orange and green curves *vs.* blue curve, ESI†). A good description of the modification of the absorption after the deposition of the pentacene layer is therefore obtained for $\omega_p = 2.2$ eV and $\epsilon_m = 2.4$ (Fig. S9b, green curve, ESI†).

Based on this modeling approach, one can now evaluate the density of electrons n_c (per nm^{-3}) provoking the optical modulation of the LSPR peak between neat ITO and ITO–pentacene bilayers, as observed in Fig. 4b. From eqn (2), it can be established that:

$$n_c = 0.2174\omega_p^2 \quad (3)$$

Then, for a sphere of radius R (in nm), one obtains:

$$N_e = \frac{4}{3}\pi R^3 n_c \quad (4)$$

With N_e being the number of free carriers (electrons) contained in a spherical ITO nanoparticle.

Furthermore, a Δ_ω (%) increase of ω_p can be correlated to a Δ_{n_c} (%) increase of n_c as:

$$1 + \Delta_{n_c} = (1 + \Delta_\omega)^2 \quad (5)$$

ω_p of ITO is estimated at 2.0 eV, leading to $n = 0.869 \text{ nm}^{-3}$ and to $N_e = 98$ electrons, respectively, considering $R = 3$ nm. When pentacene is associated with ITO, ω_p evolves to 2.2 eV (Fig. S9a, ESI†), leading to a Δ_ω increase of $\sim 10\%$ and a Δ_{n_c} increase of $\sim 21\%$ (see eqn (5)). A Δ_{N_e} of ~ 20 electrons ($98 \times 21\%$) per ITO nanoparticle is therefore obtained, accounting for the optical tuning of ITO LSPR absorption resulting from the presence of the pentacene layer. Literature generally

establishes a wide range of 80 to 270 electrons per nanoparticle to quantify the extent of electron transfer occurring from external electrochemical (charging) bias in “real” plasmonic electrochromic systems.^{74–77} Here, basing upon our previous works using similar configuration of plasmonic electrochromic ITO layers,^{35,36} we estimate a Δ_{N_e} of ~ 31 electrons per ITO nanoparticle, obtained from a measured increase of $\sim 15\%$ for Δ_ω and increase of $\sim 32\%$ for Δ_{n_c} (see eqn (5)). In terms of electrons transfer, the *in situ* charging of ITO *via* pentacene resulting from the present association of the two compounds seems thus to account for $20/31 = \sim 65\%$ of the value corresponding to *ex situ* electrochemical charging, which stands as a very promising pioneering result.

5. Conclusions and perspectives

This study addresses the practical design of hybrid ITO–pentacene bilayers in the context of developing novel plasmonic electrochromic systems. Multi-modal analysis through surface microscopy, X-ray diffraction and Raman spectroscopy reveals that plasmonic ITO modifies the molecular packing of the subsequently-deposited pentacene layers, decreasing the proportion of perpendicularly oriented molecules *vs.* flat-lying ones; this in turns impacts the capacities of charge production and transfer, as steady-state and time resolved optical spectroscopies highlight the photogeneration of electrons in pentacene, further inducing a modification of ITO LSPR and resulting in enhanced NIR absorption.

At this stage, it remains to be established whether specific intermolecular and/or molecule–substrate interactions do take place within the ITO–pentacene bilayers, and if so, what are their exact nature and strength. Relevant literature on pentacene-based structures has highlighted the presence of driving forces such as hydrogen bonding, π – π interactions, dipolar interactions of attractive or repulsive nature, or surface polarity (as in the noticeable case of zinc oxide (ZnO) sublayers, shown to be highly impacted by the specific atomic termination, *i.e.* either Zn-(positive) or O-(negative)).^{48,78–80} Overall, further advanced surface characterization (which may imply (GI)SAXS/WAXS⁸¹ or angle-resolved polarized absorption measurements⁸²) as well as in-depth theoretical simulations would help in further identifying, quantifying and rationalizing the dynamics of the interactions specifically occurring in the ITO–pentacene systems presented in this study. This will allow to further evaluate their impact in terms of optical and electronic properties, especially for clarifying the exact effect of various pentacene’s molecular orientation and organization on the nature and efficiency of charge generation and transfer to ITO. Further optoelectronic characterization, both experimentally and numerically, is also needed in order to fully describe and understand how the charge transfer to plasmonic ITO takes place at the molecular level in pentacene, especially to determine whether it is driven by singlet fission and/or other photochemical processes. Such prospects are clearly anticipated as upcoming steps of complementary fundamental investigations.



Overall, continued optimization of deposition/interfacing approaches is also desirable towards more integrated, interconnected ITO–PEN structures, notably exploring thinner pentacene films (down to 10 nm, which is the typical minimal threshold for continuous pentacene films produced by OMBD). Alternative methodologies could also be implemented, for instance by infiltrating dissolved pentacene molecules into the porous network of ITO NCs through “dye-loading”, or by obtaining monolayers of pentacene molecules from other processing techniques than OMBD, including wet coating approaches. Exploration of alternative layer compositions may ultimately be undertaken, including other formulations of donor/acceptor materials.

From a broader perspective, the present study attests that the considered composition and design of materials bear high potential for the outcome of a novel generation of self-powered electrochromic systems. Ground-breakingly, these would allow autonomous charge supply and high spectral selectivity throughout the whole VIS–NIR solar range, while being designed following simplified structural architectures as heterojunction-like and therefore electrolyte-free configurations. In order to promote a capacity of dynamic switching between different optical states from *in situ* charging–discharging processes, one will notably have to consider how to carefully control the exact quantity of electrons being generated in pentacene, and then transferred to plasmonic ITO (in order to NIR-darken the systems), and how to regulate these processes “on demand” in order to make them progressive and reversible (NIR-bleach them back) as well as long-term cyclable. Therefore, as a prospective technological step, the present PEN–ITO bilayers should be further engineered into fully-functional electrochromic devices, first as lab-scale demonstrators then upscaled into “real” smart windows.

In practice, fabricating such devices will rely first on ITO and PEN layers being properly and progressively processed onto transparent conducting glass substrates, potentially following patterning approaches, before being covered with a metallic layer as top contact material.^{83–85} Similarly to benchmark works available in the literature,^{44,84} current–voltage measurements in the dark and under solar illumination as well as *in situ* spectro-electrochemical recordings should then be conducted in order to establish the performances of the obtained device architectures in terms of light photoconversion and of resulting VIS–NIR absorption. The extent of the generated photocurrent and the resulting optical contrast, switching kinetics and coloration efficiency, among other figures of merits of (photo)-electrochromic systems,^{39,43,44} will have to be carefully determined and refined. These will certainly be influenced by multiple experimental parameters including the respective thicknesses of the ITO and PEN layers, the nature (Au, Ag, Pt...) and thickness of the top metallic layer, the device area – from mm² to cm² range, the intensity of solar illumination, *etc.*

Open questions for future fundamental researches will thus be to quantitatively determine whether this process actually produces significant NIR plasmonic absorption at solar intensities, and if it does, how to properly modulate it towards controllable, efficient NIR photoelectrochromism. From a more

applied, technological point of view, such configurations of smart windows would not only be “self-powerable” but also of additional interest in terms of aesthetics of architectural buildings, as these materials would not be colorless but tinted in their native state (here in blue as a result of using pentacene – see Fig. S2, ESI[†]); in the meantime, they remain semi-transparent and not visually opaque thanks to the low film thickness. These novel intelligent glazing systems would then commute between a warm state, allowing for heating up the interior of buildings (NIR-transparent) while opacifying the sunlight (VIS-darkened), and a fully-dark state being opaque to both external light-VIS and heat-NIR. The specific use of these warm and dark modes of dynamic fenestration would therefore be of favorable use in cold climates and/or during winter days.⁸⁶ Ultimately, such innovative technology of self-powered NIR-modulable electrochromic materials will certainly pave the way towards a reinforced lowering of energy consumption and waste in buildings from heating, air conditioning and artificial lighting, and further reducing global electricity demand.

Author contributions

Conceptualization: A. M. and S. H. Funding acquisition: A. M., R. C., and S. H. Investigation: A. M., D. K. K., J. W., E. B., and S. D. D. Methodology: A. M., D. K. K., S. D. D., and S. H. Project administration: A. M., R. C., and S. H. Validation: A. M., D. K. K., L. H., Y. O., S. D. D., R. C., and S. H. Visualization: A. M., D. K. K., J. W., E. B., and S. D. D. Writing – original draft preparation: A. M. Writing – review and editing: D. K. K., J. W., E. B., L. H., Y. O., S. D. D., R. C., and S. H. All authors have given approval to the final version of the manuscript.

Data availability

All data that have been generated or analyzed during this study are included in this article and its ESI.[†] Additional information is available upon request from the corresponding author.

Conflicts of interest

The authors declare no conflict of interest.

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