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## Ethylene oxide graft copolymers reduce the immunogenicity of lipid nanoparticles<sup>†</sup>

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Lipid nanoparticle (LNP)/mRNA complexes have great therapeutic potential but their PEG chains can induce the production of anti-PEG antibodies. New LNPs that do not contain PEG are greatly needed. We demonstrate here that poly-glutamic acid-ethylene oxide graft copolymers can replace the PEG on LNPs and outperform PEG-LNPs after chronic administration.

### Introduction

Lipid nanoparticle (LNP)/mRNA complexes have the potential to transform medicine and are being intensely investigated for treating diseases ranging from cancer to obesity.<sup>1–8</sup> However, LNPs contain PEG and patients that receive LNPs generate antibodies against PEG.<sup>9</sup> Anti-PEG antibodies have the potential to become a serious problem for the development of LNP products.<sup>10–14</sup> Over one billion people have received LNP-based vaccines, and now have high levels of anti-PEG antibodies in their blood. The presence of anti-PEG antibodies in patients will cause several problems for LNP based products.<sup>15,16</sup> For example, patients with high levels of anti-PEG antibodies have high levels of reactogenicity to LNP based vaccines, and this can lead to life threatening anaphylaxis. In addition, anti-PEG antibodies are likely to cause even more significant problems for intravenously administered LNPs, and could potentially cause their inactivation and high levels of inflammation through complement mediated activation.<sup>17</sup>

Although the immunogenicity of PEG is well understood, developing new LNP formulations without PEG has been challenging. LNPs based on polysarcosine (PS) or poly(2-ethyl-2-oxazoline) (PEOZ) have been developed, which can transfect mRNA *in vivo* as efficiently as PEG based LNPs.<sup>18–20</sup> However, PS and PEOZ induce the generation of anti-polymer antibodies and lose efficacy after chronic administration, and it is unclear if

they are suitable replacements for PEG.<sup>20,21</sup> Poly(2-methacryloyloxyethyl phosphorylcholine) (PMPC) has also been investigated as a PEG replacement polymer for LNPs but has never been investigated *in vivo*, and it is unclear if PMPC based LNPs can function *in vivo*.<sup>22</sup> There is consequently a great need for new classes of LNPs that do not contain PEG and can be chronically injected without losing efficacy.

Polyamides that contain short PEG grafts have great potential for replacing the PEG on LNPs. Polyamides with short PEG grafts do not cause the generation of anti-polymer antibodies after chronic administration, even after conjugation to immunogenic proteins or nanoparticles.<sup>23–26</sup> This unique property is due to the specific binding mechanism of anti-PEG antibodies to PEG. The crystal structure of anti-PEG antibodies complexed with PEG shows that anti-PEG antibodies bind to an ethylene oxide fragment 7 units in length.<sup>26</sup> The affinity of anti-PEG antibodies for oligoethylene oxides dramatically declines for oligoethylene oxides <7 units in length, and is probably too low to stimulate antibody production from B cells *in vivo*. In addition, polymers with short PEG grafts can stabilize LNPs effectively and generate LNPs that do not bind anti-PEG antibodies.<sup>27</sup> Despite their potential, polyamides with short PEG grafts have never been investigated as PEG replacement polymers for LNPs.

In this report, we demonstrate that polyamides composed of a poly(L-glutamic acid) backbone esterified (PGE) with oligoethylene-oxide grafts 2–5 units in length can replace the PEG on LNPs and generate LNPs that outperform PEG-LNPs after chronic administration (see Fig. 1 for structures). In particular, PGE-LNPs with 3 unit ethylene oxide grafts transfected the liver with luciferase and human erythropoietin (hEPO) mRNA as efficiently as PEG-LNPs and outperformed PEG-LNPs after chronic administration due to their lower immunogenicity. In addition, PGE-LNPs with ethylene oxide grafts 2, 4 and 5 units in length also transfected cells efficiently. Collectively, these experiments demonstrate that PGEs have great potential as polymeric stabilizers for LNPs.

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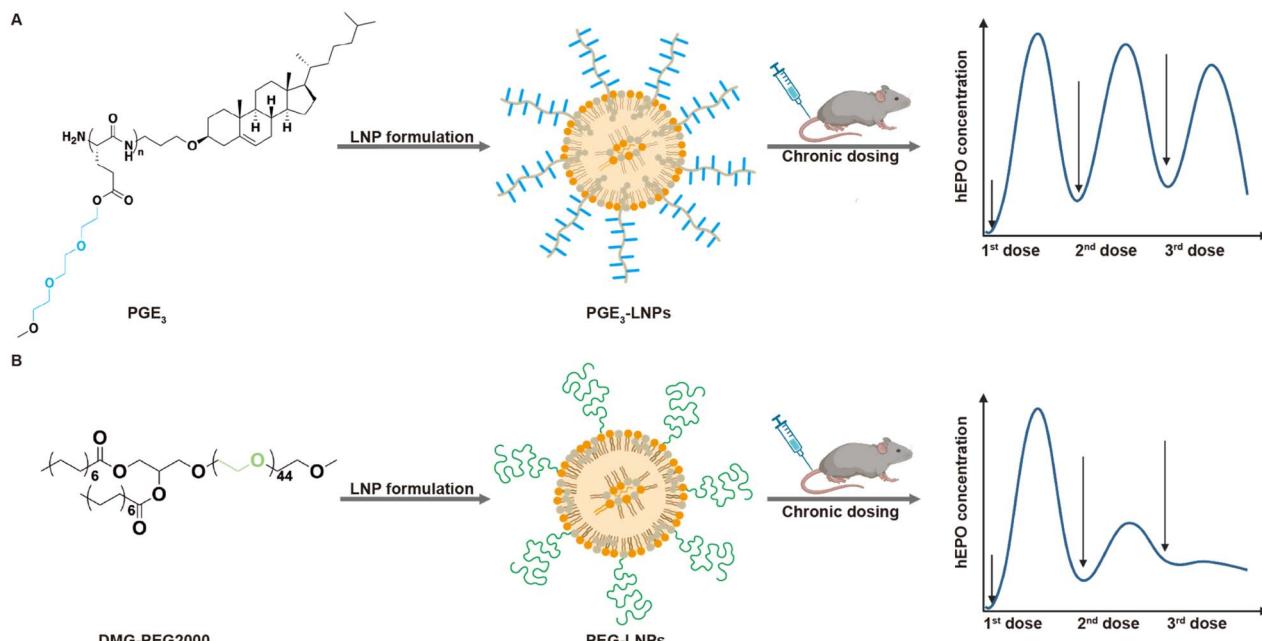
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**Fig. 1** LNPs made with the polyamide PGE have low immunogenicity and outperform PEG-LNPs after chronic administration. Lipid nanoparticle (LNP)/mRNA complexes have great therapeutic potential but their PEG coating can induce the production of anti-PEG antibodies, and there is consequently great interest in developing new LNPs that do not contain PEG. In this report we demonstrate that LNPs made with PEG replacement polymer polyglutamic acid-ethylene oxide (PGE) outperform PEG-LNPs after chronic administration due to their lower immunogenicity. PGE is composed of a polyamide with short PEG grafts conjugated to cholesterol. The chemical structure of  $\text{PGE}_3$ , one of the most promising PGEs identified is shown above. Mice administered multiple intravenous injections of  $\text{PGE}_3$ -LNPs made with human erythropoietin (hEPO) mRNA generated higher hEPO levels in serum (A) compared to those administered PEG-LNPs made with hEPO mRNA (B).

## Results and discussion

### Synthesis of $\text{PGE}_{2-5}$

The chemical structures of the PGEs are shown in Scheme 1, they are composed of PGEs with ethylene-oxide side chains 2–5 units ( $\text{PGE}_{2-5}$ ) in length conjugated to cholesterol. Cholesterol was selected as the LNP anchoring domain in the PGEs because of its high affinity for phospholipid membrane,<sup>28</sup> and its simple synthesis in comparison to traditional phospholipids. PGEs were synthesized by the route shown in Scheme 1. An amine functionalized cholesterol was used as an initiator for the ring opening polymerization of *N*-carboxyanhydride (NCA) monomers of L-glutamic acid esterified with oligoethylene-oxides 2–5 units in length. The resulting polymers were purified *via* precipitation in cold ether and had molecular weights between 11 000–37 000 Daltons (see Scheme 1 for details). Poly(L-glutamic acid) was selected as the polymer backbone for the PGEs instead of D or DL poly(glutamic acid) because poly(L-glutamic acid) has lower immunogenicity than the D or DL isoforms.<sup>23,24</sup>

### PGE-LNPs have similar sizes, mRNA encapsulation efficiencies, and internal structures as PEG-LNPs

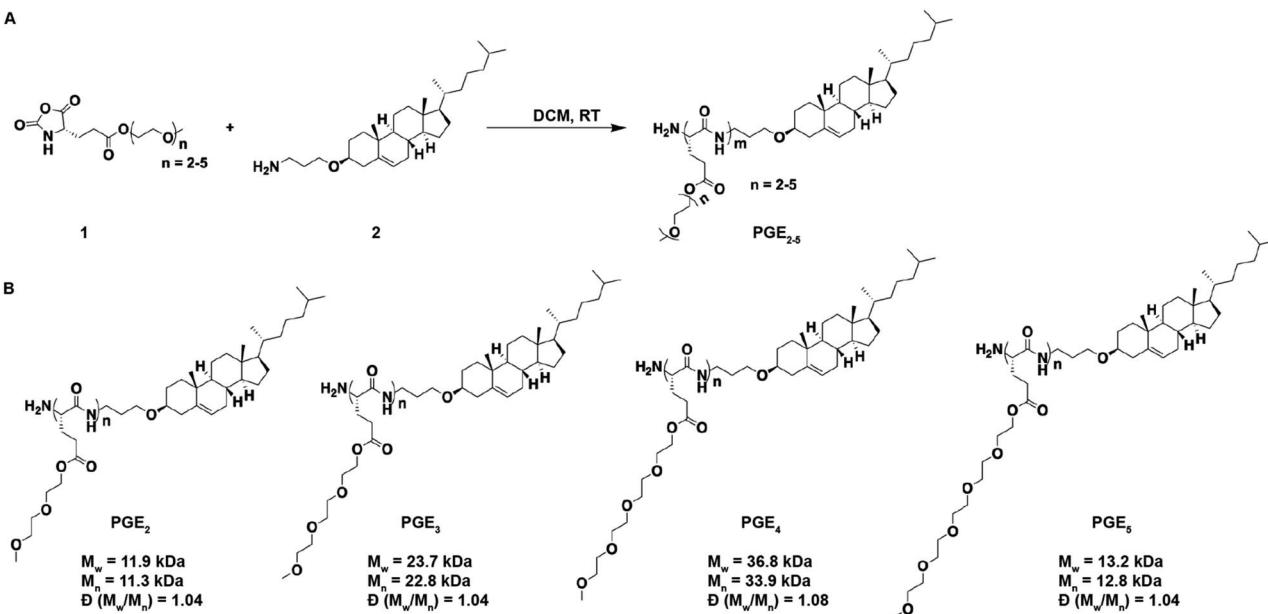
LNPs formulated with  $\text{PGE}_{2-5}$  were characterized for their hydrodynamic diameter, polydispersity index (PDI), encapsulation efficiency, and Zeta-potentials, and compared against PEG based LNPs. LNPs made with  $\text{PGE}_{2-5}$  demonstrated comparable encapsulation efficiencies, sizes and Zeta-potentials to PEG-LNPs, despite their very different molecular

architectures and molecular weights (see Fig. 2A, B and S1†). The particle size of  $\text{PGE}_2$ -LNPs and  $\text{PGE}_3$ -LNPs were 195 nm, which were larger than those of PEG based LNPs (145 nm), whereas  $\text{PGE}_4$ -LNPs and  $\text{PGE}_5$ -LNPs had diameters of approximately 110 nm, which were smaller than PEG based LNPs. The smaller size of the  $\text{PGE}_4$ -LNPs and  $\text{PGE}_5$ -LNPs are anticipated based upon their longer PEG grafts, which should prevent LNPs made with them from fusing during the self-assembly process. Furthermore, small-angle X-ray scattering (SAXS) analysis indicates that  $\text{PGE}_3$ -LNPs made with 0.84 mole% of  $\text{PGE}_3$  exhibit a peak at  $q = 0.118 \text{ \AA}^{-1}$ , very close to that of PEG-LNPs ( $q = 0.117 \text{ \AA}^{-1}$ ), which demonstrates that  $\text{PGE}_3$ -LNPs containing 0.84 mole% of  $\text{PGE}_3$  has similar internal structures to PEG-LNPs (Fig. S2†).

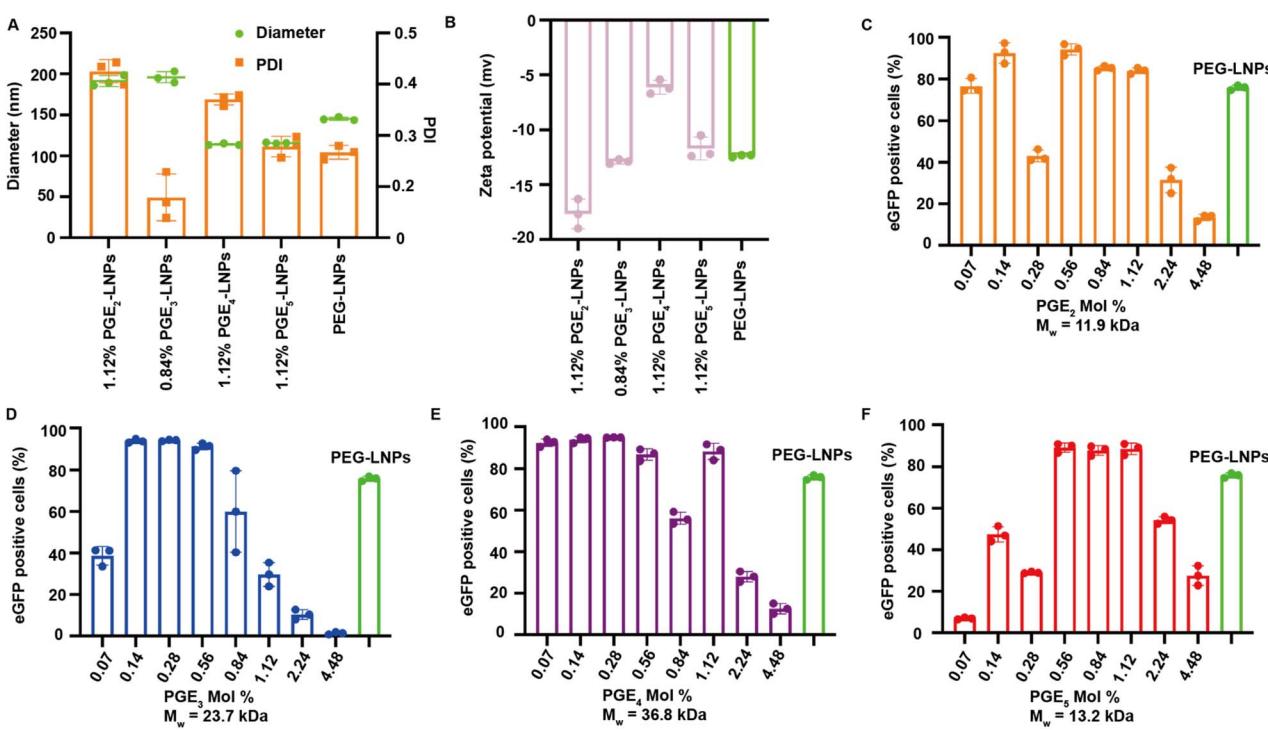
### $\text{PGE}_{2-5}$ can replace the PEG on LNPs and can transfect HEK 293T cells *in vitro* as efficiently as PEG-LNPs

We performed experiments to investigate if PGE-LNPs could deliver mRNA to cells and investigated their ability to transfect human embryonic kidney 293T cells (HEK 293T), using eGFP as the reporter mRNA. LNPs were formulated with  $\text{PGE}_{2-5}$  at mole percents ranging from 0.07–4.48%, incubated with HEK 293T cells for 12 hours, and analyzed *via* flow cytometry. All the PGE-LNPs investigated were able to transfect HEK 293T cells efficiently. For example, all four PGE-LNPs made with 0.56 mole% of  $\text{PGE}_{2-5}$  transfected >80% of HEK 293T cells, which was comparable to PEG based LNPs (Fig. 2C–F). The molecular weights of the PGEs are between 11 000–37 000 Daltons and are

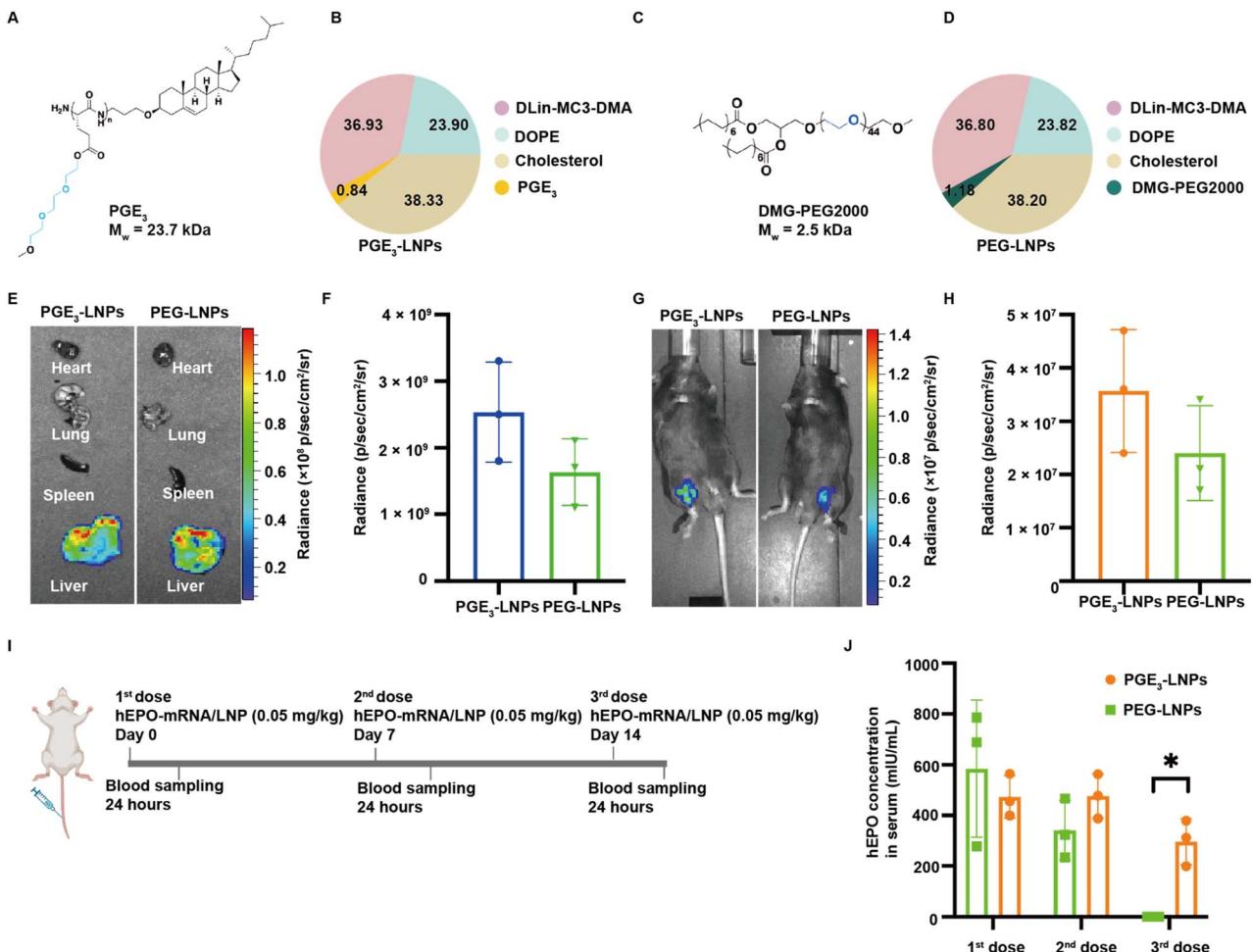




**Scheme 1** Synthesis of the PEG replacement polymers PGE<sub>2-5</sub>, and their chemical structures and molecular weights. (A) PGE<sub>2-5</sub> were synthesized via ring opening polymerization of the *N*-carboxyanhydride monomer 1. The polymerization was initiated with the amine containing cholesterol derivative 2. (B)  $M_w$ ,  $M_n$  and  $D(M_w/M_n)$  values were determined by gel permeation chromatography (GPC).



**Fig. 2** PGE<sub>2-5</sub> can replace the PEG on LNPs and can transfect HEK 293T cells *in vitro* as efficiently as PEG-LNPs. (A) Diameter and PDI of PGE<sub>2-5</sub>-LNPs. (B) Zeta potential of PGE<sub>2-5</sub>-LNPs. (C–F) Transfection of HEK 293T cells with eGFP mRNA encapsulated in either PGE<sub>2-5</sub>-LNPs or PEG-LNPs. LNPs were formulated with PGE<sub>2-5</sub> at mole percentages ranging between 0.07%–4.48% and compared against LNPs formulated with DMG-PEG2000 (1.18 mole%). PGE<sub>2-5</sub>-LNPs transfected cells efficiently at multiple different mole ratios and were comparable to PEG-LNPs, despite their high molecular weights. (C) Comparison of PGE<sub>2</sub>-LNPs and PEG-LNPs. (D) Comparison of PGE<sub>3</sub>-LNPs and PEG-LNPs. (E) Comparison of PGE<sub>4</sub>-LNPs and PEG-LNPs. (F) Comparison of PGE<sub>5</sub>-LNPs and PEG-LNPs. Data are represented as mean  $\pm$  standard deviation,  $n = 3$ .



**Fig. 3** PGE<sub>3</sub>-LNPs outperform PEG-LNPs after chronic administration. (A) Structure of PGE<sub>3</sub>. (B) Molar ratios of the different lipid components in PGE<sub>3</sub>-LNPs. (C) Structure of DMG-PEG2000. (D) Molar ratios of the different lipid components in PEG-LNPs. (E) PGE<sub>3</sub>-LNPs or PEG-LNPs containing luciferase mRNA were injected intravenously into mice (0.25 mg per kg mRNA dose) and the liver, spleen and other organs were removed and imaged in an IVIS machine. PGE<sub>3</sub>-LNPs transfect the liver after an intravenous injection. (F) Quantification of the luciferase signal of organs in E from PGE<sub>3</sub>-LNPs and PEG-LNPs. PGE<sub>3</sub>-LNPs and PEG-LNPs transfect the liver with similar efficacy ( $n = 3$  mice). (G) PGE<sub>3</sub>-LNPs or PEG-LNPs containing luciferase mRNA were injected intramuscularly into mice (0.25 mg per kg mRNA dose) and imaged in an IVIS machine. (H) Quantification of the luciferase signal in G from PGE<sub>3</sub>-LNPs and PEG-LNPs. PGE<sub>3</sub>-LNPs and PEG-LNPs transfect muscle tissue with similar efficacy ( $n = 3$  mice). (I) Experiment schedule for chronic LNP dosing experiment with hEPO mRNA. Mice were intravenously injected with PGE<sub>3</sub>-LNPs containing hEPO mRNA (0.05 mg kg<sup>-1</sup>) or PEG-LNPs containing hEPO mRNA (0.05 mg kg<sup>-1</sup>) on days 0, 7 and 14. Serum samples were collected 24 hours post-injection ( $n = 3$  mice). (J) Quantitation of hEPO protein levels in the blood of mice treated with PGE<sub>3</sub>-LNPs or PEG-LNPs. PGE<sub>3</sub>-LNPs generate high levels of hEPO protein in the blood after three consecutive injections, whereas PEG-LNPs lose >99% of their efficacy. Data are represented as mean  $\pm$  standard deviation,  $n = 3$ . Statistical analyses were performed with a Student's t-test with  $*P < 0.05$ .

much larger than DMG-PEG, which has a PEG chain of 2000. The PGE-LNPs with 0.56–1.12 mole% of PGE contain 5–10 times the ethylene oxide mass ratio as PEG-LNPs yet still transfected cells efficiently. This efficacy could be attributed to the higher persistence length of PGE<sub>2–5</sub>, their propensity to form  $\alpha$ -helices and their graft co-polymer architecture. In addition, the off-rate of PGE<sub>2–5</sub> from the LNP surface could also be much higher than DMG-PEG2000, due to the large molecular weight of their hydrophilic domains.

#### PGE<sub>2–5</sub> can replace the PEG on LNPs and can transfect mice *in vivo* as efficiently as PEG-LNPs

We investigated if LNPs made with PGEs could deliver mRNA after an i.v. injection and compared their efficacy against

PEG based LNPs (Fig. 3A and B). We selected PGE<sub>3</sub> as the initial polymer to investigate because it robustly transfected cells *in vitro*. In addition, poly(L-glutamic acid) esterified with ethylene oxides 3 units in length do not generate PEG antibodies after chronic administration, even after conjugation to proteins or nanoparticles. PGE<sub>3</sub>-LNPs made with luciferase mRNA containing 0.84 mole% and 1.12 mole% of PGE<sub>3</sub> were investigated *in vivo* after i.v. administration, at an N/P ratio of 4 : 1. Fig. 3E–H demonstrate that PGE<sub>3</sub>-LNPs containing 0.84 mole% of PGE<sub>3</sub> have a transfection efficiency equivalent to PEG-LNPs *in vivo*, after either an i.v. or i.m. injection. PGE<sub>3</sub>-LNPs made with luciferase mRNA containing 1.12 mole% of PGE<sub>3</sub> were unable to deliver mRNA *in vivo* efficiently (data not shown).



## PGE<sub>3</sub>-LNPs outperform PEG-LNPs after chronic administration

Finally, we investigated if PGE<sub>3</sub>-LNPs could outperform PEG-LNPs after chronic administration due to the lower immunogenicity of the PGE<sub>3</sub> polymer in comparison to PEG. We used hEPO mRNA as the therapeutic cargo for these experiments. Mice were given a 0.05 mg per kg hEPO mRNA once a week for three weeks, and 24 hours after each dose the hEPO protein levels in the blood were measured (Fig. 3I). Fig. 3J demonstrates that PGE<sub>3</sub>-LNPs are significantly better than PEG-LNPs after chronic administration. PEG-LNPs lost over 99% of their activity on their third injection. In contrast, PGE<sub>3</sub>-LNPs retained >60% of their activity on their third dose. Furthermore, we assessed the immunogenicity of the PGE<sub>3</sub> polymer by conducting an ELISA to detect anti-PEG antibodies in mice treated with PGE<sub>3</sub> formulated LNPs. Mice were first administered PBS intravenously, followed by three intravenous doses of PGE<sub>3</sub> formulated LNPs containing 0.05 mg per kg hEPO mRNA. Fig. S4† demonstrates PGE<sub>3</sub> formulated LNPs did not induce the production of anti-PEG antibodies after repeated administration.

## Conclusions

In summary, in this report we demonstrate that PGE<sub>3</sub>-LNPs can replace the PEG on LNPs and can generate LNPs that are as effective as PEG-LNPs at delivering mRNA *in vivo*. In addition, PGE<sub>3</sub>-LNPs outperformed PEG-LNPs after chronic administration due to their lower tendency to generate anti-PEG antibodies. Collectively, these experiments demonstrate that PGE-LNPs have great potential as PEG replacement polymers for LNPs.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts to declare.

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