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## Highly enantioselective synthesis of both enantiomers of tetrahydroquinoxaline derivatives via Ir-catalyzed asymmetric hydrogenation†

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A novel Ir-catalyzed asymmetric hydrogenation protocol for the synthesis of chiral tetrahydroquinoxaline (THQ) derivatives has been developed. By simply adjusting the reaction solvent, both enantiomers of mono-substituted chiral THQs could be selectively obtained in high yields with excellent enantioselectivities (toluene/dioxane: up to 93% yield and 98% ee (*R*); EtOH: up to 83% yield and 93% ee (*S*)). For 2,3-disubstituted chiral THQs, the *cis*-hydrogenation products were obtained with up to 95% yield, 20:1 dr, and 94% ee. Remarkably, this methodology was also applicable under continuous flow conditions, yielding gram-scale products with comparable yields and enantioselectivities (dioxane: 91% yield and 93% ee (*R*); EtOH: 90% yield and 87% ee (*S*)). Unlike previously reported Ir-catalyzed asymmetric hydrogenation protocols, this system exhibited a significant improvement as it required no additional additives. Furthermore, comprehensive mechanistic studies including deuterium-labeling experiments, control experiments, kinetic studies, and density functional theory (DFT) calculations were conducted to reveal the underlying mechanism of enantioselectivities for both enantiomers.

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## Introduction

Chiral N-heteroaromatic compounds have received increasing attention in recent decades, mainly because chiral products often form the core structures of numerous bioactive molecules.<sup>1–4</sup> Typically, a chiral THQ fragment is the key structural unit of cholesterol ester transfer protein (CETP) inhibitors and BD-2 selective BET inhibitors, which are pivotal in treating atherosclerosis and obesity<sup>5</sup> or exhibiting anti-inflammatory activity *in vivo*.<sup>6,7</sup> Asymmetric catalysis, primarily employing chiral transition-metal catalysts, enzymatic catalysts and organocatalysts, is an efficient and powerful strategy to obtain enantiopure molecules.<sup>8–12</sup> Among them, asymmetric

hydrogenation of quinoxalines represents one of the most straightforward and efficient approaches for the synthesis of chiral THQs, and offers excellent atom economy, with almost no waste or byproducts, and thus is a highly sustainable and green strategy.<sup>13–15</sup> In 2009, both Chan and Vries reported a highly enantioselective iridium-catalyzed asymmetric hydrogenation of alkyl-substituted quinoxalines, employing the diphosphinite ligand (*R*)-H<sub>8</sub>-binap and the monodentate phosphoramidite ligand (*S*)-PipPhos, respectively (Scheme 1A).<sup>16,17</sup> Recently, Chen reported an Rh-catalyzed asymmetric hydrogenation of alkyl-substituted quinoxalines with a chiral ferrocene-based bisphosphine-thiourea ligand, leading to the production of the desired products with high enantioselectivities (Scheme 1A).<sup>18</sup> Additionally, Fan and Rueping developed a relay catalyzed asymmetric hydrogenation and a transfer hydrogenation approach, respectively, to achieve the reduction of aryl-substituted quinoxalines with good enantioselectivity (Scheme 1B).<sup>19,20</sup> Furthermore, the more challenging asymmetric hydrogenation system of 2,3-disubstituted quinoxalines has been successfully developed using a chiral cationic ruthenium diamine catalyst or frustrated Lewis pair catalyst to afford the desired products with moderate diastereoselectivities and high enantioselectivities (Scheme 1C).<sup>21,22</sup> Liu disclosed a novel Mn-catalyzed stereodivergent asymmetric hydrogenation of disubstituted quinoxalines, providing *cis*- or *trans*-chiral THQs with high yields and good stereoselectivities (Scheme 1C).<sup>23</sup> Despite these advancements, the synthesis of both enantiomers

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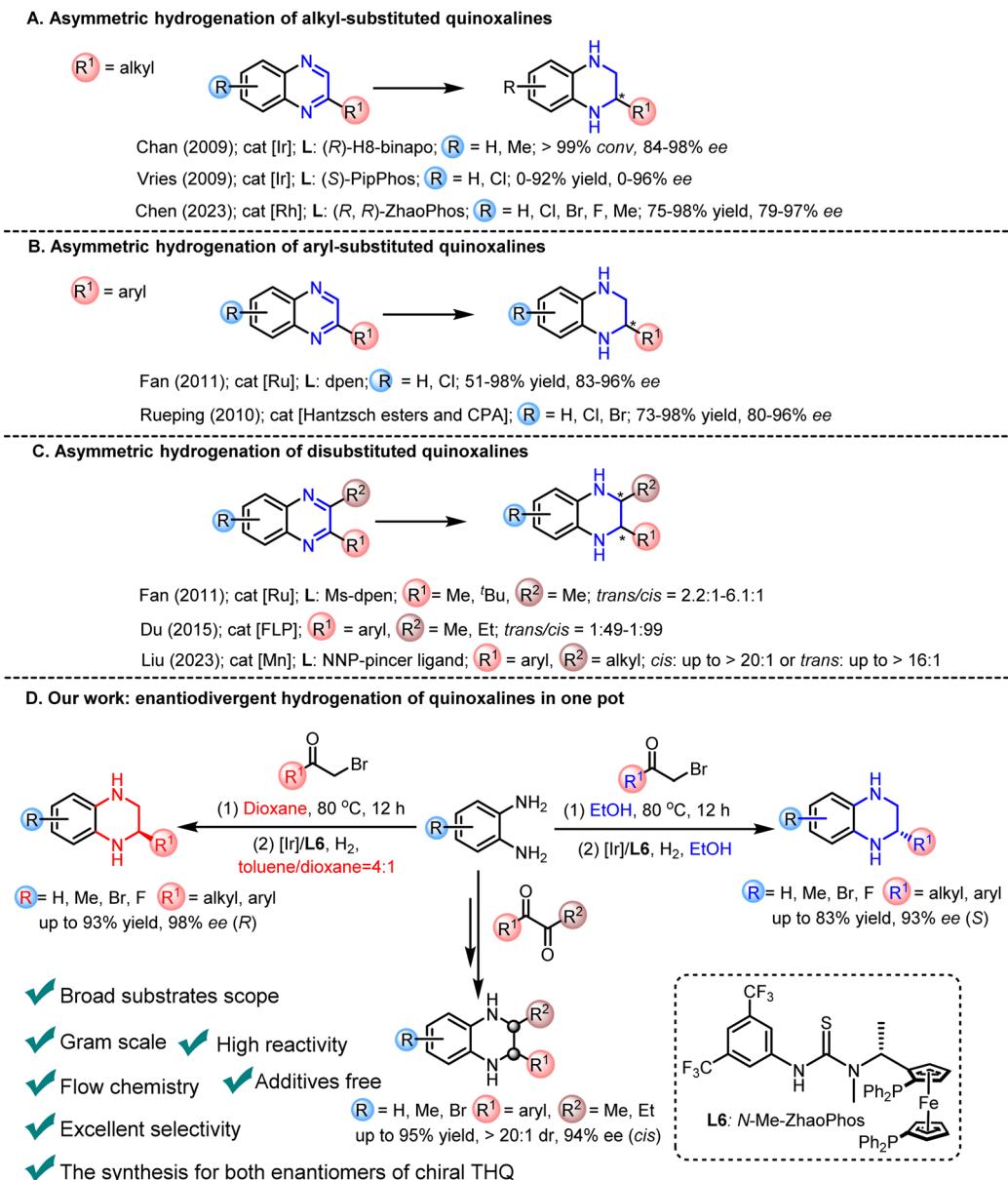
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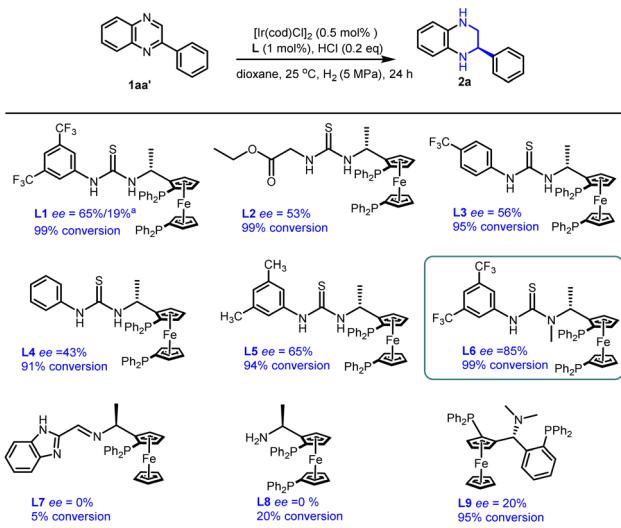


Scheme 1 Asymmetric synthesis of chiral THQs.

of chiral THQ with the same catalyst has remained challenging until now. Herein, we report the first general and efficient Ir-catalyzed protocol for the synthesis of chiral aryl-substituted THQs. By simply adjusting the reaction solvent, both enantiomers of chiral THQ derivatives could be selectively obtained in high yields with excellent enantioselectivities (toluene/dioxane: up to 93% yield and 98% ee (R); EtOH: up to 83% yield and 93% ee (S)). Furthermore, the more challenging 2,3-disubstituted quinoxalines were smoothly hydrogenated with up to 95% yield, 20:1 dr, and 94% ee. In addition, both enantiomers of chiral THQ could be obtained under continuous flow conditions, yielding gram-scale products without a noticeable decrease in yields and enantioselectivities (dioxane: 91% yield and 93% ee (R); EtOH: 90% yield and 87% ee (S)) (Scheme 1D).

## Results and discussion

Based on our earlier work on Rh-catalyzed asymmetric hydrogenation of alkyl-substituted quinoxalines and quinoxalinones,<sup>18</sup> 2-phenylquinoxaline **1aa'** was chosen as the model substrate for further optimization with an Ir-catalyzed asymmetric hydrogenation reaction (Scheme 2). To our delight, the desired product **2a** was obtained with a full conversion and moderate enantioselectivity using ligand **L1** (99% conversion and 65% ee), while only 19% ee was obtained in our earlier work. Encouraged by this promising outcome, we further explored a series of ferrocene-based chiral phosphine ligands. It was observed that ligands **L2–L5**, each containing a thiourea motif, consistently yielded the desired product with high

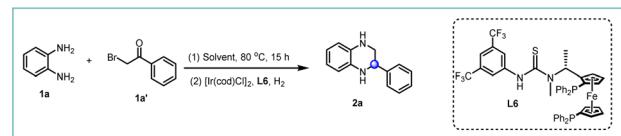


**Scheme 2** Ligand screening for the asymmetric hydrogenation of 2-phenylquinoxaline. Reaction conditions:  $1\text{aa}'$  (0.25 mmol) in 2 mL dioxane and  $1\text{aa}'/\text{[Ir(cod)Cl}_2/\text{ligand}$  ratio = 100/0.5/1;  $^a1\text{aa}'$  hydrochloride/ $[\text{Rh(cod)Cl}_2/\text{ligand}$  ratio = 100/0.5/1 and DCM solvent. Conversions were determined by  $^1\text{H}$  NMR analysis. The ee values were determined by HPLC.

conversions but moderate enantioselectivities (91–99% conversion and 43–65% ee). Pleasingly, ligand **L6**, featuring an *N*-methyl substitute, delivered the hydrogenation product **2a** with 99% conversion and an improved ee value of 85%. This observed enhancement could be attributed to the favorable interaction between the catalyst and substrates facilitated by the *N*-methyl substitute. In addition, several other ferrocene-based chiral phosphine ligands (**L7–L9**), lacking either the thiourea or the aromatic unit, failed to provide satisfactory enantioselectivity (0–20% ee). This suggests that the presence of both thiourea and aromatic units is indispensable for high conversion and excellent enantioselectivity. Consequently, ligand **L6** emerged as a promising ligand for the asymmetric hydrogenation of quinoxaline derivatives.

In an effort to enhance step economy, we aimed to synthesize chiral THQs from simple starting materials.<sup>24</sup> Commercially available *o*-phenylene diamine **1a** and 2-bromoacetophenones **1a'** were chosen as the model substrates.<sup>25</sup> These compounds successfully underwent cyclization to generate 2-phenylquinoxaline without the use of additives,<sup>26–28</sup> which avoids the risk of catalyst poisoning in the subsequent hydrogenation step. Encouragingly, the cyclization reaction proceeded smoothly and, following a simple post-processing step, the hydrogenation reaction was carried out to yield the desired chiral product **2a** with high conversion and good enantioselectivity (Table 1, entry 1). Generally, the addition of hydrochloric acid (HCl) accelerates the reaction rate and controls the enantioselectivity in the asymmetric hydrogenation of imines by forming a salt bridge between the catalyst and the substrates.<sup>29–31</sup> However, as the amount of HCl was reduced, an increase in ee values was observed in this system (Table 1, entries 1–3), with the best

**Table 1** Optimization of reaction conditions for Ir-catalyzed asymmetric hydrogenation of 2-phenylquinoxaline<sup>a</sup>



Entry	Solvent	Additive	S/C	Conv. (%)	ee (%)
1	Tol/dio = 4 : 1	0.2 eq. HCl	100	99	85 ( <i>R</i> )
2	Tol/dio = 4 : 1	0.1 eq. HCl	100	99	94 ( <i>R</i> )
3	Tol/dio = 4 : 1	—	100	99	97 ( <i>R</i> )
4 <sup>d</sup>	Tol/dio = 4 : 1	KCl	100	99	97 ( <i>R</i> )
5 <sup>d</sup>	Tol/dio = 4 : 1	CF <sub>3</sub> CO <sub>2</sub> H	100	99	82 ( <i>R</i> )
6 <sup>d</sup>	Tol/dio = 4 : 1	CF <sub>3</sub> SO <sub>3</sub> H	100	99	61 ( <i>R</i> )
7	Dioxane	—	100	99	93 ( <i>R</i> )
8	Toluene	—	100	99	96 ( <i>R</i> )
9	CH <sub>3</sub> CN	—	100	73	67 ( <i>R</i> )
10	THF	—	100	88	59 ( <i>R</i> )
11	Et <sub>2</sub> O	—	100	34	61 ( <i>R</i> )
12	CHCl <sub>3</sub>	—	100	27	63 ( <i>R</i> )
13	DMSO	—	100	Trace	—
14	MeOH	—	100	99	85 ( <i>S</i> )
15	(CH <sub>3</sub> ) <sub>2</sub> CHCH <sub>2</sub> OH	—	100	99	79 ( <i>S</i> )
16	iPrOH	—	100	67	50 ( <i>S</i> )
17	EtOH	—	100	99	89 ( <i>S</i> )
18	CF <sub>3</sub> CO <sub>2</sub> H	—	100	86	55 ( <i>S</i> )
19 <sup>d</sup>	EtOH	CH <sub>3</sub> CO <sub>2</sub> H	100	96	85 ( <i>S</i> )
20 <sup>e</sup>	EtOH	—	100	—	—
21	Tol/dio = 4 : 1	—	1500	15 <sup>b</sup> /97 <sup>c</sup>	90 <sup>b</sup> /90 <sup>c</sup> ( <i>R</i> )
22	EtOH	—	1000	99	81 ( <i>S</i> )

<sup>a</sup> Reaction conditions: **1a** and **1a'** (0.25 mmol) in 1 mL solvent, **1a**/ $[\text{Ir(cod)Cl}_2/\text{ligand}$  ratio = 100/0.5/1, room temperature, 18 h and  $\text{H}_2$  (2 MPa), and Tol/dio = toluene/dioxane. <sup>b</sup> The reaction temperature was 45 °C. <sup>c</sup> The reaction temperature was 60 °C. <sup>d</sup> Additive 0.2 eq. (substrate at 1 equivalent). <sup>e</sup> Without the use of  $\text{H}_2$ . Conversions were determined by  $^1\text{H}$  NMR analysis. The ee values were determined by HPLC.

result obtained in the absence of HCl (99% conversion and 97% ee). Further investigation with other acids and KCl showed that the decreased enantioselectivity was attributed to the acidity rather than the chloride counterion (Table 1, entries 4–6). After screening various solvents, no further improvement was observed (Table 1, entries 7–13). However, comparable yields and enantioselectivities were achieved in single dioxane and toluene solvents (Table 1, entries 7 and 8). Surprisingly, the reversed configuration hydrogenation product (*S*)-**2a** was observed in MeOH with 99% conversion and 85% ee (Table 1, entries 14). This might be attributed to the participation of alcoholic solvent molecules in the enantiocontrol step. Coincidentally, Zhang's group also observed this interesting phenomenon in asymmetric hydrogenation of quinolines using the strong Brønsted acid HCl or CH<sub>3</sub>CO<sub>2</sub>H as the additive.<sup>32</sup> Further screening of other alcoholic solvents and additives, including trifluoroethanol, was performed (Table 1, entries 15–19), resulting in a satisfactory conversion and enantioselectivity in ethanol solvent (99% conversion and 89% ee). In addition,

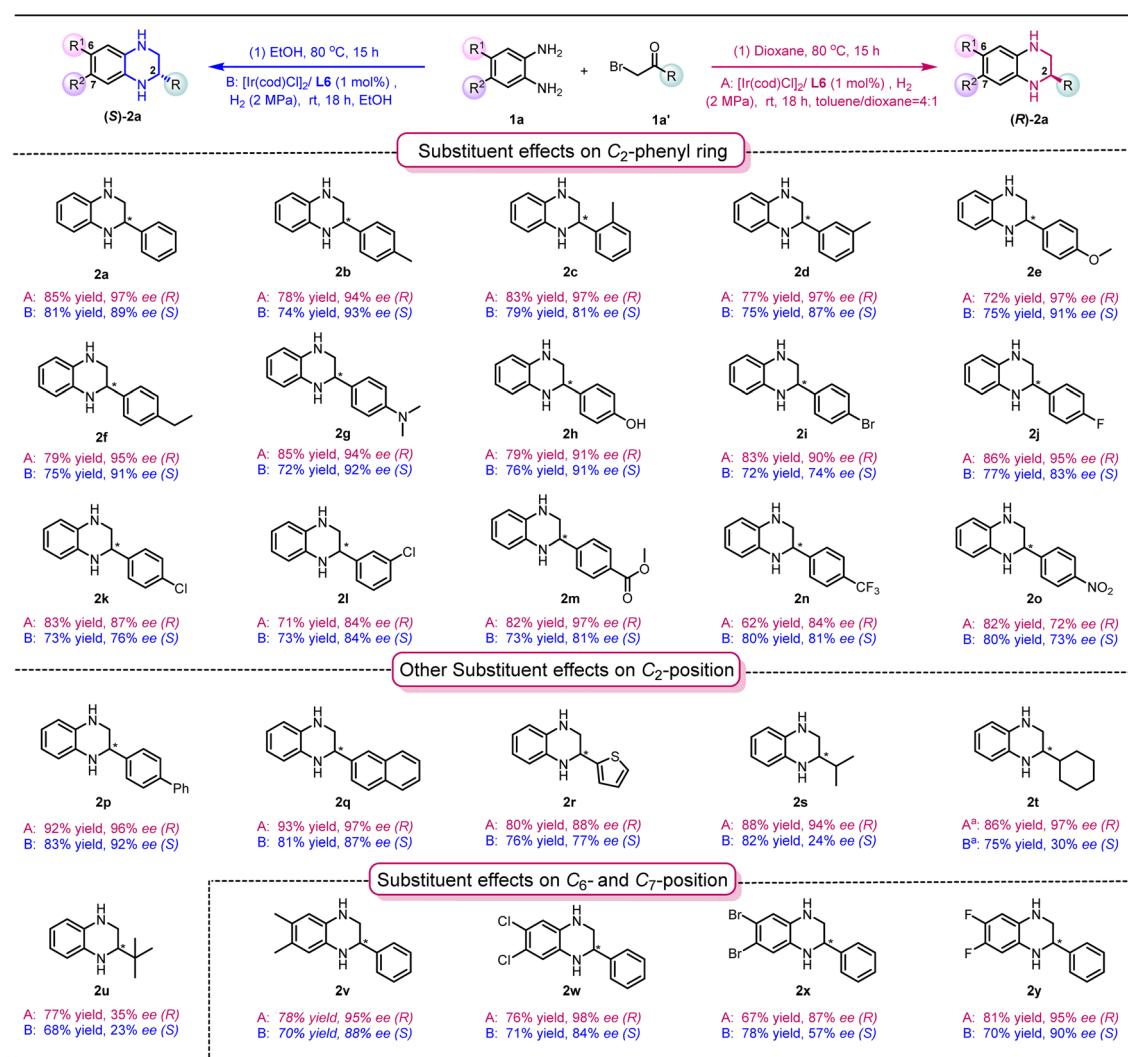


this reversed product can also be observed in toluene systems by adding an appropriate amount of ethanol solvent (Table S4†). The transfer hydrogenation pathway was excluded in the EtOH system because the reaction did not occur in the absence of H<sub>2</sub> (Table 1, entry 20). Notably, high reactivity and good enantioselectivity were maintained even at a molar substrate-to-catalyst (S/C) ratio of up to 1500 (toluene/dioxane) and 1000 (ethanol) (Table 1, entries 21–22). Consequently, toluene/dioxane and ethanol were selected as the optimal solvents for the synthesis of (R)- and (S)-THQs, respectively.

Having established the two optimized reaction conditions, we proceeded to evaluate the scope of the hydrogenation reaction. As shown in Table 2, a broad range of both enantiomers of chiral THQs were synthesized with high yields and good to excellent enantioselectivities in both solvent systems. The electron-donating groups introduced onto the C<sub>2</sub>-phenyl ring were well tolerated in toluene/dioxane and ethanol systems,

giving the products 2a–2f with high yields and excellent enantioselectivities. Interestingly, there was a slight decrease in ee values in the EtOH system when a *meta*- or *ortho*-methyl substituent was introduced onto the phenyl ring (EtOH system: 2c, 79% and 81% ee; 2d, 75% and 87% ee). This might indicate that the methyl substitution possibly affects the transition state of the enantio-determining step, leading to a decrease in ee. To our delight, challenging substituents, including –N(Me)<sub>2</sub> and –OH, could be well tolerated, despite the fact that heteroatoms could easily interrupt the coordination between the substrate and the catalyst (toluene/dioxane system: 2g, 85% yield and 94% ee, and 2h, 79% yield and 91% ee; EtOH system: 2g, 72% yield and 92% ee, and 2h, 76% yield and 91% ee). Subsequently, the effect of electron-withdrawing groups was investigated, and comparable results were obtained (2i–2o). Pleasingly, the enantioselectivity was only affected by the strong electron-withdrawing groups attached, resulting in a slight decrease in

Table 2 Substrate scope study for Ir-catalyzed asymmetric hydrogenation of 2-substituted quinoxalines<sup>a</sup>



<sup>a</sup> Reaction conditions: 1a and 1a' (0.25 mmol) in 1 mL solvent, 80 °C, and 15 h. 1a/[Ir(cod)Cl]<sub>2</sub>/ligand ratio = 100/0.5/1, room temperature, 18 h and 2 MPa H<sub>2</sub>. <sup>b</sup> The 2-phenylquinoxaline intermediate was prepared through a separate procedure. Yields were all isolated yields. The ee values were determined by HPLC.



ee (**2o**). It is noteworthy that the uncommon *para*-ester substituent and pharmaceutically useful  $\text{CF}_3$  substituent<sup>33</sup> were compatible with both solvent systems, affording products **2m** and **2n** with good yield and enantioselectivities. The screening of other aromatic substituents at the  $\text{C}_2$ -position, including biphenyl, naphthyl and thiophene groups (**2p–2r**), led to the desired products in good yields with good to excellent enantioselectivities (toluene/dioxane system: 80–93% yield and 88–97% ee and EtOH system: 76–82% yield and 77–92% ee). Interestingly, the use of secondary alkyl substituents gave the products with comparable results in the toluene/dioxane system (**2s**, 88% yield and 94% ee; **2t**, 86% yield and 97% ee), while the enantioselectivities in the EtOH system dramatically decreased (EtOH system: **2s**, 82% yield and 24% ee; **2t**, 75% yield and 30% ee). These results indicate that the aromaticity at the  $\text{C}_2$ -position can potentially play an important role in the enantioselectivity in the EtOH system through  $\pi$ – $\pi$  interactions between the quinoxaline and the catalyst/ligand. Using more sterically hindered *tert*-butyl substituents generated the products **2u** in good yield, but with a much lower ee in both solvent systems. Finally, the effects of substituents at the  $\text{C}_6$ - and  $\text{C}_7$ -positions of the quinoxaline were also evaluated (**2v–2y**), leading to the synthesis of products with good to excellent yields and enantioselectivities, with the exception of (*S*)-**2x** in the EtOH system (EtOH system: 78% yield and 56% ee).

Encouraged by the excellent results obtained for the mono-substituted quinoxaline derivatives, we further expanded this methodology to the more challenging 2-aryl-3-methyl quinoxalines (see Table S3† for optimization details). As shown in Table 3, a series of 2,3-disubstituted quinoxalines were smoothly hydrogenated to generate enantiopure *cis*-THQs with 77–94% yields, >20:1 dr, and 80–94% ee. Notably, functional groups such as halides (**3b** and **3c**) and methyl (**3d**) on the quinoxaline aromatic ring were well-tolerated, affording the corresponding hydrogenated products with excellent enantioselectivities (90–

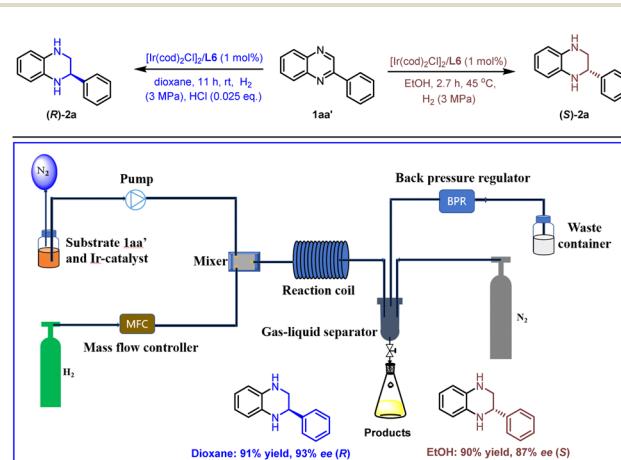
94% ee). The substrates bearing an electron-withdrawing group (**3e**) or a strong electron-donating group (**3f**) on the 2-phenyl group proceeded efficiently to produce the desired products with good yields and excellent enantioselectivities. In addition, the substrate with a strong electron-withdrawing group (**3g**) was also tested and the corresponding chiral product was obtained with good yield and moderate enantioselectivity. Finally, replacing methyl with an ethyl substituent at the  $\text{C}_3$ -position (**3h**) led to a slight decrease in reactivity and enantioselectivity, resulting in the product in 77% yield and 80% ee.

Flow chemistry, characterized by a short residence time, high surface area-to-volume ratio, excellent reproducibility, and ease of scale-up, has emerged as an advantageous approach in organic synthesis.<sup>34–39</sup> Asymmetric hydrogenation is notably well-suited for flow conditions owing to the ease of pressurization and enhanced safety.<sup>40,41</sup> In line with our interest in flow chemistry,<sup>42–44</sup> we successfully applied this methodology under continuous flow conditions. As shown in Scheme 3, we first prepared a solution of substrate **1aa'** and the Ir catalyst. This solution was then pumped into a mixer. Concurrently, hydrogen gas was released through a mass flow controller in another stream, converging with the reaction solution in the mixer. Subsequently, the relative parameters were optimized, including the concentration of substrate, gas velocity, liquid velocity, the pressure of  $\text{H}_2$ , and the residence time (see Table S2† for optimization details). Under the optimized reaction conditions, the desired products (*R*)-**2a** and (*S*)-**2a** were obtained with high yields and excellent enantioselectivities (dioxane system: 91% yield and 93% ee (*R*); EtOH system: 90% yield and 87% ee (*S*)). Surprisingly, we found that the addition of HCl is essential for this transformation in dioxane, which could be due to insufficient mixing of the gas–liquid phase under continuous flow conditions. The use of acid enables the breaking of the aromaticity, thereby facilitating the hydrogenation process.

Table 3 Substrate scope study for Ir-catalyzed asymmetric hydrogenation of 2,3-disubstituted quinoxalines<sup>a</sup>

Entry	R	R <sub>1</sub>	R <sub>2</sub>	4	Yield (%)	cis/trans	ee (%)	3a–3h		4a–4h	
								[Ir(cod) <sub>2</sub> Cl] <sub>2</sub> /L6 (1 mol%)	toluene, rt, ( <i>R</i> )-CPA (2 eq.)	$\text{H}_2$ (2 MPa), 24 h	
1	H	Ph	Me	<b>4a</b>	94	>20:1	91				
2	Br	Ph	Me	<b>4b</b>	90	>20:1	94				
3	F	Ph	Me	<b>4c</b>	86	>20:1	90				
4	Me	Ph	Me	<b>4d</b>	82	>20:1	92				
5	H	4-Cl-Ph	Me	<b>4e</b>	90	>20:1	90				
6	H	4-OMe-Ph	Me	<b>4f</b>	87	>20:1	92				
7	H	4-CF <sub>3</sub> -Ph	Me	<b>4g</b>	91	>20:1	70				
8	H	Ph	Et	<b>4h</b>	77	>20:1	80				

<sup>a</sup> Reaction conditions: 0.25 mmol substrate **3**, 1 mol% [Ir (COD)Cl]<sub>2</sub>/L6, and (*R*)-4-hydroxydiphenyl[2,1-*d*':2',-*f*][1,3,2]dioxaphosphepine 4-oxide ((*R*)-CPA) (2 eq.). Yields were all isolated yields. The ee values were determined by HPLC.



Scheme 3 Ir-catalyzed asymmetric hydrogenation of 2-phenylquinoxaline (**1aa'**) under continuous flow conditions. Reaction conditions: (*R*)-**2a**: 2-phenylquinoxaline (**1aa'**, 0.05 M) in dioxane, 1.0 mol% [Ir (COD)Cl]<sub>2</sub>/L6,  $\text{H}_2$  (3 MPa), rt, HCl (0.025 eq.), and residence time 11 h. (*S*)-**2a**: 2-phenylquinoxaline (**1aa'**, 0.05 M) in EtOH, 1.0 mol% [Ir (COD)Cl]<sub>2</sub>/L6,  $\text{H}_2$  (3 MPa), 45 °C, and residence time 2.7 h. Yields were all isolated yields. The ee values were determined by HPLC.

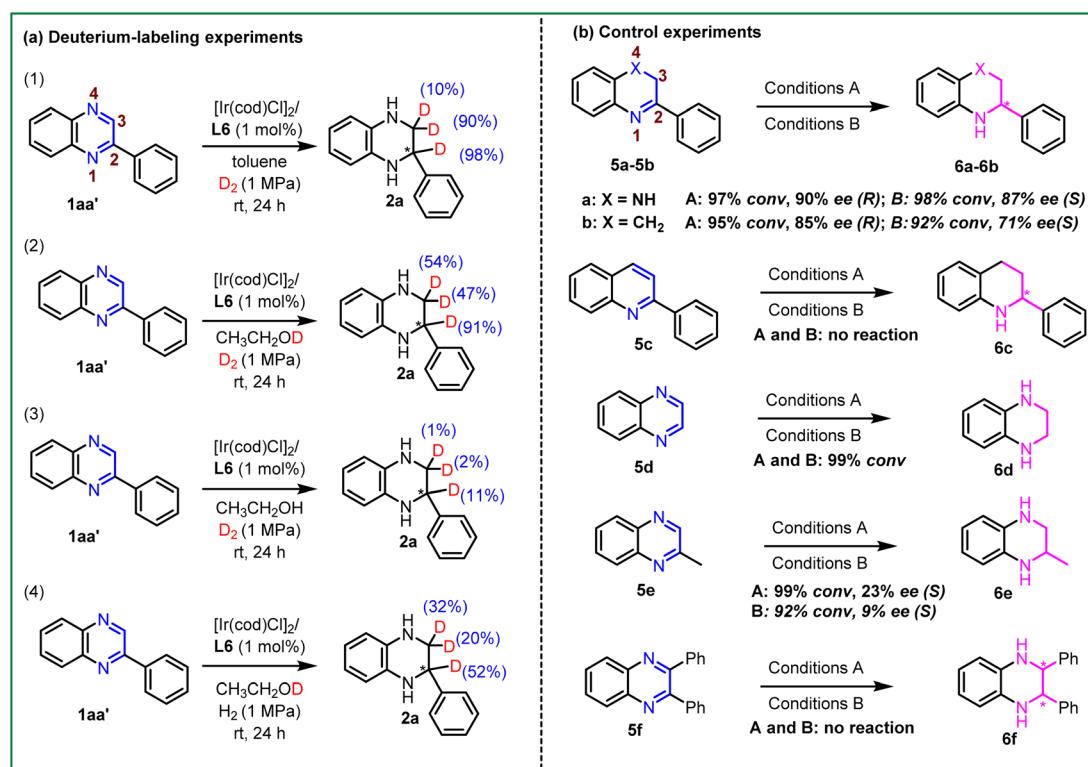


Finally, a gram-scale reaction was conducted in both solvent systems to generate both enantiomers of chiral **2a** with comparable yields and enantioselectivities ((*S*)-**2a**, 2.75 g, 90% yield, and 87% ee, over 27 h in the EtOH system; (*R*)-**2a**, 2.78 g, 91% yield, and 93% ee, over 60 h in the dioxane system).

To further explore the mechanism of this transformation, a series of isotope-labeling experiments of 2-phenylquinoxaline (**1aa'**) were performed, as shown in Scheme 4a. First, the Ir-catalyzed asymmetric hydrogenation of 2-phenylquinoxaline (**1aa'**) was carried out under 1 MPa D<sub>2</sub> in the toluene system. It was observed that the hydrogenation product was obtained with 98% and 90% deuterium atoms in the C<sub>2</sub>- and C<sub>3</sub>-positions (Scheme 4a (1)). Next, we repeated this reaction under 1 MPa D<sub>2</sub> in ethanol-d<sub>1</sub> solvent and the result showed that the deuterium atoms were added in the C<sub>2</sub>- and C<sub>3</sub>-positions (Scheme 4a (2)). Furthermore, this hydrogenation reaction was performed at 1 MPa D<sub>2</sub> in ethanol solvent and found that only a small number of deuterium atoms were detected in the corresponding product (Scheme 4a (3)), which means that the hydrogen atoms were almost all from ethanol in the EtOH reaction system. In contrast, we continued this hydrogenation reaction under 1 MPa H<sub>2</sub> in ethanol-d<sub>1</sub> solvent (Scheme 4a (4)). The desired product was isolated with 52% deuterium atoms at the C<sub>2</sub>-position and some of the deuterium atoms were present in the C<sub>3</sub>-position (20% and 32%, respectively). These results showed that the hydrogen source in this transformation was derived from hydrogen gas in toluene and dioxane systems, whereas it

was predominantly derived from ethanol in the EtOH system. This suggested that the Ir-catalyzed asymmetric hydrogenation of quinoxalines may follow distinct reaction pathways.

Subsequently, control experiments with various N-heteroaromatic compounds were conducted. As shown in Scheme 4b, re-subjection of the intermediate **5a** under the standard reaction conditions in both solvent systems led to the desired product **6a** with 90% ee and 87% ee, respectively. This suggested that the hydrogenation could be a stepwise process, involving two sequential 3,4- and 1,2-hydrogenation processes. The substrate **5b**, without N<sub>4</sub>-heteroatoms, also displayed high reactivities and good enantioselectivities in both solvent systems. This result indicated that the Ir-H complexes could directly recognize the unsaturated C=N bond in the substrate without the aid of the N<sub>4</sub>-heteroatoms in the second hydrogenation step. Moreover, it was observed that **5c** failed to undergo the anticipated hydrogenation. This lack of reactivity could be attributed to its stronger aromaticity, highlighting the significance of N<sub>4</sub>-heteroatoms during the first hydrogenation step. As we expected, substrates **5d** and **5e** afforded the products in excellent conversion, but the enantioselectivity of **6e** was not ideal. This observation suggests that the benzene substituent or the appropriate alkyl substituents may be essential in the enantio-determining step. The challenging disubstituent-substrate 2,3-diphenylquinoxaline (**5f**) could not be hydrogenated in this system, which may be attributed to its stronger steric hindrance or aromaticity.



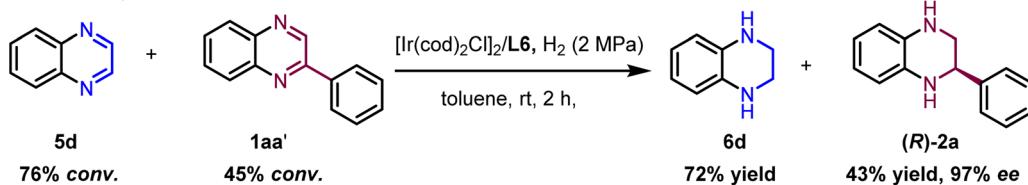
**Scheme 4** (a) Deuterium-labeling experiments of **1aa'**; (b) control experiments of various N-heteroaromatic compounds. Conditions A; substrate/[Ir(cod)Cl<sub>2</sub>]/L6 = 100/0.5/1 in 2 mL toluene/dioxane = 4 : 1, room temperature, 18 h and 2 MPa H<sub>2</sub>. Conditions B; substrate/[Ir(cod)Cl<sub>2</sub>]/L6 = 100/0.5/1 in 2 mL EtOH, room temperature, 18 h and 2 MPa H<sub>2</sub>. Yields were all isolated yields. The ee values were determined by HPLC.



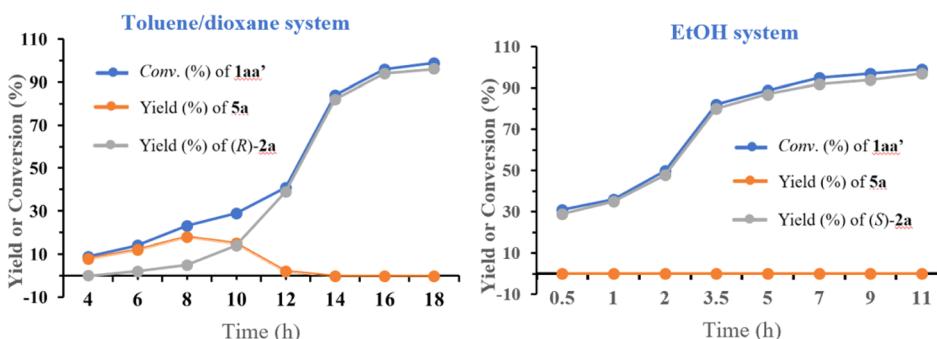
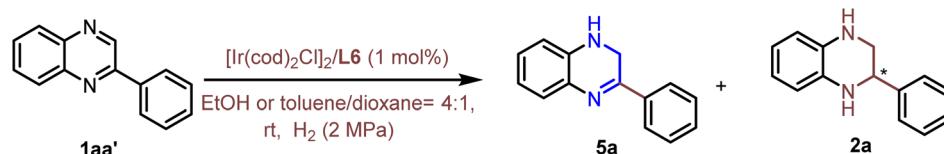
To gather further evidence for identifying the key intermediates in the asymmetric hydrogenation process, we compared the reactivities of C=N bonds in different quinoxalines (Scheme 5A). An equimolar solution of **5d** and **1aa'** was treated under the standard hydrogenation conditions in toluene solvent. The result suggested that **5d** rapidly converted to **6d** with 76% conversion in 2 h, while only 45% conversion was observed for **1aa'**. These findings further support the hypothesis that the non-substituted C=N bond is more readily reduced, suggesting that **5a** can be the key intermediate in the asymmetric hydrogenation of 2-phenylquinoxaline. To investigate the kinetic profile of both enantiomers, the asymmetric hydrogenation of **1aa'** was monitored in both toluene/dioxane and EtOH solvent systems (Scheme 5B). In the toluene/

dioxane system, a gradual increase of the conversion rate was observed, along with the slow formation of product **(R)-2a** in 10 h. The intermediate **5a** reached a maximum yield of 18% after 8 h, after which a rapid second hydrogenation process was observed. These results supported a stepwise hydrogenation process, with selective hydrogenation of the non-substituted C=N bond occurring first. In contrast, the conversion rate of **1aa'** in the EtOH system was faster compared to that in the toluene/dioxane system, achieving full conversion within 11 h. Surprisingly, the formation of **5a** was scarcely observed during the reaction, likely due to its high reactivity towards hydrogenation in the EtOH system. Consequently, these results indicated that the ethanol solvent was able to activate the substrate, thereby accelerating the hydrogenation process.

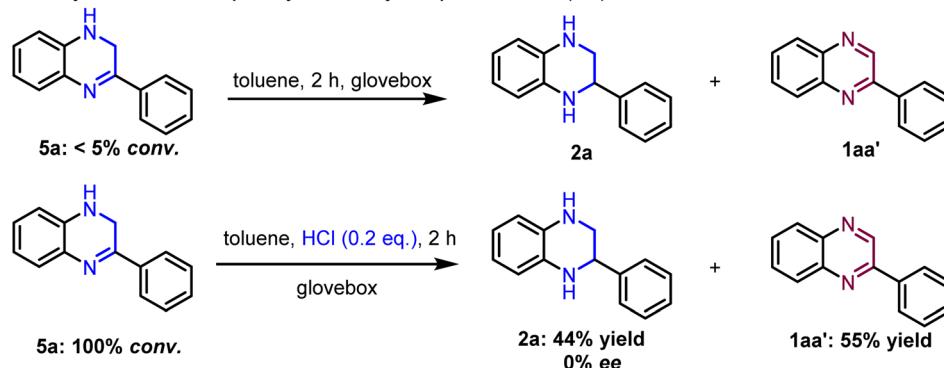
#### A. Reactivity studies on different C=N bonds



#### B. Kinetic studies of asymmetric hydrogenation of **1aa'**



#### C. Stability studies on 3-phenyl-1,2-dihydroquinoxaline (**5a**)



Scheme 5 Mechanistic studies of the asymmetric hydrogenation reaction process.

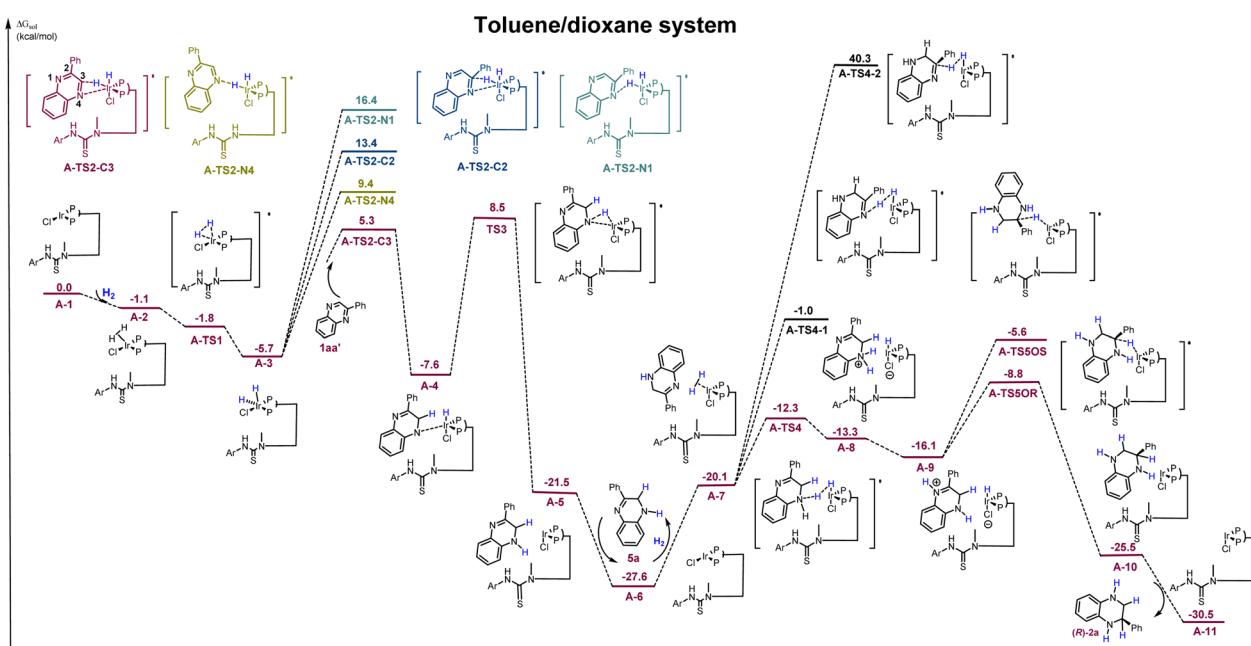


To explore whether the intermediate **5a** is prone to disproportionation,<sup>20</sup> we dissolved the intermediate **5a** in toluene and placed it in a glove box for 2 h. The same procedure was applied to another sample, but with the addition of 0.2 equivalents of HCl (Scheme 5C). The above control experiments revealed that intermediate **5a** remained almost unreacted in the absence of HCl. However, it underwent a full conversion with the addition of a catalytic amount of HCl, generating racemates **2a** and **1aa'**. This was consistent with the findings during optimization that the presence of HCl led to a negative effect on the enantioselectivity (see Table 1, entries 1–3).

Density functional theory (DFT) calculations were conducted to explore the mechanism of this process. From literature reports on transition-metal-catalyzed asymmetric hydrogenation, a similar reaction pathway in toluene/dioxane has been calculated (Scheme 6).<sup>45,46</sup> Initially, oxidative addition of H<sub>2</sub> to the Ir-complex **A-1** *via* a barrierless transition state **A-TS1** generates a stable Ir<sup>(III)</sup> intermediate **A-3** (−5.7 kcal mol<sup>−1</sup>). Based on the finding that the N<sub>4</sub> heteroatom is critical in the first hydrogenation step (Scheme 4b) and combining the different reactivities of the two C=N bonds, four potential sites for hydrogen transfer—N<sub>1</sub>, C<sub>2</sub>, C<sub>3</sub>, and N<sub>4</sub>—are identified, where the substrate coordinates with iridium *via* the N<sub>4</sub>-Ir bond. Computational results suggest that the hydrogen transferred to the C<sub>3</sub>-position *via* **A-TS2-C3** is the most favorable, with the lowest energy barrier of 11.0 kcal mol<sup>−1</sup>. It is much lower than that of other competing transition states (**A-TS2-N4**, **A-TS2-C2**, and **A-TS2-N1**). The generated intermediate **A-4** then undergoes a second hydrogen transfer to the N<sub>4</sub>-position through transition state **A-TS3**, with an activation free energy of 16.1 kcal mol<sup>−1</sup>, which results in the formation of intermediate **A-5**. Next, the semireduction intermediate **5a** can be obtained through

rapid dissociation from complex **A-5**. This is in good agreement with the control experiments and kinetic studies shown in Schemes 4b and 5B. Meanwhile, another H<sub>2</sub> molecule could coordinate with complex **A-6** to initiate the second hydrogenation. The hydrogen transfer from **A-7** involves three competing pathways. The most favored pathway occurs through transition state **A-TS4**, with hydrogen transferring to the N<sub>4</sub>-position. It has an activation free energy of 15.3 kcal mol<sup>−1</sup>, significantly lower than that of transition states **A-TS4-1** and **A-TS4-2** (−26.6 kcal mol<sup>−1</sup> and 67.9 kcal mol<sup>−1</sup>, respectively). The intermediate **A-9** is obtained through a proton transfer between N<sub>1</sub> and N<sub>4</sub> positions. According to the result of the control experiment, the Ir-H complexes could directly recognize the unsaturated C=N bond without the aid of the N<sub>4</sub>-heteroatoms in the second hydrogenation step, and the transition state **A-TS5OR** or **A-TS5OS** was proposed. The second hydrogen transfer to the C<sub>2</sub>-position from the Re or Si face *via* the transition state **A-TS5OR** or **A-TS5OS** is the enantio-determining step. The favored transition state **A-TS5OR** yields the final *R*-products with an energy barrier of 18.8 kcal mol<sup>−1</sup>, which is significantly lower than that of the *S*-transition state (22.0 kcal mol<sup>−1</sup>). Furthermore, the inner-sphere pathway with the substrate coordination to iridium is calculated (see Scheme S2† for more details), indicating that the energy barrier of the rate-determining step is 30.2 kcal mol<sup>−1</sup>, which is much higher than that of this pathway (18.8 kcal mol<sup>−1</sup>).

It is widely acknowledged that solvent can affect the rate, mechanism, and stereochemistry of the reactions.<sup>47</sup> However, comprehensively illustrating the role of solvents remains challenging.<sup>48,49</sup> Although Zhang and colleagues have reported the enantiodivergent synthesis of chiral tetrahydroquinoline derivatives using a solvent-dependent enantioselective system, the



Scheme 6 DFT calculated energy potential surface for the proposed pathway in toluene/dioxane systems. (Ar = 3,5-di-CF<sub>3</sub>Ph; the phenyl groups on the phosphorus atoms are omitted for clarity; the relative Gibbs energies are labelled in kcal mol<sup>−1</sup>).



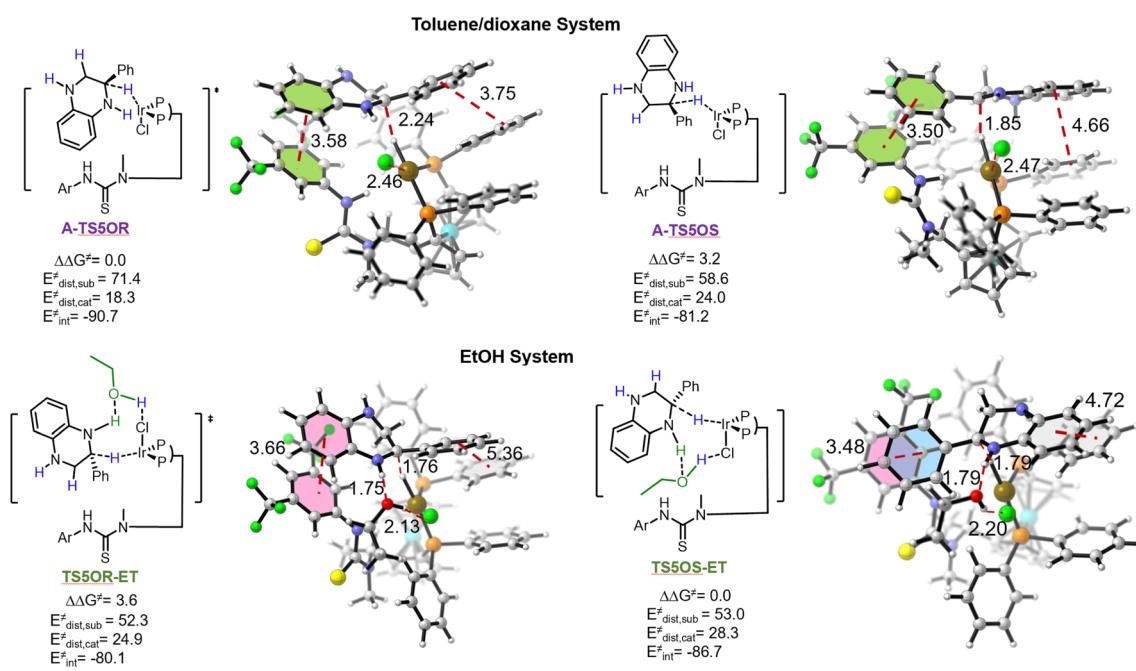


Fig. 1 Distortion–interaction analysis method in the enantio-determining step for the single-point energy calculations; the relative Gibbs energies are labeled in  $\text{kcal mol}^{-1}$ .

specific role of the solvent was not thoroughly elucidated.<sup>32</sup> The results of optimization of reaction conditions and kinetic studies indicated that ethanol molecules could participate in the enantiocontrol step to realize reversed enantioselectivity, while activating the substrate in our catalytic system. These suggested that the ethanol molecule functions as a hydrogen-bond donor, which can activate the quinoxaline ring by the formation of a hydrogen bond. Hence, in order to gain insight into this interesting reversed configuration phenomenon, the transition states **TS5OR-ET** and **TS5OS-ET** were proposed to be the enantio-determining step in the EtOH system (Fig. 1). To our delight, the calculation suggests that the energy barrier for the formation of the final *S*-products *via* **TS5OS-ET** is 3.6 kcal  $\text{mol}^{-1}$  lower than that for the *R*-product, which is consistent with our experiment. Furthermore, distortion/interaction analysis was conducted for the transition states **A-TS5OR**, **A-TS5OS**, **TS5OR-ET** and **TS5OS-ET** (Fig. 1).<sup>50–52</sup> The complex is divided into 2-phenylquinoxaline **1aa'** and catalyst fragments. The analysis suggests that the interaction energy between the catalyst and substrate controls the enantio-selectivity. The interaction energy of **A-TS5OR** is 9.5 kcal  $\text{mol}^{-1}$  higher than that of **A-TS5OS**, which can be attributed to the favorable  $\pi$ – $\pi$  interaction between the substrate and ligand (the distance between two benzene rings in **A-TS5OR** and **A-TS5OS** is 3.75 Å and 4.66 Å, respectively). This is the origin of the enantioselectivity in the toluene/dioxane system. In contrast, the interaction energy of **TS5OS-ET** is 6.6 kcal  $\text{mol}^{-1}$  higher than that of **TS5OR-ET** in the EtOH system, owing to the more favorable face-to-face interactions. Stronger interactions between the benzene ring on the substrate and the trifluoromethyl-substituted benzene ring on

the ligand (distance of 3.48 Å), as well as between the benzene ring on the substrate and benzene ring on the ligand (distance of 4.72 Å), are found in **TS5OS-ET**. In addition, hydrogen bonding interactions between the substrate and ethanol in **TS5OS-ET** contribute to the lower energy barrier, as indicated by the O···H distance of 1.79 Å and Cl···H distance of 2.20 Å. Taken together,  $\pi$ – $\pi$  interactions and hydrogen bonding interactions make **TS5OS-ET** significantly more stable than **TS5OR-ET**, which accounts for the origin of the enantioselectivity in the EtOH system. Especially, the 2-phenyl substituent on the substrate is essential for the high enantioselectivity in the EtOH system. The absence of the 2-phenyl substituent afforded the products with poor ee values (Table 2, **2s** and **2t**).

## Conclusion

In conclusion, we have developed a novel Ir-catalyzed asymmetric hydrogenation method for the synthesis of chiral tetrahydroquinoxaline under mild and green conditions. Both enantiomers of chiral THQs could be efficiently obtained by adjusting the reaction solvent with excellent yields and enantioselectivities. Pleasingly, this methodology shows great tolerance to a broad range of substrates, including 2-substituted and 2,3-disubstituted quinoxalines. Notably, this methodology has been successfully applied under continuous flow conditions, enabling the gram-scale synthesis of enantiopure THQs in both solvent systems. Mechanistic studies, including deuterium labeling experiments, control experiments, kinetic experiments and DFT calculations, were conducted. These studies revealed that the non-covalent  $\pi$ – $\pi$  and O–H interactions between the

chiral catalyst, solvent and substrates are essential for controlling the enantioselectivity. It represents a valuable advance in solvent-controlled enantioselectivity synthesis for transition-metal-catalyzed asymmetric hydrogenation reactions.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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