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## 1 Introduction

Particulate materials exist in large quantities in nature and many industrial processes deal with materials that are particulate in structure.<sup>1</sup> Granular materials are relevant for a wide range of materials such as pharmaceutical powders, agricultural products, sands and gravels, or chemical pellets. Despite their prevalence in applications, there is still a large discrepancy between results predicted by analytical or numerical solutions and the behavior observed in experiments.<sup>2,3</sup>

One major challenge is that granular assemblies, *e.g.*, soil, can both flow like a fluid or be static like a solid, *i.e.*, when granular materials experience enough stress, they can start to behave in a fluid-like manner. Unlike fluid-solid coupling, where two different materials in different states come together, in particulate systems their fluid-solid-state behavior already occurs for a single material. The coexistence of multiple states

# Understanding slow compression of frictional granular particles by network analysis†

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We consider frictional granular packings exposed to guasi-static compression rates, with a focus on systems above the jamming transition. For frictionless packings, earlier work (S. Luding et al., Soft Matter, 2022, 18(9), 1868–1884) has uncovered that the system evolution/response involves smooth evolution phases, interrupted by fast transitions (events). The general finding is that the force networks' static quantities correlate closely with the pressure, while their evolution resembles the kinetic energy for both frictionless and frictional packings. The former represents reversible (elastic) particle deformations with affine and non-affine components, whereas the latter also involves much stronger, irreversible (plastic) rearrangements of the packings. Events are associated with jumps in the overall kinetic energy as well as dramatic changes in the force networks describing the particle micro-structure. The frictional nature of particle interactions affects both their frequency and the relevant time scale magnitude. For intermediate friction, events are often followed by an unexpected slow-down during which the kinetic energy drops below its average value. We find that these slow-downs are associated with a significant decrease in the non-affine dynamics of the particles, and are strongly influenced by friction. Friction modifies the structure of the networks, both through the typical number of contacts of a particle, and by influencing topological features of the resulting networks. Furthermore, friction modifies the dynamics of the networks, with larger values of friction leading to smaller changes of the more stable networks.

and their transitions are among the ultimate research challenges in mechanics and physics. Unlike solid–fluid coupled problems, the discrete nature of particulate materials leads to rich unpredictable behavior, *i.e.*, a given particulate material can behave in both a fluid-like or a solid-like manner.<sup>4–6</sup> Depending on the situation (*e.g.*, process conditions, energy input), the material will achieve one state or the other. One high-profile disastrous example (of many varied cases) is a landslide, which is caused by a transition in state from solid (desired) to fluid (undesired) – and back to solid at the terminal run-out;<sup>7–9</sup> or in process engineering, transport problems (silo clogging or collapse<sup>10–12</sup>) occur due to the coexistence of, and transitions between states.

Alongside the repulsive, dissipative (and frictional) nature of their interactions,<sup>13</sup> crucial properties influencing particle behavior involve inter-particle friction and cohesion, mixtures of different species,<sup>14–16</sup> particle shape, size distribution, and anisotropy. Friction serves as one important controlling force that influences the transition from the static phase, where particles are relatively stationary, to the dynamic phase, where particles start moving and interacting more vigorously. Friction between particles creates resistance against movement and acts as a threshold that must be overcome for particles to transition from fluid

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to solid<sup>17–20</sup> (stagnation/jamming) can be caused directly by friction and other aforementioned properties, which tend to slow down motion. In contrast, the transition from solid to fluid (start of flow/unjamming) is due to failure and instability<sup>21</sup> when (for example) friction is not strong enough to avoid flow and various other mechanisms kick in.

When the considered systems are exposed to a confining pressure, their response involves enduring contacts among particles, which results in the spontaneous formation of mesoscale force networks,<sup>22–27</sup> which are known to be related to the system macroscopic response.<sup>28,29</sup> Forces tend to be transmitted along so-called 'force chains',26 which continuously form and break, resulting in substantial variations in force magnitudes.<sup>30</sup> These exhibit a spatiotemporal nature, related to significant stress fluctuations.<sup>31</sup> In such a confined regime, the system evolution involves evolving networks<sup>32-35</sup> that could be system-spanning or fragile.<sup>36</sup> Even for perhaps the simplest case of frictionless systems exposed to quasi-static compression, the system evolution is far from simple.<sup>37–42</sup> Such systems were considered in our earlier work,29 which uncovered that smooth compression was interrupted by fast transitions (events) with rapid rearrangements of the particles and therefore of contact and force networks. Despite attempts to discover the nature of granular fluctuations at the mesoscale,<sup>29,43,44</sup> the effect of friction in controlling transitions for dense granular assemblies remains an open question.

Discrete element method (DEM)<sup>45</sup> is a powerful tool offering deeper insights into the micro-mechanics of granular packings that are difficult to obtain experimentally. DEM allows for inspecting and understanding the influence of microscopic contact properties of its constituents on the bulk behavior. To bridge between the particle scale, considered by DEM-based simulations, and the system scale, one needs to use other methods that consider meso-scale organization of the particle interactions. Network-based approaches, such as the ones discussed in ref. 46-48, among others, provide new insights into the mechanisms that govern the statics and dynamics of granular materials. Such approaches provide quantitative descriptions of how the structure of a dense granular material evolves as a system deforms under external loads (such as those induced by compression, shear, tapping, or impact), and can help to describe complex aspects of granular flows.

Our research focuses on the influence of friction on the system response to quasi-static compression<sup>49</sup> building on studies of frictionless systems.<sup>29,43,44</sup> Some of the questions that we ask are whether the fast events found for frictionless systems are still present and, if so, how the presence of friction influences them. Another related question is whether the presence of even very weak friction modifies the system evolution in general, and the properties of the events in particular. We will answer these (and other) questions by consideration of both classical measures, such as kinetic energy of the evolving packings, and by carrying out the computational topology-based persistence analysis<sup>48</sup> that allows us to quantify statics and dynamics of the force networks. Such ability turns out to be particularly useful for developing a better understanding of the influence of friction on the force networks.

The paper is organized as follows. Discrete element method and computational topology based method used for quantifying force networks are introduced in Section 2. Section 3 contains the results obtained during sample compression. Further, a regime is selected from the preparation path for further detailed analysis. Then, in Section 4 we discuss the results obtained by applying persistent analysis to the considered system, as well as by developing the relation of the quantities derived based on persistence analysis to classical measures, such as pressure, kinetic energy, and coordination number. Section 5 is devoted to understanding the effect of inter-particle friction on the time-averaged quantities. Finally, conclusions are given in Section 6.

### 2 Methods

#### 2.1 Discrete element method

The discrete particle modeling approach towards a microscopic understanding of macroscopic particulate material behavior is the so-called discrete element method (DEM),<sup>50–53</sup> a numerical scheme originally formulated and developed by Cundall *et al.*<sup>45</sup>

DEM is a straightforward algorithm to solve the translational and rotational equations of motion for a system of many interacting particles:

$$m_{i}\vec{a}_{i} = \vec{f}_{i} - \gamma_{b}\vec{v}_{i} + m_{i}\vec{g}$$

$$I_{i}\vec{\omega}_{i} = \vec{\tau}_{i} - \gamma_{br}(d_{i}/2)\vec{\omega}_{i}$$
(1)

where  $m_i$  is the mass of the *i*-th particle,  $d_i$  its diameter,  $I_i$  its (spherical) moment of inertia, with particle position  $\vec{x}_i$ , velocity  $\vec{v}_i = \vec{x}_i$ , acceleration  $\vec{d}_i = \vec{x}_i$ , and angular velocity  $\vec{\omega}_i$ .

There are three kinds of forces: (i) the volume forces (*i.e.* due to gravity acceleration,  $\vec{g}$ ) are neglected in this study; (ii) the "background" damping forces with dissipation coefficients  $\gamma_{\rm b}$  and  $\gamma_{\rm br}$  mimic energy loss due to viscous, velocity dependent drag on each particle, as by a background medium; and (iii) the contact forces with other particles:  $\vec{f_i} = \sum_c \vec{f_i^c}$ , as well as the torques:  $\vec{\tau_i} = \sum_c \left(\vec{l_i^c} \times \vec{f_i^c} + \vec{q_i^r} + \vec{q_i^t}\right)$ , where  $\vec{l_i^c}$  is the branch vector. The

additional torques due to rolling and torsion,  $\vec{q}_i^r, \vec{q}_i^t$ , are neglected.

At the basis of our simple DEM, the contact force laws relate the interaction forces to the overlap/deformation of two particles, decomposed into normal and tangential components as:  $\vec{f}_i^c = \vec{f}^n + \vec{f}^t$ . With given normal and tangential forces acting on all contacts, *c*, one can numerically integrate the equations of motion with time-step  $\Delta t$ , to obtain the positions of the particles as a function of time.

**2.1.1** Normal contact law. Granular materials consist of mesoscopic grains that deform under contact forces, *e.g.* induced by an externally applied stress. Instead of realistic modelling of the particle deformation, we relate the interaction force to the overlap  $\delta^n$  of two spheres. Two particles only interact if they are in contact, with a positive overlap:

б

$$\dot{y}^{n} = (r_{i} + r_{j}) - (\vec{x}_{i} - \vec{x}_{j}) \cdot \vec{n} > 0,$$
 (2)

where  $\vec{n} = (\vec{x}_i - \vec{x}_j)/|\vec{x}_i - \vec{x}_j|$  is the unit vector pointing from particle *j* to particle *i*.

The most simple contact model in DEM is a linear springdashpot visco-elastic force magnitude:<sup>50,52,54–56</sup>

$$f^{n} = f^{n}_{el} + f^{n}_{visc} = k_{n}\delta^{n} + \gamma_{n}\dot{\delta}^{n}, \qquad (3)$$

with  $\vec{f}^n = f^n \vec{n}$ , where  $k_n$  is the elastic spring stiffness and  $\gamma_n$  is the viscous dashpot damping constant, causing dissipation proportional to the relative velocity, <sup>57</sup>  $v_{rel}^n = -(\vec{v}_i - \vec{v}_j) \cdot \vec{n} = \dot{\delta}^n$ .

In this formulation of DEM, each contact force is considered independently.<sup>58–60</sup> This is an appropriate approximation for relatively small deformations, considering only binary pair contact interactions.

**2.1.2 Tangential contact law.** Modeling the tangential forces that arise from oblique particle impacts has elicited a considerably wider range of force models than those of normal interactions.<sup>3,56,61,62</sup> Here, the tangential force is modeled in a way based on the theory of Mindlin,<sup>63</sup> as detailed in ref. 64.

When two contacting surfaces are subjected to an increasing tangential displacement,  $\delta^t$ , then relative slip is initiated at the perimeter and progresses inward over an annular area of the contact surface.

An approach based on the constant normal force solution of Mindlin was proposed already by Tsuji *et al.*<sup>65</sup> Analogously to the normal force, the tangential force involves a linear (tangential) spring with stiffness  $k_t$  and a viscous damping with  $\gamma_t$ , similar to the model used in ref. 58, 64 and 66. The linear visco-elastic tangential test force magnitude:

$$\vec{f}^{t} = \vec{f}^{t}_{\rm el} + \vec{f}^{t}_{\rm visc} = k_{\rm t}\vec{\delta}^{\rm t} + \gamma_{\rm t}\vec{\delta}^{\rm t} \tag{4}$$

involves damping proportional to the tangential velocity  $\vec{v}^t = \dot{\delta}^t$ .

The elastic tangential displacement,  $\vec{\delta}^{t}$ , is obtained by integrating the relative tangential velocity vector during elastic deformations, see ref. 64, 67 and 68. The test tangential force in eqn (4) is subjected to Coulomb's particle contact friction criterion. In simple words, dynamic friction happens when the tangential component of force,  $f^{t} \geq \mu_{d} f_{n}$ , while elastic, static friction is active otherwise,  $f^{t} \leq \mu_{s} f_{n}$ , where we use  $\mu = \mu_{d} = \mu_{s}$  – details are given in ref. 64.

2.1.3 Dimensionless variables and parameters. In our simulations N = 4096 particles of mean diameter  $\left\langle d'_{\rm p} \right\rangle = 2$ , and maximum to minimum size distribution width,  $w = d_{\rm p}^{\rm max}/d_{\rm p}^{\rm min} = 3$ , from a homogeneous size distribution, are placed in a 3D periodic box. The primed parameters given in the following, *e.g.*, density  $\rho'_{\rm p} = 2000$  or  $d'_{\rm p} = 2$ , are dimensional (ESI† units implied like mm, kg m<sup>-3</sup> and  $\mu$ s) and used in the simulations shown in this paper. For non-dimensionalisation, as presented in the rest of the paper, units are chosen based on particle properties. Here, the unit of length is chosen as the mean particle diameter,  $x'_{\rm u} \left\langle d'_{\rm p} \right\rangle = 2$ , so that  $\left\langle d_{\rm p} \right\rangle = \left\langle d'_{\rm p} \right\rangle / x'_{\rm u} = 1$  is the dimensionless diameter. The second unit is the material density,  $\rho'_{\rm u} = \rho'_{\rm p} = 2000$ , so that one has a dimensionless density,  $\rho = 1$ , and thus the unit of mass,  $m'_{\rm u} = \rho'_{\rm u} (x'_{\rm u})^3$ ,

*i.e.*, the particle mass,  $m_p = (\pi/6)$ . For the third unit, one has several choices, where we adopt here the units of elastic stress,  $\sigma'_u = k'_n / d'_p$ , with the linear normal contact stiffness,  $k'_n = 10^5$ , which yields the dimensionless stress  $\sigma = \sigma' d'_p / k'_n$ , and results in the unit of time  $t'_u = (m'_u / k'_n)^{1/2} = 0.4$ . In the chosen units, the dimensionless linear stiffness is  $k_n = k'_n (t'_u)^2 / m'_u = 1$ , and the linear contact viscosity,  $\gamma'_n = 10^3$ , becomes  $\gamma_n = \gamma'_n t'_u / m'_u = \gamma'_n / [k'_n t'_u] = 4 \times 10^{-3}$ , with background viscosity,  $\gamma'_b = 10^2$ , resulting in  $\gamma_b = \gamma'_b t'_u / m'_u = 4 \times 10^{-4}$ . For the tangential forces, the parameters are: various values of  $\mu \in [0:1]$ ,  $k_t = k_t/k_n = 0.2$ , and  $\gamma_t/\gamma_n = 0.2$  ( $\gamma_t = 8 \times 10^{-4}$ ), and  $\gamma_{br}/\gamma_b = 0.2$  ( $\gamma_{br} = 8 \times 10^{-5}$ ); in this study, rolling and torsion resistances are not considered.

The consequent physically relevant properties are the restitution coefficient  $r = \exp(-\eta_{\rm n}t_{\rm c}) \approx 0.855$ , with damping factor  $\eta_{\rm n} = \gamma_{\rm n}/(2m_{12})$ , reduced mass,  $m_{12} = 0.063$ , and contact duration,  $t_{\rm c} = \pi / \sqrt{k_{\rm n}/m_{12} - \eta_{\rm n}^2} = 0.79$ , (or  $t_{\rm c}' = t_{\rm c}t_{\rm u}' = 0.316$ ), all considered for a contact between the largest and the smallest particle, with a larger viscous damping time-scale,  $t_{\rm v} = 2m_{12}/\gamma_{\rm n} \approx 5$ , and even a larger background damping time-scale  $t_{\rm b} = 2m_{12}/\gamma_{\rm b} \approx 50$ . Note that this choice of units corresponds to setting  $t_{\rm u}' \propto t_{\rm c}'$ , leading to collapsing different stiffness simulations in the elastic regime.<sup>19,69</sup>

To prepare samples, first, particles are randomly placed in a 3D simulation box, with a system size equal in all directions  $(L = L_x = L_y = L_z)$ , with a periodic boundary condition at a low volume fraction ( $\phi \approx 0.5$ ). Second, the simulation box is compressed homogeneously,<sup>43</sup> from all directions, up to volume fraction  $\phi$  = 0.82. Recognizing that conventional DEM may not accurately capture granular packings at high-volume fractions, 58,70-72 we focus in this work on volume fractions just slightly above jamming. In particular, for topological analysis, we have chosen a pressure corresponding to approximately 2% deformation.<sup>29,43</sup> In this study, the focus is on isotropic compression, where the same compression rate is applied in all directions,  $\dot{\varepsilon}_v = \dot{\varepsilon}_{xx} = \dot{\varepsilon}_{vv} = \dot{\varepsilon}_{zz}$ . Simulations are carried out using widely different isotropic strain rates,  $\dot{\epsilon}_{
m v} \in [10^{-5}:10^{-9}]$  A typical (isotropic) inertial number for one of the moderately slow rates  $(\dot{\varepsilon}_{\rm v} \approx 10^{-7})$  is of the order of  $10^{-5}$ , for small  $p \approx 10^{-4}$ , which confirms that the simulations are in the quasi-static, rateindependent regime.

**2.1.4** Affine and non-affine displacements. During compression, particles experience deformations that can be split into global/average (affine) and local/fluctuating (non-affine) contributions. Affine motion assumes a linear relation from global strain to micro-contact displacement, *i.e.*, the motion of each grain follows the applied strain, which is reflected by affine kinetic energy  $E_a \approx (1/2)M(\dot{\epsilon}_v(L/2))^2$ , with total mass *M*, system size *L*, and isotropic-strain rate  $\dot{\epsilon}_v$ .

The kinetic energy due to affine displacements is a system size-dependent (extensive) energy, scaling with system size,  $E_a/M \propto L^2$ , for details see ref. 43. Therefore, it is disregarded in the present paper. Instead, we focus only on the part of the

kinetic energy caused by (i) velocity fluctuations and (ii) nonaffine displacements. This part of the kinetic energy, which we denote by  $E_k$  in what follows, is proportional to the usual granular temperature,  $T_G$ , as  $E_k = (3/2)T_G/M$ , but notably contains two vastly different contributions (i) and (ii), see ref. 43.

#### 2.2 Persistent diagrams and derived measures

Persistent diagrams, PDs, are obtained by application of the tools of persistent homology to an object, which in our case is a force network describing interactions between granular particles.<sup>73–76</sup> In simple terms, persistent homology could be thought of as a mapping from the (weighted) network describing particle interactions to one or more persistent diagrams. The number of PDs is equal to the number of physical dimensions, with PD  $\beta_0$  corresponding to open components (that do not form loops), PD  $\beta_1$  to loops, and PD  $\beta_2$  to holes in three dimensional space, not commonly present in force networks for granular systems, and thus not considered further.

In our previous work,<sup>29</sup> we constructed PDs for frictionless granular systems, including a toy example describing how to construct PDs. A simple two dimensional network can contain both components (vague analogy to so-called 'force chains') and loops (analogy to cycles). Here we provide another toy example that focuses on an even simpler configuration (networks without loops), and use this network to describe some additional concepts needed for the present study.

Fig. 1(a) and (b) shows two networks. In this representation, nodes (circles) can be interpreted as particle centers, while edges represent the branch vectors of interacting particles (in the context of this toy example, we do not discuss normal and tangential forces separately). For simplicity, we choose two networks with the same connectivity, but different forces (in the context of granular physics, we are considering a single contact network supporting two force networks).

The PDs shown in Fig. 1(c) represent the generators associated with the networks in (a) and (b) by point clouds; in our toy example, the number of points in each cloud is very small; for the real networks considered later in the paper, the number of points could reach hundreds or thousands. These points are obtained by thresholding from above (going from large to small forces) and by observing at which force magnitude a given component appears (is born) and at which force it merges with another component (it dies). By convention, the component that is born first dies last, and in particular the component that corresponds to the largest threshold level (1 in our example) never dies (for the two considered PDs, the two generators with coordinates (1,0)). Simple diagrams as shown in (a and b) lead to simple PDs; for the present networks, PDs have only three (a) and two (b) generators. For example, the blue generator with coordinates (0.5, 0.3) corresponds to the component marked by 0.5 in (a); this component connects to the (older) component (1) at the level 0.3.

One approach towards quantifying a PD is to describe its structure in terms of its global features, such as the number of generators,  $N_{\rm G}$ , and their typical distance from the diagonal (generators very close to the diagonal 'live' for very short force range and therefore do not contribute significantly to the force network structure). The measures used later in the paper are based on the life-span,  $\mathcal{L} = B-D$  (where *B* and *D* are birth and death coordinates, respectively) of each generator, and then total persistence, TP, defined by  $\text{TP} = \sum \mathcal{L}$ , where the sum goes over all generators. More elaborate measures could be defined of course, but for our purposes the specified ones are sufficient. For our toy examples in Fig. 1, we have for (a)  $N_{\rm G} = 3$ , TP = 1.3, and for (b)  $N_{\rm G} = 2$ , TP = 1.3.

The most important features of the PDs (discussed in more detail in<sup>29</sup>) are as follows: stability (small changes in networks lead to small changes in the corresponding PDs), capture of the most important topological properties of the original networks, and data reduction (point clouds, PDs, could be presented in much more concise manner than the original networks). Note that data reduction leads to some information loss, however, for all practical purposes, the main features of the underlying networks are accurately presented by the PDs.<sup>48</sup> Another important property of PDs is that they live in a metric space, and therefore can be compared, see<sup>48</sup> for further discussion. This fact is crucial since it allows for the comparison of the PDs and, therefore, by extension, for the comparison of the underlying networks.

The comparison of two PDs can be carried out by defining the concept of distance (difference) between the diagrams. Loosely speaking (see<sup>48</sup> for precise definition), the distance



Fig. 1 Toy examples of a simple network with the different strengths of interactions between nodes (particles) shown by the numerical values and color intensity associated with the edges. The persistence diagrams (c) show blue points corresponding to the network (a) and red points corresponding to the network (b). The matching pairs used for computing distances (lines) are shown as well (see text). Note that red/blue generators at (1.0, 0.0) are plotted next to each other for visualization purposes.

between two diagrams measures the minimum of the sum of all the Euclidian distances by which the generators of one PD need to be translated to make them match the generators of the other PD. We note that finding matching pairs is the most computationally intensive task involved in computing the distances. The exact value of a distance depends on the norm used. In this paper, we consider two norms and associated distances: Wasserstein q distance, where q stands for the norm being used (we use q = 2, leading to the  $L_2$  norm), and also the bottleneck distance, which records only the maximum distance between the generators in any of the matching pairs (therefore corresponding to the  $L_{\infty}$ norm). Computing the distances is computationally expensive if PDs contain many generators since one needs to identify all the matching pairs. If the number of generators is not the same between two PDs being compared, the extra generator(s) are matched to the diagonal. The toy example in Fig. 1(a) contains such a generator at (0.2, 0.1). For Fig. 1, the Wasserstein 2 distance,  $W_2\beta_0 \approx 0.1225 \approx \sqrt{0.1^2 + 0.1^2/2}$ , and the bottleneck distance,  $B\beta_0 = 0.1$  (shown by the thick line in (c)).

The comparison of the numerical values of  $W_2\beta_0$  and  $B\beta_0$  carries some relevant information, as it quantifies the importance of the largest difference between two probability distributions (and, by extension, two networks); for our toy example,  $B\beta_0/W_2\beta_0 \approx 0.1/0.1225 \approx 1$ , and therefore the distance between two networks is dominated by the single largest distance between any two matching points. In our toy example, for simplicity, we focus only on  $\beta_0$  PDs (there are no loops in the networks shown in Fig. 1(a) and (b)). The distance between the loop structures is formulated in a similar manner, which we do not discuss here for brevity. Interested readers may find more information in<sup>29</sup> and much more in-depth discussion in.<sup>48</sup> The calculations of both PDs and distances in the present paper are carried out using GUDHI library.<sup>77</sup>

# 3 General results: full compression branch

In this section, we discuss the response of prepared samples under varying friction coefficients,  $\mu$ , using classical measures as well as the results of persistence analysis. In the following Section 4, we will focus on a small window of the data and discuss insight from the force network PDs and their relation to the classical measures. Finally, in Section 5 we consider window averaged results showing how inter-particle contact friction influences the force networks.

#### 3.1 Classical measures from DEM

Fig. 2(a) shows the dimensionless pressure, p, for isotropic compression from low  $\phi$  below jamming (where the phase



Fig. 2 (a) Pressure p, (b) pressure in log scale, (c) energy ratio  $E_k/E_p$ , (d) coordination number, C, with rattlers plotted against volume fraction,  $\phi$ , for samples prepared with different friction coefficients,  $\mu$ . Dotted lines in the pressure plot show the zoom-in window on which we focus in Section 4.

**Table 1** Summary of the DEM response of packings at the zoom-in pressure  $p_z = 0.022$  for samples with different values of  $\mu$ ; here  $\phi_J$  is the jamming volume fraction of packings prepared with different friction coefficients,  $\mu$ .  $\phi(p_z)$  is the volume fraction,  $E_k(p_z)/E_p(p_z)$  is the energy ratio, and  $C(p_z)$  is the coordination number of the packings at  $p_z$ ; note that  $\phi(p_z) - \phi_J \approx 0.056 \pm 0.002$  for all  $\mu$ 

| μ         | $\phi_{ m J}$ | $\phi(p_z)$ | $E_{\rm k}(p_z)/E_{\rm p}(p_z)$ | $C(p_z)$ |
|-----------|---------------|-------------|---------------------------------|----------|
| 0         | 0.652         | 0.707       | $77	imes10^{-10}$               | 7.72     |
| $10^{-4}$ | 0.650         | 0.706       | $20	imes 10^{-10}$              | 7.71     |
| $10^{-3}$ | 0.647         | 0.705       | $10	imes 10^{-10}$              | 7.69     |
| 0.01      | 0.643         | 0.698       | $3.4	imes10^{-10}$              | 7.46     |
| 0.1       | 0.614         | 0.672       | $1.6\times 10^{-10}$            | 6.78     |
| 0.3       | 0.591         | 0.646       | $1.1\times10^{-10}$             | 6.14     |
| 0.5       | 0.584         | 0.640       | $0.6\times10^{-10}$             | 5.91     |
| 1         | 0.582         | 0.638       | $0.3 \times 10^{-10}$           | 5.90     |
|           |               |             |                                 |          |

transition from liquid- to solid-like behavior occurs<sup>18,36,44,54,78–80</sup>),  $\phi_0 = 0.50$ , up to  $\phi_{\text{max}} = 0.82$  (isotropic loading path), for samples with different contact frictions,  $\mu$ , starting all from the same initial configuration at  $\phi_0$ , obtained using  $\mu = 0.^{29}$ 

As expected, we see an increase in pressure as the samples are compacted above jamming, while for a given volume fraction, the pressure increases as  $\mu$  increases. Fig. 2(b) shows the same data in logscale, highlighting the dynamics and fluctuations below jamming as well as a sharp increase of pressure at different  $\phi_J(\mu)$ . The dotted lines indicate the range of pressure on which we zoom-in later in Section 4.

Fig. 2(c) shows the ratio of kinetic to potential energy  $(E_k/E_p)$  for the loading path. Potential energy contains both normal and tangential contributions  $(E_p = E_p^n + E_p^t)$  since friction is active. The rotational energy ratio  $(E_r/E_p)$  shows the same pattern as the kinetic energy with relatively one/two magnitude smaller values (data not shown, it does not add new information). For larger  $\mu$ , at a given volume fraction above jamming, the energy ratio is smaller due to the lower jamming density and for two other reasons that will be explored further below: larger  $\mu$  leads to (1) relatively more dissipation, and (2) higher tangential components of inter-particle forces, which stabilize the packings, decrease the mobility of the particles and correspondingly the kinetic energy. Below jamming, however, the

systems, compressed under constant strain rate  $\dot{k}_v = 10^{-7}$  are in the collisional regime, featuring large fluctuations: pressure increases with  $\mu$ , but the energy ratio systematically decreases.

The coordination number shown in Fig. 2(d) is defined as the average number of contacts per particle (C = 2M/N, where M is the total number of contacts involving two particles and N is the total number of particles). It shows strong fluctuations before jamming with no evident trend since collisions dominate these systems below jamming. On the other hand, above jamming all curves obey a similar power law as reported in ref. 36, 80 and 81, where it has been shown that the jamming transition occurs at the isostatic point, where the static pressure is no longer zero,<sup>18,36,82</sup> or where other features change.<sup>83,84</sup> The macroscopic quantity plots (Fig. 2(a)-(d)) consistently show that as the contact friction increases, the jamming packing fraction,  $\phi_{I}(\mu)$ , decreases,<sup>85</sup> see Table 1. Finally, we note that the level of fluctuations below jamming nonsystematically changes with increasing friction from large  $(\mu = 0)$  via moderate back to large  $(\mu \ge 0.3)$ ; the explanation of this effect goes beyond the scope of the present study.

We note that in the previous work<sup>29</sup> instead of *C* we used reduced coordination number,  $C^* = C/(1 - \phi_r)$ , where  $\phi_r$  is the fraction of rattlers, defined here as the particles with less than 4 contacts.  $C^*$  is a measure commonly used for frictionless systems, and theoretically at the jamming point is exactly  $C^* = 2D$  where D is a system dimension.<sup>86-88</sup> When friction and, consequently, tangential contact forces are involved, smaller coordination numbers are expected. For frictional particles considered here, we will see that *C* is a more appropriate quantity to use, as it helps in explaining the scaling of the results when friction is modified.

#### 3.2 Persistence measures from force networks

We provide a brief description of the influence of friction between particles on the overall behavior of packings from a persistence analysis point of view. Fig. 3 shows the results for TP0 of normal, *f*<sup>n</sup>, and tangential, *f*<sup>t</sup>, forces of the same samples as discussed in Fig. 2. The first observation is that TP0 behaves similarly to the pressure, as reported previously for frictionless



Fig. 3 (a) TPO<sub>n</sub> and (b) TPO<sub>t</sub> plotted against volume fraction,  $\phi$ , for samples prepared with different friction coefficients,  $\mu$ .

packings<sup>29</sup>: these measures systematically increase with  $\mu$ , as in Fig. 2(b), including the jamming transition as a sharp increase (in log-scale). Regarding the numerical values, we note that while a comparison between the measures for components does not contain easy to interpret information, the numerical values for TP0 can be compared between different values of  $\mu$ , or between normal and tangential forces for components. While the TP0 measure based on normal forces converges for different  $\mu$  for large  $\phi$ , the tangential forces based TP0 measures behave qualitatively differently: due to the Coulomb coefficient of contact friction, the tangential forces are proportional to  $\mu$ , which is reflected in the magnitude of the corresponding TP0 measures. A similar conclusion was drawn for the results of TP1 of normal,  $f^n$ , and tangential, f, forces of the same samples, see Appendix A for further details.

### 4 Results on a fast time scale (events)

#### 4.1 Events: simulations setup

Consistently with the literature,<sup>83,89</sup> Fig. 2 shows that the jamming volume fraction is strongly influenced by friction between the grains. Thus, samples at the same volume fraction,  $\phi$ , do neither feature similar microscopic (*e.g.* coordination number) nor macroscopic (*e.g.* pressure) properties, since they differ significantly in their distance to jamming.<sup>90,91</sup> Therefore, it is not appropriate to compare packings of different materials with different values of  $\mu$  at the same  $\phi$ . Instead, we use a given value,  $p_z$ , of pressure as a useful variable for the comparison of structures/packings. The crucial aspect is that at the same pressure, the distance to the jamming point remains consistently similar  $\phi(p_z) - \phi_J \approx \text{const.}$  for all friction coefficients.<sup>80,92</sup>

After sample preparation (loading), a configuration at a small pressure  $p_z = 0.022$ , corresponding to a small deformation, is chosen to zoom-in – the same  $p_z$  for all samples. In earlier studies on frictionless systems,<sup>29,43,44,80</sup> we checked and confirmed that the choice of  $p_z$  does not change the qualitative conclusions. In particular, we studied packings at  $p_z$  values of 0.002, 0.01, 0.022, and 0.06, covering a range from very loose to highly dense packings. Since the qualitative observations and conclusions remain consistent across all cases tested for different  $\mu$ , we present the representative results for  $p_z = 0.022$ . Table 1 summarises the DEM results of packings at jamming and at  $p_z$ . These configurations are then further compressed, from  $p_z$  to  $p_z + 0.004$  (dotted lines in Fig. 2(a) and (b)), with a smaller isotropic strain rate,  $\dot{k}_v = 10^{-8}$ , and a higher output frequency.

We note in passing a few technical issues related to computational implementation. Given that our algorithm is second order correct for the elastic, conservative forces, but only first order accurate for dissipation and rotation, loss of precision may occur. Another possible issue related to restarts mentioned above is that our code is not storing binary (full precision) but only 15/16 digits. To address these concerns, we have verified for a number of representative cases that loss of precision appears very rarely, and only around events with considerable jumps in energy by more than eight to ten orders of magnitude. Therefore, we are confident that numerical precision does not change any of our conclusions concerning the statistics/ occurrence of events.

#### 4.2 Events: relaxation mechanism

Fig. 4 depicts the kinetic to potential energy ratio  $(E_k/E_p)$  in the chosen pressure window, for systems with different values of  $\mu$ . The evolution is not smooth:<sup>93,94</sup> it proceeds along the baseline, interrupted by discrete 'events', characterized by large changes in the energy ratio, associated with mostly small drops in pressure, as discussed earlier in the context of frictionless simulations.<sup>29</sup> A characteristic feature is that as  $\mu$  increases, both the base-line value and the number of events decrease, in particular, there is only one event for  $\mu = 1$  in this pressure window (further inspection finds a very limited number of events on the whole loading branch). While the small friction data are very noisy around their base-lines, they are almost perfectly flat for large  $\mu$ . The decrease in the number of events as  $\mu$  increases could be explained, at least in principle, by the increased importance of the tangential forces that reduce the number of degrees of freedom of particles. Although the coordination number of samples with higher friction is lower, higher resistance to motion due to tangential forces makes particle rearrangements more difficult.

For  $0 < \mu \leq 0.3$ , the presence of friction affects the properties of the events qualitatively. We observe two relaxation periods after each event: first, a rapid decay of the energy ratio  $E_{\rm k}/E_{\rm p}$  down to below its plateau value, and second, a slower increase back to the base-line. Surprisingly, for  $\mu \geq 0.3$ , the events do not show such undershoots. Further analysis of data (details not shown for brevity) suggests that they take place on a short time scale, similarly to the events seen for  $\mu = 0$ . The details of the relaxation periods are further discussed in what follows.



**Fig. 4** Kinetic to potential energy ratio,  $E_k/E_p$ , plotted against pressure, p, for samples prepared with different values of friction,  $\mu$ , for the pressure range from  $p_z = 0.022$  up to 0.026. The dashed line represents the affine kinetic energy (defined in Section 2.1.4 and ref. 43) ratio,  $E_a/E_p$ . Note that for considered system size, the base-lines for the non-affine fluctuation kinetic energy ratio, are small, from  $E_k/E_a \simeq 0.034$  for  $\mu = 0$  down to  $E_k/E_a \simeq 0.029$  for  $\mu = 1$ .

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**Fig. 5** (a) Gained contacts (GC, red data) and lost contacts (LC, blue data) of the sample with friction  $\mu = 0.1$ , on top of the energy ratio (purple data), plotted against pressure, for the same window as in Fig. 4. (b) Red and blue particles depict the creation of new contacts and the loss of contacts when two frames are considered: before (A) and after (B) one large event (frames A, B marked in (a)); around 25 contacts are gained, and around 150 are lost.

To support the hypothesis that events correspond to restructuring of the packing, Fig. 5 shows gained and lost contacts, obtained by comparing consecutive snapshots, so to provide an insight into the microstructure evolution during loading. In Fig. 5(a), red/blue curves show gained/lost contacts; while the contacts are slowly formed during smooth parts of loading, the events result in sudden and significant losses of many contacts. Fig. 5(b) provides a visual comparison of the microstructure before and after an event, represented by points A and B in Fig. 5(a). In this comparison, particles gaining new contacts are shown in red, while particles losing contacts are shown in blue. This analysis confirms the occurrence of large, irreversible events, such that the system transitions from one equilibrium state (A) to another (B) to establish a new equilibrium. These findings are consistent with previous studies.<sup>85,95–98</sup>

Returning now to the relaxation following the events, Fig. 6 shows  $E_k/E_p$  for given  $\mu = 0.1$  and for four strain rates. The events are followed by a dissipation of the kinetic energy which appears exponential in time, as  $E_k/E_p \propto \exp(-t/t_r)$ , with dissipative relaxation time  $t_r$ . Careful analysis of the data shows that  $t_r$  is essentially strain rate independent (recall that the rate of change of pressure is proportional to the strain rate). Along the base-lines, the kinetic energy ratio relates the non-affine continuously created kinetic energy to the stored elastic, potential energy, as  $E_k/E_p \propto \dot{\varepsilon}_v^2$ . The slower the compression,



**Fig. 6** Kinetic to potential energy ratio,  $E_k/E_{\rm p}$ , plotted against pressure, from  $p_z = 0.022$  up to 0.026, for  $\mu = 0.1$ , with different  $\dot{e}_{\rm v}$ . Further inspection shows that the decay from the peaks occurs on a constant time scale  $(t_r)$  since pressure itself scales with  $\dot{e}_{\rm v}$ . The undershoots (observed for slower compression, see green and blue data, however, return to the baseline on the time scale determined by the strain).

the smaller the base-line value of the energy ratio. During events, the energy ratio increases by orders of magnitude, with peak size dependent on the specific event, but not directly on the strain rate, contrary to the base-line value.

#### 4.3 Events: undershoot relaxation

As shown in Fig. 5, some particles lose their contacts following a rearrangement (event). The use of a visco-elastic contact model means that the particles involved in these rearrangements are primarily responsible for dissipating kinetic energy. This leads to undershoots below the base-line of the energy ratio after such events, as illustrated in Fig. 6. These undershoots occur only when the system compresses slowly enough, allowing particles sufficient time to relax to their most stable configuration before further compression occurs beyond this minimum. However, confirming whether this dissipation is localized solely to those particles or if other factors contribute to the dissipation of the assemblies requires further investigation. This aspect falls outside the scope of the current research.

We note that the undershoots relax differently from the exponential decay: their decay is governed by strain (pressure), not by strain rate (time). To gain better insight into this difference, we proceed by considering the properties of the particle contacts after the events, focusing in particular on the influence of the friction coefficient.

The new, surprising insight from the data is that during each event, the fraction of sliding contacts  $P_{\text{slide}}$ , as shown in Fig. 7, drops considerably, followed by a qualitatively different relaxation to its level before the event for different values of  $\mu$ . This level is strongly  $\mu$ -dependent, with  $P_{\text{slide}} \simeq 1$  for small  $\mu$ down to  $P_{\text{slide}} \approx 0.01$  for  $\mu = 1$ . Regarding the relaxation, our basic interpretation is the following: during events, the dynamic, non-affine motion is dissipated on the time-scale  $t_r$ . During this time, many contacts break, and some new contacts form. Most of the contacts that break are sliding, and the newly formed contacts are mostly stable, non-sliding. While compression continues, some of these stable contacts are shifted



Fig. 7 Probability of sliding contacts,  $P_{\text{slide}}$ , for samples with friction coefficient  $\mu = 0.0001$ , 0.1, and 1, plotted against pressure, for  $\dot{\epsilon}_{\text{v}} = 10^{-8}$ .

towards their fully activated, sliding limit by tangential deformation. Fig. 7 shows that this mechanism is strain driven, in contrast to the exponential decay, which is time-driven. The stronger the friction, the more stable are the packings and the longer it can take until the static contacts reach their sliding limit.

More quantitatively, after an event, the fraction of sliding contacts  $P_{\text{slide}}$  increases with strain to recover the level preceding the event. Therefore, the dimensionless dissipative relaxation time, the ratio,  $\tau_r = t_r \dot{\epsilon}_v$ , of strain rate and relaxation rate, determines whether the system undershoots or not. Only if  $\tau_r$  is sufficiently small, can one observe the undershoots. This

explains why they show up only for strong enough dissipation and for slow enough strain rate. While for  $\mu = 0$ , with  $P_{\text{slide}} = 1$ , no undershoots are observed, for very weak friction,  $P_{\text{slide}} \leq 1$ , some undershoots appear, for intermediate friction, the undershoots are most pronounced, and for large friction,  $\mu = 1$ , the networks are stable to the degree that no undershoots are observed, for the cases tested.

#### 4.4 Events: persistence dynamic measures

We continue with the discussion of the distances between the frames, using the concepts discussed in Section 2 (essentially, force networks corresponding to successive frames are compared;  $W_2$  is one of the measures quantifying this difference). Fig. 8 shows the  $W_2$  distance between the force networks, quantified by  $W_2\beta 0_n$ , as discussed in Section 2 for different values of friction coefficient,  $\mu$ . In this and the following figures, the forces are normalized to remove their relative magnitude. The normal forces are normalized by the average force, defined by  $\bar{f}_n = \sum f_{ij}^n / C$ , producing normalized force  $f_{ij}^{n*} = f_{ij}^{t} / \bar{f}_{n}$ , where the sum goes over all contacts. The tangential forces,  $f_{ij}^{t}$ , are normalized by  $\mu \bar{f}_{n}$ , so that  $f_{ij}^{t*} = f_{ij}^{t} / (\mu \bar{f}_{n})$ . We observe that, due to force scaling, the results for the normal forces, Fig. 8(a) and (b) essentially collapse, with smaller values of  $\mu$  producing slightly larger  $W_2$  magnitudes. This trend is consistent with the  $E_k/E_p$  results shown in Fig. 4.



Fig. 8 W<sub>2</sub> distance for different values of friction coefficient, μ, for normal (a), (b) and tangential (c), (d) forces, and for both components (a), (c) and loops (b), (d).



**Fig. 9**  $W_2$  distance for tangential forces (blue plots, left *y*-axis) and energy ratio  $E_k/E_p$  (red plots, right *y*-axis) plotted *versus* pressure *p* for two friction coefficients  $\mu = 0.0001$  (solid lines) and  $\mu = 0.1$  (dashed lines).

The results for tangential forces, Fig. 8(c) and (d), however, show a strong influence of friction. Here, for small values of  $\mu$ ,  $W_2$  is significantly larger, showing that (scaled) tangential forces vary considerably between consecutive frames; in other words, the tangential forces explore a large space of different force networks. For large values of  $\mu$ , however,  $W_2$  is much smaller than the values found for the normal force. The interpretation, which will be confirmed in what follows, is that the tangential forces are smaller in magnitude compared to the normal ones, leading in turn to smaller values of  $W_2$  as well. This finding applies to both components, shown in Fig. 8(c), and loops, shown in Fig. 8(d). We note that we have also considered other distance measures, such as bottleneck distance, discussed in Section 2 that capture only the largest change in the networks (plots not shown for brevity). Bottleneck distance shows similar features as  $W_2$ , but is 2-3 orders of magnitude smaller, suggesting that the largest network change, captured by the bottleneck measure, is just a small part of the overall network change. The fact that this result also applies to the distances computed during events is consistent with the earlier discussed finding that events involve changes in a large number of particle contacts.

While the direct comparison of Fig. 8 with the results for the energy ratio shown in Fig. 4 already indicates the relation between the two considered quantities, we emphasize this connection by plotting  $W_2\beta 0_t$  and the energy ratio,  $E_k/E_p$ , together in Fig. 9 for two representative friction values. This figure shows clearly the close correlation between the non-affine motion and the dynamics of force networks. The events involving large non-affine energy ratio are clearly very well captured by  $W_2\beta 0_t$ , while the additional evolution of  $W_2\beta 0_t$  visible in particular for  $\mu = 0.0001$  illustrates some degree of network evolution between the events. Furthermore, we note that for  $\mu = 0.0001$ ,  $W_2\beta 0_t$  distance is orders of magnitude larger compared to the values found for  $\mu = 0.1$ , illustrating significant dynamics of force networks for small friction values.

Fig. 9 also illustrates some differences between the considered  $W_2$  and  $E_k/E_p$  measures: the relaxation after the events

visible when considering  $E_k/E_p$  is barely captured by  $W_2\beta_0_t$  or order  $W_2$  measures shown in Fig. 8. We explain this result by recalling that the  $W_2$  measure includes all the changes in the interaction network, while  $E_k/E_p$  focuses on the non-affine contributions only. Similar results are found when considering  $W_2$  measure for loops (not shown for brevity). The distances keep track of force network changes, both due to broken/new contacts and due to changes in forces. Therefore affine compression will influence the distance measure, while this contribution is taken out of (non-affine) kinetic energy.

# 5 Influence of friction on statics and dynamics of force networks

In this section we consider averaged results from the selected pressure window to be able to focus on the overall trends with varying friction coefficient,  $\mu$ . We first discuss the forces (normal and tangential), and then focus on the dynamic measures. The results for static measures, such as the total persistence, the number of generators, and the life-spans, and their dependence on  $\mu$  can be found in Appendices B and C. The main focus is on quantifying in a simple manner how the friction coefficient,  $\mu$ , influences the statics and dynamics of the force networks.

Fig. 10 shows the pressure window averaged (a) normal,  $F_{\rm n}$ , and (b) tangential,  $F_t$ , forces as a function of  $\mu$ . For illustration, we use (in this and the following figures) two types of scaling, multiplying with the average number of contacts per particle,  $C/C_1$ , or not (with  $C_1 = 6$ , the specific value to be discussed below). The former is the average per reference particle (referred to as force/particle in what follows), while the latter is the average per reference contact (force/contact). The number of contacts per particle, C, for different values of  $\mu$  is given in Table 1 (for simplicity of notation, we use C instead of  $C_z = C(p_z)$ since there is no possibility of confusion). For completeness, we also include the results for  $\mu = 0$  (in red), from ref. 29. Fig. 10(a) shows that the normal force increases with  $\mu$  (green symbols in Fig. 10(a)), up to  $\mu \leq 0.3$ , and is constant for larger values of  $\mu$ . Since C decreases in the same  $\mu$  range, the scaled normal force (force/particle) remains essentially constant (blue symbols). We note that for  $\mu \gtrsim 0.3$ , the average number of contacts per particle is  $C \approx 6$ ; this particular numerical value is serendipitous since the average number of contacts is of this value only at the pressure window that we consider here, see Fig. 2. In that follows, we mostly focus on the results that use force/particle for brevity.

Fig. 10(b) shows the average tangential force,  $F_t$  (normalized by  $\mu$  to bring the highly different  $F_t$  into the same data range). For larger values of  $\mu$ , the average  $F_t$  decreases following approximately  $1/\mu$  scaling with increasing  $\mu$ , as does the tangential force scaled with  $C/C_1$ . Only for the smallest coefficients of friction, we observe a different behavior, with  $F_t$  (either scaled or not) decreasing slower than  $1/\mu$  with increasing  $\mu$ . Recall that if all contacts were sliding, so that  $F_t \leq \mu F_n$ , normalized  $F_t$  would be constant; therefore, a slower decrease



**Fig. 10** Average (a) normal force, and (b) tangential force, plotted against friction coefficient,  $\mu$ . We first find the normal and tangential forces for all data in the pressure window and then average all considered data (green dots for  $\mu \neq 0$  and red for  $\mu = 0$ ). All tangential forces are divided by  $\mu$ , in order to make them comparable. The average forces are also multiplied (scaled) by the average number of contacts per particle  $C/C_1$  (blue diamonds), where  $C_1 = 6$  as discussed in the text. For simplicity of notation in this and the following figures we use *C* instead of  $C_z = C(p_2)$  since there is no possibility of confusion. The error bars in this and the following figures are the standard deviations from the means of the time-window data (symbols).

of  $F_t$  for small values of  $\mu$  suggests a significant contribution of sliding contacts. For larger values of  $\mu$ , fewer contacts are sliding, and  $F_t < \mu F_n$ . Regarding the behavior of  $F_t$  as  $\mu \to 0$ , we note that the red data in Fig. 10(a) and (b) confirm that the case  $\mu = 0$  is the accurate limit of  $\mu \to 0$ .



Fig. 11 Average of normalized (a)  $W_2\beta 0_n$  and (b)  $W_2\beta 1_n$  (shown by symbols and lines).  $W_2$  are calculated between two consecutive frames as represented by persistence diagrams. The results are normalized in two ways: normalization by the average  $F_{n}$ , shown in Fig. 10 (green dots), or normalization by  $F_nC/C_1$  (blue diamonds). Red symbols show frictionless results;  $\sigma$  is the standard deviation shown by symbols without lines (right-pointing arrow serves to remind which symbols represent  $\sigma$ ). Note that the results for the two considered scaling mostly overlap each other.

As mentioned at the beginning of this section, the results describing the static measures emerging from persistence analysis can be found in Appendices B and C. Here we discuss briefly the dynamical properties, and therefore consider in Fig. 11 and 12 the Wasserstein distance averaged over all snapshots in the considered pressure window. The picture that is emerging from these figures is that the evolution of force networks for small values of  $\mu$  is significantly stronger than for larger values of  $\mu$ , in particular for the tangential forces. Therefore, friction plays a stabilizing role, reducing the changes in



**Fig. 12** Average of normalized (a)  $W_2\beta 0_t$  and (b)  $W_2\beta 1_t$ .  $W_2$  is normalized as in Fig. 11 and  $\sigma$  shows the standard deviation.

the force networks from one snapshot to the next, *i.e.*, during one isotropic strain increment.

## 6 Conclusion and outlook

In this study, we investigate the behavior of frictional granular packings subject to slow quasi-static (isotropic) compression rates, focusing specifically on the regime above the jamming transition. The system response involves smooth evolution phases that are interrupted by fast, violent transitions or rearrangements, also referred to as events. The smooth evolution phases correspond to reversible (elastic) deformations, which can be split into comparable (same order of magnitude), but qualitatively different affine and non-affine components; in contrast, the events involve irreversible (plastic) rearrangements of the packings, stronger by orders of magnitude.

These events can be detected as jumps in the overall kinetic energy of the system, as well as dramatic changes in (de-)stabilization within a packing, triggering avalanche-like transitions. Friction significantly influences the frequency of transitions, with the number of events decreasing notably as friction increases. This phenomenon can be attributed to the tangential components of forces; particularly, large friction restricts degrees of freedom, leading to a decrease in the probability of sliding contacts.

In contrast to frictionless systems, we observe that in a specific range of friction coefficients and for sufficiently slow compression, the events are followed by an undershoot, a dynamic slow-down, during which the kinetic energy of the system drops below the average value (baseline) that it had in the preceding smooth phase. Before undershoots the kinetic energy decays exponentially due to dissipation. The exponential decay and the recovery of the undershoots turn out to be the outcome of different relaxation mechanisms, a point clearly manifested by their different behavior as the control parameters are changed. The exponential decay occurs on the mechanical dissipation time scale, which is strain rate independent above jamming. However, the recovery of the undershoots evolves on another time scale which is determined by the pressure (or equivalently, the strain), *i.e.*, also not directly strain rate dependent. Considering sliding contacts helps to understand both the relevant relaxation time scale and the role of friction.

Despite DEM providing rich information on the evolution of the granular structures, it cannot provide detailed information about the force network topology of the events without strong particle dynamics (kinetic to potential energy ratio). The topology-based analysis of the force networks, carried out by considering persistence diagrams that quantify the main features of the force networks, reveals that the networks evolve considerably during the events. Their static behavior correlates closely with the pressure, while their evolution resembles the kinetic energy of the packings – similarly to the frictionless case.<sup>29</sup> Persistence analysis further shows that the force networks' structure is strongly friction-dependent. The analysis of the dynamics of force networks shows a much faster evolution of the networks of small friction packings, particularly tangential ones. Further, averaging the calculated quantities within the considered pressure window shows a pronounced increase in normal forces with rising coefficient of friction  $\mu$ , reaching a plateau above  $\mu \approx 0.3$  where the results are essentially  $\mu$ -independent, while tangential forces exhibit a decreasing trend, closely approximating  $1/\mu$  scaling for higher  $\mu$ .

Future work should focus on conducting a more quantitative analysis of strongly compressed granular packings using improved DEM models, and also on very slow shear deformations – in the same spirit as present – where the particle deformability and intermittent dynamics are fully taken into account. Furthermore, there are many interesting open questions regarding the interplay between dynamic and quasi-static mechanisms, deformation rates, not only for compression loading–unloading cycles, but also for shear-cycles of granular materials.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There is no conflict of interest.

## Appendices

#### Appendix A: persistence measures from force networks

Fig. 13 shows the results for TP1 of normal,  $f^n$ , and tangential,  $f^t$ , forces of the same samples as discussed in Fig. 2. The observation is that TP1 behaves similarly to TP0 as shown in Fig. 3, thus the pressure, as reported in Section 3.2 and previously for frictionless packings:<sup>29</sup> they systematically increase with  $\mu$ . Comparing the TP1 measure based on normal forces for different  $\mu$  shows that they converge for large  $\phi$ . On the contrary, the tangential forces based on TP1 measures behave qualitatively differently. This is due to the Coulomb coefficient of contact friction, which gives different tangential forces for different  $\mu$ . This result is reflected in the magnitude of the corresponding *TP*1 measures.

#### Appendix B: pressure-window averaged total persistence

In this Appendix, we discuss pressure-window averaged total persistence, see Section 5. Fig. 14 and 15 show TP for normal and tangential forces, respectively. For the normal forces, Fig. 14, for both components and loops we observe that for  $\mu \leq 0.3$ , TP, when normalized by  $F_n$  (green dots and dashed lines), decreases as  $\mu$  increases, while remaining essentially constant for  $\mu \geq 0.3$ . This finding suggests that the structure of the force network depends on friction, with increasingly complex networks (to be discussed further below) for smaller values of  $\mu$ . When scaled with the additional factor of



Fig. 13 (a) TP1<sub>n</sub> and (b) TP1<sub>t</sub> plotted against volume fraction,  $\phi$ , for samples prepared with different friction coefficients,  $\mu$ .



Fig. 14 Average of total persistence (a) TP0<sub>n</sub> and (b) TP1<sub>n</sub>. For each  $\mu$ , we first calculate TP per particle, *i.e.*, TP is divided by the number of particles, N, and averaged over the considered pressure window. The results are normalized in two ways: normalization by the average  $F_{n}$ , shown in Fig. 10 (green dots), or normalization by  $F_nC/C_1$  (blue diamonds). Red symbols show frictionless results. The error bars represent the standard deviations of the data within the pressure window



Fig. 15 Average of total persistence (a) TPO<sub>t</sub>, and (b) TP1<sub>t</sub>. The averaging is carried out as described in the caption of Fig. 14.

 $C/C_1$ , however, TP becomes essentially constant (within the error bars).

Fig. 15 shows a different trend for the tangential force TP for components and loops: they are continuously decreasing as  $\mu$  increases, showing the strong importance of  $\mu$  in

determining the tangential force network properties. This finding illustrates significantly more structured tangential force networks for smaller values of  $\mu$ . To understand these results more precisely, we need to consider the quantities defining TP; therefore we next analyze the pressure-window



Fig. 16 Average of (a)  $N_G 0_{n_r}$  (b)  $N_G 1_{n_r}$  (c)  $N_G 0_{t_r}$  and (d)  $N_G 1_{t_r}$ . At each snapshot, the number of generators is divided by the particle number,  $N_r$  and then averaged over all snapshots.



Fig. 17 Average of lifespans of (a)  $\mathscr{L}0_n$  and (b)  $\mathscr{L}1_n$ . First, we calculate the average lifespan within the pressure window and then normalize as specified in the caption of Fig. 14.

averaged number of generators,  $N_{\rm G}$ , and lifespans,  $\mathcal{L}$ , discussed in Section 2.

## Appendix C: pressure-window averaged number of generators and lifespans

In this Appendix we continue discussing pressure-window averaged persistence measures, in particular the number of generators and lifespan of the generators, see Section 2 for definitions, Appendix B for the discussion of total peristence, and Section 5 for the discussion of pressure-window averages.

As a reminder, thinking of force networks as a landscape,  $N_{\rm G}$  counts the number of hills and valleys, while  $\mathscr{L}$  measures how tall are the hills relative to the valleys around them. Fig. 16 shows the number of generators,  $N_{\rm G}$ . For normal force network components (a),  $N_{\rm G}$  is essentially constant. For loops (b), we observe a decrease of  $N_{\rm G}$  for increasing  $\mu \lesssim 0.3$  (consistently with a decrease in the number of contacts). Fig. 16(c) and (d) shows  $N_{\rm G}$  for the tangential force networks. Here we observe an increasing trend with  $\mu$  for the components, while the generators for the loops behave just as for the normal forces.

Fig. 17 and 18 provide the last piece of information needed to understand the influence of friction on static properties of the force networks (focusing for brevity only on the results obtained when the forces/particle are considered). Fig. 18(a) shows that for the normal force components, lifespans are essentially  $\mu$ -independent; recalling that the number of generators



Fig. 18 Average of (a)  $\mathscr{L}0_t$  and (b)  $\mathscr{L}1_t$ . The normalization is done as described in the caption of Fig. 17.

is also  $\mu$ -independent, we conclude that with the considered scaling, properties of the components of the normal force networks are essentially  $\mu$ -independent. Regarding the loops, shown in Fig. 18(b), lifespans grow for  $\mu \leq 0.3$ . This growth, combined with the fact that  $N_{\rm G}$  decreases for the same friction range, gives almost constant TP1<sub>n</sub>. Therefore, as  $\mu$  increases, the number of loops decreases, but they also become 'deeper', meaning that they form for larger force values (further away from the diagonal in corresponding PDs). While TP remains almost the same, the topological properties of the loops/cycles formed by the normal force networks are, therefore, strongly friction-dependent. Regarding the tangential forces, the components  $\mathscr{L}$  decrease as  $\mu$  increases faster than  $N_{\rm G}$  increases, leading to an overall decrease in TP. For the loops,  $\mathscr{L}$  similarly decreases and, combined with the decreased  $N_{\rm G}$  for  $\mu \leq 0.3$ , leads to an overall decrease of TP1<sub>t</sub>.

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