# Chemical Science

## REVIEW



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access route to valuable materials via Csp<sup>2</sup>-C bond formation

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Csp<sup>2</sup>-H functionalization of phenols: an effective

In the vast majority of top-selling pharmaceutical and industrial products, phenolic structural motifs are highly prevalent. Non-functionalized simple phenols serve as building blocks in the synthesis of valueadded chemicals. It is worth mentioning that lignin, being the largest renewable biomass source of aromatic building blocks in nature, mainly consists of phenolic units, which enable the production of structurally diverse phenols. Given their remarkable applicability in the chemical value chain, many efforts have been devoted to increasing the molecular complexity of the phenolic scaffold. Among the key techniques, direct functionalization of  $Csp^2-H$  is a powerful tool, enabling the construction of new Csp<sup>2</sup>-C bonds in an economical and atomic manner. Herein we present and summarize the large plethora of direct Csp<sup>2</sup>-H functionalization methods that enables scaffold diversification of simple, unprotected phenols, leading to the formation of new Csp<sup>2</sup>-C bonds. In this review article, we intend to summarize the contributions that appeared in the literature mainly in the last 5 years dealing with the functionalization of unprotected phenols, both catalytic and non-catalytic. Our goal is to highlight the key findings and the ongoing challenges in the stimulating and growing research dedicated to the development of new protocols for the valorization of phenols.

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#### Introduction 1

Phenols are simple aromatic building-blocks widely used to synthesize value-added chemicals such as natural products (NPs), active pharmaceutical ingredients (APIs), agrochemicals, dyes, flavours, fragrance components and resins.<sup>1</sup> Phenolic compounds are also widely distributed in nature and in the human body.2 Examples are the amino acid tyrosine, the neurotransmitter serotonin, and the thyroid hormone levothyroxine. Phenols and their derivatives are highly prevalent structural motifs in the vast majority of top-selling pharmaceutical and industrial products.<sup>3</sup> FDA-approved drug products in the United States have reported 138 compounds in which phenolic structural motifs appear.<sup>4</sup>

Most of the large volumes of simple phenols are found downstream of the cumene peroxidation pathway (Hock process) via the oxidation of cumene to cumene-hydroperoxide which decomposes to form acetone and phenols, accounting for more than 10<sup>6</sup> tons per year of production of phenols,<sup>5</sup> or *via* Vilsmeier-Haack formylation followed by Dakin oxidation.6 In

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any case, cumene is obtained primarily from crude oil or from the alkylation of benzene with propene over an acid catalyst, making the Hock process the last part of a very energy-intensive and waste-producing strategy.

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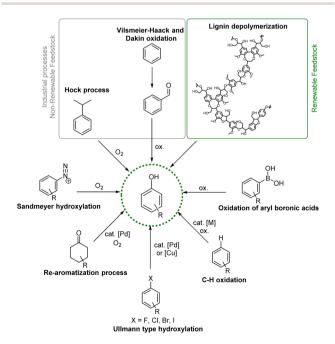


Fig. 1 Synthetic pathway for phenol production.

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Interestingly, phenolic units are the main constituents of lignin, the largest renewable biomass source of aromatic building blocks in nature.<sup>7</sup> Structurally diverse phenols, generally containing methoxy groups, are obtainable *via* depolymerization processes from this natural and renewable raw material, increasing the interest in the development of efficient synthetic strategies for the transformation of lignin-derived phenols (*i.e.* guaiacols) (Fig. 1).<sup>8,9</sup>

Given the wide range of potential applications of phenols in the chemical value chain, the development of new and useful synthetic methodologies aimed at increasing the molecular complexity of phenolic structural moieties is an interesting and useful research arena (Fig. 1). Highly functionalized phenols required for the synthesis of fine chemicals are commonly prepared via transition metal-promoted Csp<sup>2</sup>-O bond formation from a wide assortment of starting materials, such as aryl halides via Pd- or Cu-catalysed Ullmann type hydroxylation;<sup>10,11</sup> arene diazonium salts and derivatives via Sandmeyer hydroxylation;<sup>12,13</sup> and aryl boronic acids via direct oxidation or the Csp<sup>2</sup>-H activation/borylation/oxidation of arenes.<sup>14-16</sup> While these methodologies are well-known and robust, their applicability to complex molecular architecture is constrained by the generally harsh reaction conditions needed. Moreover, installing the halide or boryl group with high site-selectivity is challenging or not currently possible on complex molecules therefore requiring specific substituents or substitution patterns, making more complicated and less atom-economical the overall approach.

Siegel and Ritter independently reported a challenging strategy for the synthesis of phenols *via* direct Csp<sup>2</sup>–H oxidation of arenes.<sup>17–19</sup> Furthermore, phenols can also be synthesized from non-aromatic precursors such as cyclohexanone *via* an oxidative aromatization strategy.<sup>20</sup>

Compared to the use of aryl halides, boron compounds, or arene diazonium salts, starting from simple unprotected phenols is highly advantageous considering their lower price and their origin from more sustainable and renewable aromatic feedstocks.

Among the key techniques for obtaining highly functionalized phenols, direct  $Csp^2$ -H functionalization is certainly one of the most interesting and powerful as it enables the efficient construction of novel  $Csp^2$ -C bonds, in a step- and atomeconomical manner. This method is even more interesting if applied to simple unfunctionalized phenols, offering the advantage of preserving the phenolic functional group and avoiding additional protection/deprotection/derivatization steps. However, the control of chemo- and regioselectivity of phenol C-H functionalization is still a challenge for three main reasons:

(1) The reactivity can be determined by the nucleophilicity of the OH– functionality instead of Csp<sup>2</sup>–H, favouring the functionalization of the hydroxy group, *via* substitution or cross-coupling reactions.<sup>21,22</sup>

(2) The site difference in the nucleophilic reactivity among the *ortho*- and *para*-positions of phenol leads to the formation of a mixture of two products when reacting with various electrophiles.<sup>23-26</sup> Generally, a slight preference is observed for

the substitution at the *ortho*-position thanks to the capability of the hydroxy group to participate in the formation of hydrogen bonds and/or metal complexes.

(3) Phenols are sensitive to oxidants: they can undergo oxidative decomposition. $^{27}$ 

For these reasons, it's often necessary to employ directing groups (DGs) and generally, the installation of acyl, silyl, and pyridyl groups on the hydroxy functionality is an efficient method to realize highly *ortho*-selective Csp<sup>2</sup>–H bond functionalizations.<sup>28,29</sup> However the need for additional steps to both install and remove a DG limits the practical application of this approach, undermining the atom- and step-economy of the overall strategy. For these reasons, it is desirable to develop methodologies relying on the use of free phenols exploiting the OH- group as DG itself.<sup>30,31</sup>

In this review article, we present and summarize the wide plethora of methodologies reported in the period 2019–2023 on the Csp<sup>2</sup>–H functionalization of simple unprotected OH-phenols that allow the direct diversification of this valuable molecular scaffold with the formation of novel Csp<sup>2</sup>–C. We leave the reader to refer to the previous excellent report published by Lumb *et al.*<sup>32</sup>

In this review, each section has been organized according to the type of Csp<sup>2</sup>–C bonds formed during the transformation. Accordingly, we anticipate that in the timeframe selected, all the contributions available in the literature mostly deal with the following reactions: alkylation, aminomethylation, allylic alkylation, alkenylation, arylation, carboxylation, and spiroannulation (Fig. 2). The alkynylation reaction does not appear in the list as in recent years this reaction has not been used for simple functionalization of phenols but for the one-pot synthesis of O-heterocyclic compounds. Similarly, alkenylation protocols utilized for one-pot approaches towards heterocycles have not been included in the present review.

Among all the methodologies reported in the literature, a central role is certainly played by Csp<sup>2</sup>–H functionalization catalysed using transition-metals, acids/bases, and light. Also several examples deal with non-catalytic protocols utilizing acid/base, metal-free, photo-promoted and electro-assisted protocols.

Synthetic methodologies starting from derivatized phenols such as aryl halides, arene diazonium salts, benzyne

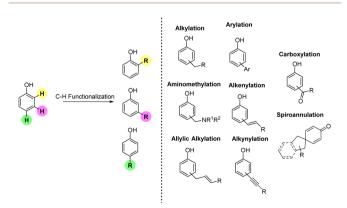


Fig. 2 The potential of Csp<sup>2</sup>–H functionalization of phenols.

intermediates, cyclohexanone, and aryl boronic compounds to obtain highly functionalized phenols have not been considered in this review.

#### 1.1. Alkylation

Alkylated phenol is an important industrial target, as many polymers and polymer additives are based on this simple molecular scaffold. Furthermore, the alkylated phenolic structural motif is commonly found in molecules endowed with several intriguing properties such as the active pharmaceutical ingredients (APIs) propofol, olodaterol, cannabidiol and mesoprocol; agrochemicals such as dinoterb, dinoseb and DNOC; and the plethora of catecholamines such as dopamine, tyrosine, epinephrine, noradrenaline and levodopa (Fig. 3).<sup>4</sup>

The alkylation of phenolic compounds is mainly referred to as Friedel–Crafts electrophilic substitution.<sup>33,34</sup> Plenty of examples that describe this transformation are reported using several electrophiles (*e.g.* alkenes, ketones, acetals, unsaturated aldehydes, imines,  $\alpha$ -aryl- $\alpha$ -diazoesters, *N*-tosylaldimines, *etc.*) with different catalysts (mostly Lewis acids).<sup>35–37</sup> This strategy, however, yields a mixture of *ortho-* and *para*-substituted compounds and it often leads to over-alkylated products.

To better promote the regioselectivity, a DG on the phenolic hydroxy group is often installed, at the expense of atom- and step-economy.<sup>38-41</sup> For this reason, the alkylation of the OH– group followed by a Claisen rearrangement has been considered as an alternative to the direct electrophilic substitution.<sup>42,43</sup> Another variant to synthesize alkylated phenols is oxidative coupling reactions *via* the single-electron-transfer (SET) mechanism.<sup>44,45</sup>

Nowadays, transition-metal-catalysed Csp<sup>2</sup>–H alkylation reactions are gaining a great deal of attention and several researchers have contributed in this direction.<sup>46,47</sup>

In this section, we describe the most recent strategies for obtaining alkylated phenolic compounds, classifying them into catalysed or non-catalysed protocols. Regarding catalysed protocols, we have organized them into three different classes depending on the activation species: transition metals, acids/ bases, and light.

#### 1.1.1. Catalytic Csp<sup>2</sup>-H alkylation

1.1.1.1 Metal catalysis. The use of a transition-metal catalyst to promote Csp<sup>2</sup>–H alkylation is a generally efficient and chemo-selective approach for the late-stage functionalization of organic molecules.<sup>48</sup> Representatively, in 2012 Yi *et al.*<sup>49</sup> reported the use of a Ru-based catalyst to access alkylated and alkenylated phenols. They also accessed oxacyclic derivatives *via* dehydrogenative coupling. Moreover, in 2009, the Mo/*o*-chloranil catalyst system was used by Yamamoto and Itonaga to access chromans.<sup>50</sup> Takai *et al.* developed a regioselective Recatalysed alkylation using terminal alkenes as the alkylating agents, that proceeded with the sole formation of the branched product.<sup>51–53</sup>

A relevant procedure for the alkylation of phenols using Pdcatalysis was reported by Li, Zeng and coworkers in 2021. They investigated a process in which a primary alcohol is the alkylating agent and Pd/C is the heterogeneous catalyst. The protocol features a large substrate scope with various phenols and primary alcohols. In general, an electron-withdrawing group (EWG) on the phenol, leads to poor or no conversion, while the presence of an electron-donating group (EDG) allows the corresponding alkylated phenols in high yields. The use of primary linear alcohols gave the highest yields. This process is highly chemo-selective: in a competitive experiment using a substrate with both a primary and a secondary hydroxyl functionality, only the primary hydroxyl group reacts. The mechanism of this process is peculiar since it leads to an excellent selectivity towards the ortho-alkylated product. Validated by mechanistic studies, a de-aromatization/rearomatization pathway has been proposed. They assumed that the primary alcohol is converted to the corresponding aldehyde thanks to the action of the Pd-catalyst while the originated HPd(II)H species can selectively hydrogenate the phenol derivative to the corresponding cyclohexanone. At this stage, the role of <sup>t</sup>BuOLi is to catalyse the aldol-type reaction followed by

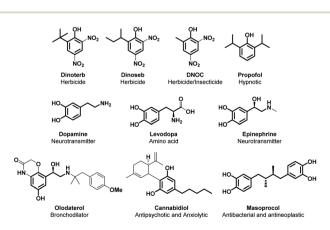
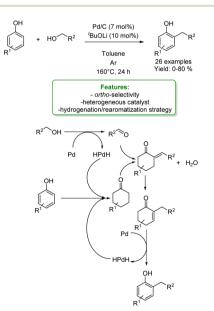
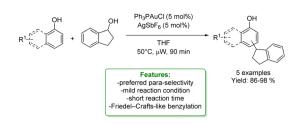


Fig. 3 Alkylated phenol structural moieties in API and agrochemical compounds.



Scheme 1 Pd-catalysed alkylation of phenols with alcohols.54

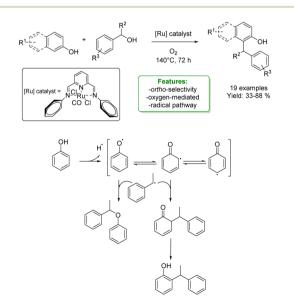


Scheme 2 Au-catalysed alkylation of phenols with benzylic alcohols.55

dehydration that produces the  $\alpha,\beta$ -unsaturated ketone. The latter undergoes oxidative aromatization to produce the alkylated phenol while regenerating HPd(II)H (Scheme 1).54

R. G. Iafe et al. developed a gold-catalyzed Friedel-Crafts-like benzylation of non-activated benzylic alcohols, resulting in the production of 1,1-diarylalkanes up to excellent yields (98%) under mild reaction conditions. Isolated yields using nonsubstituted phenol indicated a preference for the para-position. Additionally, reactions with disubstituted phenols favoured the ortho-position of the more electron-releasing substituents (Scheme 2).55

A. Kumar et al. developed an ortho-alkylation of phenols catalyzed by a pincer-ruthenium complex. The reaction of 1phenyl ethanol with phenol, in the presence of molecular oxygen, leads to both cross-etherification and ortho-alkylation. The corresponding reactions of either  $\beta$ -naphthol with 1-phenyl ethanol derivatives or phenol with diphenylmethanol were selective toward the formation of ortho-alkylated products. The reaction involves a radical pathway with the formation of a hydroxyl radical from 1-phenyl ethanol, followed by the formation of the secondary radical. Evidence for the formation of the secondary radical was obtained by trapping it with radicals generated from phenols, exploiting their selective orthoalkylation. In this context, resonance-stabilized phenoxy radicals show significant potential as coupling counterparts, potentially leading to the creation of cross-etherification products (Scheme 3).56

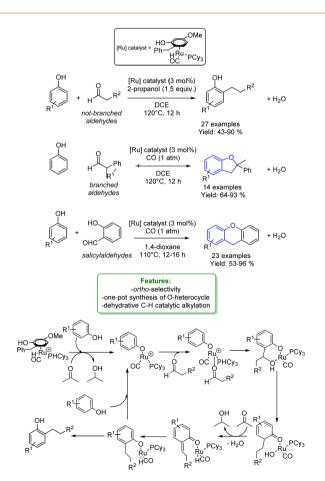


Scheme 3 Ru-catalysed alkylation of phenols with benzylic alcohols.<sup>56</sup>

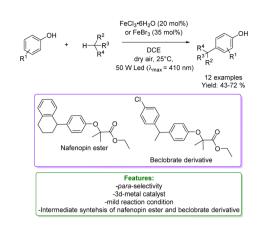
Yi, Baik *et al.* reported a cationic Ru hydride complex  $[(C_6H_6) (PCv_3)(CO)RuH^{\dagger}BF_4^{-}$  to perform a dehydrative C-H coupling between phenols and aldehydes. Changing the type of aldehyde used, different phenol-derived products were obtained. Orthoalkylated phenols were synthesized with non-branched alkylating agents, in conjunction with an H-source (2-propanol), resulting in isolated yields of up to 90%.

The utilization of  $\alpha$ -substituted aldehydes, in combination with CO addition, led to the synthesis of benzofurans. Additionally, the coupling of phenols with salicylaldehyde and the use of 1,4-dioxane as the solvent facilitated the formation of xanthanes. By combining experimental studies (deuterium labelling study, Hammett study, deuterium and carbon kinetic isotope effects - KIE, and spectroscopic detection of catalytically relevant species) with DFT computational results, a mechanism was elucidated.

After an arene exchange between the Ru-catalyst and the phenol, the Ru-phenoxo complex is formed, followed by the subsequent substitution of the aldehyde. The C-C bond formation occurs in a two-step process: the first step involves an electrophilic addition of the aldehyde to the ortho-arene carbon of the coordinated phenoxo ligand. The second step promotes a stepwise proton and hydride transfer with the phenoxydienone tautomerization, resulting in the formation of the hydroxy-coordinated Ru-aryloxo species (Scheme 4).57



Scheme 4 Ru-catalysed alkylation of phenols with aldehydes.<sup>57</sup>

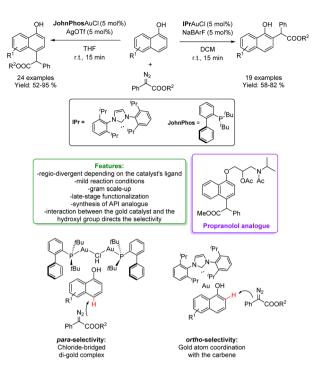


Scheme 5 Fe-mediated visible light coupling of phenols with aliphatic hydrocarbons.<sup>58</sup>

L. Gong *et al.* developed a strategy utilizing iron(m) halides under visible light conditions for the direct and selective coupling of phenols with benzylic and allylic derivatives. The reaction was conducted under mild conditions with air serving as the oxidant, and it proved to be effective for a diverse array of substituents. A wide range of cross-coupling products with high yields and notable chemo- and site-selectivity could be prepared. The authors reported the synthesis of various bioactive molecules; notably, nafenopin, a potent hypolipidemic drug, and a beclorbrate derivative were synthesized starting from phenol. Mechanistic studies revealed the multifunctional role of the iron halide, which responds to visible light, initiates C-centered radicals, induces single-electron oxidation to carbocations, and engages in a subsequent Friedel–Crafts-type process (Scheme 5).<sup>58</sup>

Diazo-compounds have emerged as effective phenolic alkylating agents through transition-metal catalysed carbene transfer reactions. They have become a powerful synthetic tool for accessing regio- and chemo-selective aromatic compounds *via* Csp<sup>2</sup>–H bond functionalization, ensuring step- and atomeconomy.<sup>59,60</sup>

In 2014, Shi and Zhang independently developed a siteselective para-C-H bond functionalization of phenols with aaryl-a-diazoesters via Au-catalysis.61,62 In a subsequent contribution in 2016, Zhang and coworkers identified the conditions to change the regio-selectivity for obtaining a highly selective ortho-Csp<sup>2</sup>-H process catalysed by  $(C_6F_5)_3B$ .<sup>36</sup> In 2019, the same group developed a new alkylation of naphthol with α-aryl-αdiazoesters to access bioactive molecules, and ligands via a regio-divergent process depending on the Au-catalyst's ligands. Using the JohnPhos ligand along with AgOTf creates crowded chloride-bridged di-gold complexes. This inhibits the coordination of the directing group with the metal, preventing the intermolecular attacks of the carbene and improving the preference for para-positions. On the other hand, to obtain the ortho-alkylated product, a carbene ligand that promotes coordination between the hydroxyl group and the metal is employed (NHC-gold complex). The method exhibits a wide substrate scope, both for EWG and EDG substituted naphthols (an overall



Scheme 6 Regio-divergent alkylation of phenols and naphthol with  $\alpha$ -aryl- $\alpha$ -diazoesters catalysed by a Au-catalyst.<sup>63</sup>

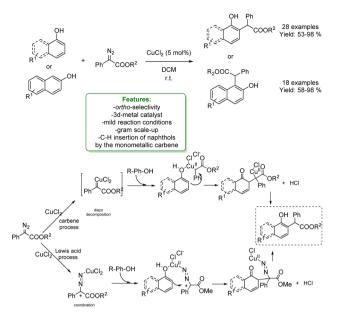
of 43 examples with 52–95% yields) and propranolol analogues among them. Finally, late-stage functionalization of the alkylated product has been conducted (Scheme 6).<sup>63</sup>

In 2020, Zhang and Liu reported a Cu-catalysed reaction between naphthols and  $\alpha$ -aryl- $\alpha$ -diazoesters, under very mild reaction conditions. To elucidate the transformation, two distinct mechanisms have been proposed: one involving a Cucarbene process and the other one a Lewis acid (CuCl<sub>2</sub>) mediated pathway. The former mechanism is based on the diazo decomposition to form the Cu-carbene, which subsequently undergoes electrophilic addition at the *ortho*-position of the phenol. On the other hand, when CuCl<sub>2</sub> acts as a Lewis acid, a carbocation is generated from the  $\alpha$ -aryl- $\alpha$ -diazoesters. This carbocation then coordinates with the phenol's hydroxyl group, facilitating the electrophilic addition.<sup>64</sup>

In 2023, to clarify the reaction mechanism, the authors reported a study combining DFT calculations and experiments. They revealed that the *ortho*-selective products are obtained from the C–H insertion of naphthols using monometallic carbenes. It is proposed that the H-bond interactions between the Cu carbenes and the substrates play an essential role in stabilizing the site-selectivity-determining TSs in all cases, resulting in a lower energy barrier, and generating the experimentally observed *ortho*-selective products (Scheme 7).<sup>65</sup>

In 2021 the same authors reported the *ortho*-alkylation of 1naphthol with different  $\alpha$ -aryl- $\alpha$ -diazoesters catalysed by an Fecatalyst. A porphyrin ring has been employed as the Fe-ligand to enhance the control over regioselectivity. A broad array of *ortho*alkylated 1-naphthols, featuring both the EDG and EWG on the diazo compound, were synthesized in moderate to good yields ranging from 43% to 78%. The authors proposed a Lewis acid





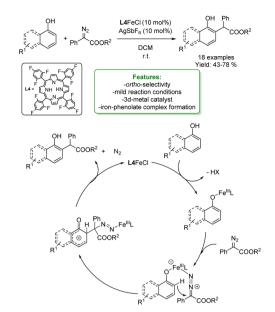
Scheme 7 Ortho-alkylation of phenols, 1-naphthols and 2-naphthols with  $\alpha$ -aryl- $\alpha$ -diazoesters catalysed by a Cu-catalyst.<sup>64</sup>

pathway, although the Fe-carbene pathway could not be ruled out completely.

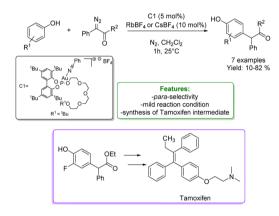
The proposed mechanism starts from the coordination between the Fe-porphyrin and the phenolic OH– which leads to the formation of Fe-phenolate. Fe-species can coordinate with the diazo-compound through the nitrogen atom acting as a Lewis acid. Then, the electrophilic substitution at the *ortho*position occurs. In the end, the final product is obtained by tandem aromatization, denitrogenation and protonation (Scheme 8).<sup>66</sup>

Another interesting example of alkylation of phenols with diazo compounds is reported by A. Vidal-Ferran *et al.* They developed a phosphite-based Au(i)catalytic system selective for the *para*-alkylation, avoiding the O–H functionalization. The catalytic performance and selectivity of the supramolecularly regulated catalysts have been rationalised using DFT calculations. Regulation of the steric congestion around the catalytic Au(i) centre *via* ion–dipole interactions with an external regulation agent (*i.e.*, CsBF<sub>4</sub> and RbBF<sub>4</sub>) led to an enhancement of both the activity and selectivity of the reaction favouring the insertion of the carbene into the *para*-Csp<sup>2</sup>–H bond. This new approach enabled the derivatisation of an array of substituted phenols and naphthols, and the preparation of an advanced synthetic intermediate of the anticancer agent tamoxifen under mild reaction conditions and short reaction time (Scheme 9).<sup>67</sup>

M. C. Kozlowski reported a  $Cu(OTf)_2$  catalysed oxidative arylation of a tertiary carbon-containing substrate. The optimized reaction conditions were applicable for a wide range of arenes, including phenols obtaining moderate to good isolated yield. The aryl malononitriles regioselectively coupled at the *para*-position of the phenols. When the *para*-position of the phenol was blocked, coupling occurred at the *ortho*-position and was accompanied by tandem coupling/cyclization to form



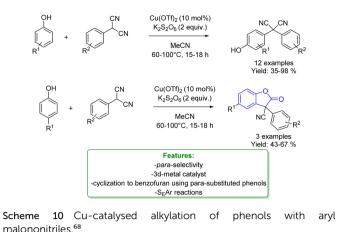
Scheme 8 Ortho-alkylation of 1-naphthol and phenols with  $\alpha$ -aryl- $\alpha$ -diazoesters catalysed by an Fe-catalyst.<sup>66</sup>



Scheme 9 Phosphite-based Au(i)catalytic systems applied in the paraalkylation of phenols with diazo compounds.<sup>67</sup>

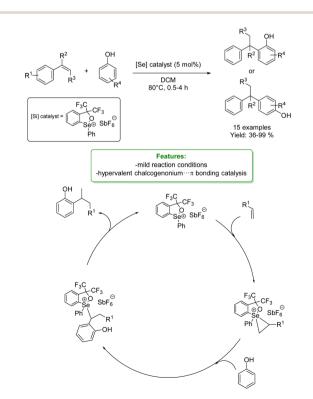
benzofuran-2-one derivatives. Mechanistic studies support the formation of a cationic intermediate *via* a two-electron oxidation (Scheme 10).<sup>68</sup>

Z. Ke *et al.* presented the concept of hypervalent chalcogenonium $\cdots \pi$  bonding catalysis utilizing 1,2-oxaselenolium salts. The catalyst was employed in the intermolecular hydrofunctionalization of styrenes and phenols. In reactions involving *p*-cresol as the substrate, both electron-rich and electron-deficient styrenes exhibited notable reactivity, yielding their respective products with favorable yields. Electron-donating substituents on phenols facilitated successful reactions with styrene. With electron-deficient substituents the reaction did not work, indicative of a catalytic effect rooted in the Lewis acidity. Notably, 2-naphthols yielded an *ortho*-selective product, and 2,4-disubstituted phenols proved to be excellent substrates, furnishing the desired products smoothly.

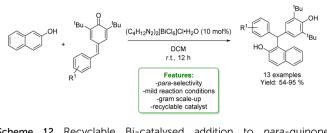


To elucidate the mechanism of the selenium ion's activation of the C=C double bond in styrene and the cationic chalcogenonium… $\pi$  bonding interaction mode involving the selenium ion, density functional theory (DFT) calculations were performed. Then, the authors proposed a mechanistic pathway for the formation of the final products. The trisubstituted selenonium catalyst reacts with the olefin to form the seleniranium ion-like intermediate. This intermediate is subject to attack by the OH-, leading to the formation of an intermediate that readily releases a proton. Subsequent proton transfer events culminate in the production of the final product and the restoration of the selenonium species (Scheme 11).<sup>69</sup>

H. Lu *et al.* reported a recyclable bismuth complex-catalysed addition of various nucleophiles, including naphthols, to *para*-



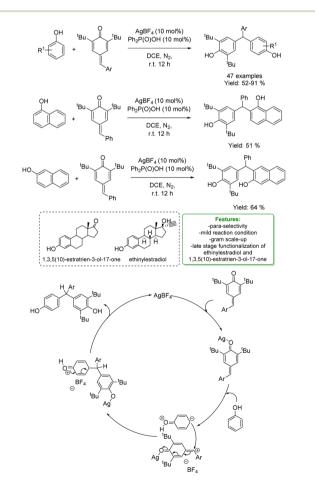
Scheme 11 Se-catalysed alkylation of phenols with styrenes.<sup>69</sup>



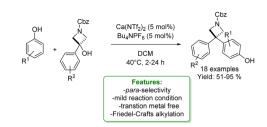
Scheme 12 Recyclable Bi-catalysed addition to *para*-quinone methides.<sup>70</sup>

quinone methides. The bismuth complex was efficiently recycled multiple times. The catalyst was applied in the reaction of *p*-QMs bearing both electron-donating and electron-deficient aromatic moieties with naphthalen-2-ol, yielding triarylmethane derivatives in good to excellent yields (up to 98%). To demonstrate the robustness of the protocol, a gram-scale reaction was performed (Scheme 12).<sup>70</sup>

Regarding the previous example of reactivity, more recently, W.-Y. Wong *et al.* developed a silver-catalysed regioselective 1,6-hydroarylation of *para*-quinone methides (*p*-QMs) with phenols and naphthols. The mild reaction conditions were applied to a broad range of phenols and *para*-quinone methides, yielding the corresponding 1,6-hydroarylation



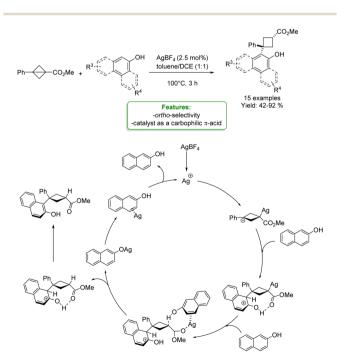
Scheme 13 Ag-catalysed *para*-selective 1,6-hydroarylation of *para*-quinone methides with phenols and naphthols.<sup>71</sup>



Scheme 14 Calcium catalysed synthesis of 3,3-diarylazetidines with azetidinols.<sup>72</sup>

products with moderate to good yields. The reaction demonstrated good regioselectivity at the *para*-position of the phenols. A large-scale reaction with phenol was performed under the optimized conditions. Furthermore, the optimized protocols were applied in the late-stage modification of ethinylestradiol and 1,3,5(10)-estratrien-3-ol-17-one. The authors proposed a plausible mechanism in which AgBF<sub>4</sub> initially attacks the carbonyl group of *p*-QMs. In the presence of a nucleophile, the previously formed intermediate could act as an electrophile, and the target product is generated through hydrogen-atom transfer and intramolecular aromatization processes. The silver source is then regenerated and reused in the next catalytic cycle (Scheme 13).<sup>71</sup>

J. A. Bull *et al.* reported the synthesis of 3,3-diarylazetidines by calcium(n)-catalysed Friedel–Crafts alkylation with readily available azetidinols. The reaction condition was compatible with a wide range of phenols. A mixture of *para-* and *ortho*substituted products was afforded. The reaction using *o*-cresol as a nucleophile could be scaled to 4.0 mmol preserving a high yield (Scheme 14).<sup>72</sup>



Scheme 15 Ag-catalyzed cyclobutylation of phenols and naphthols with bicyclo[1.1.0]butanes.<sup>72</sup>

J.-J. Feng *et al.* reported a silver-catalyzed cyclobutylation of phenols and naphthols with bicyclo[1.1.0]butanes, yielding the desired product in moderate to excellent yields. Mechanistic experiments and DFT calculations were performed to gain insights into the reaction mechanism, revealing that the silver catalyst functions as a carbophilic  $\pi$ -acid rather than an oxygenophilic Lewis acid (Scheme 15).<sup>73</sup>

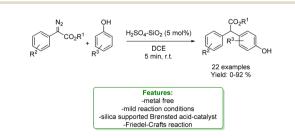
1.1.1.2 Acid/base catalysis. Electrophilic aromatic substitution (Friedel–Crafts alkylation) is the traditional method used to access alkylated phenols using Lewis acids as catalysts.<sup>74</sup> However, this method suffers from different drawbacks: beyond the low chemo- and/or regioselectivities described before, it needs harsh reaction conditions. Great efforts have been made to overcome these issues and recently some elegant hydroarylation protocols have been developed expressing excellent Markovnikov or anti-Markovnikov regioselectivity towards the alkene or the alkyne and large functional groups tolerance.<sup>75,76</sup>

As highlighted in the preceding section, diazo-compounds have emerged as versatile alkylating agents. Here, we provide a series of examples related to the use of diazo-compounds with acid or base catalysts instead of transition metal catalysts.

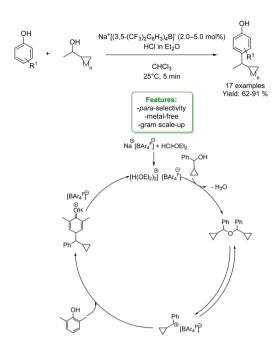
A. C. B. Burtoloso *et al.* reported a Friedel–Crafts alkylation of phenols, as nucleophile species, with diazocarbonyl with silicasupported Brønsted acid,  $H_2SO_4$ –SiO<sub>2</sub> as a catalyst under mild reaction conditions and short reaction time. The developed protocols enabled to afford a wide range of products in good to excellent yield. The authors provided a plausible reaction mechanism in which the first step is likely to be protonation of diazo ester, followed by N<sub>2</sub> expulsion leading to a quinone type intermediate. This intermediate then undergoes the Friedel–Crafts-type reaction with a phenolic-based nucleophile. The reaction could be driven by the re-aromatization of the aryl ring system. Control experiments were conducted to clarify the mechanism (Scheme 16).<sup>77</sup>

An interesting example of phenol alkylation with alcohols under metal-free conditions is reported by C. K. Hazra *et al.* The authors described a Brookhart acid-catalysed dehydrative coupling, utilizing cyclopropyl carbinol as an electrophile and a wide range of nucleophiles, including phenols. The *para*-alkylated phenols were obtained in good to excellent yield under mild reaction conditions. Control experiments suggested that the method was a Brønsted acid-catalysed process (Scheme 17).<sup>78</sup>

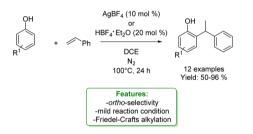
Patureau *et al.* performed a hydroarylation of styrene with various phenols catalysed by  $AgBF_4$  or  $HBF_4 \cdot Et_2O$ , obtaining the branched (Markovnikov) *ortho*-alkylated product as a major product. Mechanistic studies revealed that the reaction could



Scheme 16 Friedel–Crafts reaction of phenols catalysed by a supported Brønsted acid.<sup>77</sup>



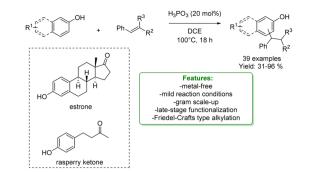
Scheme 17 Brookhart acid-catalysed dehydrative coupling of cyclopropyl carbinol and phenols.<sup>78</sup>



Scheme 18 Ortho-selective Friedel–Crafts alkylation of phenols with tertiary styrene.<sup>79</sup>

proceed *via* three possible transition states depending on the reaction conditions: Beller's (Brønsted acid catalysis), Lewis acid or electron-hole catalysis (if the catalysis plays an oxidant role). The authors concluded that the OH– functional group is essential to address the reactivity and the *ortho*-selectivity *via* a concerted protonation/C–C bond-formation pathway (Scheme 18).<sup>79</sup>

Phosphorus-based acids are common acids used in organic synthesis, and the organic derivatives are commonly applied as an organocatalytic system.<sup>80-84</sup> Inorganic acids, such as  $H_3PO_3$ and  $H_3PO_4$ , have been less used: however, they turned out to be extremely efficient in the alkylation of phenols and naphthols with alkenes in Friedel–Crafts-type alkylation (Scheme 26 and 27). Zhou's research group reported the use of phosphorus in the synthesis of 39 examples of alkylated phenols with isolated yields ranging from 31% to 96%. The reaction of the simplest phenol under the optimized conditions gave a mixture of *para*and *ortho*-alkylated products with an isolated yield of 52% and 32%, respectively. They demonstrated the broad functional group tolerance by applying the process to late-stage



Scheme 19 H<sub>3</sub>PO<sub>3</sub>-catalysed alkylation of phenols with alkenes.<sup>85</sup>



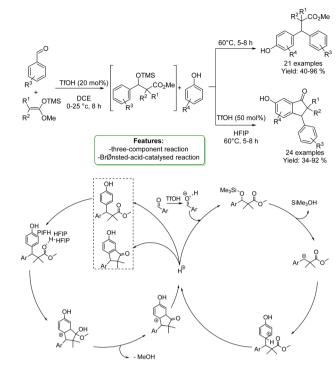
Scheme 20 Triflic acid catalysed hydroarylation of  $\alpha$ -(trifluoromethyl) styrenes with phenols.<sup>86</sup>

functionalization of estrone and raspberry ketone, resulting in yields of 59% and 72%, respectively (Scheme 19).<sup>85</sup>

D. Lebœuf *et al.* reported interesting examples of the hydroarylation of  $\alpha$ -(trifluoromethyl)styrenes to access a trifluoromethylated quaternary center, wherein phenols were efficiently utilized as arylating agents. The process was catalysed by the Brønsted acid triflic acid in hexafluoroisopropanol (HFIP). This reaction provided the products in good yields. When simple phenol was applied, a mixture of *ortho-* and *para*-products was obtained; however, the *para*-position was favoured in the process. DFT computations were provided to elucidate the reaction mechanism (Scheme 20).<sup>86</sup>

C. K. Hazra et al. developed a one-pot Brønsted-acidcatalyzed reaction involving benzaldehydes, silyl enolates, and phenols as nucleophiles. Triflic acid catalyzed a Mukaiyama aldol reaction followed by benzylic arylation with phenols.  $\beta$ , $\beta$ -Diarylesters were obtained in high yields. The protocols were shown to be selective for the para-position. Moreover, the optimized protocols offer the opportunity to synthesize indanone cores in one-pot procedures. A plausible reaction mechanism was proposed: the benzaldehyde is protonated by TfOH and subsequently undergoes nucleophilic attack. Elimination of trimethylsilanol results in the generation of the carbocationic species, which readily reacts with the external arene nucleophile (the phenols). Finally, aromatization furnishes the  $\beta_1\beta_2$ -diarylester product and regenerates the proton that further catalyses the benzylic arylation. To perform Friedel-Crafts acylation, the carbonyl functionality of the ester formed in situ undergoes HFIP-assisted protonation, followed by intramolecular cyclization through the nucleophilic attack of an arene, and subsequent removal of a proton, resulting in the synthesis of the 3aryl-1-indanone scaffold (Scheme 21).87



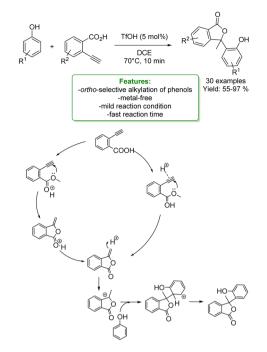


Scheme 21 One-pot Brønsted-acid-catalyzed reaction involving benzaldehydes, silyl enolates, and phenols.<sup>87</sup>

G. Song *et al.* developed a novel Brønsted acid-catalyzed cyclization of *o*-alkynylbenzoic acids followed by an *ortho*-regioselective electrophilic alkylation of phenols. Using triflic acid as the catalyst, the corresponding regioselective products at the *ortho*-position of the phenolic ring were obtained in moderate to excellent yields. A plausible mechanism was proposed: the cyclization can occur by hydrogen bonding between the triple bond and the TfOH, which activates the alkyne toward an intramolecular nucleophilic addition. Then, the intermediate is protonated, and it is electrophilically attacked by electron-rich aromatic rings to give the final products (Scheme 22).<sup>88</sup>

R. Qiu *et al.* developed a transition-metal-free protocol for the efficient cross-dehydrogenative coupling of 3-aryl benzofuran-2(3*H*)-ones with phenols using DTBP as an oxidant. The *ortho*-functionalization of phenols delivered triaryl all-carbon quaternary centres in good yields. A gram-scale experiment was performed, yielding favourable results. A reaction mechanism involving radical participation is proposed. 3-Aryl benzofuran-2(3*H*)-ones and phenols respectively generate free carbon radicals with DTBP, and these radicals then undergo crosscoupling. It is likely that the 3-aryl benzofuran-2(3*H*)-ones could also generate relative radicals by reacting with benzyl radical species, which can be easily trapped by another benzyl radical to form the target products (Scheme 23).<sup>89</sup>

Xiong, Wang *et al.* also used a phosphorus-based acid catalyst,  $H_3PO_4$ , for the regioselective synthesis of  $\alpha$ -diarylmethyl-substituted phenols in water as a safe solvent and under mild reaction conditions. They developed an *ortho*-selective reaction between mostly naphthols and *para*-quinone methides: 65



Scheme 22 Brønsted acid-catalyzed cyclization of o-alkynylbenzoic acids followed by an *ortho*-regioselective electrophilic alkylation of phenols.<sup>88</sup>

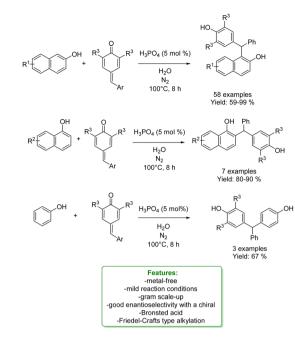


Scheme 23 Transition-metal-free protocol for the cross-dehydrogenative of phenols with benzofuran-2(3*H*)-ones.<sup>89</sup>

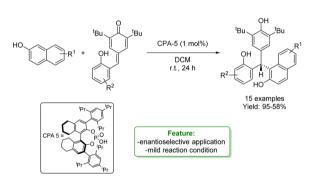
products have been formed in 59–99% yield. Phenol gave the correspondent *para*-alkylated product in 67%. Enantioselective investigations with a chiral Brønsted acid resulted in an 80 : 20 enantiomeric ratio (Scheme 24).<sup>90</sup>

W. Li, P. Li *et al.* developed an organocatalytic enantioselective conjugate addition of 2-naphthols to *ortho*-hydroxyphenyl substituted *para*-quinone methides. A series of enantioenriched triarylmethanes were obtained in good to excellent yields under mild reaction conditions (Scheme 25).<sup>91</sup>

M. Rubin *et al.* have devised a straightforward method for constructing 4-aryl-5-alkynylpyrimidines through a chemo- and regio-selective acid-promoted electrophilic alkylation of phenols with 5-bromopyrimidine. Notably, when employing simple phenols, the *para*-product emerges as the predominant outcome. To showcase the versatility of the reaction protocols, a subsequent oxidative aromatization of the resulting dihydropyrimidine moieties, followed by a Sonogashira cross-coupling reaction, was successfully executed. The mechanism involves a SEAr pathway. Specifically, the protonation of one of



Scheme 24  $H_3PO_4$ -catalysed *para*-alkylation phenols with *para*guinomethides.<sup>90</sup>

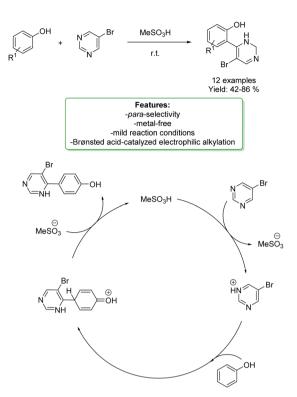


Scheme 25 Organocatalytic enantioselective conjugate addition of 2naphthols to *ortho*-hydroxyphenyl substituted *para*-quinone methides.<sup>91</sup>

the nitrogen atoms in pyrimidine in the presence of a strong Brønsted acid is expected to generate a highly electrophilic pyrimidinium species. This species can serve as an electrophile in a Friedel–Crafts-like reaction with electron-rich arenes (Scheme 26).<sup>92</sup>

Several solid acids were developed for the alkylation of phenol with smaller, non-branched alcohols, enabling the synthesis of products with significant commercial applications (Scheme 27).<sup>104</sup> These products are widely used in the production of antioxidants, phenolic resins, agrochemicals, rubber chemicals, printing ink, varnish, surface coatings, fungicides, ultraviolet absorbers, petroleum additives, and heat stabilizers for polymeric materials as feedstock.<sup>93</sup>

Upadhyayula and coworkers reported the gas phase alkylation of *m*-cresol with iso-propanol to thymol over a solid acidic catalyst.<sup>94</sup> The best results were achieved using a zinc-modified HY zeolite in a packed-bed reactor at 250 °C, yielding a 92%

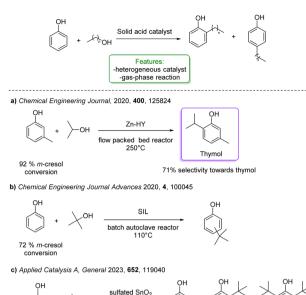


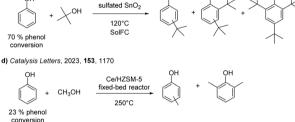
Scheme 26 4-Aryl-5-alkynylpyrimidines through a chemo- and regioselective acid-promoted electrophilic alkylation of phenols.<sup>92</sup>

conversion of *m*-cresol, with 71% selectivity toward thymol. Mechanistic and kinetic investigations were conducted confirming that the mechanism for this reaction consists first in the dehydration of iso-propanol to propylene and water, either directly or through di-isopropyl ether. Then, both O- and C-alkylation occur *via* isomerization processes that lead to thymol (Scheme 27a).<sup>105</sup> The same authors investigated the gasphase *t*-butylation of phenol over a mesoporous silica material (SIL), covalently bonded to sulphonic acid functional ionic liquid.<sup>95</sup> They obtained 72% conversion of phenol and a product distribution of 24% *ortho*-butylated phenol, 46% *para*-butylated phenol, 46% 2,4-dibutylated phenol, 4% 2,6-dialkylated phenol and 2% etherified phenol (Scheme 27b).

More recently, Tsilomelekis and Zuber reported the application of several sulphated solid superacid metal oxide catalysts  $(SnO_2, TiO_2 \text{ and } ZrO_2)$  in the solvent-free alkylation of phenol with <sup>t</sup>BuOH. They improved the acidity properties by treating the supports with various solutions of H<sub>2</sub>SO<sub>4</sub>. The solid acid catalysts were employed for mono-alkylation under industrially relevant conditions. Among the catalysts studied,  $SnO_2$  treated with 1.0 M H<sub>2</sub>SO<sub>4</sub> yielded the best results (70% phenol conversion with 10% of the mono-alkylated product) (Scheme 27c).<sup>96</sup>

In the same year, Wang *et al.* reported the vapor-phase alkylation of phenol with methanol using an HZSM-5-supported Ce-catalyst (Ce/HZSM-5). The authors disclosed that the preparation method significantly affects the physicochemical properties of Ce/HZSM-5 resulting in different catalytic performances with variously functionalized phenols. Among the three



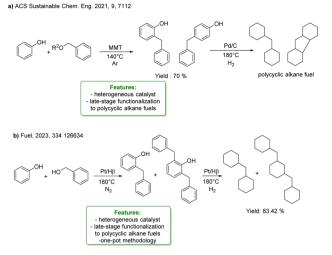


Scheme 27 Solid acid catalysed *ortho*-alkylation of phenols with simple and short alcohols. (a) Alkylation of phenol with <sup>i</sup>PrOH Zn-HY modified zeolite catalysts.<sup>94</sup> (b) Alkylation of phenol with <sup>t</sup>BuOH supported ionic liquid catalysts.<sup>95</sup> (c) Alkylation of phenol with <sup>t</sup>BuOH metal oxide catalysts (SnO<sub>2</sub>, TiO<sub>2</sub> and ZrO<sub>2</sub>).<sup>96</sup> (d) Alkylation of phenol with methanol catalysed by Ce/HZSM-5.<sup>97</sup>

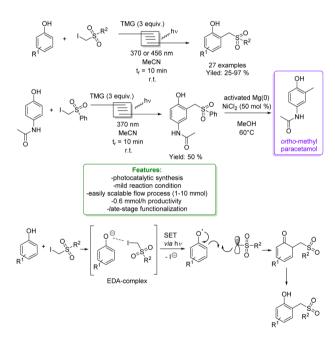
different preparation methods, ball milling, impregnation and solid-state ion-exchange (SSIE), the last one turned out to be the best, achieving 23% of phenol conversion (Scheme 27d).<sup>97</sup>

The alkylation of phenols is often used to provide a more economical and sustainable method for synthesizing highdensity biofuel precursors, with the aim of alleviating the energy crisis and environmental pollution, typically starting from a potential lignin-derived substrate. Cyclic ketones or cyclic alcohols serve as alkylating agents in the acid-catalyzed alkylation of phenol, followed by hydrodeoxygenation (HDO) to obtain bicyclic alkanes or polycyclic alkanes, which are classified as high-density biofuels (Scheme 28). In this sense, an interesting application concerns the synthesis of perhydrofluorene, a component of high-density jet fuel where renewable lignin-derived phenols and benzyl acetate were used as starting materials. The first step is the alkylation reaction of the starting materials catalysed by solid acid montmorillonite (MMT), followed by the HDO of alkylated products catalysed by Pd/C (Scheme 28a).98

More recently, Zou *et al.* reported an interesting example of application of alkylation of phenols for high-density fuel synthesis *via* a one-pot strategy for preparing polycyclic alkane from phenol and benzyl alcohol over a bifunctional Pt/H $\beta$  catalyst. The activity of different zeolites for catalysing the



Scheme 28 Acid-catalysed alkylation of phenol, and subsequent HDO to obtain bi- or poly-cycloalkanes or high-density biofuels (a) twostep synthesis of perhydrofluorene, from phenols and benzyl acetate catalysed by solid acid montmorillonite (MMT), and Pd/C.<sup>98</sup> (b) Onepot strategy for preparing polycyclic alkane from phenol and benzyl alcohol over a bifunctional Pt/H $\beta$  catalyst.<sup>99</sup>



Scheme 29 Alkylation of phenol with  $\alpha$ -iodosulfones via a photocatalytic flow strategy.<sup>102</sup>

alkylation of phenol was explored: the pore size, acidity and specific surface area of zeolites were found to affect the reaction results. The selectivity of alkylphenol can reach more than 97%, and almost all products can be hydrodeoxygenated to bicycloalkane under  $H_2$  conditions. The yield of bicyclic and tricyclic in the final product can reach 83.42% (Scheme 28b).<sup>99</sup>

1.1.1.3 *Photocatalysis.* Phenolates are the corresponding anions of phenols, formed upon their deprotonation. They are strongly electron-rich and have physiochemical properties. For instance, compared to the neutral phenol, their UV-Vis

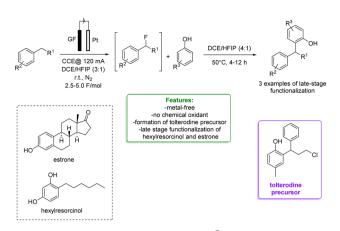
#### Review

absorption is red-shifted, due to the increased conjugation between the negative oxygen and the benzene ring. This makes them photochemically active electron-rich intermediates that can be used to generate reactive open-shell species.100,101

In 2021, Dell'Amico et al. exploited the photochemical activity of a halogen-bonded complex between electron-rich phenolate anions and  $\alpha$ -iodosulfones, with which they generated a scope of 27 ortho-alkylated products with yields up to 97%. They developed a flow strategy that consists of a microfluidic photoreactor, furnished with a modular light source (370 nm or 456 nm). The alkylated products were obtained in a short reaction time (10 min), under mild reaction conditions (r.t.), with a high productivity rate (0.6 mmol  $h^{-1}$ ) and very good ortho-selectivity (>20:1). DFT calculations highlighted the formation of the halogen-bonded complex and furnished radical mechanism insights with quantum yields measurements. Direct light excitation promotes the SET within the halogen-bonded complex forming the sulfonyl and the phenolate radicals where the first one is the electrophilic species that is added to the ortho-position. Late-stage alkylation of biorelevant phenols, such as paracetamol, resulted in good to excellent yields (50-95%) after the removal of the sulfonyl functional group through reductive cleavage (Scheme 29).<sup>102</sup>

#### 1.1.2. Non-catalytic Csp<sup>2</sup>–H alkylation

1.1.2.1 Metal-free. Lewis acid-assisted Friedel-Crafts alkylation of phenols provided a straightforward way for the Csp<sup>2</sup>-H functionalization of phenols. However, it suffers from poor site selectivity, reducing its applications. Recently, Zhao et al. reported an interesting example of highly para-selective Friedel-Crafts alkylation of phenols with tertiary alkyl bromide promoted by hexafluoroisopropanol (HFIP). This process leads to a broad substrate scope of para-alkylated products in moderate to excellent yields under mild reaction conditions. Mechanistic studies showed that HFIP acts as a mild Lewis acid towards the haloalkane, helping the generation of the carbocation intermediate. Subsequently, HFIP establishes a hydrogen

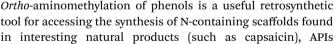


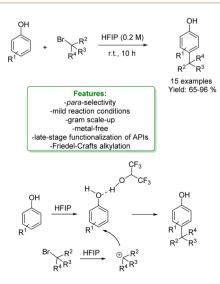
Scheme 31 Sequential electrochemical Csp<sup>3</sup>–H fluorination followed by metal-free benzylation of phenols.104

bond with the phenolic OH- group increasing its steric hindrance and determining the functionalization of the less hindered para-position (Scheme 30).103

1.1.2.2 Electrosynthesis. Ackermann et al. developed a sequential selective electrochemical Csp<sup>3</sup>-H fluorination, avoiding the use of expensive electrophilic fluorine reagents by utilizing readily available NEt3.3HF. Fluorides were employed as strategic intermediates for the subsequent metal-free benzylation of electron-rich arenes, such as phenols. The optimized protocols were applied in the late-stage functionalization of tolterodine precursors, hexylresorcinol, and estrone. The authors proposed a plausible reaction mechanism in which the arene is oxidized to a radical cation, stabilized by the HFIP cosolvent. The heterolytic C-H cleavage furnishes the radical intermediate. In a second anodic oxidation, the corresponding benzyl cation is formed and then trapped by a fluoride ion to give the desired product (Scheme 31).104

#### 1.2. Aminomethylation





Scheme 30 Para-selective Friedel-Crafts alkylation of phenols with tertiary alkyl bromide.103

Ortho-aminomethylation of phenols is a useful retrosynthetic

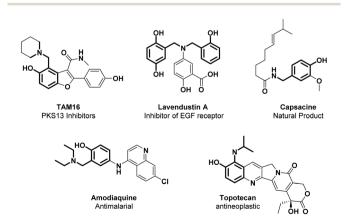


Fig. 4 Aminomethylated phenol structural moieties in API and agrochemical compounds.

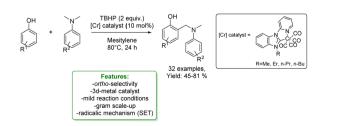
(including PKS13 inhibitors, PARP1 inhibitors, amodiaquine, lavendustin A, and topotecan), as well as ligands for transition metals (Fig. 4).<sup>4,105</sup>

Given the interesting applications of this class of compounds, the development of synthetic strategies for the ortho-aminomethylation of phenols is a significant challenge. Traditionally, this structural moiety is obtained by utilizing Eschenmoser's salt, but stoichiometric amounts of reactive species such as pre-generated salt itself, N-oxide, or BrCCl<sub>3</sub> are needed.<sup>106-109</sup> Thus, different synthetic methodologies have been devolved to access this strategical structural unit, such as the Mannich reaction and cross-dehydrogenative-coupling (CDC). The Mannich reaction is a multicomponent condensation involving a primary or secondary amine, formaldehyde, and phenol. One of the earliest examples was reported in 1994 by B. L. Feringa et al.<sup>121</sup> On the other hand, the CDC reaction can provide an atom-economic strategy avoiding a multistep synthetic procedure and pre-functionalization of the amine coupling partner.110,111

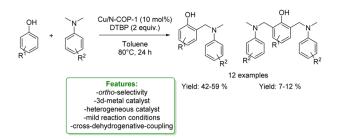
In the following section, we explore the latest strategies developed to obtain amino methylated phenols dividing them into metal catalysis, and acid/base catalysis.

#### 1.2.1. Catalytic Csp<sup>2</sup>-H aminomethylation

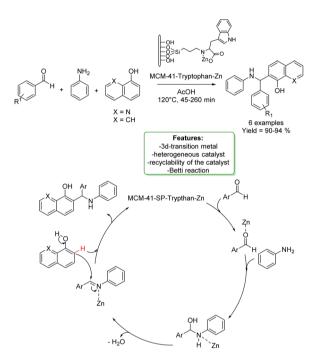
1.2.1.1 Metal catalysis. Transition-metal catalysed Csp<sup>2</sup>-H CDC of phenols is a widely and well-established method to access ortho-aminomethylation. In 2017, Hong et al. developed a Ru-catalysed protocol with methanol, amine and phenol. The metal catalyst dehydrogenates MeOH to form formaldehyde that couples with the amine to the corresponding imine cation. This intermediate is then attacked by the phenolate anion, and the amino-methylated product is achieved.<sup>112</sup> In the same year, Wang et al. reported the first two-component Cu(II)-catalysed aerobic ortho-aminomethylation of free phenols with potassium aminomethyltrifluoroborates.<sup>113</sup> In 2018, F. W. Patureau reported a Cu(II)-catalysed ortho-selective aminomethylation of phenols with N,N-dimethylanilines by a direct intermolecular CDC reaction.<sup>114</sup> In the same year, N. Jain et al. reported the use of GO-Cu<sub>7</sub>S<sub>4</sub> NPs as a reusable heterogeneous catalyst for orthoselective aminomethylation of phenols and naphthols under solvent-free conditions.115 Among the most recent examples, in 2021, N. Liu et al. reported the use of a Cr-catalyst for the orthoaminomethylation of phenols with N,N-dimethylanilines via a radical-cation mechanism, where the Cr(m) intermediate is the active species. The approach shows excellent site selectivity at the ortho-position of phenols, a broad substrate scope and



Scheme 32 Cr-catalysed *ortho*-aminomethylation of phenols with N,N-dimethylaniline.<sup>116</sup>



Scheme 33 Heterogeneous Cu-catalysed *ortho*-aminomethylation of phenols with N,N-dimethylaniline.<sup>117</sup>

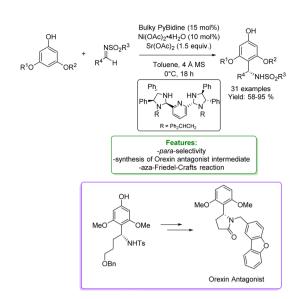


Scheme 34 Zn transition metallic complex on functionalized mesoporesilica MCM-41 catalysed the one-pot three-component synthesis of amino benzyl quinolinols and naphthols.

functional group compatibility for both *N*,*N*-dimethylanilines and phenols (Scheme 32).<sup>116</sup>

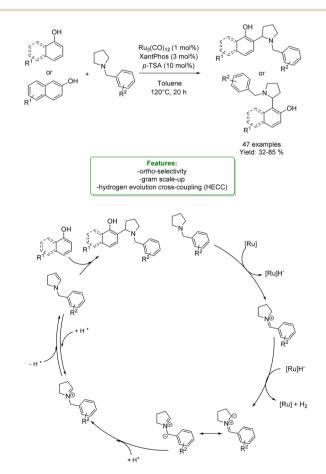
In the same year, Y. Liu *et al.* reported the use of the heterogeneous Cu( $\mu$ )-modified nitrogen-rich covalent organic polymer (Cu/N-COP-1) catalyst employed in the CDC *ortho*-aminomethylation of phenols with *N*,*N*-dimethylanilines. The Cu/N-COP-1 catalyst displayed excellent catalytic activity under mild conditions without any ligands. Various substituted phenols and *N*,*N*-dimethylanilines were successfully converted to the corresponding products. Moreover, the catalyst was readily reused for five consecutive runs (Scheme 33).<sup>117</sup>

N. Noroozi Pesyan *et al.* designed a Zn transition metallic complex on functionalized mesoporesilica MCM-41. The catalyst was applied in the one-pot three-component synthesis of amino benzyl quinolinols and naphthols (6 examples), obtaining excellent yields in a short reaction time. The heterogeneous catalyst was efficiently recovered and reused with no loss in selectivity (Scheme 34).<sup>118</sup>

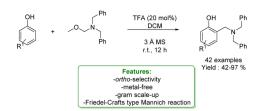


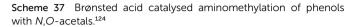
Scheme 35 Asymmetric *para*-selective acid-catalyzed aza Friedel-Crafts reaction of phenols with sulfonylaldimines.<sup>119</sup>

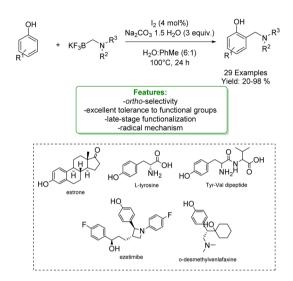
An asymmetric version of amination of phenols was reported by T. Arai *et al.* They developed an asymmetric *para*-selective acid-catalyzed aza-Friedel–Crafts reaction of phenols with sulfonylaldimines. Chiral bis(imidazolidine)pyridine (PyBidine)–



Scheme 36 Ru-catalysed aminomethylation of phenols with *N*-alkyl cyclic amines.<sup>122</sup>

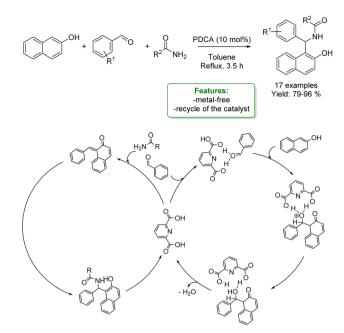






Scheme 38 lodine-sodium percarbonate catalysed aminomethylation of phenols with trifluoroborate reagents.<sup>125</sup>

Ni(OAc)<sub>2</sub> catalysts allowed the formation of a wide range of products in good to excellent yields with excellent enantioselectivity. To demonstrate the synthetic utility of the optimized asymmetric protocols, an intermediate in the synthesis of an orexin antagonist was performed. DFT calculations suggest that cooperative HOMO-LUMO activation, involving nickel phenoxide formation (HOMO activation) and hydrogen bonding activation of the sulfonylaldimine (LUMO activation), is the key to switching regioselectivity (Scheme 35) 119 Lots of efforts were spent to access functionalized phenols with α-substituted cyclic amines, which are ubiquitous scaffold in natural alkaloids, bioactive molecules, drugs, agrochemicals, organocatalysts, and functional materials. In addition, cyclic amines serve as bulk chemicals since they are extensively used as organic solvents, bases, and synthetic building blocks.<sup>120,121</sup> In 2020, Zhang's research group developed a synthetic strategy based on the Hydrogen Evolution Cross-Coupling (HECC) between a variety of phenolic monomers and N-alkyl cyclic amines, catalysed by Ru.122 This mechanism was firstly reported for Nheteroarenes and indoles by Zhang et al.123 It consists in a first Ru-catalysed hydride transfer from amine forming Ru-hydride species and iminium. Then, the  $\alpha$ -deprotonation to form the correspondent azomethine ylide occurs thanks to Ru-hydride that, at the same time, returns in its Ru(0) form generating H<sub>2</sub>. In the end, p-TSA helps the formation of the iminium having an



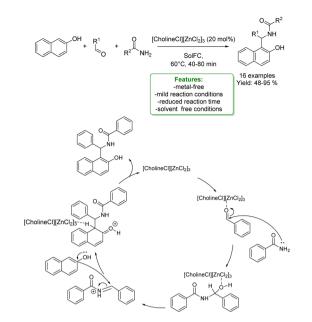
Scheme 39 One-pot three-component strategy for the synthesis of amidoalkyl-2-naphthol dihydropyrimidin-2(1*H*)-ones.<sup>126</sup>

endocyclic double bond in which the nucleophilic addition of phenol happens. They reported a large substrate scope, made of 47 examples with isolated yields up to 85 % (Scheme 36).

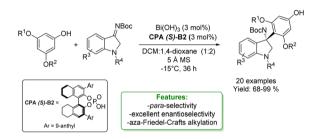
1.2.1.2 Acid/Base Catalysis. The Mannich reaction is a stepeconomical strategy for aminomethylation of phenols under metal-free conditions. However, this strategy suffers from drawbacks such as high temperature, narrow substrate scope and low *ortho*-selectivity. Therefore, some pre-activated reagents can be used to guarantee the site-selectivity and milder conditions. *N,O*-Acetal is a stable and readily available reagent for aminomethylation, which can react with various nucleophiles *via* the *in situ* formation of iminium intermediates. In 2021, L. Liu *et al.* reported a metal-free Friedel–Crafts type Mannich *ortho*-aminomethylation of phenols with *N,O*-acetals catalysed by trifluoro acetic acid (TFA) under mild reaction conditions, yielding various aminoethyl substituted phenol derivatives in good to excellent yields (Scheme 37).<sup>124</sup>

With the same goal, Tian *et al.* developed a transition-metalfree *ortho*-aminomethylation of phenols with trifluoroborate reagents catalysed by an iodine–sodium percarbonate complex in aqueous media. This method exhibited wide substrate scope and excellent tolerance to functional groups, as exemplified by the late-stage *ortho*-Csp<sup>2</sup>–H aminomethylation of ezetimibe, estrone, *O*-desmethylvenlafaxine, L-tyrosine and Tyr-Val dipeptide. The mechanistic findings indicated that a radical process may be involved (Scheme 38).<sup>125</sup>

P. S. Nagarajan *et al.* developed a one-pot three-component strategy for the synthesis of various amidoalkyl-2-naphthol dihydropyrimidin-2(1*H*)-ones using 2,6-pyridine dicarboxylic acid (PDCA), as an organocatalyst. The optimized reaction conditions allowed amido-2-naphthols to be obtained in excellent yields (up to 96%). At the end of the reaction, the catalyst was recovered and reused (Scheme 39).<sup>126</sup>



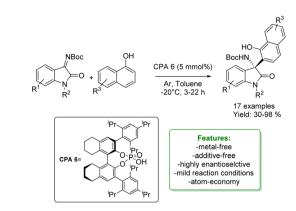
Scheme 40 Deep eutectic solvent catalysed three-component synthesis of 1-amidoalkyl naphthols.<sup>127</sup>



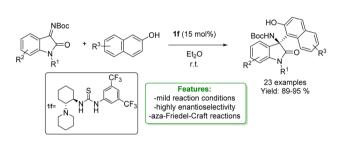
Scheme 41 Para-selective aza-Friedel–Crafts reaction of phenols with isatin-derived ketamines.<sup>81</sup>

H. Tran *et al.* developed a three-component synthesis of 1amidoalkyl naphthols and polyhydroquinolines catalysed by a deep eutectic solvent, [CholineCl][ZnCl<sub>2</sub>]<sub>3</sub>. The reaction proceeds smoothly at low temperatures for a short reaction time under solvent-free conditions affording moderate to excellent yields. The authors proposed a plausible reaction mechanism: first, benzaldehyde forms a complex with the catalyst so the benzamide can attack the carbonyl group of the activated aldehyde. Then, 2-naphthol is attacked and the so-formed intermediate undergoes a deprotonation generating the 1amidoalkyl-2-naphthol (Scheme 40).<sup>127</sup>

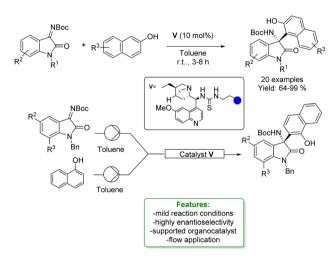
The enantioselective aza-Friedel–Crafts reaction is one of the most straightforward and efficient strategies for the introduction of a new Csp<sup>2</sup>–C bond-bearing quaternary stereocenter.<sup>128,129</sup> Li *et al.* reported an aza-Friedel–Crafts reaction with isatin-derived ketamines using Bi(OH)<sub>3</sub>/CPA as the catalytic system to obtain *para*-alkylated phenols. The process consists in the acid-catalysed addition of electron-rich aromatic compounds (in this case electron-rich phenols) to imines (isatin-derived ketamines). The authors obtained 20 examples



Scheme 42 H<sup>8</sup>-BINOL-derived chiral biaryl phosphoric acid catalysed aza-Friedel–Crafts addition of naphthols with isatin-derived ketimines.<sup>130</sup>



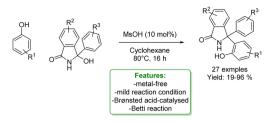
Scheme 43 Takemoto-type organocatalyst catalysed aza-Friedel-Crafts addition of naphthols with isatin-derived ketimines.<sup>131</sup>



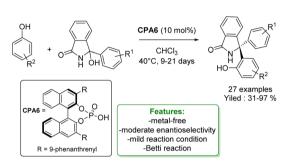
Scheme 44 Supported bifunctional chiral thiourea-based catalyst catalysed aza-Friedel–Crafts addition of naphthols with isatin-derived ketimines.<sup>132</sup>

of *para*-substituted phenols with 68–99% yields and excellent enantioselectivity (91–99% ee) (Scheme 41).<sup>81</sup>

B. Fan *et al.* developed an enantioselective aza-Friedel–Crafts addition of naphthols with isatin-derived ketimines catalyzed by H<sup>8</sup>-BINOL-derived chiral biaryl phosphoric acid. A wide range of isatin-derived ketimines, as well as naphthols, were



Scheme 45 Brønsted acid-catalyzed Betti reaction of phenols with isoindolinone alcohol.  $^{\rm 133}$ 



Scheme 46 Chiral phosphoric acid-catalyzed Betti reaction of phenols with isoindolinone alcohol.<sup>134</sup>

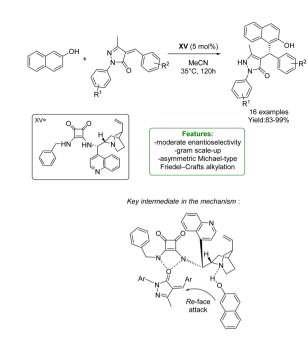
successfully employed, yielding products with sufficient to excellent yields and high enantioselectivities (Scheme 42).<sup>130</sup>

The enantioselective aza-Friedel–Crafts functionalization of phenols and naphthols with isatin-derived ketimines has been extensively investigated using various metal-free catalysts to achieve high enantioselectivity. Y. Jin *et al.* reported the application of a Takemoto-type organocatalyst. This catalyst smoothly promotes the reaction to provide the desired product in excellent yields and enantioselectivities (Scheme 43).<sup>131</sup>

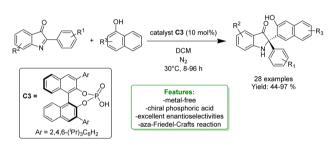
J. M. Andrés, R. Pedrosa *et al.* developed the application of a supported bifunctional chiral thiourea-based catalyst in the synthesis of 3-amino-2-oxindoles through an enantioselective aza-Friedel–Crafts reaction. The desired products were obtained with good to excellent yields and high enantioselectivities. The supported organocatalyst was recycled without loss of activity and was employed as a catalyst under continuous flow conditions (Scheme 44).<sup>132</sup>

M. Gredičak *et al.* developed a formal Betti reaction between phenols and isoindolinone alcohol. The Betti reaction, a Mannich-type multicomponent reaction involving aldehydes, primary amines, and naphthols, is employed for synthesizing  $\alpha$ secondary amines known as Betti bases. In the reported protocols, the authors demonstrated the use of phenols as nucleophiles. The desired Betti bases were obtained in low to excellent yields under mild reaction conditions. When the unsubstituted phenol was used, only the *para*-regioisomer was observed (Scheme 45).<sup>133</sup>

More recently, the same authors reported a stereoselective variant of the Betti reaction using phenols as nucleophiles. They developed a chiral phosphoric acid-catalysed reaction for accessing chiral Betti base precursors featuring a congested, triaryl-substituted stereogenic center. The transformation



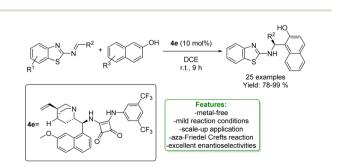
Scheme 47 Chiral squaramide-catalyzed Michael-type Friedel–Crafts alkylation of naphthol and unsaturated pyrazolones.<sup>135</sup>



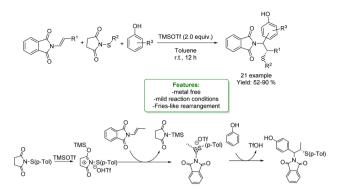
Scheme 48 Ortho-selective aza-Friedel–Crafts reaction of naphthols catalysed by a chiral phosphoric acid system.<sup>82</sup>

proceeds smoothly with a broad range of phenols and isoindolinone alcohol, yielding products in good to excellent yields and moderate enantioselectivities. Notably, the reaction operates under mild conditions, albeit with a considerably long reaction time (Scheme 46).<sup>134</sup>

H. Song, Q. Li, and S. Ban *et al.* developed an asymmetric Michael-type Friedel–Crafts alkylation of naphthol and unsaturated pyrazolones through a chiral squaramide-base catalyst. A



Scheme 49 Aza-Friedel–Crafts reaction of benzothiazolimines and 2naphthols.



Scheme 50 Lewis acid-mediated three-component electrophilic thiolative functionalization of enamides.<sup>137</sup>

wide range of substrates is tolerated, obtaining excellent yields (up to 99%) with a moderate to excellent level of enantioselectivity. The scalability was also demonstrated by a gram-scale reaction. The authors proposed a plausible mechanism for asymmetric transformations catalyzed by bifunctional squaramides. The basic nitrogen atom of the catalyst deprotonates the naphthol and the unsaturated pyrazolone is activated by the squaramide moiety *via* the formation of two hydrogen bonds. The deprotonated naphthol then attacks the unsaturated pyrazolone from the *Re*-face to afford the *S*-configured stereocenter, followed by tautomerization to give the pyrazolone (Scheme 47).<sup>135</sup>

X.-J. Zhao *et al.* reported a highly enantioselective aza-Friedel–Crafts reaction of phenols and naphthols with cyclicketimines catalysed by a chiral phosphoric acid system (C3). The optimized reaction conditions provide a broad range of chiral indolin-3-one derivatives bearing a quaternary stereocenter at the C2 position in high yields and excellent enantioselectivities, up to 97%. These advantages can be attributed to two H-bonds and  $\pi$ – $\pi$  interactions enabled by the chiral phosphoric acid (Scheme 48).<sup>82</sup>

L.-X. Wang *et al.* developed an organocatalytic enantioselective aza-Friedel–Crafts reaction between benzothiazolimines and 2-naphthols. The squaramide organocatalyst allowed the obtainment of a series of chiral 2'-aminobenzothiazolomethyl

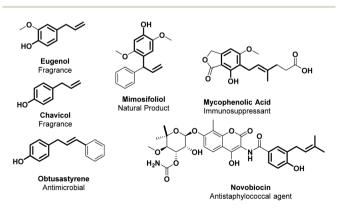


Fig. 5 Allylic alkylated phenol structural moieties in API and agrochemical compounds.

(00)

naphthols in excellent yields (up to 99% yield) with excellent enantioselectivities (up to >99% ee) under mild conditions. The synthetic potential of the developed protocol was evaluated in a scale-up preparation (Scheme 49).<sup>136</sup>

#### 1.2.2. Non-catalytic Csp<sup>2</sup>-H aminomethylation

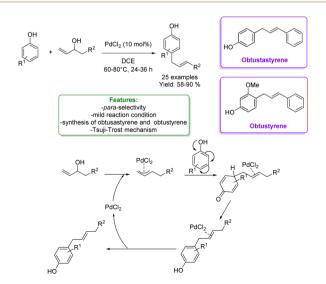
1.2.2.1 Metal-free. L. Liao, X. Zhao *et al.* developed a Lewis acid-mediated three-component electrophilic thiolative functionalization of enamides. A series of phenols were successfully incorporated into the substrates with high regio- and stereo-selectivities under mild conditions. β-Aminosulfides were obtained in moderate to very good yields (up to 90%). To demonstrate the practicability of the method, a scale-up experiment was conducted. The authors proposed a Fries-like rearrangement pathway for this reaction, in which the Lewis acid, TMSOTf, activates the sulphur reagent (Scheme 50).<sup>137</sup>

#### 1.3. Allylic alkylation

The cinnamyl phenol core displays a broad range of biological activities such as anti-bacterial (novobiocin and obtusastyrene), anti-inflammatory, anti-allergic, anti-thrombotic, antioxidant, anti-cancer and immunosuppressant (mycophenolic acid) properties.<sup>138,139</sup> Furthermore, many plant metabolites, such as eugenol and chavicol, are typical flavour/fragrance components of essential oils from herbs and spices (Fig. 5).<sup>140,141</sup>

Considering the importance of this structural moiety, it is both essential and challenging to develop straightforward and regioselective synthetic approaches. Traditionally, Csp<sup>2</sup>–H allylic alkylation is accounted *via* a first O-alkylation followed by an aromatic Claisen rearrangement.<sup>42</sup> Indeed, there are some issues to face to obtain a direct Csp<sup>2</sup>–H allylic alkylation, such as the poor regioselectivity (a mixture of regioisomers is often observed); the facility for *ortho*-allyl phenols to undergo intramolecular cyclization; and the common necessity of a preactivated reaction partner bearing a leaving group.

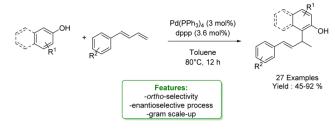
Herein we report the latest strategies developed to address these challenges by employing simple-unprotected phenols.



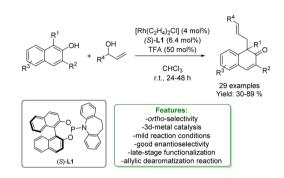
Scheme 51 Pd-catalysed para-allylic alkylation of phenols.149

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Scheme 52 Pd-catalysed ortho-allylic alkylation of phenols.<sup>150</sup>



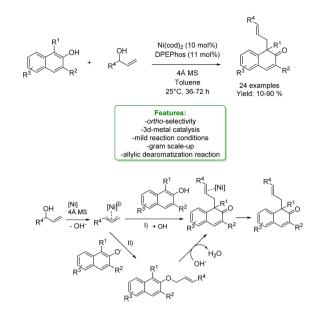
Scheme 53 Rh-catalysed dearomatize allylic alkylation of 2-naphthols. ^{\rm 55}

#### 1.3.1. Catalytic Csp<sup>2</sup>-H allylic alkylation

1.3.1.1 Metal catalysis. Many efforts were made before 2019 to overcome limitations of allylic alkylation. For instance, metal-catalysts such as Mo,142,143 Ru,49,144 Pd,145,146 Rh147 and Ir148 were applied in the allylic alkylation of phenols. Generally, this reactivity requires the activation of the allylic alcohol moiety either as carbonates, acetates, or aryl boronic acids. Despite this, often the desired products are obtained with poor regioselectivity. Among the most recent examples, in 2021, Gedu's research group developed a synthetic strategy for Pd-catalysed highly para-selective allylation of phenols with inactivated allylic alcohols as reaction partners. The protocol was successful under an open atmosphere and mild reaction conditions. A good number of 1,3-diarylpropene derivatives were achieved in good to excellent yields. Among them, they obtained obtusastyrene and obtustyrene. The proposed mechanism is based on the Tsuji-Trost reaction, where an  $\eta^3\pi$ -allylic complex is formed between the Pd-catalyst and the alcohol to activate the hydroxyl group for the coupling with the phenol (Scheme 51).149

Shifting from *para* to *ortho* selectivity, in 2023, Yin *et al.* reported an *ortho*-Csp<sup>2</sup>–H allylic alkylation with 1,3-dienes. They implemented a Pd-catalysed allylic alkylation of electron-rich phenols and 2-naphthols with a diphosphine ligand to activate the catalyst, yielding a broad substrate scope with good chemo- and regioselectivity. Resorting to the use of bulky and electron-rich chiral phosphine ligand it was possible to perform the enantiomeric version reaching up to 55% ee (Scheme 52).<sup>150</sup>

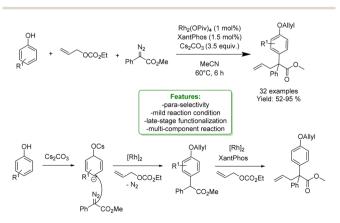
Several methods for transition-metal catalysed de-aromative allylic alkylation of naphthols have been developed in the last years<sup>151,152</sup> in order to generate naphthalenones, which are interesting components of the pharmaceutical and



Scheme 54 Ni-catalysed allylic alkylation of 2-naphthols.<sup>156</sup>

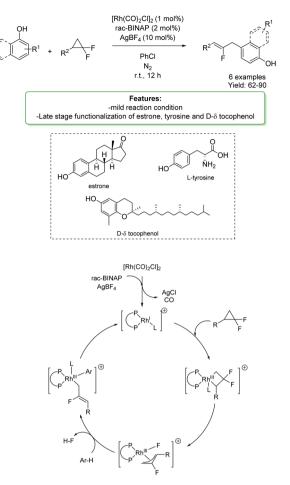
agrochemical industries.<sup>153,154</sup> You and coworkers proposed a highly enantioselective Rh-catalysed allylic de-aromatization of  $\beta$ -naphthols with racemic aryl-vinyl carbinol, in the presence of a chiral-ligand with TFA as an additive. The functionalized  $\beta$ -naphthalenone compounds were obtained in good yields with excellent enantioselectivity, up to 97% ee. Two further transformations on the  $\beta$ -naphthalenone product were carried out: a selective reduction of the ketone functionality to the corresponding alcohol; and the reaction with CH<sub>3</sub>Li, obtaining good yields with 72% ee (Scheme 53).<sup>155</sup>

With the same purpose, You *et al.* in 2020 explored the dearomative allylic alkylation of 2-napthols using a Ni-catalyst, cheaper and more abundant compared to other 4d and 5d transition-metals. By utilizing Ni(cod)<sub>2</sub> as a catalyst precursor, DPEPhos as a ligand and 4 Å molecular sieves as additives, the de-aromatization reaction of  $\beta$ -naphthols with aryl allylic alcohols proceeded smoothly under mild conditions. The desired  $\beta$ naphthalenone products bearing a quaternary carbon centre were synthesized in moderate to good yields with excellent linear selectivity. Acidic molecular sieves played a crucial role in



Scheme 55 Rh(II)/Xantphos-catalysed allylic alkylation of phenols.157



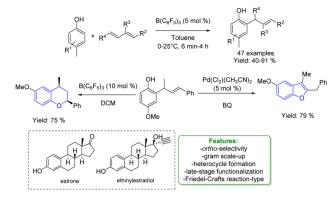


Scheme 56 Rh-catalysed allylation of phenols and naphthols with gem-difluorinated cyclopropanes.<sup>158</sup>

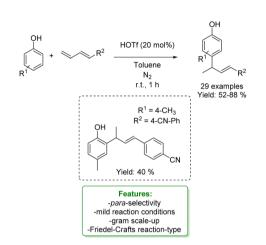
the activation of the reaction pathway since they could generate the  $\pi$ -allyl nickel species from allylic alcohol and Ni(0). The direct allylic alkylation to the naphthol is the most viable process, however, an alternative pathway involving *O*-allylation with a subsequent  $\alpha$ -C alkylation cannot be excluded. The authors also performed a gram scale-up to validate the synthetic utility of this reaction (Scheme 54).<sup>156</sup>

X. Wang *et al.* reported a Rh( $\pi$ )-catalyzed reaction to afford the *para*-selective Csp<sup>2</sup>–H functionalization of free phenols in a multicomponent reaction with diazoesters and allylic carbonates. Mechanistic studies suggested that the reaction occurred through a tandem process of carbene-induced *para*selective Csp<sup>2</sup>–H functionalization, followed by Rh( $\pi$ )/XantPhoscatalysed allylation. Moreover, it was found that the base additive plays a crucial role in guaranteeing the *para*-selectivity functionalization. The optimized protocol was applied for the late-stage modification of different pharmaceuticals such as Lmenthol and S-(–)- $\beta$ -citronellol (Scheme 55).<sup>157</sup>

Y. Xia *et al.*<sup>158</sup> reported a rhodium-catalysed allylation of phenols and naphthols with *gem*-difluorinated cyclopropanes. These reactants were employed as highly reactive allyl surrogates, providing allyl arene derivatives in good yields with high regioselectivity under mild conditions (Scheme 56).



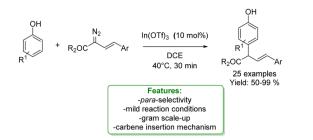
Scheme 57 Borane-catalysed ortho-allylic alkylation of phenols.<sup>159</sup>



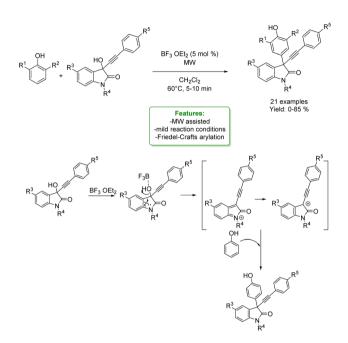
Scheme 58 Triflic acid-catalysed para-allylic alkylation of phenols.<sup>160</sup>

Allylation occurred preferentially at the *para*-position; however, when the para-position was blocked, the ortho-position was favored. The developed protocol was applied in the late-stage functionalization of biologically active compounds: estrone, protected tyrosine, and  $D-\delta$ -tocopherol. The authors proposed a plausible mechanism: initially, the pre-catalyst [Rh(CO)<sub>2</sub>Cl]<sub>2</sub> de-dimerizes to form a highly active cationic rhodium(1) species in the presence of rac-BINAP and AgBF<sub>4</sub>. A C-C bond oxidative addition of the gem-difluorinated cyclopropane occurs to form a rhodium complex, which then undergoes a  $\beta$ fluoride elimination to generate the key intermediate. As proposed, the fluorinated allylrhodium species would be highly electrophilic, triggering a C-H bond activation of the arene through an electrophilic metalation pathway. Finally, a reductive elimination process occurs to afford the desired allylation product, regenerating the active catalyst (Scheme 56).158

1.3.1.2 Acid/base catalysis. Allylic alkylation of phenols using 1,3-dienes under acidic conditions has always been quite challenging. Dienes are extremely reactive, often leading to the formation of dimerization or polymerization products. Moreover, the resulting substituted phenols are significantly more reactive than the starting materials. This increased reactivity can lead to the formation of multi-alkylated products or to intramolecular cyclization, resulting in indane or



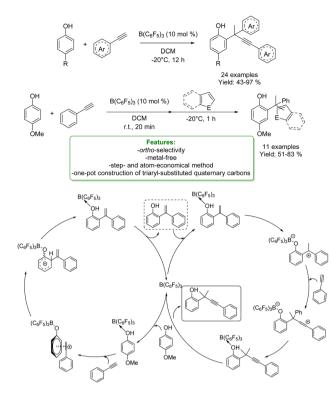
Scheme 59 Lewis acid-catalysed para-allylic alkylation of phenols.<sup>161</sup>



Scheme 60 Microwave-assisted Friedel–Crafts propargylation of phenols to 3-substituted-3-propargyl oxindole scaffolds.<sup>162</sup>

dihydrobenzopyran products. In 2019 Li and coworkers performed a  $B(C_6F_5)_3$ -catalysed hydroarylation process where the borane enhances the acidity of the hydroxy group triggering the protonation of 1,3-dienes for subsequent electrophilic alkylation reactions. They explored a large variety of products obtaining good to excellent yields (40-91%). Estrone and ethinylestradiol were also used as substrates in a late-stage functionalization process gaining 70% and 83% of isolated yields, respectively. The authors provided both theoretical and experimental mechanistic insights that shed light on the key-role played by the Lewis acid adduct in accessing the ortho-allylated phenol via Friedel-Crafts type reaction. Product transformations to access heterocycles such as benzofurans and benzopyrans were performed to test the synthetic relevance of this protocol, obtaining very good yields (75% and 79% respectively) (Scheme 57).159

Another example of allylic allylation of phenols with 1,3dienes *via* the acid Friedel–Crafts reaction-type was proposed by Zhang, Liu and coworkers. Triflic acid was used as a catalyst to access *para*-allylic phenols under mild reaction conditions, yielding sufficient to very good yields. An *ortho*-allylic product



Scheme 61 Borane-catalysed *ortho*-propargylation of *para*-substituted phenols with alkynes.<sup>163</sup>

was obtained in a 40% yield when a *para*-substituted phenol was used as the substrate. Further transformations of the product such as the debromination on the double bond, the Suzuki coupling with a phenyl boronic acid and the hydroxy group transformation were developed (Scheme 58).<sup>160</sup>

In 2021, Liu and coworkers proposed a Lewis-acid-promoted *para*-allylic alkylation of phenol. Diazo compounds were employed as exceptionally reactive and versatile reagents capable of generating carbenes in order to synthesize *para*-allylated phenols through an insertion reaction. A substrate scope of 25 examples was demonstrated with isolated yields ranging from 50% to 99%. Other transformations occurred on the formed products, including *ortho*-bromination, selective reduction of ester groups to carboxylic groups, and various conversions of hydroxy groups to acetate, methoxy, and triflate groups (Scheme 59).<sup>161</sup>

N. Shankaraiah *et al.* reported an example of *para*-propargylation of phenol *via* the electrophilic Friedel–Crafts reaction conducted on 3-hydroxy-3-phenylethynyloxindoles under microwave irradiation (MW) assisted heating. Lewis acid  $BF_{3^-}$ ·OEt<sub>2</sub> was used to catalyse the Friedel–Crafts arylation of 3hydroxy-3-phenylethynyloxindoles with 2,6-disubstituted phenols to access various substituted 3-substituted-3-propargyl oxindole scaffolds under mild reaction condition. These new scaffolds can be utilized in the drug discovery process for their potential therapeutic interest (Scheme 60).<sup>162</sup>

S. Li *et al.* reported another interesting metal-free example of propargylation of phenol. The authors developed a borane-catalyzed,  $B(C_6F5)_3$ , sequential addition of terminal alkynes to

para-substituted phenols, affording a wide range of orthopropargylic alkylated phenols bearing congested quaternary carbons. The methodology involved a sequential phenol alkenylation/hydroalkynylation to afford the ortho-propargyl phenols. The propargylation products could undergo a wide range of transformations to afford heterocycles and other phenol analogues. The authors reported DFT calculations and control experiments for a better understanding of the reaction mechanism. The reaction starts with the proton transfer from the OH group to the boron catalyst, forming a tight ion-pair with the alkyne species. This consists of a highly electrophilic vinyl cation and a borate-phenol anion as the counter-anion, which could readily undergo electrophilic addition reactions to afford the Wheland intermediate. The subsequent re-aromatization followed by dissociation of  $B(C_6F_5)_3$  allows the formation of alkylated phenol by restoring the catalyst. Competing with catalyst regeneration, the complex may also undergo intramolecular protonation to generate a borane-stabilized tertiary carbenium ion that undergoes electrophilic addition reactions with another alkyne molecule to form a zwitterionic intermediate. This is then deprotonated to provide the neutral complex. Finally, the dissociation of Lewis acid regenerates  $B(C_6F_5)_3$  and releases the ortho-propargylation product (Scheme 61).163

#### 1.4. Alkenylation and alkynylation

Alkenylated phenols are important structural motifs in natural products (such as resveratrol, dienestrol, caffeic acid, and curcumin) and pharmaceuticals (such as entacapone) (Fig. 6).<sup>4</sup> These scaffolds are crucial intermediates in synthetic organic chemistry making accessible the cyclization to O-heterocycle compounds.<sup>46,164,165</sup> For this reason the direct Csp<sup>2</sup>–H addition of olefins to phenols is an attractive way to access those structures in an atom-efficient manner.

Traditionally, *ortho*-alkenylation of phenols is achieved through consecutive *ortho*-halogenation/Mizoroki–Heck crosscoupling reactions with alkenes.<sup>166,167</sup> However, these methods suffer from limited functional group (FG) compatibility, poor atom-economy, and harsh reaction conditions. Thus, the

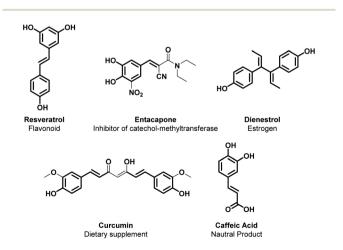
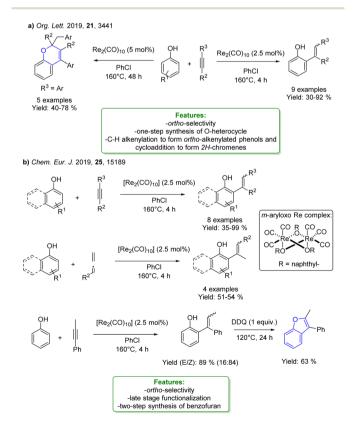


Fig. 6 Alkenylated phenol structural moieties in API and agrochemical compounds.

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development of a new approach to obtain vinylated phenols is highly desirable. The pioneering work in the direct  $Csp^2$ -H alkenylation was reported by Yamaguchi using a stoichiometric amount of  $SnCl_4$  or  $GaCl_3$ .<sup>168,169</sup> Catalytic protocols remain challenging due to the preferred functionalization of the unprotected OH group, which typically acts as an oxygen-based nucleophile, resulting in preferential C–O bond formation. Furthermore, alkenylation leads to the formation of regioisomers (*ortho-* and *para*-products). Moreover, some phenol derivatives are sensitive to oxidants and acids, requiring mild conditions for their transformations.

Analogue considerations can be translated also to the alkynylation of phenols. Yamaguchi et al. reported the first examples of ortho-ethynylation of phenols with chloroethynyltriethylsilane in the presence of GaCl<sub>3</sub> as the catalytic system. It first reacts with phenol to form the active species, liberating hydrogen chloride. The phenoxy gallium species formed attack the silvlated-ethyne to form a vinyl gallium intermediate. The  $\beta$ elimination then leads to the ortho-ethynylatedphenol and to the regeneration of GaCl<sub>3</sub>.<sup>170</sup> A. M. Echavarren et al. reported a Ru-catalysed peri-alkynylation of naphthol with bromoalkynes *via* insertion and elimination.<sup>171</sup> The introduction of the alkynyl functional group opens many other transformations leading to O-heterocycle compounds via intramolecular cyclization. Examples of transitionmetal-catalysed synthesis of O-heterocycles are reported for the synthesis of benzochromenes.<sup>172</sup> A related Rh(m)-catalysed reaction of 1-naphthylaminederivatives gives 1H-benzo[de]quinolines.<sup>173-176</sup> H. Jiang and co-workers



**Scheme 62** Re-catalysed *ortho*-alkenylation of phenols with alkynes and cyclization to 2*H*-chromene.<sup>181,182</sup>

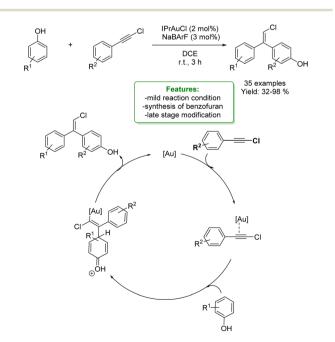
reported a one-pot procedure for the construction of highly functionalized benzo[*b*]furans *via* Pd-catalysed multicomponent reaction of haloalkynes, *o*-aminophenol and isocyanides.<sup>177</sup> Inspired by this work H. Jiang *et al.* reported a Pdcatalysed sequential C–H alkynylation/annulation of 2-phenylphenols with haloalkynes.<sup>178</sup> Interesting examples are reported for the synthesis of coumarins *via ortho*-substitution of phenols with alkynoates initiated by an *ortho*-palladation. Indeed, treatment of alkynoates with electron-rich phenols in the presence of a Pd-catalyst and an acid does generate coumarins.<sup>164</sup>

In the following sections, we report the latest transition metal catalysed  $Csp^2$ -H alkenylation.

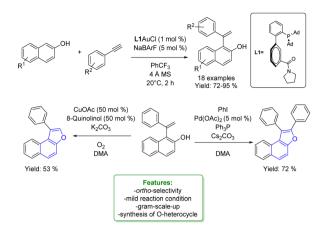
#### 1.4.1. Catalytic Csp<sup>2</sup>–H alkenylation

*1.4.1.1 Metal catalysis.* Transition-metal catalysis is the privileged method to access the alkenylation of unprotected phenols. The Fujiwara–Moritani reaction is a highly explored strategy, and many efforts have been devoted to realizing regioselective vinylation of phenol.<sup>179,180</sup>

Among the more recent examples, K. Takai *et al.* reported a  $\text{Re}_2(\text{CO})_{10}$  catalysed *ortho*-alkenylation of phenol with 1phenyl-1-propyne obtaining a mixture of two stereoisomers with the *Z*-configuration being the predominant one (*E*/*Z* = 90 : 10 to 82 : 18). Sterically hindered phenols with a methyl group at the *ortho*-position prevented the alkenylation at any position, indicating that the interaction of the phenoxy oxygen atom with the Re centre is the key for the transformation. By increasing the reaction time and alkene amount they reported a selective formation of 2*H*-chromene derivatives *via* [3 + 2 + 1] cycloaddition (Scheme 62a).<sup>181</sup> The same authors reported the use of  $\text{Re}_2(\text{CO})_{10}$  as a catalyst in the *ortho*-alkenylation with 1-aryl-1propyne for late-stage modification of biologically active molecules containing phenolic structural moieties. Alkenylation was



Scheme 63 Au-catalysed alkenylation of phenols with chloroalkyne.<sup>183</sup>

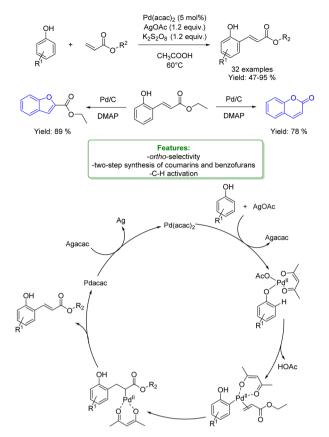


Scheme 64 Au-catalysed alkenylation of phenols with terminal alkynes.<sup>184</sup>

also performed with allenes yielding good results. The regioselective C-alkenylation de-hydrogenative cyclization was performed to access the benzofuran skeleton promoted by 2,3dichloro-5,6-dicyano-1,4-benzoquinone (DDQ). A mechanistic study was conducted to understand the reactivity of  $\text{Re}_2(\text{CO})_{10}$ . Single-crystal XRD analysis revealed the formation of a (*m*-aryloxo) Re complex. Several control experiments were conducted, concluding that the OH group assisted the electrophilic alkenylation, instead of classic Friedel–Crafts type electrophilic functionalization (Scheme 62b).<sup>182</sup>

A. S. K. Hashmi et al. reported an Au-catalysed alkenylation of phenols. Thanks to the carbophilic  $\pi$ -acidic nature, Au demonstrated to be a promising metal for site-selective alkenylation. Using IPr as the ligand and sodium tetrakis[3,5bis(trifluoromethyl)phenyl]borate (NaBArF) as the co-catalyst, good to excellent yields were obtained at room temperature. Products displayed good selectivity for the formation of Zisomers. When employing ortho-substituted phenols, the process exclusively delivered para-addition products. In the case of only meta-substituted phenols, mixtures of ortho- and paraaddition products were observed, and yields were lower. A series of phenols with blocked para-position yielded ortho-addition at the sterically less hindered position. The kinetic study and kinetic isotope experiment revealed that the Csp<sup>2</sup>-H bond cleavage of phenol is not involved in the rate-determining step. Therefore, the Csp<sup>2</sup>-H activation of the phenol should proceed *via* the nucleophilic addition of the phenol onto the  $\pi$ -activated alkyne. The authors proposed that Au directly coordinates with the chloro-substituted alkyne carbon, and only then phenol attacks the highly electrophilic alkyne. The observed paraselectivity should result from both steric and electrostatic repulsion between the polarized alkyne and the OH moiety. The obtained products are of high interest as intermediates in the synthesis of benzofurans. For industrial purposes, they performed a gram-scale synthesis and a late-stage modification of estrone (Scheme 63).183

L. Zhang *et al.* reported another interesting protocol of Aucatalysed alkenylation of phenols, with phenylacetylene derivatives. The remote amide group of the bifunctional ligand

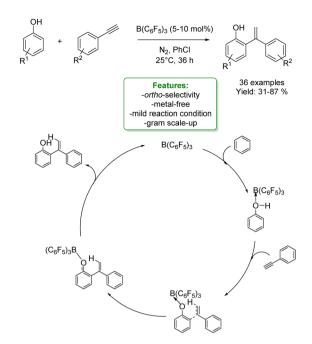


Scheme 65 Pd-catalysed alkenylation of phenols with alkynes and cyclization to benzofuran and coumarins.<sup>185</sup>

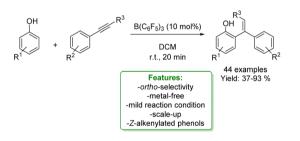
WangPhos plays a crucial role in the transformation, enabling the Au-catalysed hydroarylation of terminal alkynes under mild reaction conditions. This transformation featured a broad substrate scope and exhibited moderate to excellent yields. The synthetic utility of this chemistry was also demonstrated by the gram-scale synthesis and the one-step conversion of the product to versatile naphthol[2,1-*b*]furan derivatives (Scheme 64).<sup>184</sup>

Q. Zhu et al. reported an efficient and highly selective Pdcatalysed Csp<sup>2</sup>-H alkenylation of phenols with ethyl acrylates under mild reaction conditions. They accessed 32 orthosubstituted products in good yields, up to 95%. The required oxidative reaction condition was provided by K2S2O8. To further show the wide applicability of this method, the alkynylated phenols were converted into benzofurans and coumarins in good yields. The intramolecular cyclization of olefinic phenol to coumarin was further carried out under the same conditions. Late-stage modification of biologically active molecules with structural diversity, typical phenol-containing bioactive compounds, such as estrones, oestradiol, and ethinyloestradiol, was performed. The authors proposed a reaction mechanism in which phenol coordinates with the Pd-catalyst in the presence of the acetate counteranion. Then, a 1,3-migration of palladium happened, generating a C-H bond metalated intermediate. The insertion of alkene, followed by the  $\beta$ -H elimination, releases the alkenylated product and the Pd-

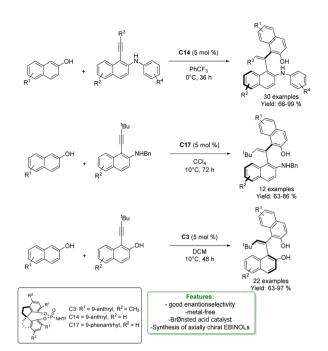
#### Review



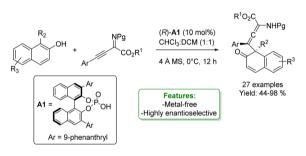
Scheme 66 Borane-catalysed hydroarylation of terminal alkynes with phenols.<sup>186</sup>



Scheme 67 Borane-catalysed hydroarylation of terminal alkynes with phenols.<sup>187</sup>



Scheme 68 Chiral phosphoric acid-catalysed asymmetric hydroarylation of alkynes with naphthols to obtain the EBINOL scaffold.<sup>187</sup>



Scheme 69 Chiral phosphoric acid symmetric dearomatization reaction for the synthesis of axial chiral allene-derived naphthalenones.<sup>189</sup>

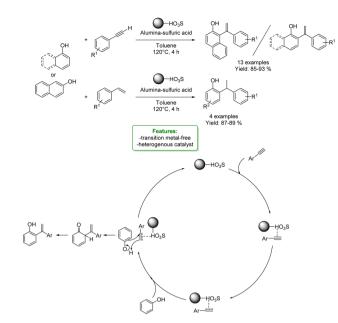
species, which is oxidized to the  $Pd(\pi)$  species to complete the catalytic cycle (Scheme 65).<sup>185</sup>

1.4.1.2 Acid/base catalysis. L. Huang et al. reported a boranecatalysed  $(B(C_6F_5)_3)$  hydroarylation of terminal alkynes with phenols, leading to the synthesis of 2-gem-vinyl-phenols with good regio-selectivity. When the reaction was performed with simple phenol, only ortho-alkenylated products were obtained.  $(B(C_6F_5)_3)$  acted as a Lewis acid, activating the hydroxyl group and increasing the acidity of phenol, which was beneficial to the protonation of the terminal alkyne, followed by the ortho Friedel-Crafts-type addition of phenol. Once the Lewis adduct is formed, the proton transfer from the phenolic OH group to the alkyne occurs forming an ion-pair intermediate. Then an electrophilic attack of the carbocation to the phenol anion affords the de-aromatized intermediate. Re-aromatization, followed by the dissociation of  $(B(C_6F_5)_3)$ , provides the desired product and regenerates the catalyst. The robustness of the  $(B(C_6F_5)_3)$ -catalyzed process was demonstrated by the gramscale application (Scheme 66).<sup>186</sup>

G. Wang, S. Li *et al.* reported another interesting example of borane-catalyzed ( $B(C_6F_5)_3$ ) hydroarylation of aryl alkynes with phenols to obtain *Z*-alkenylated phenols under mild reaction conditions and reduced reaction time. The optimized protocols showed selectivity in providing *ortho*-alkenylation products. The origin of *Z*-selectivity was investigated by DFT calculations, suggesting that the *E*-alkenyl phenol was less stable than its *Z*configuration. The isomerization of the *E*-product proceeds through a borane-assisted protonation/deprotonation sequence. The mechanism proposed by the authors confirms what was previously reported for the same catalyst (Scheme 67).<sup>187</sup>

K. N. Houk, B. Tan *et al.* reported the enantioselective synthesis of EBINOL scaffolds from naphthols. To construct this axially chiral motif asymmetrically, a chiral phosphoric acid-catalyzed asymmetric hydroarylation of alkynes was employed. This approach features complete E/Z-selectivity, with good to excellent yields (up to 99%), and excellent



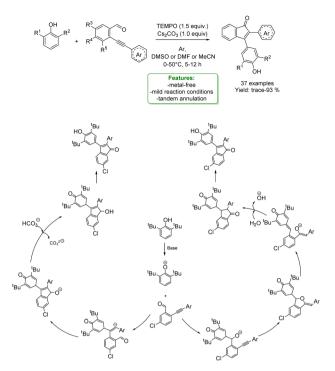


Scheme 70 Alumina-sulfuric heterogeneous solid acid catalyst for the hydroarylation of arylacetylenes and styrene with phenols and naphthols.

enantioselectivities (up to 99% ee). DFT calculations revealed the reaction mechanism and the origins of the enantioselectivity and E/Z-selectivities in this chiral phosphoric acidcatalyzed hydroarylation of alkynes. The potential applications of EBINOL-derived products were demonstrated by a series of asymmetric catalytic reactions (Scheme 68).<sup>188</sup>

X. Lin *et al.* reported an asymmetric dearomatization reaction for the synthesis of axial chiral allene-derived naphthalenones from 2-naphthols through  $\gamma$ -addition and  $\beta$ , $\gamma$ alkynyl- $\alpha$ -iminoesters with complete atom economy. The chiral phosphoric acid catalyst (*R*)-A1 provided facile and efficient access to the asymmetric construction of a broad range of products in moderate to excellent yields (up to 98%) with high diastereoselectivities and enantioselectivities. Control experiments suggested that the stereoselectivity arises from dual hydrogen bonding interactions with chiral phosphoric acid, and the substituent at position 1 of  $\beta$ -naphthol plays a crucial role in controlling the regioselectivity (Scheme 69).<sup>189</sup>

S. Bhar *et al.* have devised a highly regioselective, transition metal-free protocol utilizing alumina–sulfuric acid as a recyclable heterogeneous solid acid catalyst for the hydroarylation of arylacetylenes and styrene with differently substituted phenols and naphthols. The protocol yielded a range of 1,1-diarylalkenes and 1,1-diarylalkanes in good to excellent yields within a reasonable reaction time. The reaction selectively occurred at the *ortho*-position. Presumably, the reaction is initiated by the initial polarization of the carbon–carbon multiple bonds by the protic hydrogen of alumina–sulfuric acid, leading to the development of incipient electron deficiency at the  $\alpha$ -carbon. Subsequent intermolecular reactions with phenolic compounds might occur through a six-membered cyclic transition state involving the phenolic-OH moiety, resulting in an intermediate with

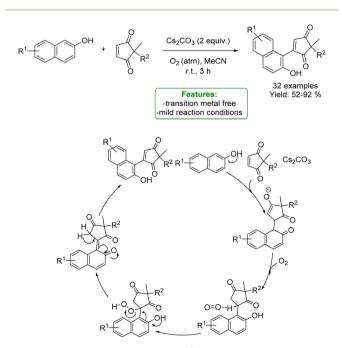


Scheme 71 Tandem annulation reaction of 2-alkynylbenzaldehydes with 2,6-disubstituted phenols.<sup>191</sup>

exclusive *ortho*-substitution, followed by aromatization through tautomerization (Scheme 70).<sup>190</sup>

#### 1.4.2. Non-catalytic Csp<sup>2</sup>–H alkenylation

*1.4.2.1 Metal-free.* T. Li and X. Qin *et al.* reported a metal-free tandem annulation reaction of 2-alkynylbenzaldehydes with 2,6-disubstituted phenols, yielding 2,3-diarylated indenones in good



Scheme 72 Transition-metal-free Csp<sup>2</sup>–H arylation of cyclopentene-1,3-diones with phenols.<sup>192</sup>

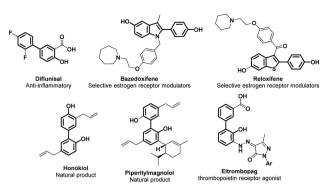


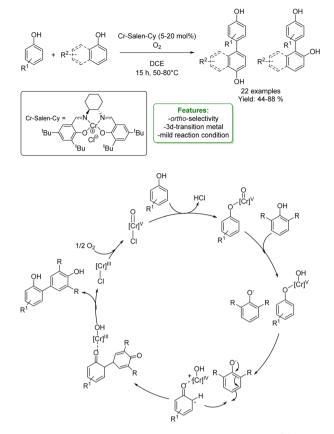
Fig. 7 Arylation of phenol structural moieties in API and agrochemical compounds.

to excellent yields under mild reaction conditions. The authors disclosed two complementary modes of reaction, furnishing either 'non-rearranged' indenones or 'rearranged' indenones with high selectivity based on electronic effects and reaction temperature. A plausible mechanism was proposed for both pathways. In the 'non-rearranged' mechanism, dearomatizing addition of phenolate to the alkyne produces an intermediate, which undergoes intramolecular nucleophilic addition to the aldehyde. Re-aromatization generates inden-1-ol, which is oxidized to the 'non-rearranged' indenone product by TEMPO. In the 'rearranged' mechanism, dearomatizing addition of phenolate to the aldehyde generates an intermediate, followed by 5-exo-dig cyclization and rearomatization to phthalan. Fragmentation of the latter to para-quinone methide and intramolecular 1,6-conjugate addition furnishes a dihydroindenone intermediate. This intermediate is oxidized to the 'rearranged' indenone product by TEMPO or oxygen (Scheme 71).191

R. Chegondi *et al.* reported a transition-metal-free Csp<sup>2</sup>-H arylation of cyclopentene-1,3-diones with phenols. The developed oxidative protocols with 2-naphthols proceed through a sequential base-mediated C-Michael addition, followed by oxygen insertion and subsequent  $\alpha$ -hydroperoxy elimination. This operationally simple transformation is highly scalable and does not require any pre-functionalization, providing asubstituted β-naphthols bearing all-carbon quaternary centers in good to excellent yields. The potential applicability of this arylation reaction was also highlighted with a gram-scale reaction. A plausible mechanism has been proposed. Initially, the basemediated C-Michael addition of β-naphthol to the α-position of pentene-1,3-dione occurs. Later, aromatization/protonation of the formed intermediate, followed by the interaction of O<sub>2</sub> with hydrogen, gives the hydroperoxy intermediate. This oxidation step likely proceeds through the formation of hydroperoxide radicals via hydrogen transfer to oxygen, followed by C-O bond formation with the resulting carbon radicals. Furthermore, basemediated elimination and subsequent aromatization afford the formal Csp<sup>2</sup>-H arylation product (Scheme 72).<sup>192</sup>

#### 1.5. Arylation

Aryl-substituted phenols and naphthols, are privileged structural motifs in biologically active molecules including natural

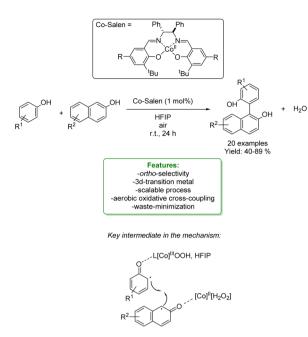


Scheme 73 Cr-catalysed phenol-phenol cross-coupling.<sup>211</sup>

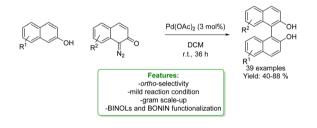
products (such as honokiol and piperitylmagnolol) and commercial APIs (such as bazedoxifene, reloxifene and eltrombopag). Several examples show antimalarial, anti(retro) viral, anti-inflammatory (diflunisal) or cytotoxic properties.<sup>25,26,193,194</sup> These scaffolds are extensively applied as versatile reactants, <sup>195,196</sup> building blocks for sensors<sup>197</sup> and intermediates in the synthesis of catalysts and ligands (Fig. 7).<sup>198-201</sup>

Due to the importance of this structural motif, significant research efforts have been dedicated to the development of novel, effective methodologies for obtaining it. The most common synthetic methodology to access such an interesting structural motif involves transition-metal catalysed crosscoupling, which typically requires challenging ortho-selective halogenation or borylation of the phenols.202,203 The required pre-functionalization steps are necessary to achieve the control of the regio- and chemo-selectivity, betraying the atom- and step-economy of the overall protocol. A more desirable, but also particularly challenging, route involves the direct Csp<sup>2</sup>-H functionalization of the desired position. However this reactivity demands installation and subsequent removal of DG.<sup>204-206</sup> In 2003, R. B. Bedford et al. reported a pioneering approach that entirely avoids the use of DG to selectively obtain ortho-arylphenols.207,208 On the heels of this work, great efforts have been made to achieve regioselective arylation of phenols via Csp<sup>2</sup>-H functionalization over the years.

In the upcoming sections, we report the latest transitionmetal catalysed, acid/base catalysed and photocatalyzed



Scheme 74 Co-catalysed phenol-phenol cross-coupling.<sup>212</sup>



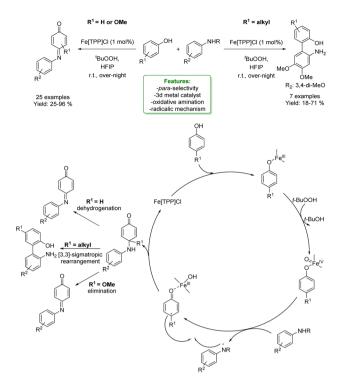
Scheme 75 Pd-catalysed synthesis of bisphenols.<sup>213</sup>

protocols. We also focus on the non-catalytic processes: metal-free, photo-promoted and electrosynthesis examples of  $Csp^2$ – H arylation of phenols.

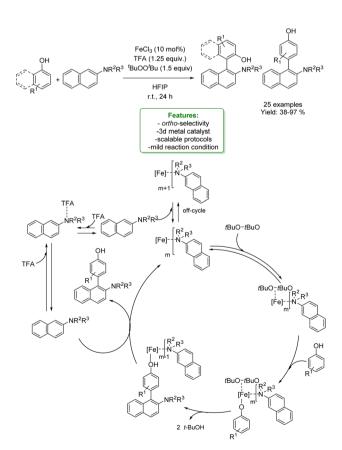
### 1.5.1. Catalytic Csp<sup>2</sup>-H arylation

1.5.1.1 Metal catalysis. Transition-metal catalysed  $Csp^2$ -H arylation of phenols is a privileged route to access selective functionalization. Many attempts were performed before 2019 resorting to the use of different metal-catalysts (Rh and Pd), to perform *para*-functionalization, *meta*-functionalization and *ortho*-functionalization.<sup>204,208-210</sup>

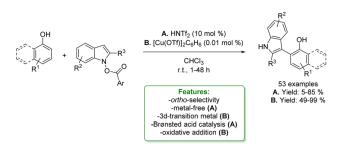
Focusing attention on the earliest reported examples, in 2019, M. C. Kozlowski *et al.* reported a combination of experimental and computational studies of a Cr-Salen phenol–phenol cross-coupling with oxygen as the oxidant. The reaction between 2,6-substituted phenols and phenols with only unsubstituted *ortho*-positions available resulted in the selective formation of *ortho–para* cross-coupling products. Cross-coupling between 2,6-substituted phenols and phenols that had both *ortho-* and *para-*positions available resulted in the selective formation of *para–para* cross-coupled products. Reactions with 2-naphthol and its derivatives, with the EWG and EDG, lead to cross-coupling products in good yields, up to 88%.



Scheme 76 Fe-catalysed oxidative cross-coupling of phenol with anilines.<sup>214</sup>



Scheme 77 Fe-catalysed oxidative cross-coupling of phenol with aminonaphthalenes.  $^{\rm 215}$ 



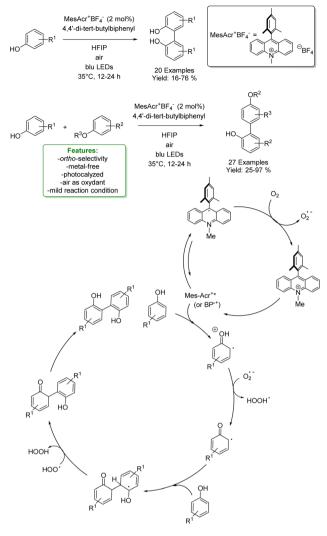
Scheme 78 Brønsted (A) and Lewis (B) acid catalysed arylation of phenols with *N*-carboxyindoles.<sup>216</sup>

To probe the reaction mechanism, kinetic studies of the Cr-Salen catalysed cross-coupling were performed. The results showed that the rate-determining step of the reaction is the oxidation of the Cr(m) to the active Cr(v). DFT calculations and kinetic isotope experiments were performed to understand the origin of the selectivity which is determined during the C-C bond formation (Scheme 73).<sup>211</sup>

With the same goal, D. Pappo *et al.* reported a  $Co(\pi)$ [salen]catalysed aerobic oxidative phenol-phenol cross-coupling to non-symmetric bi-phenols under mild reaction conditions. Aerobic conditions were guaranteed by conducting the reaction under air. The latter advantage in the greenness of the process was conferred by the possible recyclability of the 1,1,1,3,3,3hexafluoropropan-2-ol (HFIP) solvent. Under the optimized reaction conditions, different unsymmetrical bi-phenols were isolated in moderate to very good yields. In general, readily oxidized phenols with either ortho- or para-methoxy groups and 2-naphthols substituted with an EDG or weak EWG were suitable coupling partners. The authors postulated that the mechanism involves the coupling between a liberated phenoxyl radical and a ligated naphthoxyl radical, whereas the selectivity was attributed to the difference in the binding ability of the two phenols to the catalyst in HFIP (Scheme 74).212

Another example of the synthesis of bi-phenols was reported by B. Tan *et al.* in 2021. However, this case is not a phenolphenol cross-coupling, since the authors reported a Pdcatalysed arylation of phenols with diazoquinones (1-DNQ) as arylating reagents for the construction of privileged bi-aryl frameworks, BINOL and NOBIN. The Pd-catalytic system plays a pivotal role in the excellent selectivity since it is the most effective to inhibit the undesired X-H insertion reactions for both 2-naphthols and N-Boc-2-naphthamines. This approach demonstrates good functional group compatibility and a broad range of structurally diversified BINOLs and BONIN derivatives were obtained in up to 88% yield (Scheme 75).<sup>213</sup>

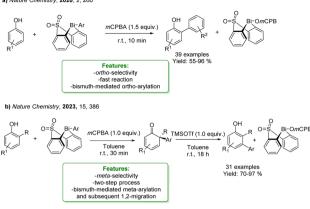
In 2020, D. Pappo *et al.* reported a Fe-catalysed *para*-selective oxidative amination of phenols with primary and secondary anilines, resorting to the use of a peroxide (<sup>t</sup>BuOOH) and HFIP as privileged solvent under mild reaction conditions. This process provides a direct entry to benzoquinone anils *via* C–N coupling, or to *N*,*O*-biaryl compounds *via* C–C coupling, depending on the nature of the R1 substituent of the phenolic ring. When R = H or OMe, benzoquinone anils were obtained *via* sequential oxidative amination/de-hydrogenation (R = H) or



Scheme 79 Photocatalytic phenol-phenol and phenol-ether coupling.  $^{\rm 217}$ 

oxidative amination/elimination (R = OMe) pathways. On the other hand, *N*,*O*-biaryl compounds are formed when R = alkyl through an oxidative amination/[3,3]-sigmatropic rearrangement (quinamine rearrangement) process. The postulated mechanism involves the coupling of a liberated anilino radical and an iron-ligated (4-*R*)phenoxyl radical (Scheme 76).<sup>214</sup>

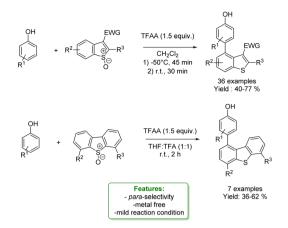
Later in 2021, the same authors reported another Fecatalysed oxidative cross-coupling of phenol with primary, secondary, and tertiary 2-aminonaphthalene resorting to the use of peroxide (<sup>t</sup>BuOO<sup>t</sup>Bu) as the oxidant and HFIP as privileged solvent under mild reaction condition as well. The generality of this scalable method provides a sustainable alternative to obtain a wide range of variously functionalized *N*,*O*biaryl compounds from moderate to excellent yields. A comprehensive kinetic investigation showed how the ternary complex that interacts with both the coupling partners and the oxidant is the crucial oxidative coupling stage. Furthermore, the studies showed that the reaction is regulated by off-cycle acidbase and ligand exchange processes<sup>215</sup> (Scheme 77). a) Nature Chemistry 2020 2 260



Scheme 80 Arylation of phenols with modular bismacycles. (a) Orthoarylation of phenols with modular bismacycles.<sup>218</sup> (b) Meta-arylation of phenols with modular bismacycles.<sup>219</sup>

1.5.1.2 Acid/base catalysis. Shin et al. reported an orthoindolylation of phenols, with exceptional chemo- and regioselectivity, using two catalytic systems, HNTf<sub>2</sub> or [Cu(OTf)]<sub>2</sub> C<sub>6</sub>H<sub>6</sub>, under mild reaction conditions. Although HNTf<sub>2</sub> provided an efficient metal-free catalytic system, the Cu-catalyst was applied to a broader range of substrates, obtaining no less than 49% isolated yield. The authors proposed that the reaction proceeded through an SN<sub>2</sub> substitution for Brønsted acid catalysis or through oxidative addition for Cu(1)-catalysis. Interestingly, an EDG at the m-position of phenols, accelerated the coupling reaction, compared to the p-position. This is presumably because the m-substituent was placed para- to the reacting center, increasing the electron-density in the proposed [3,3]sigmatropic rearrangement. Likewise, other electron-rich (hetero) arenes, such as indoles, pyrroles, and benzofurans, failed to provide the corresponding coupled product, under both conditions (Scheme 78).<sup>216</sup>

1.5.1.3 Photocatalysis. In the field of bi-phenol synthesis via phenol-phenol homo- and cross-coupling, a novel oxidative photocatalytic protocol was reported by M. C. Kozlowski et al. using MesAcr<sup>+</sup>BF<sub>4</sub><sup>-</sup> as the photocatalyst. Air was used to guarantee the oxidative reaction conditions and HFIP as the reaction medium. Mechanistic studies suggested that an oxidation of the

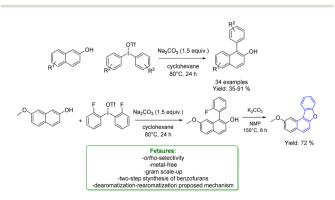


Scheme 82 Metal-free arylation of phenols with variously functionalized benzothiophenes.221

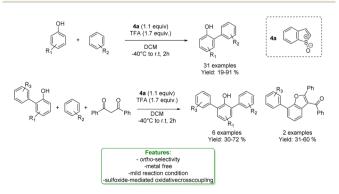
phenol followed by the nucleophilic addition of another phenol is a plausible pathway. The developed process afforded a range of new and known compounds in high yields via phenols homoand cross-coupling. Poor selectivity is generally observed with homocoupling. For this reason, homocoupling reaction requires specific substitution patterns, which include an alkyl group at the para-position. On the other hand, cross-coupling resulted in being more effective with monosubstituted phenols thanks to the high oxidation potential (Scheme 79).217

1.5.2. Non-catalytic Csp<sup>2</sup>-H arylation. As mentioned in the previous section, the most common approach for phenol arylation involves transition-metal catalysis through crosscoupling and direct Csp<sup>2</sup>-H functionalization. Achieving userfriendly and metal-free methods for selective ortho- and metaarylation of unmodified phenols is both desirable and challenging.

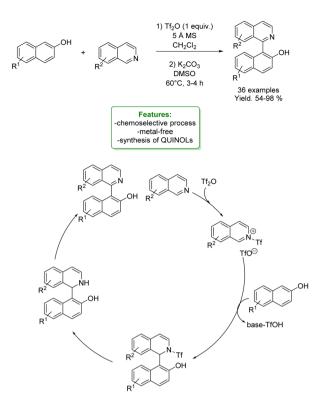
An intriguing novel methodology reported the development of modular bismacycles as general solution for this challenge. L. T. Ball et al. in 2020 reported a telescoped Bi(v)-mediated orthoarylation of phenols and naphthols exhibiting a broad substrate scope and tolerating synthetically useful functionalities. The reaction proceeded under mild conditions without the need to exclude air or moisture (Scheme 80a).<sup>218</sup> In 2022, they reported the method for the *meta*-selective Csp<sup>2</sup>-H arylation of sterically congested phenols via Bi(v)-mediated electrophilic arylation



Scheme 81 Transition metal-free arylation of 2-naphthols with diarvliodonium salts.<sup>220</sup>



Scheme 83 Metal-free sulfoxide-mediated oxidative cross-coupling of phenols with various nucleophiles.<sup>222</sup>



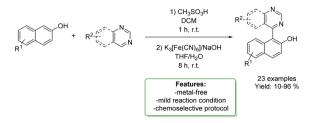
Scheme 84 Metal-free oxidative cross-coupling reaction between isoquinoline and 2-naphthol.<sup>223</sup>

and subsequent 1,2-migration. This protocol employed readily available reagents exhibited a broad substrate scope and tolerated synthetically useful functionalities (Scheme 80b).<sup>219</sup>

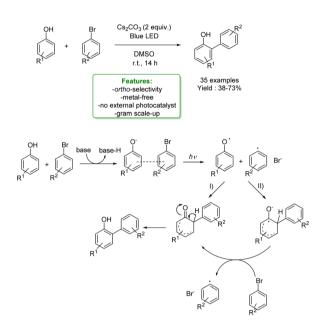
1.5.2.1 *Metal-free.* As far as transition metal-free methods are concerned, distinct approaches that facilitate the efficient incorporation of an aryl substituent into the structure of a phenol are gaining attention. Examples include Friedel–Crafts–type reactions and Brønsted acid-promoted reactions. However, these methods have inherent limitations because they are only capable of incorporating electron-rich aryl groups.

To overcome these constraints in a transition-metal-free context, M. Kalek *et al.* in 2019 developed a Csp<sup>2</sup>–H arylation of 2-naphthols using diaryliodonium salts as aryl-donors. The developed method enabled the introduction of electron-poor aryl rings, diaryliodonium salts. The reaction proceeded in good to excellent yields for a variety of 2-naphthol substrates and an array of substituents in positions 6 and 7 was tolerated. This protocol was particularly suited for the preparation of fluorinated products. Further transformations, for example, upon intramolecular cyclization under basic conditions allowed *p*-extended dibenzofuran derivatives to be obtained. The mechanistic computational investigation demonstrates that the overall Csp<sup>2</sup>–Csp<sup>2</sup> coupling occurred by a de-aromatization/rearomatization sequence (Scheme 81).<sup>220</sup>

Another interesting example of transition metal-free arylation of phenols was reported by D. J. Procter *et al.* with variously functionalized benzothiophenes activated as their S-oxides. This *para*-selective process needed trifluoroacetic anhydride and an electron-withdrawing group at  $C^3$  of the benzothiophene



**Scheme 85** One-pot oxidative coupling of 1,3-diazines, 1,2,4-triazines, and 2-quinoxalone with 2-naphthols.<sup>223</sup>

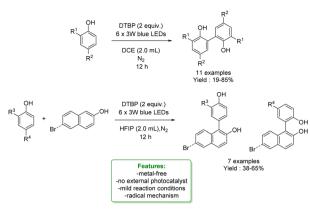


Scheme 86 Transition-metal-free photoinduced arylation of phenols with aryl halides.<sup>225,226</sup>

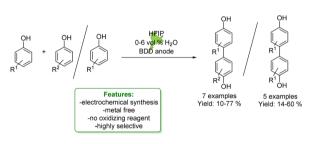
for taking place. Computational studies suggested a mechanism involving heterolytic cleavage of an aryloxy-sulfur species to give a benzothiophene and a phenoxonium cation. The synthetic utility of the metal-free, direct arylation process was underlined by the selective manipulation of the versatile benzothiophene products (Scheme 82).<sup>221</sup>

The same research group reported a sulfoxide-mediated oxidative cross-coupling of phenols with various nucleophiles, including arenes, 1,3-diketones and other phenols. The metal-free protocol was mediated by a sulfoxide which allowed the selective *ortho*-arylation cross-coupling *versus* homo-coupling. The sulfoxide **4a** was activated using trifluoroacetic acid (TFA) before the addition of the arylating agent, obtaining a wide plethora of desired products from good to excellent yields. A wide range of biaryls was achieved. Benzofurans were obtained *via* an iterative coupling of three nucleophiles (Scheme 83).<sup>222</sup>

B. Tan *et al.* presented a metal-free oxidative cross-coupling reaction between isoquinolines and 2-naphthols, furnishing structurally diversified QUINOLs.<sup>223</sup> This protocol boasts high yields and exclusive chemoselectivity. To underscore the robustness of the developed protocols, a gram-scale experiment was conducted. A plausible mechanistic pathway was



Scheme 87 Transition-metal-free photoinduced arylation of phenols with aryl halides.<sup>225,226</sup>

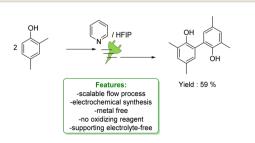


Scheme 88 Electrosynthesis of arylated phenols with arenes.<sup>227–229</sup>

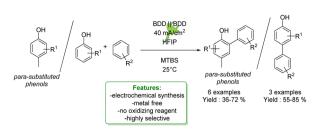
elucidated. The reaction is initiated as  $Tf_2O$  activates the isoquinoline, forming acyl isoquinolinium.

The nucleophilic 2-naphthol selectively adds to the iminium carbon of the activated species from the C1 position, facilitated by proton abstraction from the hydroxyl group by the proximal triflate anion. Subsequent tautomerization of the naphthol ring then induces dearomatization. Such intermolecular synergistic activation-addition events likely play a crucial role in overcoming the otherwise challenging chemoselectivity issues posed by the competitive nucleophilic and electrophilic sites on these reactants. Finally, an oxidative aromatization process yields the target product QUINOL, accompanied by the removal of the Tf group (Scheme 84).<sup>223</sup>

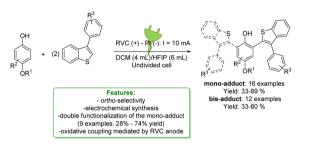
I. A. Utepova *et al.* disclosed a one-pot oxidative coupling of 1,3-diazines, 1,2,4-triazines, and 2-quinoxalone with 2-naphthols, facilitated by Brønsted acid. The reaction selectively occurs at the C1 position of 2-hydroxynaphthalene, yielding products ranging from low to excellent yields under mild reaction conditions. The pivotal role of  $K_3$ [Fe(CN)<sub>6</sub>] as an oxidant is



Scheme 89 Electrosynthesis of arylated phenols with arenes.<sup>227–229</sup>



Scheme 90 Electrosynthesis of arylated phenols with arenes.<sup>227–229</sup>



Scheme 91 Electro-oxidative coupling of phenols and 3-phenylbenzothiophenes.<sup>232</sup>

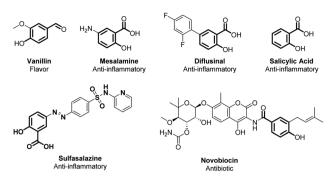
evident, enabling the re-aromatization of the dihydroderivative intermediate formed after the acid-promoted addition of 1,3diazines, 1,2,4-triazines, and 2-quinoxalone to 2-naphthols (Scheme 85).<sup>224</sup>

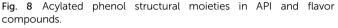
1.5.2.2 Photosynthetic protocols. Given the concerns in the development of transition-metal-free arylation of phenols, photosynthetic processes were developed to overcome the main challenges involving the use of an electron donor-acceptor (EDA) complex formed by electron-rich phenolates with aryl halides. In 2022, H.-X. Li et al. developed a transition-metal-free visible-light-driven arylation of phenols with arylbromides at room temperature under irradiation of a blue LED or natural sunlight. Phenols bearing an EWG at the para-position were converted into ortho-arylated phenols. This reaction proceeded through two possible pathways. The first one involved the visible light photoexcitation of an EDA complex to trigger SET from phenolate to aryl bromide, resulting in the formation of an aryl radical and phenoxyl radical. Then, the latter isomerizes into a phenoxonium radical, and finally, the radical-radical cross-combination gives the desired product after aromatization. Alternatively, the addition of the aryl radical to phenoxyl radical, reduction by ArBr, and aromatization afforded the product (Scheme 86).225

Yu and collaborators reported a light-driven arylation of phenols to biphenols by using di-*t*-butyl peroxide (DTBP) under blue LEDs, without an external photocatalyst. They proposed a radical mechanism starting from the generation of <sup>t</sup>BuO · that abstracts a hydrogen from the phenol. Then, through a cascade process, products were formed (Scheme 87).<sup>226</sup>

1.5.2.3 Electrosynthesis. Another interesting approach to overcome the principal limitation of the transition-metal-free arylation of phenols is furnished by electrosynthesis which represents a remarkably simple, inherently safe, and sustainable method to implement the desired phenol arylation.

6



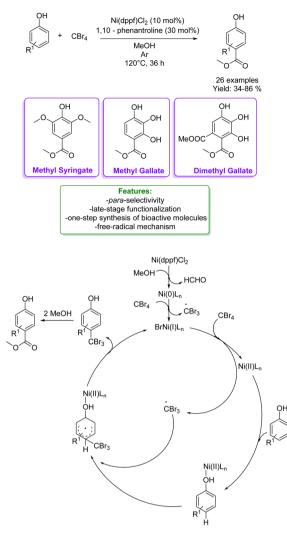


S. R. Waldvogel *et al.* in 2019 reported a highly *para*-selective electrochemical protocol for the anodic dehydrogenative 2,5and 2,6-substituted phenol–phenol cross-coupling and homocoupling. This synthetic strategy gave access to a broad range of non-symmetric and symmetric 4,4'-biphenols under mild reaction conditions. To successfully prevent over-oxidation and therefore side reactions, the use of HFIP and 2,5-substituted phenols was a suitable and efficient strategy, resulting in yields up to 77%. Concerning the dehydrogenative homo-coupling protocol, the HFIP/H<sub>2</sub>O mixture was the key to access the desired symmetrical products in yields up to 60% (Scheme 88).<sup>227</sup>

In 2020, the same authors reported a supporting-electrolytefree and scalable flow process for the electrochemical dehydrogenative homocoupling of phenols to afford 3,3',5,5'-tetramethyl-2,2'-biphenol. The key to this process was the use of pyridine as an additive in HFIP, which can simply be removed by distillation and recovery. This facilitates the downstream process, making it simple, economical, and technically viable (Scheme 89).<sup>228</sup>

More recently, in 2021, they developed a dehydrogenative phenol-arene cross-coupling by direct anodic oxidation of phenols to bi-aryls resorting to the use of boron-doped diamond (BDD) electrodes in combination with 1,1,1,3,3,3-hexafluoropropan-2-ol (HFIP) (Scheme 90).<sup>229</sup>

Formation of benzothiophene derivatives is a cutting-edge process to access pharmaceuticals and organic electronic materials.230,231 Liu, Yue and others developed a Csp2-H coupling of phenols with thiophene to obtain 3-phenylbenzothiophenes. They used an undivided cell with Pt as the cathode and RVC as the anode with HFIP and CH2Cl2 as solvents. After a large screening of different electrolytes, <sup>n</sup>Bu<sub>4</sub>NPF<sub>6</sub> was selected as the best one. They first studied a single C-H coupling, obtaining 16 products in moderate to very good yields (33-89%). Changing the current from 10 mA to 5 mA, they obtained 12 double-functionalized products in moderate to very good yields (33-80%). They also proposed a mechanism based on the SET oxidation of both the reaction partners: at the anode, phenol generated the phenol oxygen radical while the 3-phenylbenzothiophene was the cation radical. Then, a radical coupling between these two intermediates occurred and, after deprotonation, benzothiophene derivative products were obtained (Scheme 91).232

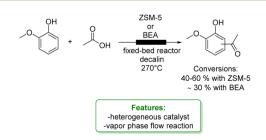


Scheme 92 Ni-catalysed acylation of phenols.237

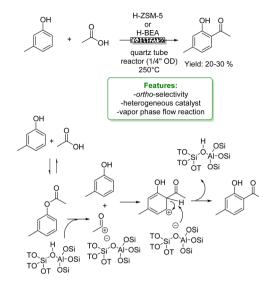
#### 1.6. Acylation

Acylation reactions of phenolic compounds are widely used in the synthesis of fine chemicals such as flavours (*i.e.* vanillin) and a wide range of anti-inflammatory compounds (mesalamine, diflusinal, salicylic Acid, sulfasalazine) and antibiotics (novobiocin) (Fig. 8).<sup>4</sup>

The acylation of phenolic compounds may proceed through two different pathways: *O*-acylation or direct *C*-acylation. The first one yields the corresponding ester, which can be further transformed into an aromatic ketone *via* Fries rearrangement.



Scheme 93 Acylation of phenolic compounds with acetic acid.<sup>240–242</sup>



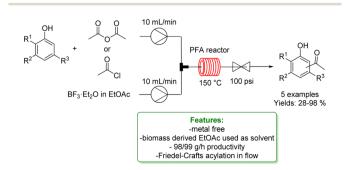
Scheme 94 Acylation of phenolic compounds with acetic acid.<sup>240–242</sup>

The latter one is the direct Friedel–Crafts acylation of the benzene ring. If one or the other mechanism is followed depends on different features: the acylating agent used, if the reaction is in vapor or liquid phase, the presence of water, the solvent used and the acid/base properties of the catalyst.<sup>233,234</sup> Padró and others studied the correlation between the two different mechanisms and the acid catalyst used to promote the reaction: they explained that phenol esters are mainly formed by Brønsted acids, while strong Lewis acid sites generally promoted direct *C*-acylation.<sup>235,236</sup>

### 1.6.1. Catalytic Csp<sup>2</sup>-H acylation

1.6.1.1 Metal catalysis. Zhao and Ji's research group developed a Ni-catalysed free-radical carboxylation strategy using 1,10-phenanthroline as the ligand to gain steric hindrance directing the regioselectivity towards the *para*-product. They obtained *para*-acylated phenols in sufficient to very good yields (42–86%). They proposed a one-step synthesis of three natural products (methyl syringate, methyl gallate and dimethyl gallate) in 43–75% isolated yields. Late-stage functionalization of seven bioactive molecules was achieved in 34–72% yields. They performed mechanistic studies in which they proved the free radical mechanism and the Ni–OH interaction to activate the phenolic ring.

The proposed mechanism consists of the first reduction of Ni(II) to Ni(0) which reacted with a CBr<sub>4</sub> molecule to form BrNi(I)



Scheme 95 Acylation of phenolic compounds with acetic acid.<sup>240–242</sup>

 $L_n$  species and a carbon tribromide radical. Since the Ni(I) complex is highly active, it continues to react with other carbon tetrabromide molecules to access  $Br_2Ni(II)L_n$  intermediate. This coordinated the phenol reducing its aromaticity so the carbon tribromide radical can attack the *para*-position. Then, through a SET process,  $BrNi(I)L_n$  was formed again, and phenol aromaticity was restored. In the end, through a double methanolysis process, the *para*-acylated phenol was obtained (Scheme 92).<sup>237</sup>

1.6.1.2 Acid/base catalysis. Cresols, guaiacols, catechols, and resorcinols are key starting materials to be functionalized since they are directly formed from lignin depolymerization processes. Inspired by Yadav's work on Friedel-Crafts acylation of guaiacol with various acylating agents over solid acid catalysts,<sup>238</sup> Sad et al. reported a vapor phase flow reaction between guaiacol and acetic acid over different zeolites.239 They obtained very low conversions (16.0% to 24.0%, depending on the catalyst used) and poor selectivity (O-acylated product is mainly formed). However, they proved catalyst reusability obtaining 24% of guaiacol conversion. Later in 2021 Moreno, Coronado and others studied vapor phase acylation of guaiacol with acetic acid over microcrystalline, nanocrystalline and hierarchical zeolites (ZSM-5 and Beta). After the catalysts' characterization with different techniques, they investigated the formation of hydroxymethoxyacetophenones (HMPAs). They noted that the

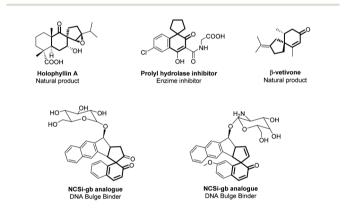
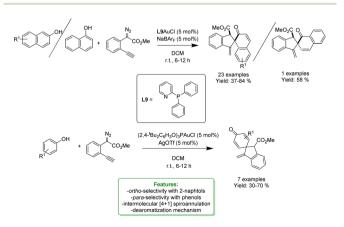


Fig. 9 Bioactive and drugs spirocycle-containing compounds.

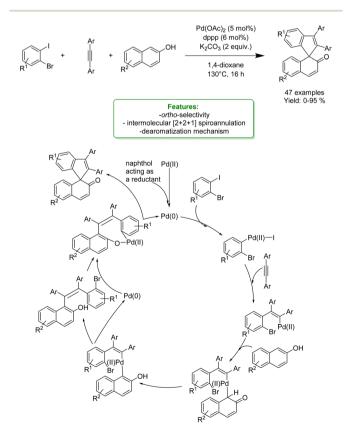


Scheme 96 Au-catalysed spiroannulation of phenols and naphthols.  $^{\tt 256}$ 

Scheme 97 Pd-catalysed spiroannulation of naphthols.<sup>257</sup>

better accessibility of the pores of hierarchical and nanocrystalline zeolites gave better conversions (40–60% for ZSM; ~30% for Beta) than the microcrystalline one (<20%). The selectivity towards the HMAPs was better when a zeolite with Lewis/Brønsted acid site ratio of 0.5 (nano-crystalline and hierarchical zeolites) was used (Scheme 93).<sup>240</sup>

Crossley *et al.* developed acylation of *m*-cresol with acetic acid over different zeolites establishing a vapor phase flow strategy. After the pretreatment of the catalyst to remove the physiosorbed water, starting materials *m*-cresol and acetic acid were introduced in the inlet of the reactor that is heated at  $250 \circ$ C to vaporize the reagents. Upon varying respectively cresol and acetic acid pressures the initial turn-over frequency (TOF<sub>0</sub>) was affected. The rate of 2-hydroxy-4-methylacetophenone formation resulted to be zero-order with respect to phenol and acetic acid. The reaction rate equation was derived based on the



Scheme 98 Pd-catalysed spiroannulation of naphthol via threecomponent reactions.<sup>258</sup>

proposed acylation pathway *via* active intermediate ester, *m*-tolylacetate. This intermediate was formed at the MFI channel intersections; it was subsequently decomposed in the Brønsted acid sites to form acylium ion that acylates *m*-cresol. The deactivation of the zeolite catalysts was due to the subsequent esterification of the already-acylated product forming higher molecular mass species (Scheme 94).<sup>241</sup>

A. B. Charette's group proposed the acylation of phenol derivatives in the presence of the Lewis acid  $BF_3 \cdot Et_2O$  in a PFA reactor. Running the reaction on a 20 mmol scale with a flow rate of 10 mL min<sup>-1</sup> and residence time of 1 min they obtained a productivity of 98/99 g h<sup>-1</sup> of the acylated product (Scheme 95).<sup>242</sup>

#### 1.7. Spiroannulation

**1.7.1.** Catalytic Csp<sup>2</sup>–H spiroannulation. Among the reactions for the formation of the Csp<sup>2</sup>–C bond *via* Csp<sup>2</sup>–H functionalization the de-aromatization reactions of phenols and naphthols have received great attention and emerged as one of the most efficient and straightforward approaches for the rapid construction of highly complex three-dimensional scaffolds, as spirocycles, which are common structures in natural products (holophyllin A,  $\beta$ -vetivone), bioactive molecules and drugs (prolyl hydrolase inhibitor and NC Si-gb analogues) (Fig. 9).<sup>243,244</sup>

Transition-metal catalysed spiroannulation of phenols and naphthols is an attractive tool combining Csp<sup>2</sup>-H bond functionalization and sequential de-aromatization of arenes to spirocycles in a step- and atom-economical access approach.<sup>245-254</sup> The dearomatizing spiroannulation of phenol derivatives is particularly intriguing since it enables the incorporation of a carbonyl group into the resulting spirocyclic compounds. It is noteworthy that this functionality is usually employed as an acceptor unit in organic optoelectronic molecules.255 However, the spiroannulation suffers from several limitations, for example, the only ortho-position functionalization, and the harsh reaction conditions, which limits the applicability to a restricted substrate scope. Thus, the development of novel and most effective approaches for phenols and naphthols functionalization to spirocycles is highly desirable.

1.7.1.1 Metal catalysis. L. Liu *et al.* developed an Aucatalyzed protocol to de-aromatize phenols and naphthols to access spirocyclic compounds *via* Csp<sup>2</sup>–H bond functionalization with *o*-alkynylaryl- $\alpha$ -diazoesters. The reaction delivered alkynyl phenol derivatives, which were able to further undergo a carbocyclization de-aromatization reaction. This protocol provided diverse highly spirocyclic molecules in good to excellent yields with high chemo- and regio-selectivity and good diastero-selectivity (Scheme 96).<sup>236</sup>

Z. Bin, J. You *et al.* disclosed a Pd-catalysed direct [4 + 1] spiroannulation of *ortho*-Csp<sup>2</sup>–H bonds of naphthols with cyclic-diaryliodonium salts. They synthesized a wide range of spirofluorenyl naphthalenones (SFNP) under mild reaction conditions. The so-formed spirocycle products were further used as acceptors to construct structurally new thermally activated delayed fluorescent (TADF) materials (Scheme 97).<sup>257</sup>

The X. Luan research group reported a Pd(0)-catalysed chemo- and regio-selective three-component dearomative [2

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+ 2 + 1] spiroannulation of 2-naphthols with 1,2-dihaloarene and alkynes. The authors conducted mechanistic studies revealing that the domino reaction proceeded through a cascade of oxidative addition to Pd(0), alkyne migratory insertion, and 2-naphthol facilitated dearomatizing [4 + 1] spiroannulation. Various substituents on the 1,2-dihaloarene ring and 2-naphthols were well tolerated, including electrondonating and electron-withdrawing groups. A broad range of symmetrical alkynes, bearing various aromatic, heterocyclic and aliphatic groups were used as well, yielding good results (Scheme 98).<sup>258</sup>

### 2 Conclusions

In this review, we have highlighted the current state of the art on the Csp<sup>2</sup>-H functionalization of simple, unprotected phenols. By surveying the literature of the past five years, the growing interest in such methodologies is certainly evident. In fact, this versatile tool enables access to a wide plethora of functionalized phenols, that often constitute essential structural moieties for target materials with a diverse range of properties and activities. Csp<sup>2</sup>-H functionalization facilitates the efficient synthesis, in a step- and atom-economical manner, of versatile intermediates, NPs and APIs, as well as the late-stage functionalization of biologically active products. Csp<sup>2</sup>-H alkylation and arylation constitute reliable alternatives to traditional cross-coupling methods. Csp<sup>2</sup>-H alkenylation is a well-established method to access the synthesis of Ocontaining heterocyclic compounds.

Transition-metal catalysed Csp<sup>2</sup>–H functionalization remains the preferred method to ensure high selectivity and substrate versatility. Additionally, metal-free procedures are also of great interest to achieve functionalization while reducing the environmental footprint. These strategies include photocatalysis and electrosynthesis.

The *ortho*-functionalization of unprotected phenols is observed to be favoured over other positions. Selective functionalization at the *para*-position has also been explored and it seems to be in agreement with classical steric considerations. However, selective *meta*-functionalization of unprotected phenols is still a challenge. To achieve this selectivity the installation of a directing group on the hydroxy group is necessary.

The efforts to valorise lignin have resulted in the generation of well-defined streams of phenolic building blocks, which can be integrated into the chemical value chain.

This review has also tried to draw attention to the development of efficient and inherently environmentally friendly Csp<sup>2</sup>–H functionalization methods for simple, unprotected phenols, with the goal of promoting their utilization as ligninderived feedstock. Indeed, in the pursuit of innovative synthetic methodologies, both the utilization of renewable feedstock and the development of environmentally sustainable approaches play pivotal roles. The fusion of these two concepts represents a significant advancement in the design of new synthetic production processes for phenols' valorisation and future investigations will certainly contribute in this direction.

## Author contributions

G. B. and B. D. started the literature survey proposed by L. V. in agreement with C.-J. L. The manuscript was drafted by G. B. and B. D. with revisions by all the authors.

### Conflicts of interest

There are no conflicts to declare.

### Acknowledgements

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