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## Lignocellulosic biomass valorisation: a review of feedstocks, processes and potential value chains and their implications for the decision-making process†

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Several studies have reported the importance of transforming the current fossil-based economy into a bio-economy. Lignocellulosic biomass, as the most abundant renewable feedstock, has high potential. However, in practice, its use is limited to energy generation. This study aims to provide an overview of the potential lignocellulosic valorisation pathways and identify the next steps that should be taken to move towards a bio-economy. The study reviews the lignocellulosic biomass feedstocks and their compositional differences, depending on the type, valorisation processes, and value chains that can be created by selecting the respective valorisation processes. The study shows the abundance of pathways that can be created when attempting to link lignocellulosic biomass with a high diversity of compositions to the many potential end-products that can be created. Due to this abundance, selecting the optimal biomass-end-product combinations for the development of a sustainable bio-economy is challenging. Current state-of-the-art process-based assessment methods (TEA/LCA) have limited genericity, as they are only valid for specific processes at a specific time and place. As a result, using these types of assessments to try to find optimal biomass-end-product combinations would require too much time, data, and expertise. This creates the need to shift away from process-based assessments to state-based assessments, where the path of least thermodynamic resistance is sought.

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### Sustainability spotlight

Transitioning from the current fossil-based economy into a bio-based economy shows high potential to fill in the gap created by the decreasing fossil resource supply and increasing demand for their derived end products. Lignocellulosic biomass, the most abundant renewable feedstock, is investigated to fill this role by valorising their main constituents (cellulose, hemicellulose, and lignin) in a variety of end products (fuels, chemicals, and materials), creating an abundance of potential biomass-end product combinations. This review proposes a novel state-based assessment to facilitate the decision-making process to identify these optimal combinations and implement the bio-economy in a sustainable way. This work aligns with the following UN sustainable development goals: affordable and clean energy (SDG7), responsible consumption and production (SDG12), and climate action (SDG13).

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## Introduction

Up to 2022, around 80% of all energy is provided by fossil fuels,<sup>1</sup> which remains the dominant feedstock for fuels and value-added chemicals.<sup>2</sup> As a result, despite ongoing efforts to develop renewable energy technologies, the current global economy is still dependent on fossil-based feedstocks.

Owing to the very long regeneration time of fossil feedstocks and their much shorter consumption time, the supply of fossil feedstocks is limited. Due to this time difference, the CO<sub>2</sub> produced when fossil resources are used is considered a net emission.<sup>3,4</sup> Furthermore, these CO<sub>2</sub> emissions are considered to be the largest contributor to climate change by trapping heat in the atmosphere.<sup>1,5</sup>

Net-zero ambitions set by governments have been developed to reduce greenhouse gas (GHG) emissions by 2050,<sup>6</sup> with Europe aiming for climate neutrality<sup>6</sup> and the U.S. for net-zero emissions,<sup>7</sup> while China aims for carbon neutrality by 2060,<sup>8</sup> resulting in an expected decline of fossil refining capacity, particularly in Europe, of 4–14% (2030) and 19–58% (2040), with a global decrease of 9–23% by 2040.<sup>9</sup> In addition to the declining supply of fossil resources, demand for fossil-derived end-products is expected to increase, leading to rising prices for end-products.<sup>9</sup> To face future demand and rising prices, alternative resources are essential and will become more competitive as backstop technologies.<sup>10</sup> Biomass has shown potential to fulfil this role as an alternative resource.

The ever-increasing CO<sub>2</sub> emissions have led to the need for a renewable feedstock with a regeneration time that is comparable to the fuel consumption time and biochemical production with the potential to replace the fossil counterpart, creating the so-called bio-economy concept.<sup>3,4,11</sup> Biomass is a renewable carbon source and can be considered a good alternative to its fossil counterpart. As plants absorb CO<sub>2</sub> from the atmosphere through photosynthesis and convert it into carbohydrates, ideally no additional carbon is emitted. In reality, the valorisation processes to obtain end-products from biomass still require energy that currently comes from CO<sub>2</sub>-emitting resources.<sup>12</sup>

The EU has highlighted the importance of the transition to a bio-economy by developing a strategy to strengthen the bio-based sector, establishing new local biorefineries, and understanding the environmental limits of the bio-economy, which are being further developed by local authorities.<sup>13,14</sup>

Biomass can generally be considered as the total amount of material derived from living organisms in a given space at a given time. These living organisms are also known as organic matter, and are important sources of carbon.<sup>4,15</sup> Multiple sources of biomass exist, which can be divided into six categories: energy crops, agricultural products and waste, wood and forestry, municipal waste, aquatic biomass, and industrial waste and co-products.<sup>15–22</sup> Fig. 1 provides an overview of the primary sources of biomass. First, the category of energy crops includes non-food crops on surplus or degraded land with high energy potential.<sup>16–19,21</sup> Second, the agricultural products and residues category includes primary crops (including food crops)

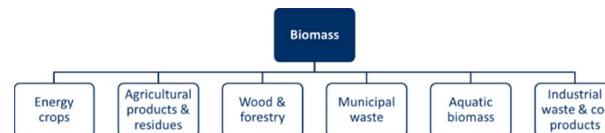


Fig. 1 Overview of the different biomass streams.

and green/horticultural residues.<sup>15–18,20–22</sup> Third, wood and forestry biomass are biomass that can be harvested specifically or as a residue.<sup>15–22</sup> Fourth, municipal waste is a category that includes organic waste, such as food and materials, both liquid and solid.<sup>15–22</sup> Next, the aquatic biomass category is a combination of algae, sludge, and wastewater.<sup>16,19,20</sup> Finally, industrial waste and co-products include a variety of waste, *e.g.*, animal waste, manure, slaughterhouse by-products, gases, post-fermentation microbial biomass, *etc.*<sup>15,17,18,20–23</sup>

At the same time, these six categories include several types of biomass based on its composition. One specific type is lignocellulosic biomass, whose possible sources include woody plant species, energy crops, agricultural residues, grasses, and industrial waste from certain factories (*e.g.*, pulp industry).<sup>21,24–27</sup>

Lignocellulosic biomass can be converted into various end-products using pretreatment and conversion methods. The conventional biomass conversion involves technologies analogous to those utilised in petrochemistry.<sup>28</sup> A biorefinery using biomass as a feedstock is thus conceptually analogous to a petroleum refinery.<sup>24</sup> Specifically, a lignocellulosic biorefinery uses lignocellulosic feedstocks.<sup>29</sup> This type of biorefinery has high potential because the feedstocks are available in large quantities, and are not limited to one region of the world.<sup>30</sup> However, there are differences within biorefineries in terms of the feedstock they use. In the past, lignocellulosic biorefineries focused on the valorisation of polycarbohydrates (cellulose and hemicelluloses), considering lignin as a component to be eliminated.<sup>31</sup> More recently, the need for a full valorisation of biomass with a zero-waste approach requires a greater focus on the separation of lignin in a so-called “lignin-first biorefinery”.<sup>32</sup>

Lignocellulosic biorefineries have the potential to become an alternative to fossil-based refineries.<sup>30</sup> These types of refineries are considered to be one of the most powerful approaches to producing fuels, platform chemicals, and materials.<sup>31</sup> Furthermore, the use of lignocellulosic biomass has enabled the creation of second-generation biorefineries that use non-food biomass as a feedstock.<sup>33–35</sup> In 2019, more than 40 lignocellulosic biorefineries were already in operation across Europe.<sup>36</sup>

There are certain advantages to using lignocellulosic biomass to produce the end-products that are generally produced by their fossil counterpart. In addition to reducing the use of non-renewable resources, bio-based feedstocks can be either biodegradable or reusable, rather than being used for energy consumption after a single use. After multiple cycles of use, energy recovery is still possible.<sup>18</sup>

Biofuels can be compared to their petrochemical counterparts. In general, biofuels use the same process as fossil fuels, where energy is released when the fuels are burned. However,



there is a major difference when considering the formation of biofuels and fossil fuels. Biofuels release the energy stored in the biomass during its short lifetime. Fossil fuels, on the contrary, take much longer to regenerate.<sup>15</sup> Biofuels can be produced from lignocellulosic biomass, which is considered the most abundant renewable feedstock and is cheaper than crude oil.<sup>25,26,28,33</sup> The use of cellulose originating from lignocellulose enables the production of second-generation biofuels. Compared to first-generation biofuels, which use food-competing resources such as corn and soy,<sup>21,29,37,38</sup> these second-generation biofuels do not compete with food.<sup>24,29</sup> It should be noted that not every type of fuel can be produced from lignocellulosic biomass. Due to its low oil content, biodiesel is not preferred.<sup>39</sup>

Despite its many advantages, there are still some trade-offs in using lignocellulosic biomass instead of fossil resources. First, depending on the origin of the feedstock (pristine biomass or lignocellulosic waste), other impurities (e.g., metals and plastic derivatives) may be present and the structural complexity of the biomass itself makes the conversion process more difficult.<sup>40</sup> This conversion process is further complicated by the intrinsic heterogeneity of lignocellulosic biomass and its components, particularly lignin.<sup>32</sup> Second, the overuse of biomass could lead to a loss of biodiversity.<sup>41</sup> Third, market price fluctuations make it difficult to estimate biomass prices.<sup>36</sup> Next, fuels derived from biomass are in an oxidised state and require a deoxygenation step to become a drop-in fuel.<sup>42,43</sup> Meanwhile, for chemical production, it is difficult to achieve high yields in an energy-efficient manner.<sup>44</sup> Finally, many bio-refinery processes are still in the early stages of development, and are therefore not as mature as fossil refineries, which are smaller in scale and in higher cost. In general, there are still many barriers that make it difficult for lignocellulosic bio-refineries to become the main technology for our daily needs.

Lignocellulosic biomass is a large group within biomass, with great diversity in the composition of both the biomass itself and its potential end-products. The composition of lignocellulosic biomass is determined by the type, whereas the end-products can have a broad or narrow range of composition, depending on the application. Ideally, biomass-end-product combinations are created, as shown in Fig. 2, where biomass is linked to an end-product *via* a conversion pathway. By creating such biomass-end-product combinations, an optimal pathway to a specific end-product can be determined for each lignocellulosic biomass feedstock. Conversely, the optimal

process and lignocellulosic biomass can be determined for a specific desired end-product. Due to the large number of combinations in terms of the biomass type, composition, potential end-products, and conversion pathways, this is not a straightforward task.

This work focuses on the main biopolymers present in lignocellulosic biomass (cellulose, hemicelluloses, and lignin). The valorisation of other components such as ash and extractives is less developed. This research aims to address the complexities of implementing a lignocellulose-based economy as an alternative to the current fossil-based economy. The current literature mainly focuses on specific case studies, investigating a specific biomass in a specific process, and on specific end-product(s), and lacks a global overview and potential to select optimal biomass-end-product combinations. Furthermore, the application of current state-of-the-art quantitative assessments lacks in applicability to the development of such biomass-end-product combinations. Many developments are currently taking place in the field of quantitative assessments with the introduction of ex-ante Life Cycle Assessments (LCA),<sup>45</sup> learning effects in Techno-Economic Assessments (TEA),<sup>46</sup> Geospatial Environmental Techno-Economic Assessments (ETEA),<sup>47</sup> Superstructure Optimisation,<sup>48</sup> etc. However, these types of assessments still focus on specific processes, creating a need for novel assessment methods that can easily compare potential biomass-end-product combinations. This study proposes the development of new quantitative state-based assessment methods based on properties available at each Technology Readiness Level (TRL) as a shortcut to the current state-of-the-art assessments. Statistical Entropy Analysis (SEA)<sup>49</sup> is a more recent assessment method whose applicability has been investigated in various fields, ranging from resource efficiency,<sup>50</sup> quantification of recyclability,<sup>51</sup> material flow analysis,<sup>52</sup> and linking assessment to circular economy principles.<sup>53</sup> In addition, a variety of feedstocks have been studied, ranging from metals to e-waste and plastics. Although SEA has not yet been applied to the valorisation of lignocellulosic biomass, the potential it has shown in different sectors and for a variety of feedstocks is promising for this novel application.

## Lignocellulosic biomass

### What is lignocellulosic biomass?

Lignocellulosic biomass consists mainly of three polymers: cellulose, hemicelluloses, and lignin. Cellulose is a linear  $(C_6H_{10}O_5)_n$  polymer formed by linking monomeric D-glucose and dimeric cellobiose through  $\beta$ -1,4-glycosidic bonds. While cellulose is generally crystalline, a combination of both amorphous and crystalline regions can be observed in plants or biomass. The degree of polymerisation depends on the type of lignocellulosic biomass. In contrast to cellulose, hemicelluloses are a combination of various monomers, consisting of five different C<sub>5</sub> (xylose and arabinose) and C<sub>6</sub> sugars (galactose, glucose, and mannose). The exact composition of hemicelluloses varies with the type of lignocellulosic biomass. Furthermore, hemicelluloses are amorphous due to its heterogeneous and complex structure. One of the main functions of

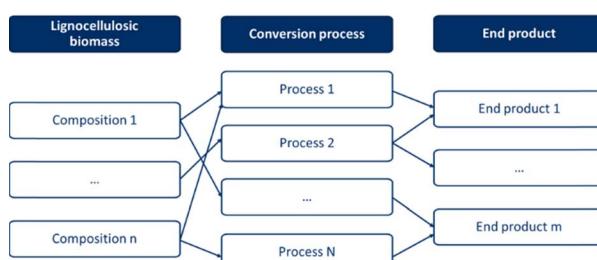


Fig. 2 Lignocellulosic biomass valorisation pathways.



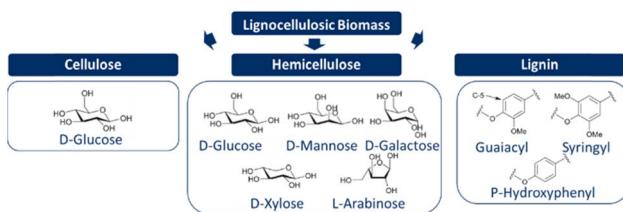


Fig. 3 Constituents of the lignocellulosic biomass and their chemical structure.

hemicelluloses is to create links between cellulose and lignin. Lignin is a three-dimensional structure of three phenyl propenoic monomers (coniferyl, sinapyl, and *p*-coumaryl alcohols), and is therefore an aromatic heteropolymer. Once part of the polymeric lignin structures, the three monolignols are referred to as guaiacyl, syringyl, and *p*-hydroxy-phenyl subunits, respectively. Similar to hemicelluloses, the exact composition of lignin also varies with the type of lignocellulosic biomass. The main function of lignin is to provide structural support and resistance to environmental influences, and it is also considered to be the glue within lignocellulosic biomass.<sup>19,24,29,36,39,54–58</sup> The chemical structures of all three constituents are shown in Fig. 3.

#### Types of and categorisation of lignocellulosic biomass based on composition

As mentioned, the exact composition of lignocellulosic biomass depends on the feedstock source, which is generally divided into five categories, four of which are virgin biomass: energy crops (a type of herbaceous and woody biomass specifically selected for energy generation), grasses/herbaceous crops, softwoods, and hardwoods. The fifth category can be defined as secondary streams generated by factories and populations.<sup>24,29,30,40,54,55,57–63</sup> Fig. 4 provides an overview of the five categories of lignocellulosic biomass, showing the compositional differences between these categories due to the multiple polymers from which hemicelluloses and lignin are composed. In terms of hemicelluloses, softwoods are dominated by mannose sugars,<sup>24,30,55,57,61,62</sup> whereas xylose sugars are the main hemicellulose sugars in grasses<sup>57,61</sup> and

hardwoods.<sup>24,30,55,57,61,62</sup> With regards to lignin, softwoods contain predominately guaiacyl units.<sup>30,40,54,55,57,61–65</sup> For hardwoods, syringyl units are also significantly present.<sup>30,40,54,55,57,61–65</sup> Finally, for grasses/herbaceous crops, *p*-hydroxy-phenyl groups are present as well.<sup>30,40,54,57,61,63–65</sup>

To valorise lignocellulosic biomass, it is essential to know the exact composition of the biomass in terms of its constituents, especially when chemicals are targeted. On average, 35–51% (d.b.) of biomass is cellulose, 20–33% are hemicelluloses, and 13–30% is lignin.<sup>19,20,28,33,37–39,55–57,61,66</sup> Furthermore, these fractions differ between the types and subtypes of lignocellulosic biomass. The composition of the three polymers in different lignocellulosic biomass types is shown in Table 1, while the composition of the lignocellulosic subtypes (specific feedstocks within a given type) is provided in Tables S1–S4 in the ESI.†

For each of the lignocellulosic biomass types, cellulose is present in the highest concentration. However, energy crops and softwoods show a significantly higher value than food/feed residues. The highest hemicellulose fraction is found in grasses, while the highest lignin fraction is found in softwoods.

Energy crops can all be placed in a different category in terms of composition; miscanthus (*Miscanthus giganteus*), switchgrass (*Panicum virgatum*), giant reed (*Arundo donax*), and pennisetum (*Pennisetum*) are examples of grasses, while eucalyptus (*Eucalyptus globulus*), willow, and poplar are examples of hardwoods.<sup>59,60,68</sup>

In addition to the distribution of the main constituents (cellulose, hemicelluloses, and lignin), the distribution of monolignols is an important parameter for valorisation. For example, guaiacyl-rich biomass contains more stable C–C bonds than syringyl-rich biomass, which means that the overall stability of the lignocellulosic biomass is different, affecting the valorisation process.<sup>40</sup> The monolignol distribution is strongly related to the type of lignocellulosic biomass, as mentioned in Fig. 4. Table 2 shows the composition range of each monolignol. Energy crops and secondary streams are not included. No data were available for these feedstocks, as they are a mixture of grass/herbaceous, softwood, and hardwood materials, causing relevant fluctuations of the content. The lower and upper limits of the ranges for each type are determined in the same way as for the distribution of cellulose, hemicelluloses, and lignin. As shown in Fig. 4, softwoods contain mainly guaiacyl units, hardwoods contain guaiacyl and syringyl units, and grasses contain the three subunits. The biomass also consists of other materials, such as extractives and inorganics. The content of these materials can be less than 5% of the total biomass in the case of woody biomass, and around 10–15% in the case of herbaceous crops.<sup>69,70</sup> However, this review will focus on the valorisation of biopolymers, the most valuable and studied part of lignocellulosic biomass.

#### How can the lignocellulosic biomass be valorised?

**End-products.** Lignocellulosic biomass can be valorised into various end-products through a variety of conversion methods. The end-products are divided into four categories, namely fuels/

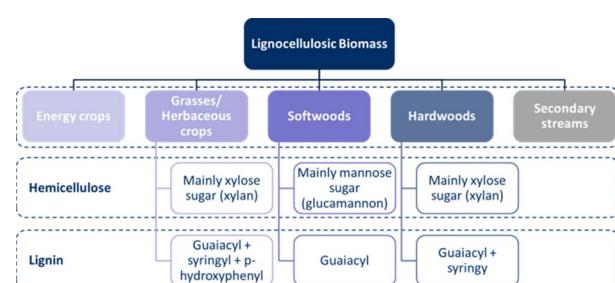


Fig. 4 Lignocellulosic biomass categories and their general composition shown here schematically demonstrate that the composition of the lignocellulosic biomass is dependent on the category specifically for hemicellulose and lignin.



**Table 1** Composition of the lignocellulosic biomass constituent, depending on the type (lower and upper limits of the ranges of the type are determined by the average of the minimum and maximum values found in the literature)

	Cellulose		Hemicelluloses		Lignin		Reference
	Lower limit	Upper limit	Lower limit	Upper limit	Lower limit	Upper limit	
Energy crops	21%	54%	5%	30%	5%	10%	24
Grasses	25%	40%	23%	50%	10%	30%	24, 26, 30, 37, 40, 54, 63 and 65–67
Softwoods	40%	50%	19%	35%	21%	35%	24, 26, 30, 37, 40, 54, 55, 63 and 65
Hardwoods	38%	55%	17%	40%	16%	30%	24, 26, 30, 37, 40, 54, 55, 63 and 65 and 67
Secondary streams	25%	29%	18%	20%	17%	21%	29, 39, 57, 66 and 67

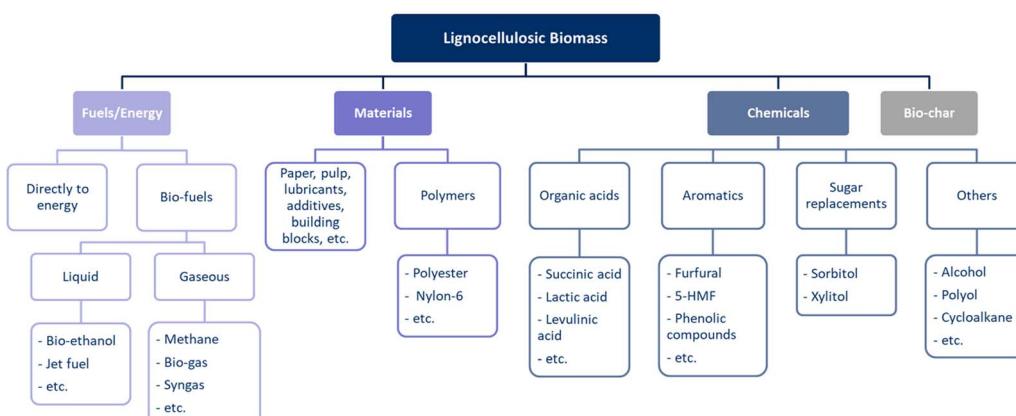
**Table 2** Monolignol distribution in lignocellulosic biomass, depending on the type (lower and upper limits of the ranges for each type are determined by the average of the minimum and maximum values found in the literature)

	G: guaiacyl units		H: <i>p</i> -hydroxy-phenyl units		S: syringyl units		Reference
	Lower limit	Upper limit	Lower limit	Upper limit	Lower limit	Upper limit	
Grasses	25%	80%	5%	35%	20%	55%	30 and 63–65
Softwoods	90%	100%	0%	5%	0%	1%	30 and 63–65
Hardwoods	25%	50%	0%	8%	45%	75%	30 and 63–65

energy, materials, platform chemicals, and bio-char. These categories, with the corresponding subcategories, are visually represented in Fig. 5. The first category includes energy and fuels, and is often referred to as energetic valorisation, with direct energy production<sup>15,18,26,29–33,65,66</sup> or biofuel production.<sup>15,29–33,38,57,58,65,68</sup> Biofuels can be either liquid (bio-ethanol,<sup>19,29,30,32,33,36–39,57,68,71</sup> jet fuel,<sup>31,32,41,54,66</sup> etc.) or gaseous (biomethane,<sup>24,41</sup> bio-gas,<sup>29,38,41</sup> syngas<sup>19,29,30,33,38,66</sup>). The second category is biomaterials, such as paper, pulp, lubricants, additives, building blocks, and biopolymers.<sup>24,28–31,33,36,38,54</sup> The third category is platform chemicals, which can be further subdivided into organic acids,<sup>19,24,30,31,36–38,62,65</sup> aromatics,<sup>29–33,36–40,54,62,63,65,66,71,72</sup> sugar substitutes,<sup>28,38,39</sup> and others.<sup>31,33,36,38,54,65,66</sup> The last category is bio-char, which can be considered both as an energy source (as a solid fuel) and as a material (as carbon black), and will therefore be further considered in these categories.<sup>30,33,38,41,66</sup>

**Pretreatment.** To make lignocellulosic biomass suitable for conversion methods, a pretreatment is required to break the rigid structure of lignocellulosic biomass and the intermolecular bonds between biopolymers releasing cellulose and hemicelluloses from lignin.<sup>39</sup> For example, pretreatment is particularly important for biochemical conversions, in which the biomass is converted to fermentable sugars.<sup>33</sup> In this case, pretreatment is an additional deconstruction step, in order to make the biomass more accessible for hydrolysis.<sup>61</sup>

Lignin needs to be removed due to its complex structure, which hinders the ability to break down lignocellulose. Lignin is bonded by strong ether linkages and carbon–carbon bonds.<sup>56</sup> This strength depends on the amount of  $\beta$ -O-4 caused by the distribution of monolignols, which creates a difference between softwoods, hardwoods, and grasses.<sup>54</sup> For example, hardwoods are syringyl-rich, resulting in fewer stable C–C bonds compared to softwoods that are guaiacyl-rich.<sup>40</sup>



**Fig. 5** Lignocellulosic biomass valorisation products in one of the possible classifications showing the different end products, although many materials such as polymers are synthesised from chemicals, indicating the complexity of the lignocellulosic biomass valorisation process.



Lignin can be modified or partially separated, dissolved, and removed.<sup>28,30,37,38</sup> Not all methods allow lignin to be recovered. However, ensuring this recovery would potentially provide multiple revenue streams, thus improving the economic viability.<sup>33,61</sup> A selective fractionation technology is required to achieve the separation of lignin and (hemi)cellulose.<sup>32</sup>

In addition to lignin removal, pretreatment technologies serve other purposes, each with the primary goal of increasing the accessibility for degradation.<sup>26,36,39,66</sup> An ideal pretreatment method would have the following characteristics: reduce cellulose crystallinity,<sup>19,21,26,38,39</sup> reduce cellulose degree of polymerisation,<sup>21</sup> increase biomass surface area,<sup>19,38</sup> address hemicelluloses content,<sup>19,21,26,38,57</sup> degrade lignin if not removed,<sup>19,21,26,57</sup> address particle size,<sup>21,36</sup> increase porosity,<sup>21,39</sup> produce few inhibitors and by-products,<sup>33,57</sup> change chemical composition,<sup>39</sup> etc. Furthermore, the pretreatment should be

suitable for treating a variety of lignocellulosic feedstocks.<sup>33</sup> The methods can be divided into four categories: physical, chemical, physicochemical, and biological pretreatments.<sup>73–76</sup> Each of these categories contains a variety of options, as shown in Fig. 6. The outcome of the pretreatment depends on the composition of the lignocellulosic biomass, and therefore, on the type of lignocellulosic feedstock.<sup>36</sup> Due to the wide variety of pretreatment methods, it is possible to select the most appropriate method or combination of methods for the chosen conversion process or end-product.<sup>25</sup> The method is selected based on the type of lignocellulosic biomass, economic feasibility, and environmental impact.<sup>33</sup> In general, a pretreatment method consumes power and heat.<sup>33,39</sup> In addition, not all technologies are environmentally friendly or sustainable due to the need for high temperatures or the use of chemicals.<sup>29</sup>

**Conversion methods.** To obtain the end-products shown in Fig. 5, a valorisation technique is necessary. These techniques are divided into four categories, depending on the end-products. Throughout the rest of this paper, only two categories will be referred to, namely energy (including energy generation and fuels) and chemicals (including production of materials, platform chemicals, and bio-char), as the production of bio-char can be classified as both energy and chemicals. Furthermore, many materials (such as biopolymers) are closely related to the conversion process of chemicals. The energetic valorisation techniques are visually represented in Fig. 7, while the conversion to chemicals is visualised in Fig. 8. Some conversion methods (such as liquefaction, gasification, pyrolysis, and biological conversion) can be used for both chemicals and energy production. The category of energetic valorisation is currently widely applied, as it is often combined with other

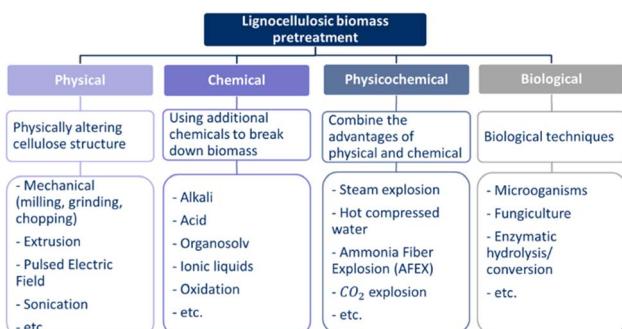


Fig. 6 Pretreatment methods prior to lignocellulosic biomass valorisation divided into the four different categories shown with a short description and examples.

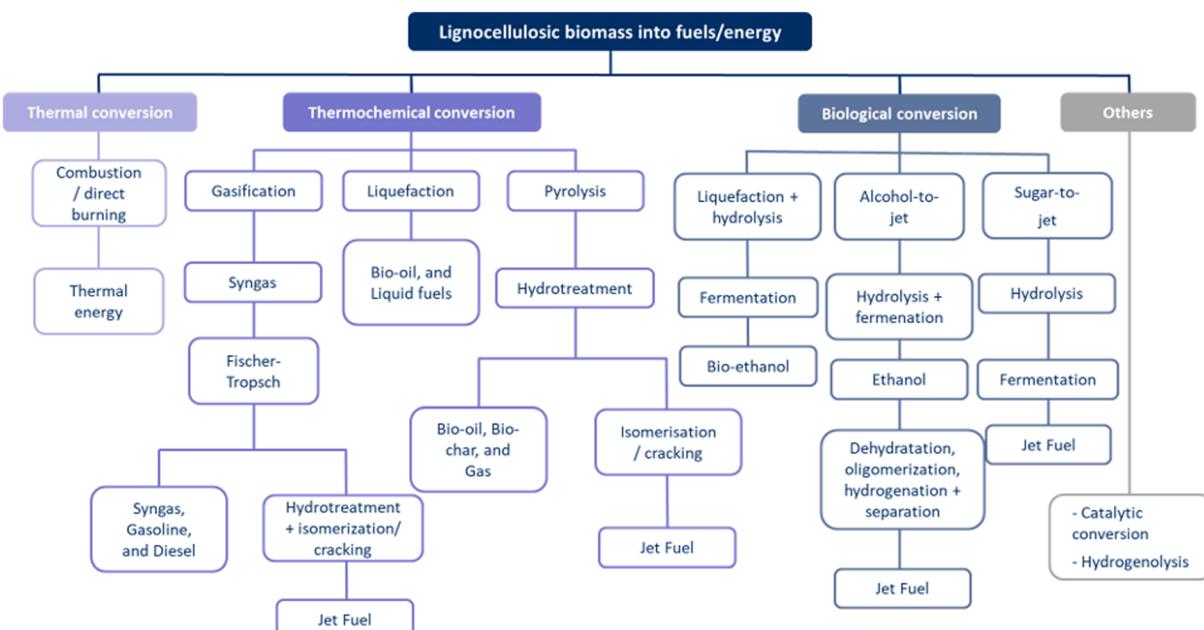


Fig. 7 Pathways for converting lignocellulosic biomass into fuels/energy, indicating the different types of conversion methods that can potentially be applied with the different intermediate steps, and their end products, showing the high variety of options creating the complex valorisation process.



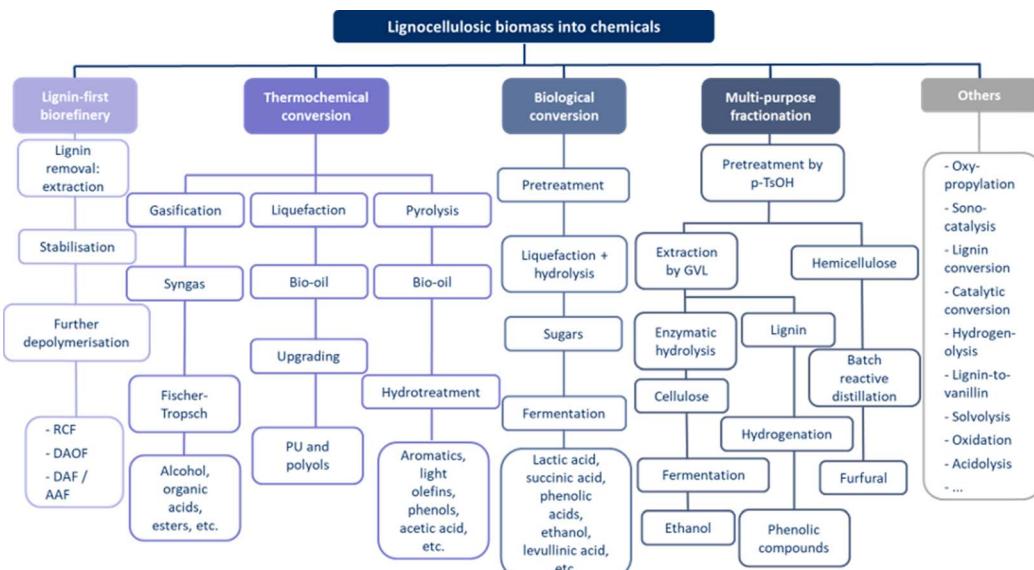


Fig. 8 Pathways for converting lignocellulosic biomass into chemicals, indicating the different types of conversion methods that can potentially be applied with the different intermediate steps, and their end products, showing the high variety of options creating the complex valorisation process.

valorisation methods, such as combusting the lignin fraction to power/fuel the valorisation of polysaccharides.<sup>35,77</sup>

**Thermal conversion.** The first conversion technology is the thermal conversion of lignocellulosic biomass into energy. Combustion or direct burning of the biomass takes place in the presence of oxygen at 800–1600 °C.<sup>15,29,31–33,56,57</sup> In general, no pretreatment is required for thermal conversion, although a moisture content of less than 50% is preferred.<sup>33</sup> All five categories of biomass listed in Fig. 4 can be used as feedstocks to produce thermal energy/heat,<sup>15,29,31,33,56,57</sup> power,<sup>15,32,33,57,65</sup> or electricity.<sup>15,29,33</sup> Of particular interest is the use of secondary streams from industrial processes for energy generation. It is also possible to use a pretreatment that removes the lignin from the lignocellulose. While the cellulose and hemicelluloses are used to produce fuels or chemicals, the lignin is combusted. This technique is mainly used in the pulp and paper industry, where the heat generated from the lignin extracted during the pulping process is used directly to drive the other processes.<sup>29,31,32,56,65</sup> Irrespective of whether lignocellulose or lignin is used as a feedstock, flue gases (CO<sub>2</sub> and water among others) are also produced, which can lead to greenhouse gas (GHG) emissions<sup>33</sup> during the production of electricity.<sup>15,33</sup> Currently, almost all lignin is thermally converted, resulting in a loss of potential resources. Only 1–2% is chemically converted into fuels or chemicals.<sup>29,65</sup>

**Pyrolysis.** Pyrolysis is a thermochemical conversion method that can be used to produce both fuels and chemicals. The general process of pyrolysis is a thermochemical decomposition in an oxygen-free atmosphere.<sup>29,30,33,38,58,66</sup> In some cases, pyrolysis is considered as a pretreatment rather than a conversion process.<sup>29,36,66</sup> An advantage of this technology is that it can convert both wet and dry biomass. However, the energy consumed for the latent heat of evaporation is a drawback.<sup>25,41</sup>

Pyrolysis can produce fuels (fuel intermediates) from biomass in liquid (bio-oil), solid (bio-char), and gaseous (non-condensable gasses) forms.<sup>19,25,29,30,33,36,38,41,57,58,63,65,66</sup> Both virgin biomass and secondary streams, *e.g.*, lignin extracted by organosolv pretreatment, can be considered.<sup>58,63</sup> Controlling the operating conditions influences the composition and yield of the products obtained. Some important parameters are temperature, pressure, residence time of vapours and biomass feed, and reactor configuration.<sup>66</sup> Various types of pyrolysis can be distinguished in Table 3. In most cases, bio-oil,<sup>3,30,33,36,38,41,58,63,65,66</sup> bio-char,<sup>29,30,33,38,58,63,66</sup> and non-condensable flue gasses<sup>33,38,58,63</sup> are produced. However, it is difficult to control the distribution of these fractions, mainly due to the complexity of the feedstock.<sup>63</sup> An upgrading step is required to produce drop-in fuels. Further processing options include hydrotreatment, a combination of hydrotreatment, hydrocracking and/or hydroisomerisation, methanol synthesis, catalytic pyrolysis (zeolite upgrading), or aqueous-phase processing.<sup>30,33,41,58,66</sup> These reactions can produce upgraded bio-oil,<sup>3,19,29,30,33,36,38,41,57,58,63,65</sup> bio-char,<sup>30,33,38,41,63,66</sup> drop-in fuels,<sup>19,25,30,33,38,41,58</sup> methane,<sup>30</sup> bio-jet fuel,<sup>41,66</sup> heavy fuel,<sup>33</sup> and hydrogen.<sup>38,57,58</sup>

Hydrotreatment, hydrocracking, and/or hydroisomerisation of the bio-oil produces upgraded (bio-jet) fuels that approach the drop-in standards. Such upgrading steps require catalysts, namely CoMo/γ-Al<sub>2</sub>O<sub>3</sub> and NiMo/γ-Al<sub>2</sub>O<sub>3</sub> for hydrotreatment, and CoMoS/Al<sub>2</sub>O<sub>3</sub> or HZSM-5 for the hydrocracking.<sup>66</sup> Another route to bio-jet fuel is through the deoxygenation of bio-oil.<sup>66</sup> Methanol synthesis produces bio-jet fuel by reacting the bio-oil and gas with hydrogen.<sup>41</sup> Heavy or transport fuel can be produced by hydrotreatment, which takes place at 127–500 °C using high-pressure hydrogen. Supported transition metal or sulphide catalysts are required as catalysts.<sup>33,58</sup> Fast pyrolysis



Table 3 Operating conditions of pyrolysis for the maximisation of fuel (intermediates) based on the pyrolysis type

	Temperature	Residence time	Other parameters	Yield	Reference
Pyrolysis	300–1000 °C			58 wt% bio-oil 42 wt% bio-char	29, 33, 58, 63 and 65
Flash	800–1000 °C	<1 s	Particle size 3 mm Highest heating rate	75 wt% bio-oil 12 wt% bio-char Chemicals and gasses	33, 38 and 66
Fast	400–600 °C	0.5–5 s	3000–1000 °C min <sup>-1</sup>	70–80% bio-oil 10–20% gas, 15–25% bio-char	3, 30, 33, 38, 58 and 66
Intermediate	Low	5–30 min	Low heating rate	Bio-char, bio-oil and gas	33 and 38
Slow/carbonisation	Low	Days	Low heating rate	Bio-char	33 and 38
Vacuum	±300 °C		Negative P	Bio-char	66
Lignin depolymerisation	Up to 800 °C				66
Pretreated	150–400 °C			>6–10% phenols, bio-oil	29 and 63
Ultra-rapid		<0.5 s		Chemicals, gas	38
Hydrous vs. hydropyrolysis	416–500 °C	45 min vs. <2 min		Gas vs. bio-oil	38 and 58
Catalytic fast	416–500 °C		Catalyst: Metal oxides/zeolite	High quality bio-oil rich in aromatics and phenolics	30, 58 and 66

combined with hydrotreatment is a simple, cost-effective and efficient process for the production of liquid hydrocarbon fuels.<sup>58</sup> Hydrodeoxygenation (HDO) upgrades the bio-oil by stabilizing, deoxygenating, and increasing the energy density.<sup>30,58</sup> Aqueous-phase processing separates bio-oil, followed by an aqueous-phase reforming or aqueous-phase dehydration/hydrogenation process of the aqueous fraction to hydrogen or hydrocarbons.<sup>58</sup> By using fast pyrolysis, the need for an upgrading step can be avoided, and liquid fuel can be directly produced.<sup>33</sup> Catalytic fast pyrolysis uses zeolite-based heterogeneous catalysts to directly upgrade the primary pyrolysis vapours to a fuel intermediate. Cracking is performed at 350–500 °C and atmospheric pressure.<sup>30,58</sup> Bio-char can be separated by a cyclone and used as a fuel.<sup>33</sup> The desired fractions can be separated by distillation.<sup>66</sup>

In addition to fuels and/or fuel intermediates, chemicals are also possible end-products of pyrolysis,<sup>25,29,30,33,38,57,63</sup> requiring a pretreatment for the valorisation of the secondary streams. For example, organosolv and acid-alkali treatments that remove lignin are possible.<sup>29,30,63</sup> Similar to the pyrolysis for fuel production, the yield varies, depending on the operating conditions. Table 4 lists the operating conditions depending on the type of pyrolysis. Bio-oil is formed containing small carbonyl compounds (acetic acid, acetaldehyde,

hydroxyaldehydes, *etc.*), sugar-derived compounds (furfural, levoglucosan, anhydrosugars, *etc.*), and lignin-derived compounds.<sup>30</sup> In some cases, such as the pyrolysis of lignin, a catalyst is required, such as zeolites, HZSM-5, or mordenite, which influences the composition of the resulting bio-oil.<sup>30,63</sup> Zeolite catalysis, either *in situ* or *ex situ*, promotes the formation of aromatics and light olefins. HZSM-5 as a catalyst gives a high monomer yield with an increased selectivity for monomeric aromatics.<sup>42,78</sup> In the case of pyrolysis of lignin, after pretreatment, there was a difference between organosolv lignin, and a combination of organosolv and kraft lignin. Without a catalyst, monomer yields were 17–18% and 3–7%, respectively.<sup>30</sup> After pyrolysis, bio-oil,<sup>30,33</sup> phenolics,<sup>30</sup> and monomer fractions<sup>30</sup> may undergo an upgrading step.<sup>25,30,33</sup> This may involve hydrotreatment, hydrodeoxygenation (HDO), or zeolite cracking.<sup>30</sup> These upgrading reactions can produce chemicals,<sup>25,33,38,57</sup> cycloalkanes,<sup>30</sup> phenolic compounds<sup>29,30,63</sup> from tar,<sup>38</sup> acetic acid,<sup>29</sup> aromatic compounds,<sup>29,30</sup> light olefins,<sup>30,57</sup> and bio-char.<sup>30</sup>

In zeolite cracking, the upgrading step is part of the pyrolysis step, which is referred to as catalytic fast pyrolysis.<sup>30,79</sup> Hydrodeoxygenation (HDO) of phenolic monomers leads to the generation of cycloalkanes, aromatics, and phenols. The formation of these products was determined by the operating pressure and temperature. High temperatures (300–500 °C) and

Table 4 Operating conditions of pyrolysis for the maximisation of chemicals based on the pyrolysis type

	Temperature	Residence time	Other parameters	Yield	Reference
Pretreated	200–400 °C			>6–10% phenol	29 and 63
Fast (in general, catalytic and/or pretreated)	400–600 °C	1–2 s	300–1000 °C min <sup>-1</sup>	75% liquid: wide range monomer	30 and 63
Flash		<1 s		Bio-oil, chemicals, gas	38
Ultra-rapid		<0.5 s		Chemical, gas	38



Table 5 Operating conditions of gasification for the maximisation of fuel (intermediates) based on the reactor type

	Temperature	Residence time	Other parameters	Yield	Reference
Gasification	600–1000 °C	3–4 s	1–40 bar	Syngas	3 and 33
Fixed bed	100–800 °C		Downdraft, updraft, or crossflow	Gas for heating and power	33
Fluidised bed	1000 °C		Circulating, dual, or bubbling	Syngas, liquid fuels, heat and power, electricity, co-firing	33
Entrained bed	1400–1500 °C			Liquid fuel	33

low hydrogen pressures favour aromatics, as the reaction takes place mainly in the gas phase. Lower temperatures (100–400 °C) and high hydrogen pressures favour cycloalkanes in the liquid phase. Phenol formation can occur in both the gas and liquid phases. Phenolic compounds can in turn be converted to cycloalkanes with high yields using a catalytic system.<sup>30</sup>

**Gasification.** Gasification is the thermal decomposition of biomass at high temperatures around 600–1000 °C in a low-oxygen atmosphere (to avoid combustion).<sup>30,66</sup> An advantage of gasification is that it is not limited to one type of lignocellulosic feedstock. However, the disadvantages include the high energy input due to the high reaction temperatures and failure to exploit the unique structure of lignin.<sup>25,30</sup>

Gasification with a focus on fuel formation<sup>3,19,25,29,30,33,57,58,65,66</sup> aims to produce a maximum yield of gaseous compounds (*i.e.*, producer gas or syngas) and a minimum amount of char and condensed hydrocarbons (*i.e.*, tar).<sup>33</sup> Table 5 provides an overview of the process conditions used for the gasification. The produced gas is a mixture of gases, such as CO, H<sub>2</sub>, CO<sub>2</sub>, CH<sub>4</sub>, etc.<sup>19,29,30,33,58,66</sup> A liquid fraction (tar) and a solid (char) fraction are also obtained.<sup>66</sup> Solid particles are removed from the gas stream by using a cyclone, while other impurities are removed by water scrubbing or solid sorption.<sup>33</sup> Syngas,<sup>19,29,65</sup> diesel and gasoline,<sup>3,30,33,57,66</sup> fuel,<sup>19,25,30,33,66</sup> bio-jet fuel (SAF: Sustainable Aviation Fuel),<sup>66</sup> hydrocarbons,<sup>33,58,66</sup> hydrogen<sup>33,57,66</sup> are possible end-products of these processes.

Syngas can be further upgraded by Fischer–Tropsch, or methanol/dimethyl ether synthesis.<sup>3,25,30,33,58,65,66</sup> In a Fischer–Tropsch synthesis,<sup>3,30,33,58,66</sup> CO reacts with H<sub>2</sub> to produce mainly linear and branched hydrocarbons according to reaction (1). The length of the carbon chain (*n*) depends on the operating conditions,<sup>66</sup> which are given in Table 6. The required catalyst can vary, *e.g.*, metal catalysts (Fe, Co-based, Ni, Cu, Fe, Co, Rh, and Ru).<sup>33,66</sup> Other catalysts that have been studied are Fe<sub>2</sub>O<sub>3</sub> nanoparticles inside TiO<sub>2</sub> nanotubes, Co/ZrO<sub>2</sub>–SiO<sub>2</sub> bimodal catalyst, Co–SiO<sub>2</sub>, and  $\alpha$ -alumina impregnated with Co and Re.<sup>66</sup> Additional steps are required to produce bio-jet fuel, namely a hydrotreating and isomerisation/cracking.<sup>66</sup> Biomass-based

jet fuel is not expected to differ from fossil-based jet fuel because the properties of the feedstock are destroyed in the gasification step.<sup>66</sup> Biomass gasification without a catalyst is not economically feasible due to the presence of methane and tar in the syngas. However, catalytic biomass gasification improves efficiency by controlling the composition of the product.<sup>33</sup>



#### Fischer–Tropsch reaction of syngas.<sup>66</sup>

Gasification of biomass into syngas can be used to produce chemicals as well.<sup>25,30,33,57</sup> To produce the desired chemicals, an upgrading step using Fischer–Tropsch synthesis is required.<sup>25,30,33</sup> Depending on the operating conditions and the type of catalyst, long- or short-chain molecules are obtained, as shown in Table 6.<sup>33</sup> In this case, however, chemicals<sup>25,30,33</sup> such as, alcohols,<sup>33,57</sup> hydrocarbons,<sup>33</sup> olefins,<sup>57</sup> organic acids, and esters,<sup>33</sup> are formed.

**Liquefaction.** Liquefaction is the thermal decomposition of lignocellulosic biomass in a solvent in order to produce liquid products.<sup>30</sup>

Liquefaction<sup>30,57,58</sup> (or hydrothermal liquefaction (HTL)<sup>3,41</sup>) is a fragmentation technology that can be applied to both wet and dry lignocellulosic biomass.<sup>41</sup> A temperature range of 250–450 °C, a pressure of 5–20 bar, a catalyst, and a solvent are required to perform liquefaction. These temperatures are lower than those required for pyrolysis or gasification. Higher temperatures may limit the gas formation, while lower temperatures will not decompose the cellulose.<sup>30</sup> The reaction can be carried out in water or other solvents, such as methanol, ethanol, isopropanol, or a defined mixture of these. Water favours C<sub>1</sub>- and C<sub>2</sub>-substitutes, while alcohols favour C<sub>3</sub> side chains. To increase the yield of bio-oil, basic catalysts are mainly preferred, namely Na<sub>2</sub>CO<sub>3</sub>, K<sub>2</sub>CO<sub>3</sub>, KOH and Ca(OH)<sub>2</sub>.<sup>30</sup> Bio-oil,<sup>3,30,41,57</sup> bio-char,<sup>30,41</sup> and gases<sup>30,41</sup> are obtained from the liquefaction process. These can be further upgraded in order to make them compatible with fossil feedstocks.<sup>30</sup> Final products of upgrading can include bio-oil,<sup>3,30,41,57,58</sup> liquid fuels,<sup>30,41,58</sup> hydrogen,<sup>57</sup> and methane.<sup>57</sup>

Table 6 Operating conditions of gasification upgrading using the Fischer–Tropsch synthesis (F–T)

	Temperature	Other parameters	Yield	Reference
Low-temperature F–T	200–250 °C	Co- or Fe-based catalyst	Hydrocarbons in diesel range Long-chain molecules	33 and 66
High-temperature F–T	320–375 °C	Fe-based catalyst	Gasoline and light olefins Short-chain molecules	33 and 66



Table 7 Operating conditions of liquefaction for the maximisation chemicals based on the liquefaction type

Temperature	Residence time	Other parameters	Yield	Reference
Liquefaction	150–250 °C		Atmospheric pressure	
Acid catalysed	130–170 °C	90 min		Polyols >90% efficiency
Base catalysed	250 °C	60 min		Comparable efficiency

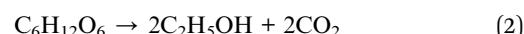
Compared to pyrolysis, liquefaction has a lower TRL.<sup>41</sup> In addition to fuels, liquefaction can lead to the production of chemicals.<sup>28</sup> The operating conditions for the liquefaction of lignocellulosic biomass into chemicals are given in Table 7. Both acid (sulphuric acid) and basic catalysts can be used. However, the latter is less corrosive to the metal equipment. Polyethylene glycol and glycerol are required as solvents. A high solvent-to-biomass ratio of 3 : 1 to 5 : 1 is required. There are two competing reactions that occur during the liquefaction process: recondensation reactions and liquefaction reactions. As a result, there is a risk of reduced efficiency. This parameter can be improved by prior separation of lignin from cellulose and the use of catalysts. Liquefaction of cellulose is slow due to the complicated reactions that take place. Cellulose is decomposed by solvolytic reactions into glucose or other cellulose derivatives. The reaction with the liquefaction solvents forms glycoside derivatives, which can further react to form levulinic acid and/or levulinates. The efficiency of the liquefaction reaction is determined by the composition, structure, and morphology of the lignocellulosic biomass. Softwoods react faster than hardwoods, although recondensation reactions are also faster. This results in the formation of polyols, which can be used to produce PUs (polyurethanes).<sup>28</sup>

**Biological/biochemical conversion.** Biological/biochemical conversion is one of the most researched conversion technologies, and it can be achieved by different approaches.<sup>25</sup>

Saccharification has the potential to produce fuels.<sup>19,21,26,31,33,36,38,39,57,61,62,68</sup> In most cases, pretreatment is used prior to the reaction.<sup>19,21,26,33,36,38,39,57,61,62</sup> However, in some cases (CBP, consolidated bioprocessing), the reaction can be performed without pretreatment.<sup>61</sup> The lignocellulosic biomass can be deconstructed using dilute or concentrated acids, such as sulphuric acid.<sup>19,36,61</sup> However, there is no single best pretreatment for all types of lignocellulosic biomass.<sup>19</sup> Enzymatic hydrolysis of cellulose produces the sugars, glucose and oligosaccharides.<sup>19,21,26,31,33,36,38,39,57,61,62,68</sup> Enzymes such as cellulase act as catalysts.<sup>19,21,26,31,33,36,38,39,57,61,62,68</sup> Three types of enzymes are used: endoglucanase (EF) or 1,4- $\beta$ -D-glucan-4-glucanohydrolase, exoglucanase (including 1,4- $\beta$ -D-glucan-4-glucanohydrolase and 1,4- $\beta$ -D-glucan cellobiohydrolase), and  $\beta$ -glucosidase (BGL) or  $\beta$ -glucoside glucohydrolase.<sup>21,36</sup> Cellulose degradation involves multiple reaction steps. First, the  $\beta$ -glycoside linkages of the inner regions of cellulose are hydrolysed into oligosaccharides. Second, new chain ends are formed. This is followed by the hydrolysis of glucose or cellobiose, the hydrolysis of the microcrystalline structure, and finally the cleavage of soluble cellobextrins and cellobiose into glucose.<sup>21</sup> If both hemicelluloses and cellulose go through

enzymatic hydrolysis, glucose and pentose sugars are obtained.<sup>36,57,61,62</sup>

The sugars produced can be refined by fermentation (reaction (2)).<sup>19,21,26,31,33,36,38,39,57,61,62,68</sup> Microorganisms assist the fermentation process.<sup>19,26,33,36,57,61,62,68</sup> Microorganisms can be genetically modified for fermentation (e.g., *Clostridium thermocellum*, *Caldicellulosiruptor* sp., *Monilia* sp., *Paecilomyces* sp., and *Neurospora crassa*),<sup>33</sup> or fungal species (e.g., *Fusarium*, *Rhizopus*, *Monilia*, *Neurospora* and *Paecilomyces*).<sup>57</sup> After fermentation, a distillation takes place in order to separate the ethanol.<sup>57</sup> In addition to bio-ethanol,<sup>19,21,26,31,33,36,38,39,57,61,62,68</sup> other liquid fuels<sup>19,26,36,38</sup> can be produced, such as biodiesel,<sup>57</sup> bio-butanol,<sup>39,57,62,68</sup> methane,<sup>57</sup> and alkanes and alkenes.<sup>36</sup>



Fermentation of glucose to ethanol.<sup>80</sup>

Biological/biochemical conversions can also be used to produce chemicals.<sup>19,28,29,33,36,38,39,57,61,62</sup> Pretreatment of the biomass is necessary for the production of chemicals.<sup>19,24,28,29,36,38,39,57,61</sup> The polysaccharides, mainly cellulose, are depolymerised to glucose and oligosaccharides.<sup>19,28</sup> This is followed by liquefaction and hydrolysis, catalysed by enzymes such as cellulase.<sup>19,29,36,38,39,57,61,62</sup> The glucose and sugars<sup>19,28,29,33,36,38,57,61,62</sup> are further converted by fermentation.<sup>19,24,33,36,38,39,57,61,62</sup> Fermentation also requires a catalyst as well, which in this case are enzymes that can be produced from specifically engineered microorganisms.<sup>19,28,38,61,62</sup> Besides the valorisation of polysaccharides, ligninolytic enzymes consisting of laccase, and lignin, manganese and versatile peroxides enable the valorisation of lignin towards high value chemicals (vanillin). These enzymes enable the degradation and delignification process.<sup>33,38,81,82</sup> Various chemicals,<sup>19,28,33,36,57,61,62</sup> organic acids,<sup>24</sup> carboxylic acids,<sup>36</sup> levulinic acid and/or levulinates,<sup>24</sup> lactic acid<sup>19,36,38,62</sup> (green solvents and PLA via lactic acid<sup>36</sup>), succinic acid,<sup>19,36,38</sup> propionic acid, butyric acid, hexanoic acid,<sup>36</sup> phenolic acids (vanillic, ferulic, *p*-coumaric),<sup>29,81</sup> butanol, propanediol,<sup>39</sup> and bio-ethanol<sup>19,33,61</sup> are obtained. Instead of fermentation, other (non-biological) processes can be used to produce chemical derivatives, such as furfural and xylitol.<sup>28,39,61</sup>

For both fuel and chemical production, there are three schemes for saccharification and fermentation. First, separate saccharification and fermentation (SSF) involves enzymatic hydrolysis, fermentation of C<sub>6</sub> sugars, and fermentation of C<sub>5</sub> sugars, which occur in separate reaction vessels.<sup>21,33,38,57</sup> Second, simultaneous hydrolysis and fermentation (SHF) combines enzymatic hydrolysis and fermentation of C<sub>6</sub> sugars in a single vessel, while the fermentation of C<sub>5</sub> sugars takes place in

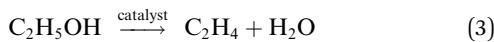


separate vessels.<sup>21,33,38,57</sup> Finally, simultaneous saccharification and co-fermentation (SSCF) combines enzymatic hydrolysis, fermentation of C<sub>6</sub> sugars and fermentation of C<sub>5</sub> sugars in a single vessel.<sup>21,38,57</sup> However, each of these schemes produces the enzyme (cellulase) in a separate system.<sup>21</sup> When cellulase production, hydrolysis, and fermentation are combined, it is called consolidated bioprocessing (CBP) or direct microbial conversion (DMC).<sup>21,33,38</sup>

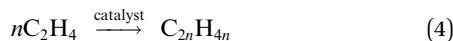
Other biological/biochemical conversions focus specifically on the production of advanced fuels<sup>83</sup> such as bio-jet fuels through the alcohol-to-jet and sugar-to-jet processes.<sup>66,84,85</sup>

Alcohol-to-jet starts with a pretreatment that removes lignin from the polysaccharides.<sup>66,84</sup> The first part of this process is the hydrolysis and fermentation of the pretreated biomass.<sup>66,84</sup> Enzymatic hydrolysis at 40–50 °C and pH 4–5 releases the sugars (glucose, xylose, arabinose, galactose, and mannose).<sup>66</sup> Possible enzymes are endoglucanases, exoglucanase or cellobiohydrolase, β-glucosidase, glucuronidase, acetyl esterase, xylanase, and β-glucosidase.<sup>66</sup> The sugars are fermented to ethanol by microorganisms (bacteria, yeast, or fungi).<sup>66,84</sup> Fermentation is challenging because the ethanol yield is determined by the microorganisms selected (*Saccharomyces cerevisiae*, *Zymomonas mobilis*), modified strains (*Escherichia coli*, *S. cerevisiae*, and *Z. mobilis*), *Candida shehatae*, or newly developed microorganisms.<sup>84</sup>

The second part consists of dehydration, oligomerisation, and hydrogenation of ethanol, followed by the separation of the desired fractions, which is a non-biochemical upgrading step.<sup>66,84</sup> In the dehydration step, ethanol is first converted to ethylene and water using an acid catalyst (reaction (3)). High temperatures (180–300 °C) in combination with zeolites, alumina, and silica-alumina catalysts promote the degradation of ethanol.<sup>84</sup> Second, various ethylene molecules are converted into long-chain linear olefins by an oligomerisation through reaction (4). For bio-jet fuel, a C<sub>8</sub>–C<sub>16</sub> carbon-chain is desirable. However, the length is influenced by the catalyst used. Ni\_ALSBA-15 is a mesoporous catalyst that produces C<sub>4</sub>–C<sub>10</sub> olefins at 150 °C and 3.0 MPa. Covalent organic frameworks supporting Ni catalysts produce C<sub>4</sub>–C<sub>8</sub> olefins at 50 °C and 15 bar. MIL-100(Cr), a chromium catalyst in an organometallic framework, forms C<sub>6</sub>, C<sub>8</sub>, and C<sub>10</sub> olefins. Chromium-based complexes with bis(benzimidazole-methyl) amine ligands can produce up to 10% olefins that are larger than C<sub>8</sub>. C<sub>10</sub>–C<sub>55</sub> olefins can be produced by ionic liquid catalysis.<sup>84</sup> Next, alkanes (in the same range as the alkenes) are produced from the saturated hydrocarbon molecules by hydrogenation.<sup>84</sup>



Dehydration of ethanol to ethylene.<sup>84</sup>



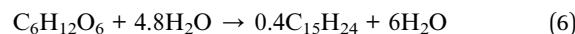
Oligomerisation of ethylene to long-chain linear olefins.<sup>84</sup>

Last, the desired fractions are separated.<sup>84</sup> Each section (ethanol production, dehydration, and ethylene

oligomerisation) has its own separation equipment. For ethanol production, the by-products are separated by distillation until the azeotrope (96 wt%) is obtained. Higher purity can be achieved by extractive distillation or pervaporation. The dehydration step requires three separation steps: quenching, scrubbing, and drying. After oligomerisation, a heat-based separation such as distillation purifies the hydrocarbons.<sup>84</sup> The bio-jet fuel<sup>66,84</sup> is separated from the by-products (naphtha and green diesel).<sup>84</sup>

Sugar-to-jet,<sup>66,84</sup> similar to alcohol-to-jet, starts with a pretreatment that removes lignin.<sup>66</sup> The biomass is hydrolysed and sugars are extracted.<sup>66</sup> The sugars are then directly converted without the formation of ethanol.<sup>66,84</sup> Fermentation may be followed by hydrotreating and/or hydrocracking/hydroisomerisation.<sup>84</sup> A variety of products (pentadecane, farnesene, fatty esters, and fatty acids) can be produced, depending on the microorganisms.<sup>84</sup> In addition to fermentation, catalytic upgrading to bio-jet fuels with hydrogenation, reforming, condensation or dehydration and oligomerisation is possible.<sup>84</sup> Each of these conversion pathways has the common goal of producing bio-jet fuel.<sup>66,84,85</sup>

For example, the mevalonate pathway (reaction (5)) yields farnesene (C<sub>15</sub>H<sub>24</sub>), which can be hydrogenated to farnesane. Bio-jet fuel is obtained by hydrocracking and hydroisomerisation.<sup>84</sup>



Mevalonate pathway to farnesene.<sup>84</sup>

Depending on the conversion pathway, a separation technology is required.<sup>84</sup> For example, conversion to farnesene requires de-emulsification, liquid–liquid centrifugation, and flash, which may be followed by distillation for hydrogenation of farnesene, hydrocracking, and hydroisomerisation.<sup>84</sup>

**Lignin-first biorefinery.** Lignin-first biorefinery is a broad term for solvent-based methods that preserve lignin for valorisation.<sup>32</sup> The focus is on the targeted extraction, isolation, and depolymerisation of lignin from lignocellulosic biomass without affecting its properties.<sup>72</sup> Condensation reactions that occur in other methods and their pretreatment produce a recalcitrant lignin polymer.<sup>32</sup> By integrating the lignin extraction and depolymerisation process, the structural changes that create that recalcitrant lignin structure do not have a (or at least limited) chance to take place.<sup>31</sup> Native lignin contains phenolic parts that can be used to produce aromatic compounds, which would not be accessible when the condensation reactions to the recalcitrant structure occur.<sup>54</sup> The process itself integrates fractionation with lignin depolymerisation.<sup>31,40</sup> Feedstocks can be virgin biomass or secondary streams, such as agricultural residues.

The general process of a lignin-first biorefinery starts with the removal of lignin by solvolysis or acid-catalysed reactions, similar to an organosolv pretreatment.<sup>86</sup> The main difference between organosolv and the lignin-first approach is the fact that condensation reactions are limited. Under the conditions in which lignin is extracted by most other pretreatments,



recalcitrant polymers are produced, resulting in a low-quality lignin. The pretreated lignocellulosic biomass is stabilised, and condensation reactions of the reactive species are prevented. The stabilised intermediate is a mixture of depolymerised lignin and carbohydrate pulp. If necessary, a further stabilisation step is added. After the fractionation step, downstream processing includes lignin separation by liquid–liquid extraction, membrane filtration, distillation, and column chromatography. Potential end-products include monophenolic-derivatives, HMF, levulinic acid, furfural, bio-ethanol, glucose, valuable chemicals, and energy-density fuels. However, some challenges can arise from the lack of standards for the feedstock, analysis, and process evaluation, making it difficult to compare results and methodologies. Yield calculations are complicated by the heterogeneous nature of the lignocellulosic biomass.<sup>31,32,54</sup>

The first and best known type of lignin-first biorefinery is Reductive Catalytic Fractionation (RCF), which involves integrated biomass fractionation and lignin depolymerisation.<sup>32,40,54,63,72,87–93</sup> In the first step, lignin is removed by solvolytic extraction. As in all lignin-first biorefineries, what is generally considered to be the pretreatment, in this case the organosolv-like process, is integrated into the valorisation process. An organic solvent, such as short-chain alcohols (C<sub>1</sub>–C<sub>4</sub>) and cyclic ethers (dioxane), is combined with water to extract the lignin in an organosolv-like process. Simultaneously, the lignin is depolymerised to aromatic monomers, in contrast to the condensed lignin produced by most pretreatment processes.<sup>31,32,40,54,63,72,87–89,92</sup> The reductive catalytic fractionation itself proceeds along two pathways, depending on the temperature, pH, and solvent polarity. Relatively severe conditions (250 °C) favour solvolytic depolymerisation, in which the role of the catalyst is limited to stabilisation. Less severe conditions (190 °C) have slower solvolytics and the catalyst will perform solvolytics, moving towards catalytic hydrogenolysis.<sup>40</sup> In general, RCF takes place at 180–250 °C for 2–6 h in the presence of a catalyst.<sup>40,54,87,88,90</sup> Typical catalysts are heterogeneous redox-active catalysts, such as carbon-supported catalysts (Pd/C, Ru/C, Ni/C, Cu-PMO, ZnPd/C, beta zeolite, ZnMoO<sub>4</sub>/MCM-41), and precious metals.<sup>31,40,54,63,72,87–91</sup> To further reduce the reaction conditions, alternative reducing agents can be used in addition to high-pressure H<sub>2</sub>, such as indirect H-sources from the solvent or directly from lignocellulose.<sup>32,40,54,63,87</sup> In such hydrogen gas-free reactions, the use of RANEY®-Ni catalysts has been investigated, showing promising results in improving the hydrogen transfer that takes place.<sup>94–98</sup> Over the years, mechanochemistry utilising mechanical forces (e.g., ball milling to activate chemical bonds) has attracted much research interest for the depolymerisation of lignin.<sup>99</sup> This technique has the advantage of being solvent-free, and it can be used on lignocellulose or its biopolymers (polysaccharides and lignin) for their fractionation and depolymerisation.<sup>100</sup> This first step produces a cellulose-rich polysaccharide pulp with reduced C<sub>5</sub>–C<sub>6</sub> sugars, and depolymerised monophenols and oligomers derived from lignin. In the second step, the lignin-derived intermediates are stabilised to prevent the formation of condensed

lignin.<sup>31,32,40,54,63,72,87,89,91,92</sup> Various phenolic monomers, dimers, and oligomers are formed as lignin oil,<sup>32,40,54,63,87–92</sup> which are potential building blocks for value-added aromatic chemicals, fuels, polymers, and pharmaceuticals.<sup>54,89</sup> Cellulose and hemicellulose derivatives are also produced with a variety of C<sub>5</sub>-polys and furans as carbohydrate pulp.<sup>40,54,91,92</sup>

The main focus of the RCF process is the depolymerisation of lignin without the production of condensed lignin, resulting in high yields and selectivity.<sup>31,54,90</sup> However, in addition to lignin-derived components, a solid carbohydrate pulp (C<sub>5</sub>–C<sub>6</sub> sugars) is also obtained, which can be further valorised by enzymatic hydrolysis and fermentation to bio-ethanol.<sup>54,93</sup> Prior to the valorisation of both fractions, separation steps are required to separate the lignin oil from the pulp and possibly other impurities.<sup>40,89</sup> As RCF is still an emerging technology, challenges such as feedstock, location, catalyst, solvent, and reactor selection remain.<sup>32,54,89,92</sup>

The second type of lignin-first biorefinery is called Dithionite-Assisted Organosolv Fractionation (DAOF).<sup>72,87</sup> As the name suggests, sodium dithionite (Na<sub>2</sub>S<sub>2</sub>O<sub>4</sub>) is added to the reaction as an alternative to precious metal catalysts and hydrogen gas in RCF. The reaction takes place at 150–250 °C (10 °C min<sup>−1</sup>) for 0–6 h in a *n*-butanol/water solvent, and N<sub>2</sub>-atmosphere (1–30 bar). Important parameters include temperature, reaction time, *n*-butanol/water ratio, N<sub>2</sub>-pressure, dithionite loading, and solvent/biomass ratio. The organosolv process, which is generally regarded as pretreatment, is integrated into the valorisation process for DAOF, as it is for all lignin-first biorefineries. A solid (carbohydrate pulp) and liquid fraction is formed, which must be separated by centrifugation. Further separation of the liquid fraction into an organic (lignin oil) and aqueous (non-condensed carbohydrate) phase is possible. Both the solid and organic liquid fractions can be purified into potential end-products, while the aqueous liquid fraction is considered wastewater. The carbohydrate pulp (solid) can be upgraded to paper, sugar, ethanol, or other solvents, while the lignin oil contains a variety of phenolic monomers, dimers and oligomers that can be used as building blocks in other chemical processes.<sup>72,87</sup>

In addition to the two lignin-first biorefineries mentioned above, there are other possibilities with diol-assisted fractionation and aldehyde-assisted fractionation. Alternative solvents, catalysts, and reagents are used, resulting in a different composition of the lignin oil produced.<sup>32,87</sup>

**Multi-purpose fractionation.** Multi-purpose fractionation is a valorisation technology that fractionates each of the three main constituents of lignocellulosic biomass, cellulose, hemicelluloses, and lignin, into separate streams that are available for further upgrading into end-products.<sup>71</sup> These fractionation steps do not alter the structure of the lignin, making it available for further upgrading.

An example of multi-purpose fractionation is where the hemicelluloses are first separated *via* *p*-TsOH (*p*-toluene sulphonate acid). The liberated hemicelluloses are then subjected to a batch reactive distillation to produce furfural. Hemicelluloses are separated by solid–liquid separation with *p*-TsOH at mild temperatures between 90–130 °C for 60 min (while hydrolysing



to xylose). Batch Reactive Distillation (BDR) is performed at 150 °C for 40 minutes, and the furfural is immediately removed by dehydration to improve its yield.<sup>71</sup>

Second, lignin is removed by an extraction with GVL ( $\gamma$ -valerolactone), after which phenolic compounds are produced by hydrogenation. A binary mixture of GVL-H<sub>2</sub>O is used to extract lignin at 120 °C for 60 min without loss of functionalities for both lignin and cellulose. The two fractions are separated by filtration. Lignin is depolymerised by hydrogenation at 230 °C for 15 h at a pressure of 8–1.5 MPa to obtain bio-oil containing phenols, guaiacols, and syringols.<sup>71</sup>

Last, the cellulose stream is hydrolysed and fermented to ethanol. The cellulose-rich solid is directly degraded to glucose by enzymatic hydrolysis/saccharification at 30 °C for 72 hours, followed by fermentation at 30 °C for 48 hours to ethanol. This is very similar to the hydrolysis and fermentation mentioned above in the section on biological/biochemical conversion.<sup>71</sup>

**Other conversion methods.** In addition to the lignocellulosic biomass conversion methods mentioned above, other potential pathways can be identified, many of which are closely related to, or are a broader term for, some of the other methods. Table 8 provides an overview of the other lignocellulosic biomass conversion methods, the process to which they are similar, and the associated end-products.

#### Current state-based assessment methods for the valorisation of lignocellulosic biomass

Lignocellulosic biomass is very diverse in composition and can be valorised through a plethora of conversion methods, as outlined in the above, to produce a variety of end-products, which complicates valorisation in the current economy.<sup>101</sup> An example of the complexity of lignin is given by Tišma *et al.*,

where wheat straw can be converted into vanillin, other monophenolic compounds, bio-oil, *etc.*<sup>29</sup> Conversely, vanillin can be produced from biomass other than wheat straw, such as sugar beet pulp, rice straw, and corn stover.<sup>29</sup> Current studies have mainly focused on a specific biomass, a specific process, or a specific end-product. In order to create optimal biomass-end-product combinations as shown in Fig. 2, the focus needs to shift to the selection of an end-product depending on the available biomass source; or *vice versa*, the selection of a resource for a desired end product. Different types of decisions need to be made regarding the valorisation of lignocellulosic biomass, depending on the activities of the stakeholders. For example, an agricultural company may have residual biomass to offer to industry, but needs to decide what end-product to make from it in order to understand who to approach. Conversely, companies currently producing fossil-based end products may need to find new feedstocks to replace fossil resources, but again need to decide which alternative bioresource can replace the fossil counterpart. The abundance of valorisation options and the high diversity in composition make the selection of the optimal biomass-end-product combinations for different situations a challenge, as it depends on many different factors. In order to decide on the optimal biomass-end-product combination, the environmental impact and economic viability should be assessed quantitatively. The current state-of-the-art quantitative assessment methods, which are used to make decisions for a specific process and thus focus on specific assessments, are Life Cycle Assessment (LCA) and Techno-Economic Assessment (TEA), or combinations of these in an Environmental Techno-Economic Assessment (ETEA).<sup>102</sup> LCA quantifies the environmental impacts over the entire life cycle of a product, process, or service. Four key steps involve the goal and scope definition, life

Table 8 Other lignocellulosic biomass conversion methods

	Reaction	Similar to	End product	Reference
Catalytic conversion	Depolymerisation, hydrotreating, oxidation, liquid-phase reforming	Reactions using catalyst	Fuels and high-value chemicals	25
Oxypropylation	Propylene oxide grafted onto macromolecular structure		Polyols	28
Sonocatalysis	Combining catalyst with sonification	Combined with other processes	Depending on application	25
Hydrogenolysis	Cleavage ether bonds: solvolytic delignification and depolymerisation	Lignin-first	Phenols, bio-oil, cresols, aromatic hydrocarbons	29 and 65
Lignin-to-vanillin	Oxidation, depolymerisation, hydrolysis	Catalytic lignin conversion	Phenolic aldehyde: vanillin	65
Non-hydrogen reductive depolymerisation	Reductive depolymerisation: hydrogenolysis, dehydration, hydrogenation	Catalytic conversion, lignin-first	Phenolic monomers	65
Solvolysis	(Non)-catalytic depolymerisation solvent	Reaction using solvent	Phenols, value-added chemicals	63 and 65
Oxidation	Oxidation depolymerisation using oxidants		Phenols, benzylic aldehydes, acids	29 and 65
Acidolysis	Fractionation/ depolymerisation of oxidized lignin	Depolymerisation with acid	Aromatic monomers	63 and 65



cycle inventory (LCI), life cycle impact assessment (LCIA), and interpretation. A continuous interaction between these steps creates the iterative process that is an LCA.<sup>103,104</sup>

TEA estimates the economic viability by calculating the costs, revenues and profits from market data, process flow diagrams and mass and energy balances. The costs fall into two categories: CAPEX (capital expenditure) and OPEX (operating expenditure). Revenues are determined by the scale of the end product and any by-products.

Important economic parameters used in these assessments are the net present value (NPV), return on investment, pay-back time, *etc.*<sup>105</sup> For interpretation, a sensitivity analysis is required to identify the key influencing parameters (*e.g.*, raw material costs, utilities, selling prices), and an uncertainty analysis is required to quantify the uncertainty on the environmental and economic indicators resulting from the uncertainty of parameters.<sup>103,104</sup>

Both TEA and LCA can be combined in the ETEA framework as they share the same technological backbone. The process of an ETEA starts with a market study, followed by a process flow diagram/mass and energy balance, an environmental analysis together with an economic analysis, followed by interpretation, and finally back to the market study, after which the process is repeated.<sup>102</sup> LCA and TEA provide the current decision-making process for biomass, as shown in Fig. 9. However, specific boundary and background systems define these methods, along with the specific technologies, geographical regions, and other assumptions. Because of these assumptions, studies carried out by different experts are not directly comparable, leading to a lack of genericity.<sup>106</sup> Furthermore, a large amount of data and detailed information is required to carry out these assessments. Information is not available for novel technologies at low TRLs. Scenario, sensitivity, and uncertainty analyses can be used to estimate technology change and future performance. However, again, assumptions have to be made.<sup>45,46,107</sup> These assessments provide a wealth of information, but the results are only valid for a specific process, in a specific location at a specific time, and are therefore process-specific. Due to the wide variety of

lignocellulosic biomass types, conversion pathways, and end-products, finding the optimal biomass-end-product combinations using process-specific assessments requires too much expertise, time, and data.

Recent advances have extended the above assessments to include future impacts at low TRL. However, many aspects require further research.<sup>108</sup> In terms of LCA, some recommendations are made specifically for biomass applications, such as the addition of land-use and (indirect) land-use change, carbon release at end-of-life, *etc.*<sup>106</sup> Land-use change takes into account the emissions that occur when the original use of the land is changed to one that was previously used for other biomass applications. This gives a one-sided result that only considers the carbon savings compared to the petrochemical counterpart, without considering the additional carbon costs. If this land-use change leads to a shift in the original use of the land, this original use needs to relocate, resulting in an indirect land-use change at another location that must be allocated (*e.g.*, deforestation, cropland intensification).<sup>106,109,110</sup> Other possibilities include ex-ante LCA in order to assess environmental impacts for low TRL processes. For industrial scale impacts, estimates can be made based on lab-scale results to provide better insight into the future performance of the process.<sup>45</sup> Although ex-ante LCA focuses on emerging technologies, most of these technologies have defined system boundaries, unlike novel low TRL processes, and therefore require further research on their implementation.<sup>108</sup>

Regarding TEA, learning effects can (or rather should) be taken into account. When investigating novel technologies, it is not considered that these technologies will be optimised over time and become easier to apply, thus reducing costs.<sup>46</sup> These learning effects need to be considered when assessing the applicability of novel technologies using learning curves. The review published by Thomassen *et al.* (2020) shows that there are different types of learning effects, such as learning-by-doing, learning-by-searching, learning-by-using, learning-by-interaction and scale effects, which have different impacts that need to be taken into account. Furthermore, learning

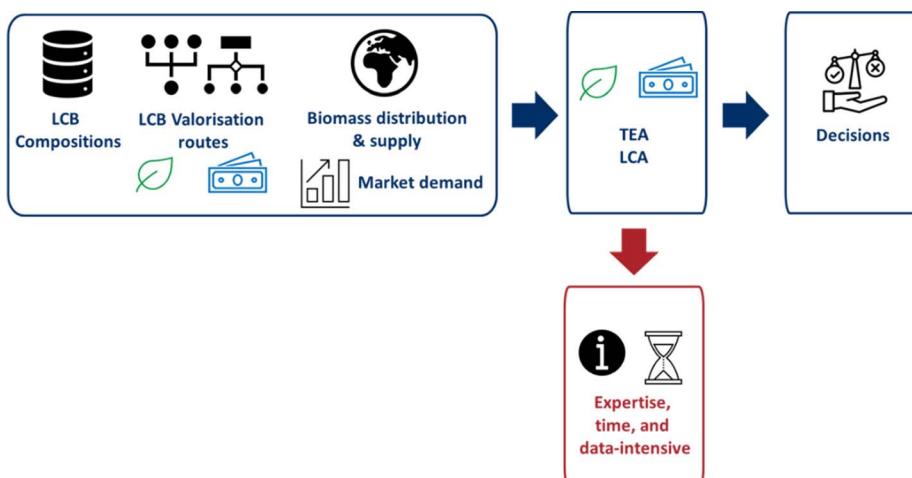


Fig. 9 Current decision-making process for lignocellulosic biomass valorisation.



curves are mostly based on historical data, which limits their applicability to specific sectors.<sup>46</sup> Lignocellulosic biomass valorisation has only a limited amount of historical information to develop these learning curves, so there is a need to further develop these learning effects.

Vasilakou *et al.* (2023) proposed a framework that implements learning effects for a structural approach to TEA of advanced biofuels. In order to implement these learning curves, it is first necessary to define what they entail, together with the associated definitions. Two different learning curves have been considered: single-component and multi-component learning curves. The single-component learning curve focuses on the cost of production, while the multi-component learning curve divides the cost into smaller parts, each with a different learning rate. By calculating the learning curves, a minimum learning rate required to achieve the desired cost reduction can be calculated.<sup>111</sup>

When assessing the sustainability of a process, the objective is to maximise the economic viability and minimise the environmental impact. In multi-objective optimisation, the often conflicting outcomes of economic viability and environmental impact are traded off. Pareto aggregation is proposed for this purpose. However, as the name suggests, multiple objectives and indicators are required, which complicates the decision-making process.<sup>112</sup>

Geospatial ETEA considers the influence of geospatial parameters, such as biomass supply, prices, tax rates, and salaries, depending on the geospatial location. A clear influence on both economic viability and environmental impact can be identified by the difference in minimum selling price (MSP) and greenhouse gas (GHG) emissions.<sup>47</sup>

In addition to more traditional assessments, such as TEA and LCA, alternative methods exist for assessing the environmental impact and economic viability of a process. An exergo-economic analysis is an example of such an assessment. An exergy analysis assesses the quality of energy, *i.e.*, exergy is a quantitative measure of energy quality. The First Law of Thermodynamics states the conservation of energy, *i.e.*, energy cannot be created or destroyed. However, it can be altered in form, and thus lose quality. This loss of quality is what exergy analysis is all about. Two types of exergy can be calculated: exergy losses and exergy efficiencies. Exergy losses focus on the loss of potential to produce the desired product, while exergy efficiencies focus on how close the process is to the ideal.<sup>113</sup> These two types can be linked, as a reduction in exergy losses leads to an increase in exergy efficiency.<sup>114</sup> An exergo-economic analysis combines the results of the exergy analysis with an economic assessment. These assessments can determine avoidable and unavoidable exergy losses, and investment cost rates. A ratio of energy and exergy losses to the net present value (NPV) can be determined.<sup>115,116</sup>

Another trend is the use of superstructure optimisation to make decisions in process design, moving from simulation to optimisation of a studied process or selection of an optimal production route.<sup>48</sup> This is a superstructure of different processes (discrete decision variables) and for each of these processes, there are operating conditions. A variety of

superstructure optimisation techniques exist, and the appropriate technique must be selected for each process. There is a superstructure of different processes (discrete decision variables) and for each of these processes, there are operating conditions. For a selected feedstock, technology, and product, a superstructure is created containing compound and technological information, after which simulations and data estimation are performed to create an optimisation model, leading to the development of an optimal strategy. Finally, the production of a selected end-product from a selected feedstock is optimised.<sup>48,117,118</sup> Examples where this has been applied include the use of CO<sub>2</sub>-to-fuel strategies,<sup>117</sup> and even for lignin valorisation.<sup>118</sup>

Machine learning has also been explored for predicting environmental impacts. However, this is highly dependent on the data used to train it, and requires a lot of time and data.<sup>119</sup> Fozer *et al.*<sup>120</sup> proposed a hybrid predictive high-throughput sustainability screening to make predictions for low TRL processes. This screening used a sequential application of data-driven hybrid prediction, starting with Artificial Neural Networks (ANN), followed by Response Surface Methodology (RSM), and Desirable Optimisation Method (DOM). Again, a large amount of data is required to perform such sustainability screening. Nonetheless, while all of the above are valuable tools for high-level decision-making, it is highly unlikely that these complex methods would be available or affordable for the micro-level decisions of the agricultural company mentioned before. In addition, the considerable amount of data required to make these decisions is either unavailable, widely dispersed or available at different resolutions.

Of the data required, four types are critical to the development of lignocellulosic biomass valorisation pathways using process-specific assessments: geospatial information, biomass composition, market information (supply and demand), and process technologies. Geospatial information includes all data relating to the spatial distribution of the biomass. Biomass composition influences product composition. Biomass supply and demand determine whether sufficient biomass is available, and influence the preferred valorisation pathway. Lastly, current and future process technologies create the potential pathways, but this requires a very good understanding of what the process looks like.<sup>121</sup>

The above assessments can provide a variety of information that is important for economic and environmental evaluation. Nonetheless, the assessments are process-specific. Providing an overview of valorisation pathways and their potential requires too much time based on process-specific assessments only. A more generic and easily applicable methodology for assessing environmental sustainability and economic viability is needed to address these challenges. This methodology should be based solely on common and readily available information, and therefore be applicable at low TRL.

### From process-based assessments to state-based assessments

The limitations identified require a shift from process-based assessments to state-based assessments. These assessments



focus on the properties of the initial and final states of a process without looking at the path taken. Specifically for biomass, these assessments allow us to start from a given resource and look at the potential end-products, or to start from a given end-product and look at the potential resources. By using state-based rather than process-based assessments, the time and complexity of the assessments can be drastically reduced, making them available for cheaper micro-level decisions, although some accuracy is sacrificed. Three important parameters need to be considered in the decision-making process available at any TRL: composition, geospatial distribution, and market share. Recent research shows the potential of (statistical) entropy for environmental and economic impact assessments.

Entropy is a thermodynamic function defined by Carnot and Clausius, among others. Thermodynamic entropy approaches explore the relationship between heat and energy, which can be translated into entropy.<sup>122</sup> On the other hand, Boltzmann and Planck defined entropy independently of energy,<sup>123</sup> which was further developed by Shannon as a statistical function.<sup>124</sup> Currently, both definitions of entropy are considered separately. The aim of this research is to establish a link between the statistical definitions of entropy and energy.

Entropy, as defined by Shannon, is a statistical function that calculates the average uncertainty of a distributed variable for any distribution.<sup>124</sup> Shannon entropy is defined by the following formula:  $H(X) = -\sum_{i=1}^N P(X_i) \log_2 P(X_i)$ , where  $X$  is a randomly distributed variable,  $X_i$  is a possible outcome/value of  $X$ , and  $P(X_i)$  is the probability that  $X = X_i$ . Furthermore, the sum of all probabilities is equal to "1" ( $\sum_{i=1}^N P_i = 1$ ). Two extreme scenarios can occur: a minimum and a maximum entropy. The entropy is minimum when  $H = 0$  with the lowest possible uncertainty. Only one  $P(X_i)$  is not "0" and is therefore equal to "1". A maximum entropy, with the highest possible uncertainty, is reached when all possible probabilities are equal and uniformly distributed. Therefore,  $P(X_i) = 1/N$  for a given  $N$ , resulting in an entropy of  $H = \log N$ . The maximum entropy is not a fixed value and depends on  $N$ . All possible values between the minimum and the maximum are a degree of uncertainty. Thus, the lower the entropy (closer to  $H = 0$ ), the lower the uncertainty; and conversely, the higher the entropy (closer to  $H = \log N$ ), the higher the uncertainty.

Shannon entropy serves as the basis of the Statistical Entropy Analysis (SEA) proposed by Rechberger and Brunner.<sup>49</sup> They translated Shannon entropy to material flows to quantify their complexity. In calculating the statistical entropy of material flows, probabilities are replaced by concentrations in the material flow, such as mass fractions. The Shannon entropy is fitted to the following formula:  $H_j(c_{ij}) = -\sum_{i=1}^N c_{ij} \log_2 c_{ij}$ , where  $i$  is the index for the different chemical compounds in material flow  $j$  in the system for which  $H_j$  is calculated,  $N$  is the total amount of chemical compounds in the system, and  $c_{ij}$  is the mass fraction of compound  $i$  in material flow  $j$ . For the mass

fractions, the sum of all mass fractions is "1" ( $c_j = \sum_{i=1}^N c_{ij} = \sum_{i=1}^N (m_i/m_j) = 1$ ). In the case of SEA, a low entropy corresponds to a highly concentrated mass flow (low uncertainty) and a high entropy corresponds to a highly diluted mass flow (high uncertainty). The maximum entropy is obtained when all substances are uniformly distributed ( $c_{1j} = c_{2j} = \dots = c_{Nj}$ ), and can be calculated as  $H_{\max} = \log_2 N$ , where  $N$  is the number of different compounds. This method has already been applied to the resource efficiency of inorganic materials and metals,<sup>50</sup> to the quantification of the recyclability of e-waste,<sup>51</sup> and to the analysis of material flows for express plastic packaging.<sup>52</sup>

SEA can be further extended to assess multi-component systems, called Multilevel SEA (MSEA), where statistical entropy is calculated at the component and product levels.<sup>125</sup> This method has been applied to the study of exentropy, using both statistical entropy and exergy analysis to determine recyclability, where different recycling processes are compared in terms of mass and energy conservation.<sup>126,127</sup> The integration of energy calculations and statistical entropy calculations is currently being investigated to explore potential applications in the assessment of recyclability indicators.<sup>128,129</sup> In addition, statistical entropy-based definitions have been evaluated to quantify the separation complexity of mixed-plastic waste streams.<sup>130</sup> Furthermore, the link between statistical entropy and LCA is being investigated to develop a new methodology for assessing circularity in recycling, taking into account both the concentration of materials and their environmental impact.<sup>131</sup> This study highlights the potential of linking the results of process-based assessments with statistical entropy. Other studies have focused on linking statistical entropy to circularity and the (carbon) circular economy from other perspectives by combining entropy with yield characteristics.<sup>53</sup> Statistical entropy has not yet been applied to biomass valorisation, but its broad applicability, for example, in metallurgy and plastic packaging shows great potential for extending the scope of statistical entropy to biomass.

Furthermore, statistical entropy could be applied to compare the energy required to (i) valorise a given biomass into a variety of end products, and (ii) produce a selected end product from a variety of (bio)resources. Using statistical entropy as a proxy for energy, the path with minimum thermodynamic resistance can be determined, taking into account the three predefined parameters for the decision-making process at any TRL, namely the compositional profile, the geospatial availability of the biomass, and the available market. As this is a novel method, statistical entropy has not yet been applied in this context. To do this, the input data required for the state-based assessment must be unified and translated into entropies.

The first set of input data is biomass composition. The compositional profile of the biomass source and the end-product must be translated into entropies called compositional entropy. Statistical entropy determines the complexity of the input stream, the biomass itself, and the output stream, the end-product, through mass balances. In addition to calculating entropy over an entire process, it is possible to look at specific



process steps to indicate which are the critical steps in terms of complexity change and energy requirements.

The second set of input data is the geospatial biomass distribution. This again needs to be translated into entropies called geospatial entropy. The geospatial distribution of biomass location reflects concentration or probability. Recent studies have focused on different definitions of geospatial entropy, from which the most appropriate definitions for biomass valorisation need to be identified.<sup>132</sup>

The third, and last parameter, can be integrated in the geospatial entropy, as this represents the biomass availability.

The two levels of entropy mentioned above represent different pieces of information that need to be related. These levels represent (i) where to locate a biorefinery or source of biomass, and (ii) what biomass to source. In order to develop a state-based assessment, the entropy levels need to be integrated into an energy ranking where the energy-entropy link is established. This includes how the entropy levels relate to each other and how they compare to energy. This will also establish the energy-economy link and the energy-climate impact link to ensure validity against TEA and LCA results. The aim is not to replace LCA and TEA, but to fill the gaps where these methods are not applicable.

## Conclusions

This review has highlighted the different lignocellulosic biomass valorisation pathways currently in use or under investigation. These include differences in the composition of lignocellulosic biomass types, potential end-products, pretreatments, and conversion methods. The evidence from this study suggests that each of these valorisation pathways has its own implications and potential. However, no optimal pathways are suggested for different situations linking biomass sources to end-products. The high dependency on many factors complicates this process. Looking at the current state-of-the-art process-based assessment methods to determine whether processes are economically viable and environmentally sustainable, there are still limitations, mainly in terms of data availability, and time, data and expertise intensity. A state-based assessment, resulting in an energy ranking based on statistical entropy, shows potential in addressing the current limitations. This study focuses on shifting away from the current state-of-the-art process-based assessment and creating a novel, easily applicable state-based assessment framework that supports faster and less data-intensive decision-making for (bio)resource valorisation. In doing so, decisions in two directions can be better supported; considering a given biomass feedstock that can potentially produce a variety of end products, and *vice versa*, producing a selected end product from a variety of (bio)resources. This limits the need for complex and detailed data, and drastically reduces the time needed to perform these assessments, potentially leading to accelerated development of the current bio-economy. However, the aim is not to replace TEA and LCA, but to fill the gaps where these methods are not applicable. Future work is required to determine the extent to which statistical entropy can serve as a proxy for the energy

requirements of these processes, and potentially fill the current gaps to create biomass-end-product combinations.

## Data availability

No primary research results, software or code have been included and no new data were generated or analysed as part of this review. The data supporting this article have been included as part of the ESI.†

## Author contributions

Britt Segers: writing – original draft, writing – review & editing, conceptualization, methodology, data curation, formal analysis, validation, visualization. Philippe Nimmemeers: writing – original draft, writing – review & editing, conceptualization, methodology, data curation, validation, visualization, supervision. Marc Spiller: writing – review & editing, methodology, resources, supervision. Giorgio Tofani: writing – original draft, writing – review & editing. Edita Jasiukaitytė-Grojzdek: writing – original draft, writing – review & editing. Elina Dace: writing – original draft, writing – review & editing. Timo Kikas: writing – original draft, writing – review & editing. Jorge M. Marchetti: writing – original draft, writing – review & editing. Milena Rajić: writing – original draft, writing – review & editing. Güray Yıldız: writing – original draft, writing – review & editing. Pieter Billen: writing – original draft, writing – review & editing, conceptualization, methodology, resources, supervision. All have read and agreed to the published version of the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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