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The interplay of chemical bonding and thermoelectric properties in doped cubic GeTe†

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GeTe-based alloys hold great promise for thermoelectric applications. Our comprehensive study investigates the intricate interplay between chemical bonding and transport properties in cubic GeTe. We demonstrate a balance between minimizing thermal conductivity and maximizing power factor, guided by the mediating influence of chemical bonding. Our primary findings reveal that Pb-doped GeTe exhibits low lattice thermal conductivity due to weak p–p orbital interactions, whereas In-doping boosts lattice thermal conductivity by reinforcing the chemical bonds, as elucidated by crystal orbital hamilton population (COHP) analysis. Further investigation reveals weak s–p interactions in Bi-, Sb-, and Pb-doped GeTe, and strong s–p interactions in In-doped GeTe compared to the pure GeTe, as probed by projected density of state (PDOS). These dual effects explain the experimentally observed high power factor and enhanced zT in Bi-, Sb-, and Pb- doping in contrast to In-doping. In our study, we find that weak s–p interactions improves electronic performance by modifying DOS whereas weak p–p interactions reduce thermal transport by diminishing the strength of chemical bonding. These findings underscore the correlation between doping-induced modifications in chemical bonding and resulting thermoelectric properties. Utilizing a first-principles framework, we systematically explore the temperature and carrier concentration-dependent transport properties of pure GeTe under relaxation time approximation. Optimization strategies yield a maximum peak power factor times temperature of $2.2 \text{ W m}^{-1} \text{ K}^{-1}$ and a maximum zT value of ~ 0.83 at 800 K, showcasing the potential for tailored thermoelectric performance. Finally, this research presents a systematic approach to improve thermoelectric performance by modifying chemical bonds through doping.

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Introduction

Thermoelectric materials hold remarkable potential to harness heat, transforming it into clean electricity. They offer a sustainable solution for both efficient refrigeration and the recovery of wasted heat.^{1–4} For example, over 60% of the energy generated from household heating, automotive engines, and industrial processes is lost as heat. This lost heat can be exploited by readily available thermoelectric devices (TEDs).^{5,6} Furthermore, TEDs are employed for stabilizing the working temperature of semiconductor lasers, infrared detectors, and microchips.⁷ In the field of space exploration and unmanned systems,^{8,9} radioisotope thermoelectric generators serve as the primary power source,^{10,11} enabling missions that were once deemed impossible. Even more

intriguingly, flexible TEDs seamlessly integrated into clothing act as wearable air conditioning systems,^{11–16} providing comfort in extreme environmental conditions. The potential of materials for thermoelectric applications is evaluated by their thermoelectric figure of merit, zT , defined as, $zT = \frac{S^2\sigma}{\kappa}T$, where S is the Seebeck coefficient, σ is the electrical conductivity, κ is the thermal conductivity, and T is the absolute temperature. Thermal conductivity has two components: lattice thermal conductivity (κ_l) and electronic thermal conductivity ($\kappa_e = L\sigma T$), where L is the Lorentz number. The term $S^2\sigma$ is called the power factor and affects the output power of TEDs. To achieve a high zT , a material needs to have a high-power factor and a low thermal conductivity ($\kappa = \kappa_l + L\sigma T$). However, due to the complex interrelationship among S , σ , and κ , the improvement of zT has proven to be a challenging task. To ensure a high power factor, significant efforts including band structure engineering,^{17–20} carrier optimization,²¹ and resonant doping²² have been widely employed. On the other hand, to obtain low κ , specially κ_l , intrinsic anharmonicity,^{18,23} nano structuring,^{24,25} introducing lattice defects^{26–28} and nanoscale grain boundaries^{29,30} are the generally-adopted strategies.

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Bi_2Te_3 -related compounds find applications in room temperature thermoelectric devices, with commercialization for cooling purposes.^{31–33} Other materials such as SiGe ^{34,35} and half-Heusler^{36,37} compounds are good for high-temperature applications. Meanwhile, semiconducting group IV–VI chalcogenides, with a focus on PbTe , SnTe , and GeTe , have long been celebrated for their remarkable thermoelectric performance in the mid-temperature range (500–800 K).¹⁷ However, GeTe -based materials, while holding promise since the 1960s,¹⁷ are now experiencing a resurgence of interest, sparking noteworthy advancements in their thermoelectric performance. The tremendous interest in GeTe , particularly in its cubic form, arises from its unique properties. It has a special type of bonding mixture of dominant covalent and weak ionic character. This special bonding is called metavalent bonding. Due to the metavalency, GeTe possesses high bond polarizability and high electronic polarizability as evidenced by anomalously large Born effective charge, Z^* and optical dielectric constant, respectively.³⁸ GeTe also shows a high value of the Grüneisen parameter γ_{TO} , a measure of lattice anharmonicity, which leads to an intrinsically low lattice thermal conductivity despite having a simple and high-symmetry crystal structure.³⁹ Additionally, GeTe is harboring multiple sub-valence bands as well as low density of state and conductivity effective masses ($m_{\text{dos}}^* \sim 0.039m_0$ and $m_{\sigma}^* \sim 0.018m_0$)⁴⁰ that significantly impact electronic transport. Doping provides an exciting avenue for manipulating electronic bands in GeTe , resulting in a higher degree of band degeneracy and, consequently, remarkable enhancements in electronic performance.³⁹ In addition to superior thermoelectric performance, GeTe -alloys have shown better mechanical properties compared to other conventional Bi_2Te_3 and IV–VI thermoelectrics,¹⁷ showing a great potential as p-types legs for efficient thermoelectric devices. The combination of these unique properties in GeTe makes it an exceptionally promising candidate for high-performance thermoelectric applications at both mid-temperatures and near room temperatures with possible further advancements. In this work, we adopt a strategy of enhancing the thermoelectric properties of GeTe by tuning its metavalency using doping or chemical pressure. Fine-tuning of metavalency can optimize the power factor further and lower thermal conductivity at the same time by weakening the bonds and increasing anharmonicity.

As-grown GeTe is predominantly a highly p-doped degenerate narrow gap semiconductor due to a high level of Ge vacancies. The introduction of additional dopants in GeTe lowers the high hole concentration by effective suppression of intrinsic Ge vacancies and concurrently modifies the electronic structure.^{41–43} In the pursuit of enhancing performance, various elements have been explored as dopants to date. Fig. S1 in the ESI† illustrates a map of elements introduced as dopants in GeTe , showcasing a figure of merit (zT) exceeding 1.0 in the mid-temperature range (500–800 K). Aliovalent dopants like Sb and Bi which have higher valence states than Ge and occupy vacant Ge sites, serve as electron donors and effectively reduce the hole concentration.⁴⁴ Doping by Pb or Sc induces nanostructures within the GeTe matrix,⁴⁵ elevating the formation energy of Ge vacancies^{39,46} and consequently reducing carrier concentration.

Isoelectronic dopants like Al and Ba were found to be a poor choice for GeTe as they inflate hole concentration and drastically increase the gap between light and heavy hole bands in GeTe , resulting in a reduced thermoelectric power factor.⁴⁷ On the other hand, In doping introduced a resonant energy state in the density of states (DOS) near the Fermi level (E_f).⁴³ This resonant state significantly augments the Seebeck coefficient, S , without compromising electrical conductivity, σ , leading to an overall improvement in the power factor ($S^2\sigma$). Dopants like Mg,⁴⁸ Mn,^{49,50} and Y⁵¹ tend to reduce the first valence band effective mass near VBM without altering sub-valence bands, which may facilitate the transport of charge carriers. The valence band of GeTe is dominated by Ge(s) and Te(p) orbitals and dopants with s-orbital in the outer shell such as Cr,⁴² Zn,⁵² Cd,^{41,53} V,⁵⁴ and Ag⁵¹ were used to decrease the s-contribution in the valence band. This lowers the valence band and increases the band degeneracy as well as increases DOS effective mass and subsequently the Seebeck coefficient.

Another advantage of substitutional doping is to lower the lattice thermal conductivity. Substitutional doping on the Ge sites *e.g.* Pb, Bi, Sb introduces large mass fluctuations and surrounding local strain-field fluctuations due to the difference in atomic mass and radius between dopant and Ge atoms which enables a reduction in lattice thermal conductivity.⁵⁵ Due to the inherent presence of native Ge vacancies, using aliovalent dopants on the Ge lattice sites⁴⁶ not only reduces the lattice thermal conductivity but also influences the carrier concentration. Fig. 1(a) summarizes literature data on various dopants usage and the corresponding TE properties, spanning the past decade where most of the cases Sb and Bi were used as dopants in GeTe . The violin plot depicted in Fig. 1(b) depicts a comprehensive overview of the distribution of measured zT values across various dopants. It combines the characteristics of both a box plot and a density plot. Within the violin plot, the black lines represent a box plot, outlining the data range. The white dot at the center signifies the mean value, while the thick bar denotes the interquartile range. Additionally, the violin plot incorporates a density plot, illustrating the frequency distribution of the data. The zT can have a large range from 0.75 to 2.5 depending on the type of dopants and their concentration. The average zT utilizing Sb or Bi alone or co-doped with X/Y elements as dopants in GeTe is notably 35–50% higher compared to other configurations. Pb has not been extensively employed due to its toxicity; however, its promising potential has also been demonstrated, with an average zT of 1.75–1.95. Extensive investigation reveals that lattice thermal conductivity is the key determinant for achieving high performance with dopants like Pb, Sb, and Bi. Notably, Pb, Sb, and Bi doping exhibit a significant reduction in lattice thermal conductivity considering the same level of doping when compared to other dopant elements (*e.g.*, Cd,⁵⁶ Sn,⁵⁷ Al,⁵⁸ Cu,⁵⁹ Mn,⁵⁰ Y,⁵¹ Sc⁴⁶). Interestingly, the lattice thermal conductivity even increases with doping in some cases, *e.g.* In,⁴³ Mg,⁴⁸ Ti,^{60,61} and Al.⁴⁷ For instance, although indium doping enhances the Seebeck coefficient by introducing resonant levels near the Fermi level, it also leads to an increase in lattice thermal conductivity. Consequently, the overall performance does not show



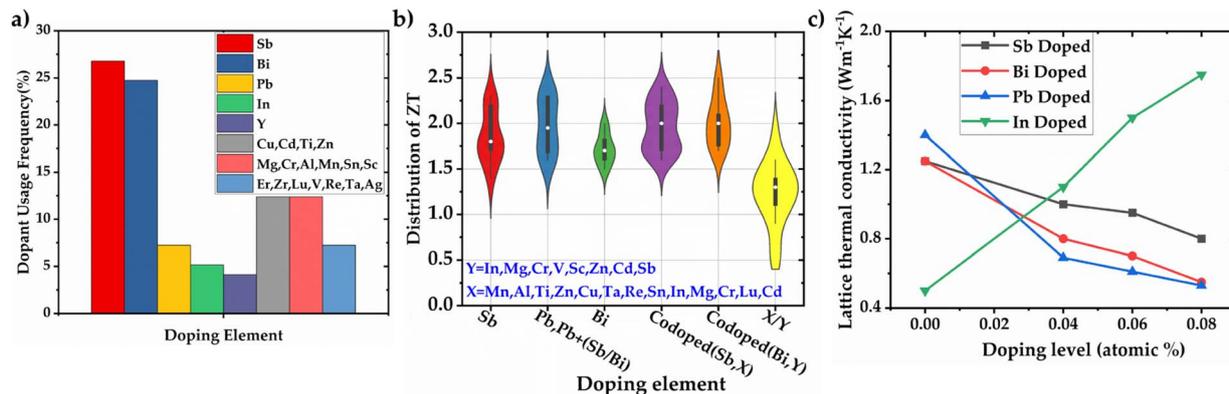


Fig. 1 (a) Frequently used dopant materials in GeTe,^{41–62,80} (b) figure of merit zT of GeTe considering different dopants, and (c) lattice thermal conductivity trend with Pb,⁸⁸ Sb,⁶⁰ Bi,⁵⁰ and In⁴³ doping. Interpolated or extrapolated the doping-dependent lattice thermal conductivity data for comparison purposes. Zero doping data are from various sources and have therefore some spread.

a noticeable improvement in In-doped GeTe. However, this is not the case for Pb, Sb, and Bi. These three elements play a pivotal role in optimizing carrier concentrations while simultaneously decreasing lattice thermal conductivity. As a result, the overall thermoelectric performance is substantially enhanced upon the introduction of these doping elements. Nevertheless, the question of what attributes make these elements so promising for reducing lattice thermal conductivity, and why other elements do not achieve the same effect, remains unanswered.

Recent studies suggest that the nature of the chemical bonds plays an important role in getting ultralow thermal conductivities in a range of materials, explaining the lower thermal conductivity in materials with dominant ionic or van der Waals than those with dominant covalent bonds.^{62–64} In this paper, we study the effects of Pb, Sb, Bi, and In doping in GeTe from the chemical bonding perspective and establish a relation with thermoelectric properties in the material.

Additionally, we employed a first-principles approach to solve the Boltzmann Transport equation and studied the impacts of doping as well as temperature-dependent TE properties of GeTe. To date, numerous theoretical investigations have been conducted on GeTe; however, these studies have some limitations. They rely on simplifying assumptions, such as employing the constant relaxation time approximation, as seen in the use of the BoltzTrap code,^{40,65–68} or adopting the single parabolic band model,^{69,70} effective mass modeling,^{39,71} 3-band model⁷² with tuning parameters to predict the thermoelectric properties of GeTe. The utilization of a constant relaxation time or a single parabolic model expedites total transport calculations, rendering them fast and straightforward. However, these approaches lack predictive power and are not correct. For instance, the relaxation time (τ) is not a constant; rather, it is a function of electronic and phononic band structure, energy, temperature, and doping concentration.^{69–71} Employing a fixed τ can yield misleading results, as it fails to capture the dynamic and multifaceted nature of the relaxation time. These simplifications hinder the ability of phenomenological formalisms to accurately describe and predict the

experimental TE properties. Here, we have adopted an energy-dependent relaxation time approximation including electron-phonon scattering and ionized impurity scattering. This first-principles-based framework combining electron-phonon scattering and ionized impurity scattering has proven successful in previous studies of materials such as SnSe₂,⁷³ MoSe₂,⁷⁴ and MoTe₂.⁷⁵ Through this approach, we aim to enhance the accuracy of our predictions and provide a more comprehensive understanding of the thermoelectric behavior of GeTe.

Herein, we have organized our discussion into two parts. First, we'll delve into the chemical bonding analysis of GeTe with various dopants—Pb, Bi, Sb, and In. We explore how these elements influence chemical bonding strength and establish a link with transport properties in GeTe. Next, we use our first principles-based method to assess the thermoelectric properties of GeTe across different carrier concentrations and temperatures, comparing and validating our findings with experimental results.

Methods

GeTe exhibits a stable distorted rhombohedral structure (rhombohedral angle $< 60^\circ$) with $R3m$ space group at low temperatures, transitioning to cubic structures (rhombohedral angle $= 60^\circ$) with $Fm\bar{3}m$ space group above 700 K.^{69,76} Despite the stability of GeTe in the rhombohedral phase, historical research on GeTe-based thermoelectric materials mainly focused on the performance of the cubic phase as it has high valley degeneracy due to its high symmetry.⁷⁷ Therefore, in our study, we have limited our calculation to the high-temperature cubic phase of GeTe.

Chemical bonding analysis

To understand chemical bonding (bonding, non-bonding, and anti-bonding) and the strengths of it, we utilized crystal orbital Hamilton population (COHP) analysis.⁷⁸ COHP has been a tool for studying various compounds, including phase change materials,⁷⁹ intermetallics,⁸⁰ and magnetic materials,⁸¹ for about



three decades. Nowadays, these analyses can be performed through density functional theory (DFT) calculations using the projector augmented wave method.⁸² In this process, the original delocalized basis, based on plane waves, is projected onto atomic orbitals (e.g., Slater orbitals), as implemented in computer programs like LOBSTER.^{83–85} LOBSTER not only performs these projections but also calculates COHPs, crystal orbital overlap population (COOPs), crystal orbital bond index (COBIs), and other similar properties based on the information resulting from the projection. This method involves the partitioning of one-particle band energies of a solid into pairs of orbital interactions, allowing the identification of different chemical bonds and their associated strengths, measured through integrated COHP (ICOHP). It has also been shown that this quantity is directly correlated with bond strength characterized by the interatomic force constants.⁸⁶ Besides ICOHP, the integrated crystal orbital bond index (ICOBI) is also calculated which denotes the bond order of a compound and gives an idea about the effective coordination number (ECoN) of the compound. A decrease in ICOBI suggests a reduced sharing of electrons (high ECoN), potentially indicating a weaker bond, while an increase suggests a stronger bond (low ECoN).⁸⁷

We used a $3 \times 3 \times 3$ supercell comprised of 54 atoms as the initial GeTe cell and the Pb, Bi, Sb, and In doping are studied by replacing 2 Ge atoms with Pb, Bi, Sb, and In atoms respectively, representing 8% doping concentration. This doping level falls within the solubility limits of the dopants in GeTe, as supported by previous research GeTe.^{43,50,61,88–93} Additionally, because of the low formation energy of V_{Ge} ($E_{\text{form}} = 0.5$ eV) compared to V_{Te} ($E_{\text{form}} = 2.4$ eV), Ge deficiency commonly exists in the GeTe matrix.^{39,94} As a result, external dopants dissolve into Ge precipitates, thereby mitigating the intrinsic high hole concentration, as evidenced by the previous studies.^{55,95,96} We also note due to the cubic structure of GeTe, all Ge sites are equivalent and the effects of dopants remain the same regardless of their occupancy sites. The calculations are performed using plane-wave self-consistent field code utilizing DFT as implemented in Quantum ESPRESSO package.⁹⁷ The generalized gradient approximation (GGA)⁹⁸ with Perdew–Bruke–Ernzerhof (PBE) parameters for the exchange–correlation functional is adopted. In this analysis, we have considered $4s^2 4p^2 3d^{10}$, $5s^2 5p^4 4d^{10}$, $6s^2 6p^2 5d^{10}$, $6s^2 6p^3 5d^{10}$, $5s^2 5p^3$, $5s^2 5p^1 4d^{10}$ as valence electrons of Ge, Te, Pb, Bi, Sb, and In, respectively. The plane-wave energy cutoff and charge density cutoff are set at 80 Ry and 800 Ry, respectively. During structural relaxation of the supercells, atomic positions, as well as lattice constant, are relaxed until the force on each atom was less than 10^{-5} Ry/Bohr using the conjugate-gradient method⁹⁹ and BFGS quasi-newton algorithm.¹⁰⁰ A uniform mesh of $4 \times 4 \times 4$ is used in sampling integrations over the Brillouin zone for self-consistent calculations. The current version of LOBSTER code does not support spin–orbit coupling (SOC), with the rationale that SOC is considered relatively unimportant for chemical bonding. Therefore, SOC is not included in the COHP analysis to simplify our calculations. To determine the required number of wavefunctions for the projection from plane waves to atomic orbitals, the DFT calculations must include enough bands in

the self-consistent calculations. The number of bands depends on the structure and the basis used for the projection in LOBSTER.¹⁰¹ The calculation of the minimum number of bands for different supercells is discussed in ESI.† Based on the calculations, we included 405 bands for GeTe and Pb: GeTe, 406 bands for Bi: GeTe, 396 bands for Sb: GeTe, and 404 bands for In: GeTe in supercell DFT calculations. Gaussian smearing width of 0.2 eV is used during projections in LOBSTER calculation. Importantly, in this study, the sign of the COHP distinguishes bonds with either bonding or anti-bonding nature: a positive sign corresponds to anti-bonding interactions, while a negative sign corresponds to bonding interactions. Following convention, COHP diagrams represent the negative value (–COHP), facilitating the visualization of bonding (anti-bonding) states on the right (left) of the axis. To quantify the extent of anti-bonding/bonding strength, we computed the ICOHP and ICOBI with respect to the electron band energy.

To further confirm the bonding strength and anharmonicity, bulk modulus ($K = -V \frac{dP}{dV}$) is also computed for different compounds where V is the volume and P is the pressure. This involves modifying the volume of the supercell by $\pm 1\%$ of its equilibrium volume, determining the corresponding pressures at these altered volumes, and subsequently calculating the bulk modulus using the finite-difference method. A higher bulk modulus generally indicates stronger interatomic or intermolecular forces and thus stronger bonding within the material.

Transport properties of GeTe

Transport calculation is performed considering the primitive cell of cubic GeTe. The primitive cell of the cubic phase comprises two atoms: 1 Ge and 1 Te. Structural relaxation is performed with a force convergence criterion of 10^{-5} Ry/Bohr and obtained lattice parameters ($a = b = c = 6.008$ Å) closely match the neutron diffraction results.⁷⁶ A kinetic energy cutoff of 60 Ry and Monkhorst–Pack k -point mesh of $16 \times 16 \times 16$ is employed in the atomic and electronic structure calculations. Fully relativistic pseudopotentials within Perdew–Bruke–Ernzerhof generalized gradient approximation are employed to include SOC effects. The dynamical matrix is computed on a $4 \times 4 \times 4$ q -point mesh in the phonon calculations. The phonon modes and frequencies at other general k -points are then computed by Fourier transformation of the dynamical matrix in reciprocal space. As cubic-GeTe shows strong lattice anharmonicity, density functional perturbation theory (DFPT) calculation exhibits unstable soft phonon modes with negative frequency in the phonon dispersion (Fig. S2 in the ESI†). To account for finite temperature lattice dynamics, we have utilized the temperature-dependent effective potentials (TDEP) method,^{102–104} effectively stabilizing the phonon modes. TDEP extracts the effective interatomic force constants (IFCs) that best describe the anharmonic Born–Oppenheimer potential surface at a given temperature. Later, the electron–phonon (e–ph) matrix elements are computed, using both harmonic (DFPT) and anharmonic (TDEP) phonons, in the PERTURBO package,¹⁰⁵ which is also employed to efficiently compute the e–



ph scattering rates.¹⁰⁶ PERTURBO interpolates the electron-phonon matrices, obtained from DFT and DFPT + TDEP calculations, from a coarse grid to fine k -mesh ($60 \times 60 \times 60$) and q -mesh (5×10^6) using Wannier interpolation scheme.^{107,108} In Wannier interpolation, automatic projection scheme¹⁰⁹ is employed for the Wannierization of the 12 bands. Details of electron-phonon matrix calculations in PERTURBO are discussed elsewhere¹⁰⁶ and convergence study of the k -mesh and q -mesh for e-ph scattering rates is available in Fig. S3 in the ESI.†

The ionized impurity scattering rates are essential in the calculations because of the high concentration of native Ge vacancies. We have used a modified Brooks-Herring approach for strongly screened coulomb potential.¹¹⁰ The impurity scattering rate is given by,

$$\frac{1}{\tau} = \frac{\pi N_I}{\hbar Z} \left(\frac{q^2 L_D^2}{\epsilon_0 \epsilon_r} \right)^2 g(E) \quad (1)$$

where $g(E)$ is the density of states per unit volume, \hbar is the reduced Planck constant, ϵ_r is the relative permittivity, ϵ_0 is the permittivity of free space, N_I is the impurity carrier concentration, q is the electronic charge, and Z is the charge of the vacancy/impurity atoms. Here, $Z = 2$ is used for Ge vacancy (*i.e.* the average of two valence holes per vacancy). L_D is the screening length and is given by,

$$\frac{1}{L_D^2} = \frac{q^2}{\epsilon_0 \epsilon_r} \int g(E) \frac{\partial f}{\partial E} dE \quad (2)$$

where f is the Fermi-Dirac distribution function.

The electrical conductivities, electronic thermal conductivities, and the Seebeck coefficients are calculated using the PERTURBO code by solving the linearized Boltzmann transport equation (BTE) under energy-dependent relaxation time approximation.

The lattice thermal conductivity was then computed by using TDEP. The first principles calculations were performed using density functional theory (DFT) with a projector augmented wave method and the Perdew-Burke-Ernzerhof (PBE) generalized gradient approximation by employing the Vienna *ab initio* simulation (VASP) package.^{111,112} The harmonic and anharmonic interatomic force constants (IFCs) were obtained using a $4 \times 4 \times 4$ supercell with a $5 \times 5 \times 5$ I -centered Monkhorst-Pack k mesh. The converged cutoff for harmonic and cubic IFCs were considered up to 7 Å and 10 Å, respectively. The convergence of the forces with respect to number of configurations was obtained by iteratively generating a set of displacements, computing forces, and fitting IFCs. The lattice thermal conductivity of natural GeTe with 8% Ge vacancies was calculated on a converged q -space grid $20 \times 20 \times 20$ by iteratively solving the phonon Boltzmann transport equation as implemented in TDEP. The effect of vacancy scattering rates were considered the same as isotopic scattering rates with zero mass.

Results and discussion

Chemical bonding analysis

GeTe is known to have a special type of bonding with signatures in between covalent and metallic bonding and with a small

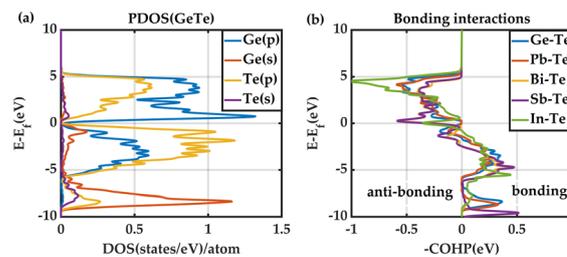


Fig. 2 (a) Projected density of state (PDOS) of pure GeTe, (b) average COHP curves for different bonding configurations.

degree of ionicity in the bonding, called metavalent bonding. The impact of substituting Ge with Pb, Bi, Sb, and In has been carefully examined in the supercell model through COHP analysis with the aid of electronic projected density of states (PDOS) calculations. The electronic PDOS using DFT reveals that the valence band in GeTe is dominated by Te(p) orbital and Ge(p) orbital with a small contribution from Ge(s) orbital as shown in Fig. 2(a). This indicates the dominant orbital interaction in Ge-Te bonding is Ge(p)-Te(p) interaction. Fig. S4 in the ESI† visually depicts the Ge-Te orbital interaction using isosurfaces of electronic wavefunctions at the valence band maxima of GeTe.

Similar to Ge-Te, bonding, X-Te (X: Pb, Sb, Bi, In) bonding interactions are also coming from X(p)-Te(p) orbital in Pb, Bi, Sb, and In-doped GeTe as evidenced by PDOS calculations (Fig. S5 in the ESI†). However, in the case of In-doped GeTe, strong In(s)-Te(p) orbital interaction is also present in the bonding. The nature of these interactions is illustrated by COHP analysis as shown in Fig. 2(b) which shows antibonding states near the Fermi level in all cases. To assess the strength of the X-Te bonding, ICOHPs are evaluated using,

$$\text{ICOHP} = \int_{-\infty}^{E_f} \text{COHP} dE \quad (3)$$

where lower ICOHP values indicate stronger bonding and *vice versa*.¹¹³ The ICOHP values are listed in Table 1 associated with bond lengths. Here, we reported average ICOHPs considering all the corresponding bonds in the cell which includes all orbital interactions (s-s, s-p, p-s, and p-p) as well as ICOHPs for dominant orbital interactions (p-p interactions).

We found that average ICOHPs for Pb-Te, Bi-Te, and Sb-Te bonds are higher than the Ge-Te bond corresponding to weaker interatomic bonds. On the other hand, In-Te bonding is stronger than Ge-Te as shown by the lower value of ICOHP. The same trend is also found in the dominant orbital interactions in different compounds.^{62,113,114}

As shown before by many *i.e.* Schlohmann,¹¹⁵ Slack,¹¹⁶ Klumens,¹¹⁷ and Toberer,¹¹⁸ the lattice thermal conductivity strongly depends on the sound velocity ($\kappa_1 \sim v_s^3$ where v_s is the sound velocity). And sound velocity is correlated with chemical bond strength ($v_s \sim \sqrt{k}$, where k denotes the bond strength). A weaker bond leads to lower sound velocity as well as lower lattice thermal conductivity. Based on ICOHP, bond becomes weaker in the case of Pb, Bi, and Sb doped GeTe which lowers



Table 1 ICOHP of different bonding in GeTe and doped GeTe

Compounds	Bonding interactions	Bond length (Å)	ICOHP (eV)
Pure GeTe	Ge-Te (average)	3.01	-1.68
	Ge(p)-Te(p)		-1.18
Pb doped GeTe	Ge-Te (average)	2.93	-1.94
	Ge(p)-Te(p)		-1.34
	Pb-Te (average)	3.16	-1.49
Bi doped GeTe	Pb(p)-Te(p)		-1.08
	Ge-Te (average)	2.95	-1.79
	Ge(p)-Te(p)		-1.24
Sb doped GeTe	Bi-Te (average)	3.12	-1.53
	Bi(p)-Te(p)		-1.23
	Ge-Te (average)	2.97	-1.72
In doped GeTe	Ge(p)-Te(p)		-1.20
	Sb-Te (average)	3.07	-1.59
	Sb(p)-Te(p)		-1.25
	Ge-Te (average)	2.94	-1.86
	Ge(p)-Te(p)		-1.32
	In-Te (average)	3.09	-2.04
	In(p)-Te(p)		-0.97
In(p)-Te(s)		-0.35	
	In(s)-Te(p)		-0.69

the sound velocity and corresponds to a lower experimentally measured thermal conductivity. On the other hand, stronger bonding in In-doped GeTe results in larger thermal conductivity values compared to pure GeTe as depicted in Fig. 1(c). Within Pb, Bi, and Sb-doped GeTe, compounds with Sb doping demonstrate the highest bonding interactions (total and p-p), while those with Pb doping exhibit the lowest, aligning with the observed the lowest lattice thermal conductivity in Pb-doped compounds compared to others. The length of the corresponding bonds obtained after relaxing the lattice structure is also in agreement with ICOHPs results: *i.e.* the higher the bond length, the weaker the bonds. A shorter bond involves a greater degree of orbital overlap between the atomic orbitals, leading to a more stable configuration.

The calculated bond index by ICOBI for pure GeTe is close to 0.4, which corresponds to a sharing of 0.8 electrons as depicted in Fig. 3. This is in reasonable agreement with metavalent bonding where two atoms are held together by a single electron (bond order ~ 0.5).¹¹⁹ The observed lower ICOBI in Pb-doped GeTe indicates less electron localization as well as less bonding interactions compared to pure GeTe. This reduction in bonding interactions may result in a weaker bond strength. Conversely, the higher ICOBI in In-doped GeTe suggests more localized electrons clouds, implying a stronger bond. Bond order can also be visualized by electron localization function (ELF) which denotes the localization and delocalization of electron clouds. An ELF value of 0.5 corresponds to a free electron gas (metal), 1 corresponds to totally localized electrons while small values correspond to a lack of electrons. The evolution of ELF isosurfaces for Pb and In doping is shown in Fig. 4 where red color denotes perfect localization and blue color denotes absence of electrons. One can see that the electrons are more localized at the dopant sites with In-doping compared to Pb-doping and electron sharing is higher around

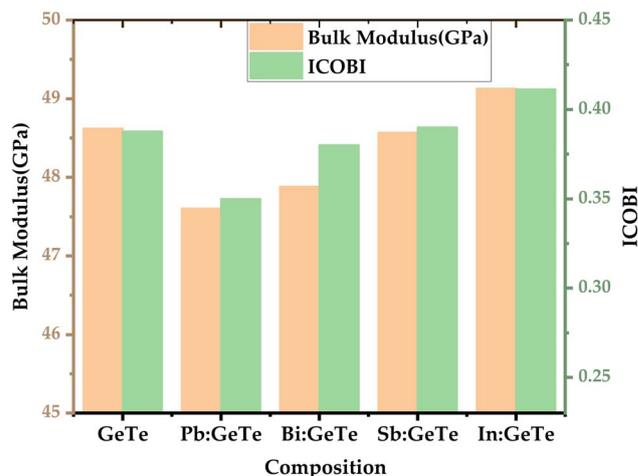


Fig. 3 (Left) bulk modulus of different compounds, (right) integrated crystal orbital bond indices (ICOBI) for different bonding.

Pb sites. Increased electron sharing corresponds to a greater extent of electron delocalization in Pb-doped GeTe (high ECoN). Due to the delocalized electrons in Pb-doped GeTe, the bond order is relatively low.

The observed trend in bulk modulus is also consistent with the bonding strength insights obtained from ICOHP and ICOBI analysis. In Pb-doped GeTe, weaker bonds correspond to a lower bulk modulus, while in In-doped GeTe, stronger bonds align with a higher bulk modulus. Thus, this explains the reason behind the more substantial reduction in lattice thermal conductivity with Pb doping (87% reduced at 8 at%) compared to other dopants and increased lattice thermal conductivity with In-doping as it makes the bond stronger and more stable. These findings underscore the intricate relationship between bonding characteristics and thermal properties in doped GeTe systems, emphasizing the notably higher decrement observed in lattice thermal conductivity with Pb doping.

Next, we explored the effect of chemical bonding on electronic transport *via* projected density of state calculations. As mentioned earlier, in cubic-GeTe, the chemical bonding orbitals are mainly from p-orbitals in σ -bonding configuration with weak s-p hybridization.¹²⁰ According to Wuttig,¹²⁰ small

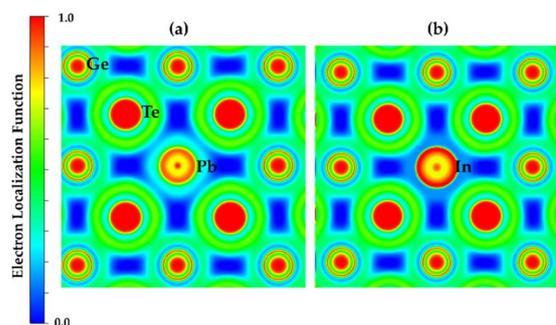


Fig. 4 Electron localization function plot (a) Pb-doped (b) In-doped GeTe. Electrons around Pb seems more delocalized compared to In.



cation(s)-anion(p) orbital hybridization together with small ionicity results in a strong anisotropic band valley. A highly anisotropic band structure has a large difference in m_{DOS}^* and m_{Drude}^* ($m_{\text{DOS}}^* \gg m_{\text{Drude}}^*$, where m_{DOS}^* is the DOS effective mass and m_{Drude}^* is the conductivity effective mass). According to

Pisarenko and Drude equations,³³ $\frac{m_{\text{DOS}}^*}{m_{\text{Drude}}^*}$ can be considered as a figure of merit of the band structure indicating a potentially high power factor where a heavy m_{DOS}^* and a larger mass ratio ($\frac{m_{\text{DOS}}^*}{m_{\text{Drude}}^*} \gg 1$) leads to superior thermoelectric power factors.^{39,121}

Therefore, the reported maximal $S^2\sigma$ of cubic-GeTe is higher than those of SnTe¹²² and PbTe¹²³ at optimized carrier concentration. Here, Pb, Bi, Sb, and In all have S^2 lone pairs of electrons in their outer shells like Ge. The contribution from the s and p orbital of dopants compared with Ge(s) and Ge(p) is shown in Fig. S6 in the ESI.† It is evident that the In(s) contribution is higher than Ge(s) near the valence band. Therefore, the substitution of Ge with In strengthens In(s)-Te(p) hybridization and charge transfer. This results in a reduction of the anisotropy of the band valley (lower $\frac{m_{\text{DOS}}^*}{m_{\text{Drude}}^*}$, than GeTe). With

the reduction of $\frac{m_{\text{DOS}}^*}{m_{\text{Drude}}^*}$ overall power factor reduces. On the other hand, substituting Ge with Pb, Sb, or Bi reduces the s contribution near the valence band, resulting in s-p interactions reduction. This increases $\frac{m_{\text{DOS}}^*}{m_{\text{Drude}}^*}$ and boosts the power factor. For reference, the bonding strength from s-p interactions derived from COHP calculations is tabulated in Table S1.† The relation between s-p hybridization and thermoelectric power factor explained here is also consistent with experimental observations.^{88,124}

In summary, in this section, we have identified and explained a link between chemical bonding and thermoelectric properties of doped GeTe. Except for Indium, Pb, Bi, and Sb, do not have significant effect in the total DOS rather than modifying the Fermi level as shown in Fig. S6 in the ESI.† Thus, in the next section, we have limited our calculations to intrinsic GeTe as simulating and solving transport coefficients for doped GeTe

with doping inclusion from first principles requires supercell calculations which are not possible due to limitations in computational resources. So, the doping effect has been modeled by a shift in the Fermi level in the pure GeTe.

Thermoelectric transport properties of GeTe

The orbital projected electronic band structure with SOC and phonon dispersion of cubic GeTe are shown in Fig. 5. The electronic band structure is plotted along the high symmetry points $W(0.500,0.250,0.750) \rightarrow L(0.500,0.500,0.500) \rightarrow X(0.500,0.000,0.500) \rightarrow \Gamma(0.000,0.000,0.000) \rightarrow K(0.375,0.375,0.375)$ in Fig. 5(a). The inclusion of SOC has minimal effects on band structure near the band maxima which is shown in Fig. S7 in the ESI.† The conduction band minimum (CBM) and valence band maximum (VBM) lie at the L-point (with band degeneracy N_v of 4), denoting a direct bandgap of 0.21 eV. The data on the fundamental energy gap in GeTe is controversial¹²⁵ and it varies from 0.1 to 0.24 eV. However, our obtained bandgap matches with some experimental values^{126–128} as well as prior theoretical calculations.^{40,129–133} A secondary valence band is located along $\Gamma \rightarrow K$ path (Σ band with band degeneracy N_v of 12) and the third valence band maximum is along $X \rightarrow \Gamma$ path that are 0.07 eV and 0.30 eV below the VBM, respectively. This suggests that the L and Σ bands are more likely to converge for charge carrier transport in cubic-GeTe, providing a partial explanation for the large thermoelectric zTs in cubic-GeTe at elevated temperatures. The obtained DFT band structure was further interpolated using maximally localized Wannier functions, and the resulting Wannier interpolated band structure aligns well with the DFT band structure (Fig. S7 in the ESI†).

As mentioned earlier cubic-GeTe is thermodynamically unstable at room temperature, vibrational modes are stabilized by a finite temperature of 800 K in TDEP calculations. The phonon dispersion agrees with the previously theoretical reports.^{134,135} The phonon dispersion of cubic-GeTe reveals the presence of six vibrational modes, consisting of three acoustic modes, two transverse optical modes, and one longitudinal optical mode. The group velocities for each phonon mode are shown along high symmetry points in Fig. 5(c). From the value

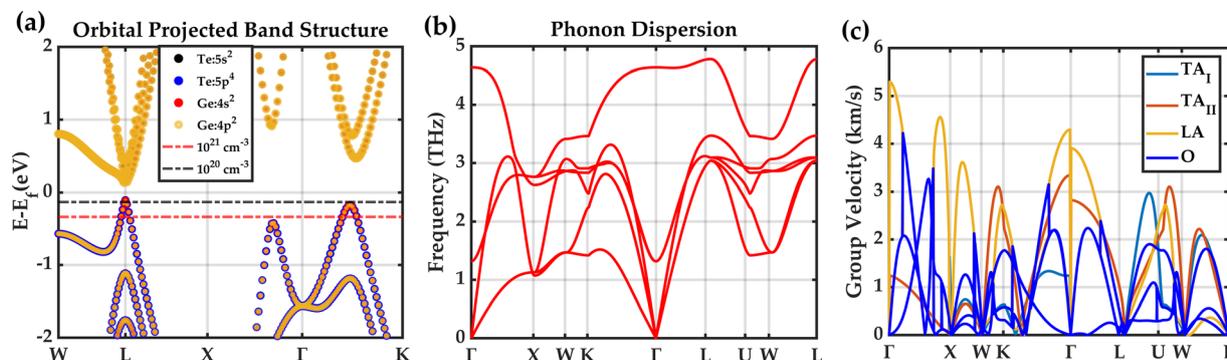


Fig. 5 (a) Orbital projected electronic band structure considering SOC along with different doping levels, (b) phonon dispersion from TDEP calculations at 800 K, (c) phonon group velocities of each phonon mode (letter "O" represents the optical modes).



Table 2 Group velocities along [110] and elastic constants of cubic GeTe at $T = 800$ K

Group velocity (km s^{-1})	1.24	3.34	4.29
ρv^2 (GPa)	9.4	68.2	112.6
Elastic constants (GPa)	$C_{44} = 9.4$	$C_{12} = 35$	$C_{11} = 171.4$

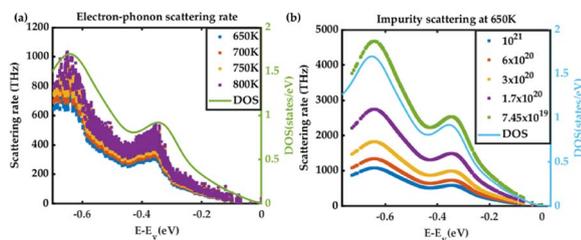


Fig. 6 (a) Electron–phonon scattering at different temperatures, (b) ionized impurity scattering at different carrier concentrations (cm^{-3}) at 650 K. Both scattering rates follow DOS as shown in the right–hand axis.

of acoustic group velocities along [110] direction, it is possible to also extract the elastic constants. These values are summarized in Table 2.

After successfully obtaining harmonic phonon from DFPT and anharmonic part from TDEP, e–ph scattering rates at different temperatures are computed by the PERTURBO package. As cubic-GeTe is a highly p-doped degenerate semiconductor, we have studied the e–ph interaction within the valence band.

Fig. 6(a) shows the calculated e–ph rates obtained from PERTURBO codes with respect to the valence band. In the case of doped semiconductors, it is important to include ionized impurity scattering in addition to e–ph interactions. The

ionized impurity scattering at different carrier concentrations calculated using modified Brook's Herring approach is shown in Fig. 6(b). Both scattering rates *versus* band energy show a similar trend to that of the electronic DOS, consistent with the fact that DOS regulates the phase space for scattering. As expected, the e–ph scattering rate increases with the temperature. The ionized impurity scattering decreases with increasing carrier concentration. This is because of the assumption of the strongly screened coulomb potential as formulated in eqn (1). Within strong screening approximation, while the number of vacancy/impurity concentrations (N_I) increases at higher carrier concentrations, the electrons screen the background ionic charges, resulting in a lowering of the ionized impurity scattering potential. At very large concentrations, electron-impurity screening length is short resulting in lower scattering rates (see eqn (2) and Fig. S8 in the ESI†).

Utilizing computed e–ph and ionized impurity scattering rates, the thermoelectric transport properties including electrical conductivity (σ), Seebeck coefficient (S), and electronic thermal conductivity (κ_e) within the temperature range of 650–800 K are computed by solving the linearized BTEs at different carrier concentrations. Fig. 7 illustrates the electrical conductivity and Seebeck coefficient at different temperatures and carrier concentrations. Our calculated values are compared with experimental results, revealing a generally strong agreement, except for a few data points representing GeTe low carrier concentration. This is because of the use of intrinsic GeTe structure for electronic structure calculation and changing the carrier concentration to include the effects of doping. In reality, as-grown GeTe contains a significant number of Ge-vacancies, contributing to its highly p-type carrier concentration. To mitigate these vacancies and decrease the overall carrier concentration, a significant amount of doping is used. External doping can modify the electronic band structure that was

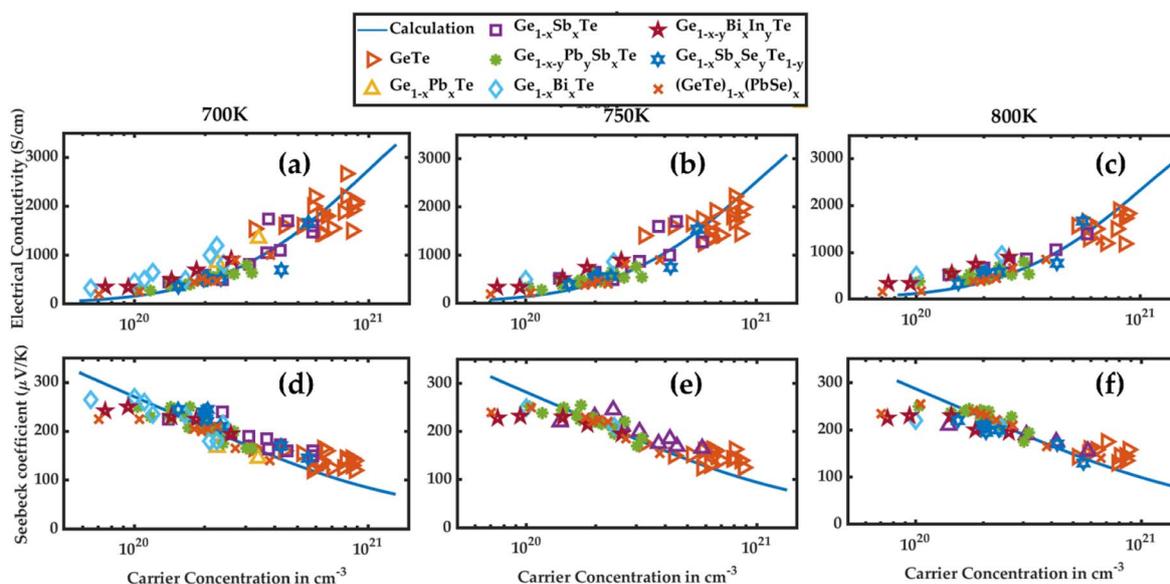


Fig. 7 Calculated (a–c) electrical conductivity, (d–f) Seebeck coefficient at different temperatures w.r.t carrier concentration solving RTA-based BTE equations and compared with experimentally obtained results.^{50,60,61,131}



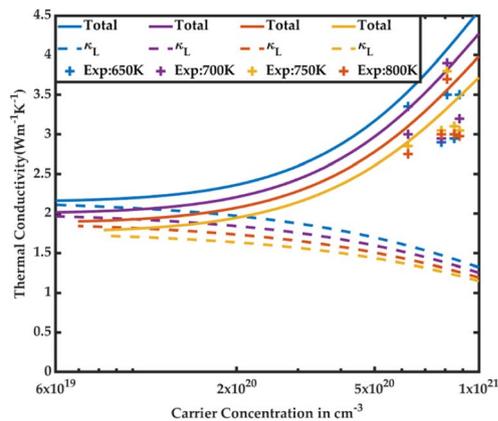


Fig. 8 Calculated total thermal conductivity (solid lines) and lattice thermal conductivity (dashed lines) at different temperatures (blue: 650 K, purple: 700 K, yellow: 750 K, and red: 800 K) compared with experimental results (+ symbols).^{21,45,50,57,58,60,132}

discussed in DOS calculations as well as prior theoretical calculations.^{39,43,133} Specifically, the introduction of In and Bi create defect states near the Fermi level as in Fig. S6 in the ESI,[†] reducing Seebeck at higher Bi & In doping concentrations while showing slightly improved electrical conductivity compared to our predictions.^{43,136} These defect states directly affect the effective mass according to Pisarenko and Drude equations. Overall, our first principles-based framework demonstrates successful predictions of experimental results. Any observed discrepancies are attributed to nuanced variations in the electronic band structure as a result of doping, a factor not explicitly considered in our calculations.

In Fig. 8, the computed thermal conductivity of GeTe, encompassing both electronic and lattice components, is presented alongside experimental results for as-grown GeTe. The calculated thermal conductivity values tend to overestimate the experimental results, primarily resulting from the inaccuracies in modeling the lattice part of thermal conductivity. Fig. S9 in the ESI[†] illustrates the distinct contributions of the electronic and lattice components. As can be seen in both Fig. 8 and S9,[†] the lattice thermal conductivity reduces with increasing temperature throughout the range of carrier concentrations due to stronger phonon-phonon scattering rates at higher temperatures. Notably, the total thermal conductivity is predominantly influenced by the lattice part at low carrier concentrations, while the electronic part becomes dominant at higher carrier concentrations. The overestimation observed in our calculations is attributed to the neglect of various factors affecting lattice thermal conductivity, such as grain sizes, structural dislocations, nano precipitates, and microstructure variations arising from different sample preparation methods. These aspects were not accounted for in the theoretical model, leading to the commonly observed overestimation in calculated values. Recognizing the impact of these factors on lattice thermal conductivity is crucial for refining theoretical models and achieving better agreement with experimental observations.

Subsequently, we proceed to compute the power factor times temperature (PFT) and the dimensionless figure of merit (zT)

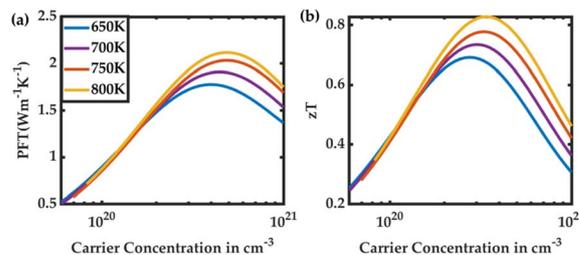


Fig. 9 (a) Optimized power factor time temperature (PFT), (b) figure of merit (zT) versus carrier concentrations at different temperatures.

across varying carrier concentrations and temperatures. It is evident from Fig. 9 that the optimum operating point shifts towards a high carrier concentration region with increasing temperature. Finally, a maximum PFT of $2.2 \text{ Wm}^{-1} \text{ K}^{-1}$ is achieved at around $4.8 \times 10^{20} \text{ cm}^{-3}$ at 800 K which is comparable to experimentally measured value for as-grown GeTe ($2.0\text{--}2.5 \text{ Wm}^{-1} \text{ K}^{-1}$).^{49,61,72,129,137} Experimental evidence indicates that this PFT value can range up to $4.0 \text{ Wm}^{-1} \text{ K}^{-1}$ with the presence of doping^{21,50,137} or excessive Ge vacancies¹³³ which alters the electronic structure significantly.³⁹ The discrepancy arises from the fact that we used intrinsic GeTe bandstructure in our transport calculations. We assumed dopants to only change the carrier concentration and the scattering rates without modifying the electronic band structure. This is the reason behind underestimation of PFT in our model compared to experimentally derived state of art doped GeTe.

Regarding thermal conductivity as mentioned earlier, we only consider isotropic scattering to account for Ge vacancies, resulting in an overestimation attributed to neglecting factors affecting lattice thermal conductivity, such as grain sizes, structural dislocations, nano precipitates, microstructure and strain variations from sample preparation methods. These aspects were not accounted for in the theoretical model. Overall, underestimation in power factor and overestimation in thermal conductivity calculation leads to lower zT compared to experimental findings. The highest zT of 0.83 is obtained at $3.4 \times 10^{20} \text{ cm}^{-3}$ and our calculated zT is still comparable to experimental average values for as-grown GeTe ($zT_{\text{avg}} = 1.0$ at 800 K).^{21,45,50,57,61,138,139}

Conclusions

In summary, this study illustrates that tuning chemical bonding through doping offers in metavalent materials a degree of freedom for optimizing thermoelectric properties, not only through changing the Fermi level, but also by modifying softness of the bonds, thereby affecting anharmonicity and the lattice thermal conductivity. The observed low lattice thermal conductivity in Pb-doped GeTe is attributed to weak p-p interactions. In contrast, the thermal conductivity increases in In-doped GeTe, where the chemical bonds are strengthened by In-doping as verified by COHP analysis. Simultaneously, weak s-p interactions in Bi, Sb, and Pb-doped GeTe, in contrast to strong s-p interactions in In-doped GeTe, contribute to the strong anisotropic band which explains experimentally



observed high power factor and elevated zT values in the former group. The utilization of a first-principles framework allows for a detailed exploration of transport properties in pure GeTe, considering factors such as electron-phonon and ionized impurity scattering. The optimization of thermoelectric performance, as illustrated by the power factor times temperature and zT values, highlights specific carrier concentrations and temperatures that yield optimal results. The comprehensive analysis presented here enhances our understanding of the intricate interplay between chemical bonding and transport properties in GeTe, providing valuable insights for the quest to discover new material systems with superior thermoelectric capabilities.

Author contributions

M. Z. and K. E. supervised the project. S. S. D. performed the chemical bonding analysis and the electronic first-principles calculation. S. N. S. performed the TDEP and lattice thermal conductivity calculations. S. S. D., K. E., and M. Z. drafted the manuscript. All authors reviewed the manuscript prior to submission.

Conflicts of interest

There are no conflicts to declare.

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