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# Supramolecular assembly of amphiphilic platinum(II) Schiff base complexes: diverse spectroscopic changes and nanostructures through rational molecular design and solvent control†

Huilan Zhang,<sup>ab</sup> Michael Ho-Yeung Chan,<sup>id b</sup> Jonathan Lam,<sup>b</sup> Ziyong Chen,<sup>id b</sup> Ming-Yi Leung,<sup>b</sup> Eric Ka-Ho Wong,<sup>b</sup> Lixin Wu,<sup>id \*a</sup> and Vivian Wing-Wah Yam<sup>id \*ab</sup>

A new class of amphiphilic tetradentate platinum(II) Schiff base complexes has been designed and synthesized. The self-assembly properties by exploiting the potential Pt...Pt interactions of amphiphilic platinum(II) Schiff base complexes in the solution state have been systematically investigated. The presence of Pt...Pt interactions has further been supported by computational studies and non-covalent interaction (NCI) analysis of the dimer of the complex. The extent of the non-covalent Pt...Pt and  $\pi$ - $\pi$  interactions could be regulated by a variation of the solvent compositions and the hydrophobicity of the complexes, which is accompanied by attractive spectroscopic and luminescence changes and leads to diverse morphological transformations. The present work represents a rare example of demonstration of directed cooperative assembly of amphiphilic platinum(II) Schiff base complexes by intermolecular Pt...Pt interactions in solution with an in-depth mechanistic investigation, providing guiding principles for the construction of supramolecular structures with desirable properties using platinum(II) Schiff base building blocks.

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## Introduction

Supramolecular assembly of square-planar d<sup>8</sup> platinum(II) complexes into highly ordered nanostructures has attracted long-standing interest over the past few decades.<sup>1-5</sup> Interesting spectroscopic and luminescence properties with drastic color changes and the switching-on of the triplet metal-metal-to-ligand charge transfer (<sup>3</sup>MMLCT) emission<sup>6-8</sup> have been imparted by their propensity to exhibit non-covalent Pt...Pt interactions. More importantly, the directional non-covalent Pt...Pt interactions can serve as an additional driving force for enhancing the stability of aggregates and in controlling various supramolecular architectures.<sup>8</sup> With these intriguing properties of alkynylplatinum(II) polypyridine complexes, this class of complexes has been shown to be used as supramolecular gelators,<sup>9-11</sup> in optoelectronic applications,<sup>7,12</sup> and as stimuli-

responsive materials to solvent,<sup>13-15</sup> temperature,<sup>4,6,13-15</sup> pH,<sup>16</sup> and so on through rational molecular design and solvation control. Given the unique advantages of d<sup>8</sup> platinum(II) complexes, explorations of new classes of platinum(II) complexes with other readily accessible polydentate  $\pi$ -conjugated ligands with higher rigidity and planarity may provide versatile building motifs for the construction of stimuli-responsive luminescent supramolecular metal-organic materials with good thermal stability.

Salicylaldehyde Schiff bases, which serve as one class of polydentate ligands, are rather simple to synthesize and one of them is able to exhibit reversible photochromic properties.<sup>17</sup> Besides, they are found to display excellent ability to coordinate to transition metal centers, such as Mn<sup>II</sup>, Pt<sup>II</sup>, Ni<sup>II</sup> and Zn<sup>II</sup>, and to show interesting physical and chemical properties for diverse potential applications, such as in catalysis, optoelectronic materials, cell imaging, *etc.*<sup>18-25</sup> However, self-assembly of Schiff base-containing metal complexes is still in its infancy and is mainly focused on zinc(II) Schiff base complexes that made use of Zn...O coordination to construct luminescent gels and metal-organic frameworks (MOFs).<sup>26,27</sup> There have been examples of solid-state aggregation of platinum(II) Schiff base complexes and their lyotropic liquid crystal characteristics facilitated by  $\pi$ - $\pi$ , Pt...Pt and C-H... $\pi$  interactions according to X-ray crystallography and modeling studies.<sup>24,28,29</sup> These results

<sup>a</sup>State Key Laboratory of Supramolecular Structure and Materials, College of Chemistry, Jilin University, Changchun 130012, P. R. China. E-mail: wwyam@hku.hk; wulx@jlu.edu.cn

<sup>b</sup>Institute of Molecular Functional Materials, Department of Chemistry, The University of Hong Kong, Pokfulam Road, Hong Kong SAR, P. R. China. E-mail: wwyam@hku.hk

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revealed the excellent square-planar geometry of these complexes and a preferred tendency to come close together in the axial direction to form Pt...Pt contacts, rendering them potential candidates for supramolecular assembly.<sup>24,28,29</sup> Unlike the manipulation of Pt...Pt interactions of alkynylplatinum(II) polypyridine and 2,6-bis(benzimidazol-2'-yl)pyridine complexes by multiple external stimuli that has been well-established in the solution state,<sup>3a,4,6-8,13,14a,b</sup> studies on luminescent Schiff base-containing platinum(II) complexes were mainly confined to research studies on solvatochromic properties and electro-luminescent devices.<sup>19,21,28b,30</sup> The utilization of their spectroscopic characteristics to assist in an in-depth understanding of self-assembly behaviors in solutions is rather rudimentary and worth further exploring. Besides, it is believed that a thorough mechanistic investigation would play an important role in an understanding of the interplay of the multiple non-covalent interactions of Schiff base-containing platinum(II) complexes. These, together with the lack of reports on investigation of self-assembly architectures in the solution state by Pt...Pt interactions, have promoted us to systematically explore new classes of amphiphilic Schiff base-containing platinum(II) complexes and elucidate their mechanism in the assembly process. It would be of great value to provide insights into their self-assembly properties and to reveal guiding principles for the rational molecular design and construction of supramolecular luminescent architectures with desirable properties using platinum(II) Schiff base building blocks for potential applications.

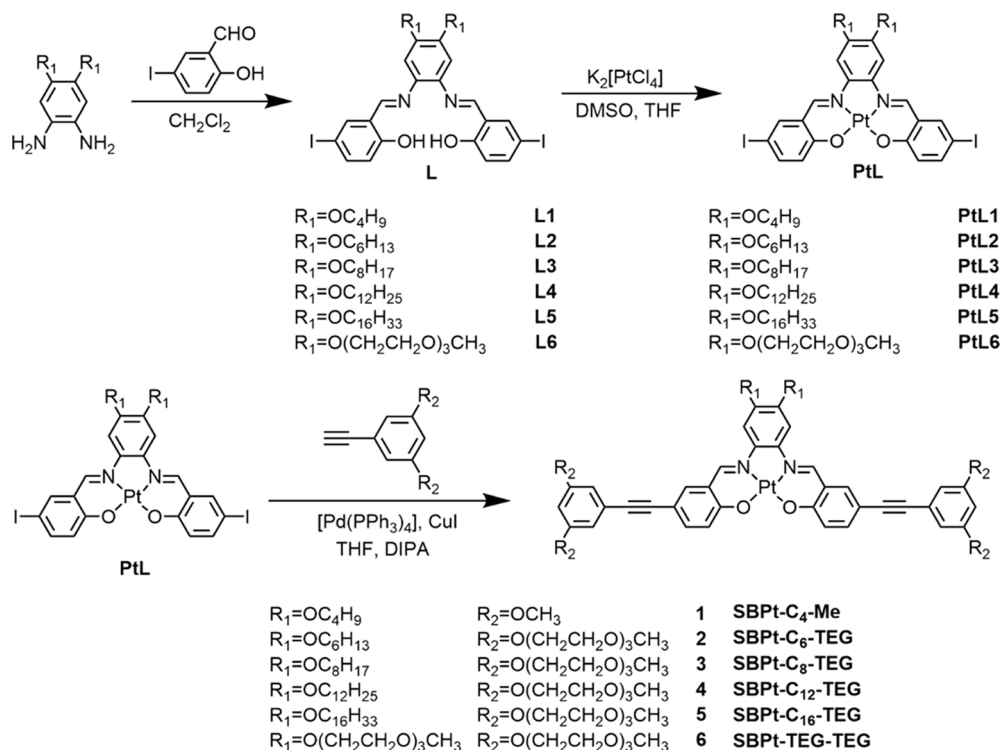
Herein, a new class of amphiphilic tetradentate Schiff base-containing platinum(II) complexes has been designed and synthesized (Scheme 1) with greatly improved solubility for the

ease of systematic investigation on the potential exploitation of Pt...Pt interactions in the solution state, which have been further supported by computational studies and non-covalent interactions (NCI) analysis. Intriguingly, in addition to a variation of solvent compositions, the extent of these Pt...Pt and  $\pi$ - $\pi$  interactions could be regulated upon changing molecular hydrophobicity, triggering interesting spectroscopic changes and diverse morphological transformations. The close correlation between the length of the alkoxy chains and self-assembled morphologies and the balance between multiple non-covalent interactions has been demonstrated by the realization of the cooperative growth mechanism in the assembly process. The self-assembly behaviors and mechanisms of these platinum(II) Schiff base complexes in water and DMSO-water solutions have been investigated by UV-vis absorption, emission and <sup>1</sup>H NMR spectroscopy with their morphologies investigated by transmission electron microscopy (TEM), scanning electron microscopy (SEM) and atomic force microscopy (AFM), and have been shown to involve intermolecular Pt...Pt and/or  $\pi$ - $\pi$  interactions.

## Results and discussion

### Synthesis and structural characterization

Schiff base-containing platinum(II) complexes **1-6** were prepared in three steps as shown in Scheme 1. The Schiff base ligands, **L1-L6**, with various lengths of alkoxy chains and triethylene glycol units were synthesized *via* an aldehyde-amine condensation reaction according to modification of procedures in the literature.<sup>19,30</sup> The intermediate platinum(II) complexes, **PtL1-PtL6**, were obtained by stirring a mixture of K<sub>2</sub>[PtCl<sub>4</sub>] in



Scheme 1 Synthetic route for complexes **1-6**.



DMSO and **L1–L6** with the presence of  $K_2CO_3$  in distilled THF at 60 °C for 3 days, followed by further purification with recrystallization (detailed in the ESI†). The Sonogashira coupling reactions of **PtL1–PtL6** with  $HC\equiv C-Ph-(OCH_3)_2$  or  $HC\equiv C-Ph-(OTEG)_2$  were carried out with a catalytic amount of copper(I) iodide and  $Pd(PPh_3)_4$  to afford the target complexes, **1–6**, after purification through column chromatography (detailed synthesis in the ESI†). The resulting complexes were characterized using  $^1H$  and  $^{13}C\{^1H\}$  NMR spectra, MALDI-TOF mass spectra and elemental analysis (Fig. S1–S12†); the identities of which are established by the full agreement of the data with those expected of the target complexes.

### X-ray crystal structure determination

In order to investigate the extent of  $Pt\cdots Pt$  and  $\pi-\pi$  stacking interactions and molecular packing model, single crystals of **1** which consists of  $-OC_4H_9$  chains and methoxy groups have been obtained by slow diffusion of diethyl ether into a concentrated dichloromethane solution of **1**.<sup>31</sup> A perspective drawing of complex **1** is depicted in Fig. 1a, and the crystal determination data and the selected bond lengths, bond angles and dihedral angles are summarized in Tables S1 and S2 in the ESI,† respectively. It is worth mentioning that the bond lengths of  $Pt(1)-N(1)$ ,  $Pt(1)-N(2)$ ,  $Pt(1)-O(1)$  and  $Pt(1)-O(2)$  in complex **1** are 1.949(3) Å, 1.963(3) Å, 1.993(2) Å and 1.991(2) Å, respectively and the angles of the  $N(1)-Pt(1)-O(2)$  and  $N(2)-Pt(1)-O(1)$  are 179.46(10)° and 178.93(11)° respectively, which indicate the square-planar geometry of the individual complex molecule. Interestingly, complex **1** was found to exist in a dimeric form with short  $Pt\cdots Pt$  contacts (3.354 Å) and  $\pi-\pi$  stacking distances (3.385 Å) in a head-to-tail anti-parallel arrangement (Fig. 1b and

c).<sup>29</sup> Meanwhile, compared to the UV-vis spectrum of **1** which exists as non-aggregated species in dichloromethane, the UV-vis absorption spectrum of **1** in the crystalline solid state displays a remarkable and new low-energy absorption tail and a red-shifted emission band, indicating a close relationship between the spectral changes and the  $Pt\cdots Pt$  and  $\pi-\pi$  stacking interactions (Fig. 1d and e). Due to the presence of alkynyl groups in the complexes, the ethynylphenyl units of these complexes may be allowed to twist. However, the dihedral angles between plane C1–C6 and plane C1–C15 and between plane C32–C37 and plane C41–C46 are found to be 3.226(153)° and 7.239(164)°, respectively, indicating that the  $-C_6H_3(OCH_3)_3$  units in complex **1** are only slightly twisted from the  $Pt(N_2O_2)$  plane and are mainly in a coplanar arrangement with the  $Pt(N_2O_2)$  plane (Table S2†). This is likely to be driven by the gain in stabilization resulting from the enhancement in the extent of  $Pt\cdots Pt$  and  $\pi-\pi$  stacking interactions. Besides, the alkoxy chains attached to the phenyl bisimine unit are found to deviate from the  $Pt(N_2O_2)$  plane. It is also a possible factor that the longer alkoxy chains can exert steric influence to increase the interplanar distance in the self-assembled structures. The X-ray crystallography information would lead to a better understanding of the self-assembly of complexes **2–6** in solutions.

### UV-vis absorption, emission and solvatochromic properties

Complexes **1–6** dissolve in DMSO to give orange solutions at 298 K. In degassed DMSO solutions, the UV-vis absorption spectra of **1–6** exhibit intense high-energy absorption bands at 315–395 nm, assignable as intraligand (IL) [ $\pi \rightarrow \pi^*$ ] transitions and low-energy absorption bands at 465–550 nm, attributed to the

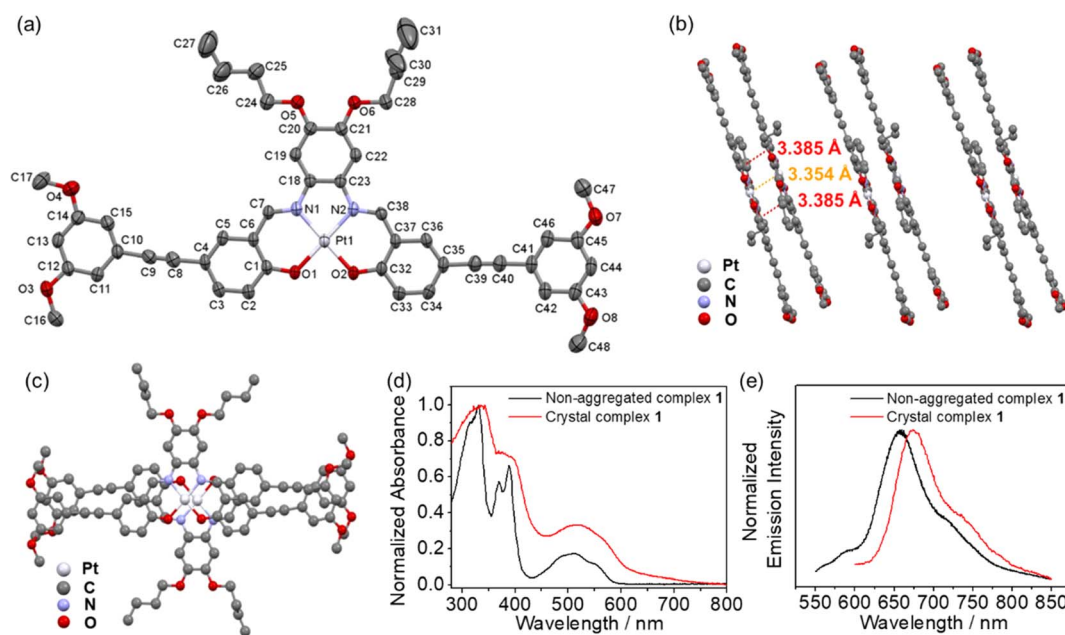


Fig. 1 (a) Crystal structure of **1** with atomic numbering. Hydrogen atoms have been omitted for clarity. Thermal ellipsoids are shown at the 30% probability level. The crystal packing structure of **1** showing the (b) side view and (c) top view. (d) Normalized UV-vis absorption spectra and (e) normalized emission spectra of **1** in the non-aggregated state in dichloromethane and in the crystal state.



admixture of metal-to-ligand charge-transfer (MLCT)  $^1[d\pi(\text{Pt}) \rightarrow \pi^*(\text{L})]$  and ligand-centered (LC)  $^1[\text{lone pair}(\text{phenoxide}) \rightarrow \pi^*(\text{imine})]$  transitions (Fig. 2a). Similar spectral assignments have also been made.<sup>30,32</sup> Upon excitation at  $\lambda = 480$  nm, complexes 1–6 exhibit phosphorescence at 641 to 654 nm in degassed DMSO solution, which originates from the  $^3\text{MLCT}$  excited state, mixed with  $^3\text{LC}[\text{lone pair}(\text{phenoxide}) \rightarrow \pi^*(\text{imine})]$  excited state character (Fig. 2b).<sup>30,32</sup> It is worth noting that a blue shift in the emission band maximum is only observed for complex 6, which may suggest a different mode of aggregation. This could probably be ascribed to a different stacking mode that is more inclined towards a H-type of aggregation since 6 has two additional hydrophilic TEG chains in place of the hydrophobic alkoxy chains on the central aryl ring of the Schiff base ligand in 2–5. The phosphorescence lifetimes ( $\tau$ ) and quantum yields ( $\Phi_{\text{em}}$ ) are found to be consistent with the phosphorescence nature, especially the lifetime decay trace displays a clear monoexponential decay in the microsecond regime and the monoexponential decay curve does not change with the excitation wavelength (Fig. 2c and S13†). The corresponding UV-vis absorption and emission data are summarized in Table S3.† Moreover, complexes 2–6 exhibit solvatochromic properties (Fig. S14–S18†), as observed from a bathochromic shift in the emission spectra of 2–6 with increasing solvent polarity under degassed conditions, suggesting the charge transfer character of the excited state.<sup>32,33</sup>

### Computational studies

Density functional theory (DFT) optimization and time-dependent density functional theory (TDDFT) calculations were employed to investigate the electronic structure and the nature of the absorption origins of complexes 1–6. By truncating the flexible tails to methoxy groups ( $R_1, R_2 = \text{OMe}$ ), the model complex 7 was obtained, on which geometry optimization calculations were performed (Fig. S19†). In view of the head-to-tail stacking observed in the X-ray crystal structure, both the monomer and head-to-tail dimer of 7 were analyzed, optimizing the structures shown in Fig. 3. The calculated Pt–N and Pt–O distances, which range from 1.954 to 1.964 Å and 1.976 to 2.001 Å respectively, are in good agreement with the experimental X-ray crystal structure, as are the bond angles around the Pt(II) center. Energies of the frontier molecular orbitals of complex 7 and the dimer 7<sub>2</sub> are shown in Fig. S20.† Cartesian coordinates can be found in Tables S4–S7.†

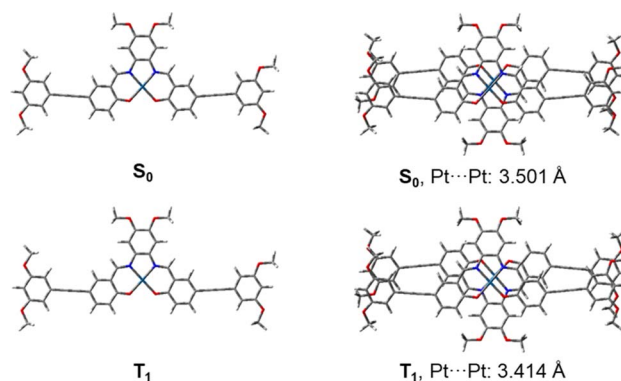


Fig. 3 Optimized ground state ( $S_0$ ) and lowest triplet excited state ( $T_1$ ) geometries of the monomer and dimer forms of 7. Pt...Pt internuclear distances of the dimeric forms are given in ångströms.

Upon geometry optimization of the ground state dimer of 7, an interplanar distance of less than 3.5 Å is found, implying that  $\pi$ – $\pi$  interactions exist within the dimer. The optimized structure of the dimer adopts a head-to-tail stacking configuration, with a Pt...Pt internuclear distance of 3.501 Å. The interaction between these was further investigated by plotting non-covalent interactions<sup>34</sup> (NCI plot) between the two monomers in the dimeric form (Fig. 4). The NCI plot depicts non-covalent interactions as an isosurface plot, using blue for strong attractive interactions, green for weak van der Waals interactions and red for strong repulsive interactions. By symmetry of the head-to-tail dimer, the space between the Pt(II) centers is located in the center of the calculated isosurface. Here, instead of showing one large discontinuity, the isosurface continues in a belt between the Pt(II) centers, dividing the discontinuity into two smaller holes. This demonstrates the presence of Pt...Pt

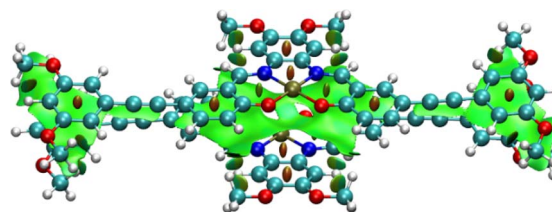


Fig. 4 Isosurface plot of non-covalent interactions calculated in the dimer of 7, with a Pt...Pt internuclear distance of 3.501 Å.

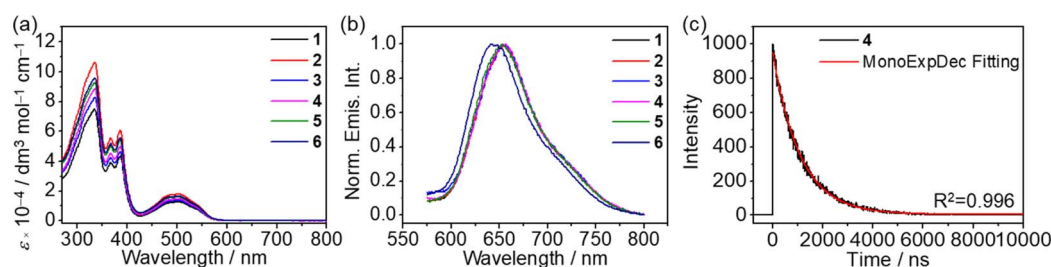


Fig. 2 (a) Electronic absorption spectra and (b) normalized emission spectra of 1–6 in degassed DMSO solutions ( $10^{-5}$  M) at 298 K. (c) The monoexponential phosphorescence decay trace (detected at the emission band maxima) of 4 in the degassed DMSO solution at 298 K.



interactions in the dimer. Furthermore, this region is colored with slightly more blue than the surrounding green, showing that the Pt...Pt interaction is more strongly attractive than the dispersion forces acting on the rest of the complex.

The UV-vis absorption bands of **7** have been computed based on the singlet-singlet transitions predicted by TDDFT/SMD(DMSO). These are shown in Fig. S21† and fit well with the experimental spectra (Fig. 2a). The important frontier molecular orbitals involved in transitions are depicted in Fig. S22.† The band near 330 nm (computationally predicted at 334 nm) is attributed to the  $\pi \rightarrow \pi^*$  intraligand (IL) transition of the Schiff base ligands, while the broader signal at approximately 490 nm (computationally: 479 nm, 503 nm) is assigned to a MLCT transition, namely  $^1[d\pi(\text{Pt}) \rightarrow \pi^*(\text{L})]$  mixed with IL transitions from the phenoxide lone pair to the  $\pi^*$  orbital of the imine. Geometry optimization of the ground state  $S_0$  and  $T_1$  led to a calculated triplet emission energy with a wavelength of 629 nm,<sup>35</sup> which is in good agreement with the experimental emission band maxima of **1-6** at 650 nm.

Geometry optimization calculations have been further performed on the dimeric form of **7** (calculated in  $\text{H}_2\text{O}$  solution), followed by TDDFT calculations for the first twenty singlet-singlet transitions. These are summarized in Table S8.† The formation of the dimer introduces an additional absorption band (525 nm) in the longer-wavelength region, which indicates the presence of new electronic transitions in the aggregate form.

### Self-assembly of the water-soluble tetradentate platinum(II) Schiff base complexes

Unlike complexes **2-5**, only complex **6** is soluble in water to give an orange solution due to the presence of six hydrophilic

triethylene glycol chains on the Schiff base ligand.  $^1\text{H}$  NMR spectroscopic measurement is used to monitor the potential self-assembly behavior of **6**, in which the proton NMR signals become broad and upfield-shifted and finally become featureless with increasing  $\text{D}_2\text{O}$  content in  $\text{DMSO}-d_6$  at 298 K, suggesting the formation of intermolecular association *via*  $\pi$ - $\pi$  stacking interactions in water (Fig. S23†). Subsequently, the UV-vis absorption of **6** in water was found to not obey Beer's law in the concentration range from  $10^{-6}$  to  $10^{-3}$  M (Fig. 5a), with a red-shifted structureless emission band in the emission spectrum of **6** upon increasing the concentration from 1  $\mu\text{M}$  to 100  $\mu\text{M}$ , which is strongly supportive of the formation of Pt...Pt and/or  $\pi$ - $\pi$  stacking interactions (Fig. 5b-d). Furthermore, dynamic light scattering (DLS) studies reveal the formation of aggregates with a hydrodynamic diameter of about 47.6 nm (Table S9†).

TEM and AFM have been employed to confirm the formation of spherical structures with sizes of about 50 nm and heights of 7-9 nm at a concentration of  $2.0 \times 10^{-4}$  M, which is consistent with the DLS data (Fig. 6). To obtain detailed molecular packing information of the spherical structures, the X-ray diffraction (XRD) pattern of a thin film prepared from **6** in water was obtained and found to show diffraction signals of  $d$ -spacing of 0.31 nm, indicating the presence of the Pt...Pt and/or  $\pi$ - $\pi$  stacking interactions between the adjacent molecules in the self-assembly (Fig. S24†). More importantly,  $d$ -spacings of 2.35 and 1.17 nm with a ratio of 1:2 were found to arise from the first (100) and secondary (200) diffraction planes, respectively, indicating a lamellar packing of the spherical assemblies. According to the crystal structure of **1**, the length and width of the rigid  $\pi$ -conjugated part of **6** are estimated to be 2.45 nm and 0.74 nm, respectively. Together with the interdigitation of the

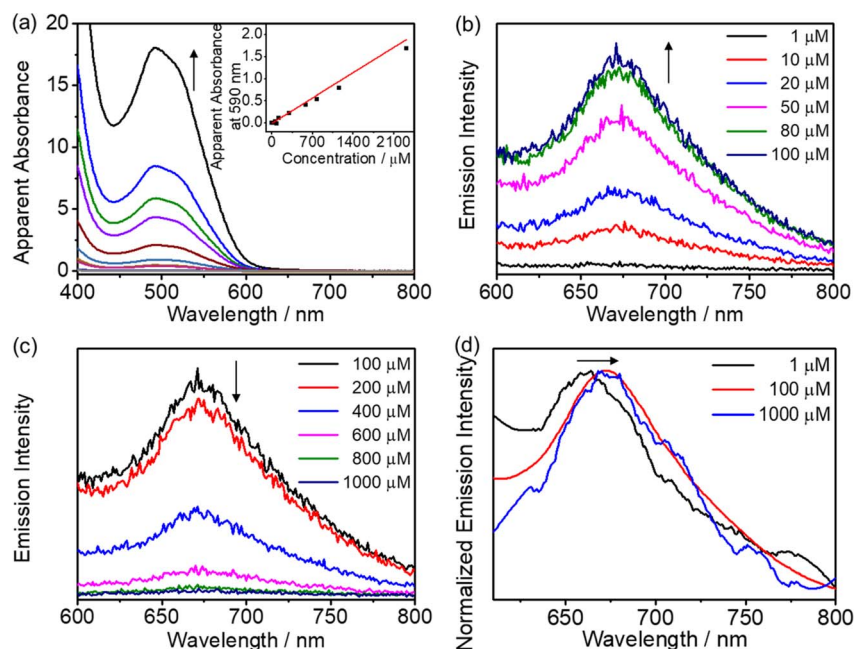


Fig. 5 (a) Electronic absorption spectra of **6** in aqueous solutions at various concentrations (from 5.73 to 2319  $\mu\text{M}$ ). Inset: the plot of absorbance at 590 nm against concentration. Emission spectra of **6** in water at various concentrations from (b) 1 to 100  $\mu\text{M}$  and (c) 100 to 1000  $\mu\text{M}$ . (d) Normalized emission spectra of **6** in water at concentrations of 1  $\mu\text{M}$ , 100  $\mu\text{M}$  and 1000  $\mu\text{M}$ .



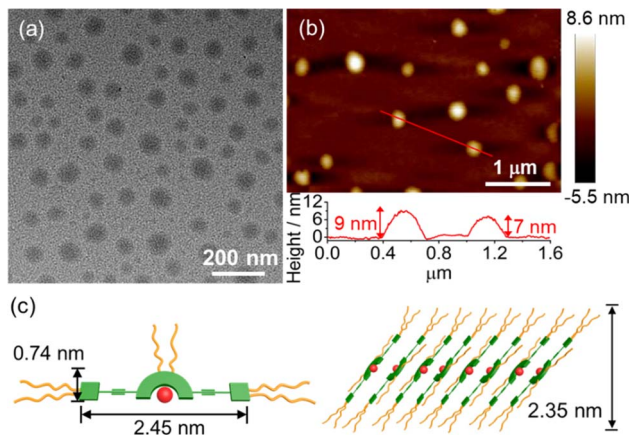


Fig. 6 (a) TEM and (b) AFM images prepared from a solution of **6** in water ( $[Pt] = 2.0 \times 10^{-4}$  M). (c) Schematic diagram of the proposed lamellar structure of **6** in water.

flexible TEG chains in water, the layer with a thickness of 2.35 nm is likely to be attributed to the inclined arrangement of the molecules (Fig. 6c). The layers are stacked in a head-to-tail anti-parallel arrangement with the involvement of Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  stacking interactions, which has been further confirmed by 2D NMR studies (*vide infra*). These results indicate that this class of tetradentate platinum(II) Schiff base complexes obtained through rational introduction of water-solubilizing units might facilitate the formation of highly ordered assembled structures by non-covalent Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  stacking interactions in water.

### Self-assembly of amphiphilic tetradentate platinum(II) Schiff base complexes in mixed solvent compositions

The self-assembly behavior of complexes **2–6** in DMSO–water mixtures has been investigated by  $^1\text{H}$  NMR spectroscopic studies, suggesting the presence of possible intermolecular association by  $\pi$ - $\pi$  stacking interactions (Fig. S25–S30 $^\dagger$ ). Further evidence of self-assembly properties comes from the solvent-dependent UV-vis absorption and emission spectroscopy in the concentration regime of  $10^{-5}$  M. Upon increasing the concentration of **2–6** in DMSO from  $10^{-6}$  to  $10^{-3}$  M, the UV-vis absorption spectra are observed to obey Beer's law, which is in line with insignificant scattering signals revealed in DLS studies (Fig. S31–S35 and Table S9 $^\dagger$ ). These observations indicate that **2–6** show no aggregation behaviors and exist in a monodisperse state in the DMSO solutions.

In DMSO–water mixtures, upon increasing the water content to 90% (v/v), the solution remains clear and no precipitation occurs for all the complexes in the same concentration regime of  $10^{-5}$  M, which prompts subsequent investigation of their solution-state aggregation behaviors. Complexes **2–6** display intriguing and similar UV-vis spectral changes upon addition of water (Fig. 7a, b and S36–S39 $^\dagger$ ). The UV-vis absorption spectra show an increase in the low-energy absorption tail at  $\sim 600$  nm upon increasing the water content to a critical point (*i.e.*, 20% water in DMSO, v/v), accompanied by a color change from

orange to red (Fig. 7a). These low-energy absorption bands could be assigned to the mixing of ligand-centered  $^1[\text{lone pair(phenoxide)} \rightarrow \pi^*(\text{imine})]$  and MMLCT  $^1[\text{d}\sigma^*(\text{Pt}) \rightarrow \pi^*(\text{L})]$  transitions arising from the formation of the Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  stacking interactions upon reduced solvation. A further increase in the water content from this critical point to 90% (v/v) results in a drop in the low-energy absorption tail, which is not due to the deaggregation of the complexes but a change in the extent of Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  interactions (Fig. 7b). This is supported by the presence of a distinct red-shifted absorption band at *ca.* 600 nm as observed in the overlaid spectra of **4** in DMSO and 90% water–DMSO (v/v) solutions (Fig. 7c and S40 $^\dagger$ ). Furthermore, the corresponding emission spectra are also observed to show remarkable changes attributed to Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  stacking interactions (Fig. 7d–f and S41–S44 $^\dagger$ ). By taking complex **4** as a representative example, the emission spectra are found to show a red shift from 654 nm to 664 nm with the water content increasing from 0 to 20% (v/v), probably attributed to the increase in the extent of Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  stacking interaction in the solution, while further increasing water content would result in a decrease in emission intensity due to aggregation of these hydrophobic molecules which leads to an increase in the local concentration of the complexes and thus self-quenching, along with quenching due to solvent assisted relaxation. In addition, according to the spectroscopic and computational studies (*vide supra*), the lowest-energy absorption and emission bands of the complexes are mainly dominated by charge transfer characters. As a result, the red-shift of the low-energy band is unlikely to be a vibronic-structured band, but rather an emergence of a new low-energy structureless band due to the self-assembly of complexes through intermolecular interactions. Further investigations through variable-temperature emission spectral analysis in 20% water–DMSO (v/v) solution at the same concentration were made to verify whether the emission spectral changes are mainly a result of solvatochromism or self-assembly behaviors (Fig. 7g). A reversible blue-shift of the emission bands is found upon increasing temperature with the same solvent composition, which is in line with the spectral changes with increasing water content, indicating that the emission spectral changes are predominantly due to the formation of Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  stacking interactions resulting from supramolecular assembly of the complexes. The monodisperse nature of the complex in 20% water–DMSO (v/v) solution at high temperature is further confirmed by variable-temperature  $^1\text{H}$  NMR spectroscopy and DLS studies (Fig. S30 and Table S9 $^\dagger$ ). In the meantime, the ground-state supramolecular association of the complex with Pt $\cdots$ Pt and/or  $\pi$ - $\pi$  interactions has been demonstrated by the photoluminescence excitation (PLE) spectra, in which a red-shift and a significant increase in the intensity of the band at 560 nm in 20% water–DMSO (v/v) and 90% water–DMSO (v/v) solvent compositions compared to the DMSO solution are observed (Fig. 7h and S45 $^\dagger$ ). In addition, the lack of bimolecular growth in the time-resolved photoluminescence traces (Fig. 7i) further establishes ground-state aggregate formation rather than excimer formation.<sup>36</sup> Notably, the decay traces in the self-



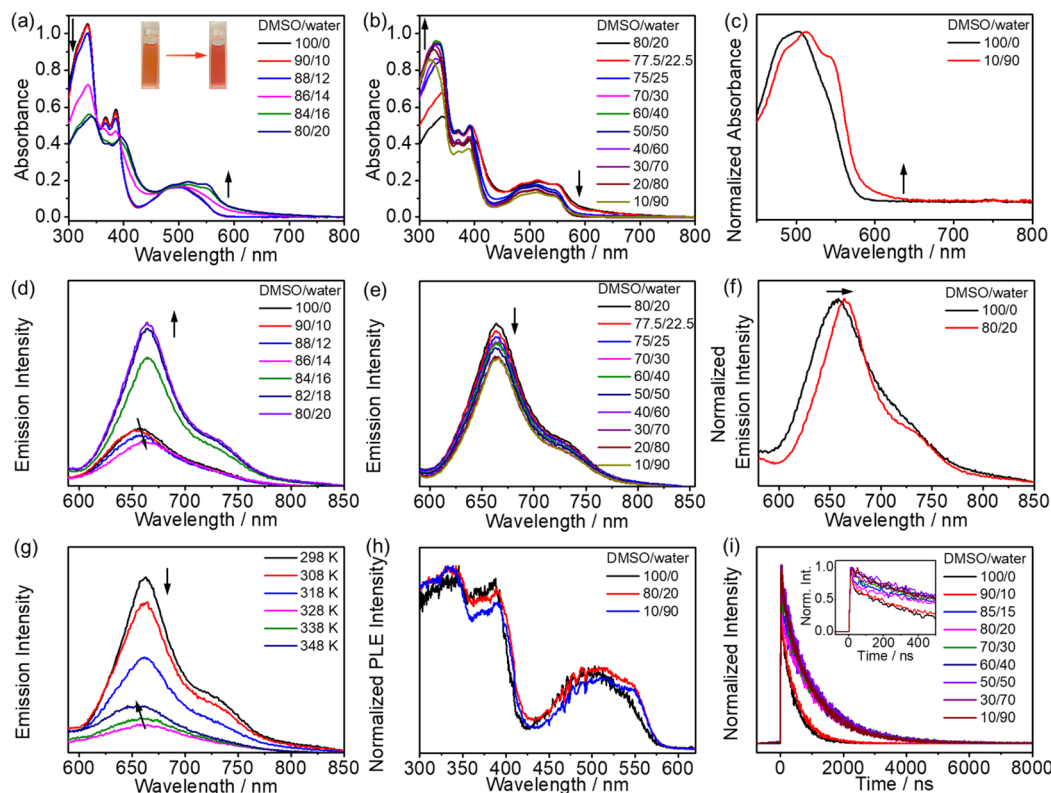


Fig. 7 (a) UV-vis absorption spectral changes of **4** in DMSO solutions upon increasing water content from 0 to 20% (v/v). (Inset) A photograph showing the solutions of **4** in DMSO solutions (left) and 20% water–DMSO (v/v) solutions (right). (b) UV-vis absorption spectral changes of **4** in DMSO solutions upon increasing water content from 20 to 90% (v/v). (c) Normalized UV-vis absorption spectra of **4** in DMSO solution and 90% water–DMSO (v/v) solution in the concentration regime of  $10^{-5}$  M. Emission spectral changes of **4** in DMSO solutions upon increasing water content from (d) 0 to 20% and (e) 20 to 90% (v/v) in the concentration regime of  $10^{-5}$  M. (f) Normalized emission spectra of **4** in DMSO and 20% water–DMSO (v/v) solutions in the concentration regime of  $10^{-5}$  M. (g) Variable-temperature emission spectral changes of **4** in 20% water–DMSO (v/v) solutions in the concentration regime of  $10^{-5}$  M. (h) Normalized photoluminescence excitation (PLE) spectra of **4** in DMSO, 25% water–DMSO and 90% water–DMSO (v/v) solutions. (i) Time-resolved photoluminescence decay traces (detected at the emission band maxima) of **4** in DMSO solutions upon increasing water content from 0 to 90% (v/v). (Inset) The expanded decay traces in the range of 0–500 ns.

assembly studies have been acquired under ambient conditions.

In aerated solutions, the complex in the lowest-energy excited state is quenched by triplet oxygen. The fast decay that appeared as a spike at early times in aerated pure DMSO and water–DMSO mixtures with low water content is an artefact due to scattered light from the excitation source that became more apparent with the much weaker phosphorescence under aerated conditions. The spike becomes less prominent in high water content, which could be attributed to the aggregation of the complexes by Pt··Pt and/or  $\pi$ – $\pi$  stacking interactions that leads to stronger phosphorescence arising from a larger amount of aggregate formation and rigidification, as well as the lower sensitivity of the aggregates to oxygen quenching. Unlike complexes **2–5**, the emission spectra of **6** exhibited a decrease in intensity with increasing water content from 0 to 90% (v/v) in DMSO solution because of a less compact assembly. Therefore, complex **6** tends to adopt a different stacking arrangement in a high polarity solvent environment because of its higher solubility in polar solvents. Notably, by comparing the spectral changes of **2–5**, the more hydrophobic the complexes are, the

lower the water content is required to reach the maximum growth of the absorption bands and the largest extent of red shift of the emission band, which demonstrates that the smaller overall hydrophobicity of complexes requires a higher water content to induce the formation of Pt··Pt and/or  $\pi$ – $\pi$  stacking interactions.

To address Pt··Pt and/or  $\pi$ – $\pi$  stacking interactions, XRD measurements were performed and the corresponding diffraction signals at a *d*-spacing of about 3.70 Å were observed, suggesting the existence of weak intermolecular Pt··Pt and/or  $\pi$ – $\pi$  stacking in the assemblies (Fig. S46–S50†). Subsequently, 2D  $^1\text{H}$ – $^1\text{H}$  NOESY NMR spectroscopy was performed with the addition of 5%  $\text{D}_2\text{O}$  in DMSO- $d_6$  (v/v). Strong NOE signals between  $\text{H}_a$  and  $\text{H}_b$ ,  $\text{H}_a$  and  $\text{H}_c$ ,  $\text{H}_c$  and  $\text{H}_b$ , and  $\text{H}_c$  and  $\text{H}_d$  are observed, which suggest a close proximity of adjacent molecules through the  $\pi$ – $\pi$  stacking interactions that bring the protons into vicinity (Fig. 8a and S51–S53†) and also indicated a possible head-to-tail stacking arrangement of adjacent molecules (Fig. 8b and S51–S53†).<sup>37</sup> Based on the above results, solvent-induced self-assembly of amphiphilic platinum(II) Schiff base complexes by non-covalent Pt··Pt and/or  $\pi$ – $\pi$  stacking



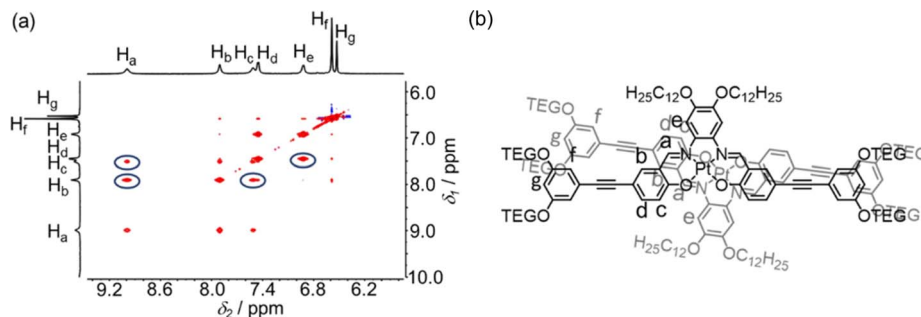


Fig. 8 (a) Partial  $^1\text{H}$ - $^1\text{H}$  NOESY NMR spectrum of complex **4** in  $\text{DMSO-}d_6$  upon the addition of 5%  $\text{D}_2\text{O}$  (v/v) at 298 K. (b) Proposed stacking mode of complex **4** in  $\text{DMSO}$  upon an increase in  $\text{H}_2\text{O}$  content.

interactions in the solution state is demonstrated and the extent of  $\text{Pt}\cdots\text{Pt}$  and/or  $\pi$ - $\pi$  stacking interactions is found to be induced by the solvent compositions and the molecular hydrophobicity, leading to drastic spectroscopic changes and diverse trends.

### Studies on the effect of hydrophobicity on self-assembly mechanisms

The self-assembly mechanisms of complexes **2-6** have been investigated by variable-temperature UV-vis spectroscopy in  $\text{DMSO}$ -water mixtures in the same concentration regime of  $10^{-5}$  M to further study their aggregation processes (Fig. 9a and S54-S57<sup>†</sup>). By studying the representative complex **4** in a 20% water-DMSO (v/v) mixture with cooling of the solution from 360 to 298 K at a rate of  $0.5\text{ K min}^{-1}$ , growth of the absorption band at  $\sim 600$  nm is observed, attributed to the formation of  $\text{Pt}\cdots\text{Pt}$  and/or  $\pi$ - $\pi$  stacking interactions. Interestingly, a plot of the degree of aggregation at 620 nm against the temperature of the curve fitting is found to be nonsigmoidal, suggesting the cooperative growth of **4** in the self-assembly process (Fig. 9a, inset). Moreover, no significant hysteresis has been observed in the heating and the cooling processes, suggesting that the formation and the disruption of the intermolecular  $\text{Pt}\cdots\text{Pt}$  and/or  $\pi$ - $\pi$  stacking interactions would occur at the same temperature (Fig. 9b). Complexes **2-6** are all found to undergo a cooperative growth mechanism, and the thermodynamic parameters

in the self-assembly process have been obtained according to the temperature-dependent nucleation-elongation model (Fig. S54-S57<sup>†</sup> and Table 1).<sup>37</sup> With **4** as a representative example for illustration purposes, the enthalpy change due to non-covalent interactions during the elongation process ( $\Delta H_e$ ) is  $-66.1\text{ kJ mol}^{-1}$  and the elongation temperature ( $T_e$ ) is 343 K. The dimensionless equilibrium constant ( $K_a$ ) of the nucleation process at  $T_e$  is found to be  $1.94 \times 10^{-4}$ , indicating an unfavorable nucleation process with such a relatively small equilibrium constant. Besides, the number-averaged degrees of polymerization at  $T_e$  and 298 K are found to be 17 and  $\sim 1500$ , respectively, suggesting that **4** forms a nucleus size of about 17 molecules at the elongation temperature, and elongated to form larger aggregates at a size of around 1500 molecules upon lowering the temperature to 298 K. It is worth noting that the enthalpy change and number-averaged degree of

Table 1 Thermodynamic parameters for the self-assembly for **2-6**

	$\phi_{\text{SAT}}$	$\Delta H_e/\text{kJ mol}^{-1}$	$T_e/\text{K}$	$K_a$	$\langle N_n(T_e) \rangle$	$\langle N_n(298) \rangle$
<b>2</b>	1.180	-54.6	331	$1.63 \times 10^{-5}$	39	1376
<b>3</b>	1.200	-56.9	324	$9.29 \times 10^{-6}$	48	1491
<b>4</b>	1.047	-66.1	343	$1.94 \times 10^{-4}$	17	1528
<b>5</b>	1.153	-45.0	339	$1.79 \times 10^{-4}$	18	511
<b>6</b>	1.095	-61.6	337	$1.06 \times 10^{-4}$	21	1022

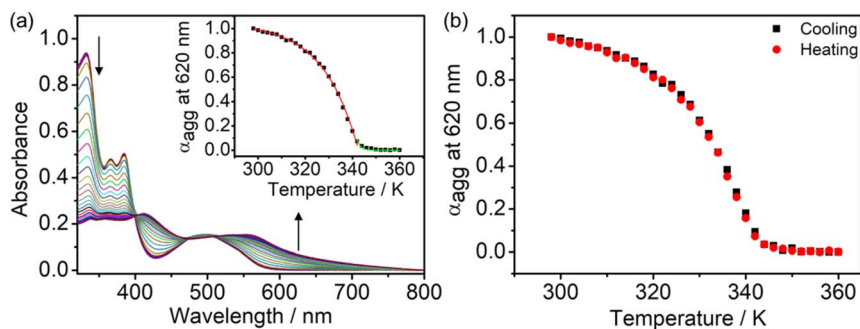


Fig. 9 (a) UV-vis absorption spectral traces on cooling a solution of **4** in 20% water in  $\text{DMSO}$  (v/v) at a cooling rate of  $0.5\text{ K min}^{-1}$ . (Inset) The degree of aggregation at 620 nm as a function of temperature with the curve fitted in the elongation (red line) and nucleation (green line) regime based on the nucleation-elongation model. (b) Plots of degree of aggregation monitored at 620 nm against temperature for the heating and cooling of **4** in the temperature range from 360 to 298 K.



polymerization at room temperature during the aggregation are found to be influenced by the hydrophobicity of the complex, which provided further insights into the self-assembly of this class of complexes. For example, in complexes 2–4, the enthalpy changes of SBPt-C<sub>12</sub>-TEG (4) ( $-66.1 \text{ kJ mol}^{-1}$ ) are found to be more negative than that of SBPt-C<sub>6</sub>-TEG (2) ( $-54.6 \text{ kJ mol}^{-1}$ ) due to a stronger hydrophobic interaction in addition to the Pt...Pt and/or  $\pi$ - $\pi$  stacking interactions upon aggregation of 4, enhancing the stability of the aggregates. The number-averaged degree of polymerization at 298 K of 2–4 (1376 to 1528) was also found to increase with increasing hydrophobicity. While SBPt-C<sub>16</sub>-TEG (5) possesses the longest alkoxy chain among the complexes, the number-averaged degree of polymerization at room temperature (511) and the enthalpy change ( $-45.0 \text{ kJ mol}^{-1}$ ) are not in line with the trend mentioned above. This observation is commonly found in aggregated species with long hydrophobic chains.<sup>38</sup> From the previous report,<sup>39</sup> the cooperative growth mechanism could be achieved through a subtle balance between multiple non-covalent interactions such as metal-metal, hydrophobic and  $\pi$ - $\pi$  stacking interactions. Therefore, the long alkoxy chains of 5 might disrupt the delicate balance in the self-assembly, leading to a relatively less favorable aggregation behavior in the DMSO-water mixture due to the predominant contribution from the hydrophobic interactions.<sup>39</sup> It is worth noting that complex 5 could form less well-defined fibers compared to the nanospheres formed from other complexes (Fig. 10 and S58–S63<sup>†</sup>). This might be related to the effect of imbalance of multiple non-covalent interactions and thus leads to discrepancy in the morphologies. With the above-mentioned results on the cooperative mechanisms by

temperature-dependent UV-vis spectroscopic analysis, the gradual increase in hydrophobicity would assist in the formation of stable aggregates. However, further enhancement in the hydrophobicity of the complexes may lead to an imbalance of non-covalent interactions.

### Molecular hydrophobicity and solvent-induced diverse morphological transformations

With the appealing spectral changes of 2–6, TEM, SEM and AFM were employed to image the morphologies formed under different solvent compositions and the complexes have been found to undergo morphological transformations (Fig. 10 and S58–S63<sup>†</sup>). For example, complex 4 is found to show a morphological transformation from spherical aggregates with diameters of about 200 nm into nanorods with lengths of about 800 nm with the addition of water from 20 to 90% in DMSO (v/v) (Fig. 10a–d), which is consistent with the DLS results summarized in Table S9.<sup>†</sup> The aggregation of the spherical structures is ascribed to the formation of Pt...Pt and/or  $\pi$ - $\pi$  stacking interactions in the 20% water–DMSO (v/v) solution, in which maximum growth of the absorption and red shift of the emission band have been reached. Further increasing the water content to 90% (v/v) might have a remarkable effect on its molecular packing under higher polar conditions. It is believed that both hydrophobic and Pt...Pt and/or  $\pi$ - $\pi$  stacking interactions would be enhanced upon increasing water content but eventually the driving force is dominated by the directional Pt...Pt interaction, leading to the formation of nanorods. In addition to the solvent compositions, the length of the alkoxy chain

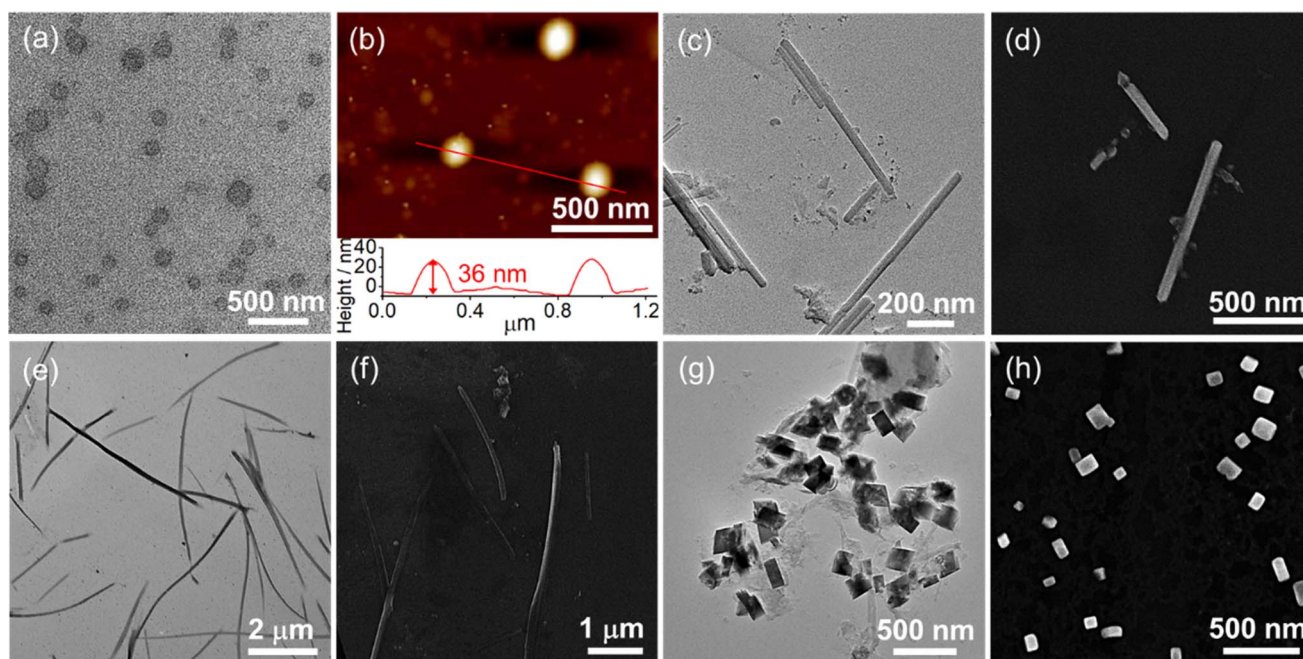
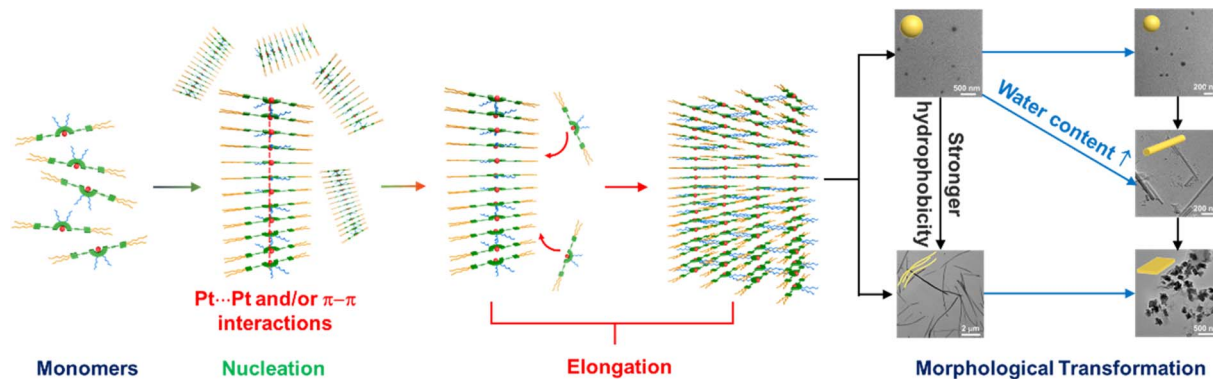


Fig. 10 (a) TEM image and (b) AFM image prepared from the solution of SBPt-C<sub>12</sub>-TEG (4) ( $2.0 \times 10^{-5} \text{ M}$ ) in a 20% water–DMSO (v/v) mixture. (c) TEM image and (d) SEM image prepared from the solution of SBPt-C<sub>12</sub>-TEG (4) ( $2.0 \times 10^{-5} \text{ M}$ ) in a 90% water–DMSO (v/v) mixture. (e) TEM image and (f) SEM image prepared from the solution of SBPt-C<sub>16</sub>-TEG (5) ( $2.0 \times 10^{-5} \text{ M}$ ) in a 14% water–DMSO (v/v) mixture. (g) TEM image and (h) SEM image prepared from the solution of SBPt-C<sub>16</sub>-TEG (5) ( $2.0 \times 10^{-5} \text{ M}$ ) in the 90% water–DMSO (v/v) mixture.





Scheme 2 Schematic drawing of the proposed cooperative self-assembly process of Schiff base-containing platinum(II) complexes.

also has an effect on the morphologies. Compared to complexes 2–4, the formation of less well-ordered nanofibers of 5 at 14% water–DMSO (v/v) solution is observed (Fig. 10e and f). This distinct morphology might be ascribed to the imbalance of non-covalent interactions that leads to the predominant construction of the less directional hydrophobic interaction for formation of fibrous structures, which is further proved by the least negative enthalpy change of 5. Thus, with the participation of various non-covalent interactions, the change and balance of multiple non-covalent interactions play a pivotal role in self-assembly such that a variety of assembled morphologies can be formed. The nucleation–elongation assembly process of complexes 2–6 as well as corresponding morphological transformations is depicted in Scheme 2 upon addition of water in DMSO solution.

## Conclusion

In conclusion, a new class of amphiphilic tetradentate Schiff base-containing platinum(II) complexes with triethylene glycol units and different lengths of alkoxy chains was designed and synthesized. In water, complex 6 was demonstrated to aggregate and assist the formation of highly ordered spherical structures by non-covalent Pt...Pt and/or  $\pi$ - $\pi$  stacking interactions. In DMSO–water mixtures, the extent of Pt...Pt and/or  $\pi$ - $\pi$  interactions could be regulated by changing the solvent compositions and the hydrophobicity of the complexes, which is accompanied by intriguing spectroscopic changes and morphological transformations in the self-assembly process. In addition, a cooperative growth mechanism, manifested by the balance between various non-covalent interactions, and the correlation between the length of the alkoxy chains, assembly morphologies and self-assembly mechanism were demonstrated. The present work represents a rare example to demonstrate directed cooperative assembly of amphiphilic Schiff base-containing platinum(II) complexes in solution with an in-depth mechanistic investigation, which may provide guiding principles in the rational molecular design and the construction of supramolecular structures with desirable properties using platinum(II) Schiff base building blocks.

## Data availability

The datasets supporting this article have been uploaded as part of the ESI.†

## Author contributions

V. W.-W. Y. initiated and designed the research; H. Z. conducted the synthesis, characterization, spectroscopic and electron microscopic measurements; J. L., Z. C. and M.-Y. L. performed computational studies; V. W.-W. Y., L. W., H. Z., M. H.-Y. C. and E. K.-H. W. analyzed the data; V. W.-W. Y., L. W., H. Z., M. H.-Y. C., E. K.-H. W., J. L. and Z. C. prepared the manuscript; V. W.-W. Y. and L. W. supervised the work.

## Conflicts of interest

The authors declare no competing financial interest.

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