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# Wavelength-dependent rearrangements of an $\alpha$ -dione chromophore: a chemical pearl in a bis(hypersilyl) oyster†‡

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The symmetric bissilyl-dione **3** reveals two well-separated  $n \rightarrow \pi^*$  absorption bands at  $\lambda_{\text{max}} = 637$  nm ( $\epsilon = 140 \text{ mol}^{-1} \text{ dm}^3 \text{ cm}^{-1}$ ) and 317 nm ( $\epsilon = 2460 \text{ mol}^{-1} \text{ dm}^3 \text{ cm}^{-1}$ ). Whereas excitation of **3** at  $\lambda = 360/365$  nm affords an isolable siloxyketene **4** in excellent yields, irradiation at  $\lambda = 590/630$  nm leads to the stereoselective and quantitative formation of the siloxyrane **5**. These remarkable wavelength-dependent rearrangements are based on the electronic and steric properties provided by the hypersilyl groups. While the siloxyketene **4** is formed *via* a hitherto unknown 1,3-hypersilyl migration *via* the population of a second excited singlet state ( $S_2$ ,  $\lambda_{\text{max}} = 317$  nm, a rare case of anti-Kasha reactivity), the siloxyrane **5** emerges from the first excited triplet state ( $T_1$  *via*  $S_1$ ,  $\lambda_{\text{max}} = 637$  nm). These distinct reaction pathways can be traced back to specific energy differences between the  $S_2$ ,  $S_1$  and  $T_1$ , an electronic consequence of the bissilyl substituted  $\alpha$ -dione (the "pearl"). The hypersilyl groups act as protective "oyster shell", which are responsible for the clean formation of **4** and **5** basically omitting side products. We describe novel synthetic pathways to achieve hypersilyl substitution (**3**) and report an in-depth investigation of the photorearrangements of **3** using UV/*vis*, *in situ* IR, NMR spectroscopy and theoretical calculations.

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## Introduction

$\alpha$ -Diones exhibit a wide range of photo-induced reactions that depend on various factors such as substituent character, geometry (including conformation, strain, and steric shielding), and electron distribution around the  $-\text{C}(\text{O})-\text{C}(\text{O})-$  moiety.<sup>1</sup> As a result, butane-2,3-dione (biacetyl) reveals a distinctly different absorption spectrum than the strained bicyclo[2.2.2]octene-2,3-dione<sup>2</sup> or 1,2-diphenyl-ethane-1,2-dione (benzil),<sup>3</sup> which again differs from 1,2-bis(2,4,6-trimethylphenyl)ethane-1,2-dione.<sup>1,2,4,5</sup> Moreover, the through-bond interactions between the non-bonding orbitals of the

carbonyl atoms result in the formation of two molecular orbitals with different orbital energies. Consequently, the absorption spectra of  $\alpha$ -diones reveal two separated  $n \rightarrow \pi^*$  bands, one of which strongly overlaps with  $\pi \rightarrow \pi^*$  bands. Accordingly, this impairs selectively addressing such transitions and investigating wavelength-dependent photochemistry. It has been shown that silyl substituents at carbonyl moieties induce intriguing chemistry. Acylsilanes have evolved as widely used reagents in synthetic chemistry and as important intermediates in material science.<sup>6</sup> Their photochemical reactivity has been extensively investigated, with roots dating back to the late 1970s.<sup>7</sup> While simple acylsilanes undergo a photo-induced 1,2-silyl migration (photo-Brook rearrangement) forming siloxycarbenes,<sup>8</sup> branched acylpoly-silanes form metastable silenes *via* 1,3-silyl migration (compound **1**, Scheme 1a).<sup>9</sup> In contrast,  $\alpha$ -diketones carrying one silane substituent yielding highly reactive siloxyketenes (compound **2**, Scheme 1b) *via* scission of the Si-C bond followed by 1,3-silyl migration of the entire silyl group.<sup>10</sup> An isolation of these molecules by a photochemical approach was not possible so far. However, Glorius and coworkers used various trapping agents (methanol, piperidine, and 4-toluenethiol) to underpin the formation siloxyketenes.<sup>11</sup>

Here we report on a novel synthetic pathway toward symmetrically bissilyl substituted  $\alpha$ -dione exhibiting unique photorearrangements (Scheme 1c).

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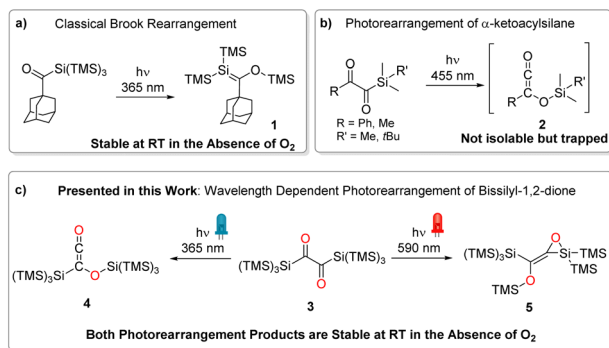
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† Deposition numbers 2242020 (for **3**) and 2242021 (for **4**) contain the supplementary crystallographic data for this paper. These data are provided free of charge by the joint Cambridge Crystallographic Data Centre and Fachinformationszentrum Karlsruhe Access Structures service <https://www.ccdc.cam.ac.uk/structures>.

‡ Electronic supplementary information (ESI) available: Experimental procedures, characterization data, NMR spectra, X-ray crystallographic and computational details (PDF). CCDC 2242020 and 2242021. For ESI and crystallographic data in CIF or other electronic format see DOI: <https://doi.org/10.1039/d4sc00064a>

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**Scheme 1** Different types of photorearrangements of acylsilanes. (a) Classical photo-Brook rearrangement, (b) photorearrangement of an  $\alpha$ -ketoacylsilane, (c) wavelength dependent photorearrangement of **3** presented in this work.

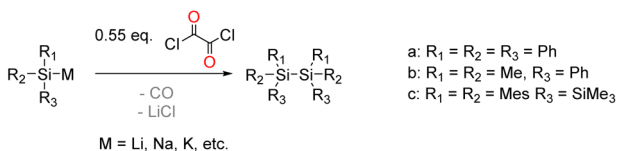
## Synthesis

The starting point of our investigation was the synthesis of bisilyl substituted  $\alpha$ -diones. To that end, several silyl anions were prepared and reacted with oxalyl chloride under various conditions. However, instead of the desired molecules, CO evolution together with formation of Si–Si bonds (see Scheme 2) was observed in all cases. Transmetalation to *e.g.* copper or zinc did not influence the reaction outcome (see ESI†).

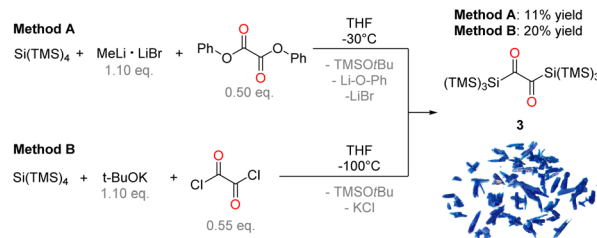
Markedly, using alkali metal-substituted tris(trimethylsilyl)silanides<sup>12,13</sup> either with oxalyl chloride or diphenyl oxalate (methods A and B, Scheme 3, resp.) afforded bisilyl-dione **3**. Optimization of the reaction conditions again revealed a delicate balance between the formation of **3** and 1,1,1,4,4,4-hexamethyl-2,2,3,3-tetrakis(trimethylsilyl)tetrasilane by metal-halide exchange of the silanide.<sup>14</sup> Change of the solvent or counterion for the silanide formation did not increase the yield of **3**. However, lowering the temperature effectively attenuated the metal-halide exchange reaction thereby increased the yield of **3** (20% at  $-100$  °C vs. 3% at  $-30$  °C). Compound **3** is air-stable and can be stored at room temperature in the dark for months without the observation of degradation products. Compound **3** crystallizes in the triclinic space group  $P\bar{1}$  with 4 molecules per unit cell. The carbonyl groups adopt a *trans* orientation and are coplanar ( $180^\circ$  dihedral angle, Fig. 1). The  $^1\text{H}$ ,  $^{13}\text{C}$  and  $^{29}\text{Si}$  NMR spectra underpin that **3** has the same connectivity in solution (see ESI†).

## Spectroscopy

The UV/vis spectrum of **3** shows three characteristic bands centered at  $\lambda = 247$ , 317, and at  $\lambda = 637$  nm (Fig. 2). TDDFT



**Scheme 2** Synthesis of various silyl anions and their reaction with oxalyl chloride.



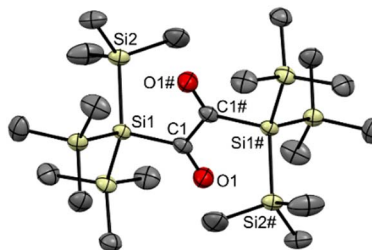
**Scheme 3** Synthesis of compound **3** by two different reaction approaches with a photo of crystals of **3**.

calculations reveal that the band at  $\lambda = 247$  nm can be attributed to a  $\pi \rightarrow \pi^*$  transition, whereas the two rather distant bands at  $\lambda = 317$  and at  $\lambda = 637$  nm correspond to  $n \rightarrow \pi^*$  transitions. More precisely, calculations together with deconvolution of the experimental UV/vis spectra show that the band at 317 nm ( $\epsilon = 2460 \text{ mol}^{-1} \text{ dm}^3 \text{ cm}^{-1}$ ) comprises  $\sigma_{\text{Si}} \rightarrow \pi_{\text{C=O}}^*$  and  $n \rightarrow \pi^*$  transitions (Fig. S1a† and  $F_2$  in Fig. 2). This spectral fingerprint, showing two  $n \rightarrow \pi^*$  bands separated from the  $\pi \rightarrow \pi^*$  band,<sup>1,15</sup> is remarkable and is the unique basis for the photorearrangements of **3**.

## Photo-induced reactions of **3**

Many  $\alpha$ -diones undergo  $\alpha$ -cleavage to form acyl-type radicals and initiate radical polymerizations. An ideal technique to test if **3** fragments into radicals is  $^1\text{H}$  CIDNP (chemically induced dynamic nuclear polarization) spectroscopy.<sup>16–18</sup> When short-lived radicals are formed, CIDNP spectra taken in the presence of butyl acrylate show polarized NMR signals (enhanced emission/absorption) and the formation of aldehydes. Performing such experiment with a 10 fold excess of butyl acrylate ( $\lambda = 355$  nm, 3rd harmonic Nd:YAG laser), no polarized signals and no aldehydes were detected – essentially ruling out photo-induced homolytic cleavage of **3** (Fig. S2†).

Owing to the two well-distinguishable  $n \rightarrow \pi^*$  absorption bands of **3** at  $\lambda = 317$  and at  $\lambda = 637$  nm (Fig. 2), we have used LEDs with emission maxima at  $\lambda = 360$  and 365 nm ( $\approx 330 \text{ kJ mol}^{-1}$ ) and at  $\lambda = 590$  and 636 nm ( $\approx 190 \text{ kJ mol}^{-1}$ ) to selectively and separately address the two distinct  $n \rightarrow \pi^*$  transitions (Fig. S4 and S14† for emission spectra of the



**Fig. 1** ORTEP representation for compound **3**. Thermal ellipsoids are depicted at the 50% probability level. Hydrogen atoms are omitted for clarity. Selected bond lengths (Å) and bond angles (deg.) with estimated standard deviations: C1–C1# 1.539 (8), C1–O1 1.237 (5), Si1–C1 1.924 (4), Si1–Si2 2.355 (2), O1–C1–C1#–O1# 180.00.



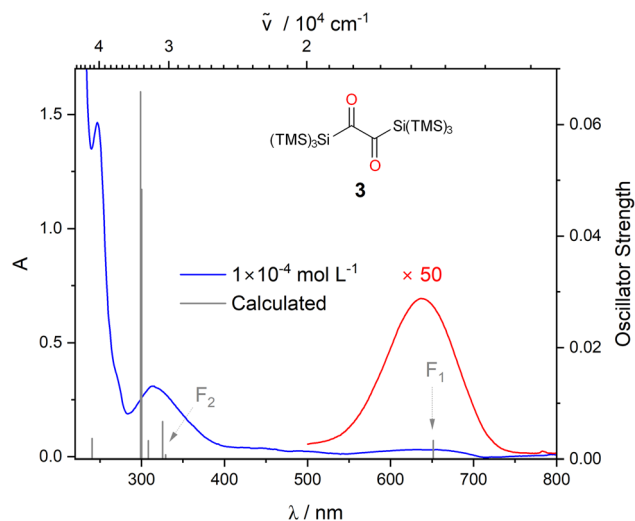


Fig. 2 UV/vis spectra of **3**, experimental spectra in DCM at two different concentrations (blue:  $1 \times 10^{-4}$  mol L $^{-1}$ , red:  $5 \times 10^{-3}$  mol L $^{-1}$ ), the computed vertical transitions are given as bars. Solvent dependence of the long wavelength absorption band, and the orbital pictures for the two relevant transitions can be found in the ESI Fig. S1c and S48 $\ddagger$  respectively.

respective LEDs). Infrared spectroscopy (IR) is the ideal method to monitor the conversions of carbonyl groups. It is highly sensitive for detecting changes at carbonyl groups because the vibrational frequencies of C=O groups are well distinguishable and characteristically change upon their conversions ( $^1\text{H}$  NMR is hardly suitable here because the methyl hydrogens are too remote from the carbonyl groups).<sup>19</sup> The IR spectrum of **3** in  $\text{CCl}_4$  at room temperature shows bands (Fig. 3a) at  $2950\text{ cm}^{-1}$  and  $2895\text{ cm}^{-1}$  (asymmetric and symmetric C–H stretching vibrations, respectively,  $\nu_{\text{as,s}}\text{ C-H}$ ),  $1635\text{ cm}^{-1}$  (asymmetric C=O

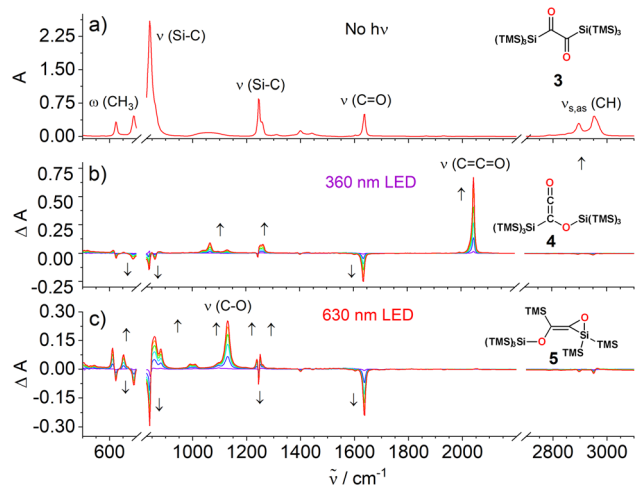


Fig. 3 (a) Experimental IR spectra of **3** in  $\text{CCl}_4$ . The  $2200\text{--}2700\text{ cm}^{-1}$  region omitted for clarity. Time-resolved difference IR spectra during irradiation of **3** with (b) a LED having the emission maximum at 360 nm, and (c) LED having emission maximum at 630 nm. The solvent signals are subtracted from the spectra and the  $700\text{--}828\text{ cm}^{-1}$  region is cut out because it is dominated by solvent absorption.

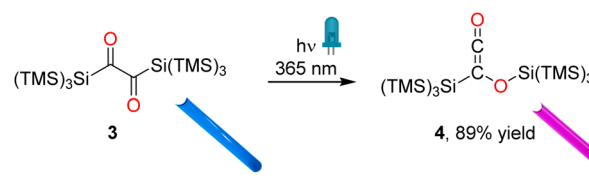
stretching vibration,  $\nu_{\text{C=O}}$ ), and bands from Si–C stretching ( $\nu_{\text{Si-C}}$ ) and methyl group wagging vibrations ( $\omega_{\text{CH}_3}$ , Fig. S3 $\ddagger$ ).

Irradiation of **3** at 360 nm causes a decrease of the C=O band ( $1635\text{ cm}^{-1}$ ) that correlates with the simultaneous appearance of a band at  $2046\text{ cm}^{-1}$  ( $\nu_{\text{C=C=O}}$ ), which we assign to the ketene group of siloxyketene **4** (Fig. 3b and S5 $\ddagger$ ).<sup>20</sup> This comes along with intensity changes of Si–C vibration modes and a new band at  $1065\text{ cm}^{-1}$  attributed to the C–O stretching vibration of **4**. Analogous experiments at a preparative scale with **3** in  $\text{C}_6\text{D}_6$  in a photoreactor using  $\lambda = 365\text{ nm}$  high-power LEDs revealed a highly selective reaction ( $^1\text{H}$  NMR monitoring) with only minor amount of side products (Scheme 4 and Fig. S6 $\ddagger$ ). We were able to isolate **4** and obtain its crystal structure (Fig. 4) after dissolving the crude reaction mixture in *n*-pentane and cooling to  $-70\text{ }^\circ\text{C}$ , which resulted in the precipitation of pink crystals in excellent yields of 89%.

The spectroscopic data are consistent with **4** (Fig. S27–S29 $\ddagger$ ), with one resonance in the  $^{29}\text{Si}$  NMR spectrum for the silicon atom bearing the ketene moiety at  $\delta = -77.0\text{ ppm}$ , two signals at  $\delta = -15.8\text{ ppm}$  and  $-11.8$  for the six  $\text{SiMe}_3$  groups and one significantly downfield shifted signal at  $\delta = 19.0\text{ ppm}$  for the silicon atom covalently bound to oxygen. Additionally, the  $^{13}\text{C}$  NMR spectrum revealed the characteristic shift at  $\delta = 219.0\text{ ppm}$  for the ketene carbonyl group. Compound **4** can be stored under inert conditions for months at room temperature without detecting degradation products. The enhanced persistence can be traced back to the sterically demanding hypersilyl groups. Interestingly, Scheschkewitz and coworkers employed group 6 metal carbonyls as stabilizing entity to isolate a silylketene.<sup>21</sup>

Addressing the band at 637 nm with a  $\lambda = 630\text{ nm}$  LED produced distinctly different time-resolved difference IR spectra than irradiation at  $\lambda = 360\text{ nm}$  (Fig. 3c). Markedly, a band emerges at  $1130\text{ cm}^{-1}$ , attributable to the C–O bond stretching of **5** ( $\nu_{\text{C-O}}$ ) with the C=O vibration band at  $1630\text{ cm}^{-1}$  decreasing. Additionally, several new bands in the  $850\text{--}950\text{ cm}^{-1}$  region appear indicating changes in the Si–C stretching vibrations together with several bands in the  $500\text{--}650\text{ cm}^{-1}$  region, characteristic for Si–C and methyl group wagging vibrations (Fig. S15 $\ddagger$ ).

A preparative experiment with **3** in  $\text{C}_6\text{D}_6$  using a photoreactor equipped with high-power  $\lambda = 590\text{ nm}$  LEDs revealed a highly selective reaction (Fig. 5a,  $^1\text{H}$  NMR spectra for the product formation **5** during the irradiation, Fig. S16 $\ddagger$ ). We were able to isolate product **5** as a yellowish oil (the melting point of the compound is around room temperature) with excellent yield



Scheme 4 Photorearrangement of **3** to **4** using the LEDs with emission maxima centered at 365 nm. Photos of the corresponding NMR tubes are presented as insets.



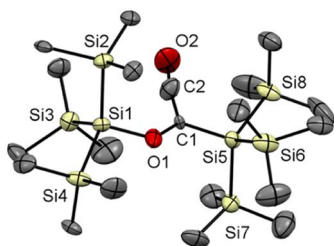


Fig. 4 ORTEP representation for compound **4**. Thermal ellipsoids are depicted at the 50% probability level. Hydrogen atoms are omitted for clarity. Selected bond lengths (Å) with estimated standard deviations: Si1–O1 1.777 (2), C1–O1 1.313 (15), Si5–C1 1.816 (19), C1–C2 1.379 (19), C2–O2 1.188 (12).

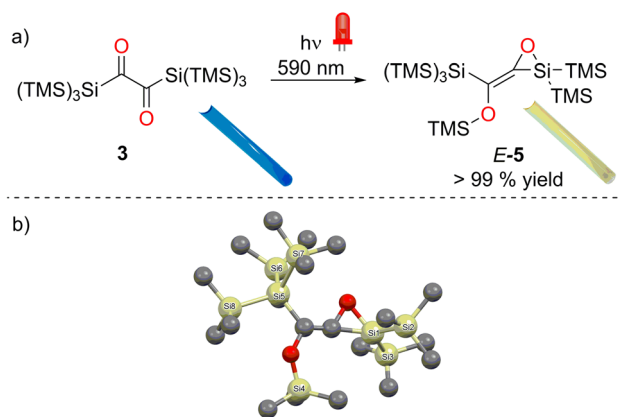


Fig. 5 (a) Photorearrangement of **3** to **5** using the LED with emission maxima centered at  $\lambda = 590$  nm, photos of NMR tubes for **3** and **5** are presented as inserts. (b) Structural Confirmation by X-ray analysis.

(>99%, Fig. 5a). Crystallization from *n*-pentane at  $-70$  °C afforded crystals, revealing the structure for **5** (in moderate quality, Fig. 5b). Despite the possibility of two configurational isomers of **5**, the *trans* arrangement of the carbonyl groups found in **3**, leads to the stereoselective formation of *E*-**5**. This is also in accordance with the photochemical mechanism (Fig. 9). The  $^{29}\text{Si}$  NMR spectrum showed five resonances. On the basis of previous experience with structurally related compounds, we were able to assign all signals (Fig. S30–S32 $\ddagger$ ).<sup>22</sup>

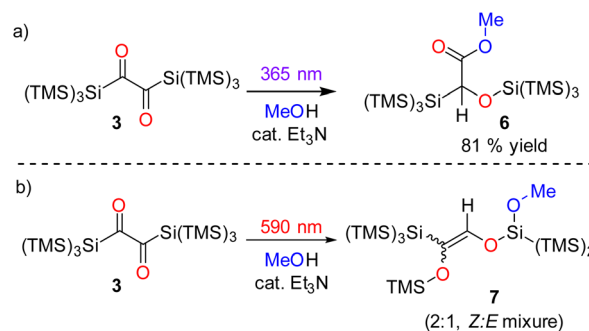
### Mechanistic considerations

We did not observe any emission upon excitation of **3** at 77 K or 293 K with 360 nm and 630 nm. This shows that radiative transitions do not compete with photorearrangements as relaxation pathway of excited states. To exclude the formation of siloxycarbene, we performed irradiation experiments of **3** in an excess of dry methanol with catalytic amounts of triethylamine as base at both wavelengths ( $\lambda = 365$  and 590 nm). The irradiation at  $\lambda = 365$  nm showed a color change from blue to purple pointing towards the formation of **4**. This was confirmed by NMR spectroscopy. Additionally, a new set of signals was observed, indicating that **4** slowly reacts with methanol forming a new product. The reaction was finished after 7 days, as

indicated by NMR spectroscopy. We assume that the reason for this long reaction time is steric hindrance by the hypersilyl groups, shielding the ketene unit. The crude product was recrystallized from *n*-pentane to separate product **6** from small amounts of an uncharacterized side product (Scheme 5a). The spectroscopic data of **6** are consistent with the proposed structure (see Fig. S33–S35 $\ddagger$ ). Moreover, the UV/vis spectrum of **4** shows well-defined absorption maxima centered at  $\lambda = 279$  nm and  $\lambda = 528$  ( $\epsilon = 82 \text{ mol}^{-1} \text{ dm}^3 \text{ cm}^{-1}$ ) nm (Fig. 6 and S21 $\ddagger$ ). The longest-wavelength absorption band of **4** overlaps with that of **3** ( $\lambda = 637$  nm absorption, Fig. S22 $\ddagger$ ), thus **4** could potentially serve as an intermediate for the formation of **5**. To test this hypothesis, we irradiated a solution of **4** in  $\text{C}_6\text{D}_6$  with  $\lambda = 550$  nm, 590 nm, and 630 nm LEDs (Fig. S23 $\ddagger$ ), but we could not detect any conversion neither by IR nor by NMR spectroscopy. Furthermore, we performed a methanol trapping experiment of **5** with catalytic amounts of triethylamine by irradiating the solution of **3** containing methanol and base at  $\lambda = 590$  nm. While monitoring the conversion of **3** by NMR-spectroscopy, the selective formation of two products, as a *Z/E* isomeric mixture (2 : 1 ratio), *via in situ* ring opening of **5** was observed. Isolation of the main isomer as colorless oil was performed by preparative thin-layer chromatography. Analytical data corresponds well with the proposed structural arrangement. Additional evidence about the structural conformation of **7** was given by an HSQC NMR measurement, also confirming the direct bonding between the hydrogen atom and the carbon atom of the C=C bond (Scheme 5b, for further details, see Fig. S36–S42 $\ddagger$ ).

### Photochemical pathway from **3** $\rightarrow$ **4**

To test whether the **3**  $\rightarrow$  **4** process proceeds *via* a triplet or singlet pathway, we have explored if the reaction is quenched by the presence of oxygen. Experimentally, there was no oxygen influence on the reaction rate or outcome (Fig. S8 $\ddagger$ ), indicating that the reaction proceeds *via* a singlet state. Furthermore, a very low activation energy,  $E_a = 0.63 \pm 0.35 \text{ kJ mol}^{-1}$  (in the 292–188 K range, Fig. S9–S13 $\ddagger$ ) and first-order kinetics underpin this assumption. The experimental irradiation wavelengths of 360/365 nm, provide an excitation energy of *ca.* 330  $\text{kJ mol}^{-1}$  (Fig. S7 $\ddagger$ ), necessary to induce the  $n \rightarrow \pi^*$  transition being a component of the band centered at *ca.* 317 nm (see Fig. S1 $\ddagger$ ).



Scheme 5 Methanol trapping of products during the photorearrangement of **3** (a) at  $\lambda = 365$  nm, and (b) at  $\lambda = 590$  nm.



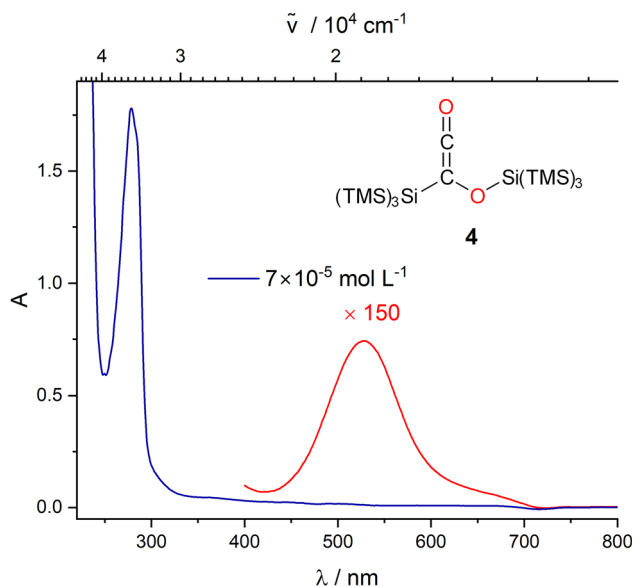


Fig. 6 UV/vis spectra of **4** in THF at two different concentrations (blue:  $7 \times 10^{-5} \text{ mol L}^{-1}$ , red:  $1 \times 10^{-2} \text{ mol L}^{-1}$ ).

ADC(2) calculations show that this energy is sufficient to populate the second excited singlet state,  $S_2$  ( $n, \pi^*$ ) of **3** (calc.  $E_{\text{rel}} = 286 \text{ kJ mol}^{-1}$ ). The corresponding natural transition orbitals (NTOs) are presented in Fig. 7 (see the ESI† for details). The energy gap between the first excited singlet state,  $S_1$  and  $S_2$  is substantial ( $\approx 167 \text{ kJ mol}^{-1}$ ,  $\approx 14000 \text{ cm}^{-1}$ ), in line with attenuated (inefficient)  $S_2 \rightarrow S_1$  internal conversion. This is consistent with the observation that basically no **5** is formed (*via*  $S_1 \rightarrow T_1$ , *vide infra*). This phenomenon that the photo reaction proceeds from the higher excited state ( $S_n$ , where  $n > 1$ ) is a rare example of anti-Kasha reactivity.<sup>23</sup> The low activation barrier observed may also point to a conical intersection of the  $S_2$  state

Transitions leading to the band at 317 nm ( $F_2$ ) of **3**

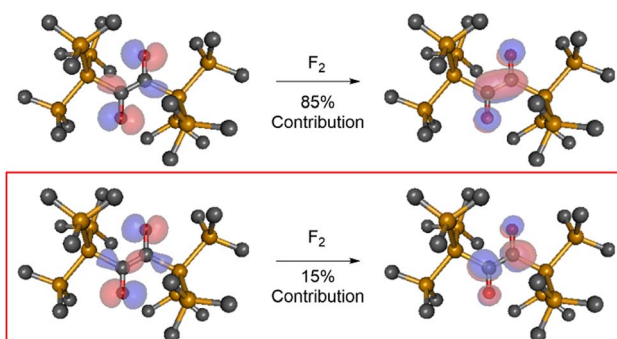


Fig. 7 Dominant NTO pairs contributing to the  $S_2$  transitions of **3** computed at the Franck–Condon geometry. The second singlet vertical transition  $F_2$  (*cf.* Fig. 2) has two contributions of 85%  $n_1 - \pi_1^* + 15\% n_2 - \pi_2^*$  (the latter being decisive for the rearrangement). Here  $n_1$  and  $n_2$  are the asymmetric and the symmetric linear combination (LCAO) of the two  $n$  orbitals, respectively, while  $\pi_1^*$  is the positive and  $\pi_2^*$  is the negative LCAO of the carbonyl  $\pi^*$  orbitals. The computations were performed with ADC(2)/cc-pVDZ.

of **3** with the ground state towards **4**. The  $S_2$  transition for this rearrangement is that from the  $n$  to the  $\pi^*$  system with the decisive transition (with 15% contribution, depicted in the lower trace of Fig. 7) from the HOMO to the LUMO+1, or in other words from the symmetric linear combination of the  $n$  orbitals to the antisymmetric linear combination of the  $\pi^*$  system at the C=O groups. Upon excitation to the  $S_2$  state, negative charge is translocated from the C=O to the C–C bond. Indeed, in the minimum of the  $S_2$  state, one of the C–O bonds is slightly elongated (C–O = 1.318 vs. 1.309 Å), and the central C–C bond is shortened by 0.127 Å compared with the ground state. This translates into a singlet-1,2-biradicaloid character ( $n, \pi^*$ ) at the C=O groups in  $S_2$  (Fig. S47† for bond lengths) allowing 1,3-hypersilyl group migration to the distal carbonyl oxygen (**BS** in Fig. 8, left side of the diagram) yielding **4**. A classical Brook rearrangement would only yield a 1,3 migration of one trimethylsilyl group.

### Photochemical pathway from **3** $\rightarrow$ **5**

In contrast to the above findings for **3**  $\rightarrow$  **4**, the conversion **3**  $\rightarrow$  **5** at *ca.* 630 nm is quenched in the presence of oxygen pointing to the involvement of a triplet-state. This is substantiated by the doubling of the rate for the **3**  $\rightarrow$  **5** reaction ( $k_{3 \rightarrow 5}$  increase from 0.108 to 0.209  $\text{min}^{-1}$ ) after adding  $\text{CHBr}_3$  to the solution – a clear demonstration of an external heavy atom effect on intersystem crossing (ISC) (Fig. S18†). In addition, we have used a series of quenchers with various triplet state energies to delimit the  $T_1$  energy of **3** being *ca.* 115  $\text{kJ mol}^{-1}$  (see ESI†).<sup>24</sup> The calculated ADC(2) energy of  $S_1$  state ( $n, \pi^*$  character) is 167  $\text{kJ mol}^{-1}$  and, the first excited triplet state ( $T_1$ ) has an energy of 140  $\text{kJ mol}^{-1}$  (for details see the ESI†). Accordingly, irradiation at  $\lambda = 590/630 \text{ nm}$  ( $\approx 190 \text{ kJ mol}^{-1}$ ) is sufficient to populate the  $S_1$  state, which then undergoes ISC to the triplet state  $T_1$  (Fig. S50†). The latter represents a 1,4-triplet biradical (**BT** in Fig. 8), undergoing 1,4-trimethylsilyl migration, affording product *E*-**5** with an activation barrier of *ca.* 27  $\text{kJ mol}^{-1}$  (exp.

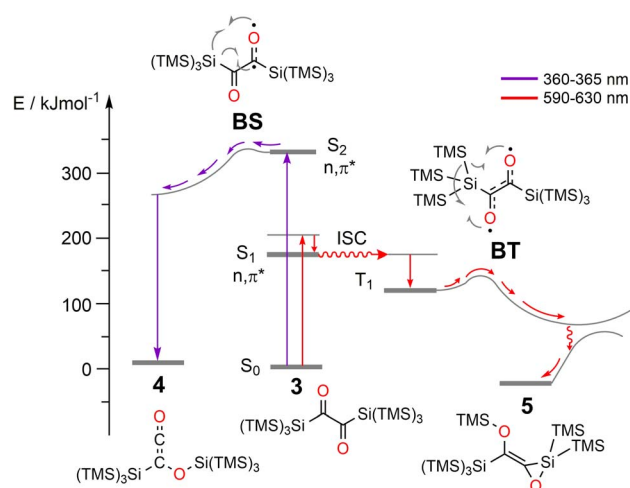


Fig. 8 Schematic representation of Jablonski energy diagram describing wavelength dependent photorearrangements of **3**. The energies are referenced to  $S_0$  state of **3**.



based on  $2 \times \nu_{\text{C-O}} \approx 2260 \text{ cm}^{-1}$ , see Fig. S46–S49<sup>†</sup> for further details: calc.  $29 \text{ kJ mol}^{-1}$ , DFT). The wavelength-dependent rearrangements are summarized on Fig. 8.

### General considerations on the photoreactivity of substituted $\alpha$ -diones

The aim of this section is to shed light on the electronic properties of the hypersilyl group,  $\text{Si}(\text{SiMe}_3)_3$  with regard to an appropriate ordering of the electronic states and to specific shapes of the molecular orbitals, to cause the unexpected photo-reactivity described above.

The most remarkable observation is the “anti-Kasha” reactivity leading to the formation of **4**. To this end, we will concentrate on the discussion of the HOMOs and the LUMOs+1 of exemplary  $\alpha$ -diones. Glyoxal and biacetyl (Fig. 9,  $\text{R} = \text{H}$ ,  $\text{CH}_3$ , respectively) are of importance in atmospheric chemistry and their electronic structures have been reported.<sup>25</sup> Whereas their HOMOs are of n-type for all derivatives, the LUMOs are of  $\pi^*$  character with symmetric linear combination of the two  $\text{C}=\text{O}$  groups, changing to asymmetric linear combination of  $\pi^*$  for the LUMOs+1. Replacing the methyl group of biacetyl by a phenyl group yields benzil, a popular type II photoinitiator. The phenyl ring causes a decrease of the  $\text{S}_2$  state energy as phenyl orbitals shift in creating a low-energy  $\pi^*$ -type LUMO+1. The relevant  $\pi^*$  orbital with the same symmetry as the LUMO in biacetyl becomes a higher virtual orbital but with the same energy in the series (see Fig. 9). The tris(trimethylsilyl)-methyl ( $\text{R}=\text{C}(\text{SiMe}_3)_3$ ) derivative indicates orbital characters compatible with biacetyl (glyoxal) with the HOMO energy being shifted to a slightly less negative value and the LUMO+1 being somehow stabilized. Interestingly, silicon substitution leads to a significant stabilization of the LUMO and LUMO+1. Introduction of the sterically more demanding hypersilyl moiety ( $\text{R}=\text{Si}(\text{SiMe}_3)_3$ ) destabilizes the HOMO even further, in line with the hypersilyl substituent being a clearly electron-donating substituent.<sup>26</sup> At the same time, the LUMO+1 becomes stabilized *vs.* the carbon derivative diminishing the energy required

to populate a corresponding state. Furthermore, the shape of the LUMO+1 indicates an increased electron density at the central  $\pi$ -dione moiety and at the Si atoms of the trimethylsilyl groups rationalizing the reaction depicted in Scheme 4 (see also Fig. 9). In addition, the bulkiness of the hypersilyl groups (Fig. 4) impairs the rotation around central  $\text{C}(\text{O})-\text{C}(\text{O})$  bond, effectively modulating the photoactivity of the 1,2-dione. Conformation-reactivity relationships of several 1,2-diones can be found in the respective literature.<sup>1,27,28</sup> Furthermore, hypersilyl groups protect the central chromophore from bimolecular side reactions. The formation of **4** demonstrates that it is not only the electron donating and steric effect of the hypersilyl groups enabling this reactivity but also the specific impact on the energy of the LUMO+1, which enables the observation of the wavelength-dependent rearrangements of **3** to **4** and **5**, like pearls in a protecting oyster shell.

## Conclusions

We have established a synthetic pathway towards the novel bishypersilyl-1,2-dione **3** *via* coupling of alkali metal-substituted tris(trimethylsilyl)-silanides with oxalyl chloride or diphenyl oxalate. This molecule exhibits unique photo conversions, which are wavelength dependent. The symmetric hypersilyl substitution of the  $\alpha$ -dione chromophore causes an exceptional ordering of orbitals leading to the presence of  $\text{S}_1$  and  $\text{S}_2$  states of  $n,\pi^*$  character, connected with characteristic absorption bands centered at  $\lambda_{\text{max}} = 637$  and  $\lambda_{\text{max}} = 317$  nm, respectively. Selective irradiation of either of these bands yields siloxirane **5** or siloxyketene **4**, respectively. The mechanism of the wavelength dependent photorearrangements are summarized in Fig. 8. This reactivity is caused by two principal factors: (i) the electronic effect of the hypersilyl groups (on the HOMO, LUMO and the LUMO+1) and, (ii), the bulkiness of the  $\text{Si}(\text{SiMe}_3)_3$  substituents, which impair rotation around central  $\text{C}(\text{O})-\text{C}(\text{O})$  bond and bimolecular reactions thus promoting intramolecular rearrangements. On one hand, the electron distribution in the LUMO+1 rationalizes the formation of **4**, on the other hand, the biradicaloid character at the oxygens of the triplet state of **3** explains the TMS migration toward one oxygen atom and the O–Si ring closure (Fig. 8). It is remarkable that the hypersilyl substituent causes that the small  $\alpha$ -dione chromophore becomes an orthogonal two-wavelength responsive system with one displaying anti-Kasha reactivity. These observations indicate the scope of hypersilyl substituents for developing photoresponsive molecules with unusual properties.

## Data availability

PBEh-3c geometries of **3**, **4**, **5** and the transition states as well as the optimized MP2 and SOS-ADC(2) geometries with their respective energies as Cartesian coordinates in xyz format.

## Author contributions

G. G. and M. D. were equally responsible for experimental investigations. G. G. performed formal analysis, visualization,

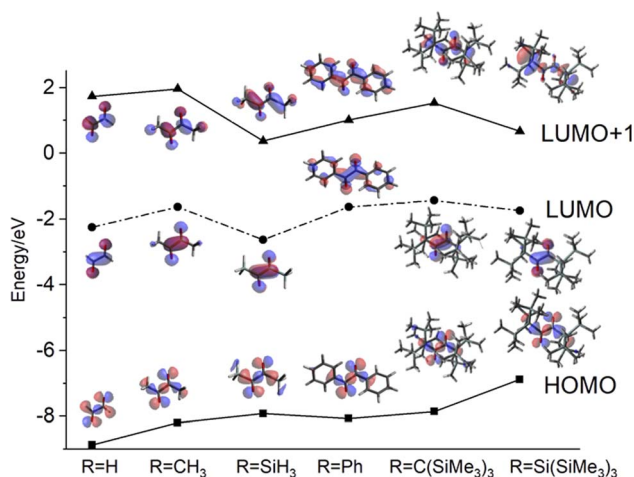


Fig. 9 Energy diagram of HOMOs, LUMOs, and LUMOs+1 of selected symmetric  $\alpha$ -diones.



data presentation, and writing the original draft (lead). F. H., N. D. and A. K. were responsible for calculations. R. F. measured and analyzed the single crystal X-ray structures. G. Ge. was responsible for manuscript editing. M. H. was in charge of methodology and conceptualization, review and editing of the manuscript (lead), project administration, and funding acquisition.

## Conflicts of interest

There are no conflicts to declare.

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