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# Molecular engineering of a theranostic molecule that detects Aß plagues, inhibits Iowa and Dutch mutation Aß self-aggregation and promotes lysosomal biogenesis for Alzheimer's disease†

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Extracellular clustering of amyloid- $\beta$  (A $\beta$ ) and an impaired autophagy lysosomal pathway (ALP) are the hallmark features in the early stages of incurable Alzheimer's disease (AD). There is a pressing need to find or develop new small molecules for diagnostics and therapeutics for the early stages of AD. Herein, we report a small molecule, namely F-SLCOOH, which can bind and detect  $A\beta_{1-42}$ , lowa mutation  $A\beta$ , Dutch mutation AB fibrils and oligomers exhibiting enhanced emission with high affinity. Importantly, F-SLCOOH can readily pass through the blood-brain barrier and shows highly selective binding toward the extracellular  $A\beta$  aggregates in real-time in live animal imaging of a 5XFAD mice model. In addition, a high concentration of F-SLCOOH in both brain and plasma of wildtype mice after intraperitoneal administration was found. The ex vivo confocal imaging of hippocampal brain slices indicated excellent colocalization of F-SLCOOH with AB positive NU1, 4G8, 6E10 A11 antibodies and THS staining dye, affirming its excellent AB specificity and targetability. The molecular docking studies have provided insight into the unique and specific binding of F-SLCOOH with various AB species. Importantly, F-SLCOOH exhibits remarkable anti-fibrillation properties against toxic Aβ aggregate formation of Aβ<sub>1-42</sub>, lowa mutation Aβ, and Dutch mutation Aβ. F-SLCOOH treatment also exerts high neuroprotective functions and promotes autophagy lysosomal biogenesis in neuronal AD cell models. In summary, the present results suggest that F-SLCOOH is a highly promising theranostic agent for diagnosis and therapeutics of AD.

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### 1. Introduction

Among the neurodegenerative diseases, Alzheimer's disease (AD) affects a large number of elderly people with elevated symptoms of memory loss and severely disrupts their social life. AD is a progressive, complex, and multifactorial form of dementia characterized by extracellular accumulation of amyloid-β (Aβ) and intracellular accumulation of phospho-tau (p-tau) resulting in impaired cognitive symptoms.<sup>2,3</sup> Currently, available therapeutic agents afford only temporary relief and most of the treatment drugs being developed are highly unsuccessful in clinical trials for AD. 4,5 To date, there are no treatment strategies which can completely cure AD, detect early-stage AD symptoms or delay the AD progression.<sup>6,7</sup> Therefore, there is a urgent need to find new novel diagnostic agents with therapeutic properties that can be used for the detection of early stages of AD progression and can render therapeutic functions at the same time with Aβ and p-tau targeting ability.8-10

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Aβ is one of the hallmarks of AD progression, which selfaggregates to form oligomers and fibrils that are highly toxic to neuronal connections and synapses leading to memory loss and extracellular accumulation of AB plaques. 11,12 Dutch (E22Q) mutation and Iowa (D23N) mutation familial forms in AD affect the monomer AB folding and induce highly toxic aggregation of Aβ. 13 The accumulated insoluble Aβ oligomers or fibrils tend to form AB plaques causing disruption of neuronal connections in the hippocampus and activation of astrocytes and microglial cells leading to neuroinflammation.14 During early stages of AD, soluble and insoluble Aβ accumulate in the extra neuronal spaces of the brain causing neurotoxicity and impaired autophagy.<sup>15</sup> Autophagy is one of the highly conserved degradative pathways for the clearance of toxic proteins like soluble and insoluble AB in extracellular and intracellular neuronal spaces. 16 Lysosomes also play a major role in the degradation of these toxic proteins in the formation of endolysosomes and autolysosomes in the clearance mechanism.<sup>17</sup> The fusion of autophagosomes and lysosomes is a major event in autophagy flux when lysosomal biogenesis is induced in the neurons and microglia during the degradative pathways. 18 During early stages of AD progression, the autophagy and lysosomal biogenesis are highly impaired and dysregulated in the neurons.<sup>7</sup> Therefore, early diagnosis and therapeutics are essential to slow down the progression of AD and there is a great demand for the development of theranostic small molecules/ agents, which possess dual functional properties in detecting and curing or slowing down the AD progression. 10,19

Small molecular probes such as commercially available thioflavin T (ThT) have been used for Aß self-aggregate detection but are not highly precise in detecting AB oligomers or fibrils and are not blood-brain barrier permeable and highly toxic.20 Among the existing methods of using fluorescent probes for the detection of AB self-aggregates, soluble and insoluble Aß both in vitro and in vivo, certain analytical methods or fluorimetry can be used for quantitative AB detection and imaging. 21-26 Therefore, developing theranostic fluorescent probes with efficient blood brain barrier permeability, AB selectivity, accurate quantitative detection, low toxicity, therapeutic efficacy and high affinity towards both soluble and insoluble AB species would be a promising strategy and valuable approach for both diagnosis and drug development of AD.<sup>27-30</sup> Previously, we have developed NIR fluorescent probes for both soluble and insoluble Aβ species detection and in vivo imaging in AD models. 9,10,31,32 We have also developed a gadolinium(III) complex-cyanine molecular probe for magnetic resonance imaging (MRI) with a promising theranostic capability of diagnosis and therapy for AD.33 In the meantime, we have recently demonstrated in vivo multiple therapeutic properties of the cyanine-derived theranostic agent which is low in toxicity with good blood brain barrier permeability in AD mouse models activating TFEB translocation and reducing cerebral Aβ species in a 5XFAD mouse model. <sup>11</sup> Therefore, cyanine dye being a versatile class of real-time turn-on fluorescent probes for Aβ imaging in vivo<sup>34</sup> has led us to further explore and investigate its novel derivatives with potentially effective diagnostic and theranostic properties.

We report herein our new development and findings of the fluoro-substituted cyanine dye, namely F-SLCOOH, which can detect AB fibrils and oligomers with a high binding affinity and a strong turn-on fluorescence upon binding with various AB species including Aβ E22Q Dutch mutation and Aβ D23N Iowa mutation. This led us to further investigate the multifunctional properties of F-SLCOOH on blood-brain barrier (BBB) permeability, AB selectivity, quantitative detection, toxicity, and therapeutic potential. Furthermore, we evaluated selective binding of F-SLCOOH with accumulated extracellular Aβ in real-time in live animal imaging of a 5XFAD mice model and its wild-type littermates. We also investigated whether F-SLCOOH can exhibit anti-fibrillation properties of AB and F-SLCOOH treatment can give neuroprotective effects and promote autophagy lysosomal biogenesis in neuronal AD cell models. Our present study has demonstrated that F-SLCOOH shows promising potential as an effective theranostic small molecule for diagnosis and treatment of AD symptoms.

### Materials and methods

### 2.1. Reagents and antibodies

Dulbecco's modified Eagle's medium (DMEM) (Cat# 11965084, Gibco), Opti-MEM medium (Cat# 31985070, Gibco), fetal bovine serum (FBS) (Cat# 10500064, Gibco), protease inhibitors (Cat# HY-K0011, MedChemExpress), phosphatase inhibitors (Cat# A32957, Pierce), BCA assay reagent (Cat# 23225, Pierce), and Torin1 (Cat# 2273) were purchased from BioVision. Chloroquine (CO) (Cat# C6628) was purchased from Sigma-Aldrich. Alexa Fluor 488 Goat Anti-Mouse IgG (Cat# A11001) was purchased from Life Technologies. Cryomatrix (Cat# 6769006, Thermo Scientific), medical X-ray film (Cat# CK04, FUMING-WEI), paraformaldehyde (PFA) (Cat# P6148), PVDF membrane (Cat# 10600023), fluorescence mounting media (Cat# S3023), thioflavine S (Cat# T1892, Sigma), and LysoTracker® Green DND-99 (Cat# L-7528), and details of the primary antibodies used in this study including 4G8 antibody (Cat# 800705, Biolegend, United Kingdom), 6E10 antibody (Cat# 803003, Biolegend, United Kingdom), anti-amyloid beta oligomer (NU1), and anti-oligomer-A11 (AHB0052) were purchased from Abcam and Cell Signalling Technologies.

### 2.2. $A\beta_{1-42}$ stock solution preparation

1 mg Aβ powder was dissolved in the mixed solution of 500 μL hexafluoroisopropanol and 500 µL trifluoroacetic acid. Then it was ultrasonicated for 4 hours and vortexed for 4 hours in turn and left overnight at room temperature. The stock solution should be stored at -20 or -80 °C in a deep freezer.<sup>33</sup>

### 2.3. The Aß fibril, oligomer and monomer

Around 135.45 µL of storage solution was vacuum dried and added to 20  $\mu$ L 0.02% NH<sub>3</sub>-H<sub>2</sub>O and 80  $\mu$ L 10 mM HCl. The 300  $\mu$ M A $\beta$  fibril was prepared by incubating the A $\beta_{1-42}$  monomer at 37  $^{\circ}C$  for 72 h; while the 300  $\mu M$  A $\beta$  oligomer was prepared by incubating the  $A\beta_{1-42}$  monomer at 4 °C for 72 h. Aβ monomers were prepared by dissolving the powder directly to the experimental concentration using phosphate buffer. All three peptides of  $A\beta_{1-42}$ , Iowa mutation  $A\beta$  and Dutch mutation  $A\beta$  used the same method to prepare the fibrils, oligomers and monomers.33

#### 2.4. Fluorescence spectra measurement

Fluorescence spectra were measured using a FM-4 fluorescence spectrometer (Horiba FluoroMax-4 photoluminescence spectrometer) after the same concentration of dve was mixed with different concentrations of protein for 10 minutes. The saturation binding curves were fitted with Origin software.<sup>33</sup>

### 2.5. Cell culture

Neuroblastoma cells (N2a), hippocampal neuronal cells (HT-22), and HeLa-tf-LC3 (mRFP-EGFP-LC3) stable cells were used in our research studies. The cells were cultured in standard medium DMEM, supplemented with 5-10% fetal bovine serum (FBS), and 1% penicillin-streptomycin (PSN) solution.<sup>35</sup>

#### 2.6. Cell viability assay (MTT test)

N2a cells was cultured in the 48-well plate and after 24 hours, the cells were treated with F-SLCOOH at different concentrations for 24 to 48 hours incubation, MTT (5 mg mL<sup>-1</sup> in DMSO) solution was mixed in each well with cells at 37  $^{\circ}\text{C}$  for 4 hours and the reaction was stopped by adding DMSO. Then the plate was mildly vortexed for a few seconds to mix the reaction mixture thoroughly and then incubated at 37 °C overnight and finally read in a microtiter plate reader at an absorbance of 570 nm.<sup>16</sup>

### 2.7. Anti-fibrillation thioflavin T assay

We prepared a stock solution of  $A\beta_{1-42}$ , Iowa mutation  $A\beta$  and Dutch mutation Aβ for the anti-fibrillation assay. All these Aβ peptides were aliquoted in different tubes at various concentrations with or without F-SLCOOH in the phosphate buffer and A $\beta$  peptides of A $\beta_{1-42}$ , Iowa mutation A $\beta$  and Dutch mutation Aβ. The tubes with the peptides and F-SLCOOH at 37 °C for 48 to 72 hours with mild shaking. After the incubation period, the AB peptides with or without F-SLCOOH tubes were mixed with thioflavin T for 10 minutes in the dark. Iowa mutation Aβ, Dutch mutation A $\beta$  and A $\beta_{1-42}$  fibril aggregation was measured with an excitation at 440 nm and emission at 480 nm using a fluorescence microplate reader (Pekin Elmer, U.S). 11

#### Confocal imaging of Aß fibrils 2.8.

Iowa mutation A $\beta$ , Dutch mutation A $\beta$  and A $\beta_{1-42}$  prepared fibrils with or without F-SLCOOH were mixed with thioflavin T for 10 minutes in the dark. The complete fibril solution with or without F-SLCOOH was examined using a confocal laser scanning microscope (Leica TCS SP8). The images of the green fluorescence of the fibrils were compared between control AB with or without F-SLCOOH for its anti-fibrillation effect.<sup>33</sup>

#### 2.9. Autophagy flux experiment

The HeLa-tf-LC3 (mRFP-EGFP-LC3) stable cells in the coverslip were cultured in a 24-well plate with standard medium DMEM supplemented with 5-10% fetal bovine serum (FBS) and 1% penicillin-streptomycin (PSN) solution. After 24 hours of cell incubation, the cells were treated with F-SLCOOH, Torin1 and CQ for 24 hours. After 24 hours of treatment, the cells were fixed with 4% PFA and the cells were embedded into the slides using mounting medium. mRFP-EGFP-LC3 was examined using a confocal laser scanning microscope (Leica TCS SP8) and evaluated for autophagy flux.11

#### 2.10. TEM imaging

N2a and HT-22 were cultured in a 12-well plate with standard medium DMEM supplemented with 5-10% fetal bovine serum (FBS) and 1% penicillin-streptomycin (PSN) solution. The cells were treated with F-SLCOOH and Torin1. After 24 hours, the cells were fixed with 2.5% glutaraldehyde in 0.1 M sodium cacodylate buffer for 1 hour at room temperature. The dehydrated cells were embedded in Poly/Bed® 812 for ultra-thin slicing to examine the autolysosomes in treated cells and viewed under a Philips CM100 transmission electron microscope at the QMH Electron Microscope Unit, Queen Mary Hospital, University of Hong Kong, Hong Kong. 11

#### 2.11. Lysotracker imaging and flow cytometry

N2a and HT-22 in the coverslip were cultured in 12- or 24-well plates with standard medium DMEM supplemented with 5-10% fetal bovine serum (FBS) and 1% penicillin-streptomycin (PSN) solution. After 24 hours of cell incubation, the cells were treated with F-SLCOOH and Torin1. After 24 hours treatment, the cells were incubated with LysoTracker® Green DND-99 for 1 to 2 hours. The cells were then fixed with 4% PFA and embedded in the slides using mounting medium. The lysotracker was examined using a confocal laser scanning microscope (Leica TCS SP8) and the lysotracker positive cells were evaluated using flow cytometry (BD Accuri C6 Plus Flow Cytometer). 11

#### 2.12. Immunohistochemistry

After the specific time point, the animals were trans-cardiac perfused with 1XPBS and 4% paraformaldehyde (PFA) to remove the blood content in the organs and brain. The brain was extracted from the skull of the head and incubated in 4% PFA at 4 °C for 24-48 hours. Then the brains were washed in 1XPBS and incubated in 30% sucrose until sinking into the solution at 4 °C for the cryo-sectioning. The hippocampal brain slices were sectioned in the cryotome and subjected to floating immunohistochemistry staining. The brain slices were incubated with the AB fibrils and oligomer positive primary antibodies such as 6E10, NU1, 4G8, A11 and thioflavin-S (Thio-S) for staining.<sup>17</sup> The fluorescence images were examined using a confocal laser scanning microscope (Leica TCS SP8).

#### 2.13. Molecular docking and binding pattern of F-SLCOOH

The PDB structure of monomer Aβ (1IYT), fibril Aβ (5OOV), and oligomer Aβ (6RHY) was retrieved from the protein data bank. The Dutch and Iowa mutation were induced using Swiss PDB Viewer. We employed a molecular docking approach to investigate the interaction between target proteins Iowa mutation A $\beta$ , Dutch mutation A $\beta$  and A $\beta_{1-42}$  with F-SLCOOH. The 3D structure of all the proteins was retrieved from PDB (PDB ID: 5OQV). We used AutoDockTools (version 1.5.6) to add hydrogen atoms and compute Kollman charges. We reviewed the literature evidence and the Metapocket server (https://metapocket. eml.org/) to locate the binding. Additionally, we converted target protein and compound pdb files to pdbqt files. We then performed further molecular docking analysis using AutoDock Vina. To ensure the consistency of the docking results, we repeated the docking process to determine the optimal binding pose. Finally, we created a schematic representation of the intermolecular interactions and binding mode using BIOVIA Discovery Studio v.2021 and Chimera v.1.16.

#### 2.14. Animal experiments

Wild type C57BL/6 and 5XFAD mice were used in the research experiments of this study. All animal experiments were performed in accordance with the relevant guidelines and regulations of HASC. All animal experiments were approved by the Hong Kong Baptist University Committee on the Use of Human and Animal Subjects in Teaching and Research (HASC approval #HASC/20–21). The researchers who performed all the experiments got approval from the Department of Health for performing the animal experiments in Hong Kong under the licence (20–28) in DH/HT&A/8/2/6 Pt.1.

### 2.15. Real time in vivo imaging of F-SLCOOH

Wild type C57 and 5XFAD mice were used for the real time *in vivo* imaging of F-SLCOOH in live animal brains. The 5XFAD or WT mice were injected with the F-SLCOOH (100 to 300  $\mu$ l) by intravenous administration *via* the tail vein. The animal was anesthetised using chloral hydrate (5–8%) and subjected to real time live animal imaging in the brain. At different time points, the animals were pictured for the fluorescent signals in real time live animal imaging ( $\lambda_{\rm ex}$  = 465 nm,  $\lambda_{\rm em}$  = 575–650 nm) using a small animal imaging system (IVIS XR). The relative fluorescence signals of F-SLCOOH [F(t)/F(pre)] in the brain of 5XFAD and WT mice were recorded.<sup>33</sup>

#### 2.16. F-SLCOOH concentration in brain and plasma

Wild type C57BL/6 mice were intraperitoneally injected with F-SLCOOH (10 mg kg<sup>-1</sup>) for the detection of bioavailable F-SLCOOH in the brain and plasma at different timepoints (0, 30, and 60 min). The concentration of the F-SLCOOH was determined using Triple Quad LC/MS 6460 (Agilent Technologies) in the brain and plasma of C57BL/6 mice. The HPLC profiling of F-SLCOOH was detected using UHD Accurate-Mass Q-TOF LC/MS (Agilent Technologies).

#### 2.17. Statistical analysis

All data in this study were statistically analyzed using GraphPad Prism software. The results are reported as mean  $\pm$  SEM with the number of samples as mentioned in the Methods section or figure legends. The treatment groups were statistically compared using one-way analysis of variance (ANOVA) with subsequent Dunnett's or Tukey or Bonferonni multiple comparison among groups and control groups with *post hoc* analysis. Each experiment datum is represented as 3 independent replicates.

### 3. Results

### 3.1. Structure and optical properties of F-SLCOOH

To increase the lipophilicity of a cyanine dye and enhance its BBB penetrability, the strategy of fluoro-substitution was adopted to develop the theranostic molecule. The synthetic route of the theranostic molecule F-SLCOOH is outlined in Scheme S1 (ESI†). The chemical structure of F-SLCOOH (Fig. 1A) was confirmed via <sup>1</sup>H (Fig. S1, ESI†), <sup>13</sup>C (Fig. S2, ESI†) nuclear magnetic resonance (NMR) spectroscopy and high-resolution mass spectroscopy (HRMS) (Fig. S3, ESI†). F-SLCOOH shows a strong absorption peak at 480 nm in pH 7.4 phosphate buffer solution and a weak emission band at 645 nm upon excitation (Fig. 1B). F-SLCOOH exhibits strong fluorescence enhancement towards various Aβ<sub>1-42</sub> species including fibrils, oligomers and monomers with an enhancement factor over 100 times. The fluorescence enhancements are much stronger for AB than those of tau aggregates and  $\alpha$ -synuclein (Fig. 1C). To investigate the binding affinity of F-SLCOOH with Aβ species, we performed the fluorescence titration of them (Fig. S4-S6, ESI†) in phosphate buffer (pH = 7.4). Based on the Scatchard plot analysis, the dissociation constants  $(K_d)$  of F-SLCOOH with  $A\beta_{1-42}$  fibril, oligomers and monomer are in the range of 44–61 μM (Fig. 1D). In addition, F-SLCOOH showed much stronger binding affinity toward Iowa mutation  $A\beta$  and Dutch mutation A\beta fibrils as revealed in the fluorescence titration (Fig. 1E and F) and the respective Scatchard plot analysis (Fig. S7–S11, ESI†) with  $K_d$  of 41 and 33  $\mu$ M for Iowa mutation A $\beta$ and Dutch mutation AB fibrils, respectively, which are tabulated in Fig. 1G. We also investigated the fluorescence response of F-SLCOOH in the presence of various bioactive small molecules and metal ions (Fig. S13, ESI†) including valine, aspartic, arginine, phenylalanine, Mg<sup>2+</sup>, Ca<sup>2+</sup>, Hg<sup>2+</sup>, Cu<sup>2+</sup>, Ag<sup>+</sup>, K<sup>+</sup>, and Zn<sup>2+</sup>, with which the fluorescence intensity was insignificantly affected, suggesting its good selectivity toward AB. Furthermore, F-SLCOOH was found to be photostable under ambient light illumination over a period of time (Fig. S14, ESI†) and its fluorescence was stable over a wide range of pH values (Fig. S15, ESI†). In short, the above results signify that F-SLCOOH is a potentially useful fluorescent probe for real-time detection and visualization of Aβ in an animal model for diagnosis of AD.

# 3.2. Real time detection and imaging of F-SLCOOH in a preclinical Alzheimer's disease mouse model

To be practically useful for real time detection and imaging of  $A\beta$  plaques in preclinical Alzheimer's disease mouse models,

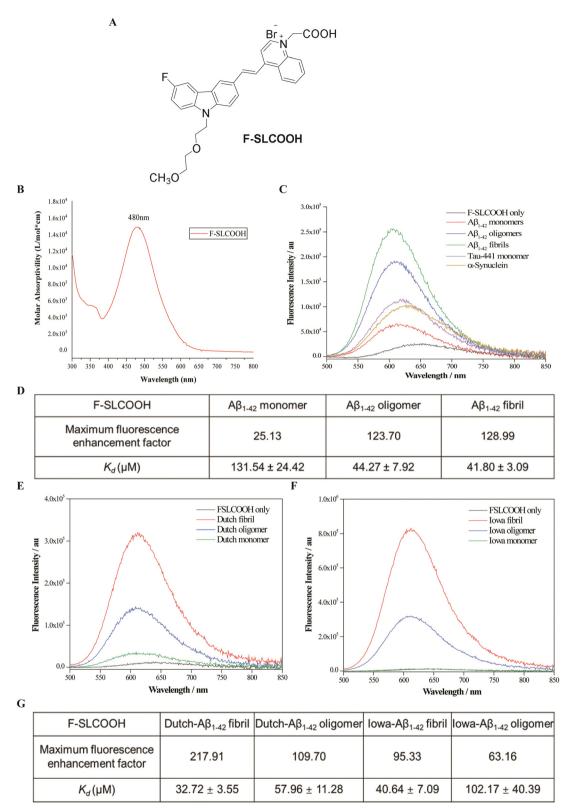


Fig. 1 Structure and optical properties of F-SLCOOH. (A) Chemical structure of F-SLCOOH. (B) Absorption spectrum of F-SLCOOH (20 μM), measured in pH 7.4 phosphate buffer solution. (C) Fluorescence spectra of F-SLCOOH (10  $\mu$ M) in the presence of 10  $\mu$ M A $\beta_{1-42}$  species (monomers, oligomers and fibrils), Tau-441 aggregation and  $\alpha$ -synuclein in 25 mM phosphate buffer (pH = 7.4). (D) The table summarizes the fluorescence enhancement factor and dissociation constant ( $K_d$ ) of F-SLCOOH (2  $\mu$ M) with A $\beta_{1-42}$  fibril, oligomers and monomer in 25 mM phosphate buffer (pH = 7.4). (E) Fluorescence spectra of F-SLCOOH (10 μM) in the presence of 10 μM E22Q DUTCH Aβ mutation (monomers, oligomers and fibrils) in 25 mM phosphate buffer (pH = 7.4). (F) Fluorescence spectra of F-SLCOOH (10 μM) in the presence of 10 μM Asn23 IOWA Aβ mutation (monomers, oligomers and fibrils) in 25 mM phosphate buffer (pH = 7.4). (G) The table summarizes the fluorescence enhancement factor and dissociation constant ( $K_d$ ) of F-SLCOOH (2  $\mu$ M) with E22Q DUTCH and Asn23 IOWA A $\beta$  mutation fibril and oligomers in 25 mM phosphate buffer (pH = 7.4).

the A\beta-targeted fluorescent probe needs to be penetrate the BBB. To test this ability of F-SLCOOH in animal models, we assessed the real time detection and imaging of F-SLCOOH in a preclinical Alzheimer's disease mouse model (5XFAD) and compared the results with a wildtype (WT) mouse. To investigate the BBB permeability of F-SLCOOH in animal brain, 5XFAD and WT mice were injected with the F-SLCOOH (100 μL of 10 mg kg<sup>-1</sup>) in PBS (0.5 M, pH = 7.4) and 10% DMSO via the tail-vein. The live animals were imaged in the IVIS spectrum in vivo imaging system, the fluorescence spectra of the F-SLCOOH were captured and their respective fluorescence signals were monitored in real time. The F-SLCOOH treated 5XFAD and WT mice showed bright fluorescence signals at all time points, clearly demonstrating that F-SLCOOH is highly BBB permeable (Fig. 2A and B) and can reach the accumulated target AB fibrils and oligomers of senile plaques in 5XFAD ( $\lambda_{ex}$  = 465 nm,  $\lambda_{em}$  = 575–650 nm). We also found that the fluorescence signals of F-SLCOOH was much enhanced in a 5XFAD preclinical Alzheimer's disease mouse model as compared to those of the WT mouse model at all time points. The results signify that F-SLCOOH has high affinity toward the deposition of Aβ fibrils and oligomers leading to enhanced fluorescence emission in all time points and higher retention of F-SLCOOH in the brains of the 5XFAD mouse model as compared to those of the WT mouse

model. These results are given in the graphical plot of the relative fluorescence signals of F-SLCOOH [F(t)/F(pre)] in the brain of 5XFAD and WT mice over time after the injection for each time point (Fig. 2C). To confirm the BBB permeability of the cyanine dye, we investigated the level of F-SLCOOH in the brain and plasma of WT mice (C57/BL6) at different time points such as 0, 30 and 60 minutes after being intraperitoneally injected with F-SLCOOH (10 mg kg $^{-1}$ ) using the LC-MS method (Fig. S18 and S19, ESI $^{\dagger}$ ). As expected, a high concentration of F-SLCOOH was found in the brain and plasma at both time points and the F-SLCOOH concentration was higher at 60 rather than 30 minutes illustrating that F-SLCOOH is highly bioavailable in the brain for its theranostic function. Taken together, the above results reveal that F-SLCOOH can readily cross the BBB and has high selective binding toward AB fibrils and oligomers affording enhanced and bright fluorescence signals at all time points in the real time detection and diagnosis in a preclinical Alzheimer's disease mouse model.

### 3.3. Confocal imaging of F-SLCOOH in hippocampal brain slices of the preclinical 5XFAD mouse model

To evaluate the detection and labelling ability of F-SLCOOH toward Aß fibrils and oligomers in the hippocampal brain slices of a preclinical 5XFAD and WT mouse model, we injected

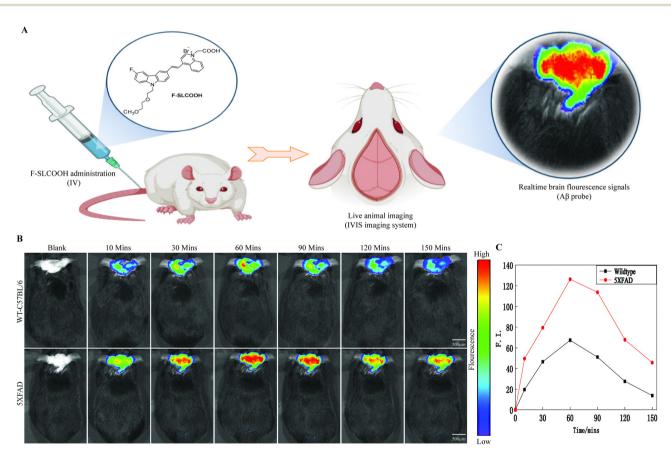


Fig. 2 Realtime imaging of F-SLCOOH in a preclinical Alzheimer's disease mouse model. (A) Schematic representation of the F-SLCOOH imaging properties in animal models. (B) In vivo images of 5XFAD and WT mice injected with the F-SLCOOH (100 μL of 10 mg kg<sup>-1</sup>) in PBS (0.5 M, pH = 7.4) and 10% DMSO via the tail-vein and their respective fluorescence signals were monitored in real-time ( $\lambda_{ex}$  = 465 nm,  $\lambda_{em}$  = 575–650 nm). (C) The plots of the relative fluorescence signals of F-SLCOOH [F(t)/F(pre)] in the brain of 5XFAD and WT mice over time after the injection

F-SLCOOH (100  $\mu$ L of 10 mg kg<sup>-1</sup>) in PBS (0.5 M, pH = 7.4) and 10% DMSO was intravenously administered in WT and 5XFAD mice via the tail-vein. The hippocampal brain slices were sectioned in the cryotome and subjected to floating immunohistochemistry staining. The brain slices were incubated with the Aβ fibril- and oligomer-positive primary antibodies such as 6E10, NU1, 4G8, A11 and thioflavin-S (Thio-S) staining dye. The Aβ fibrils specific Thio-S staining was colocalised well with F-SLCOOH in the central and peripheral areas of the hippocampus and other regions of the 5XFAD brain as compared to those of the WT mice brain (Fig. 3A and B). From the Thio-S staining colocalization experiment, it is clearly shown that F-SLCOOH exhibits high selectivity and specificity toward Aβ fibrils. Besides, Aβ oligomers specific primary antibodies NU1 and A11 showed very good colocalization with F-SLCOOH in the central and peripheral regions of the hippocampus in the 5XFAD brain as compared to those of the WT mice brain (Fig. 3A and B). These results clearly illustrate that the fluorescence of F-SLCOOH colocalizes with AB oligomer specific primary antibodies in immunohistochemistry experiments. We also carried out immunohistochemistry staining with Aβ specific primary antibodies namely 6E10 and 4G8, which can detect Aß monomers, oligomers, and fibrils. The fluorescence of F-SLCOOH showed very good colocalization with Aβ specific primary antibodies, 6E10 and 4G8 in the hippocampus of the 5XFAD brain in contrast to those of the WT mice brain

(Fig. 3A and B). The fluorescence intensity plots of F-SLCOOH colocalized with several AB targeting antibodies in the hippocampus of the 5XFAD brain as compared to those of the WT mice brain are given in Fig. 3C.

Taking all these results together, they consistently demonstrate that F-SLCOOH can efficiently bind with A\beta fibrils, oligomers and monomers in the central and peripheral AB plagues of the hippocampus in 5XFAD brain regions.

### 3.4. Molecular docking and binding patterns of F-SLCOOH with Aß ligand binding domains

To validate the efficient binding of F-SLCOOH with Aβ fibrils, oligomers and monomers protein binding domain, we carried out molecular docking to determine the binding affinity between the AB targets and F-SLCOOH. We performed docking analysis using the AutoDock software program. The docking analysis was repeated several times to minimize the inconsistency and bias in the results. F-SLCOOH was observed to have significantly higher binding affinities with fibrils and oligomers than with monomers, suggesting potential interactions with these targets in the docking results (Fig. 4A-C). Furthermore, it is also noteworthy that fibrils and oligomers were able to establish hydrogen bond interactions with the compound as well (Fig. 4D). Specifically, F-SLCOOH experienced a strong hydrogen bonding interaction with fibril residues, demonstrating efficient and reliable binding. The critical interacting

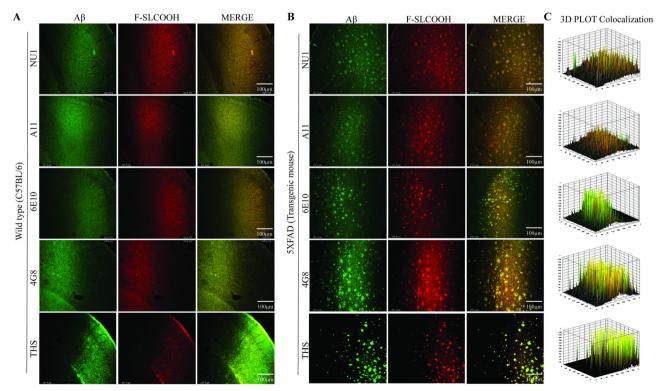


Fig. 3 Confocal microscopic images of F-SLCOOH in hippocampal brain slices of a preclinical Alzheimer's disease mouse model. F-SLCOOH was intravenously administered in (A) WT and (B) 5XFAD mice via the tail-vein. Hippocampal brain sections of (A) WT and (B) 5XFAD mice were labelled with F-SLCOOH in vivo examined via confocal microscopic and ex vivo imaging followed by incubation with a primary antibody (6E10, NU1, 4G8 and A11) with a secondary antibody conjugated with Alexa 488 and thioflavin-S (Thio-S) staining, respectively. (C) The 3D plot graphs displaying the colocalization of F-SLCOOH with the corresponding  $A\beta$  antibodies and staining agent in 5XFAD mice hippocampal brain slices.

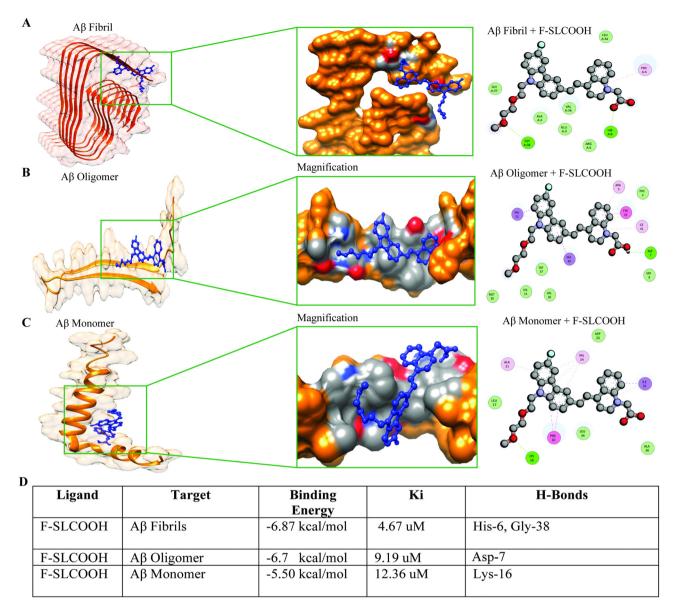


Fig. 4 Molecular docking and binding pattern of F-SLCOOH with A $\beta$  ligand binding domains. The comparison of docking results with A $\beta_{1-42}$  fibril, oligomer and monomer LBD and binding pattern of F-SLCOOH. Binding pattern of F-SLCOOH with the ligand binding domain of  $A\beta_{1-42}$  (A) fibril, (B) oligomer and (C) monomer. Residues of  $A\beta_{1-42}$  fibril, oligomer and monomer interacting with F-SLCOOH are given in the marked pictures with the ligand binding domain of A $\beta$ . (D) The table reveals the binding energy, Ki, H-bonds of the ligand target residues of A $\beta_{1-42}$  fibril, oligomer and monomer interacting with F-SLCOOH.

residues and binding mode of F-SLCOOH to the targets are shown in the figures (Fig. 4A-C). In familial AD, E22Q Dutch and D23N Iowa mutations cause most toxic AB fibrils and highly pathogenic forms of AD.<sup>13</sup> Therefore, we confirmed whether, F-SLCOOH has significantly higher binding affinities with Iowa mutation  $A\beta$  and Dutch mutation  $A\beta$  fibrils and oligomers than with monomer, suggesting potential interactions with these targets in the docking results (Fig. S17A-F, ESI†). On the other hand, molecular docking of F-SLCOOH with p-Tau and α-Syn did not show strong ligand binding and the docking binding affinity with -6.0 kcal mol<sup>-1</sup> for p-Tau + F-SLCOOH and -5.5 kcal mol<sup>-1</sup> for  $\alpha$ -Syn + F-SLCOOH (Fig. S21, ESI†) as compared to those of Aβ species with F-SLCOOH. Taken together, these data consistently demonstrated that F-SLCOOH can efficiently bind with AB fibrils and oligomers more consistently than the monomers binding domain, as illustrated in molecular docking and binding patterns of F-SLCOOH.

### 3.5. Therapeutic potential and anti-fibrillation properties of F-SLCOOH with AB

To investigate the therapeutic potential of F-SLCOOH in a neuroblastoma (N2a) cell model, we first tested the cytotoxicity of F-SLCOOH in the N2a cells using MTT assay and LDH assay. We found that the lethal concentration 50 ( $LC_{50}$ ) of F-SLCOOH in the N2a cells was > 200  $\mu M$  and the LDH assay did not show much toxicity upon treatment with different concentrations of revealed that even a high concentration of F-SLCOOH was F-SLCOOH. Both the MTT assay and LDH assay (Fig. 5A and B) highly tolerable in the cultured cells and biocompatible with

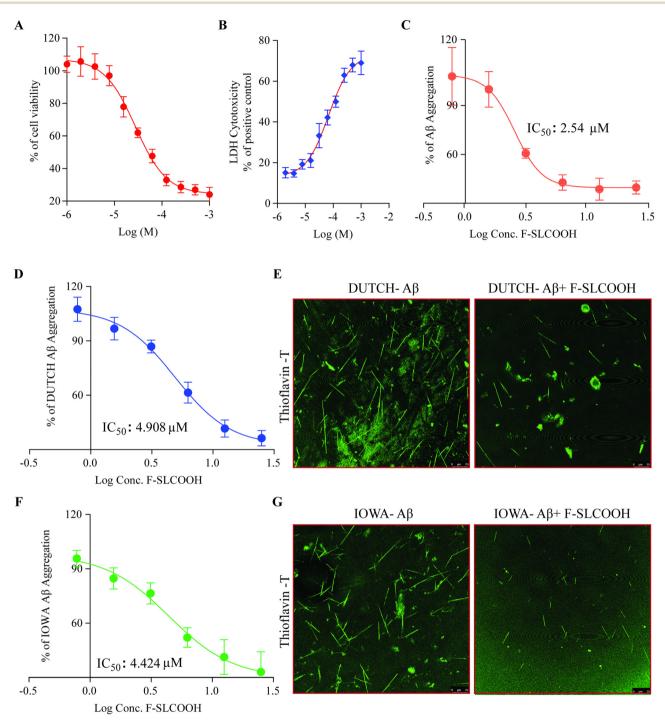


Fig. 5 Cytotoxicity and anti-fibrillation properties of F-SLCOOH with Aβ. (A) The cytotoxicity of F-SLCOOH was determined using a cellular viability MTT assay in N2A cells. (B) Cytotoxicity or cell death after the treatment of F-SLCOOH was determined using an LDH assay with N2A cells. (C) F-SLCOOH dose dependently inhibited the fibril formation of A $\beta$  in the anti-A $\beta$  fibrillation assay using ThT fluorescence. The IC50 value of the anti-fibrillation activity of F-SLCOOH is 2.54  $\mu m$ . (D) F-SLCOOH dose dependently inhibited the fibril formation of E22Q DUTCH A $\beta$  mutation in the anti-A $\beta$  fibrillation assay using ThT fluorescence. The IC50 value of the anti-fibrillation activity of F-SLCOOH is 4.908 µm. (E) Confocal imaging of fibril formation of E22Q DUTCH  $A\beta$  mutation and F-SLCOOH inhibition using ThT fluorescence. (F) F-SLCOOH dose dependently inhibited the fibril formation of Asn23 IOWA  $A\beta$ mutation in the anti-A $\beta$  fibrillation assay using ThT fluorescence. The IC<sub>50</sub> value of the anti-fibrillation activity of F-SLCOOH is 4.424  $\mu$ m. (G) Confocal imaging of the fibril formation of Asn23 IOWA Aβ mutation and F-SLCOOH inhibition using ThT fluorescence. Each data point represents the average of three replicates and the data are represented as the mean  $\pm$  SEM.

insignificant toxicity, which would be beneficial for other biological applications. To explore further the biological benefits of F-SLCOOH, we investigated the Aβ anti-fibrillation properties of F-SLCOOH to see whether it can act as an inhibitor against the AB aggregates formation. Then, we performed a ThT-labelled fluorescence assay using an A $\beta$  (A $\beta_{1-42}$ ) seeding method at different concentrations and studied it at different time points. F-SLCOOH treatment revealed an efficient AB antifibrillation property, effectively suppressing the Aβ aggregates and Aβ fibril formation. The IC<sub>50</sub> value of anti-fibrillation activity of F-SLCOOH (Fig. 5C) was found to be 2.54 µm, which clearly illustrates that even at a low concentration, F-SLCOOH can deliver promising potential for therapeutics of AD. Additionally, the anti-fibrillation activity of F-SLCOOH were further assured by the dot blot study (Fig. S23, ESI†) consistently demonstrating its therapeutic value and efficacy. As anticipated, F-SLCOOH also clearly demonstrated an efficient  $A\beta$  anti-fibrillation effect with an  $IC_{50}$  value of 4.424 and 4.908  $\mu m$  for Iowa mutation A $\beta$  and Dutch mutation A $\beta$ , respectively (Fig. 5D and F). These results were further confirmed in the confocal imaging of their fibril formation in the absence and presence of F-SLCOOH (Fig. 5E and G). Furthermore,  $A\beta_{1-42}$  fibrils, Iowa mutation  $A\beta$  fibrils and Dutch mutation A\beta fibrils treatment in SH-SY5Y cells caused morphological changes and reduced cell growth; however, treatment of F-SLCOOH rescued the morphological changes and increased SH-SY5Y cell growth (Fig. S25, ESI†). Apart from the low toxicity and anti-fibrillation properties of F-SLCOOH, we investigated whether it could exhibit neuroprotection properties in N2a cells. We carried out treatment of the F-SLCOOH against  $A\beta_{1-42}$  monomers, oligomers, and fibril-induced cytotoxicity in neuronal cells. After the treatment with F-SLCOOH in the presence of Aβ in neuronal cells (Fig. S10A, ESI†), F-SLCOOH demonstrated excellent neuroprotection and rescued the neuronal cells from the cytotoxicity induced by the  $A\beta_{1-42}$  monomers, oligomers, and fibrils. In addition, when AB accumulated in the intracellular or extracellular space in the form of aggregates or fibrils, it can induce excessive formation of reactive oxygen species (ROS), which is highly toxic in inducing oxidative stress, apoptosis and neuronal death in the brain. Therefore, we evaluated whether F-SLCOOH has the ability to eradicate the Aβ-induced ROS in the neuronal cells. We found that F-SLCOOH treatment can significantly reduce the ROS levels in the neuronal cells pre-treated with the  $A\beta_{1-42}$  monomer, oligomers and fibrils (Fig. S10B, ESI†) in the in vitro experiments. Meanwhile, F-SLCOOH can protect the neuronal cells to withstand the ROS induced toxicity and readily reduce their toxicity levels and ROS generation (Fig. S16A and B, ESI†). Moreover, in the study of in vivo acute toxicity of F-SLCOOH in WT (C57BL/6), the mice did not show any significant changes in animal behaviour, body weight, and organ weight and did not exhibit any histopathological changes in the brain regions and the other organs after 28 days treatment (Fig. S22, ESI†). Taken together with all its advantages, we demonstrate that F-SLCOOH not only significantly reduces Aβ induced cytotoxicity in neuronal cells but also exerts neuroprotection against

the ROS generation and Aβ-induced cell death. In addition, F-SLCOOH can exert highly efficient Aβ anti-fibrillation properties even at very low concentration and effectively suppress the formation of Aβ aggregates and Aβ fibrils.

### 3.6. F-SLCOOH treatment promotes an autophagy flux and lysosomal biogenesis in neuronal cells

In the early stages of AD, the intracellular and extracellular accumulation of toxic proteins such as AB aggregates and phosphorylated tau can cause disruption in the normal signalling pathways of degradation in the neuronal cells. 36-38 The canonical process of protein degradation is mainly via macroautophagy, lysosomal biogenesis and lysosome or cargo transport in the neurons of the brain.<sup>39,40</sup> When this autophagic lysosomal pathway is highly disturbed in the early stages of the AD progression, the aggregated proteins tend to accumulate and disrupt the cell-to-cell connection causing neuronal death. 41,42 Therefore, to evaluate the efficacy of F-SLCOOH whether it can promote the lysosomal biogenesis and autophagy flux, we performed certain in vitro experiments in the cell models. As the first step to detecting the autophagy flux, we treated F-SLCOOH (20 µM) in HeLa cells stably overexpressing tandem fluorescent mRFP-GFP-LC3 (tfLC3), and Torin1 (250 nM) and CQ (50-100 µM), which were used as positive controls for autophagy activation and inhibition, respectively. As expected, F-SLCOOH exhibited (Fig. 6A) a good increase in autophagy flux as compared to Torin1 positive control demonstrating more RFP generation in the cells contributing to the fusion of generated autophagosomes and lysosomes for the formation of autolysosomes. We also found that F-SLCOOH treatment in the N2a neuronal cells could increase the lysosome number in the lysotracker experiment detected by using flow cytometry (Fig. 6B) as compared to that of the control, elucidating lysosomal biogenesis. These results were further confirmed by the lysotracker staining experiment, using immunocytochemistry (Fig. 6D) consistently implicating lysosomal biogenesis. Furthermore, transmission electron microscopy (TEM) images have captured and detected the autolysosomes formation in the neuronal cells. As anticipated, F-SLCOOH treatment in the N2a neuronal cells significantly increased the autolysosomes formation when compared with that of the controls and the increase of autolysosomes was comparable to that of the positive control Torin1. Collectively, the results from these experiments indicate that F-SLCOOH treatment promotes autophagy flux and lysosomal biogenesis in neuronal cells signifying that F-SLCOOH can potentially be used as a theranostic agent for neurodegenerative diseases.

## 4. Discussion

Fluoro-substituted cyanine has been shown to have desirable multifunctional properties for the detection, diagnosis, and therapeutics of AD, 11,32,33 especially, BBB permeability and specific AB targeting. These probes can be used for the earlystage detection and diagnostics of AD by specifically targeting

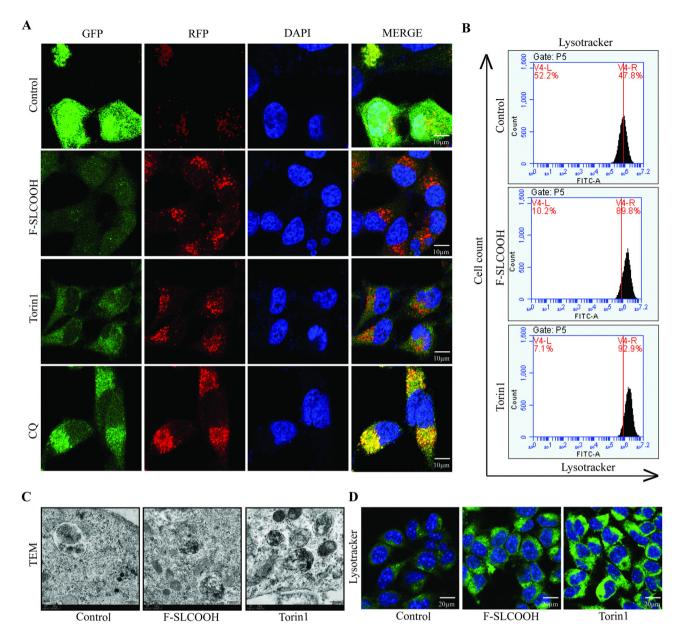


Fig. 6 F-SLCOOH treatment promotes lysosomal biogenesis in neuronal cells. (A) F-SLCOOH (20 μM) treatment in HeLa cells stably over-expressing tandem fluorescent mRFP-GFP-LC3 (tf-LC3), and Torin1 (250 nM) and CQ (50-100  $\mu$ M), were used as positive controls for autophagy activation and inhibition, respectively revealing autophagy flux. (B) F-SLCOOH (20 µM) and Torin1 (250 nM) treatment increased lysotracker positive N2A cells as determined by flow cytometry compared to the control elucidating lysosomal biogenesis. (C) F-SLCOOH (20 µM) and Torin1 (250 nM) treatment increased autolysosome numbers compared to the control group in N2A cells as depicted by transmission electron microscopy. (D) F-SLCOOH (20 µM) and Torin1 (250 nM) treatment significantly increased lysotracker staining in N2A cells compared to the control elucidating lysosomal biogenesis as depicted by confocal imaging. Each data point is a representation of the mean  $\pm$  SEM from 3 independent replicates.

the extracellular accumulation of  $A\beta$  in the form of  $A\beta$  plaques and Aß oligomers. 11,20,21 Here we illustrate our newly synthesised theranostic molecule F-SLCOOH, which can bind and detect  $A\beta_{1-42}$ , Iowa mutation  $A\beta$ , and Dutch mutation  $A\beta$  fibrils and oligomers exhibiting enhanced emission with high affinity. Importantly, F-SLCOOH can readily cross the blood-brain barrier and show highly selective binding toward the extracellular AB aggregates in real-time in live animal imaging and diagnosis of a preclinical Alzheimer's disease 5XFAD mouse model (Fig. 1-4 and Fig. S17, ESI†). The molecular docking studies have provided an insight into the unique and specific binding of F-SLCOOH with various Aβ species.

On the other hand, many studies have reported probes targeting A\beta fibrils and oligomers with good binding affinity, but none of the studies have illustrated whether they could be used in the in vivo animal models for further validation and whether those probes could cross blood-brain barrier is unknown.43-45 Our study has shown that F-SLCOOH can readily pass through the blood-brain barrier in mice models and can bind to AB fibrils and oligomers. In addition, high

concentrations of F-SLCOOH in both brain and plasma of wildtype mice after intraperitoneal administration were found (Fig. S18 and S19, ESI $\dagger$ ). The F-SLCOOH concentration was higher at 60 than 30 minutes illustrating that F-SLCOOH is highly bioavailable in the brain for its theranostic functions. The *ex vivo* confocal imaging of hippocampal brain slices indicated excellent colocalization of F-SLCOOH with A $\beta$  positive NU1, 4G8, 6E10 A11 antibodies and THS staining dye, affirming its excellent A $\beta$  plaque specificity and targetability (Fig. 3).

In addition, various research studies have illustrated molecular probes for Aβ specificity and binding affinity, but none of these compounds have shown therapeutic effects or theranostic properties for treatment of AD both in vitro and in vivo. 20,27,46-48 Here, we have demonstrated that F-SLCOOH exhibits desirable theranostic functions including exerting a highly efficient AB anti-fibrillation property even at very low concentration and effectively suppressing the formation of neurotoxic AB aggregates, A\beta fibrils of Iowa mutation A\beta and Dutch mutation A\beta (Fig. 5). F-SLCOOH treatment also promotes autophagy flux and lysosomal biogenesis in neuronal cells signifying its potential for use as an effective theranostic agent for neurodegenerative diseases (Fig. 6). Furthermore, F-SLCOOH not only significantly reduces AB induced cytotoxicity in neuronal cells but also affords neuroprotection against ROS generation and Aβ-induced cell death (Fig. S16, ESI†).

In summary, we have designed and synthesized a novel theranostic cyanine molecule, namely F-SLCOOH, which is shown to exhibit highly favourable multi-functions for the detection, diagnosis and treatment of AD. Even though we have illustrated various functions of this molecular probe, an evaluation of the chronic toxicity of F-SLCOOH in different animal models and the in-depth analysis of molecular targets in relation to the therapeutic efficacy of AD and other related diseases are still be needed for further characterization and development. To realize therapeutic applications, studies of pharmacodynamics and signalling pathway for the treatment of AD are also indispensable.

### 5. Conclusion

In conclusion, we have developed and demonstrated a novel theranostic agent namely, F-SLCOOH, which can be used for the applications of selective A $\beta$  binding studies toward A $\beta$  fibrils and A $\beta$  oligomers with high fluorescence enhancement for diagnosis of AD. F-SLCOOH can readily cross the BBB and has high selective binding with A $\beta$  plaques exerting bright fluorescence signals in the real time detection and diagnosis of a preclinical Alzheimer's disease mouse model. In addition, F-SLCOOH efficiently binds with A $\beta$  plaques in the central and peripheral regions of the hippocampus in the 5XFAD brain. Meanwhile, F-SLCOOH can effectively exert A $\beta$  anti-fibrillation properties suppressing the A $\beta$  aggregates and A $\beta$  fibril formation. Importantly, F-SLCOOH can not only significantly reduce A $\beta$  induced cytotoxicity in neuronal cells but also exert neuroprotection against the ROS generation and A $\beta$ -induced

cell death. Remarkably, F-SLCOOH treatment promotes autophagy flux and lysosomal biogenesis in neuronal cells signifying that F-SLCOOH can potentially be used as a theranostic agent for neurodegenerative diseases. In short, the current findings convincingly suggest that F-SLCOOH would be a safe theranostic small molecule for diagnosis and therapeutics of AD symptoms. For complete biological applications of this theranostic small molecule, some other pharmacokinetics and pharmacodynamics studies are still needed to be carried out to demonstrate the clinical viability of F-SLCOOH for AD therapeutics.

### **Author contributions**

AI, XW, HZ, and KV conducted most of the assays and acquired and analyzed the data. AI, HWL, MSW, and ML conceived the project, funding, supervision and designed the study. AI, XW, HZ, KV, KL, XG, DW, SK, CS, JL, YK, RJ, ZD, HWL, MSW, and ML arranged the results and revised the manuscript. All authors have approved the final version of the manuscript.

### Ethics approval

All animal experiments were approved by the Hong Kong Baptist University Committee on the Use of Human and Animal Subjects in Teaching and Research (HASC approval #HASC/20–21). The researchers who performed all the experiments got approval from the Department of Health for performing the animal experiments in Hong Kong under the licence (20–28) in DH/HT&A/8/2/6 Pt.1.

# Data availability

All data generated or analyzed during this study are included in this published article and its ESI.†

### Conflicts of interest

There are no conflicts to declare.

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