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1 Introduction

Droplets of various shapes either floating in a surrounding fluid or sitting on a solid substrate are ubiquitous in life science from the subcellular to the tissue scale, where cell organelle, cells, tissues, and membranes are shaped to execute specific functions.¹ A comprehensive understanding of the dynamic interactions of liquid–fluid and liquid–solid is of fundamental importance for exploring the rich phenomena appearing in the life science and colloidal systems. The precise control of droplet production, droplet shaping, droplet dynamics, and bubble dynamics is critical in applications such as inkjet printing, drug delivery, drag reduction, microfluidics,

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Evolution dynamics of thin liquid structures investigated using a phase-field model[†]

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Liquid structures of thin-films and torus droplets are omnipresent in daily lives. The morphological evolution of liquid structures suspending in another immiscible fluid and sitting on a solid substrate is investigated by using three-dimensional (3D) phase-field (PF) simulations. Here, we address the evolution dynamics by scrutinizing the interplay of surface energy, kinetic energy, and viscous dissipation, which is characterized by Reynolds number Re and Weber number We. We observe special droplet breakup phenomena by varying Re and We. In addition, we gain the essential physical insights into controlling the droplet formation resulting from the morphological evolution of the liquid structures by characterizing the top and side profiles under different circumstances. We find that the shape evolution of the liquid structures is intimately related to the initial shape, Re, We as well as the intrinsic wettability of the substrate. Furthermore, it is revealed that the evolution dynamics are determined by the competition between the coalescence phenomenology and the hydrodynamic instability of the liquid structures. For the coalescence phenomenology, the liquid structure merges onto itself, while the hydrodynamic instability leads to the breakup of the liquid structure. Last but not least, we investigate the influence of wall relaxation on the breakup outcome of torus droplets on substrates with different contact angles. We shed light on how the key parameters including the initial shape, Re, We, wettability, and wall relaxation influence the droplet dynamics and droplet formation. These findings are anticipated to contribute insights into droplet-based systems, potentially impacting areas like ink-jet printing, drug delivery systems, and microfluidic devices, where the interplay of surface energy, kinetic energy, and viscous dissipation plays a crucial role.

and lab-on-a-chip systems, among others.^{2–7} For instance, in medical applications, the spray formation assists the drug delivery³ and the cavitation caused by bubble bursting is used to remove kidney stones.⁸

Manipulation of droplet behaviors including droplet movement, morphological transition, coalescence, and breakup etc. can be either active or passive. Various methods have been applied to actively manipulate droplet behaviors by making use of additional energy input. In contrast, the passive manipulation of droplet behaviors is without external actuation, and the droplet characteristics are determined by the competition of interfacial tension forces, viscous forces, and inertial forces. The interfacial tension force tends to minimize the interfacial area, while the viscous and inertial forces balance the interfacial tension force and seek to deform the liquid interface.⁹ In passive microfluidic devices, one immiscible dispersed fluid flows into another continuous fluid, which leads to typical modes of droplet formation: squeezing, dripping, jetting, tip-streaming, and tipmulti-breaking.9 For open droplet microfluidic platforms, the devices and substrates are designed with gradients in topography^{10,11} and wettability¹² so that the movements of droplets can be directly driven by the Laplace pressure.

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Paper

Although droplet breakup and drop formation in two-phase flow have been extensively researched, complete control of the droplet breakup process necessitates a thorough understanding of the mechanism for the involved energy interplay and the morphological transition. Plateau and Lord Rayleigh^{13,14} pioneered the study for the breakup of fluid cylinders and other interface-driven problems. The formation of drops results from the motion of free surfaces, but it is indeed difficult to predict the size distributions and to detect the complex dynamics. In previous studies, the evolution of a floating droplet has been extensively investigated.¹⁵⁻¹⁷ For instance, Fragkopoulos et al.¹⁵ theoretically and experimentally analyzed the flow field inside the shrinking toroidal droplets and revealed the morphology property. Lavrenteva et al.¹⁶ theoretically investigated the deformation of viscous drops subject to a linear flow in an immiscible viscous fluid. But the breakup phenomenon was not addressed in both works.^{15,16} Novkoski et al.¹⁸ optically observed gravity-capillary waves on a torus of fluid depositing on a superhydrophobic groove substrate. Pairam et al.¹⁹ and Fragkopoulos et al.²⁰ investigated the instability and breakup of neutral and charged toroidal droplets on a substrate, respectively, but none of them took the wettability of the substrate into consideration. Edwards et al.²¹ experimentally and theoretically studied slender liquid filament breakup due to surface tension with wetting conditions. The authors demonstrated how controlling static and dynamic wetting can result in switching between a toroidal film and well-defined droplet patterns through dielectrophoresis forces. However, a systematic numerical modeling of free-surface motion caused by diffusion and convection coupling with the wettability of the solid substrate is still lacking.

Usually, the experimental techniques are limited to capture the interface in the two-phase flow systems, making it difficult to study real-world applications of two-phase flow systems through experiments.²² This motivates the development of numerical approaches for capturing the interface evolution, which is coupled with the diffusion and the momentum conservation equations. A variety of numerical models have been established to depict the interface evolution. A straightforward way of modeling the moving interfaces is to apply a moving mesh on the interfaces that deforms according to the flow conditions. For instance, Ambravaneswaran et al.23 implemented this method in a finite-element method. But this method requires a track of moving mesh and it suffers from mesh entanglement for large deformation and singular topological changes of droplets such as breakup and coalescence. The fixed-grid methods including the volume-of-fluid (VOF), the level-set (LS), and the fronttracking (FT) methods, are all common interface-capturing approaches with a sharp interface. We refer to a recent review paper²⁴ for more details of the sharp interface methods for studying free surface flows. In the sharp interface model, the physical properties such as density and viscosity values jump across the interface²² and the contact line movement is either allowed by a Navier-slip condition or by a numerical slip at the contact line.²⁵ In addition, a lot of efforts have been made to increase the accuracy of curvature estimation and the

numerical implementation of well-balanced surface tension force is required for reducing spurious flows. For diffuse interface models, the density and viscosity are functions of the local order parameter and the contact line movement on the substrate is achieved through diffusion. A typical diffuse interface model is the phase-field (PF) model, which is a promising method for overcoming the shortcomings in tracking the interface position. In the framework of the PF model, the interface thickness is thin but with finite value. Since the diffuse interface is introduced through an energetic variational procedure, the PF model describes a thermodynamic consistent coupling system.²⁶ The diffuse interface allows for numerical consideration of the topological changes and the modeling of nearsingular interfacial events including droplet breakup and coalescence, as well as moving contact line.^{27,28} The Shan-Chen model-based lattice Boltzmann method (LBM)^{29,30} and LBM with thermodynamically consistent potentials³¹ allow the contact line movement by evaporation and condensation near the contact line, whereas the PF type LBM³² achieves the contact line motion via diffusion. There are commonly used opensource codes in this area. For instance, the open source CFD software OpenFOAM has been developed primarily by OpenCFD Ltd since 2004, and it provides solvers and libraries for simulating multi-phase flows. Marschall and Wörner et al.33,34 implemented a phase-field multiphase flow solver in Open-FOAM (FOAMextend), which was named phaseFieldFoam, to study the dynamics of droplets and bubbles. Popinet et al. developed freely available codes such as Gerris³⁵ and Basilisk,³⁶ which are based on a VOF model with adaptive mesh refinement.37 Krause et al. released OpenLB based on a lattice Boltzmann method (LBM).³⁸

In this work, we use the PF model of Cahn-Hilliard (CH) type. For the CH model, Jacqmin²⁸ formulated the surface tension force such that the total energy is only dissipated and the spurious currents can be avoided.²² Unlike the continuum surface force (CSF) model widely used in the VOF method, the free energy formulation in the CH model does not require curvature computation.³⁴ The CH model in two-phase flow with wetting boundary conditions has been investigated extensively.^{28,39-41} Yue et al.^{40,42} provided guidelines to choose the model parameters such as interface thickness, mobility or the CH diffusivity, and wall relaxation through calibration with experiment and sharp-interface limit analysis. Carlson et al.43 systematically studied spontaneously wetting liquid droplets on the substrates with a wall relaxation effect through introducing a phenomenological parameter in the PF model, which quantitatively matches with experimental data. They demonstrated that the wetting dynamics can be largely altered by the physicochemistry property of the substrate even when the equilibrium contact angle is the same.^{44,45}

Most of the previous CH models for two-phase flow are based on the Ginzburg–Landau double-well potential with a constant mobility.^{43,46,47} The Ginzburg–Landau double-well potential is formulated in the form of a fourth-order polynomial and it was originally used for the mathematical theory of superconductivity. Normally, the Ginzburg–Landau double-well

potential requires a strict restriction of the order parameter in the bulk phases as ± 1 . However, the curvature effect and bulk diffusion lead to the deviation of the order parameter in the bulk phases from ± 1 , which affects the computational accuracy and cost.⁴⁸ This can be handled in PF formulations involving fourth order polynomials in the free energy by using stabilizing functions and additional degeneracies.⁴⁹ In this work, we adopt a thermodynamically consistent logarithmic Flory-Huggins potential based on the entropy and enthalpy of mixing. Contrary to previous constant mobilities, we employ an order parameter dependent mobility assigned with the Onsagers relationship. The logarithmic Flory-Huggins energy potential is often recognized to be more physically realistic than the polynomial free energy because the former one is derived from regular or ideal solution theories.^{50,51} Therefore, our model is constructed in a natural way with the possibility to be extended for modeling rich phenomena of fluid dynamics, in combination with nucleation, spinodal decomposition as well as evaporation and condensation. Moreover, by adjusting the Flory parameter in the logarithmic Flory-Huggins potential, the order parameter is restricted within the interval (0,1). In this way, the present model avoids the singularity problem as mentioned in ref. 51. In addition, we adopt the dynamic wetting boundary condition containing the wall relaxation parameter τ_{w} . The thermodynamic consistency and the energy dissipation law of the coupled model are rigorously deduced in the present work, which shows the importance of τ_w in the energy dissipation process on the substrate. To the best of our knowledge, the logarithmic Flory-Huggins potential and the order parameter dependent mobility used in the current CH model are verified for the first time to adequately reproduce experimental results of droplet dynamics.

In this work, we investigate thin liquid films and torus droplets by using CH model coupling with the Navier-Stokes (NS) equations. Specifically, we consider several key factors influencing droplet breakup and drop formation, including the droplet sizes, velocities, viscosities and densities, surface tension, the wettability, wall relaxation, and geometry. These factors are systemically studied by introducing the following dimensionless parameters: droplet aspect ratio ξ (width/height for the thin films and major radius/minor radius for the torus droplets), Reynolds number Re, Weber number We, the Young's contact angle of the substrate θ_{eq} , and the wall relaxation parameter $\tilde{\tau}_{w}$. This work provides accurate predictions concerning the evolution of droplet shape under various conditions, particularly when influenced by wettability and wall relaxation effects, which have been seldom systematically investigated in existing literature. Our study has fundamental significance for the application of multiphase systems with interfaces exhibiting non-constant mean curvature and casts light on controlling droplet formation by manipulating wettability and the wall relaxation effect.

This work is organized as follows. In Section 2, we present the CH model coupled with Navier–Stokes (NS) equations. In Section 3, we validate the model and present simulation results for the fast spreading and impacting process of water droplets. The results are compared with the literature. In Section 4, we show simulations for the evolution of thin-film and torus droplets under various conditions. The conclusions and outlook for future directions are provided in Section 5.

2 Governing equations

2.1 CH model coupled with NS equations (CHNS model)

We consider an isotherm incompressible flow with two immiscible fluids. The free energy functional for the bulk of the system \mathscr{F} is described with the order parameter c:^{52–54}

$$\mathscr{F} = \int_{\Omega} [2\sigma\varepsilon(\nabla c)^2 + f(c)] \mathrm{d}\Omega.$$
 (1)

Here, Ω is the domain occupied by the system. The first term is the gradient energy density and the modeling parameters σ and ε are related to the surface tension and the interface thickness, respectively. The bulk free energy density f(c) is based on the regular solution model and is given by^{55,56}

$$f(c) = \frac{\sigma}{\varepsilon} [c \ln c + (1-c) \ln(1-c) + \chi c(1-c)]$$
(2)

Here, the prefactor σ/ε is designed to ensure that the interface thickness remains independent of σ and to simplify the setup of liquid–gas surface tension in the system. The parameter χ indicates an intermolecular interaction coefficient. To model immiscible fluids, the free energy density f(c) has two wells with energy minima $(c_{e1}, f(c_{e1}))$ and $(c_{e2}, f(c_{e2}))$. At equilibrium, the following condition is fulfilled: $f'(c_{e1}) = f'(c_{e2}) = 0$, $f(c_{e1}) - f'|_{c=c_{e1}}c_{e2} - f'|_{c=c_{e2}}c_{e2}$. The advantage of such a double-well potential over the obstacle function is that the spinodal decomposition can be captured because of the existence of the inflection point.⁵⁷ For a two-phase system, the advective Cahn–Hilliard equation reads

$$\frac{\partial c}{\partial t} + \nabla \cdot (\boldsymbol{u}c) = \nabla \cdot (M\nabla \Phi), \qquad (3)$$

where u indicates the fluid velocity, $\nabla \cdot (uc)$ denotes the advection term, and $M = \frac{\varepsilon}{c} Dc(1-c)$ is the phase-field dependent mobility with D indicating the chemical diffusion coefficient. In our simulations, the Peclet number Pe is set as 100, so the type of mobility in the diffusion equation may have a minor influence on the results. The formulation of M in this work is derived by comparing the diffusion flux expressed in terms of the chemical potential to the Ficks flux in terms of concentration; it is based on the ideal solution free energy model. In the literature, various types of mobility have been employed; for a more in-depth discussion of different mobility formulations, we refer to ref. 58. For example, Wörner et al.47 investigated droplet impact behaviors using constant mobility, which is determined through fitting to experimental data. In the context of the Flory-Huggins free energy model, a more generalized mobility formulation is applied to simulate phase separation in polymer solutions.⁵⁹ To account for the surface diffusion effect, it has been shown that the mobility should take the form of a fourth-order polynomial to prevent spurious bulk diffusion.^{49,60,61} The variable $\Phi = \frac{\delta \mathcal{F}}{\delta c} = \frac{\partial f}{\partial c} - 4\sigma \varepsilon \nabla^2 c$ is the chemical potential and at the equilibrium state, Φ = const. The Cahn–Hilliard equation is derived based on the assumption that the diffusion flux is proportional to the gradient of the chemical potential.^{53,62}

Substituting eqn (1) into eqn (3), the following equation is obtained

$$\frac{\partial c}{\partial t} + \nabla \cdot (\boldsymbol{u}c) = \nabla \cdot \left[\frac{\varepsilon}{\sigma} Dc(1-c) \nabla \left(\frac{\partial f}{\partial c} - 4\sigma \varepsilon \nabla^2 c\right)\right].$$
(4)

The Navier-Stokes equation reads

$$\rho \frac{\mathrm{d}\boldsymbol{u}}{\mathrm{d}t} = -\nabla p + \nabla \cdot \boldsymbol{\Theta} + \nabla \cdot \boldsymbol{\mu} (\nabla \boldsymbol{u} + \nabla \boldsymbol{u}^T) + \rho \boldsymbol{g}.$$
(5)

The capillary force is described by the term $\nabla \cdot \boldsymbol{\Theta}$ with the stress tensor $\boldsymbol{\Theta} = (2\sigma\varepsilon\nabla c\cdot\nabla c + f)\boldsymbol{I} - 4\sigma\varepsilon\nabla c \otimes \nabla c$ (see ref. 27 and 55). Here, \boldsymbol{I} is the unit tensor. Alternative formulations for the surface tension force can be written as $\boldsymbol{\Phi}\nabla c$ or $-c\nabla \boldsymbol{\Phi}$, as discussed in ref. 28 and 39. The parameters p and \boldsymbol{g} are pressure and gravitational acceleration, respectively.

The density and viscosity ρ and μ are linearly interpolated with the order parameter *c*:

$$\rho(c) = \rho_1 + \frac{\rho_2 - \rho_1}{c_{e2} - c_{e1}}(c - c_{e1}), \tag{6}$$

$$\mu(c) = \mu_1 + \frac{\mu_2 - \mu_1}{c_{e2} - c_{e1}}(c - c_{e1}).$$
⁽⁷⁾

Here, ρ_1 and ρ_2 (μ_1 and μ_2) are the densities (dynamic viscosities) for the two phases, respectively. In the literature,^{63,64} harmonic interpolation is adopted, but Bonart *et al.*⁶⁵ found that a nonlinear relationship between density and order parameter leads to the loss of total mass.

For an incompressible system, the densities for the two immiscible fluids do not change. For a small density ratio, usually the Boussinesq approximation is used to handle the densities.⁶⁶ The Boussinesq approximation ignores density differences except where buoyancy force is important. Under the Boussinesq approximation, we obtain

$$\nabla \cdot \boldsymbol{u} = 0. \tag{8}$$

In our simulations, we use a three-dimensional Cartesian grid with a resolution of $400 \times 400 \times 200 (N_x \times N_y \times N_z)$, where N_x , N_{ν} , and N_z represent the number of grid points in the horizontal, vertical, and height directions, respectively. All the simulations exhibit axial symmetry, which enables us to consider a quarter of the whole 3D domain. At the bottom substrate, the no-slip boundary condition for the velocity field is utilized, and the dynamic wetting boundary condition for the order parameter is applied. At the right and back boundaries, we utilize a slip condition for the velocity field and a zero normal gradient condition for the order parameter. At the top boundary, we adopt a zero normal gradient condition for the order parameter and an inlet/outlet boundary condition for the velocity field. The no-flux condition for the chemical potential $\mathbf{n} \cdot \nabla \Phi = 0$ (*n* is a unit vector normal to the wall) is used at all of the domain boundaries. The droplet interfaces are initialized in a smooth manner to avoid unnecessary numerical artifacts via

 $c(\mathbf{x}, t = 0) = c_0 + D_s \nabla^2 c_0$.⁵⁵ Here, D_s is a numerical parameter to control the smoothness of the interface and c_0 represents a step function. A continuous and smooth initial profile is employed to represent the droplet interfaces, which is essential for a stable and accurate simulation.

In summary, the NS equations are coupled with the CH equation through order parameter dependent surface tension force, density and viscosity, and the advection term. The governing equations are discretized by using the finite difference method for space derivative and explicit Euler schemes for the time derivative. Our model is implemented within the multi-physics framework of "Parallel Algorithms for Crystal Evolution in 3D" (*PACE3D*), which is an efficient phase-field solver based on C language and parallelized with message passing interface (MPI). The *PACE3D* solver contains diverse implemented models allowing a wide range of multi-physics applications.

2.2 Non-dimensional calculation

By choosing the surface tension σ^* , viscosity μ^* , and length x^* as characteristic parameters, the related physical variables are non-dimensionalized. We chose the length of one mesh element as the characteristic length to study the phenomena occurring at the interfacial region. However, the choice of x^* is not unique and other scales such as interface thickness and the initial droplet diameter can also be used as the characteristic length scale for the non-dimensionalization.⁶⁷ The selection of characteristic variables and the model parameters in the diffuse interface model is related to the investigated problem. On the one hand, the chosen characteristic length x^* is in the scale of interface length because our focus in this work is mainly on the breakup process occurring within the interfacial region. On the other hand, Langer⁶⁸ suggested the calculation of the capillary length as $d_0 = \frac{\sigma}{(\Delta c)^2(\partial \Phi/\partial c)}$ with $\Phi = \frac{\delta \mathcal{F}}{\delta c} =$

 $\frac{\partial f}{\partial c} - 4\sigma \varepsilon \nabla^2 c$ denoting the chemical potential. Through dimensional analysis, we estimate the capillary length as $d_0 \propto \sigma/(\sigma/\varepsilon) = \varepsilon$. Moreover, in this work, the mesh size has a fixed value of 2×10^{-5} [m] (*e.g.*, see Table 1), thus it has a physical meaning of a micro-meter scale length. This characteristic length $x^* = 2 \times 10^{-5}$ [m] together with two other characteristic parameters,

Table 1	Simulation	parameters
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Parameters	Value	Unit
Characteristic length x* (mesh size)	$2 imes 10^{-5}$	[m]
Characteristic viscosity μ^*	$1.0 imes10^{-3}$	$[kg (ms)^{-1}]$
Characteristic surface tension σ^*	7.28×10^{-2}	$[kg s^{-2}]$
Dynamic viscosity of gas μ_1	$1.8 imes 10^{-5}$	$[kg (ms)^{-1}]$
Dynamic viscosity of water μ_2	$1.0 imes 10^{-3}$	$[kg (ms)^{-1}]$
Density of gas ρ_1	1.2	$[kg(m^{-3})]$
Density of water ρ_2	998	$kg(m^{-3})$
Peclet number $Pe = u^*x^*/D$	100	[-]
Cahn number Cn = ε/x^*	4	Ĩ—Ĩ
Reynolds number Re = $\rho_2 x^* u^* / \mu_2$	1447	Ĩ—Ĩ
Weber number We = $\rho_2(u^*)^2 x^* / \sigma^*$	1447	Ĩ—Ĩ
Bond number Bo = $(\rho_2 - \rho_1)g(x^*)^2/\sigma^*$	5.4×10^{-5}	[—]

the surface tension $\sigma^* = 7.28 \times 10^{-2}$ [kg s⁻²], and viscosity $\mu^* = 1.0 \times 10^{-3}$ [kg (ms)⁻¹], are used to conduct non-dimensional calculations. In the current work, the scaling factors are as follows: velocity $u^* = \sigma^*/\mu^*$, time $t^* = \mu^*x^*/\sigma^*$, and pressure $p^* = \rho^*(u^*)^2$. The dimensionless variables are calculated by these scaling factors such that we obtain $\tilde{u} = u/u^*$, $\tilde{t} = t/t^*$, $\tilde{p} = p/p^*$, $\tilde{x} = x/x^*$, and so on. The variables labeled with tilde are dimensionless values. The dimensionless CH equation is expressed as

$$\frac{\partial c}{\partial \tilde{t}} + \tilde{\nabla} \cdot (\tilde{\boldsymbol{u}}c) = (1/\text{Pe})\tilde{\nabla} \cdot \left[c(1-c)\tilde{\nabla} \left(\text{Cn}\frac{\partial \tilde{f}}{\partial c} - 4\text{Cn}^2\tilde{\nabla}^2 c \right) \right],\tag{9}$$

where $Pe = u^*x^*/D$ and $Cn = \varepsilon/x^*$ are the Peclet number and Cahn number, respectively. The Peclet number (Pe) is a significant criterion determining the interface motion. Since the interfacial width is unknown in the dynamic process, we consider Pe to be a phenomenological parameter in twophase flows. In the current model, Pe is determined by comparing the simulation with experimental data. Lower values of Pe significantly emphasize diffusion over advection, slowing down the interface motion excessively and making the model less representative of the real physical behavior. On the other hand, extremely high values of Pe diminish the influence of diffusion compared to advection, possibly resulting in an unrealistic dominance of advection over diffusion and thereby affecting the accuracy of the model. A similar conclusion can be found in Jacqmin's work.²⁸ To confirm the above conclusion, we run additional 2D simulations for droplets spreading on a solid substrate with different Pe, as shown in Fig. S1 in the ESI,† where we find that as Pe decreases, the contact line motion slows down monotonously.

The dimensionless NS equation is

$$\frac{\mathrm{d}(\rho \tilde{\boldsymbol{u}}/\rho_2)}{\mathrm{d}\tilde{\boldsymbol{\iota}}} = -\tilde{\nabla}\tilde{\boldsymbol{p}} + \frac{1}{\mathrm{We}}\tilde{\nabla}\cdot\tilde{\boldsymbol{\Theta}} + \frac{1}{\mathrm{Re}}\tilde{\nabla}\cdot\frac{\mu}{\mu_2}(\tilde{\nabla}\tilde{\boldsymbol{u}}+\tilde{\nabla}\tilde{\boldsymbol{u}}^T) + \frac{\mathrm{Bo}\ \rho}{\mathrm{We}\Delta\rho}\boldsymbol{e}_z.$$
(10)

where Re = $\rho_2 x^* u^* / \mu_2$, Bo = $(\rho_2 - \rho_1)g(x^*)^2 / \sigma^*$, and We = $\rho_2(u^*)^2 x^* / \sigma^*$ are the Reynolds number, Bond number, and Weber number, respectively. The Reynolds number Re describes the ratio of the inertial to viscous force. The Bond number Bo expresses the ratio of the buoyancy to the surface tension force. The Weber number We depicts the ratio of the inertia to the surface tension force. The term $\tilde{\Theta} = (2 \operatorname{Cn} \tilde{\nabla} c \cdot \tilde{\nabla} c + \tilde{f}) I - 4 \operatorname{Cn} \tilde{\nabla} c \otimes \tilde{\nabla} c$ is the nondimensionalized stress tensor and e_z is the unit vector in the z-direction (the direction of gravity). The incompressible constraint for the case of a small density ratio reads

$$\tilde{\nabla} \cdot \tilde{\boldsymbol{u}} = 0. \tag{11}$$

In our simulations, we employ a conjugate gradient Poisson solver to determine the pressure field, which is a common approach used in computational fluid dynamics (CFD) to relate the pressure field to the divergence of the velocity field.

2.3 Calculation of surface tension

The surface tension between two immiscible fluids can be considered as the total grand chemical potential excess across the interface

$$\gamma_{\rm lg} = \int_{-\infty}^{+\infty} \left[\Delta f + 2\sigma \varepsilon (\partial c / \partial x)^2 \right] \mathrm{d}x,\tag{12}$$

where $\Delta f = f(c) - f_0 - f'|_{c=c_{e1}}(c - c_{e1})$ with $f_0 = f(c_{e1}) = f(c_{e2})$. The order parameters c_{e1} and c_{e2} correspond to the equilibrium state for the two existing phases with $f'(c_{e1}) = f'(c_{e2}) = 0$. At equilibrium, $\Delta f = 2\sigma \varepsilon (\partial c/\partial x)^2$ and we obtain

$$\gamma_{\rm lg} = \int_{-\infty}^{+\infty} 4\sigma \varepsilon (\partial c/\partial x)^2 dx = \int_{c_{\rm el}}^{c_{\rm e2}} 4\sigma \varepsilon (\partial c/\partial x) dc.$$
(13)

By replacing $2\sigma \varepsilon (\partial c/\partial x)^2$ with Δf , we obtain

$$\gamma_{\rm lg} = \int_{c_{\rm el}}^{c_{\rm e2}} 2\sqrt{2\sigma\varepsilon\Delta f} \,\mathrm{d}c = \sigma I, \qquad (14)$$

where

$$I = 2 \int_{c_{\rm el}}^{c_{\rm e2}} \sqrt{2Cn\Delta \tilde{f}} \,\mathrm{d}c, \qquad (15)$$

with $Cn\Delta \tilde{f} = \varepsilon \Delta f/\sigma$. By choosing appropriate parameters in the above equation, the integration is unity, *i.e.*, I = 1. In this case, we have $\gamma_{lg} = \sigma$. The nondimensionalized double-well function is given as:

$$Cn\tilde{f}(c) = c \ln c + (1-c)\ln(1-c) + \chi c(1-c), \quad (16)$$

with $\chi = 3.78$, $c_{e1} = 0.0273$ and $c_{e2} = 0.9727$. In this work, we set Cn = 4 to ensure adequate resolution of the diffuse interface. Fig. 1 displays the double-well function with two local minima, corresponding to the two immiscible bulk phases, respectively.

2.4 The natural boundary condition

At the substrate, the free energy functional reads

$$\mathscr{F}_{s} = \int_{\Omega} 2\sigma \varepsilon (\nabla c)^{2} \mathrm{d}\Omega + \int_{S} f_{w}(c) \mathrm{d}S.$$
 (17)

Here, *S* represents the substrate in contact with the fluid phases. The wall free energy density is formulated as $f_w(c) = \gamma_{1s}l(c) + \gamma_{gs}(1 - l(c))$ with $l(c) = Ac^3 + Bc^2 + Cc + E$ denoting an interpolation function. γ_{1s} and γ_{gs} are respectively the interfacial tensions of the liquid–solid and gas–solid interfaces. We set A = -2.365, B = 3.550, C = -0.190, and E = 0.00255 to ensure $l(c_{e1}) = 0$, $l(c_{e2}) = 1$, and $l'(c_{e1}) = l'(c_{e2}) = 0$. The variational calculation

$$\frac{\delta \mathscr{F}_{s}}{\delta c} = 0, \tag{18}$$

together with the divergence theorem transforming the volume integration to the surface integral leads to the following boundary condition at the fluid-substrate interface:^{39,69}

$$4\sigma\varepsilon\nabla c \cdot \boldsymbol{n} - (\gamma_{\rm gs} - \gamma_{\rm ls})l'(c) = 0. \tag{19}$$



Fig. 1 (a) The free energy $Cn\tilde{f}$ as a function of c: $Cn\tilde{f}(c) = c \ln c + (1 - c)\ln(1 - c) + \chi c(1 - c)$ with $\chi = 3.78$. The two highlighted points (c_{e1} , $Cn\tilde{f}(c_{e1}$)) and (c_{e2} , $Cn\tilde{f}(c_{e2})$) are the free energy minimum states, corresponding to the two immiscible fluids bulk phases, respectively. (b) A schematic for the integration / (see eqn (15)), which is used to calculate the surface tension.

With $\gamma_{lg} = \sigma$, the dimensionless form of the above equation is given

$$4\mathrm{Cn}\tilde{\nabla}c\cdot\boldsymbol{n} - \frac{(\gamma_{\mathrm{gs}} - \gamma_{\mathrm{ls}})}{\gamma_{\mathrm{lg}}}l'(c) = 0. \tag{20}$$

This boundary condition has been validated in previous works for simulating the equilibrium shape of droplets on cylinders, chemically patterned substrates, and funnel-like structures.^{11,70–74} The dynamic boundary condition reads²⁸

$$\tau_{\rm w} \frac{\partial c}{\partial t} = (\gamma_{\rm gs} - \gamma_{\rm ls}) l'(c) - 4 \gamma_{\rm lg} \varepsilon \nabla c \cdot \boldsymbol{n}.$$
(21)

Here, the parameter $\tau_{\rm w}$ is a phenomenological parameter. The dimensionless dynamic boundary condition is formulated as

$$\tilde{\tau}_{\rm w} \frac{\partial c}{\partial \tilde{t}} = \frac{(\gamma_{\rm gs} - \gamma_{\rm ls})}{\gamma_{\rm lg}} l'(c) - 4 {\rm Cn} \tilde{\nabla} c \cdot \boldsymbol{n}.$$
(22)

Here, $\tilde{\tau}_w = \tau_w/\mu^* x^*$. When $\tilde{\tau}_w$ is sufficiently small, the term on the left hand side can be neglected, which corresponds to a quasiequilibrium effect, as described by eqn (21). However, a large $\tilde{\tau}_w$ leads to a nonequilibrium effect of the contact line. The value of $\tilde{\tau}_w$ can be determined through experiments since it is dependent on the real physics of the molecular interaction at the contact line.⁴³ This dynamic boundary condition has already been validated in our previous work and applied to analyze the nonequilibrium contact line movements of droplets under condensation and evaporation.⁷⁵ To accurately model extremely low and high contact angles, we have addressed this issue in a separate paper by considering the presence of surface composition^{76,77} based on Cahn's theory. The present work directly employs the contact angle correction method.

The derivation of energy dissipation is provided in the ESI,† which proves the thermodynamic consistency of the present CHNS model combined with an Allen–Cahn type dynamic wetting boundary condition. The parameter τ_w controls the energy dissipation rate on the substrate, which will be discussed in the following.

3 Validation of the CHNS model

3.1 Validation of the CHNS model for the Young-Laplace equation and sensitivity study for mesh resolution

Firstly, we validate the CHNS model by comparing PF simulations with the Young-Laplace equation. We fill a twodimensional (2D) liquid droplet with a radius R_i in the domain center, which is in equilibrium with the surrounding gas (see the schematic inset in Fig. 2(a)). At the equilibrium state, the relationship between the pressure difference Δp and the droplet radius R_i meets with the Young–Laplace equation: $\Delta p = \gamma_{1g}$ R_i . Here, we have $\Delta p = p_i - p_o$ with p_i and p_o indicating the pressure in the droplet and in the surrounding gas, respectively. Fig. 2(a) displays the influence of Cahn number Cn to the simulation results, where we found $Cn \ge 4$ is sufficient to guaranty the mesh resolution of the interface. In this work, Cn = 4 does not mean that there are 4 discretization points in the interface. Instead, it corresponds to 8 discretization points, which is larger enough than the conventional number of the used discretization points (around 6).47 Table S1 in the ESI,† lists the measured number of discretization points N from simulations as a function of Cn. Fig. 2(b) illustrates the simulated values of $\gamma_{lg}/(R_i\Delta p)$ for different values of $\varepsilon/(2R_i)$. Here, we keep Cn = 4 constant and vary R_i/x^* from 10 to 50 such that $\varepsilon/(2R_i)$ changes from 0.04 to 0.2. It is observed that as $\varepsilon/(2R_i)$ decreases, the value of $\gamma_{1g}/(R_i\Delta p)$ gradually approaches 1. When $\varepsilon/(2R_i) < 0.125$, the value of $\gamma_{lg}/(R_i\Delta p)$ lies in the highlighted area in Fig. 2 with a relative error smaller than 5%. To show the sensitivity of the resolution to the formation of the satellite droplets, which are the features of interest in the present work, we conduct four simulations for torus droplets dewetting on a substrate at different resolutions, as shown in Fig. 2(c); similar structures to Fig. 2(c) are applied and considered in the following with regard to the sensitivity of the resolution. By fixing the number of discretization points N = 8(*i.e.*, Cn = 4), we increase the resolution by decreasing the length of one mesh element. From (I) to (IV), $\varepsilon/2r_i$ (r_i is the





Fig. 2 Sensitivity study for mesh resolution of the interface and the ratio of the interface width to the droplet diameter (bulk dimension). The normalized pressure $\gamma_{lg}/(R_i\Delta p)$ versus Cn and $\varepsilon/(2R_i)$ from 2D simulations are shown in (a) and (b), respectively. The equation $\gamma_{lg}/(R_i\Delta p) = 1$ corresponds to the Young–Laplace equation in 2D. The 5%-error zone is highlighted in (b). (c) Snapshots of the top view of the evolving torus droplets on a solid substrate ($\theta_{eq} = 120^\circ$) at different mesh resolutions ((Re,We) = (0.5,0.004)). (I) $\varepsilon/(2r_i) = 0.2$, (II) $\varepsilon/(2r_i) = 0.1$, (III) $\varepsilon/(2r_i) = 0.067$, and (IV) $\varepsilon/(2r_i) = 0.05$. The parameter r_i stands for the initial minor radius of the torus droplet. Within each panel, (i)–(iii) display the droplet's morphology at three distinct time points.

initial minor radius of the torus droplet) changes from 0.2 to 0.05, and the images (i)–(iii) illustrate the snapshots of the top view of the evolving droplets. We find that as $\varepsilon/2R_i \le 0.067$, the breakup dynamics of the torus droplet become convergent. The simulations for the torus droplets are constrained under the condition of $\varepsilon/2r_i < 0.067$, so that the results for the formation

of small liquid structures are within the numerical convergence region. Previous simulations used the corresponding values ranging from 0.005 to 0.3.^{39,42,78,79} Ref.42,80,81 provide guidelines to satisfy the so-called sharp interface limit when the CHNS model is applied to address interfacial problems and moving contact line problems. In this section, the capability of the CHNS model is validated for simulating the fast spreading of the droplet on homogeneous surfaces. The simulation setups are according to the experimental work of ref. 82 and in Table 1, we list the related values for the simulations. In experiments, water droplets with an initial radius $R_i = 0.82$ mm are released on four different substrates ($\theta_{eq} = 3^{\circ}, 43^{\circ}, 117^{\circ}, 180^{\circ}$) to observe how the surface chemistry affects the shape of the droplet as it spreads. The early stages of the droplet spreading are investigated. As illustrated in Fig. 3(a) (left part), the evolution of droplet shapes on the four different substrates is distinguished. Accordingly, we run the corresponding simulations under the same conditions to reproduce the experimental results (Fig. 3(a) (right part)). The dynamic contact angle $\theta_{\rm D} < 90^{\circ}$ is immediately formed for the superhydrophilic surface with $\theta_{eq} = 3^{\circ}$, while it maintains greater than 90° ($\theta_{\rm D} > 90^\circ$) for the case $\theta_{\rm eq} = 117^\circ$. For the case of $\theta_{eq} = 43^{\circ}$, θ_{D} undergoes a transition to 90°. As a reference case with $\theta_{eq} = 180^{\circ}$, the droplet keeps the nonwetting spherical shape all the time. The generation of capillary waves is perfectly reproduced for the cases of the partial wetting in the simulations. Moreover, in Fig. 3(b), we compare the time evolution of the droplet base radii for the three partial wetting cases, where the base radius $R_{\rm b}$ and time t are rescaled by the initial radius R_i and a characteristic inertial time $(\rho R_i^{3} / \sigma^*)^{1/2}$, respectively. The simulation results (hollow circles) agree very well with the experiments (filled circles). Note that as a fitting parameter, $\tilde{\tau}_{w}$ is accordingly adjusted for different substrates to obtain the agreements with experiments. In addition, we run two other groups of simulations with different initial radii ($R_i = 0.64$ mm

and 0.54 mm), indicated by hollowed squares and triangles, respectively. It is found that the data in Fig. 3(b) collapses onto three master curves, each of which corresponds to a different equilibrium contact angle. This reveals that the initial stages of wetting are inertially dominated and the substrate also has an important role. Bird et al.⁸² explained that the contact-angledependent spreading possibly results from a rearrangement of liquid near the droplet surface. It should be noted that when the initial stage spreading occurs, in this very rapid wetting situation, the contact angle deviates significantly from the static equilibrium value due to the strong wall-liquid bonds in the hydrophilic surfaces.⁷⁸ This can be clearly observed in the cases of $\theta_e = 3^\circ$ and 43° . To address this effect, we introduce a time relaxation parameter $\tilde{\tau}_w$ in the boundary condition. As a phenomenological parameter, $\tilde{\tau}_w$ accounts for the relaxation toward the equilibrium state and it is an intrinsic material property of the substrate interacting with the wetting liquid. Yue et al.⁸³ emphasized that in the phase-field model for simulating contact line movement, it is imperative to appropriately adjust both the mobility value M and the parameter $\tau_{\rm w}$ in order to achieve a sharp interface limit and accurately reproduce macroscopic dynamics. Additionally, Carlson et al. 43,44 successfully captured the dynamics of rapid wetting observed in the experimental work by Bird et al.82 by accounting for nonequilibrium conditions at the contact line. They identified the necessity of a phenomenological parameter, referred to as the "contact-line friction parameter," in the wetting boundary condition, which is equivalent to the parameter τ_w used in our study. Through comparing simulations with experiments, we have determined the non-dimensional values of $\tilde{\tau}_{w}$ = 1500, 2600, 1, and 1 for the cases (i)–(iv). The dependence of $\tilde{\tau}_{w}$ on the equilibrium contact



Fig. 3 Comparison of droplet shape evolution on different substrates. (a) Snapshots of droplet side views. Left: Experimental results reproduced with permission from ref. 82. Copyright 2008 American Institute of Physics (AIP); right: present simulations. From (i) to (iv) the equilibrium contact angles are $\theta_{eq} = 3^{\circ}$, 43°, 117°, and 180°, respectively. (b) Base radius with time. Here, the base radius R_b and time t are rescaled by the initial radius R_i and a characteristic inertial time ($\rho R_i^3 / \sigma^*$)^{1/2}, respectively. The filled circles and hollow symbols denote experimental results from ref. 82 and the present simulation results, respectively. The symbols highlighted with the same color correspond to the same contact angle (blue-3°; red-43°; black-117°).

angle is still an open question; apart from the surface chemistry, the surface topology also plays an important role. Physically, the parameter $\tilde{\tau}_{w}$ is essentially related to the slip length. The difficulty is that the slip length is generally unknown; it may depend on the surface topology, the equilibrium contact angle, the viscosity and density of the fluid, the free energy of the liquid involving the shortrange van der Waals interaction between liquid and solid, electrostatic potential, etc. Samuel et al.⁸⁴ reported that when the water drop initially contacts the surface, the attractive force during the wetting process on the hydrophilic surface is higher than on the hydrophobic surface. For the superhydrophobic surface, this attractive force even becomes negligible, especially for textured silicon surfaces (see Table 1 in ref. 84 for more details). This conclusion qualitatively confirms our choice of large $\tilde{\tau}_w$ for the cases (i) & (ii) and small $\tilde{\tau}_w$ for the cases (iii) & (iv). Furthermore, the validity of the dynamical contact angle boundary condition has been proved in our previous work by comparing with an exponential power law for partial droplet spreading.⁷⁵ By comparing with the experimental work of Bird et al., we demonstrated the essential nature of including the wall relaxation parameter τ_w in our model and to elucidate its physical significance in the context of dynamic wetting processes.

In addition, we compare the simulation results with Cox's theoretical outcomes.^{86,87} The comparison is achieved *via* illustrating the functional relationship between the dynamic contact angle and capillary number. Building on this comparison with Cox's theory, we perform a sharp interface limit analysis, as elucidated in the ESI.[†] However, one must note that Cox's theory assumes a steady-state spreading. In the present case, the spreading of the droplet deviates from this assumption; we have discussed the details in the ESI.[†]

3.3 Validation of the CHNS model for droplet dynamics on mechanically heterogeneous surfaces

In this section, by using the same setups shown in Table 1, the CHNS model is further validated to simulate the droplet impacting process on a flat superhydrophobic substrate with a point-like superhydrophobic macrotexture (spherical shape with radius r = 0.2 mm). The Young's contact angles for the flat substrate and the point-like macrotextures are both set as θ_{eq} = 160°. The point-like macrotexture makes the impacting droplets rebound as rings, reducing the contact time with the substrate. Fig. 4 shows the comparison of the experimental result (top panel) from ref. 85 with the present simulation result (bottom panel). The droplet spreads quickly to form a pancake shape and then the droplet center is punctured by the point-like defect. The thin film in the center spreads outwards and comes across the retreating rim, leading to the rebounding of the droplet in a ring shape. The simulation perfectly reproduces the impacting process and shows great consistency both in the shape evolution and timescale. The irregular surface in the experiment is possibly attributed to the perturbation from the roughness of the real hydrophobic surface. This perturbation may strengthen the tendency of Rayleigh instability. In simulations, however, the substrate is ideally smooth so that only a smooth surface can be observed. Therefore, the perturbation from the substrate is less profound in simulations.

In Fig. 5, the high-angle views and cross-sections of the droplet impacting process are displayed to show the ring formation (at t = 3.7 ms), the collision between opposite rims (at t = 6.1 ms), and the rebound (at t = 6.7 ms). In this simulation, a droplet with an initial radius $R_i = 1.3$ mm and impact velocity U = 1.28 m s⁻¹ is considered. The top and bottom panels are the Lattice–Boltzmann simulation from ref. 85 and the present PF simulation, respectively, where satisfactory agreement is observed.

The consistency between the present PF simulation and literature⁸⁵ demonstrates the robustness and justifiability of the CHNS model for simulating the dynamic evolution process of droplets on solid substrates.

4 Analysis of evolution dynamics of thin liquid films and torus droplets

After the validation of the CHNS model, the model is applied to simulate the evolution dynamics of thin liquid films and torus



Fig. 4 Snapshots of a water droplet with initial radius $R_i = 1.6$ mm impacting the point-like defect on a superhydrophobic substrate at the velocity U = 1.2 m s⁻¹ (centered impact). Top: Experimental results reproduced with permission from ref. 85. Copyright 2018 Royal Society of Chemistry; bottom: present PF simulation. The contact angle in the simulation is set as $\theta_{eq} = 160^{\circ}$.



Fig. 5 Simulation of a droplet ($R_i = 1.3 \text{ mm}$) impacting on a substrate textured by a sphere with radius $r_s = 0.2 \text{ mm}$. The impact velocity is $U = 1.28 \text{ m s}^{-1}$. Top panel: Lattice–Boltzmann simulation results reproduced with permission from the work.⁸⁵ Copyright 2018 Royal Society of Chemistry; bottom panel: present phase-field simulation. For each panel, high-angle views and cross-sections are shown in the first and second rows, respectively. The Young's contact angle is set as $\theta_{eq} = 160^{\circ}$.

droplets. In order to stress the surface-tension effects, the following calculations are restricted to a Boussinesq fluid with the two phases having the same density and viscosity, *i.e.*, $\rho_1 = \rho_2$ and $\mu_1 = \mu_2$. The Peclec number and Cahn number are set as Pe = 100 and Cn = 4. We set $\tilde{\tau}_w = 1$, unless specified otherwise. The gravity effect is neglected in the following. We systematically change Re and We, the aspect ratios of the droplets ξ , the wettability of the substrate, as well as $\tilde{\tau}_w$ in the simulations. To obtain a quantitative description, we scrutinize the droplet evolution processes through a combination of morphological and free energy analysis.

4.1 Thin liquid films

We now consider the shape evolution of a square floating thinfilm with an aspect ratio $\xi = 10$ (width/height) under different conditions. Fig. 6 shows three typical outcomes for the floating thin-film evolution with different values of Re and We. The floating thin-film finally becomes (a) one single sphere; (b) two droplets with the same size; (c) two equally-sized droplets with a tiny satellite droplet in between. In Fig. 6(a)–(c), the solid lines illustrate the time evolution of the surface energy E/E_{max} : = $\int_{\Gamma} \gamma_{1g} d\Gamma$ which is integrated over the droplet surface Γ and scaled by the maximum surface energy E_{max} . The insets indicate the morphology snapshots at different times a–f, as highlighted by the red circles on the solid lines. In all three cases, (a), (b), and (c), the surface energies of the droplets reduce rapidly and transfer into kinetic energies in the time interval a–c; the thin-films evolve into quasi-spherical shapes. In the time interval c and d, the kinetic energies are in turn transferred into surface



Fig. 6 Surface energy *E* (scaled by the maximum surface energy E_{max}) evolution of a suspended squared thin-film with aspect ratio $\xi = 10$ (width *L*/thickness *H*). The time *t* is nondimensionalized by $\tilde{t} = t/t^*$ with $t^* = \mu^* x^* / \sigma^*$. For simplicity, we leave out the tilde symbol. (a) (Re,We) = (0.5,0.2). (b) (Re,We) = (200,0.2). (c) (Re,We) = (0.5,0.004). The insets show the simulation snapshots for different times a-f (top: top views; bottom: side views).

energies, leading to a vertical elongation of the droplets and an increase in the surface area. At the late stage from d to f, the three cases are quite different from each other. In case (a), the surface energy of the droplet reduces gradually and the kinetic energy is dissipated until a spherical droplet is formed at the end. Whereas in case (b), the droplet elongation in the vertical dimension cannot be dissipated since the viscous effect becomes less pronounced for a larger Re compared with the case (a). As displayed in the inset of Fig. 6(b), a dumbbellshaped droplet is formed at the time point d and breaks up into two smaller droplets, driven by the surface energy minimization. This breakup exhibits similarities to the Rayleigh-Plateau instability, particularly in the way that the droplet undergoes necking and subsequent separation. This similarity is evident in the characteristic formation of a thin liquid bridge and its eventual rupture, which resembles the behavior seen in the Rayleigh-Plateau instability. In case (c), Re is the same as case (a), but We is reduced from 0.2 to 0.004, which gives rise to a more profound surface tension effect. In this case, the evolution process is accelerated and the time interval from the initial state to the state with a maximum elongation in the vertical direction before breakup (i.e. a-e) is enormously shortened. The stronger inertial effect due to a smaller Weber number results in a larger elongation of the droplet than that in (a) and (b) (see the insets in Fig. 6(a)d, (b)d and (c)e). The long wavelength deformation gives rise to a nonlinear breakup of the liquid structures into two equally-sized droplets with a tiny satellite droplet in between. This phenomenon is also observed in ref. 88, in which the floating droplet is deformed into a thinfilm through steady flow and thereafter the thin-film evolution is dominated by the capillary force.

In summary, the evolution process for a floating thin-film is controlled by the interplay of the capillary force, the viscous force, and the inertial force. The outcome is closely related to the dissipation rate of the free energy. The Weber number We controls the time scale of the inertial and capillary dynamics while the Reynolds number Re is related to the viscous dissipation. Thus the evolution dynamics of the thin-film can be manipulated through varying We and Re. Note that in the current work, we focus on the thin-films with a relatively small aspect ratio ξ . For the thin-films with very large ξ , the rupture process is caused by capillary enhancement of fluctuations so that the rupture behavior tends to be stochastic.⁸⁹

To quantify the influence of Re to the evolution dynamics of the floating thin-film, we fix We = 0.004 and aspect ratio $\xi = 10$ and change Re from 0.01 to 100. The surface energy evolution for different Re is displayed in Fig. 7(a). It is observed that small values of Re (Re = 0.01, 0.1) give rise to slow kinetics because of pronounced dissipation. Specifically, when Re = 0.01, the surface energy monotonously decreases and converges to a certain value. When Re = 0.1, the surface energy decreases to a local minimum value and then after a weak oscillation, it evolves to a constant value. For small Re, the final surface energy is also relatively low, which corresponds to the state of a single spherical droplet (case (a) in Fig. 6). As Re increases above 0.5, the surface energy quickly decreases and then increases with oscillations. A higher surface energy is achieved at the end, which corresponds to the case (c) in Fig. 6. Note that the curves for Re = 0.5, 1, 10, 100 almost overlap with each other, showing that when $\text{Re} \ge 0.5$, the influence of the viscous effect becomes limited compared with the capillary effect for a Weber number of 0.004.

To analyze the effect of Re and We on the morphological evolution, we conduct comprehensive simulations for the evolution of the floating thin-film by varying Re and We. The results are summarized in the morphological diagram for Re vs. 1/We, where the whole region is divided into three sub-regions: (a) gray region filled with black triangles; (b) green region filled with green squares; (c) blue region filled with red squares. Here, the cases (a), (b), and (c) correspond to the outcomes of (a), (b), and (c) in Fig. 6, respectively. The black guide line divides the diagram into the non-breakup (triangle symbols) and breakup



Fig. 7 (a) Time evolution for the scaled surface energy E/E_{max} of a suspended squared thin-film with varying Re (aspect ratio $\xi = 10$ and We = 0.004). (b) Regime diagram for a suspended squared thin-film with an aspect ratio of $\xi = 10$ as a function of Re and 1/We. The cases (a)–(c) correspond to the situations where the floating thin-film finally becomes one single sphere, two droplets with the same size, and two equally-sized droplets with a tiny satellite droplet in between. The critical line is a guide line dividing the diagram into the non-breakup and breakup regions.

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Fig. 8 Time evolution for the base radius $R_{\rm b}$ (scaled by the maximum base radius $R_{\rm bmax}$) of a dewetting squared thin-film with an aspect ratio $\xi = 40$ (width *L*/thickness *H*). The parameters Re and We are (Re,We) = (10,0.2). In (a), (b), and (c), the contact angles $\theta_{\rm eq}$ are set as 30°, 90°, and 150°, respectively. The insets show the simulation snapshots for different time points a–f (top: top views; bottom: side views). (d) shows the definition of the base radii $R_{\rm b1}$ and $R_{\rm b2}$ on the bottom views of the droplets. The top row shows the case of no droplet encapsulation on the base while the bottom row indicates the situation of droplet encapsulation with $R_{\rm b1} < R_{\rm b2}$.

(square symbols) regions. When Re is sufficiently small (Re \in [0.01, 0.2]), the floating thin-film eventually evolves into a single droplet due to the large dissipation. For intermediate values of Re (Re \in (0.2, 1)), the end-state is dependent on We. For instance, at Re = 0.5, when $1/We \le 5$, case (a) appears; whereas when $1/We \in (10, 100)$ and $1/We \ge 125$, cases (b) and (c) occur, respectively, due to the stronger capillary effect. When Re is sufficiently large ($\text{Re} \geq 1$), the capillary effect dominants over the viscous effect in a wide range of We (1/We \in [0.25, 400]), only cases (b) and (c) are observed, namely, the blue region (high We) and green region zone (low We). In short, the competition of viscous effect and capillary effect determines the evolution of the floating thin-film. In the whole process, as the droplet evolves, the surface energy and kinetic energy transfer into each other, and meanwhile the viscous dissipation plays a non-negligible role until the final state is achieved.

Next, we consider the thin-film dewetting process on a solid substrate. In the following, we keep (Re,We) = (10,0.2) unchanged. To quantify the effect of the wettability of the substrate on the dewetting process, we investigate the morphological transition of the thin-film with an aspect ratio $\xi = 40$ on

the substrates with different contact angles. Fig. 8(a)-(c) display the scaled base radius $R_{\rm b}/R_{\rm bmax}$ as a function of time during the dewetting on substrates with $\theta_{eq} = 30^{\circ}$, 90° , and 150° , respectively. Here, R_b and R_{bmax} denote the transient and maximum base radii in the diagonal direction, respectively. The solid and dashed lines indicate the time evolution for R_{b1}/R_{bmax} and R_{b2}/R_{bmax} , respectively. The notations R_{b1} and R_{b2} are schematically illustrated on the bottom views of the droplets in Fig. 8(d). In the top row of Fig. 8(d), there is no droplet encapsulation, thus $R_{b1} = R_{b2}$. Whereas in the bottom row, the droplet encapsulation leads to two different base radii R_{b1} and R_{b2} , as highlighted by the black and red arrows, respectively. The insets in Fig. 8(a)-(c) describe the snapshots for the dewetting process at the time points a-f, which are highlighted by the red circles and hollow squares on the solid and dashed lines. The first and second rows show the top and side views of the thin-film at different time points. It is observed that for the case of $\theta_{eq} = 30^{\circ}$, the solid and dashed lines coincide with each other, showing that there is no droplet encapsulation beneath the droplet. The base radius decreases quickly and then oscillates until the droplet reaches the equilibrium state. For the

cases of $\theta_{eq} = 90^{\circ}$ and 150° , the solid and dashed lines deviate from each other (the time interval d-f in (b) and the time interval b-d in (c)), which is caused by the droplet encapsulation. The droplet encapsulation can also be confirmed by the snapshots of the bottom views in the insets of Fig. 8(b) (f, the third row) and Fig. 8(c) (c, d, e, the third row). The fourth row in the inset of Fig. 8(c) illustrates the bottom cut of the droplet at the corresponding time points, which describes the profile of the base contact line. It is found that as θ_{eq} increases, the dewetting process is accelerated. This is because of the relatively low adhesion dissipation for the hydrophobic substrate and when $\theta_{eq} = 150^{\circ}$, the droplet rebounds from the substrate due to the excess kinetic energy. The acceleration of the dewetting process for the thin-film on the substrate with relatively large contact angles gives rise to the droplet encapsulation.

4.2 Torus droplets

We now turn to investigate another typical liquid structure – the torus droplets. The creation of torus droplets and the observation for its breakup were previously reported in ref. 19 and 90 in macroscopic and nano-meter scales, respectively. We set the parameters Re and We as (Re,We) = (10,0.2), and focus on the

floating torus droplets as well as its interaction with a solid substrate.

The aspect ratio of the floating torus droplets is defined as $\xi = R/r$, where R and r are the major and minor radii, respectively. When $\xi \gg 1$, the breakup process can be addressed by the straight filament theory.⁹¹ When ξ is relatively small, the evolution dynamics of the fat torus droplets becomes much complex due to the existence of azimuthal curvature.^{92,93} Here, we consider the latter situation for torus droplets with ξ ranging from 4 to 20. The minor radius r is set as 30 for the simulations in the following. Fig. 9(a)-(d) show the time evolution of E/E_{max} for the torus droplets floating in a surrounding fluid with aspect ratios $\xi = 4, 10, 15, 20$, respectively. The insets display the top (first row) and side views (second row) for the droplet at the time points a-f. For a relatively smaller aspect ratio (ξ = 4 and 10, see Fig. 9(a) and (b)), the evolution dynamics is similar to the floating thin-film, as shown in Fig. 6(b) and (c). The surface energy decreases promptly in the initial time interval (a-c) and the ring-shaped droplet shrinks and coalesces onto a quasi-spherical shape. Thereafter, from c to d, the kinetic energy transfers into surface energy, leading to an increase in the surface energy, and the droplet is elongated in the vertical direction. In the last stage (d-f), the surface energy



Fig. 9 Time evolution of the surface energy scaled by the maximum value E/E_{max} for a suspended liquid torus with different aspect ratio $\xi = R/r$, with the minor radius or tube radius r = 30. The parameters Re and We are set as (Re,We) = (10,0.2) for all cases. (a) $\xi = 4$. (b) $\xi = 10$. (c) $\xi = 15$. (d) $\xi = 20$. The insets show the simulation snapshots for different time points a-f (top: top views; bottom: side views).

declines and the dumbbell-shaped droplet breaks up into two droplets (Fig. 9(a)) or into two equally-sized droplets with a tiny satellite droplet between them (Fig. 9(b)). The generation of the tiny satellite droplet is due to the fact that more surface energy is initially stored for the torus droplet with $\xi = 10$, and the vertical elongation is also larger.

For a relatively larger aspect ratio ($\xi = 15$ and 20, see Fig. 9(c) and (d)), the evolution dynamics is quite distinct. The surface energy decreases with time with small oscillations caused by the exchange of surface energy and kinetic energy. In the initial stage (a–c), the droplets shrink and undergo a breakup process in the circumferential direction due to the classical hydrodynamic instabilities. At the time point *c*, the torus droplets break up into a certain number (*N*) of separated droplets (*N* = 4 and 12 for $\xi = 15$ and 20, respectively). This number *N* depends

on the initial aspect ratio ξ of the torus droplets and the viscosity ratio of the two liquids μ_1/μ_2 , as discussed in the experimental work of Pairam and Fernández-Nieves.¹⁹ At a later stage (c-d), the neighboring droplets coalesce with each other due to the shrinking tendency of the droplets and the geometrical restriction.⁹⁴ For the case of $\xi = 15$, the four small droplets coalesce into one larger droplet which then oscillates until a single spherical droplet is formed. For the case of $\xi = 20$, the 12 separated droplets merge into four larger droplets which further coalesce into one single droplet undergoing a similar process as in the case of $\xi = 15$. It is observed that the breakup (b–c) and merging (c–e) processes of the separated droplets remarkably dissipate the surface energy and finally the droplets are not able to break up in the vertical direction.



Fig. 10 Time evolution for the dewetting of liquid torus on a substrate. The droplet aspect ratio is $\xi = R/r$, where the minor radius r = 30 and the contact angle at the substrate is θ_{eq} . The parameters Re and We are set as (Re,We) = (10,0.2) for all cases. (a) $\xi = 4$, $\theta_{eq} = 150^{\circ}$. (b) $\xi = 20$, $\theta_{eq} = 90^{\circ}$. (c) $\xi = 20$, $\theta_{eq} = 120^{\circ}$. (d) $\xi = 20$, $\theta_{eq} = 150^{\circ}$.

The above analysis suggests that the outcome for the evolving torus droplet is highly dependent on the competition of the shrinkage behavior and the hydrodynamic instability in the initial stage, which can be controlled through the aspect ratio ξ . For a small aspect ratio ξ , the shrinkage is relatively fast and the droplet quickly coalesces onto itself and then the vertical elongation results in the breakup. Whereas for large ξ , the hydrodynamic instability inevitably leads to the breakup of the torus droplet in the circumferential direction. The breakup and the subsequent merging process lead to a great energy lost and eventually a single droplet is formed without further breakup in the vertical direction.

We then address the morphological transition for the torus droplets on a solid substrate. To understand the evolving features of the torus droplets associated with the aspect ratio ξ and the wettability of the substrate, we systematically change ξ and the contact angles $\theta_{\rm eq}$ in the simulations. There are typically four outcomes for the evolution process, as demonstrated in Fig. 10(a)–(d). In each panel, the top and bottom rows describe the top and side views, respectively, for the droplet shapes at different times. In Fig. 10(a), the initial aspect ratio is ξ = 4 and the contact angle is θ_{eq} = 150°. The droplet shrinks to coalesce onto itself and then rebounds from the hydrophobic substrate. In the shrinkage process, the minor radius or the tube radius r remains almost uniform along the circumferential direction. In Fig. 11(a), we plot the time evolution of the base radius R_b, normalized through the maximum base radius R_{bmax} . The evolution of inner and outer base radii R_{b1} and R_{b2} (see the inset figure) is displayed by the solid and dashed lines, respectively. From a to b and c, both R_{b1} and R_{b2} reduce quickly to zero, indicating that the torus droplet retreats the contact line and rebounds from the substrate. Afterwards (c-e), the droplet is vertically elongated and shortly touches the substrate before it bounces off the substrate. The insets a-f



Fig. 11 Base radii R_{b1} and R_{b2} (scaled by the maximum base radius R_{bmax}) evolution of a dewetting liquid torus with an aspect ratio $\xi = 4$ and $\theta_{eq} = 150^{\circ}$ (case (a) in Fig. 10(a)). The insets show the simulation snapshots for different time points a-f and the schematic for R_{b1} and R_{b2} . The transparent snapshots vividly illustrate the dynamics of droplet entrapment within the rebounding droplet formed by the initial torus droplet.

show the transparent snapshots for the side view of the droplet, which illustrates the droplet entrapment within the rebounding droplet formed by the initial torus droplet. A dedicated control of the droplet encapsulation behavior shows an essential guidance for the drug delivery technique.⁹⁵

In Fig. 10(b)–(d), we set the contact angle θ_{eq} as 90°, 120°, and 150°, respectively. The initial aspect ratio $\xi = 20$ is constant. Because of the hydrodynamic instability for the liquid structure with a large aspect ratio ($\xi = 20$), the torus droplet shrinks; the tube radius becomes non-uniform with time and the so-called swell and neck appear. When θ_{eq} = 90°, the necks do not break and the droplet coalesces onto itself and stays on the substrate. This is due to the relatively large adhesion dissipation appearing on the hydrophilic substrate. While for $\theta_{eq} = 120^{\circ}$ and $\theta_{eq} =$ 150°, the adhesion dissipation on the substrate becomes less pronounced and the necks are morphologically unstable, leading to the formation of a number of small droplets. For θ_{eq} = 120° as shown in Fig. 10(c), tiny satellite droplets are observed after the breakup of the torus droplet (see (v) and (vi)). For θ_{eq} = 150°, the generated small droplets rebound from the substrate due to the excess kinetic energy right after the breakup. According to ref. 96, the tiny satellite droplets are caused by the sudden separation of the previous formed macro-thread and micro-thread during the evolution and the maximum length of the thread is strongly dependent on liquid viscosity. This thread structure is also observed in Fig. 10(c)(iv). From Fig. 10(b)-(d), it reveals that the wettability takes a significant role in the dewetting of the torus droplet with the same aspect ratio. The breakup process as well as the number of generated small droplets can be controlled through the wettability of the substrate.

Fig. 12 summarizes the outcomes of the torus droplet dewetting process for different ξ and θ_{eq} . In the studied ranges for $\xi \in [4, 25]$ *vs.* $\theta_{eq} \in [60^{\circ}, 165^{\circ}]$, there are four outcomes, namely, the cases (a), (b), (c), and (d), as illustrated in Fig. 10. We find that for the torus droplets with a small aspect ratio ($\xi \leq 15$), a hydrophobic substrate with $\theta_{eq} > 90^{\circ}$ leads to the



Fig. 12 Regime diagram for the dewetting of liquid torus as a function of ξ and θ_{eq} . The cases (a)–(d) correspond to the four typical outcomes in Fig. 10.

(I) $\theta_{eq} = 105^{\circ}$ (a) τ_w=1000 (i) (ii) (b) $\tilde{\tau}_w = 100$ (c) τ_w=1 (iii) (ii) (iV)V (II) $\theta_{eq}=135^{\circ}$ (a) τ_w=1000 (b) τ_w=100 (c) τ_w=1 (iV (V)

Fig. 13 Time evolution for the dewetting of the liquid torus on a substrate under the influence of $\tilde{\tau}_w$. The droplet aspect ratio is $\xi = 25$ and the minor radius is r = 30. The contact angles at the substrate are $\theta_{eq} = 105^{\circ}$ and $\theta_{eq} = 135^{\circ}$ in (I) and (II), respectively.

rebounding of droplets from the substrate without breakup the outcome where the torus droplet coalesces onto itself and

(case (a)), while a hydrophilic substrate with $\theta_{eq} \le 90^\circ$ results in stays on the substrate (case (b)). However, the droplets with a

large aspect ratio ($\xi \ge 20$) tend to break up (case (c) or (d)) due to the Rayleigh–Plateau instability. It is worth noting that a relatively hydrophilic substrate with contact angle $\theta_{eq} = 60^{\circ}$) can even give rise to the breakup of the torus droplet (case (c)) and a superhydrophobic substrate ($\theta_{eq} \ge 135^{\circ}$) facilitates the rebounding of the small droplets generated by the breakup of the torus structures (case (d)).

The breakup of a torus droplet on a substrate is a dynamic process, where the liquid-solid interaction force takes an important role. In the following, we will discuss the influence of the phenomenological parameter $\tilde{\tau}_{w}$ to the breakup dynamics of torus droplets on different substrates. We investigate torus droplets with a relatively large aspect ratio ($\xi = 25$) on substrates with two different Young's contact angles, namely, $\theta_{eq} = 105^{\circ}$ and $\theta_{eq} = 135^{\circ}$. By changing $\tilde{\tau}_{w}$ from 1 to 100 and 1000, we observe the breakup processes, as illustrated in Fig. 13. For each panel of Fig. 13, the first and second rows present the top and side views of the morphological evolution, respectively. When $\tilde{\tau}_w$ equals 1, the torus droplets show an obvious shrinking tendency and subsequently break up due to the Rayleigh-Plateau instability, as shown in Fig. 13(I)(c) and (II)(c). This result is quite similar to the cases (c) and (d) in Fig. 10. However, because of the larger aspect ratio ($\xi = 25$) compared to Fig. 10, the formation of satellite droplets is more profound in Fig. 13(I)(c), where the droplet pinch-off behavior is clearly recognized in the images (iv) and (v). The formation of satellite droplets can be manipulated by changing $\tilde{\tau}_w$. As presented in Fig. 13(I)(a)(b) (or (II)(a)(b)), the increase of $\tilde{\tau}_w$ prohibits the shrinking tendency of the torus droplets and slows down the contact line movements along the circumferential direction. In this quasi-no-slip condition, the droplet pinch-off is absent during the circumferential retraction of the droplet contact line. This result is consistent with the findings revealed by Peschka et al.97 who have investigated the droplet pinch-off behavior during the thin-film dewetting process which is influenced by the no-slip and intermediate-slip conditions. The immobility of the contact line caused by a large value of $\tilde{\tau}_w$ leads to the thin liquid ridges, as can be observed in the images (iii) and (iv) both in Fig. 13(I)(a) and (II)(a). The breakup of these thin liquid ridges is crucial to forming satellite droplets and sub-satellite droplets. As strong evidence, we find the formation of satellite droplets in the image (v) of Fig. 13(II)(a) for a very large $\tilde{\tau}_w$ ($\tilde{\tau}_w = 1000$), whereas no satellite droplet is observed in Fig. 13(II)(c) for a small $\tilde{\tau}_{w}$ ($\tilde{\tau}_{w}$ = 1). Our simulation results are qualitatively similar to the experimental observations for the morphological evolution of the liquid ridges under the no-slip and intermediate-slip conditions. It should be noted that the formation of satellite droplets in Fig. 13(I)(c) and (II)(c) is due to different mechanisms. The former is caused by the breakup of the thin liquid ridges, thus very tiny droplets are formed. While the latter is caused by the perturbation of the capillary wave to the primary torus droplets, and the generated satellite droplets are relatively large in size.

Our investigation shows that the parameter $\tilde{\tau}_w$ significantly changes the contact line dynamics during the torus droplet breakup process by controlling the interface dissipation with

different slip conditions of the contact line. The value of $\tilde{\tau}_w$ determines the slip behavior of the contact line; a very large value of $\tilde{\tau}_w$ corresponds to the no-slip condition. By choosing appropriate values of $\tilde{\tau}_{w}$, together with the control of Young's contact angle and aspect ratio, the breakup outcome of torus droplets can be elaborately maneuvered. As already mentioned in Fig. 3, in a real liquid-solid system, the value of $\tilde{\tau}_w$ is dependent on various factors including surface chemistry, surface topology, and liquid viscosity. For instance, Carlson et al. controlled this parameter in experiments by changing liquid viscosities and surface coatings.⁴⁴ Huang et al.⁹⁸ used molecular dynamics simulations to study the slippage of water on various types of smooth hydrophobic surfaces. They found that the contact angle is the crucial parameter controlling water slippage and they observed that water slippage at hydrophobic surfaces shows an enormous range of values. This conclusion also motivates us to choose a wide range of $\tilde{\tau}_w$ for hydrophobic surfaces.

5 Conclusion

In this work, we adopted a CH model by using thermodynamically consistent logarithmic Flory-Huggins energy potential and order parameter dependent mobility. We coupled the Cahn-Hilliard model with Navier-Stokes equations (i.e., CHNS model) combined with dynamic wetting boundary conditions, which is rigorously proved to be consistent with the principle of energy dissipation and this model is successfully validated for simulating the spreading process of droplets on different substrates for the first time. By using the CHNS model, we present the 3D simulations of morphological evolution of two distinct liquid structures, namely, the thin-film and torus droplet floating in another liquid and sitting on a solid substrate. The influences of the key parameters including the droplet aspect ratio ξ , Re, We, the wettability of the substrate as well as the wall relaxation on the evolution dynamics are elaborated in detail.

For the floating thin-film with a certain aspect ratio ξ , we find three typical outcomes for the shape evolution (Fig. 6(a)-(c)), which was rarely discussed in the literature. We explain the dynamics by analyzing the interplay of surface energy, kinetic energy, and viscous dissipation. The dimensionless numbers Re and We precisely control the viscous effect and the capillary effect, and thus the dynamic process and the outcome for the evolution of the floating thin-film can be elaborately manipulated. We obtain a regime map for the outcome as a function of Re and 1/We, which provides guidance for better control of floating thin films. For the thin-film on the substrate with certain values of aspect ratio ξ , Re, and We, the dewetting process is intimately determined by the contact angle θ_{eq} . Large θ_{eq} accelerates the dewetting process because of the low adhesion dissipation, which gives rise to the droplet encapsulation. In particular, when $\theta_{eq} = 150^{\circ}$, the thin-film retracts quickly and rebounds from the substrate, similar to the retraction and bouncing stage of an impacting droplet on a superhydrophobic substrate.99

Paper

Our investigation of thin film dewetting on substrate under different conditions shows great potential for the application of the production of monocrystalline nanostructures.¹⁰⁰

Furthermore, we have investigated the effect of the initial aspect ratio on the shape evolution of the floating torus droplet through surface energy and morphological analysis. It reveals that the evolution dynamics is highly dependent on the competition of the shrinkage behavior and the hydrodynamic instability in the initial stage. For a small aspect ratio ($\xi <$ 15), the shrinkage behavior is dominant and the droplet quickly merges onto itself and then becomes elongated and breaks up in the vertical direction. Whereas for a large aspect ratio ($\xi \geq$ 15), the hydrodynamic instability or Rayleigh-Plateau instability is important which leads to the breakup in the circumferential direction. Moreover, we consider the morphological transition for the torus droplets on the substrate associated with wettability and the initial aspect ratio ξ . We vary these two parameters and present four typical outcomes (Fig. 10(a)-(d)) in terms of ξ and θ_{eq} . The combined influence of wettability and aspect ratio for the torus droplets is summarized in a regime map, which provides a supplement to previous literature. We highlight the droplet encapsulation phenomenology in the case (a) in Fig. 10, which shall be further quantitatively explored. Nevertheless, we have identified the connection of droplet encapsulation to the surface wettability, which helps us to design functional surfaces facilitating or avoiding droplet encapsulation. We have also shown that the breakup process and the number of resulting small droplets are closely related to the wettability as well as the wall relaxation effect. By changing the wall relaxation parameter $\tilde{\tau}_w$, the associated physics of slip and no-slip on the wall is scrutinized; the breakup outcome of torus droplets and the formation of satellite droplets can be elaborately maneuvered. We speculate that different numbers of droplets and satellite droplets can be generated through the Rayleigh-Plateau instability with a larger viscosity, compared with the low-viscosity used herein. However, open questions still remain. The exact number of the small droplets and satellite droplets generated on a substrate via the breakup is also determined by the influence of the viscosity ratio of the two fluids if we consider a large viscosity scenario, which deserves a dedicated study in the future. Moreover, another new direction is about the surface diffusion effect, which drives the acceleration of the dewetting process and the formation of more droplets for a large contact angle.^{101,102} This opens up new possibilities within the morphology transition regime diagram. The current study towards the typical liquid structures provides insight into the issues that involve dedicated control of droplet shapes and droplet dynamics, which shows broad applications in droplet-based systems, such as ink-jet printing, drug delivery systems, and microfluidic devices.

Conflicts of interest

There are no conflicts to declare.

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