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The enhancement of nonlinear optical properties of azulene-based nanographene by N atoms: a finishing touch†

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Nonlinear optical (NLO) materials play an increasingly important role in optoelectronic devices, biomedicine, micro–nano processing, and other fields. The development of organic materials with strong second or (and) third NLO properties and a high stability is still challenging due to the unknown strategies for obtaining enhanced high order NLO properties. In the present work, π -conjugated systems are constructed by doping boron or (and) nitrogen atoms in the azulene moiety of azulene-based nanographenes (formed with an azulene chain with two bridging HCCHs at the two sides of the connecting CC bonds between azulenes, A1A2A3), and the NLO properties are predicted with time-dependent density functional theory based methods and a sum-over-states model. The doping of heteroatoms induces charge redistribution, tunes the frontier molecular orbital energy gap, changes the composition of some frontier molecular orbitals, and affects the NLO properties of those nanographenes. Among the designed nanographenes, the azulene-based nanographene with two nitrogen atoms at the two ends has the largest static first hyperpolarizability (91.30×10^{-30} esu per heavy atom), and the further introduction of two N atoms at the two ends of the central azulene moiety of this nanographene results in a large static second hyperpolarizability while keeping the large static first hyperpolarizability.

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Introduction

Novel nonlinear optical (NLO) materials with strong second or third order NLO properties, *e.g.*, sum frequency generation, third harmonic generation, and two-photon absorption, continue to attract strong scientific interest due to their potential applications, for example, optical limiting,^{1,2} optical switch,^{3,4} photodynamic therapy,^{5,6} and nanophotonics devices.⁷

Organic π -conjugated systems exhibit adjustable electronic properties, good π -conjugation, and structural flexibility and diversity, which render them attractive for applications in nonlinear optics.^{8–10} Azulene has an electron-deficient heptagon and an electron-rich pentagon, and its good polar π -conjugation and anti-Kasha behavior make it possible to be a promising functional group inducing rich optical and electronic properties.^{11–14} The introduction of polar azulene¹⁵ into carbon-based nanomaterials changes the electronic structure¹⁶ and mechanical properties¹⁷ of those

nanomaterials, and azulene derivatives have been applied as nonlinear optical materials.^{18–20} The recently reported azulene-based nanographene with a fusion of azulenes and naphthalene (A1A2A3 in Fig. 1a corresponding to S6-3 in a previous work²¹) has a strong second order NLO response. To further improve the NLO properties of A1A2A3, it is essential to tune its electronic structure. The electronic structure of a system can be obtained through the Schrödinger equation $\hat{H}\Psi = E\Psi$ with $\hat{H} = \hat{T} + \hat{V}$. The potential (including nuclear–nuclear– V_{NN} , nuclear–electron– V_{Ne} , and electron–electron– V_{ee} interactions) determines the energy and space distribution of electrons and thus the electronic properties of the system. The doping of heteroatoms modifies the terms V_{NN} and V_{Ne} and the electron occupation modulation modifies the terms V_{Ne} and V_{ee} . Strategies to enhance NLO properties include the increase of π -conjugation length²² and the introduction of donor and acceptor groups.²³ Moreover, the introduction of heteroatoms, such as boron and nitrogen, into the π -system can change the delocalization of the π -system, and modify the electronic structure of the materials to obtain new electronic properties and functions.^{24–29} The nonlinear optical properties of graphene-based nanomaterials can be improved by BN doping.^{30–32} The subtle changes in molecular structures, *e.g.*, the two ends of azulene substituted by B or (and) N atoms, confer these heteroazulenes with different electronic properties while keeping the geometric structures essentially unmodified

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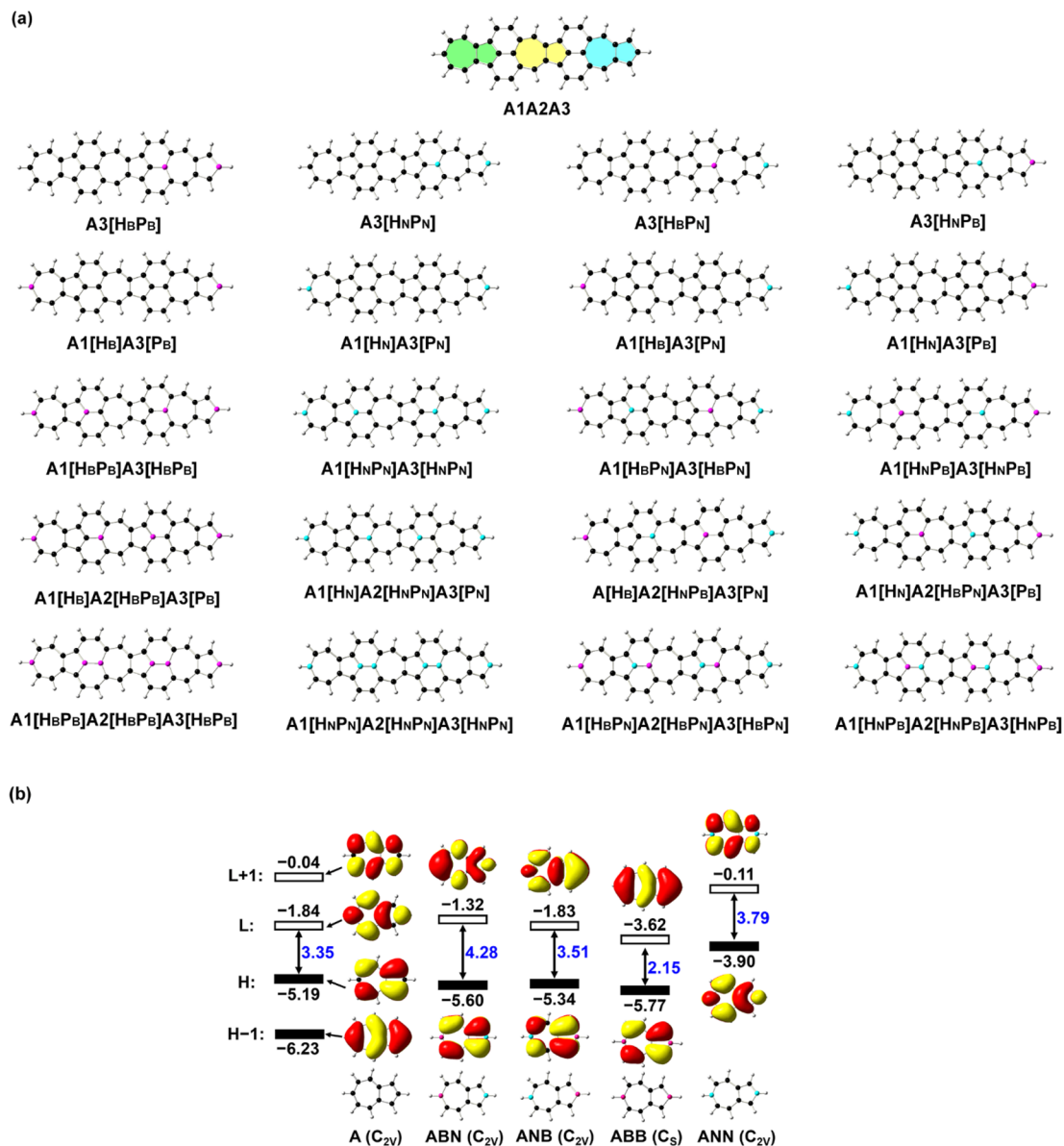


Fig. 1 (a) Structures of azulene-based nanographene (A1A2A3) and its N-doped analogues. The three azulene moieties in A1A2A3 denote A1 (in green), A2 (in yellow), and A3 (in blue), respectively. Hx or Px ($x = B$ or N) in square brackets indicates that the carbon atom at the end of a heptagon (H) or pentagon (P) in azulene of A1A2A3 is replaced by B and N atoms. (b) Structures and frontier molecular orbitals (L: LUMO; H: HOMO) with molecular orbital energy (in eV) of azulene (A), BN (ABN and ANB), BB (ABB), and NN (ANN) doped carbon-based molecules predicted with B3LYP/6-31G(d,p). Atoms in black are carbon, in purple are boron, and in blue are nitrogen.

(Fig. 1b). The replacement of carbon atoms at the two ends of azulene by B and N (*i.e.*, ABN or ANB in Fig. 1b) or two N atoms (*i.e.*, ANN in Fig. 1b) results in an enlarged energy gap between the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO), while it brings about a narrowed HOMO–LUMO energy gap in the B-doped azulene (*i.e.*, ABB in Fig. 1b). The HOMO distributions of ABN, ANB, and ABB are extremely similar to that of an isolated azulene (A), while the LUMO distribution of these three molecules exhibits π conjugation of the B atoms with C atoms, with low-lying LUMO energy. Remarkably, two fewer electrons in ABB with respect to azulene result in a significant lowering of the LUMO energy, and such a LUMO is similar to

the HOMO – 1 of an isolated azulene; thus, the doping of B atoms modifies the electronic structure of the system. On the other hand, two more electrons in ANN lead to the conspicuous elevating of the HOMO and LUMO energies, and induce charge redistribution. The HOMO and LUMO of ANN correspond to the LUMO and LUMO + 1 of azulene (Fig. 1b), respectively, in terms of molecular orbital distribution. In the present work, B and N atoms are introduced into the azulene moiety of A1A2A3 (Fig. 1) for optimal NLO properties, and the correlation between the NLO properties and structure is investigated with quantum chemical calculations. The study finds that N doping provides an effective means to enhance the NLO responses of these azulene-based nanomaterials. N



doping in the azulene moiety of A1A2A3 enhances both the second and third order NLO responses of these N-doped molecules. Functionalization of graphene-based nanomaterials through proper heteroatom doping is applicable to other carbon-based nanomaterials for desirable optoelectronic properties.

Models and computational details

Since the relative position of B and N atoms in the B(N)-doped azulenes induces charge redistribution and has significant

LinSOSProNLO program⁴⁰ based on the sum-over-states (SOS) model^{41,42} with an improved computational efficiency.⁴³

The orientation averaged second hyperpolarizability γ is defined as

$$\gamma = \frac{1}{5} \left[\sum_i \gamma_{iiii} + \frac{1}{3} \sum_{i \neq j} (\gamma_{ijij} + \gamma_{ijji} + \gamma_{ijji}) \right] \quad (1)$$

with the tensor elements of the first and second hyperpolarizabilities given by eqn (2) and (3),

$$\beta_{ijk}(-\omega_\sigma; \omega_1, \omega_2) = \hbar^{-2} \sum P_{ijk} \sum_{m,n(\neq g)} \frac{(\mu_i)_{gm}(\bar{\mu}_j)_{mn}(\mu_k)_{ng}}{(\omega_{mg} - \omega_\sigma - i\Gamma_m)(\omega_{ng} - \omega_2 - i\Gamma_n)} \quad (2)$$

$$\begin{aligned} \gamma_{ijkl}(-\omega_\sigma; \omega_1, \omega_2, \omega_3) &= \hbar^{-3} \left\{ \sum P_{ijkl} \sum_{m,n,o(\neq g)} \frac{(\mu_i)_{gm}(\bar{\mu}_j)_{mn}(\bar{\mu}_k)_{mo}(\mu_l)_{og}}{(\omega_{mg} - \omega_\sigma - i\Gamma_m)(\omega_{ng} - \omega_2 - \omega_3 - i\Gamma_n)(\omega_{og} - \omega_3 - i\Gamma_o)} \right. \\ &\quad \left. - \sum P_{ijkl} \sum_{m,n(\neq g)} \frac{(\mu_i)_{gm}(\mu_j)_{mg}(\mu_k)_{gn}(\mu_l)_{ng}}{(\omega_{mg} - \omega_\sigma - i\Gamma_m)(\omega_{ng} - \omega_2 - i\Gamma_n)(\omega_{ng} + \omega_3 - i\Gamma_n)} \right\} \\ &= \gamma_{4L} + \gamma_{3L} \end{aligned} \quad (3)$$

effects on the electronic structure, and doping along the dipole moment direction can change the polarization of those molecules to the greatest extent, the doping of heteroatoms is placed along the middle line of the three azulenes in A1A2A3. The carbon atoms at the end of a heptagon (H) or pentagon (P) in the three azulenes (denoted as A1, A2, and A3) of A1A2A3 are replaced by one to three pairs of BB/NN/BN or N/B atoms, respectively, resulting in thirty-six BN-doped A1A2A3 molecules. The stable structures with a large static first hyperpolarizability are selected (Fig. 1) for a detailed discussion here, and the rest of the structures are given in the ESI (Fig. S1 and Table S1†). The Kekulé structures of all the investigated molecules are provided in the ESI (Fig. S2†).

Geometry optimizations of all these azulene-based nanographenes are carried out using a density functional theory (DFT)^{33,34} based method B3LYP³⁵ and a 6-31G(d,p) basis set.^{36,37} Vibration frequency analyses confirm that each structure is a minimum on the potential energy surface with no imaginary vibrational frequency. The time-dependent (TD) DFT-based method CAM-B3LYP³⁸ with 6-31++G(d,p) is employed to predict the electronic spectra under the Franck-Condon principle, generating electronic properties including dipole moments, transition energies, and transition dipole moments (extracted with Multiwfn³⁹) for further NLO property calculations. The electronic parts of the second and third order NLO properties of all the systems are predicted by using the

where \hbar is Planck's constant divided by 2π , and $\omega_\sigma = \sum \omega_i$ indicates the sum of the external fields ω_i . $(\mu_i)_{gm} = \langle g | \mu_i | m \rangle$ refers to the transition moment from the ground state g to the excited state m along the i direction, and $(\bar{\mu}_j)_{mn} = \langle m | \mu_j | n \rangle - \langle g | \mu_j | g \rangle \delta_{mn}$ represents the dipole moment fluctuation. Γ_m ($\Gamma_m = 0.01 \times \varepsilon_m / \varepsilon_2$, in eV) defines the inverse radiative lifetime (or damping coefficients) of the electronic state m with ε_m (in eV) as the energy of the m th electronic state and ε_2 (in eV) as the energy of the second electronic state. $\sum P_{ijk}$ or $\sum P_{ijkl}$ denotes the summations over the permutation of the set of distinct frequencies and Cartesian components. i, j, k , and l are Cartesian components.

The third order NLO properties [eqn (3)] can be segmented into two terms, the first summation (*i.e.*, γ_{4L} , four-level) is two-photon processes, and the second one (*i.e.*, γ_{3L} , three-level) consists of two single-photon processes. The imaginary part of the second hyperpolarizability tensor is related to the coefficient of two-photon absorption. Two-photon absorption (TPA) efficiency is characterized by a TPA cross-section (δ) and is directly related to the imaginary part of $\langle \gamma \rangle$ in the degenerate four-wave mixing (DFWM) process through the following formula:

$$\delta(\omega) = \frac{8\pi^2 \hbar \omega^2}{n^2 c^2} L^4 \text{Im} \gamma(-\omega; \omega, \omega, -\omega) \quad (4)$$

where n denotes the refractive index of the medium, c is the speed of light, L is a local field factor (equal to 1 for a vacuum),



and $\text{Im}\gamma$ indicates the imaginary part of the complex quantity γ . The TPA cross-section is scalar, and the sign indicates absorption (positive) or excited emission (negative).⁴⁴

In this work, all π -electron molecular orbitals are included in predicting the electronic spectra. The predictions on the static first ($\langle\beta_0\rangle$) and second ($\langle\gamma_0\rangle$) hyperpolarizabilities of A1 [H_N]A3[P_N] and A1 [H_N]A2 [H_N P_N]A3[P_N] find that 100 excited states are adequate to predict the converged $\langle\beta_0\rangle$ and $\langle\gamma_0\rangle$ (Fig. S3†), and the electronic spectra of all the investigated molecules are predicted with 300 excited states in the present work.

Only the electronic part of hyperpolarizability is predicted in the present work. The connection between the electronic and vibrational contributions to hyperpolarizability is “that electrons respond to changes in the nuclear Coulomb field (changes due to an infinitesimal shift in nuclear position) through the same nonlocal (hyper) polarizability densities that characterize their response to external electric fields”.⁴⁵ The electronic hyperpolarizability could be used to estimate the molecular vibrational hyperpolarizability and *vice versa*.⁴⁶

Results and discussion

Electronic properties tuned by B and N atoms

The deliberate incorporation of B and N atoms into A1A2A3 could alter the electronic structure (thus effectively tune the HOMO–LUMO energy gap of the structure) without compromising their planar geometries (all molecules in

Fig. 1a have C_{2v} symmetry), and the relevant electronic properties of B, N-doped A1A2A3 are listed in Tables 1 and S1.† The replacement of C by B and N in A1A2A3 alters both the LUMO and HOMO energies resulting in a change of the energy gap between the HOMO and LUMO (Table 1). To study the effect of B, N doping on the electronic structure of these nanographenes, the frontier molecular orbitals of A1A2A3, A2 [H_B P_B], A2 [H_B P_N], and A2 [H_N P_N] are plotted in Fig. 2, as A2 [H_B P_B], A2 [H_B P_N], and A2 [H_N P_N] have the same doping pattern. The different numbers of electrons and nuclear potential of B and N are the cause of the change of electronic structure resulting in charge redistribution in these nanographenes. The LUMO and HOMO of A2 [H_B P_B] match the HOMO and HOMO – 2 of A1A2A3, respectively, and the LUMO and HOMO of A2 [H_B P_N] are similar to the LUMO and HOMO of A1A2A3, whereas the LUMO and HOMO of A2 [H_N P_N] find their counterparts as the LUMO and LOMO + 1 of A1A2A3. The molecular orbital distribution and energy of these frontier molecular orbitals (FMO), thus the HOMO–LUMO energy gap, vary with the doping of B and N. A similar phenomenon also exists in the other systems (Fig. S4†), *i.e.*, the substitution of C by B and N atoms leads to a charge redistribution and different electronic structures. Those molecules are based on the same topological frame A1A2A3 with the same available electronic space (the space for the motion of electrons in terms of molecular orbitals); however, the different nuclear potentials of B, C, and N lead to different charge distributions and thus different electronic structures (molecular orbital energy re-ordering and

Table 1 The relative energy (ΔE with respect to the most stable isomer $\Delta E = 0$, in eV), the lowest vibrational frequency (LVF, in cm^{-1}), the energy gap (E_{gap} , in eV) between the HOMO (E_{H} , in eV) and the LUMO (E_{L} , in eV), the dipole moment of the ground state (D_{g} , in debye), the static first and second hyperpolarizability ($\langle\beta_0\rangle$ and $\langle\gamma_0\rangle$, (β_0) (in 10^{-30} esu) and (γ_0) (in 10^{-34} esu) per heavy atom ($\langle\beta_0\rangle/N$ and $\langle\gamma_0\rangle/N$) of A1A2A3 and its N-doped molecules in a closed-shell singlet predicted with B3LYP/6-31G(d,p) and TD-CAM-B3LYP/6-31++G(d,p)-SOS, respectively. The relative electronic energy differences ($\Delta E_{\text{OS-CS}}$ and $\Delta E_{\text{T-CS}}$, in kcal mol^{-1}) between the lowest open-shell singlet (OS) or triplet (T) and closed-shell singlet (CS) (CS is taken as reference), and spin contamination of the open-shell singlet ($\langle S^2 \rangle$) obtained at the UB3LYP/6-31G(d,p) level

Compounds	ΔE	LVF	$\Delta E_{\text{OS-CS}}$	$\Delta E_{\text{T-CS}}$	$\langle S^2 \rangle$	E_{H}	E_{L}	E_{gap}	D_{g}	$\langle\beta_0\rangle/(\langle\beta_0\rangle/N)$	$\langle\gamma_0\rangle/(\langle\gamma_0\rangle/N)$	$\langle\gamma_0\rangle_{3\text{I}}/\langle\gamma_0\rangle_{4\text{L}}$
A1A2A3	—	16.98	0.00	6.03	0.00	−3.91	−2.73	1.18	6.48	1808.94/47.60	−21.74/−0.57	−34.77/13.03
A3 [H_B P _B] (C_{2v})	1.10	15.85	0.00	−4.69	0.00	−4.17	−3.33	0.84	20.28	—	—	—
A3 [H_N P _N] (C_{2v})	0.49	16.32	0.00	12.91	0.00	−3.82	−2.30	1.51	1.27	−3002.80/−79.02	−15.88/−0.42	−23.65/7.77
A3 [H_B P _N] (C_{2v})	0.00	16.27	0.00	9.24	0.00	−3.81	−2.46	1.35	1.56	−923.09/−24.29	−14.51/−0.38	−26.60/12.09
A3 [H_N P _B] (C_{2v})	1.38	17.00	0.00	8.36	0.00	−4.11	−2.83	1.29	11.73	2081.26/54.77	−18.10/−0.47	−31.05/12.95
A1 [H_B]A3[P _B] (C_{2v})	0.00	17.45	0.00	21.32	0.00	−5.25	−3.41	1.84	2.05	−88.26/−2.32	−0.99/−0.03	−17.84/16.85
A1 [H_N]A3[P _N] (C_{2v})	0.18	16.94	0.00	11.06	0.00	−3.67	−2.47	1.20	6.08	3469.37/91.30	−9.57/−0.25	−29.28/19.71
A1 [H_B]A3[P _N] (C_{2v})	0.15	17.14	−2.55	−0.98	0.72	−4.03	−2.91	1.12	17.98	—	—	—
A1 [H_N]A3[P _B] (C_{2v})	1.07	16.46	−0.99	4.04	0.38	−4.28	−3.17	1.11	11.02	—	—	—
A1 [H_B P _B]A3 [H_B P _B] (C_{2v})	0.19	14.80	0.00	−0.53	0.00	−4.80	−4.15	0.65	7.76	—	—	—
A1 [H_N P _N]A3 [H_N P _N] (C_{2v})	0.00	16.23	0.00	18.43	0.00	−3.65	−1.82	1.83	0.92	881.79/23.21	−7.29/−0.19	−15.47/8.17
A1 [H_B P _N]A3 [H_B P _N] (C_{2v})	1.38	15.82	0.00	16.07	0.00	−4.04	−2.34	1.70	8.56	−59.07/−1.55	−9.13/−0.24	−18.34/9.21
A1 [H_N P _B]A3 [H_N P _B] (C_{2v})	4.04	16.58	0.00	3.88	0.00	−4.08	−2.93	1.15	15.80	1850.73/48.7	−5.37/−0.14	−25.97/20.60
A1 [H_B]A2 [H_B P _B]A3 [P_B] (C_{2v})	0.00	13.74	−2.06	−0.62	0.85	−5.23	−4.24	0.99	3.65	—	—	—
A1 [H_N]A2 [H_N P _N]A3 [P_N] (C_{2v})	0.79	15.79	0.00	7.82	0.00	−3.16	−2.08	1.07	2.92	2106.08/55.42	−52.44/−1.38	−59.80/7.37
A1 [H_B]A2 [H_N P _B]A3 [P_N] (C_{2v})	3.38	14.52	−0.78	1.26	0.43	−3.92	−2.95	0.97	15.13	—	—	—
A1 [H_N]A2 [H_B P _N]A3 [P_B] (C_{2v})	3.33	16.56	0.00	−9.14	0.00	−4.02	−3.45	0.58	5.36	—	—	—
A1 [H_N P _B]A2 [H_N P _B]A3 [H_N P _B] (C_{2v})	4.27	16.17	−5.25	−1.49	1.38	−4.12	−3.14	0.98	10.83	—	—	—
A1 [H_B P _N]A2 [H_B P _N]A3 [H_B P _N] (C_{2v})	0.00	16.66	0.00	14.62	0.00	−4.17	−2.41	1.76	14.09	214.78/5.65	2.19/0.06	−14.31/16.50
A1 [H_B P _B]A2 [H_B P _B]A3 [H_B P _B] (C_{2v})	—	16.03	0.00	3.77	0.00	−4.94	−3.81	1.13	1.24	—	—	—
A1 [H_N P _N]A2 [H_N P _N]A3 [H_N P _N] (C_{2v})	—	9.43	0.00	19.65	0.00	−3.66	−1.65	2.01	1.22	54.97/1.45	1.86/0.05	−23.66/16.94



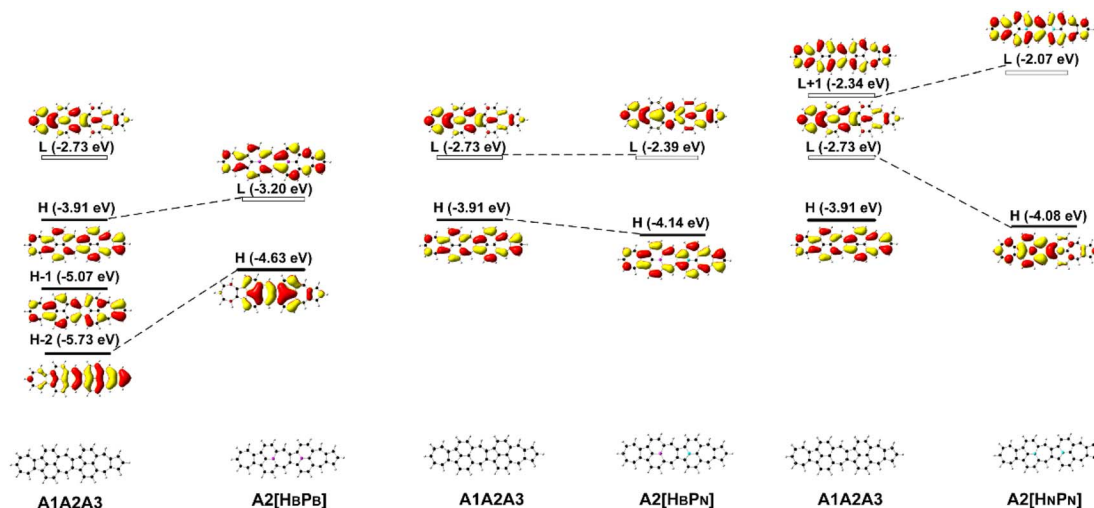


Fig. 2 The frontier molecular orbital (H: HOMO; L: LUMO) correlation and energy diagrams of A1A2A3, A2[H_BP_B], A2[H_BP_N], and A2[H_NP_N] predicted with B3LYP/6-31G(d,p).

redistribution of the molecular orbitals) in these A1A2A3 based molecules. In other words, the different nuclear potentials from B, C, and N bring about different electronic structures and this is the reason for using heteroatom doping to tune the electronic structure of graphene for novel electronic and optical properties.

Since FMOs play an important role in the optical and electronic properties of materials, the substitution of B and N atoms could affect the nonlinear optical properties of the materials. To understand the effect of different heteroatom doping patterns on the nonlinear optical properties of B, N-doped nanographenes, the frontier molecular orbitals of the B, N-doped nanographenes with strong second-order nonlinear optical responses are plotted for comparison (Fig. 3 and S5[†]). P_π-π conjugation between boron and the adjacent carbon atoms effectively occurs in the B-doped series of azulene-based nanographenes (Fig. 1), which can be ascribed to the vacant p-orbital of the boron atom. However, such conjugation does not improve the stability of B-doped

carbon nanographenes much (Table S1[†]). The N-atom substituted structure (A1[H_N]A3[P_N]) has a much stronger static first hyperpolarizability than that of other substituted structures (Table 1). The replacement of C atoms in A1A2A3 by two N atoms causes the occupation of the LUMO in A1A2A3 by two extra electrons from the two N atoms. Such occupation of the LUMO in A1A2A3 leads to the redistribution of molecular orbitals and energy reordering in A1[H_NP_N], A2[H_NP_N], A3[H_NP_N] or A1[H_N]A3[P_N] (Fig. 3). The HOMO distribution of A1[H_NP_N] is essentially delocalized, and the HOMO of A3[H_NP_N] mainly distributes around the N-doped azulene ring, while the HOMO of A2[H_NP_N] and A1[H_N]A3[P_N] mainly distributes on the two azulene rings of A1 and A2, respectively. On the other hand, the LUMO of A1[H_NP_N] mainly distributes on the un-doped A2 and A3, while the LUMO of A3[H_NP_N] mainly distributes on the un-doped A1 and A2. The LUMO of A2[H_NP_N] essentially distributes over the entire structure, and this is similar in the case of the LUMO of A1[H_N]A3[P_N]. The molecular orbital distribution and energy order have

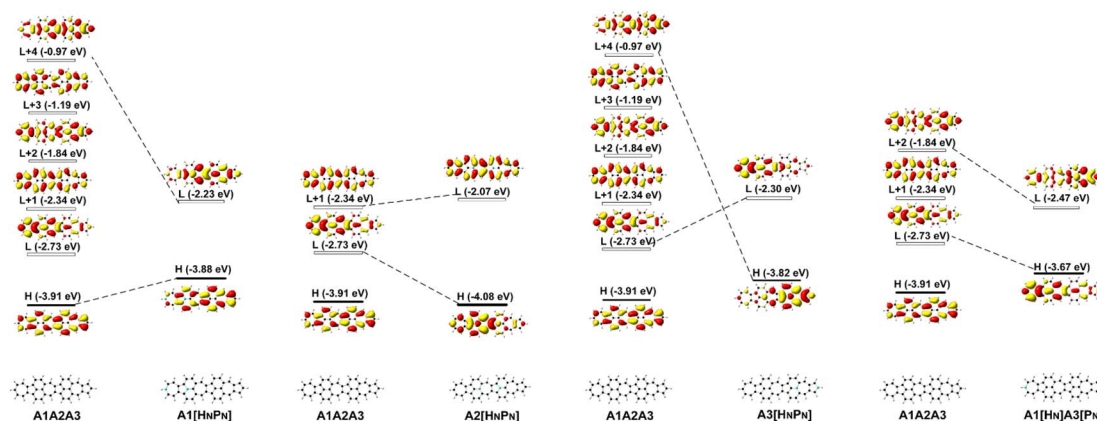


Fig. 3 The frontier molecular orbital (H: HOMO; L: LUMO) correlation and energy diagrams of A1A2A3, A1[H_NP_N], A2[H_NP_N], A3[H_NP_N], and A1[H_N]A3[P_N] predicted with B3LYP/6-31G(d,p).



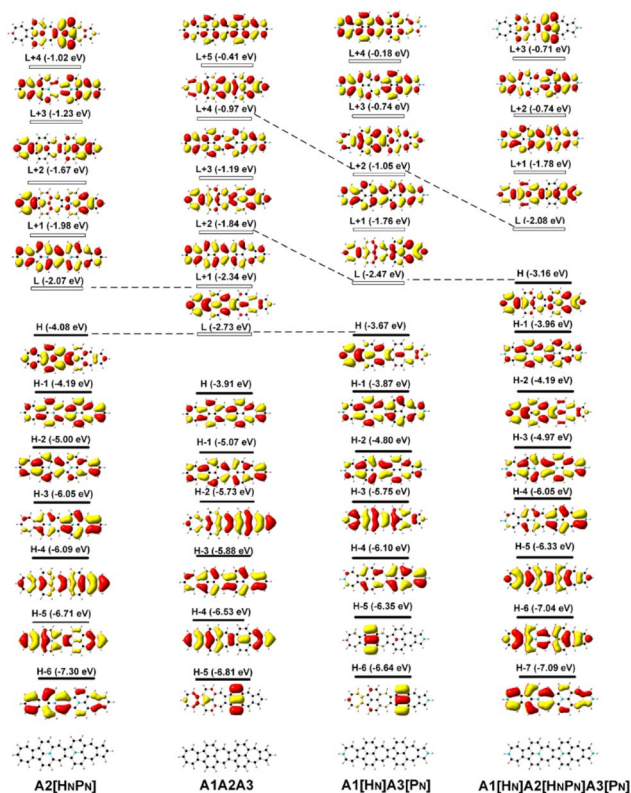


Fig. 4 The frontier molecular orbital (H: HOMO; L: LUMO) correlation and energy diagrams of A1A2A3, A2[H_NP_N], A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N] predicted with B3LYP/6-31G(d,p).

conspicuous changes in some FMOs of A1[H_NP_N] and A3[H_NP_N] with respect to those of A1A2A3 (Fig. 3). The HOMO–LUMO energy gap changes accordingly in those N-doped A1A2A3s. By further replacing the C atoms at the two ends of the central azulene in A1[H_N]A3[P_N] with two N atoms, the HOMO and LUMO of A1[H_N]A2[H_NP_N]A3[P_N] (A1[H_N]A3[P_N] and A1[H_N]A2[H_NP_N]A3[P_N] have strong second or third NLO responses with respect to those of A1A2A3) can find their counterparts as the LUMO and LUMO + 2 of A1[H_N]A3[P_N] (Fig. 4). To further check the effect of N-doping on the electronic structure of A1A2A3 based molecules, the frontier molecular orbital correlation diagrams of A1A2A3 and three representative N-doped A1A2A3 molecules (*i.e.*, A2[H_NP_N], A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N] with an extra two N atoms doped at the two ends of the middle azulene in A1[H_N]A3[P_N]) are plotted in Fig. 4. A1[H_N]A2[H_NP_N]A3[P_N] has a high-lying HOMO and LUMO with the smallest HOMO–LUMO energy gap among the three structures, and it is feasibly polarized by an external field. The occupation of the unoccupied FMOs of A1A2A3 upon N-doping leads to the orbital redistribution in FMOs and thus the energy re-ordering of those FMOs as well as the change of the HOMO–LUMO energy gap (Fig. 3 and 4). Such an occupation of the unoccupied FMOs of A1A2A3 upon N-doping also changes the nature of the electron excitations resulting in new electronic and optical properties of these N-doped

A1A2A3 molecules. Since the weak stability or high chemical reactivity of an open-shell singlet or triplet as the ground state of the investigated nanographenes possibly hampers their syntheses, isolation, and practical applications, only the second and third order NLO properties of the nanographenes with a closed-shell singlet ground state are predicted (Tables 1 and S1†).

The electronic spectra and the static first hyperpolarizability ($\langle\beta_0\rangle$) of A3[H_NP_N], A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N]

$\langle\beta_0\rangle$ of these N-doped A1A2A3s is significantly affected by the doping numbers and positions of N atoms and three molecules, *i.e.*, A3[H_NP_N], A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N] have strong second order NLO responses with respect to that of A1A2A3 (Table 1). The evolution of $\langle\beta_0\rangle$ with the electron excitations of these four molecules is plotted (Fig. 5 and Table S2†) to study the correlation of the strong second order NLO properties with structure. In A1A2A3, there are two strong absorption peaks at 1.81 eV (686.5 nm; 1205.73×10^{-30} esu) and 3.38 eV (367.0 nm; 470.66×10^{-30} esu), and these two electron excitations with conspicuous contributions to $\langle\beta_0\rangle$ are essentially local excitation (both MOs involved in these excitations locate at the same region) (Fig. 5a), mainly arising from the HOMO \rightarrow LUMO + 1 (1.81 eV) and HOMO – 2 \rightarrow LUMO + 1 (3.38 eV) electron transitions.²¹ The introduction of two N atoms in A1A2A3 results in an obvious blue-shift of electron excitation from 1.81 eV in A1A2A3 to 2.31 eV in A1[H_N]A3[P_N] and 2.52 eV in A3[H_NP_N], and these two strong electron excitations (with the strongest oscillator strength) at 2.31 eV (2706.72×10^{-30} esu) in A1[H_N]A3[P_N] and 2.52 eV (2498.26×10^{-30} esu) in A3[H_NP_N] contribute predominantly to the $\langle\beta_0\rangle$ of A1[H_N]A3[P_N] and A3[H_NP_N], respectively. The HOMO – 1 \rightarrow LUMO + 1 and HOMO \rightarrow LUMO electron transitions of A3[H_NP_N] and A1[H_N]A3[P_N] are intramolecular charge transfer (ICT) based excitations with a large electron transition moment (Fig. 5 and Table S3†), and significantly contribute to the strong second order NLO responses. To quantify the relative degree of local excitation or ICT-based excitation, the real space representation of hole and electron distributions of electron transitions from the ground state to the excited states with major contributions to the $\langle\beta_0\rangle$ of these molecules is plotted with Multiwfn.³⁹ The index D (the distance between the center of mass of the hole and the center of mass of the electron) is closely related to the hole–electron Coulomb attraction energy and electron excitation, and is used to quantify the charge transfer associated with electron excitation. The relatively lower electron excitation energy and slightly larger D result in the larger $\langle\beta_0\rangle/N$ of A1[H_N]A3[P_N] (91.30×10^{-30} esu) than that of A3[H_NP_N] (-79.02×10^{-30} esu) (Table 1). The electronic spectra of A1[H_N]A2[H_NP_N]A3[P_N] have a weak peak at 1.16 eV (1067.7 nm) in the near-infrared region and a strong peak in the visible light region at 2.56 eV (483.9 nm), respectively. Compared with A1A2A3, the relatively large $\langle\beta_0\rangle/N$ of A1[H_N]A2[H_NP_N]A3[P_N] is primarily attributed to the low electron excitation energies. The replacement of carbon atoms by nitrogen atoms in the azulene-based all-carbon structure further polarizes the system and induces charge redistribution, thus enhancing the second order nonlinear optical properties of A1[H_N]A2[H_NP_N]A3[P_N].



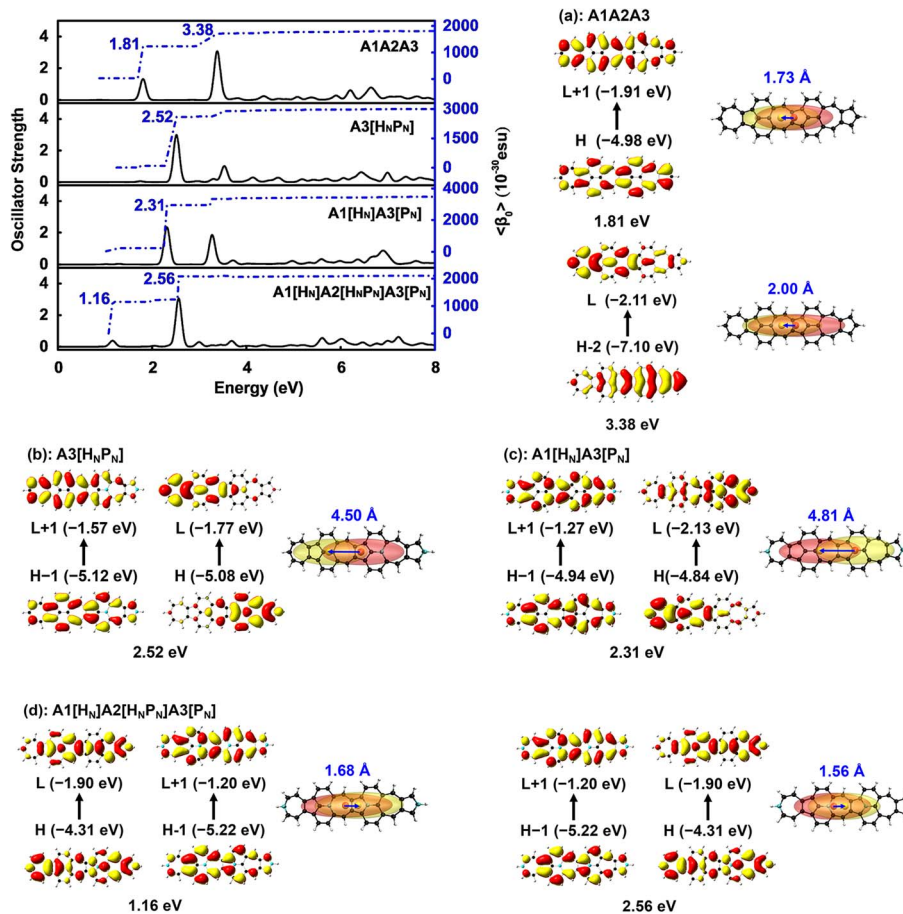


Fig. 5 Evolution of the static first hyperpolarizability ($\langle\beta_0\rangle$) with electron excitation in (a) A3[H_NP_N], (b) A1[H_N]A3[P_N], and (c) A1[H_N]A2[H_NP_N]A3[P_N] and the corresponding real space representation of electron (transparent yellow) and hole (transparent red) distributions of electron transitions from the ground state to the excited state of these molecules based on the electronic wavefunction predicted by using CAM-B3LYP/6-31++G(d,p). The blue numbers are the distance (in Å) between the centers of mass of the electron (yellow sphere) and hole (red sphere).

Two-dimensional second order NLO spectra

The two-dimensional (2D) second order NLO spectra include second-order NLO properties of the system with all possible combinations of external fields that can be obtained, for example, optical rectification (OR), electro-optical Pockels effect (EOPE), sum frequency generation (SFG), and difference frequency generation (DFG).^{47–49} In the present work, the 2D

second order NLO spectra of A3, A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N] are studied under external fields scanned up to 7.00 eV with a step size of 0.05 eV (Fig. 6).

In the 2D second order NLO spectra of A3, the strong resonant response (2.44×10^{-24} esu) occurs at the external fields (2.55 eV, -0.05 eV) and (2.55 eV, -2.50 eV) (Fig. 6a), and a fine scan with a step size of 0.005 eV finds the strongest resonant

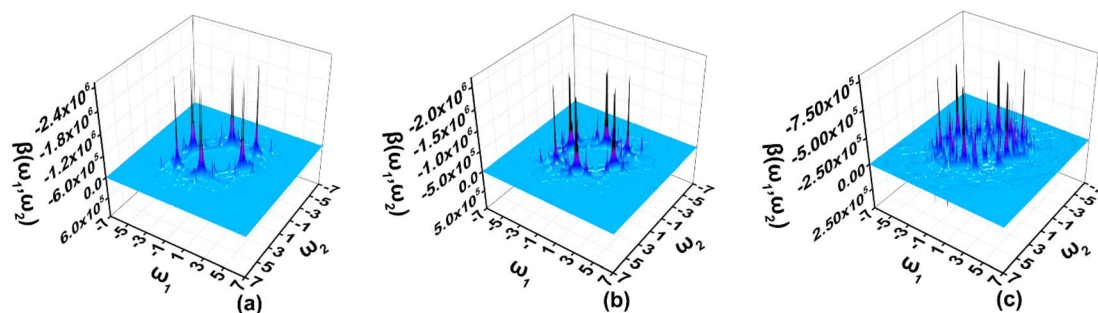


Fig. 6 The two-dimensional second order nonlinear optical spectra (in 10^{-30} esu) of (a) A3[H_NP_N], (b) A1[H_N]A3[P_N], and (c) A1[H_N]A2[H_NP_N]A3[P_N] scanned up to 7.00 eV with a step size of 0.05 eV and predicted by using the TD-CAM-B3LYP/6-31++G(d,p) and the sum-over-states model.



NLO response (6.46×10^{-24} esu) locating at the external fields (2.515 eV, -2.515 eV) (OR) and (2.515 eV, 0.000 eV) (EOPE) (Fig. S6a†). For A1[H_N]A3[P_N], the strong NLO response (1.93×10^{-24} esu) shifts to the lower energy region (2.35 eV, -0.05 eV) and (2.35 eV, -2.30 eV) (Fig. 6b), and a smaller step size scan finds the OR and EOPE at external fields between 2.315 eV and 2.310 eV (Fig. S6b†). Another strong SFG (1.05×10^{-24} esu) with $\omega_1 + \omega_2 = 2.310$ eV ($\omega_1, \omega_2 \in [0.000 \text{ eV}, 2.310 \text{ eV}]$) occurs at the external field (1.295 eV, 1.015 eV) (Fig. S6c†). Similarly, a strong DFG response (1.00×10^{-24} esu) is also observed at the external fields (2.310 eV, -1.295 eV) and (2.310 eV, -1.015 eV), which may be caused by the resonance of external fields with the electron excitations at 1.29 eV and 2.31 eV (Fig. 6b and S6d†). In A1[H_N]A2[H_NP_N]A3[P_N], the strong second order NLO response (7.34×10^{-25} esu) is located at the external fields (2.55 eV, 0.00 eV) and (2.55 eV, -2.55 eV) (Fig. 6c). A fine scan with a step size of 0.01 eV finds such OR and EOPE (1.62×10^{-24} esu) at 2.56 eV (Fig. S6e†).

In summary, the introduction of N atoms in A1A2A3 makes the strong resonant NLO responses of these molecules move to a higher energy region with respect to that of A1A2A3.²¹ The strong resonant NLO responses in the visible and near-infrared regions endow these molecules with promising potential applications in light tuning, interface exploring,^{50,51} and nonlinear nanophotonic devices.⁷

Static and dynamic second hyperpolarizabilities

Materials with both strong second and third order NLO properties are vital in applications such as biomedical multimodal imaging,⁵² and thus it is highly desirable to predict the third order NLO responses of these molecules. Second order NLO responses are limited to non-centrosymmetric systems, while

third order NLO responses can be observed in all systems. Both $\langle\beta_0\rangle$ and $\langle\gamma_0\rangle$ reflect the intrinsic properties of materials and the strength of the materials' NLO response to an external field. According to the decomposition of the total $\langle\gamma_0\rangle$ into $\langle\gamma_0\rangle_{3L}$ and $\langle\gamma_0\rangle_{4L}$ [Eqn. (3)], $\langle\gamma_0\rangle_{3L}$ and $\langle\gamma_0\rangle_{4L}$ of all the studied nanographenes are of the same order of magnitude; however, $\langle\gamma_0\rangle_{3L}$ has a determinant contribution to $\langle\gamma_0\rangle$. The $\langle\gamma_0\rangle_{4L}$ of these molecules essentially contributes unfavorably, resulting in a weakening of $\langle\gamma_0\rangle$ (Table 1). Among these, A1[H_N]A2[H_NP_N]A3[P_N] has the largest $\langle\gamma_0\rangle$ of -52.44×10^{-34} esu, and it is much larger than that of the other nanographenes with the same size, in which $\langle\gamma_0\rangle_{3L}$ makes a predominant contribution to $\langle\gamma_0\rangle$. The evolution of $\langle\gamma_0\rangle$ and $\langle\gamma_0\rangle_{3L}$ with the electron excitation of A1A2A3, A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N] is depicted in Fig. 7 to investigate the influence of N-doping on the third order NLO properties. The $\langle\gamma_0\rangle$ of A1A2A3, A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N] is -21.74×10^{-34} esu, -9.57×10^{-34} esu, and -52.44×10^{-34} esu, respectively. In A1A2A3, three main electron excitations at 1.81 eV (-18.43×10^{-34} esu), 2.93 eV (2.26×10^{-34} esu), and 3.38 eV (-21.46×10^{-34} esu) have important contributions to $\langle\gamma_0\rangle$. In A1[H_N]A3[P_N], the absorption peaks at 2.31 eV and 3.27 eV have significant contributions to $\langle\gamma_0\rangle$, while the absorption peaks at 2.43 eV and 3.43 eV have opposite contributions to $\langle\gamma_0\rangle$, thus resulting in a small $\langle\gamma_0\rangle$. The absorption peaks at 1.16 eV and 2.56 eV in A1[H_N]A2[H_NP_N]A3[P_N] make significant contributions to $\langle\gamma_0\rangle$, and the absorption peak at 2.37 eV makes a small opposite contribution to $\langle\gamma_0\rangle$. The large contribution to $\langle\gamma_0\rangle$ from the electron absorption at 2.56 eV leads to the large $\langle\gamma_0\rangle$ of A1[H_N]A2[H_NP_N]A3[P_N]. The transition nature of the electron excitations at 1.16 eV and 2.56 eV are similar while with different weights of electrons transition from occupied MOs to virtual MOs (Fig. 7), and this

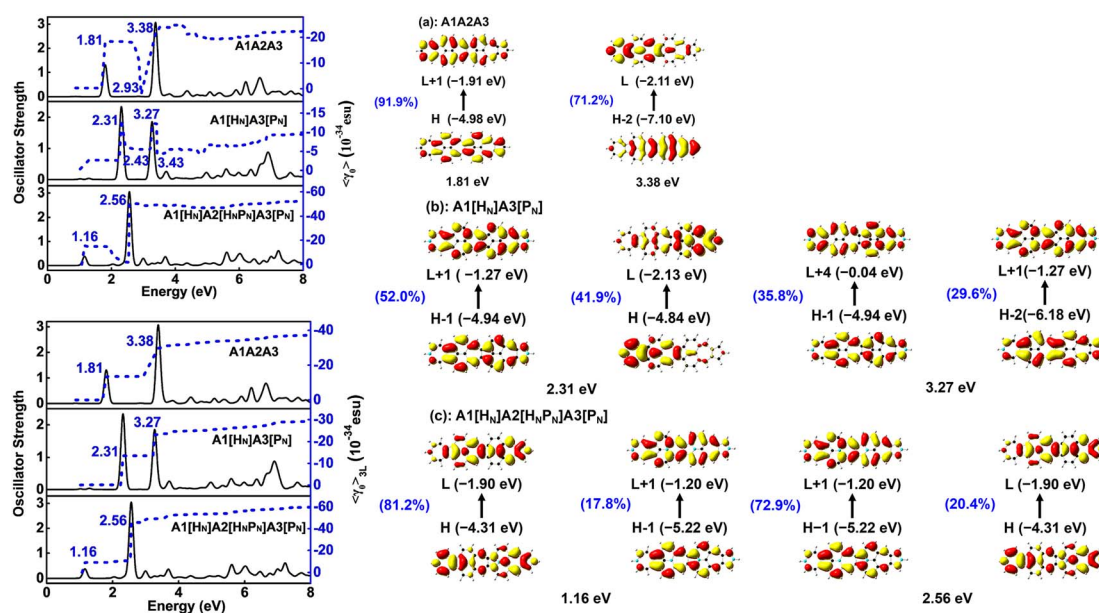


Fig. 7 Evolution of the static second hyperpolarizability ($\langle\gamma_0\rangle$ and $\langle\gamma_0\rangle_{3L}$) (in 10^{-34} esu) with the electron excitation in (a) A1A2A3, (b) A1[H_N]A3[P_N], and (c) A1[H_N]A2[H_NP_N]A3[P_N] were predicted by using CAM-B3LYP/6-31++G(d,p). The percentage represents the weight of electron transitions.



explains that these two absorption peaks make joint contributions to $\langle\gamma_0\rangle$ (Table S4†).

Since the nanographenes lie along the z direction, the largest contribution to γ could come from the γ_z along the dipole direction z . The $\gamma_{3L(zzzz)}$ is invoked and can be written as,

$$\gamma_{3L(zzzz)} = - \sum_{m,n(\neq g)} \frac{\mu_{gm}^z \mu_{mg}^z \mu_{gn}^z \mu_{ng}^z}{(\omega_{mg} - \omega_\sigma - i\Gamma_m)(\omega_{ng} - \omega_2 - i\Gamma_n)(\omega_{ng} + \omega_3 - i\Gamma_n)} \quad (5)$$

In A1A2A3, the electron excitations at 1.81 eV (-13.46×10^{-34} esu) and 3.38 eV (-16.09×10^{-34} esu) have dominant contributions to $\langle\gamma_0\rangle_{3L}$. In A1[H_N]A3[P_N], the absorption peaks at 2.31 eV and 3.27 eV have dominant contributions to $\langle\gamma_0\rangle_{3L}$. The transition nature of the electron excitations in A1A2A3 at 1.81 eV and 3.38 eV is similar to those at 2.31 eV and 3.27 eV in A1[H_N]A3[P_N], respectively, and such a similarity leads to a similar evolution of $\langle\gamma_0\rangle$ with electron excitation in A1A2A3 and A1[H_N]A3[P_N]. On the one hand, the large $\langle\beta_0\rangle$ of A1[H_N]A3[P_N] is dominantly contributed by the charge transfer based electron excitation at 2.31 eV (Fig. 5). In other words, different electron excitations are responsible for the second order and third order NLO properties in A1[H_N]A3[P_N]. In A1[H_N]A2[H_NP_N]A3[P_N], the electron excitations at 1.16 eV (-15.47×10^{-34} esu) and 2.56 eV (-50.55×10^{-34} esu) contribute dominantly to $\langle\gamma_0\rangle$ with major contributions from $\langle\gamma_0\rangle_{3L}$, and these two electron excitations also make dominant contributions to $\langle\beta_0\rangle$.

A1A2A3, A1[H_N]A3[P_N], and A1[H_N]A2[H_NP_N]A3[P_N] have similar evolution patterns to those of $\gamma_{3L(zzzz)}$ with electron excitations (Table S4†), and two strong absorption peaks have a positive contribution to $\gamma_{3L(zzzz)}$. With the doping of four N atoms, the $\langle\gamma_0\rangle$ of A1[H_N]A2[H_NP_N]A3[P_N] is significantly larger than that of A1A2A3 and A1[H_N]A3[P_N]. The three-level term ($S_0 \rightarrow S_2 \rightarrow S_0 \rightarrow S_2 \rightarrow S_0$), ($S_0 \rightarrow S_1 \rightarrow S_0 \rightarrow S_9 \rightarrow S_0$), ($S_0 \rightarrow S_9 \rightarrow$

$S_0 \rightarrow S_1 \rightarrow S_0$), and ($S_0 \rightarrow S_9 \rightarrow S_0 \rightarrow S_9 \rightarrow S_0$) processes in A1[H_N]A2[H_NP_N]A3[P_N] have major contributions to $\langle\gamma_0\rangle$ (Table S4†). In the evolution of $\gamma_{3L(zzzz)}$ with electron excitations in A1[H_N]A2[H_NP_N]A3[P_N], the $S_0 \rightarrow S_9$ electron transition at 2.56 eV related photon processes have the largest contribution to $\langle\gamma_0\rangle$.

According to the evolution of the static first and second hyperpolarizabilities with the electron excitations of these nanographenes, charge transfer based electron excitations induced by N-doping enhance the second order NLO properties ($\langle\beta_0\rangle$) and the opposite contributions to the third order NLO properties from other electron excitations diminish the $\langle\gamma_0\rangle$ of A1[H_N]A3[P_N]. While in A1[H_N]A2[H_NP_N]A3[P_N], the contributions from the electron excitations at 1.16 eV and 2.56 eV to $\langle\beta_0\rangle$ and $\langle\gamma_0\rangle$ enhance both the second and third order NLO properties, and such two electron excitations are induced by the doping of N atoms.

Third order NLO processes include EFISH (electric field induced second harmonic generation), THG (third harmonic generation), DFWM (degenerate four wave mixing), TPA, and others. The third order NLO responses of A1A2A3 and A1[H_N]A2[H_NP_N]A3[P_N] under external fields up to 7.00 eV with a step size of 0.05 eV are predicted by using the SOS model (Fig. 8). The strong responses of A1A2A3 in the third order NLO processes mainly occur in the regions of external fields 1.00–2.00 eV and 3.00–4.00 eV (Fig. 8a) ascribed to the strong electron excitations at 1.00 eV and 2.00 eV (Table S6 and Fig. S7†). EFISH has a strong response at 1.80 eV with a major contribution from the electron excitation at about 1.81 eV. The strongest THG response (1.38×10^{-29} esu) also occurs at the external field of

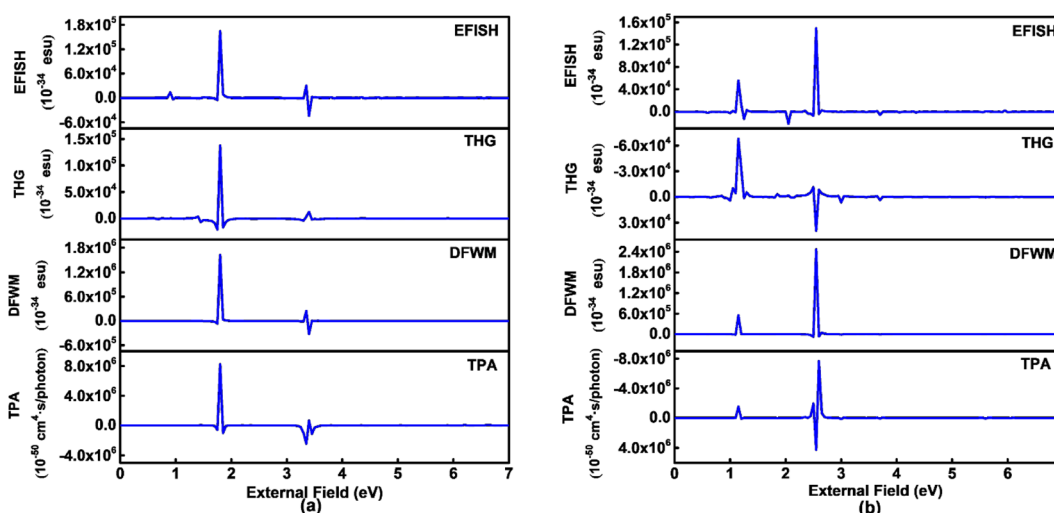


Fig. 8 Third order nonlinear optical responses of (a) A1A2A3 and (b) A1[H_N]A2[H_NP_N]A3[P_N] were predicted by using TD-CAM-B3LYP/6-31++G(d,p) combined with the sum-over-states model. EFISH, THG, DFWM in 10^{-34} esu and TPA in 10^{-50} $\text{cm}^4 \text{s}$ per photon.



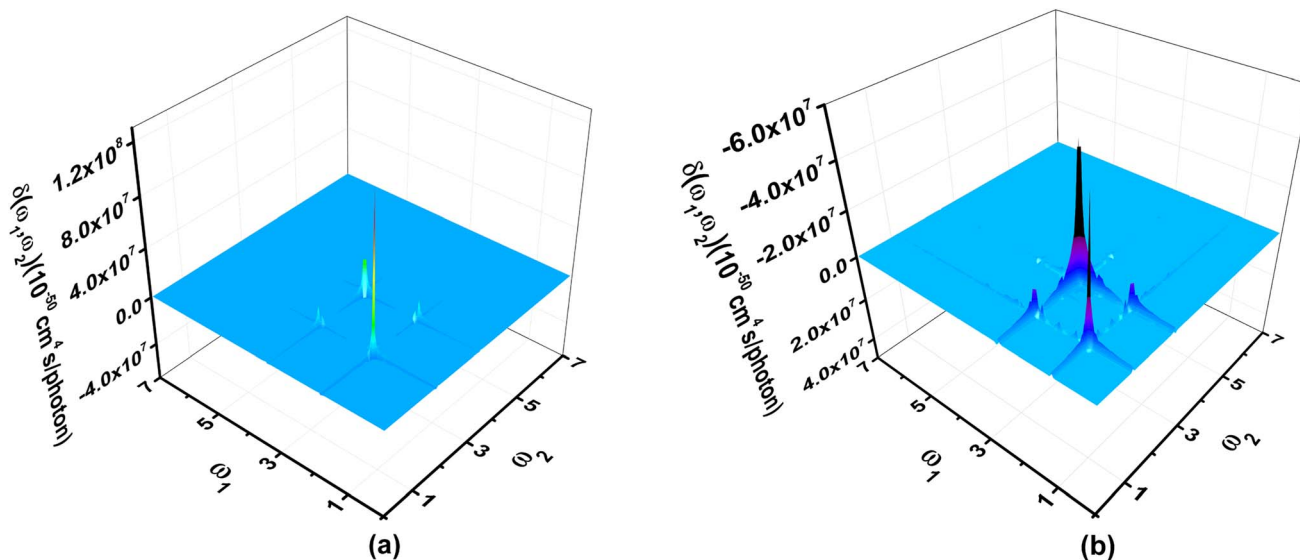


Fig. 9 Two-dimensional two-photon absorption spectra of (a) A1A2A3 and (b) A1[H_N]A2[H_NP_N]A3[P_N] scanned up to 7.00 eV with a step size of 0.05 eV were predicted by using TD-CAM-B3LYP/6-31++G(d,p) and the sum-over-states model.

1.80 eV. In the DFWM process, there are two positive responses at 1.80 eV (1.62×10^{-28} esu) and 3.35 eV (2.49×10^{-29} esu), and a negative one (-3.24×10^{-29} esu) at 3.40 eV. These responses have prominent contributions from the electron excitations at 1.81 eV (685.1 nm) and 3.38 eV (366.9 nm), respectively. The TPA cross-section has a maximum at 1.80 eV (688.9 nm; 8.25×10^{-44} cm⁴ s per photon), and another strong one occurs at 3.35 eV (370.2 nm; -2.42×10^{-44} cm⁴ s per photon). Compared with the NLO responses of A1A2A3, the NLO responses of A1[H_N]A2[H_NP_N]A3[P_N] mainly occur at 1.00–2.00 eV and 2.00–3.00 eV (Fig. 7b). In the EFISH process of A1[H_N]A2[H_NP_N]A3[P_N], the response reaches a maximum of 1.49×10^{-29} esu at 2.55 eV (486.3 nm) and the contribution to such a response mainly comes from the electron excitation at 2.56 eV, similar to the case in the THG and DFWM (Fig. 8b and Table S7†) processes. There are three major TPA peaks in the near-infrared and ultraviolet-visible regions [*i.e.*, 1.15 eV (1078.3 nm), 2.50 eV (496.0 nm), and 2.55 eV (486.3 nm)] with the strongest TPA peak at 2.55 eV (4.27×10^{-44} cm⁴ s per photon), which is caused by the resonance of external fields with the electron excitations at 2.56 eV (Table S7 and Fig. S8†). By and large, these strong third order NLO responses of A1A2A3 and A1[H_N]A2[H_NP_N]A3[P_N] mainly occur at visible or (and) near-infrared regions in resonance with electron excitations. The research of TPA cross-sections is crucial for understanding the correlation between the molecular structure and electronic and optical properties of novel organic materials.⁵³ The large TPA cross-sections of A1A2A3 and A1[H_N]A2[H_NP_N]A3[P_N] in the visible or (and) near-infrared regions provide the possibility to design functional TPA materials. To simulate TPA responses under different external fields, the 2DTPA spectra⁵⁴ of these two molecules under external fields up to 7.0 eV are predicted by using TD-CAM-B3LYP/6-31++G(d,p)-SOS (Fig. 9). 2DTPA can be divided into two parts: STPA ($-\omega; \omega, \omega, -\omega$)—TPA with one frequency (self-phase

modulation) and CTPA ($-\omega_1; \omega_1, \omega_2, -\omega_2$)—TPA with two different frequencies (cross-phase modulation).⁵⁵ These two molecules have similar 2DTPA spectra. In the 2DTPA spectra of A1A2A3, the strongest STPA response (1.26×10^{-42} cm⁴ s per photon) occurs at 1.80 eV (Fig. 9a), and fine scanning with a step size of 0.01 eV finds another strong resonant STPA response (1.45×10^{-43} cm⁴ s per photon) at 3.38 eV (Fig. S9a†). There are four strong CTPA responses (6.74×10^{-44} cm⁴ s per photon) and (3.09×10^{-44} cm⁴ s per photon) occurring at the external fields (1.85 eV, 3.35 eV), (3.35 eV, 1.85 eV) and (1.90 eV, 3.35 eV), (3.35 eV, 1.90 eV) (Fig. 9a). In A1[H_N]A2[H_NP_N]A3[P_N], the strongest STPA response moves to the low energy region at 1.15 eV (-5.71×10^{-43} cm⁴ s per photon) (Fig. 9b), further fine scanning locates the strongest STPA resonant response (1.00×10^{-42} cm⁴ s per photon) at 1.16 eV (Fig. S9b†), while another strong STPA response (-5.53×10^{-43} cm⁴ s per photon) occurs at 2.60 eV (Fig. 9b). Similar to A1A2A3, strong CTPA responses (-1.10×10^{-44} cm⁴ s per photon) and (-1.06×10^{-44} cm⁴ s per photon) are observed at the higher energy regions with external fields (1.10/2.55 eV, 2.55/1.10 eV) and (1.15/2.50 eV, 2.50/1.15 eV) (Fig. 9b). Such high S/CTPA cross-sections under external fields confer these carbon-based functional nanomaterials potential applications in optical power limiting⁵⁶ and two-photon data storage,⁵⁷ biophotonic two-photon fluorescence cell imaging⁵⁸ and photodynamic therapy,^{5,59} and nano-micro fabrication.^{60,61}

Conclusions

A series of N-doped azulene-based molecules has been systematically investigated by using quantum chemical calculations combined with the SOS model. A molecular design strategy to enhance the stability and the second or (and) third order nonlinear optical properties by replacing the



carbon atoms at the two ends of the azulene moiety in azulene-based nanographene (A1A2A3) with nitrogen atoms is proposed. This proposed electronic structure modulation strategy through modifying the nuclear potential and electron occupation would enlighten the design, fabrication, and application of more advanced carbon-based functional nanomaterials and it is readily extendable to other functional materials design.

Replacing C atoms at the two ends of A1A2A3 with electron-rich N atoms changes the low-lying frontier unoccupied molecular orbitals in A1A2A3 to occupied molecular orbitals in N-doped A1A2A3, which gives rise to an enlarged HOMO–LUMO energy gap, induces charge redistribution, modifies the nature of electron excitations, and thus brings about strong second order NLO responses. The static first hyperpolarizability ($\langle\beta_0\rangle$) of A1[H_N]A3[P_N] reaches 91.30×10^{-30} esu per heavy atom. Further introduction of N atoms into the two ends of the central azulene moiety of A1[H_N]A3[P_N] leads to an enhanced static second hyperpolarizability ($\langle\gamma_0\rangle$) (-52.44×10^{-34} esu) in A1[H_N]A2[H_NP_N]A3[P_N] ascribed to the two N-doping induced electron excitations with complementary contributions to the $\langle\gamma_0\rangle$. Heteroatom doping is an important means to improve the NLO response of carbon-based nanographene with prospective broad applications. The strong second order and the large dynamic third order NLO responses render these azulene-based nanographenes as potential multifunction NLO materials. The present study is expected to provide insight into designing new azulene-based nanomaterials for optoelectronic applications.

Author contributions

Cui-Cui Yang wrote the manuscript with parts written by Ya Qing Zhang. Ya Qing Zhang conceived and designed the molecular structures, carried out all computations, summarized and analyzed the results with help from Cui-Cui Yang. Jia-Ying Ma conducted the preliminary exploration of similar structures. Wei Quan Tian supervised all the works and revised the manuscript. All the authors participated in the data analysis, discussion of results, and revision of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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