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# A multi-scale framework for predicting $\alpha$ -cyclodextrin assembly on polyethylene glycol axles†

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Controlling the distribution of rings on polymer axles, such as  $\alpha$ -cyclodextrin ( $\alpha$ CD) on polyethylene glycol (PEG), is paramount in imparting robust mechanical properties to slide-ring gels and polyrotaxane-based networks. Previous experiments demonstrated that the functionalization of polymer ends could modulate the coverage of  $\alpha$ CDs on PEG. To explore the design rule, we propose a multi-scale framework for predicting  $\alpha$ CD assembly on bare and functionalized PEG. Our approach combines all-atom molecular dynamics with two-dimensional (2D) umbrella sampling to compute the free energy landscapes of threading  $\alpha$ CDs onto PEG with ends functionalized by various moieties. Together with the predicted free energy landscapes and a lattice treatment for  $\alpha$ CD and polymer diffusion in dilute solutions, we construct a kinetic Monte Carlo (kMC) model to predict the number and intra-chain distribution of  $\alpha$ CDs along the polymer axle. Our model predicts the effects of chain length, concentration, and threading barrier on the supramolecular structure of end-functionalized polypseudorotaxane. With simple modifications, our approach can be extended to explore the design rule of polyrotaxane-based materials with advanced network architectures.

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## 1 Introduction

Main-chain polypseudorotaxanes (PSRs) are formed by threading cyclic molecules on polymer axles, which are subsequently converted to mechanically interlocked polyrotaxanes (PRs) when the chain ends are capped.<sup>1,2</sup> A broad range of supramolecular materials based on PRs and PSRs are emerging<sup>3</sup> as promising materials for applications such as stimuli-responsive 3D-printed hydrogels,<sup>4,5</sup> highly stretchable slide-ring polymer networks,<sup>6,7</sup> and drug delivery micelles.<sup>8</sup> A variety of macrocyclic molecules, such as crown ethers, cyclodextrins, cucurbiturils, and pillararenes have been reported to form PSR with corresponding polymer axles.<sup>9–14</sup> The distribution of cyclic molecules along the polymer axles critically influences the bulk material properties.<sup>15,16</sup> For example, in slide-ring gels, high coverage of ring molecules on polymer backbones significantly decreases the ring sliding distance, thus reducing mechanical properties.<sup>6,17,18</sup> In another example, highly threaded rings facilitate good drug delivery efficiencies.<sup>19</sup>

Achieving precise ring distribution and location on the polymer axle, as well as controlling their initial threading process, are highly desired in designing high-performance PSR- and PR-based materials. To this end, researchers have tried to synthesize polymers with discrete ring-binding sites.<sup>1,16</sup> While this approach has been successfully demonstrated,<sup>16</sup> it requires multi-step synthesis and offers limited ring distribution options. On the other hand, in one of the most extensively investigated CD-based PSRs, the threaded  $\alpha$ CDs aggregate in various ways on the PEG axles to form PSRs at room temperature and exhibit outstanding bulk phase properties.<sup>4</sup> Since the  $\alpha$ CDs are highly mobile on the PEG axle, and all binding sites are identical on the PEG axle, identifying the relative locations of  $\alpha$ CDs on the PEG is challenging. This knowledge deficiency limits the correlation of the structural understanding of the PSR material with their bulk phase properties even at an empirical level. The incomplete understanding of the structural-property relation impedes the rapid discovery of novel PSR and PR materials with enhanced performance. Molecular simulations and theoretical models may offer several key insights into the PSR assembly that are otherwise difficult for experimentalists to access, which is critical to accelerate the discovery of new PSR and PR materials with advanced architectures and properties.

Previous theoretical studies on PSR and PR formation have primarily focused on predicting the stable assembly at

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† Electronic supplementary information (ESI) available: Including kinetic Monte Carlo scripts, umbrella sampling parameters, justification of  $\Delta G_R$ , and tabulated mean first passage time data. See DOI: <https://doi.org/10.1039/d4sm01048e>



thermodynamic equilibrium.<sup>20–24</sup> Researchers have used lattice methods to determine the threaded fraction of  $\alpha$ CD based on chain length and temperature,<sup>20</sup> and the preferential orientation of adjacent  $\alpha$ CDs along the PEG backbone.<sup>22</sup> Coarse-grained molecular dynamics (MD),<sup>21,24</sup> and Monte Carlo simulations,<sup>23</sup> have been applied to elucidate general trends in the assembly process under various experimental conditions and chain-ring interactions. However, these studies did not examine the kinetic process of PSR assembly, which has been used as an alternative route to controlling the number density and distribution of cyclic molecules threaded on axles.<sup>4</sup>

The functionalized PEG end groups modulate the  $\alpha$ CD threading kinetics in solution, and in turn, permits a one-step method for controlling PSR coverage.<sup>4,5</sup> Adjusting the size of the end moieties introduces a tunable free energy barrier for  $\alpha$ CD threading. Coarse-grained MD has been used to show the influence of chain-end to macrocycle attraction on the threaded fraction of ring molecules in PSR systems.<sup>24</sup> However, the introduction of a threading barrier on PSR assembly has not been explored. Together with the other dynamical processes, such as PEG and CD diffusion in bulk solution and ring sliding on polymer backbones, the threading barrier can modulate the overall assembly of  $\alpha$ CDs on PEG. Kinetic modeling of the threading and backbone distribution of  $\alpha$ CDs and ionene-6,10 has been previously reported using a kinetic Monte Carlo algorithm.<sup>12</sup> However, this approach requires the input of experimentally determined rate constants, and the influence of diffusion of reactants in bulk solution is neglected. A robust computational framework that incorporates chemically specific information about polymer axles and cyclic molecules and predicts the assembly kinetics and time-dependent distribution of cyclic molecules on polymer backbones will help reveal the correlation between the assembly of superstructures and their macroscale properties, such as the  $\alpha$ CD distribution to the variation of the physical properties of PSR materials.<sup>25,26</sup>

Developing such a computational tool requires a multi-scale approach. This is because the assembly events operate over disparate time scales. For example, it takes approximately 4.8 nanoseconds for a threaded  $\alpha$ CD to slide over a PEG monomer in DMSO at 300 K,<sup>27</sup> whereas the process for a single  $\alpha$ CD to thread onto a methyl pyridine bolaform requires seconds to minutes,<sup>28</sup> which exceeds the capability of direct molecular simulations. To model all the assembly events that occur at drastically different time scales, we need to combine atomistic simulations which capture the molecular design of PRs and PSRs with more coarse-grained approaches, such as event-driven kinetic Monte Carlo (kMC). kMC approximates the reaction master equation through stochastically integrating the rates of different events,<sup>29–31</sup> and has routinely been implemented to model the rare events in polymerization,<sup>32</sup> early silicate formation,<sup>33</sup> and catalysis.<sup>34</sup> Such a general multi-scale computational approach, however, is still underdeveloped for PSR assembly.

In this work, we develop a multi-scale framework to predict the assembly of  $\alpha$ CDs onto functionalized PEG axles in dilute aqueous solutions (Fig. 1). Our model captures the three key assembly processes, including the diffusion of  $\alpha$ CDs and

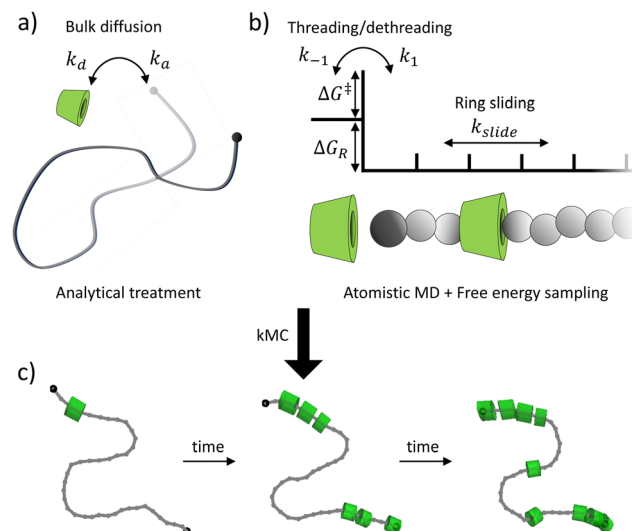


Fig. 1 Schematic illustration of the multi-scale kinetic model for PSR assembly. (a) Analytical treatment of the bulk diffusion of reactants in solution. (b) Atomistic molecular dynamics of backbone diffusion, and free energy sampling of the threading and dethreading process. (c) kMC for predicting the time-dependent PSR assembly.

polymers, threading and dethreading of  $\alpha$ CDs across the chain ends, and the rearrangement of  $\alpha$ CDs on polymer backbones. We apply a lattice approximation to treat the diffusion of reactants in solutions, which incorporates the effects of concentration and polymer length on the assembly without the need for explicit Brownian dynamics. We implement umbrella sampling in all-atom molecular dynamics simulations in conjunction with the weighted histogram analysis method (WHAM) to elucidate the two-dimensional (2D) potential of mean force (PMF) surface of threading potential functional groups through an  $\alpha$ CD cavity. The small molecule free energy sampling enables us to validate our chosen force field by comparing the theoretically determined binding constant to experimental results and provides the reaction barrier and the resulting threading and dethreading rates introduced by end-group functionalization. Finally, adapting an established method that investigated the sliding motion of  $\alpha$ CDs along a PEG backbone in DMSO,<sup>27</sup> we obtain the sliding rate of  $\alpha$ CDs along PEG backbones in water. By incorporating each step into a bottom-up event-driven kMC method, we develop a framework to guide the rational design of end-group functionalization for PSR assembly.

To demonstrate our approach, we quantify the roles of free energy barrier, concentration, and chain length in the assembly of PSRs consisting of bare and functionalized PEG. Our results indicate a weaker effect of single chain-end functionalization on PSR assembly than that observed in experimental turbidity measurements.<sup>4</sup> The single chain-end functionalization only weakly impedes  $\alpha$ CDs threading and the precipitation of PSRs is primarily governed by crystallization. Modeling PSR crystallization, however, is beyond the scope of this manuscript. Nonetheless, we show that two-end functionalization can tune the threading kinetics and permit modulating the assembly of  $\alpha$ CDs on PEG axles. The PSR formation on PEG with both chain-ends functionalized is



diffusion-controlled at low threading barriers before transitioning to a reaction-controlled regime. Concentration and chain length govern the value of the critical free energy barrier. When the free energy barrier of threading imposed by the functional ends is sufficiently large, the characteristic time for  $\alpha$ CD aggregate formation along the PEG backbone is comparable to the crystallization time of PSRs. By correlating the aggregate formation time with the functional ends of PEG, our predictions qualitatively agree with previous turbidity measurements.<sup>4</sup>

Our model provides an efficient prediction of the effect of polymer axle design on the time-dependent distributions and number density of  $\alpha$ CDs on PSR backbones. For example, we extend our model to predict PSR assembly on polymer backbones with intrachain functionalization, which has found experimental applications<sup>8,16</sup> and undergone theoretical analysis.<sup>35</sup> We show that free energy barriers installed in the interior part of polymer axles can lead to the formation of pseudo-dumbbell PSRs, with two barriers forming a protected interior region, and higher-order functionalization can provide a linear density gradient along the backbone. Overall, the tool we develop here permits the prediction of the time-dependent distribution of cyclic molecules on polymer axles and thus helps researchers design and optimize PSR-based materials for various applications.

## 2 Methods

### 2.1 Molecular dynamics simulations

We employed all-atom molecular dynamics (MD) simulations using GROMACS<sup>36</sup> to compute the free energy of host-guest

complexation between  $\alpha$ CDs and a selection of molecules, and the diffusion of  $\alpha$ CDs along an infinite PEG axle. The force field models are CHARMM36<sup>37</sup> and TIP3P water model.<sup>38</sup> Previous studies have shown the suitability of the force field and water model in applications to native cyclodextrins.<sup>39</sup> Force field parameters for all molecules were obtained from CGenFF.<sup>40–42</sup> A cut-off distance of 1.2 nm was applied to the short-range interactions and the particle mesh Ewald method computed the long-range electrostatic interactions.<sup>43</sup> With LINCS constraining all bonds to hydrogen,<sup>44</sup> a time-step of 2 femtoseconds was used for all simulations.

**2.1.1 Umbrella sampling.** We first validate the force field models for predicting the free energy landscapes of  $\alpha$ CDs to thread across different organic moieties. To do so, we select a range of small molecules (Fig. 2) with reported binding constants ( $K_B$ ) to  $\alpha$ CDs and perform two-dimensional (2D) umbrella sampling to elucidate the free energy surface of threading the small molecule through the  $\alpha$ CD's cavity, which exhibits a cylindrical symmetry. All systems consisted of a small molecule located on the primary face side of a single  $\alpha$ CD in a  $7 \times 6 \times 6$  nm box with periodic boundary conditions and approximately 8200 water molecules. The centers of masses (COMs) of both molecules were separated by 1.5 nm and the displacement vector was along the  $x$ -axis. A biasing potential of  $4000 \text{ kJ mol}^{-1} \text{ nm}^{-2}$  was introduced to the COM of the  $\alpha$ CD and the COM of the inclusion molecule parallel to the  $x$ -axis to maintain the separation. A secondary potential of  $1000 \text{ kJ mol}^{-1} \text{ nm}^{-2}$  was also applied in the  $yz$ -plane to maintain alignment of the displacement vector of the COMs during equilibration. A dummy atom, with the Lennard-Jones parameters  $\sigma$  and  $\epsilon$  set

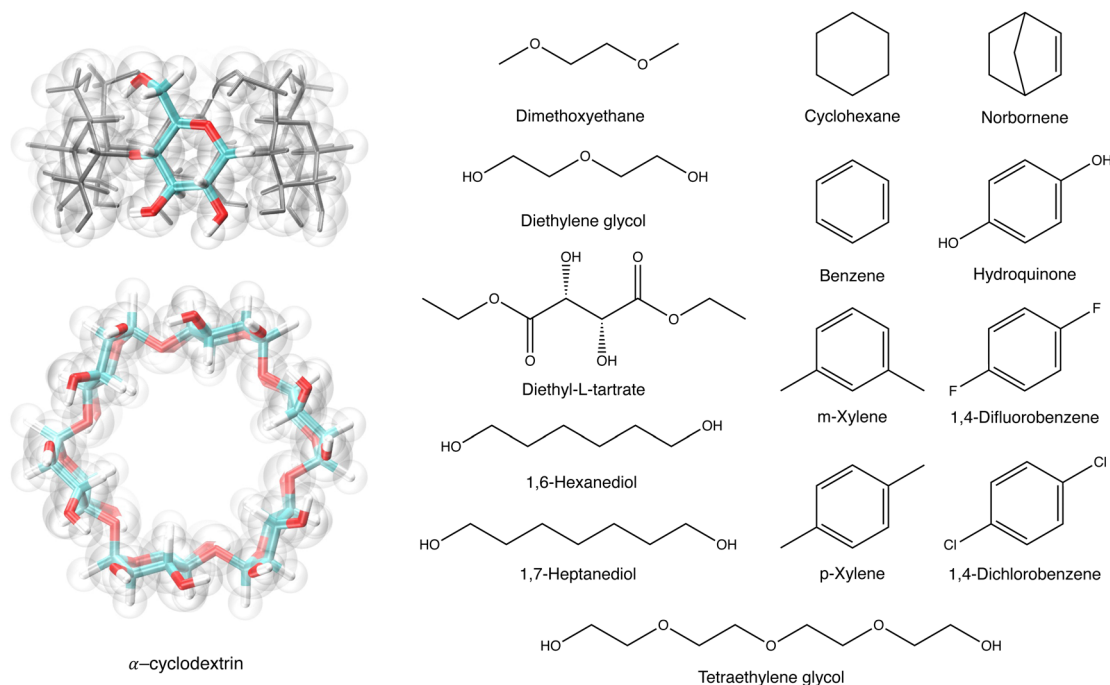


Fig. 2 The structures of  $\alpha$ CD, with a highlighted  $\alpha$ -glucoside, and 14 guest molecules. The tapered rim of the  $\alpha$ CD is the primary face, and the wider rim is the secondary face.



to 0, was constrained at the secondary face side of the  $\alpha$ CD at a distance of 1.5 nm from the COM along the  $x$ -axis.

Systems were initially equilibrated in an NVT ensemble at 300 K with the stochastic velocity rescale thermostat (v-rescale)<sup>45</sup> for 100 ps, followed by a 100 ps NPT equilibration at 300 K and 1 bar with the v-rescale thermostat and Berendsen barostat,<sup>46</sup> respectively. Under the same NPT conditions, a harmonic potential ranging from 4000 to 8000 kJ mol<sup>-1</sup> nm<sup>-2</sup> was applied to the COM of the small molecule and used to pull the molecule along the  $x$ -axis at a rate of 0.001 nm ps<sup>-1</sup>, through the  $\alpha$ CD's cavity, to the dummy atom on the other side. The heavy atoms of the  $\alpha$ CD were restrained with a 1000 kJ mol<sup>-1</sup> nm<sup>-2</sup> potential during the pulling simulations to retain the threading orientation along the  $x$ -axis. The strength of the pulling potential was dictated by the size of the small molecule and chosen to give sufficient configurations along the pulling coordinate. Conformations were extracted at a minimum of every 0.1 nm along the reaction coordinate, where more bulky small molecules required increased sampling when in the cavity of the  $\alpha$ CD (see ESI†). This resulted in a minimum of 29 separate conformations along the  $x$ -axis.

To sample PMF in the radial direction, additional conformations were created by shifting the displacement of the small molecule COM from the threading axis of  $\alpha$ CD from 0.0 to 0.3 nm, in steps of 0.1 nm, with a harmonic potential of 200 kJ mol<sup>-1</sup> nm<sup>-2</sup>. The heavy atom position restraints on the  $\alpha$ CD were removed before equilibration and production runs to allow the molecule to deform during sampling. The orientation of  $\alpha$ CD, parallel to the threading axis, was maintained by imposing a constraint between the dummy atom and COM of the oxygen atoms attached to carbon 2 in each glucoside. Each window underwent NVT and NPT equilibration with harmonic potentials used to maintain the  $x$ -axis and radial displacement using the aforementioned parameters. This resulted in a minimum of 116 umbrella windows with collective variables in the  $x$ -axis ( $\zeta_x$ ) and radial displacement ( $\zeta_r$ ) for 2D free energy sampling, as seen in Fig. 3.

For umbrella sampling, each window underwent 20 ns production runs at 300 K and 1 bar using the v-rescale thermostat and Parrinello–Rahman barostat,<sup>47,48</sup> respectively. An umbrella potential of 200 kJ mol<sup>-1</sup> nm<sup>-2</sup> was used to maintain the radial displacement ( $\zeta_r$ ) for all simulations, while potentials ranging from 2000 to 8000 kJ mol<sup>-1</sup> nm<sup>-2</sup> (see ESI†) were used along the threading coordinate ( $\zeta_x$ ) to ensure neighboring sampling windows sufficiently overlapped. During umbrella sampling, we allow the

relative orientation of the small molecules with regard to the  $\alpha$ CD to fluctuate freely. When unthreaded, the relative orientation is random, representing the maximum orientational entropy. Once the  $\alpha$ CD threads onto the functional moiety, the relative orientation becomes restricted. Thus, our sampled PMF includes the reduction in orientational entropy for threading the  $\alpha$ CD. For each system, the integrated autocorrelation time of displacement along  $\zeta_x$  was determined for each sampling window, at  $\zeta_r = 0$  nm, using the GROMACS g\_wham functionality.<sup>49</sup> The largest integrated autocorrelation time was approximately 660 ps for 1,7-heptanediol, indicating 20 ns is a sufficient sampling time for each window. The 2D weighted histogram analysis method (WHAM)<sup>50</sup> was used to recover the underlying PMF along the collective variables of the inclusion complexes.

**2.1.2 Intrachain diffusion of  $\alpha$ CD.** To accurately model intra-chain macrocycle sliding in the kMC, we first simulated the temperature-dependent diffusion of  $\alpha$ CDs on PEG backbones. Ring-polyrotaxanes (rPRs) were created with a linear PEG chain consisting of 104 monomers and three  $\alpha$ CDs molecules threaded at monomers 26, 52, and 78. Previous work has shown that there are preferential orientations between threaded  $\alpha$ CDs in PRs,<sup>22</sup> therefore, we orientated the threaded  $\alpha$ CDs in the secondary-face to primary-face conformation to minimize the potential for dimers to form if they were to meet during the simulation. The end atoms of the PEG chain were pulled together using a biasing potential under vacuum conditions in a dielectric constant of 80. Biasing potentials of 200 kJ mol<sup>-1</sup> nm<sup>-2</sup> were also applied to the COM of each  $\alpha$ CD and the corresponding monomer to prevent diffusion along the PEG during the construction of the ring PEG. A new bond was introduced between the terminal carbon and oxygen atoms at the sacrifice of two hydrogen atoms, creating a rPR consisting of a cyclic PEG molecule with three bound  $\alpha$ CDs molecules (Fig. 4).

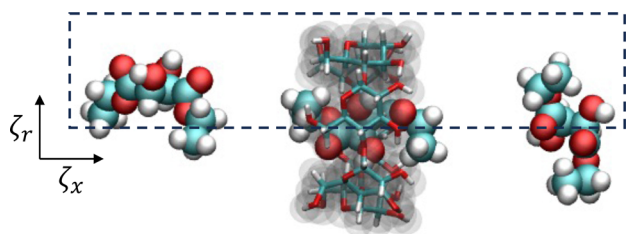


Fig. 3 A schematic representation of the collective variables used for 2D umbrella sampling of diethyl-L-tartrate and  $\alpha$ CD complexation.

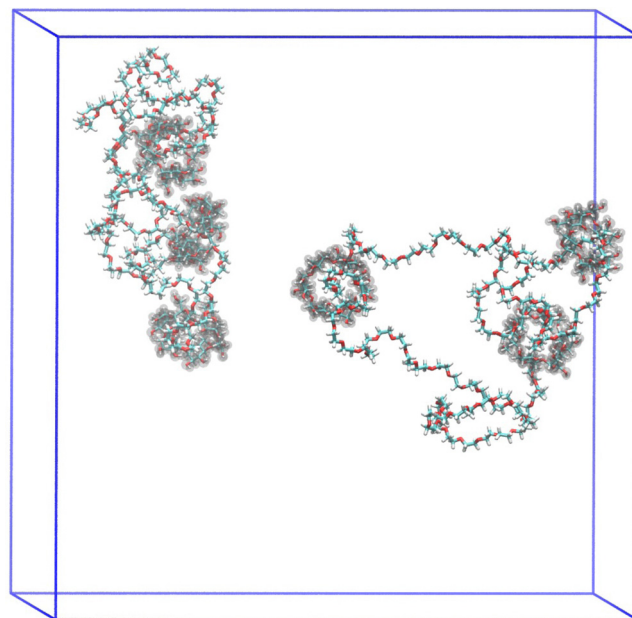


Fig. 4 A snapshot of two rPRs with water removed for clarity.





The cyclic molecule restricted the  $\alpha$ CDs to the one-dimensional diffusion experienced in PRs and created a backbone of infinite length. Two rPR molecules were placed in a cubic box of 10 nm and approximately 32 000 water molecules.

The system was initially equilibrated in an NVT ensemble at 300 K, 330 K, and 360 K with the v-rescale thermostat for 10 ns. Followed by NPT equilibration at 1 bar with the v-rescale thermostat and Berendsen barostat for 100 ns. A biasing potential was retained on the COM of the  $\alpha$ CDs and PEG monomers to prevent diffusion along the PEG backbone during both equilibration runs. An autocorrelation time of the radius of gyration for each rPR was determined to be less than 1 ns from the NPT runs, ensuring each system had been sufficiently equilibrated.

Production runs of 400 ns were performed at three temperatures (300 K, 330 K, and 360 K) and 1 bar using the v-rescale thermostat and Parrinello–Rahman barostat, respectively. We recorded the PEG monomer (or index) nearest to the COM of each  $\alpha$ CD as they diffused along the backbone to determine the one-dimensional mean squared displacement ( $\text{MSD}_{1D}$  in  $\text{index}^2 \text{ ns}^{-1}$ ) and compute the one-dimensional diffusion constant ( $D_{1D}$ ) and corresponding sliding rate ( $k_{\text{slide}} = 2D_{1D}$ ).

We obtain the energy barrier for an  $\alpha$ CD to move to a neighboring site ( $\Delta G_{\text{slide}}$ ) by fitting the temperature-dependent  $D_{1D}$ :<sup>27,51</sup>

$$D_{1D} \propto \frac{kT}{\eta(T)} \text{Exp}[-\beta \Delta G_{\text{slide}}] \quad (1)$$

where  $\beta = 1/kT$ ,  $\eta$  is the temperature dependent viscosity of water,<sup>52</sup> and  $k$  is the Boltzmann constant. Knowing  $k_{\text{slide}}$  and  $G_{\text{slide}}$  allows us to approximate the pre-exponential factor,  $A$ , through the Arrhenius equation:

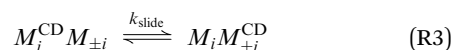
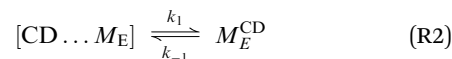
$$k_{\text{slide}} = A \text{Exp}[-\beta \Delta G_{\text{slide}}] \quad (2)$$

We assume that all processes in the assembly occur with the same factor of  $A$  in our KMC simulations.

To address the propensity for the formation and lifetime of dimers along the PEG backbone, we created a second rPR consisting of four  $\alpha$ CDs located at monomers 25, 27, 78, and 80. The neighboring  $\alpha$ CDs were orientated to be secondary-face to secondary-face, which is reported to be the most stable dimer configuration in PRs.<sup>22</sup> A single rPR was placed in a 7 nm cubic box with approximately 11 000 water molecules and subject to the same equilibration and production procedures as above at 300 K. By observing the dissociation and association of the neighboring  $\alpha$ CDs, we shall demonstrate the stability of secondary-face to secondary-face configuration.

## 2.2 Kinetic Monte Carlo for polypseudorotaxane assembly

We simplify PSR assembly into three reactions (Fig. 1): (R1) the association and dissociation of free  $\alpha$ CDs and polymer end groups ( $M_E$ ), (R2) the threading ( $M_E^{\text{CD}}$ ) and dethreading of  $\alpha$ CDs and end groups, and (R3) the diffusion from occupied site ( $M_i^{\text{CD}}$ ) to an unoccupied neighboring site ( $M_{i\pm 1}$ ).



The rate constants for reactions (R2) and (R3) are determined through the Arrhenius equation  $k = Ae^{-\beta \Delta G}$ , where the frequency factor ( $A$ ) is approximated from the 1D diffusion of  $\alpha$ CDs along the PEG backbone and assumed to be the same for all reactions, and the reaction barrier ( $\Delta G$ ) is determined through free energy sampling.

**2.2.1 Lattice approximation to diffusion.** We derive the rate constants of association ( $k_a$ ) and dissociation ( $k_d$ ) for reaction (R1) using a lattice approximation. We estimate the mean first passage time (MFPT) for an interaction between free  $\alpha$ CDs and an unoccupied “threading point” at a PEG end group, based solely on the reactant concentrations. The time required for a chain end and free  $\alpha$ CD to diffuse away over a lattice site approximates the dissociation rate constant.

We first determine the system volume using the initial concentration of PEG ( $c_{\text{PEG}}$ ) and number of chains ( $n_{\text{PEG}}$ ):

$$V_{\text{sys}} = \frac{n_{\text{PEG}}}{c_{\text{PEG}} N_A} \quad (3)$$

The system volume and starting concentration of  $\alpha$ CD determines the number of  $\alpha$ CDs present. We then discretize the system into a cubic lattice by a reference volume ( $V_0 = 1 \text{ nm}^3$ ), which is dictated by the approximate reaction diameter of the small molecules and  $\alpha$ CD obtained from the PMF. Each PEG end group and  $\alpha$ CD occupies a single site, and we assume a well-mixed system.

We treat the diffusion of reactants as a random walk on the cubic lattice. This results in the MFPT ( $\tau$ ) required for a species  $j$  to find a reacting neighbor of species  $k$  as:

$$\tau \approx \sum_{i=1}^{\infty} \frac{i l_0^2}{6D} p_j (1 - p_j)^{i-1} \approx \frac{l_0^2}{6D p_j} \quad (4)$$

where  $p_j = \phi_k$  is the probability for finding a reactant and governed by the volume fraction  $\phi_k$  of species  $k$ ,  $l_0 = V_0^{1/3}$  is the lattice spacing, and  $D$  is the combined diffusion constant of  $\alpha$ CD and PEG.

We obtain the diffusion constant of  $\alpha$ CD by fitting experimental data.<sup>53</sup> The PEG diffusion constant is estimated using the Zimm model:

$$D(T)_{\text{PEG}} \approx \frac{kT}{\eta(T) b N^\nu} \quad (5)$$

where  $b$  is the length of a Kuhn segment of PEG,  $N$  is the number of Kuhn segments in the PEG chain, and  $\nu$  is the Flory exponent (0.6). The experimentally measured persistence length ( $\lambda_p$ ) for PEG varies from 0.38 to 0.64 nm,<sup>54,55</sup> therefore, we approximate  $\lambda_p$  as 0.5 nm and model a PEG Kuhn segment as 1 nm, or three monomers.



The effective volume fraction ( $\phi$ ) of each species is determined by the lattice size and  $V_{\text{sys}}$ :

$$\phi_i = \frac{n_i V_0}{V_{\text{sys}}} = c_i V_0 N_A \quad (6)$$

where  $n_i$  and  $c_i$  are the number and concentration of species  $i$ , respectively. This results in the MFPT for a vacant PEG end to find a free  $\alpha$ CD:

$$\tau_{\text{END}} = \frac{l_0^2}{6D\phi_{\alpha\text{CD}}} \quad (7)$$

and for a free  $\alpha$ CD to find a vacant PEG end:

$$\tau_{\alpha\text{CD}} = \frac{l_0^2}{6D\phi_{\text{END}}} \quad (8)$$

In the dilute regime we can assume that  $\phi_{\text{END}} \approx \phi_{\alpha\text{CD}} \ll 1$ , which results in:

$$\tau_i \approx \frac{l_0^2}{6D\phi_i} \quad (9)$$

Therefore, the diffusion-association rate in the dilute solution can be approximated using:

$$\text{Rate} = \frac{6D\phi_{\text{END}}}{l_0^2} \frac{n_{\text{CD}}}{V_{\text{sys}}} = 6DN_A l_0 c_{\text{CD}} c_{\text{END}} \quad (10)$$

and the rate constant becomes:

$$k_a = 6DN_A l_0 \quad (11)$$

If we take the lattice size ( $l_0$ ) to be the reaction diameter of an  $\alpha$ CD and end group, the rate constant based on the lattice approximation is consistent with the Smoluchowski reaction kinetics of the second order.<sup>56,57</sup>

$$k_{\text{Smol}} = 4\pi DN_A \sigma \quad (12)$$

where  $\sigma$  is the reaction radius.

The lattice treatment yields a simple expression of the dissociation rate constant. Because an associating complex is mostly surrounded by water in a dilute solution, an  $\alpha$ CD only needs to diffuse over a lattice spacing to dissociate from a PEG end. Therefore, we write the dissociation constant as:

$$k_d = \frac{6D}{l_0^2} \quad (13)$$

**2.2.2 Kinetic Monte Carlo.** An in-house event-driven kinetic Monte Carlo (kMC) algorithm was created to predict assembly of PSRs using the thermodynamics and kinetics obtained from the all-atom analyses and analytical approximations. We assume that each step in the assembly process follows a Markov-chain, and therefore obeys the master equation:<sup>58</sup>

$$\frac{dP_i(t)}{dt} = - \sum_{j \neq i} k_{ij} P_i(t) + \sum_{j \neq i} k_{ji} P_j(t) \quad (14)$$

where  $i$  and  $j$  represent different states,  $P$  is their respective probability, and  $k$  is the rate of transition between states.

**Table 1** Parameters implemented in the kinetic Monte Carlo.  $\Delta G^\ddagger$  corresponds to the introduced barrier from functionalization,  $\Delta G_R$  is the reaction energy of threading  $\alpha$ CDs on a PEG axle, and  $\Delta G_{\text{slide}}$  is the barrier to  $\alpha$ CDs diffusion along PEG.  $N_{\text{MCD}}$  is the number of threaded  $\alpha$ CD with a neighboring unoccupied backbone site

Process	Rate constant	Rate
Association	$k_a = 6DN_A l_0$	$\frac{k_a}{V_{\text{sys}} N_A} N_{\text{CD}} N_{\text{ME}}$
Dissociation	$k_d = \frac{6D}{l_0^2}$	$k_d N_{[\text{CD} \dots \text{ME}]}$
Threading	$k_1 = \left(\frac{A}{4}\right) e^{-\beta \Delta G^\ddagger}$	$k_1 N_{[\text{CD} \dots \text{ME}]}$
Dethreading	$k_{-1} = \left(\frac{A}{4}\right) e^{-\beta (\Delta G^\ddagger + \Delta G_R)}$	$k_{-1} N_{\text{MCD}}$
Backbone diffusion	$k_{\text{slide}} = \left(\frac{A}{4}\right) e^{-\beta \Delta G_{\text{slide}}}$	$k_{\text{slide}} N_{\text{MCD}}$

We convert our macroscopic rate constants to microscopic rate coefficients through:<sup>32</sup>

$$k_{\text{micro}} = \frac{k_{\text{macro}}}{V_{\text{sys}}^{n_{\text{or}}-1} N_A^{n_{\text{or}}-1}} \quad (15)$$

where  $n_{\text{or}}$  is the reaction order and  $V_{\text{sys}}$  is the system volume. The rate constants and rates of each step used for this study in PSR assembly are shown in Table 1, where we assume the pre-exponential factor ( $A$ ) is equivalent for each step.

By tabulating all the available processes ( $i$ ) and their rates ( $r$ ) from the state of the system we can create a cumulative probability ( $p$ ) of each possible event through:

$$p_i = \frac{r_i}{\sum_{i=1} r_i} \quad (16)$$

We then select a random number ( $\rho_1$ ) over the range [0,1) to select the event that is to occur:

$$p_{i-1} < \rho_1 \leq p_i \quad (17)$$

and update the system in accordance with the event chosen, thus creating a new table of available processes depending on the new configuration.

After each event, the time is advanced with a second random number ( $\rho_2$ ) over the range [0,1) using:

$$\Delta t = - \frac{\ln(\rho_2)}{\sum_{i=1} r_i} \quad (18)$$

This process is repeated until the PEG chain reaches a predetermined coverage of  $\alpha$ CD.

Our kMC model coarse-grains  $\alpha$ CDs to remove orientation dependency on the reaction barrier to threading, and any interaction between the  $\alpha$ CDs and functional moiety once threaded onto the PEG. Single crystal structures<sup>4</sup> and NMR studies<sup>59</sup> of PRs show an EG :  $\alpha$ CDs ratio of 2 : 1. Thus, we discretize the PEG into "sites" of two monomers which can be occupied by a single  $\alpha$ CD. The reaction diameters of the functional moieties sampled were similar to that of a two-PEG monomers site. Therefore, we scale the pre-exponential factor  $A$  obtained from the sliding rate over a



single PEG monomer by four ( $A/4$ ) for the threading/dethreading reactions and the ring sliding on the more coarse-grained PEG in kMC. Lattice sites containing threaded  $\alpha$ CDs are represented as purely repulsive barriers to prevent ring overlapping. We neglect the attraction between two successive  $\alpha$ CDs in our model (see our justification in Results and discussion).

### 3 Results and discussion

#### 3.1 Small molecule complexation

The underlying 2D PMF surface of binding a small molecule to  $\alpha$ CD's cavity was recovered from the umbrella sampling using WHAM (Fig. 5a). By exploiting the cylindrical symmetry of the complexation process, we determine the binding constant ( $K_B$ ) of the inclusion complexes as:<sup>60</sup>

$$K_B = 2\pi N_A \int \int \zeta_r e^{-\Delta G_{\text{PMF}}(\zeta_x, \zeta_r)/kT} d\zeta_r d\zeta_x \quad (19)$$

where  $\Delta G_{\text{PMF}}$  is the PMF profile.

Our choice of force field successfully captures the interactions of  $\alpha$ CDs with many small molecules in aqueous solutions (see Table 2 and Fig. 5c). The theoretically determined binding constants agree well with experimental values for the majority of linear molecules, as well as the isomers of xylene. We observe a slight over-prediction with tetraethylene glycol. Two experimental results are given for the binding constant of cyclohexane and our theoretical value agrees with the lower value of  $9 \text{ M}^{-1}$ .<sup>61</sup> However, the higher value ( $164 \text{ M}^{-1}$ )<sup>62</sup> clearly follows the under-prediction trend observed with a selection of other cyclic molecules, such as benzene, hydroquinone, or halogenated benzene.

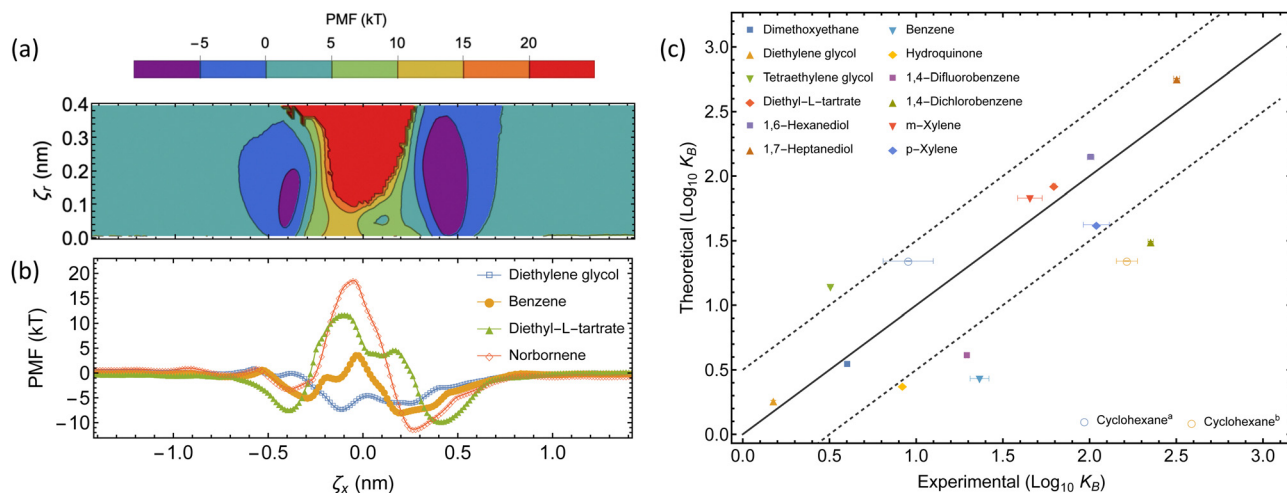
Previous studies have explored the consequence of charge transfer and induced dipole interactions in  $\alpha$ CD host-guest chemistry.<sup>72</sup> The stabilization of these effects would result in

**Table 2** Theoretical and experimental binding constants ( $K_B$ ). The standard error of the mean for each theoretical binding constant was obtained using a Monte Carlo resampling bootstrap method. The standard error is included for small molecules with more than one experimentally reported value, except for cyclohexane

Molecule	Binding constant ( $\text{M}^{-1}$ )	
	Theoretical	Experimental
Dimethoxyethane <sup>63</sup>	$3.49 \pm 0.02$	4.0
Diethylene glycol <sup>63</sup>	$1.83 \pm 0.01$	1.5
Tetraethylene glycol <sup>63</sup>	$13.9 \pm 0.1$	3.2
Cyclohexane <sup>61,62</sup>	$22.0 \pm 0.1$	$9 \pm 3, 164 \pm 23$
Diethyl-L-tartrate <sup>64</sup>	$84.1 \pm 0.8$	$62.2 \pm 1.4$
1,6-Hexanediol <sup>65</sup>	$140 \pm 1$	$101.4 \pm 4.6$
1,7-Heptanediol <sup>65</sup>	$572 \pm 3$	$318 \pm 13$
Benzene <sup>62,66–69</sup>	$2.71 \pm 0.01$	$23.2 \pm 2.9$
Hydroquinone <sup>70</sup>	$2.38 \pm 0.01$	8.3
1,4-Difluorobenzene <sup>71</sup>	$4.08 \pm 0.02$	$19.6 \pm 0.3$
1,4-Dichlorobenzene <sup>71</sup>	$31.2 \pm 0.2$	$225 \pm 8$
<i>m</i> -Xylene <sup>62,67,68</sup>	$67.8 \pm 0.3$	$45.3 \pm 7.4$
<i>p</i> -Xylene <sup>62,67,68</sup>	$42.1 \pm 0.2$	$109.3 \pm 18.8$

extended association basins in the PMF and explain the under-estimation of the binding constant using fixed charge force fields. Further exploration of the use of a polarizable force field to account for the induced dipole, or density functional theory to incorporate charge transfer, may need to be investigated before applying this sampling method to polarizable or aromatic molecules. Those approaches are computationally expensive for exploring the vast design space of PSRs. We rely on the rather robust classical and efficient CHARMM36 force field to extract the free energy barrier a functional moiety will introduce to the threading of an  $\alpha$ CD over a functionalized PEG chain end.

After validating our free energy sampling method, we extracted the 1D energy surface for threading various small molecules through the  $\alpha$ CD cavity from the 2D surface by following the saddle path (Fig. 5b). We see asymmetric profiles



**Fig. 5** Thermodynamics of the complexation between  $\alpha$ CD and guest molecules. (a) The underlying 2D-PMF for diethyl-L-tartrate complexation. (b) 1D PMF profiles of select guest molecules from free energy sampling. The collective variable  $\zeta_x$  corresponds to the displacement of the COM of each molecule along the threading axis of  $\alpha$ CD, where a negative displacement indicates the guest molecule COM is on the primary face side, relative to the COM of the  $\alpha$ CD. (c) Comparison between experimental and theoretical binding constants. The dashed lines represent a deviation of one order of magnitude from the experimental result, within this region is considered accurate. The two experimental binding constants for cyclohexane have been separately plotted ((a) ref. 61 and (b) ref. 62).



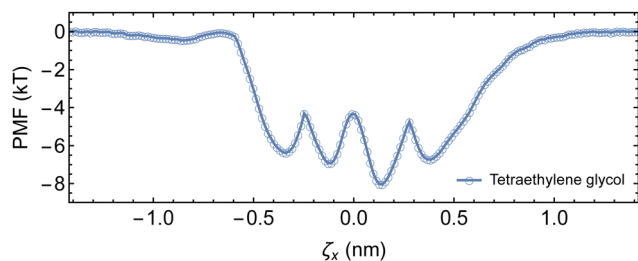


Fig. 6 One-dimensional PMF of complexation between tetraethylene glycol and  $\alpha$ CD.

due to the asymmetry of the  $\alpha$ CD molecule, with the secondary face often resulting in a more stable configuration. By taking the difference between the free energy peak, and average depth of each basin, we can approximate the energy barrier to threading ( $\Delta G^\ddagger$ ) for each small molecule.

Using the value of  $\Delta G^\ddagger$  obtained through the free energy sampling of the small molecule alone omits any influence of the polymer chain on the energetics of threading, which is expected to be mild. Although PEG chains are relatively flexible in water, this polymer still exhibits a finite Kuhn length and thus is locally straight. The probability of a long PEG chain bending over (as a hairpin) and establishing close contact with a threaded or nearly threaded CD is rare.<sup>73</sup> We believe that any additional steric effects introduced by the chain itself may only result in a slight impediment for CD threading onto the functional groups.

The purely attractive PMF of the diethylene glycol indicates that an unfunctionalized PEG has no barrier for threading, and that the limiting factor in the assembly of  $\alpha$ CDs on unfunctionalized PEG axes is the diffusion of reactants in solutions and the redistribution of  $\alpha$ CDs along the backbone. For bulkier end groups, our method predicts the threading barriers to be about 10 kT, 20 kT, and 26 kT for benzene, diethyl-L-tartrate, and norbornene, respectively. The large free energy barrier permits norbornene to act as an effective end-group for modulating the  $\alpha$ CD threading and imparting excellent mechanical properties to PSR-based hydrogels in experiments.<sup>4</sup>

While the theoretical binding constant for tetraethylene glycol exceeds the experimentally determined value, the 1D energy surface of complexation provides an estimation for the  $\Delta G_R$  required for the kMC (Fig. 6). A minimum of  $-8$  kT is recovered, with neighboring minima of approximately  $-7$  kT, and barriers ranging from 2.6 to 3.7 kT separating the minima. We use  $\Delta G_R = -8$  kT in the implementation of the kMC as small variations in  $\Delta G_R$  only impose negligible differences on the assembly kinetics (see ESI†).

## 3.2 Intrachain diffusion

**3.2.1 Backbone diffusion.** Following previously established procedures,<sup>27,51,74</sup> we investigated the diffusion of the  $\alpha$ CDs in the rPR. We estimate the activation energy barrier to ring sliding along PEG backbone using the Arrhenius relation by performing simulations at 300, 330, and 360 K (Fig. 7). We discretized the PEG ring backbone into monomer indices with the center of the carbon-carbon bond representing the center of the bead. Tracking the COM of the  $\alpha$ CDs over the trajectory, we derived the mean squared displacement (MSD) of each  $\alpha$ CD in the rPR through:

$$\text{MSD}_{1D}(t) = \langle (i(t) - i(t + \Delta t))^2 \rangle \quad (20)$$

where  $i(t)$  corresponds to the index position of  $\alpha$ CDs at time  $t$ . The diffusion constant ( $D_{1D}$ ) was obtained as  $0.23 \text{ index}^2 \text{ ns}^{-1}$  at 300 K by fitting  $\text{MSD}_{1D} = 2D_{1D}\Delta t$ . The value of  $D_{1D}$  is approximately twice that determined for PR in DMSO ( $0.105 \text{ index}^2 \text{ ns}^{-1}$ ).<sup>27</sup>

Fitting the temperature-dependent diffusion coefficients with eqn (1) yielded an energy barrier of  $10.9 \pm 0.7 \text{ kJ mol}^{-1}$  ( $4.4 \pm 0.3 \text{ kT}$ ), which is slightly higher than previous free energy sampling results of  $8.37 \text{ kJ mol}^{-1}$  in water,<sup>22</sup> and the diffusion method in DMSO at  $8.92 \text{ kJ mol}^{-1}$ .<sup>27</sup> Nonetheless, the activation energy barrier is also comparable to the barrier of 3.7 kT recovered from umbrella sampling the complexation of tetraethylene glycol with  $\alpha$ CD.

The average time ( $\bar{t}$ ) required for an  $\alpha$ CD to jump to a nearby site is  $\bar{t} = 1/2D_{1D}$ .<sup>75</sup> Therefore, we can calculate the backbone sliding rate ( $k_{\text{slide}} = 2D_{1D}$ ) as  $4.6 \times 10^8 \text{ Hz}$ . Incorporating  $G_{\text{slide}}$

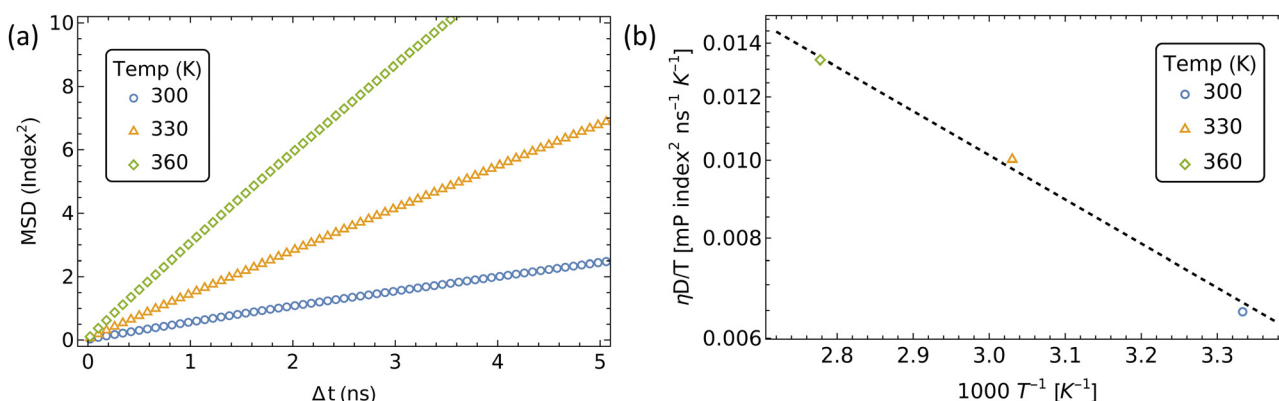


Fig. 7 Intrachain diffusion of  $\alpha$ CDs in a rPR. (a) MSD of  $\alpha$ CDs at different temperatures. (b) Diffusion coefficient versus temperature to determine the barrier to jumping ( $\Delta G_{\text{slide}}$ ) and frequency factor ( $A$ ).





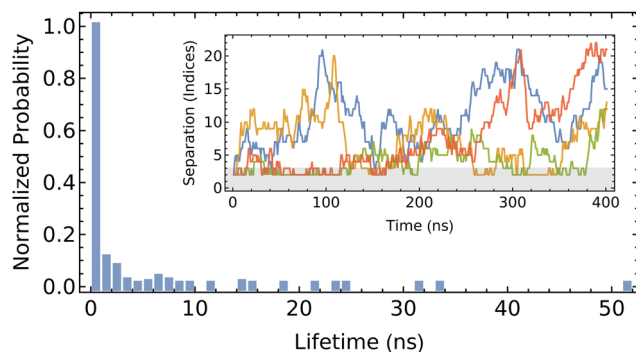


Fig. 8 Probability of the lifetime of CD dimers on PEG. Inlay shows the separation of each pair through the 400 ns trajectory, with the gray region indicating the range used to identify a dimer.

and  $k_{\text{slide}}$  into eqn (2) allows us to approximate the pre-exponential factor ( $A$ ) as  $3.6 \times 10^{10}$  Hz.

**3.2.2 Dimer formation.** With an estimated free energy of  $-17.6$  kJ mol $^{-1}$  (approximately  $-7$  kT) when orientated secondary-face to secondary-face,<sup>22</sup> the formation of stable  $\alpha$ CD dimers has been expected to play a role in the assembly of PSRs. To model the association, dissociation, and diffusion of dimers along a PEG backbone, we created rPRs with two dimers located along the backbone and tracked the location of the COM of each  $\alpha$ CD with regard to the closest PEG backbone index. Each pairing is orientated in the secondary-face to secondary-face conformation to favor the formation of dimers. Monitoring the position of each  $\alpha$ CD in the dimer, we elucidate the lifetime and stability of the pair (Fig. 8).

We define the formation of a dimer as when the two centers of mass are located three monomers-or-less apart (approximately 1.2 nm, in comparison to the height of  $\alpha$ CD of 0.8 nm). The fleeting nature of the dimer is evident from the high propensity to exhibit a short pairing lifetime, which is generally restricted to less than 10 ns. The detailed trajectory indicates that dimer formation is transient, which is not consistent with previous modeling of the free energy of association.<sup>22</sup> Discrepancies between the present work and that of Liu *et al.*<sup>22</sup> may be attributed to their use of force field, CHARMM27, which has not been validated for accuracy in modeling  $\alpha$ CD, or the free energy analysis method. Their methodology incorporated the use of positional restraints on the PEG backbone oxygen atoms to expedite the convergence of the free-energy calculations. These added restraints to retain the unphysical rod-like structure of the PEG would underestimate the orientational entropy loss upon dimer formation (which forces two Kuhn segments of PEG to align uniaxially), and in turn over-predict the binding and stability between the two successive  $\alpha$ CDs. The imposed rod-like structure of the PEG stemming from the positional restraints can also explain the lower energy barrier for CD sliding obtained through the same free energy sampling method.

While the formation of dimers in the present work does appear to be somewhat favorable, the short lifetime of the pairs only imparts minimal influence in the overall assembly of large PSRs. The diffusion of reactants in the dilute solutions and the

slow threading rate across functional ends dominate the overall assembly kinetics. Thus, we omit the attraction between neighboring  $\alpha$ CDs along PEG backbones in our current kMC simulations. When the pair-wise interactions between neighboring rings become significant and ring sliding becomes slow on more exotic polymer axles, our kMC can be easily modified to promote the formation of small aggregates of rings on the polymer backbones.

### 3.3 Polypseudorotaxane assembly

We then combined the lattice treatment of bulk diffusion with the all-atom MD results to build a kMC to model the assembly process of PSRs with various threading barriers, concentrations, and chain lengths. Input parameters that are constant for all kMC runs are given in Table 3.

**3.3.1 Monofunctionalization.** To mimic the functionalization of a single end, we introduced increasing reaction barriers to one polymer end while leaving the second end with no barrier. Each barrier was sampled at a concentration of 1 mM and 50 mM of PEG<sub>5k</sub> and  $\alpha$ CD, respectively, to match experimental conditions.<sup>4</sup>

The initial threading and propagation of  $\alpha$ CDs along the polymer axle with several increasing barriers are shown in Fig. 9. The unfunctionalized assembly progresses as expected, with equivalent migration of rings from both ends of the polymer which quickly reach a uniform distribution along the backbone and saturation after approximately 10  $\mu$ s. A more asymmetric profile is created when the threading barrier is increased to 5 kT, where there is a significant drop in the propensity for threading to occur on the functionalized end. The result is a higher density of  $\alpha$ CDs on the bare end and a delay in forming a uniform distribution along the polymer axle. Further increasing the barrier to 10 kT results in the exclusive threading from the unfunctionalized end and a more pronounced delay in the formation of a uniform backbone distribution.

We extracted the mean first passage time (MFPT) of the formation of  $\alpha$ CD clusters along the polymer backbone which are required to seed the crystallization of PSRs (Fig. 10a). Aggregate sizes of 10, 18, and 40  $\alpha$ CDs were chosen as they match the approximate sizes of the PSR crystal lamellae obtained from small-angle X-ray scattering (SAXS) data at comparable concentrations.<sup>4</sup>

The increase in threading barrier on monofunctionalized PEG mildly impacts the formation of  $\alpha$ CD aggregates, with slight delays of 10 to 100% in the time required to form groups of 10, 18 or 40  $\alpha$ CDs (Fig. 10b). We attribute the weak influence of a single functionalized end to the presence of an excess of  $\alpha$ CDs in solution and the rapid dissociation when an  $\alpha$ CD

Table 3 Input parameters for the kinetic Monte Carlo algorithm

Parameter	Value
$A$	$3.6 \times 10^{10}$ Hz
$l_0$	1.0 nm
$\Delta G_R$	$-8.0$ kT
$\Delta G_{\text{slide}}$	$+4.4$ kT



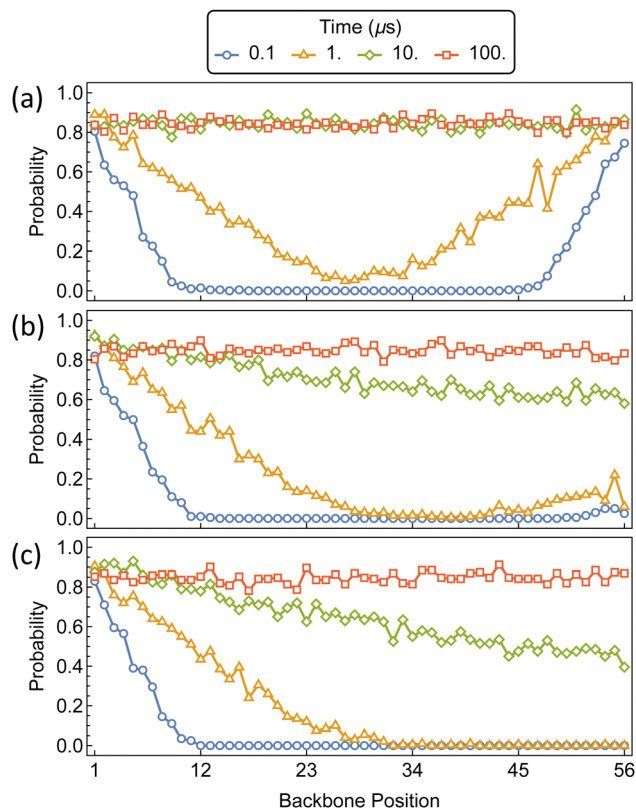


Fig. 9 Probability distributions of  $\alpha$ CDs migration along a bare PEG<sub>5k</sub> backbone (a) and monofunctionalized PEG<sub>5k</sub> with a threading barrier of 5 kT (b) and 10 kT (c) located at position 56.

encounters a significant barrier at the functionalized end. While a functional group may delay the rate of threading from the blocked end indefinitely, the unfunctionalized end is readily accessible to  $\alpha$ CDs due to the fast backbone diffusion liberating the unfunctionalized end once a threading event has occurred.

The modest influence on the formation of groups of 10  $\alpha$ CD is due to the small size of the aggregate, which mostly formed near the chain ends. Rapid threading of  $\alpha$ CD at the bare PEG end allows for the efficient formation of small aggregates with the supply of freshly threaded  $\alpha$ CDs from one single chain end. The formation of larger aggregates, such as 18  $\alpha$ CDs, however, benefit from the supply of rings from both chain ends. As a consequence, blocking one polymer end impacts the assembly of 18  $\alpha$ CDs more significantly. Finally, to form large aggregates of 40 rings that cover the entire polymer backbone, the rate-limiting step becomes the shuffling of large aggregates to evacuate a chain end for threading fresh  $\alpha$ CDs from the solution. As a consequence, the monofunctionalization only mildly impacts the MFPT of the formation of aggregates of 40  $\alpha$ CDs on the PEG chains.

In experimental characterization, the solution transmittance is monitored to infer the assembly rate of PSRs through the formation of PSR crystals.<sup>76</sup> Experimental turbidity measurements of monofunctionalized PEG show the transmittance drop to 90% in approximately 15, 105, and 130 minutes for -OH,

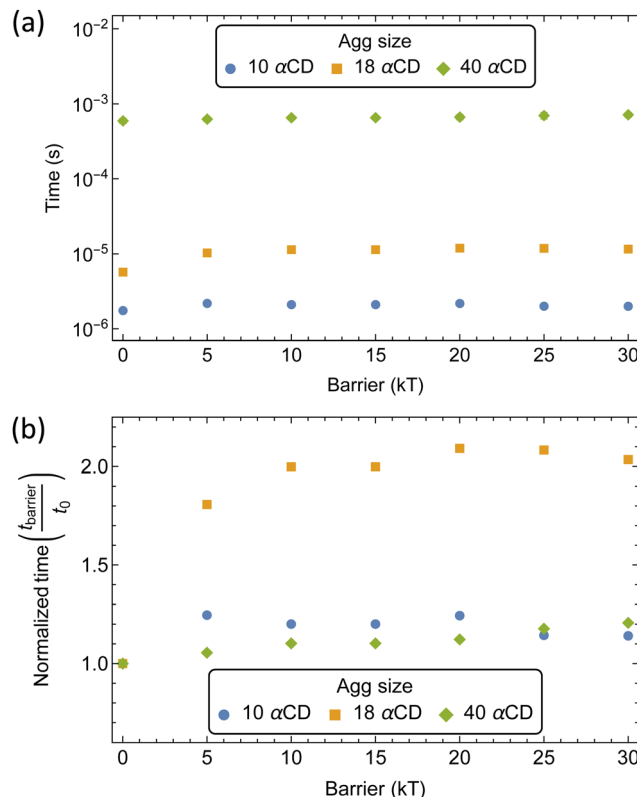


Fig. 10 Mean first passage time (MFPT) of aggregate formation along the mono-functionalized PEG<sub>5k</sub> backbone with increasing threading barriers. (a) Total assembling times at each barrier. (b) Normalized aggregation times relative to the time required for an unfunctionalized PEG.

norbornene, and adamantane functionalization, respectively.<sup>4</sup> This indicates a more noticeable effect of monofunctionalization of PEG on crystal formation. Contrarily, the kMC shows a limited effect of monofunctionalization on CD aggregate formation along the PEG backbone. The discrepancy may arise from the effects of functional ends on PSR crystallization. For example, when PSRs crystallize, we expect some end groups, such as norbornene and adamantane, to pack at the crystal surface in the stem direction. The hydrophobic moieties may enhance the interfacial free energy of the crystal/solution interfaces, and in turn, increase the nucleation barrier for a cylindrical nucleus:<sup>77</sup>

$$\Delta G^* = \frac{8\pi\sigma_l^2\sigma_{\text{end}}}{\Delta G_v^2} \quad (21)$$

where  $\Delta G^*$  is the free energy,  $\Delta G_v$  is the free energy density of fusion, and  $\sigma_l$  and  $\sigma_{\text{end}}$  are the surface tension in the stem and cylinder end directions, respectively. The presence of bulky end-groups, which are incompatible with the crystalline lattice, might also impede the crystal stacking in the polymer stem direction, and in turn, cause the delay in the formation of large crystallites that are detected in turbidity measurements.

**3.3.2 Bifunctionalization.** Functionalizing both PEG ends can effectively modulate the CD assembly on the polymer axle and govern the material properties of hydrogels in applications



such as direct-ink-write (DIW) 3D-printing.<sup>4,5</sup> To demonstrate the assembly of bifunctionalized PEG, we perform kMC simulations for the assembly in a solution with initial concentrations of 1 mM and 50 mM for PEG<sub>5k</sub> and  $\alpha$ CD, respectively, similar to the experimental condition.<sup>4</sup>

The initial threading and propagation of  $\alpha$ CDs along the bifunctionalized polymer axle with increasing barriers are shown in Fig. 11. The introduction of a 5 kT barrier causes a slight retardation in the threading and propagation of  $\alpha$ CD to the interior of the chain when compared to the unfunctionalized PEG (Fig. 9a). A uniform backbone distribution is recovered at 10  $\mu$ s, as with unfunctionalized PEG, however, we see an order of magnitude delay in backbone saturation at approximately 100  $\mu$ s. The effect of 10 kT barriers on the assembly of PSR is notably different from the lower barriers because the process of threading is delayed significantly compared to the rate of propagation along the backbone. This results in sparsely covered PEG axles with uniform distribution and high  $\alpha$ CD mobility before saturation occurs.

With the addition of threading barriers to both ends of the PEG, PSR formation follows two distinct regimes: diffusion-limited (DL) at lower barriers, and reaction-controlled (RC) after the threading barrier exceeds a critical value where the MFPT for  $\alpha$ CDs aggregate formation  $t \sim \text{Exp}[-\Delta G^\ddagger]$  (Fig. 12). Fitting the MFPT of aggregate formation with a piecewise function allowed us to approximate the effective reaction barrier at which the assembly transitions from DL to RC. The critical barrier value is slightly aggregate size dependent, with the transition for the

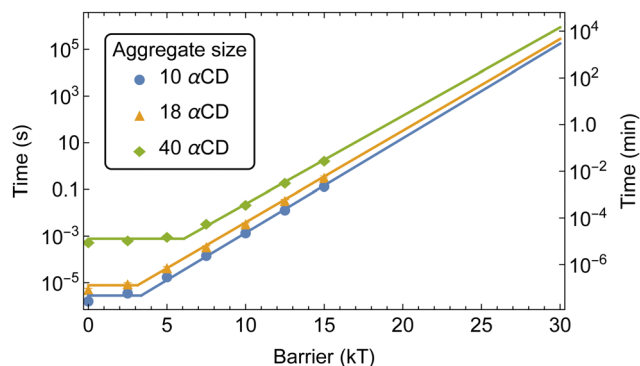


Fig. 12 MFPT of aggregate formation along the bifunctionalized PEG backbone with increasing threading barriers. Fits showing the diffusion and reaction limited regimes with extrapolation to higher reaction barriers.

assembly of 10  $\alpha$ CDs occurring around 3 kT and increasing to approximately 6 kT for aggregates of 40.

The kMC indicated that barriers of 20 kT or higher are required to result in a delay of greater than a few seconds to the assembly of  $\alpha$ CD aggregates at experimental concentrations. Norbornene can effectively modulate the number density of CD on PEG and result in robust hydrogel.<sup>4</sup> Our free energy calculations indicated that the introduction of a norbornene moiety to both PEG ends would result in a threading barrier of approximately 26 kT (Fig. 5b). Extrapolating the MFPT to a barrier of 26 kT gives MFPT of 70 minutes to produce aggregates of 10  $\alpha$ CDs, 120 minutes for 18  $\alpha$ CDs, and 440 minutes for a group of 40  $\alpha$ CDs to form.

**3.3.3 Concentration.** The influence of initial concentration was investigated by increasing the concentration to 2 mM and 100 mM of PEG<sub>5k</sub> and  $\alpha$ CD, respectively. The increase in concentration maintained the ratio of  $\alpha$ CDs to PEG monomer and matched the experimental concentrations.<sup>4</sup> The increase in concentration results in a decrease in the amount of time required for aggregate formation to occur, as expected (Fig. 13). We determine a MFPT of

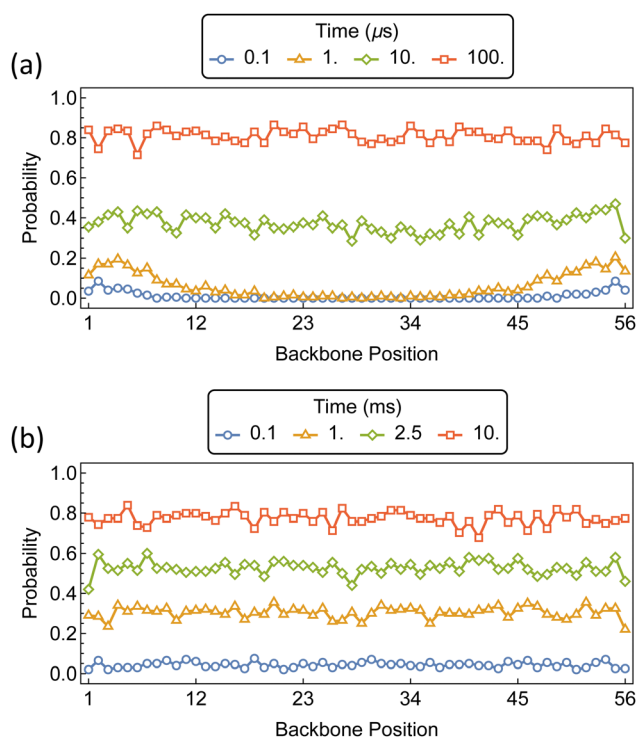


Fig. 11 Probability distributions of  $\alpha$ CDs migration along a bifunctionalized PEG<sub>5k</sub> backbone with barriers at position 1 and 56. Barrier sizes are (a) 5 kT, and (b) 10 kT.

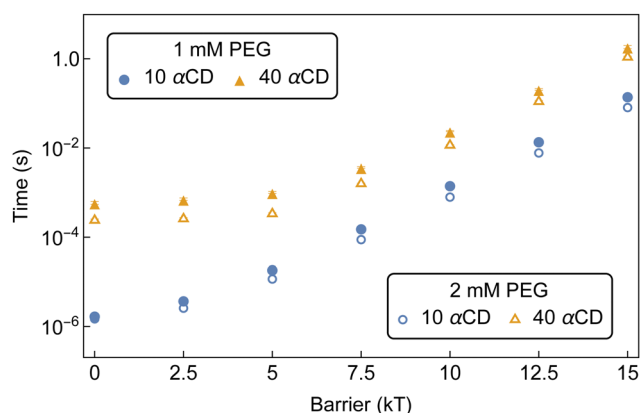


Fig. 13 Concentration effect on MFPT of aggregate formation along the bifunctionalized PEG backbone with increasing threading barriers. Solid markers indicate initial concentrations of 1 mM PEG and 50 mM  $\alpha$ CD and empty markers indicate initial concentrations of 2 mM PEG and 100 mM  $\alpha$ CD.



40 minutes to produce aggregates of 10  $\alpha$ CDs, 90 minutes for 18  $\alpha$ CDs, and 410 minutes for a group of 40  $\alpha$ CDs to form on a norbornene-functionalized PEG.

Time-dependent isolation and  $^1\text{H}$  NMR analyses of PSRs formed between  $\text{PEG}_{5k}(\text{Nor})_2$  and  $\alpha$ CD at 2 mM and 100 mM, respectively, determined it took approximately 400–500 minutes to form crystalline precipitates of norbornene-functionalized PSRs with an average of 36 threaded  $\alpha$ CD per chain.<sup>4</sup> There is a qualitative agreement between the assembly time recovered from our model and the experimental crystallization time. The slight underprediction of our kMC model compared to the turbidity measurement may again arise from the hindered crystallization kinetics. Nonetheless, the assembly time of  $\alpha$ CDs on bifunctionalized PEG exceeds the characteristic crystallization time for PSRs consisting of bare  $\text{PEG}_{5k}$  backbones, of order 15 minutes, indicating that bifunctionalization could help effectively modulate the molecular assembly of PSRs through competition with PSR crystallization.

**3.3.4 Chain length.** To understand the influence of chain length on the kinetics of aggregate formation, shortened chain lengths of  $\text{PEG}_{1k}$  were sampled at 1 mM with  $\alpha$ CD at 50 mM (Fig. 14). There is a marked effect on assembly times due to the shortened chain length at low barriers, with an order of magnitude delay between  $\text{PEG}_{1k}$  and  $\text{PEG}_{5k}$ .

The disparity at lower barriers can be attributed to the higher diffusion rate of shorter chains in solution, as well as the reduced backbone sliding required by  $\alpha$ CDs to find partners. This results in a more rapid formation of aggregates along the shorter chain. The diminishing chain length effect at higher threading barriers is due to the threading rate becoming slow enough to allow the threaded  $\alpha$ CDs to explore increasingly larger regions of the backbone. Here, the formation of aggregates is controlled by the number of threaded molecules and less affected by the  $\alpha$ CD diffusion along PEG backbones.

Additionally, with an equivalent number of  $\alpha$ CD on each chain, there is a higher probability that the  $\text{PEG}_{1k}$  end group is occupied compared to the  $\text{PEG}_{5k}$ . This effectively decreases the concentration of free chain ends and lowers the rate of threading on the shorter chains.

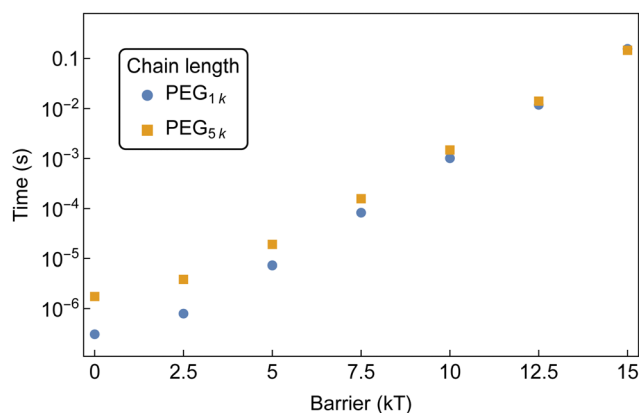


Fig. 14 MFPT for the formation of an aggregate of 10  $\alpha$ CDs to form on a bifunctionalized PEG with increasing threading barriers. Both chain lengths are sampled at 1 mM PEG and 50 mM  $\alpha$ CD.

**3.3.5 Intrachain functionalization.** Exercising control over the location of cyclic molecules along the polymer axle provides another avenue for imparting function on the resulting material. Intrachain molecular design can be incorporated through binding sites or diffusion barriers along the axle before exposure to cyclic molecules.<sup>1,16</sup> As a proof of concept for the rational design of pseudo-dumbbell PSRs and PSRs with a coverage gradient, we extend our kMC to contain two or more intrachain barriers to model the assembly and backbone redistribution of these more exotic architectures. Through the introduction of variable barriers located equidistant along the backbone, we perform kMC simulations with initial concentrations of 1 mM and 50 mM for PEG and  $\alpha$ CD, respectively.

The formation of primary domains on the outer portions of the polymer, which are readily occupied by  $\alpha$ CD, and a secondary domain on the interior region of the polymer, guarded by a diffusion barrier, will facilitate the assembly of pseudo-dumbbell PSRs. Our system represents a 60 monomer PEG chain consisting of 30 backbone positions, with barriers for jumping between position 10 and 11, and 20 and 21. Each chain end remains barrier free in accordance with bare PEG chain ends.

The time-dependent distribution of  $\alpha$ CDs along the polymer backbone is shown in Fig. 15 for barriers of 10, 15, and 20 kT. As expected from the assembly kinetics of  $\alpha$ CDs onto a bare PEG chain, a rapid coverage of the exterior regions occurs for each internal barrier. For barriers of 10 kT, which is approximately double the barrier to backbone diffusion, the internal region becomes populated before the dumbbell domains become saturated. For barriers of 15 kT and higher, the outer

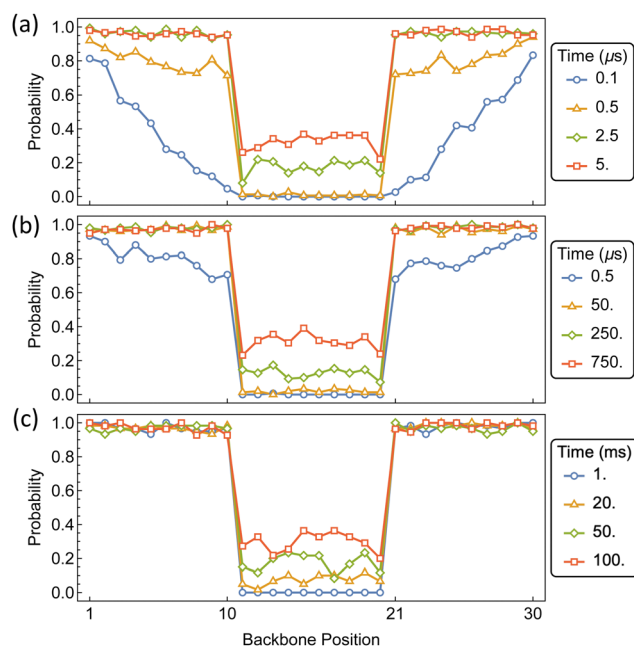


Fig. 15 Probability distributions of  $\alpha$ CDs migration during pseudo-dumbbell PSR assembly on PEG containing intrachain functionalization. Barriers are located in the transition between position 10  $\leftrightarrow$  11, and 20  $\leftrightarrow$  21. Barrier sizes are; (a) 10 kT, (b) 15 kT, and (c) 20 kT.





portions become saturated before the  $\alpha$ CDs begin to traverse the internal barrier to occupy the central region. Increasing the barrier to 15 kT slows the migration by orders of magnitude, requiring about 250  $\mu$ s for the presence of an  $\alpha$ CD in the interior. Whereas a barrier 20 kT requires 50 ms for there to be a significant presence of  $\alpha$ CD located on the interior portion of the PSR.

Increasing the number of “speed bumps” can create PSRs with a coverage gradient along the polymer backbone. We create a 50 mer PEG chain consisting of 25 backbone positions, with barriers for jumping between positions 5 and 6, 10 and 11, 15 and 16, and 20 and 21. This forms primary, secondary, and tertiary domains along the polymer backbone. Each chain end remains barrier-free in accordance with bare PEG chain ends.

The distributions of  $\alpha$ CD during the assembly of the PSRs are shown in Fig. 16. Imposing equivalent barriers of 15 kT at each position imparts a slight gradient in  $\alpha$ CD concentration along the polymer backbone. As with the PSR pseudo-dumbbells, the outer regions are rapidly saturated. After the secondary and tertiary domains of the polymer begin to populate, we see an increased probability of approximately 0.2 that the  $\alpha$ CD will occupy the secondary domains, compared to the internal region.

If we retain the 15 kT barriers to the secondary domains and increase the barriers to the tertiary region to 20 kT, we see a dramatic increase in the disparity of  $\alpha$ CD concentration along

the polymer backbone. The secondary domains reach saturation before the tertiary region of the polymer begins to populate.

Exercising control of macrocycle distribution along the polymer axle has also been accomplished through the use of block copolymers,<sup>1,16</sup> and coarse-grained modeling methods have been recently applied to such systems.<sup>15</sup> Our kMC can be adapted to further explore the PSR design space through the incorporation of variable backbone diffusion rates, as described in the example kMC script included in the ESI.† However, we restrict ourselves to functionalized PEG and leave modeling the block copolymer assembly as a possible extension to our current work.

## 4 Conclusions

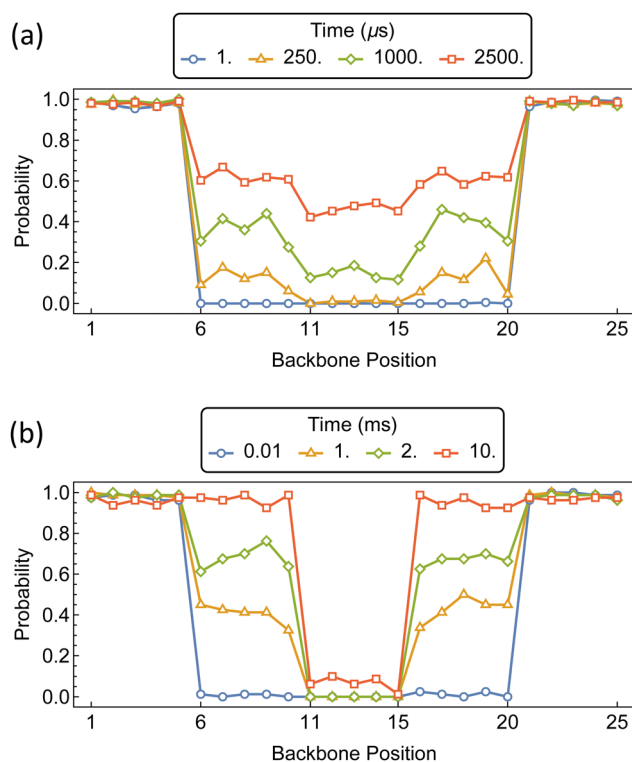
We have developed a multi-scale model that can predict the assembly of polypseudorotaxanes on mono- and bifunctionalized polyethylene glycol chains in dilute solutions. In our work, two-dimensional free energy sampling was used to validate the CHARMM36 force field in applications to host–guest complexation, with satisfactory thermodynamic results for a range of molecules with  $\alpha$ CDs when compared to experimental binding constants. The free energy sampling in atomistic simulations allows us to predict the free energy barriers for threading  $\alpha$ CDs onto PEG with different chain ends. Our free energy data is combined with  $\alpha$ CD-on-PEG axle diffusion, and a lattice approximation to reactant diffusion, to develop an event-driven kinetic Monte Carlo for PSR assembly.

We find that functionalization of both PEG ends causes delays in the assembly process of PSRs when the resultant barrier exceeds a certain threshold value. The reaction barrier needs to be significant, about 20 kT, to have a noticeable influence on assembly. Our multi-scale simulations predict that PSR with aggregates of 40  $\alpha$ CDs would take approximately 400 minutes to form, which matches experimentally determined crystallization times and is significantly longer than the characteristic crystallization time for PSR based on bare PEG backbones. Our prediction confirms the prior experimental speculation that norbornene functionalization can kinetically modulate the assembly and  $\alpha$ CDs distribution on PEG, and in turn enhance the material properties of PSR-based hydrogels.

Finally, we show that our kMC can be adapted to introduce intrachain functionalization and provide an avenue for modeling the assembly and redistribution of macrocycles with novel axle architectures. With additional parameterization, our coarse-grained model is transferable to other systems and permits the exploration of other molecular designs, such as PSR synthesized using block copolymers.

## Author contributions

Cameron D. Smith: data curation, formal analysis, methodology, software, visualization, original draft, review and editing. Chen-feng Ke: conceptualization, funding acquisition, project administration, review and editing. Wenlin Zhang: conceptualization,



**Fig. 16** Probability distributions of  $\alpha$ CDs migration during PSR assembly on PEG containing variable intrachain functionalization. (a) Barriers of 15 kT are located at the transitions 5  $\leftrightarrow$  6, 10  $\leftrightarrow$  11, 15  $\leftrightarrow$  16, and 20  $\leftrightarrow$  21. (b) Barriers of 15 kT are located at the transitions 5  $\leftrightarrow$  6, and 20  $\leftrightarrow$  21, while barriers of 20 kT are located at the transitions 10  $\leftrightarrow$  11, and 15  $\leftrightarrow$  16.



funding acquisition, methodology, project administration, software, resources, supervision, validation, review and editing.

## Data availability

Data for this article, including example kMC Mathematica scripts, are available in the ESI.†

## Conflicts of interest

There is no conflict of interest to declare.

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