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## Introduction

The introduction of fluorinated functional groups to organic compounds has become a recurrent tool in medicinal chemistry, given both the pronounced influence of fluorine on lipophilicity and metabolic stability, and the resulting boost of physicochemical and biological properties. Accordingly, a growing proportion of newly approved drugs contain at least a fluorine atom.<sup>1–6</sup> Among the (by now) many fluorinated moieties commonly employed, enantiopure secondary trifluoromethylcarbinols are recurrent features in bioactive compounds, such as the cholesteryl ester transfer protein (CETP) inhibitor TT, the monoamine oxidase A inhibitor beflroxatone or the dual leucine zipper kinase (DLK) inhibitor TP (Scheme 1A).<sup>7–10</sup> Although not ideally suited for the synthesis of the trifluoromethylcarbinol scaffold in the latter compounds, several methods for the synthesis of such motifs have recently been developed involving direct reduction of  $\text{CF}_3$ -ketones,<sup>11–17</sup> or nucleophilic addition to trifluoroacetaldehyde (or its hemiacetal).<sup>18–21</sup> In particular, the former methods require the use of stoichiometric amounts of bulky boranes,<sup>11,13</sup> or, when resorting to catalytic protocols, high pressures of  $\text{H}_2$  to tackle the poor reactivity of  $\text{CF}_3$ -ketones.<sup>14–17</sup> In contrast, the latter

methods encounter challenges regarding functional group tolerance and limited diastereomeric ratios.

Therefore, and despite these advances, alternative mild methods allowing the synthesis of densely substituted secondary trifluoromethylcarbinols with high levels of diastereo- and enantiomeric excess are still in demand.

In recent years, so-called “redox-neutral” synthetic methods have emerged<sup>22,23</sup> as atom-economical processes that effect changes to molecular connectivity while simultaneously adjusting the redox state of two functional groups.<sup>24–26</sup> Although recently somewhat re-profiled, the field effectively includes classical transformations such as the venerable Tishchenko (and Evans–Tishchenko) reaction (Scheme 1B).<sup>27</sup> In its most commonly deployed variant, a  $\beta$ -ketoalcohol (**I**) and an aldehyde (**II**) associate into a hemiketal intermediate (**III**). In the presence of  $\text{SmI}_2$ , **III** can undergo a 1,5-hydride transfer delivering **IV** in stereoselective fashion.<sup>28–48</sup> Previously, our group has deployed hydride transfers as devices to accomplish reductive couplings that proceed by cationic mechanisms. In a notable example, stereocontrolled alkene–aldehyde coupling could be achieved with unique selectivity by engendering a cyclic carbocation reactive intermediate **VIII**. The subsequent hydride transfer event forms oxocarbenium **VIII**, which ultimately undergoes hydrolysis to the observed coupling product **IX** (Scheme 1C).<sup>49–51</sup> Recent work by Mori demonstrated the possibility of using  $\text{CF}_3$ -ketones as hydride acceptors to create trifluoromethyl-ethers in a diastereoselective fashion (Scheme 1D).<sup>52–54</sup> In our continued efforts to further extend and harness the synthetic possibilities offered by redox neutral processes, we herein report a diastereoselective hydride transfer process allowing the stereospecific synthesis of 1,5-carboxamido-trifluoromethylcarbinols **3** from cyclic hemiacetals **1** (Scheme 1E).

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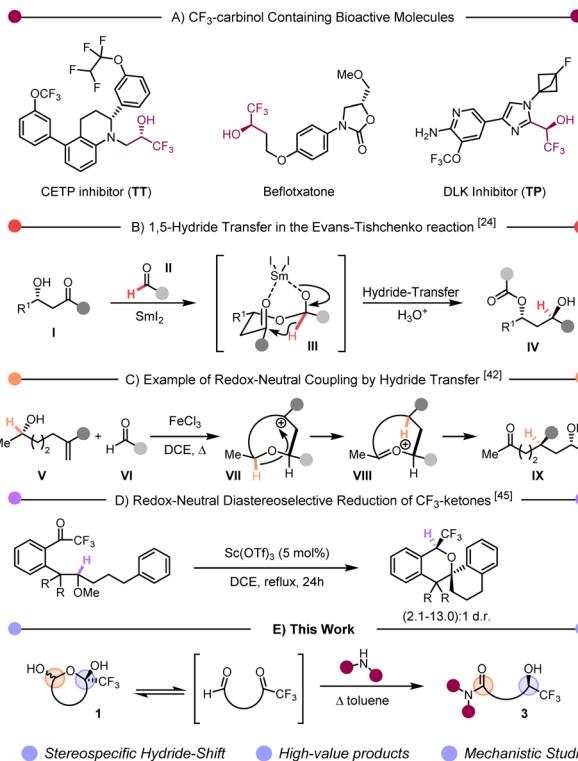
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**Scheme 1** (A) Examples of bioactive CF<sub>3</sub> carbinols. (B) Example of 1,5-hydride transfer in the Evans-Tishchenko reaction. (C) Enantioselective redox-neutral reduction of activated aldehydes. (D) Diastereoselective reduction of CF<sub>3</sub>-ketones. (E) Stereospecific synthesis of 1,5-amido CF<sub>3</sub> carbinols.

## Results and discussion

During our research on inverse shuttle catalysis, we serendipitously discovered that, in the presence of a secondary amine, **1** was thermally converted to **3** in a metal-free process.<sup>55</sup> Indeed, initial experiments showed that organocatalytic Hetero-Diels-Alder adduct<sup>56</sup> **1a** reacts with pyrrolidine at room temperature in toluene to deliver hemiaminal **4a** in quantitative yield (Table 1, entry 1).

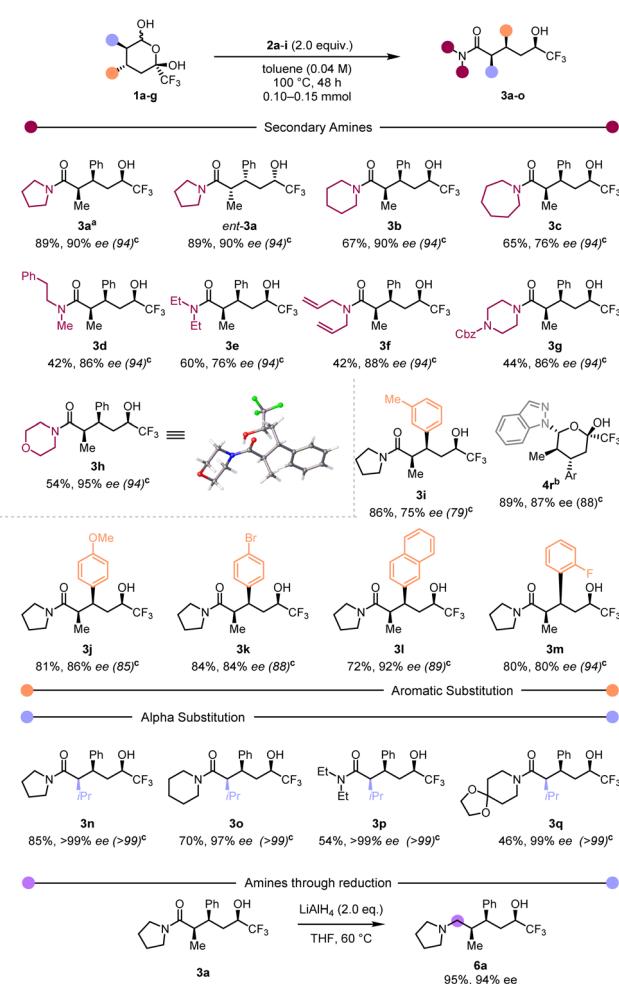
Conversely, heating the mixture led to formation of the trifluoromethyl carbinol product **3a** in good yield, accompanied by elimination and formation of byproduct **5a**. Importantly, hemiaminal **4a** was completely consumed (entry 2). Screening of different solvents showed a negative impact of increasing solvent polarity (entries 4–5), and the addition of Lewis acid catalysts (entries 6–7) or drying agents (entry 8) were found to also not have a beneficial effect on the reaction outcome. As detailed in the ESI,† the reaction revealed a good stability towards variation of concentration, reaction time and stoichiometry of pyrrolidine (see ESI, Section 3† for a full table of optimisation), and we ultimately determined that addition of 2 equivalents of amine allowed isolation of **3a** in an optimised yield of 89% (entry 9). Notably, **3a** is formed as a single diastereomer in 90% enantiomeric excess.

With optimised conditions in hand we assessed the scope of this redox-neutral process (Scheme 2).

**Table 1** Selected screening condition for the 1,5-H shift to from **3a**

| Entry            | Eq. 2a | Additive                          | Solvent | T (°C) | Yield 3a | Yield 4a | Yield 5a |
|------------------|--------|-----------------------------------|---------|--------|----------|----------|----------|
| 1 <sup>a</sup>   | 1.1    | —                                 | Toluene | 25     | —        | 100      | —        |
| 2 <sup>a</sup>   | 1.1    | —                                 | Toluene | 70     | 60%      | 21%      | —        |
| 3 <sup>a</sup>   | 1.1    | —                                 | Toluene | 100    | 82%      | 8%       | —        |
| 4 <sup>a</sup>   | 1.1    | —                                 | DMSO    | 100    | —        | —        | —        |
| 5 <sup>a</sup>   | —      | —                                 | DCE     | 80     | 22%      | 28%      | —        |
| 6 <sup>a,b</sup> | 1.1    | BF <sub>3</sub> ·OEt <sub>2</sub> | Toluene | 100    | —        | —        | 17%      |
| 7 <sup>a,c</sup> | 1.1    | Sc(OTf) <sub>3</sub>              | Toluene | 100    | 30%      | —        | —        |
| 8 <sup>a,d</sup> | 1.1    | 3 Å MS                            | Toluene | 100    | 53%      | 27%      | —        |
| 9 <sup>e,f</sup> | 2.0    | —                                 | Toluene | 100    | 89%      | —        | —        |

<sup>a</sup> **1a** (0.1 mmol, 0.04 M) 24 h. <sup>b</sup> BF<sub>3</sub>·OEt<sub>2</sub> 10%. <sup>c</sup> Sc(OTf)<sub>3</sub> 10%. <sup>d</sup> MS 3 A. <sup>e</sup> **1a** (0.15 mmol, 0.04 M), 48 h. <sup>f</sup> d.r. > 20 : 1, ee<sub>1a</sub> = 94%, ee<sub>3a</sub> = 90%.



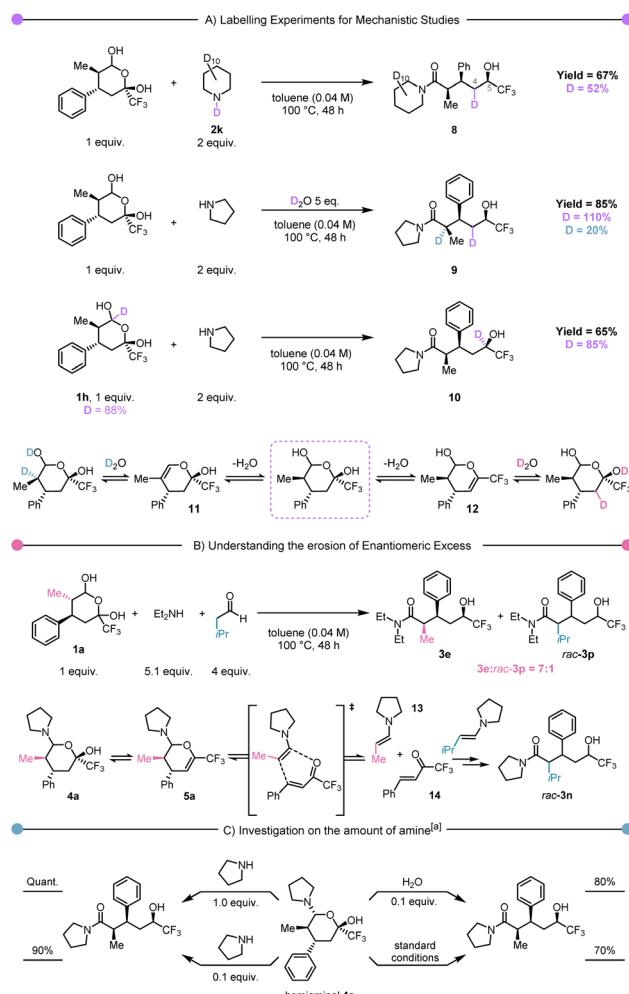
**Scheme 2** Reaction Scope.<sup>a</sup> Scale-up of the reaction: 0.5 mmol–84% yield, 2.0 mmol–80% yield.<sup>b</sup> Heating product **4r** in the normal reaction conditions led to **3k** with a 75% yield (Ar = 4-bromophenyl).<sup>c</sup> ee of the corresponding starting material **1a–g**.



Employing hemiketal *ent*-**1a** (Scheme 2) resulted in product *ent*-**3a** with the same diastereo- and enantioselectivity as its antipode. Focusing on the amine component, we were pleased to observe that cyclic amines generally led to the desired products in very good yields (**3b** and **3c**). However, a slight decrease in yield was observed when acyclic (**3d**–**3f**) or heterocyclic (**3g** and **3h**) amines were employed. Single crystal X-ray analysis of **3h** and **3k** (the latter not shown in Scheme 2) provided unambiguous assignment of the absolute configuration of our products.<sup>57</sup> Variation in the arene substituent revealed that both electron-rich and electron-poor aryls afforded the products in high yield (**3i**–**3m**). Replacing the  $\alpha$ -methyl group with a more hindered *iso*-propyl group (**3n**–**3q**) led to good yields and excellent enantioselectivities. Worthy of note, employing indazole as the amine component led to the formation of a hemiaminal **4r** that failed to afford the trifluoromethylcarbinol product. We believe this could be a consequence of the reduced availability of the indazole nitrogen lone pair. Representative limitations of the reported method can be found in the ESI† (primary amines, highly-hindered secondary amines, ketones, esters). In addition, reduction of the carboxamide delivered aminoalcohol **6a** in excellent yield and ee value.

From the outset, we were interested in elucidating the mechanism of this atom-economical rearrangement. To explore the plausibility of a hydride originating from the amine component, we conducted the reaction in the presence of fully deuterated piperidine (Scheme 3A, first experiment). Interestingly, while this experiment demonstrated the absence of deuterium at the carbinol stereocentre (C-5) in **8**, it unexpectedly revealed its presence on C-4. This intriguing observation suggested the existence of an elimination/rehydration equilibrium (*cf.* **12**, Scheme 3A, bottom). To validate this hypothesis, we performed the reaction in the presence of 5 equiv. of  $D_2O$ , which resulted in deuterium labelling at C-4 and at C-2 in **9** (Scheme 3A, second experiment). In contrast to previous examples in the literature, this equilibrium did not lead to any epimerisation.<sup>58</sup> Notably, the use of deuterated hemiacetal **1h** led to a complete transfer of deuterium from the hemiacetal carbon to the  $CF_3$ -alcohol carbon in **10**, strongly supporting an internal hydride-transfer mechanism (Scheme 3A, third experiment).

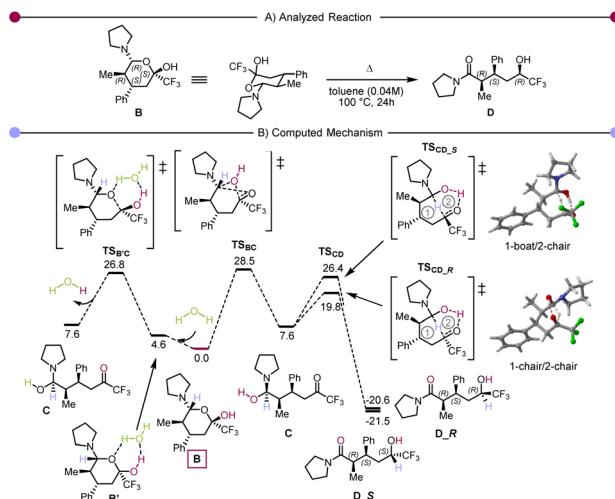
Subsequently, our focus shifted towards unravelling the loss in enantiomeric excess reported for **3c** and **3e**. In particular (*cf.* equilibria shown in Scheme 3B), we speculated about the possibility of intermediate **5a** undergoing retro Hetero-Diels–Alder to return to enamine **13** and trifluoromethyl enone **14**. In the absence of a chiral catalyst, the equilibrium between **5a** and **13–14** must lead to the racemisation of the product (Scheme 3B). To demonstrate this hypothesis, we envisioned capture by an alternative enamine in a secondary, parallel Hetero-Diels–Alder process. Therefore, we performed the rearrangement of **1a** with diethylamine in the presence of isovaleraldehyde under thermal conditions. The observation of a mixture of products **3e** and *rac*-**3p** in a 7:1 ratio (Scheme 3B) was a striking observation. Firstly, it unambiguously demonstrates the possibility of incorporation of an extraneous aldehyde, which supports the cycloreversion/cycloaddition hypothesis articulated earlier.



**Scheme 3** Mechanistic experiments. (A) D-labelled experiments for the hydride shift identification. (B) Demonstration of a retro Diels–Alder process (C) studies on the hemiaminal intermediate reactivity to improve the understanding on the reaction mechanism. <sup>a</sup>Toluene (0.04 M), 100 °C, 48 h.

Secondly, the ratio between **3e** and *rac*-**3p** suggests that the retro Hetero-Diels–Alder pathway, though operative, is not prevalent. Finally, we became curious about the possible role of hemiaminal **4a**. Accordingly, it was isolated and exposed to different conditions (Scheme 3C). These experiments showed that once the hemiaminal intermediate is formed, the reaction proceeds quantitatively by the addition of an additional equivalent of pyrrolidine. The use of reduced (catalytic) amounts of pyrrolidine or water also allowed the formation of the product, albeit with a small drop in yield. We then performed computational studies at the density functional theory (DFT: B3LYP-D3(BJ)/def2-TZVP//B3LYP-D3(BJ)/def2-SVP,<sup>59–65</sup> see ESI† for details) level to shed light on the reaction mechanism. The *in silico* computed process is shown in Scheme 4A, and Scheme 4B presents the computed Gibbs free energy profile. The hemiaminal **B** (**4a**) can intramolecularly ring-open to the acyclic form **C**. Notably, this step **B** → **C** is endergonic ( $\Delta G(\mathbf{B} \rightarrow \mathbf{C}) = 7.6 \text{ kcal mol}^{-1}$ ) and, accordingly, has a relatively high kinetic





**Scheme 4** (A) The computationally analysed system. (B) Computed Gibbs free energy reaction profile ( $\Delta G_{373}$ , toluene). The hemiketal **B** is used as a reference (0.0 kcal mol $^{-1}$ ).

barrier of 28.5 kcal mol $^{-1}$ . This computational result is in good agreement with the experimentally applied elevated temperature of 100 °C. The intermediate **C** undergoes a concerted combination of hydride and proton transfers, ultimately leading to the experimentally observed product **D** (**3a**). Interestingly, two diastereoisomeric pathways are possible for the step **C** → **D**, while only one diastereomer, depicted in Scheme 4B as **D\_R**, was observed experimentally. Thermodynamically, the structures **D\_S** and **D\_R** are very similar ( $\Delta G(\mathbf{C} \rightarrow \mathbf{D}_S) = -29.1$  kcal mol $^{-1}$  and  $\Delta G(\mathbf{C} \rightarrow \mathbf{D}_R) = -28.2$  kcal mol $^{-1}$ ). However, we see a significant difference in the kinetic barriers favoring the formation of the experimentally observed product **D\_R**. Indeed,  $\Delta G^\ddagger(\mathbf{C} \rightarrow \mathbf{D}_S) = 18.8$  kcal mol $^{-1}$  and  $\Delta G^\ddagger(\mathbf{C} \rightarrow \mathbf{D}_R) = 12.2$  kcal mol $^{-1}$ . This barrier gap can be explained *via* analysis of the transition state structures shown on the right part of Scheme 4B. The transition state structures are bicyclic with interconnected six-membered rings. Both rings adopt a favourable chair conformation in the energetically lower transition state structure **TS<sub>CD\_R</sub>**. However, in the case of the energetically disfavoured transition state **TS<sub>CD\_S</sub>**, one of the rings adopts in a boat-like conformation, destabilising the entire structure. The described mechanistic pathway allows the formation of the product **D** in the absence of water. However, our experimental data shows that adding water to the system can benefit the reaction. In order to understand the influence of water, we have performed further calculations with an explicitly added singular water molecule. As shown in Scheme 4B (left), the initially formed reactant complex **B'** is 4.6 kcal mol $^{-1}$  higher as compared to the starting point **B** due to the entropic penalty paid for the involved water molecule. Remarkably, the subsequent transition state **TS<sub>B'C</sub>** is better stabilised than the transition state **TS<sub>BC</sub>**:  $\Delta G^\ddagger(\mathbf{B}' \rightarrow \mathbf{C}, \text{via } \mathbf{TS}_{\mathbf{BC}}) = 28.5$  kcal mol $^{-1}$  and  $\Delta G^\ddagger(\mathbf{B}' \rightarrow \mathbf{C}, \text{via } \mathbf{TS}_{\mathbf{B}'\mathbf{C}}) = 26.8$  kcal mol $^{-1}$ . This is in complete agreement with the experimentally observed reaction acceleration in the presence of water. Both transition states, **TS<sub>BC</sub>** and **TS<sub>B'C</sub>**, lead to the same intermediate **C**, but the reaction

mechanism is dramatically different. Transition state **TS<sub>B'C</sub>** is an energetically favorable six-membered cycle in which water assists the ring opening event. Instead of the hydroxy group shift within **TS<sub>BC</sub>**, the OH bond is cleaved in the transition state **TS<sub>B'C</sub>**, and the proton exchange with the water molecule allows the C–O bond to break. We also considered a four-membered ring alternative to the **TS<sub>B'C</sub>** without water assistance, but the calculations strictly deny the possibility of this event, highlighting the crucial role of water.

## Conclusion

In conclusion, we have developed a new redox-neutral process enabling the stereospecific synthesis of multisubstituted 1,5-carboxamido trifluoromethylcarbinols from cyclic hemiketals. The mechanism of the process was studied in depth, revealing a network of interconnected equilibria that productively lead to the final product and showcasing the influence of water on the overall process. Extensive DFT calculations provided an elegant model to rationalise the observed diastereoselectivity. Notably, in this method, a redox-neutral event formally reduces a trifluoromethylketone into a trifluoromethylcarbinol, thus obviating the need for strongly nucleophilic (organometallic) reagents or high-pressure hydrogenations. We believe that this work further emphasises the growing importance of hydride transfer processes in mediating formal reductive transformations under mild conditions.

## Data availability

A data availability statement (DAS) is submitted alongside the article.

## Author contributions

The work was conceptualised by N. M. The experiments were performed by R. T., M. S. and I. K. B. M. performed the DFT calculations. The manuscript was written through contributions of all authors. S. S. and N. M. were involved in manuscript editing, finalizing and overall supervision of the project. N. M. and L. G. secured funding and supervised the entire work.

## Conflicts of interest

There are no conflicts to declare.

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57 Deposition numbers 2335899 (for **3h**), 2335900 (for **3k**), 2351935 for (**4r**) contain(s) the supplementary crystallographic data for this paper. These data are provided free of charge by the joint Cambridge Crystallographic Data Centre and Fachinformationszentrum Karlsruhe Access Structures service.

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