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# On the use OF <sup>1</sup>H-NMR chemical shifts and thermodynamic data for the prediction of the predominant conformation of organic molecules in solution: the example of the flavonoid rutin†

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Conformational analyses of organic compounds in solution still represent a challenge to be overcome. The traditional methodology uses the relative energies of the conformations to decide which one is most likely to exist in the experimental sample. The goal of this work was to deepen the approach of conformational analysis of flavonoid rutin (a well-known antioxidant agent) in DMSO solution. The methodology we used in this paper involves expanding the sample configuration space to a total of 44 possible geometries, using Molecular Dynamics (MD) simulations, which accesses structures that would hardly be considered with our chemical perception, followed by DFT geometry optimizations using the  $\omega$ B97X-D/6-31G(d,p) – PCM level of theory. Spectroscopic and thermodynamic analyses were done, by calculating the relative energies and nuclear magnetic resonance (<sup>1</sup>H-NMR) chemical shifts, comparing the theoretical and experimental <sup>1</sup>H-NMR spectra (DMSO-*d*<sub>6</sub>) and evaluating Mean Absolute Error (MAE). The essence of this procedure lies in searching for patterns, like those found in traditional DNA tests common in healthcare. Here, the theoretical spectrum plays the role of the analyzed human sample, while the experimental spectrum acts as the reference standard. In solution, it is natural for the solute to dynamically alter its geometry, going through various conformations (simulated here by MD). However, our DFT/PCM results show that a structure named **32** with torsion angles  $\phi_1$  and  $\phi_2$  manually rotated by approx. 20° showed the best theoretical-experimental agreement of <sup>1</sup>H-NMR spectra (in DMSO-*d*<sub>6</sub>). Relative energies benchmarking involving 16 DFT functionals revealed that the  $\omega$ B97X-D is very adequate for estimating energies of organic compounds with dispersion of charge (MAE < 1.0 kcal mol<sup>-1</sup>, using *ab initio* post-Hartree–Fock MP2 method as reference). To describe the stability of the conformations, calculations of Natural Bonding Orbitals (NBO) were made, aiming to reveal possible intramolecular hydrogen bonds that stabilize the structures. Since van der Waals (vdW) interactions are difficult to be identified by NBO donations, the Reduced Density Gradient (RDG) were calculated, which provides 2D plots and 3D surfaces that describe Non-Covalent Interactions (NCI). These data allowed us to analyze the effect of dispersion interactions on the relative stability of the rutin conformations. Our results strongly indicate that a combination of DFT ( $\omega$ B97X-D)-PCM relative energies and NMR spectroscopic criterion is a more efficient strategy in conformational analysis of organic compounds in solution.

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## 1 Introduction

The importance of the knowledge of the molecular structure of chemical compounds has been recognized all over the years,

with more emphasis on biological activity (see for example ref. 1–4). As mentioned in ref. 1, it would be of great value to be able to describe exactly what happens in the interaction of a drug with a reactive cellular constituent. According to the authors in their 1966 article (ref. 1), theories and explanations prove their worth only if they permit predictions and extrapolations. Many developments in this area of research have been achieved since then. The list of scientific publications related to structure–activity relationship is enormous and the works reported in ref. 2–4 are cited only to show the development of the area in the last forty years. Although in ref. 2–4 the drug molecular structure and theoretical calculations of chemical properties are used in attempt to understand biological activity, no emphasis

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was placed on the determination of the molecular geometry in solution. In the light of these studies, it became clear that a precise information on the molecular conformations plays an important role. For samples in the solid and gas phases, experimental structural determination can be accomplished through X-ray and electron diffraction experiment respectively. However, in solution there is no way to acquire accurate information on the molecular conformations directly from experimental procedures (spectroscopy for example).

Flexible organic molecules may assume several conformations due to rotation of moiety units and functional groups. Therefore, finding the predominant molecular conformation of organic compounds in solution is a hard task for experimentalists. Then, quantum chemical calculations can be an important tool when combined with experimental data in solution. Among many relevant organic molecules possessing biological activity, the flavonoid rutin (a flexible molecule represented in Scheme 1) called our attention some years ago. According to the literature, flavonoids have antitumor,<sup>5–8</sup> antimicrobial,<sup>9</sup> antioxidant<sup>10–12</sup> and anti-inflammatory<sup>13</sup> activities. However, it is proven that most of the biological properties of these polyphenols are associated with its high antioxidant potential.<sup>11,14</sup>

In a previous work<sup>15</sup> we carried out a structural investigation of the flavonoid rutin, through a search for possible minimum energy structures on the Potential Energy Surface (PES) using the Density Functional Theory (DFT) methodology,<sup>16</sup> with the B3LYP functional<sup>17,18</sup> and 6-31G(d,p) basis set.<sup>19</sup> In that first work, all structures were optimized in the vacuum. Through scan calculations involving six inter-ring torsion angles,  $\phi_1$ ,  $\phi_2$ ,  $\phi_3$ ,  $\phi_4$ ,  $\phi_5$  and  $\phi_6$  (defined in Scheme 1), 34 distinct optimized structures were located, named structures 1 to 34. Experimental <sup>1</sup>H-NMR spectrum (in DMSO-*d*<sub>6</sub>)<sup>20</sup> was used as reference data to assist in the conformational elucidation in solution, along with DFT calculations of NMR chemical shifts and thermodynamic quantities using standard statistical thermodynamics formalism.<sup>21</sup> The Polarizable Continuum Model (PCM)<sup>22</sup> was used to simulate the solvent effect in single point DFT calculations (vacuum optimized geometries). The best match between experimental (in DMSO-*d*<sub>6</sub>) and DFT-PCM <sup>1</sup>H-NMR

spectra, calculated for all 34 optimized structures, were used as a criterion to determine the preferred rutin conformation in DMSO solution.

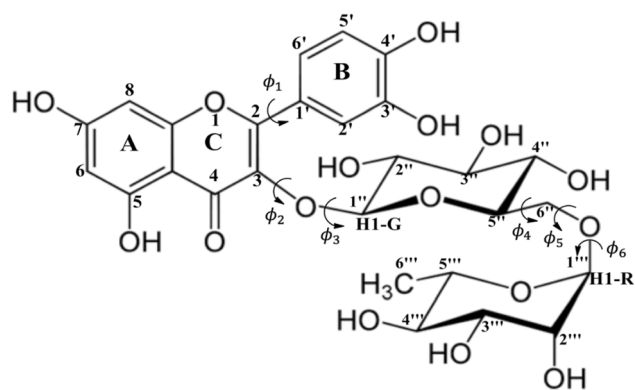
The goal of this work is to expand and improve the conformational analysis of the flavonoid rutin, incorporating molecular dynamics as a source of new conformations, using 16 DFT functional and post-Hartree-Fock method to make a more accurate evaluation of relative energies and to describe the intramolecular interactions that influence the energies of the conformations in DMSO solution through Natural Bonding Orbitals (NBO) analysis.

## 2 Calculations

B3LYP/6-31G(d,p) vacuum-optimized molecular structures of rutin (Scheme 1) were reoptimized at the DFT level<sup>16</sup> with the  $\omega$ B97X-D functional,<sup>23</sup> which carries a dispersion correction and has been found very satisfactory for the description of thermochemistry and non-covalent interactions, using the 6-31G(d,p) basis set,<sup>19</sup> and including solvent effects (DMSO solvent,  $\epsilon = 46.826$ ) through the polarizable continuum model (PCM).<sup>22</sup> This is a more accurate computational procedure than the previous one. In the present work we investigate how a deepening of the methodology can affect the determination of the most probable structure in solution, with the inclusion of 16 DFT functional and the post-Hartree-Fock MP2 (Møller-Plesset second-order perturbation theory)<sup>24,25</sup> level of theory, using flavonoid rutin as a working example. To improve our search for possible conformation of rutin present in solution we performed Molecular Dynamics (MD)<sup>26</sup> simulation in DMSO allowing a sample on distinct areas of the energy hypersurface. Ten sequential frames out of 10 000 were used as input for DFT geometry optimization yielding ten new true minimum energy structures on the PES for rutin (named structures 35 to 44). We can now consider that a truly comprehensive search for minimum energy structures on the PES was accurately accomplished using an adequate level of calculation for geometry optimization ( $\omega$ B97X-D/6-31G(d,p)-PCM), with 44 distinct conformers of rutin being located.

The question now is how to select among 44 conformers the best candidate structures to be present in DMSO solution. It may be thought that as rutin is a very flexible molecule (Scheme 1) there is a dynamic process in solution with various conformations been accessible as a function of time. A Boltzmann-type conformational population (using  $\Delta G$  relative energy values) would be a common criterion to select relevant conformations, naturally favoring the global minimum energy structure. The spectroscopic criterion is based on the best match between experimental and DFT calculated <sup>1</sup>H-NMR chemical shifts. In this case there are two strategies: identifying the lowest DFT NMR statistical indices and the best match between theoretical and experimental <sup>1</sup>H-NMR spectrum.

Harmonic frequency calculations were performed for  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO optimized geometries to characterize them as true minima on the PES (all frequencies are real). In this study, conformational analysis of rutin was improved by MD simulations, using a simulation box with a side length of



Scheme 1 Rutin: Numbering scheme and definition of torsion angles ( $\phi$ ).



3.913 nm, filled with a single rutin molecule and 500 dimethyl sulfoxide (DMSO) molecules using the Packmol software.<sup>27</sup> Initially, the system underwent an energy minimization simulation in 3000 steps to eliminate initial repulsive interactions. The system with minimized energy was subjected to a 1 nm NpT equilibration step at room temperature (298.15 K) and pressure (1 atm), using Berendsen thermostat and barostat.<sup>28</sup> Subsequently, the equilibration protocol was extended for an additional 2 nm, using the Parrinello–Rahman barostat,<sup>29</sup> aiming to enhance system density equilibration. In a last step, 10 nm NpT production simulation was performed. It provided the extraction of the final frame, which was subsequently incorporated into the conformational analysis. To augment the exploration of the conformational space, nine extra conformations were extracted from the production simulation, every 1 nm of simulation a conformation was collected, generating the following geometries, named #1000, #2000, #3000, #4000, #5000, #6000, #7000, #8000, and #9000, in addition to the last frame (#10000).

The molecular interactions within the system were described using the General Amber Force Field (GAFF), with parameters for both rutin and DMSO molecules being generated *via* the Antechamber software.<sup>30,31</sup> These parameters were then formatted for compatibility with the GROMACS 2020.2 (ref. 32) simulation software using ACPYPE program.<sup>33</sup> Bond lengths involving hydrogen atoms were constrained using the Parallel Linear Constraint Solver (P-LINCS),<sup>34,35</sup> and the equations of motion were integrated employing Verlet leapfrog algorithm.<sup>36–38</sup>

Intramolecular interactions (hydrogen bonds and dispersive interactions) were initially characterized by the measurement of

interatomic distances. However, the description of the hydrogen bonds was also addressed through the calculation of the natural bond orbitals (using the NBO software<sup>39–45</sup>), which allows the analysis of the donations of electronic density from localized orbitals for oxygen lone pairs electrons to localized  $\sigma$  orbitals for OH bonds (interaction O...HO). In addition, the intramolecular hydrogen bonds were identified by the generation of the NCI (Non-Covalent Interactions) graphs and surfaces, analyzing the values of  $\text{sign}(\lambda_2)\rho(\text{a.u.})$ , where  $\lambda_2$  is the second eigenvalue of the Hessian matrix and  $\rho$  is the electronic density. NCI data were calculated by the Multiwfn program.<sup>46</sup>

DFT-PCM NMR calculations of shielding constants ( $\sigma$ ) with chemical shifts ( $\delta$ ) determined on a  $\delta$ -scale relative to tetramethylsilane (TMS) internal reference was done using the Gauge-Independent Atomic Orbital (GIAO) method<sup>47</sup> with the B3LYP functional<sup>17,18</sup> and 6-31G(d,p) basis set (named B3LYP/6-31G(d,p)// $\omega$ B97X-D/6-31G(d,p), where the double slash means that geometries were optimized with the  $\omega$ B97X-D functional), which has been shown to be adequate for organic molecules (see for example ref. 48 and 49). All quantum chemical calculations were done with the Gaussian 09 package.<sup>50</sup>

### 3 Results and discussions

B3LYP/6-31G(d,p)-PCM-DMSO relative energies with respect to the global minimum ( $\Delta E_{\text{rel}}$ , in kcal mol<sup>-1</sup>) and the Mean Absolute Deviation (MAD, in ppm), evaluated using <sup>1</sup>H-NMR data (in DMSO-*d*<sub>6</sub>) as reference experimental data are shown in Fig. 1 (values for structures 1–34 were taken from our previous work<sup>15</sup>) for all 44 B3LYP/6-31G(d,p) optimized structures (in the vacuum) located on the PES for rutin (named

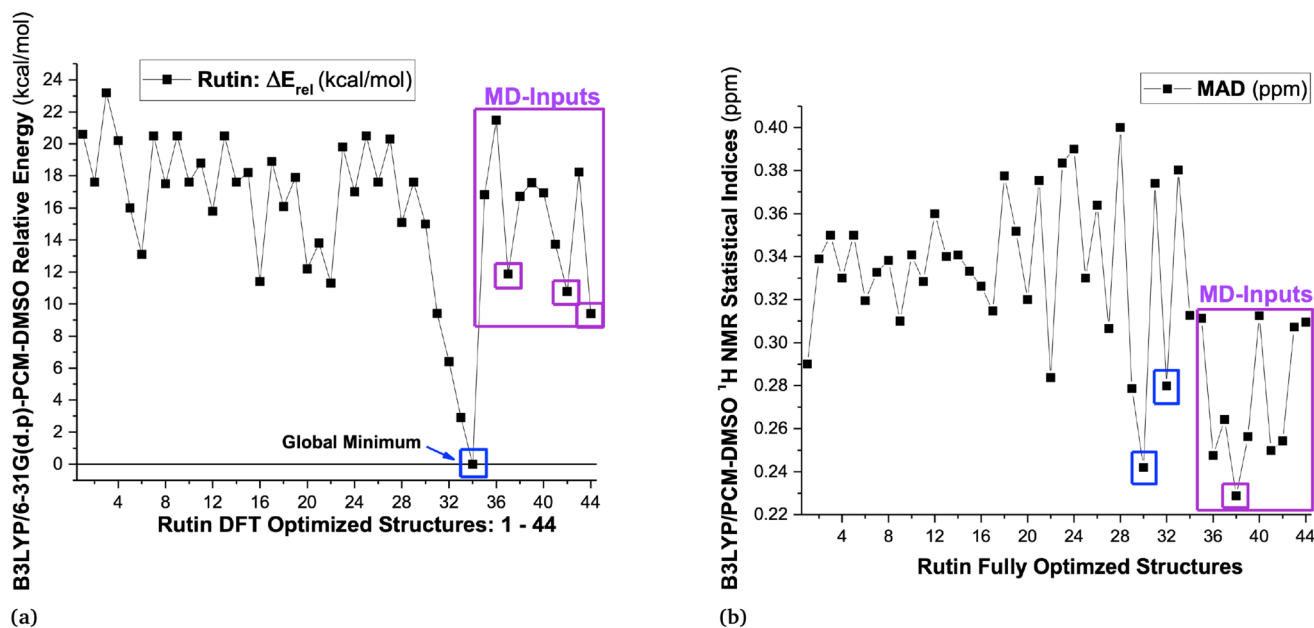


Fig. 1 (a) B3LYP/6-31G(d,p)-PCM-DMSO relative energies (in kcal mol<sup>-1</sup>) for 34 optimized structures previously located on the PES for rutin<sup>15</sup> and ten new structures obtained using MD frames as input for DFT geometry optimization, highlighted in pink rectangle (structures 35 to 44), totaling 44 DFT fully optimized structures of rutin. (b) B3LYP/6-31G(d,p)-PCM-DMSO statistical indices (MAD in ppm) for all 44 optimized structures located on the PES for rutin.



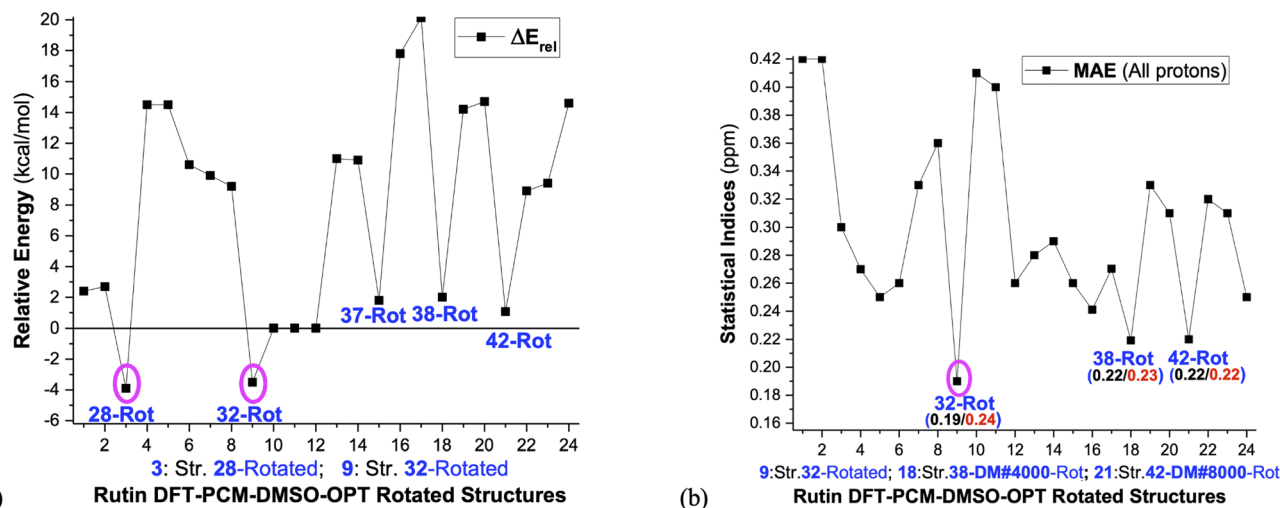


Fig. 2 (a)  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO relative energies ( $\Delta E_{\text{rel}}$  and  $\Delta G_{\text{rel}}$  in kcal mol<sup>-1</sup>) with respect to structure 34 (b) B3LYP/6-31G(d,p)-PCM-DMSO statistical indices (MAE in ppm) values with all protons included and using only the sugar moiety protons are given.  $\Delta G_{\text{rel}}$  was estimated using thermal corrections for DFT fully optimized structures (the  $\phi_1$ ,  $\phi_2$  rotated structures are not true minimum on the PES, so harmonic frequency calculations, needed for evaluation of thermal correction, are meaningless). The torsion angles for  $\phi_1$ ,  $\phi_2$  rotated structures are given in Table 1. 1: Str-28-PCM-OPT; 2: Str-28 Vacuum-OPT; 3: Str-28-PCM-OPT-Rotated; 4: Str-30-PCM-OPT; 5: Str-30 Vacuum-OPT; 6: Str-30-PCM-OPT-Rotated; 7: Str-32-PCM-OPT; 8: Str-32 Vacuum-OPT; 9: Str-32-PCM-OPT-Rotated; 10: Str-34-PCM-OPT; 11: Str-34 Vacuum-OPT; 12: Str-34-PCM-OPT-Rotated; 13: Str-37-PCM-OPT; 14: Str-37 Vacuum-OPT; 15: Str-37-PCM-OPT-Rotated; 16: Str-38-PCM-OPT; 17: Str-38 Vacuum-OPT; 18: Str-38-PCM-OPT-Rotated; 19: Str-42-PCM-OPT; 20: Str-42 Vacuum-OPT; 21: Str-42-PCM-OPT-Rotated; 22: Str-44-PCM-OPT; 23: Str-44 Vacuum-OPT; 24: Str-44-PCM-OPT-Rotated.

structures 1 to 44). The last ten new structures (named 35–44) were obtained using MD simulation frames as input for DFT geometry optimization (see Fig. S1 and S2, ESI<sup>†</sup> respectively for optimized structures and <sup>1</sup>H-NMR spectra). It can be seen from Fig. 1a that the first 30 structures (1–30) are more than 10 kcal mol<sup>-1</sup> above the global minimum (34) as well as all new MD optimized structures, with the lowest energy MD structure being last-frame optimized geometry 44 which is still 9.4 kcal mol<sup>-1</sup> energetically disfavored. The statistical indices data from Fig. 1b show that structure 30 exhibit low MAD values as well as most of the new MD optimized ones (structure 32 previously predicted as the preferred conformer also have small MAD). Combining all data reported in Fig. 1, we could select structures 30, 32, 34, 37, 38, 42 and 44 (having low relative energy values among all ten MD structures) as the best candidate rutin structures to be present in DMSO solution. In addition, we found that structure 28 is predicted to be relatively stable by others DFT functional having dispersion contribution (to be discussed later), not B3LYP. Although structure named 34 was the undisputed global minimum on the PES, it was not predicted to be the predominant structure in solution according to the <sup>1</sup>H-NMR spectroscopic criterion.

These selected rutin structures (28, 30, 32, 34, 37, 38, 42 and 44) were reoptimized including solvent effects using the PCM model and long-range corrected functional ( $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO level). All structures are true minima on the PES (no imaginary frequencies). Then the  $\phi_1$  and  $\phi_2$  torsion angles were rotated (artisanal manner) in small steps aiming to find an agreement between theoretical and experimental NMR data. A summary of relative energies (in kcal mol<sup>-1</sup>) and DFT-PCM <sup>1</sup>H-NMR statistical indices results (in ppm), MAE (mean

absolute error), are shown in Fig. 2 and torsional angles are given in Table 1.  $\Delta E_{\text{rel}}$  and  $\Delta G_{\text{rel}}$  values yield a very similar trend (see Fig. S3, ESI<sup>†</sup>) and we can use  $\Delta E_{\text{rel}}$  to select the most energetically favored structures, which is computationally less demanding. Also, in Table 1 are torsion angle values optimized in the vacuum and the deviation from DFT-PCM optimized values are not very substantial. Two sets of MAE data are shown in Fig. 2a, including all protons and only sugar moiety protons where the respective conformation changes can be assessed. Three rotated structures showed the lowest MAE values (in parenthesis): 32 (0.19 ppm), 38 (0.22 ppm) and 42 (0.22 ppm). The rotated DM last-frame structure 44 (DM#10000) have a large MAE value (0.25 ppm). Among the eight  $\phi_1$ ,  $\phi_2$  rotated structures conformer 32 is the global minimum by more than 3 kcal mol<sup>-1</sup>, while for fully optimized structures conformer 34 is the preferred one by more than 7 kcal mol<sup>-1</sup>. The B-ring orientation with  $\phi_1$  larger than  $\pm 120^\circ$  leads to better agreement with experimental <sup>1</sup>H-NMR data (lower MAE values). Spatial orientations with smaller  $\phi_1$  values are not favored.

The  $\phi_1$ ,  $\phi_2$  torsion angle rotated structures, optimized at the  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO level, are given in Table 1 and indicated in Fig. 3. The relevant protons for <sup>1</sup>H-NMR analysis (H6, H8, H2', H5', H6', H1G (H1''), H1R (H1'''), H2'', H3'', H4'', H5'', H2''', H3''', H4''' and H5''') are also highlighted. From the relative protons position, it can be clearly seen that the effect on the NMR spectrum will be quite visible, once it is very sensitive to local chemical environment. All eight structures shown in Fig. 3 are completely dissimilar and can be considered a good sampling of the most probable conformers of rutin to be present in DMSO solution.



**Table 1** Selected  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO optimized torsion angles ( $^\circ$ ) for fully optimized structures located on the PES for rutin and MD (selected frames were used as input) DFT optimized values

Structures	$\phi_1$ : [O-C2-C1'-C2']	$\phi_2$ : [C1''-O-C3-C2]	$\phi_3$ : [C2''-C1'''-O-C3]	$\phi_4$ : [O-C6''-C5''-O]	$\phi_5$ : [C1'''-O-C6''-C5'']	$\phi_6$ : [C1'''-O-C6''-C5'']
28-Vacuum-OPT <sup>d</sup>	168.6	-85.4	177.3	86.9	-51.0	-153.0
28 PCM-DMSO-OPT (PES-Scan) <sup>e</sup>	168.6	-83.9	174.1	87.1	-50.9	-153.6
28 - rotated <sup>c</sup>	147.0	-95.0				
30-Vacuum-OPT <sup>d</sup>	-142.2	101.5	-82.8	-165.1	-93.8	175.0
30 PCM-DMSO-OPT (PES-Scan) <sup>e</sup>	-135.4	97.2	-81.6	-165.2	-93.7	176.3
30 - rotated <sup>c</sup>	-138.0	120.0				
32-Vacuum-OPT <sup>d</sup>	-168.9	104.2	140.4	-163.2	-92.1	173.9
32 PCM-DMSO-OPT (PES-Scan) <sup>e</sup>	-173.0	113.5	140.4	-168.1	-89.4	-179.0
32 - rotated <sup>c</sup>	-150.0	126.0				
34-Vacuum-OPT <sup>d</sup>	-160.8	99.5	141.9	-60.4	-121.0	-173.7
34 PCM-DMSO-OPT (PES-Scan) <sup>e</sup>	-166.8	99.2	140.4	-56.7	-117.4	-177.8
34 - rotated <sup>c</sup>	-152.0	124.0				
37-Vacuum-OPT <sup>d</sup>	158.4	-92.8	16.3	56.1	-167.4	171.5
37 PCM-DMSO-OPT (DM-#3000) <sup>b</sup>	158.3	-92.5	14.3	57.9	-166.2	168.1
37 (DM-#3000)-Rot <sup>c</sup>	135.0	-80.0				
38-Vacuum-OPT <sup>d</sup>	146.0	-113.2	69.5	76.6	175.2	-175.1
38 PCM-DMSO-OPT (DM-#4000) <sup>b</sup>	153.2	-113.8	93.0	69.4	170.8	-175.2
38 (DM-#4000)-Rot <sup>c</sup>	138.0	-120.0				
42-Vacuum-OPT <sup>d</sup>	30.3	-147.0	136.7	68.1	-155.0	164.7
42 PCM-DMSO-OPT (DM-#8000) <sup>b</sup>	29.3	-141.2	134.3	67.5	-169.8	175.3
42 (DM-#8000)-Rot <sup>c</sup>	-40.0	-145.0				
44-Vacuum-OPT <sup>d</sup>	-27.3	-91.5	-29.1	64.9	172.4	172.4
44 PCM-DMSO-OPT (DM-#10000) <sup>b</sup>	-20.9	-92.0	-37.7	68.8	165.6	-179.8
44 (DM-#10000)-Rot <sup>c</sup>	128.0					

<sup>a</sup> Minimum energy structure located on the DFT PES (torsion angles scan) reported previously.<sup>15</sup> <sup>b</sup> DFT optimized structure using as input selected MD frames (#) among a total of 10 000 named #3000, #8000 and #10000. <sup>c</sup>  $\phi_1$  and  $\phi_2$  rotated structures, keeping the other geometrical parameters at their optimized values. <sup>d</sup> DFT-Vacuum optimized angles.

Analysis of NMR spectrum complements the MAE and thermodynamic data. In Fig. 4, experimental (in DMSO- $d_6$ )<sup>20</sup> and B3LYP/6-31G(d,p)-PCM-DMSO <sup>1</sup>H-NMR profile for the eight structures reported in Fig. 3 are shown. The calculated spectra for all fully optimized structures (Fig. 4a-h) are in significant disagreement with experimental data. As mentioned previously, the virtually degenerate signal for H2', H6' protons observed in the experimental spectrum can only be obtained through the rotation of the rutin B-ring through the torsion angle  $\phi_1$ . This was successfully achieved by the rotated structures 32 (Fig. 4q), 34 (Fig. 4m) and 37 (Fig. 4i). The rotated (DFT-optimized) MD Last-Frame H5' in the wrong swapped position (as can also be seen in Fig. 4l). A closer analysis of Fig. 4i, m and r (Exp.), revealed that structure 37 has proton 4'' in total wrong position and 34 has both 4'' and 5'' protons also in disagreement with experimental <sup>1</sup>H-NMR pattern.

As can be seen from Fig. 2b if only MAE data were considered we were inclined to say that both structures 32 and 42 would likely to exist in DMSO solution. However, independent of the analysis of NMR spectrum in Fig. 4, structure 42 is around 10 kcal mol<sup>-1</sup> above 32, being quite disfavored energetically. This leaves the rotated structure 32 as the only one with a good agreement with experimental NMR profile for all CH<sub>n</sub> protons. Our results show that a joint analysis of thermodynamics, statistical indices and <sup>1</sup>H-NMR spectra is essential to reach a sound prediction on the predominant structures of organic

compounds present in solution. Analysis of MAE alone can be misleading.

In the light of the many structures possible to exist for rutin (44 true minimum energy structures) it may be thought that a mixture of conformations should be observed in DMSO solution. In the case of the rutin conformers, the average chemical shift can be calculated using eqn (1) and (2), with the aid of the thermodynamic eqn (3), with  $\Delta G_{\text{rel}}$  ( $\Delta E_{\text{rel}}$ ) obtained from Fig. 2 ( $T = 298.15$  K;  $R$  is the ideal gas constant). In these equations,  $[i]$  is the concentration of the conformation  $i$ ,  $\delta_i$  is the <sup>1</sup>H-NMR chemical shift of the conformation  $i$ .

$$\delta_{\text{av}} = \sum_i \frac{\delta_i [X_i]}{X_i} \quad (1)$$

$$\delta_{\text{av}} = \frac{\delta_{30}[X_{30}] + \delta_{32}[X_{32}] + \delta_{34}[X_{34}] + \delta_{37}[X_{37}] + \delta_{42}[X_{42}] + \delta_{44}[X_{44}]}{[X_{30}] + [X_{32}] + [X_{34}] + [X_{37}] + [X_{42}] + [X_{44}]} \quad (2)$$

$$\Delta G_{\text{rel}} = -RT \ln K; K = \frac{[X_i]}{[X_j]} = e^{-\frac{\Delta G_{ij}}{RT}} \quad (3)$$

For fully optimized structures [34] 100%, so  $\delta_{\text{av}} = \delta_{\text{av},34}$ . For rotated structures there is a competition between structures 32 and 28 depending on the use of  $\Delta E_{\text{rel}}$  and  $\Delta G_{\text{rel}}$  in the Boltzmann type distribution. As our  $\Delta G_{\text{rel}}$  values for rotated



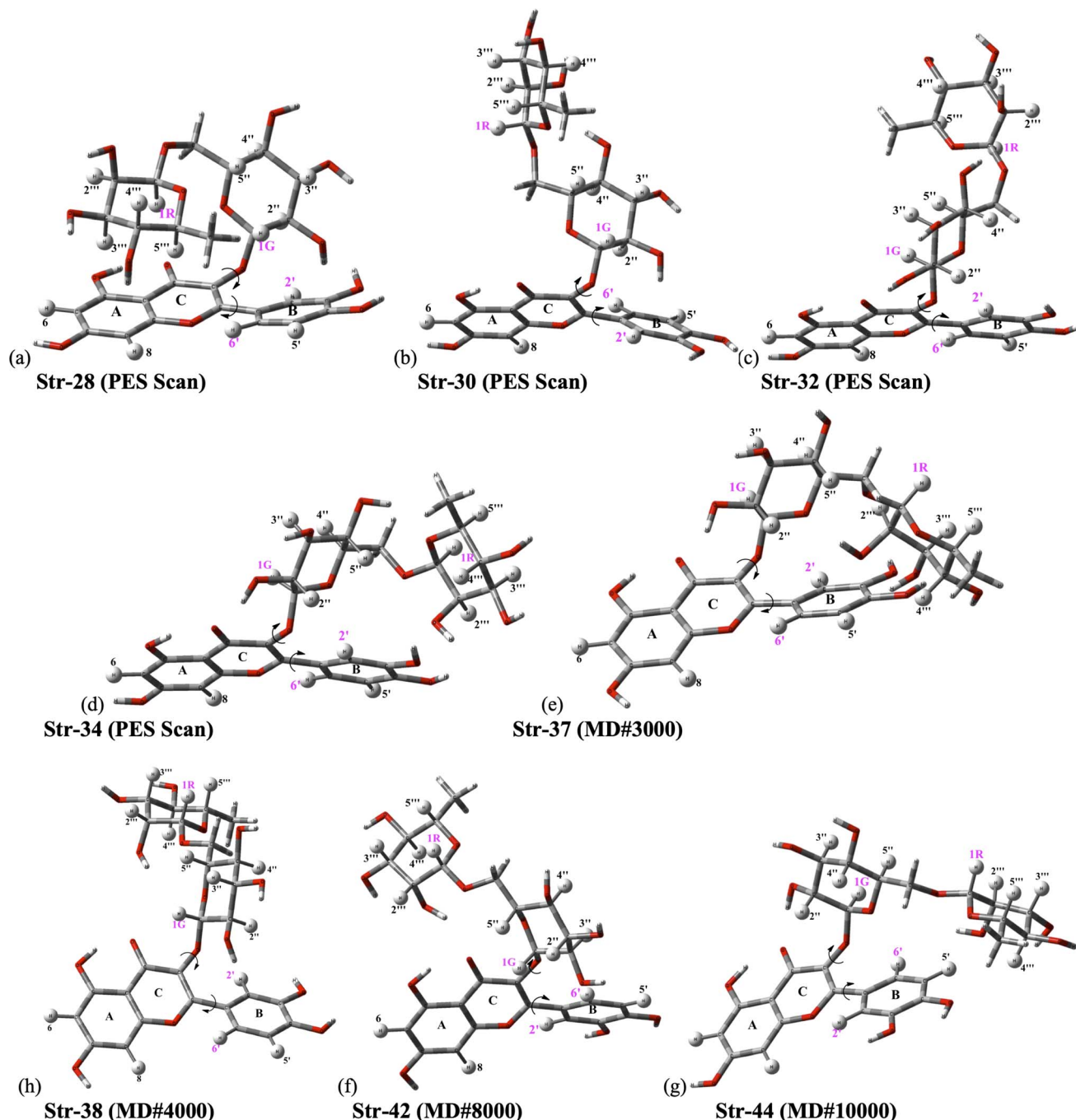


Fig. 3  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO fully optimized structures located on B3LYP/6-31G(d,p) PES for rutin ( $\phi_1$ ,  $\phi_2$  torsion angles were rotated to reach agreement with experimental  $^1\text{H-NMR}$  spectrum in DMSO- $d_6$  as explained in the text). (a–d) Previously located on B3LYP/6-31G(d,p) PES for rutin;<sup>15</sup> (e–h) new fully optimized structures of rutin ( $\phi_1$ ,  $\phi_2$  torsion angles were rotated) using as input sequential frames obtained from MD simulation in DMSO (named #3000, #8000, #9000 and #10000; the last frame is named #10000).

structures are only estimates we may consider both structures as equally probable on energetic grounds. So, we may write,  $\delta_{\text{av}}$  ( $\delta_{32} + \delta_{28}$ )/2. This spectrum is also shown in Fig. 4n, where a disagreement with experiment is observed. Therefore, only  $^1\text{H-NMR}$  signals of a single structure (32 or 34) should be observed in DMSO solution, not a signal due to a mixture of conformations. The best agreement with experimental NMR data is obtained only for the  $\phi_1$ ,  $\phi_2$  rotated structure 32 (not 34), making it predominant in solution.

To corroborate our argument that the absence of an unambiguously assigned  $^1\text{H-NMR}$  signal (predicted in the DFT calculations of  $^1\text{H-NMR}$  chemical shifts) in the experimental spectrum is a proof that such molecular structures should be present in an undetectable concentration in the experimental sample we analyze below the well-known example of histidine. Experimental (high pH neutral and low pH protonated form) data and theoretical (DFT-PCM)  $^1\text{H-NMR}$  chemical shift (proton H2) for histidine model structures (Fig. 5) are given in Table 2.



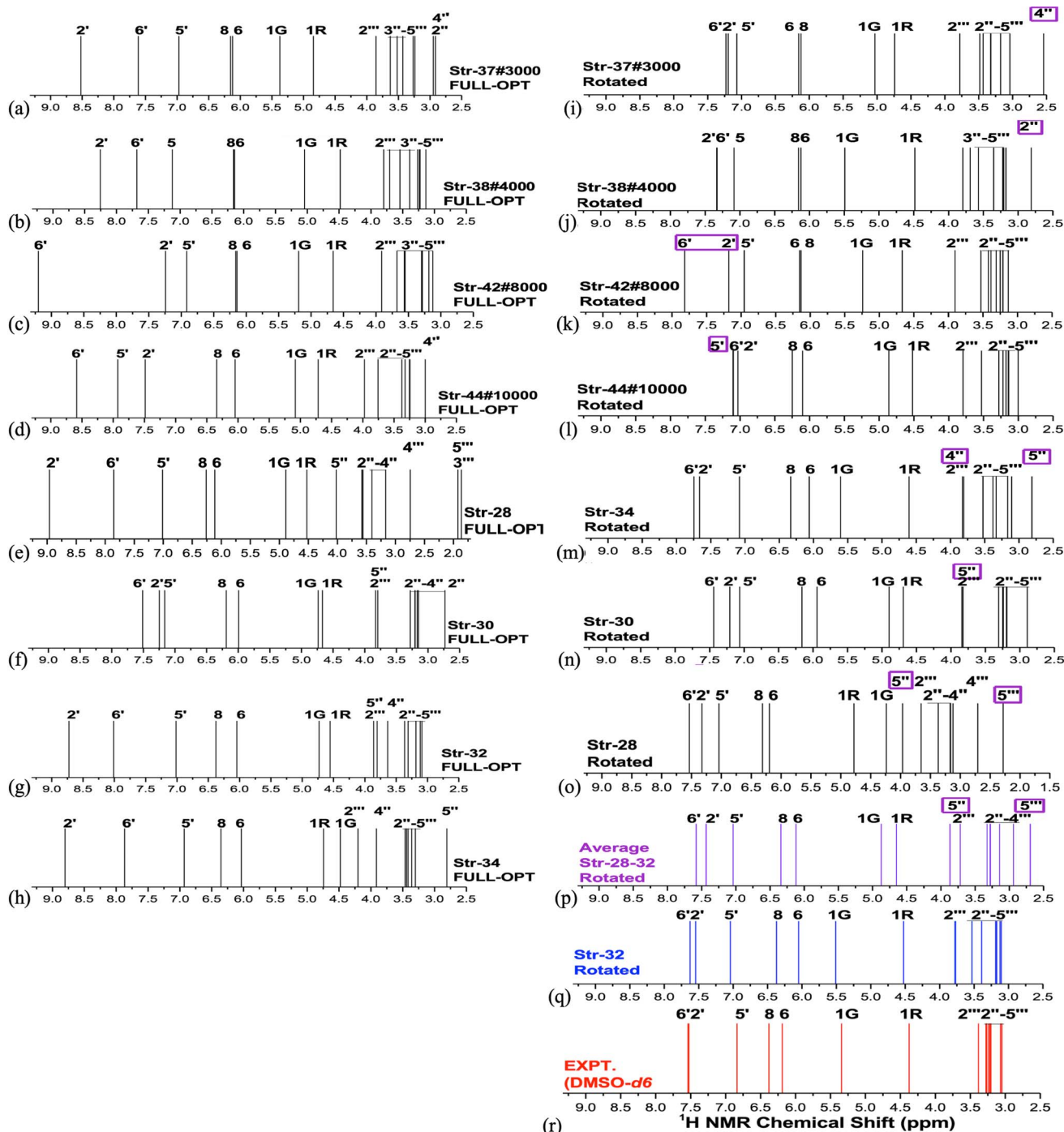


Fig. 4 B3LYP/6-31G(d,p)-PCM-DMSO  $^1\text{H}$ -NMR spectra for selected structures of rutin ( $\omega\text{B97X-D}/6-31\text{G(d,p)}$ -PCM-DMSO optimized geometries (a–q) and experimental spectra (in DMSO- $d_6$ ) (r). The protons having large deviation from experimental data are highlighted in pink rectangle for  $\phi_1$ ,  $\phi_2$  rotated structures (i–q).

These results provide a good example of the existence of a single structure in the two extremes pH values. An average chemical shift value ( $\delta_{\text{av(H}_2\text{)}}$ ) due to a mixture of two structures (eqn (1)) could easily be detected experimentally by analyzing the NMR data, if both neutral and protonated forms coexisted in solution.

The apparent disagreement between thermodynamics and spectroscopic predictions not properly explained in our

previous work<sup>15</sup> can now be clearly understood. The relative  $\Delta G$  calculated with DFT fully optimized structures does not represent the reality, since good agreement with experimental  $^1\text{H}$ -NMR profile (spectroscopic criterion) is achieved only for  $\phi_1$ ,  $\phi_2$  rotated structures (which are not true minimum on the PES). To be consistent we must evaluate relative energies for  $\phi_1$ ,  $\phi_2$  rotated structures, not fully optimized geometries. These results are also shown in Fig. 2a where  $\phi_1$ ,  $\phi_2$  rotated structure 32 is



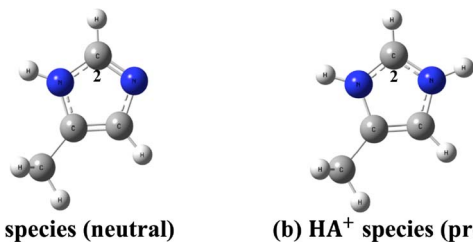


Fig. 5 DFT optimized model histidine structures. (a) Neutral structure (A) (b) protonated structure ( $\text{HA}^+$ ).

predicted to be the global minimum among all  $\phi_1$ ,  $\phi_2$  rotated structures, in perfect harmony with the spectroscopic prediction. Our results provide strong evidence that the molecular structure present in the experimental sample handled in the NMR experiment differ from the stationary point located on the DFT-PCM PES for rutin. When  $\phi_1$  and  $\phi_2$  torsion angles are rotated respectively by  $23^\circ$  and  $12.5^\circ$  with respect to the optimized values (see Table 1) the agreement between theoretical and experimental  $^1\text{H-NMR}$  profile is almost perfect. The same did not happen with structure 34 (global minimum fully optimized structure on the PES).

The procedure for finding the best  $\phi_1$  and  $\phi_2$  torsion angles is artisanal, where  $\phi_1$  and  $\phi_2$  were arbitrarily varied within a certain range and DFT-PCM NMR calculations performed for each pair of angles until a reasonable agreement with experimental  $^1\text{H-NMR}$  data (in  $\text{DMSO-}d_6$ ) is obtained. This procedure was carried out previously for various optimized structures, but only for structure 32 produced the best accordance with experimental  $^1\text{H-NMR}$  pattern. Perhaps the use of an improved description of the solvent effect beyond the continuum PCM model, using explicit DMSO solvent molecules in the geometry optimization procedure, would result in an DFT optimized structure close to the artisanal rotated structure 32 providing an agreement with experiment. However, as rutin has many polar groups that can explicitly interact with the polar DMSO solvent molecule (mainly O–H), in addition to conformational flexibility, such quantum chemical calculations would be a very hard computational task. Our results strongly indicate that the combination between thermodynamics and NMR spectroscopic analysis (statistical indices and  $^1\text{H-NMR}$  profile) seems to be an adequate strategy for the elucidation of the predominant structure of organic molecules in solution.

We should explain properly the nature of the PCM-DMSO-optimized- $\phi_1$ - $\phi_2$ -rotated structures, which may seem somewhat

arbitrary. The motivation to create these rotated structures, which deviate from the fully optimized geometries, is to reach an agreement with experimental  $^1\text{H-NMR}$  profile obtained for rutin in DMSO solution. The DFT-PCM-DMSO fully optimized structures cannot correctly reproduce the NMR pattern. Therefore, it seems to us that the structure present in solution is distorted from the theoretical ones and a strategy to find the correct structure is to use the experimental NMR data as a reference and vary manually, in an artisanal way, some torsion angles (see Scheme 1) until an agreement with experimental data is found. Of course, this procedure can be questioned, but it works. At the end we could find a rotated structure that reproduce almost perfectly the experimental  $^1\text{H-NMR}$  spectrum of rutin in  $\text{DMSO-}d_6$  solution. And this structure (32) should be close enough to the one present in solution. NMR chemical shifts are very sensitive to local chemical environment and so only the correct molecular spatial arrangement present in solution can reproduce faithfully the experimental  $^1\text{H-NMR}$  profile. Experimental NMR data can be directly compared with theoretical values.

We believe that experimental NMR chemical shift can be used as a guide in the DFT-PCM geometry optimization procedure to correctly locate the molecular structure which resemble better the conformation present in solution. If we based only on energetic criterion (lowest minimum energy structure) through DFT-PCM calculations we may not succeed, since the theoretical models we used to describe the solute in the presence of solvent molecules are far away from the real experimental conditions. Inducing a molecular structure that can reproduce correctly the  $^1\text{H-NMR}$  profile seems a much more efficient approach. We think that once we have found the correct molecular structures which should be present in solution, the experimental NMR spectrum will be correctly predicted using a DFT-PCM methodology.

In classical simulation methods energy is evaluated using a force field, containing empirical equations and parameters, while in quantum chemistry calculations a chosen Hamiltonian operator (and basis set), that can be Hartree–Fock (HF), post-HF or DFT, is used in computer simulations. Therefore, relative energy values are directly dependent on the approximate theoretical method chosen for their evaluation. In the case of DFT methods which are applied to large organic molecules, the choice of the exchange–correlation function is important and depending on the type of intramolecular interactions present it may affect substantially the predictions of the predominant structure to be present in solution. To illustrate this last

Table 2 Experimental and DFT-PCM  $^1\text{H-NMR}$  chemical shift (in ppm) for proton H2 ( $\delta_{\text{H}2}$ ) for neutral (A) and protonated ( $\text{HA}^+$ ) histidine model structures

Histidine model structure	Neutral species: high pH	Protonated species: low pH
B3LYP/6-31G(d,p)-PCM-chloroform	7.3	8.3
B3LYP/6-31G(d,p)-PCM-DMSO	7.3	8.3
B3LYP/6-31G(d,p)-PCM-water	7.4 [ $\sim 7.7$ ] $^a[\text{HA}^+] = 0$	8.4 [ $\sim 8.7$ ] $^a[\text{A}] = 0$

$^a$  Experimental data from ref. 51. At any pH the observed chemical shift is a weighted average of the two extreme values  $\delta_{\text{H}2}(\text{HA}^+)$  and  $\delta_{\text{H}2}(\text{A})$ :

$$\delta_{\text{av,H}2} = \frac{\delta_{\text{HA}^+}[\text{X}_{\text{HA}^+}] + \delta_{\text{A}}[\text{A}]}{[\text{X}_{\text{HA}^+}] + [\text{A}]}; (\text{X}_{\text{HA}^+} \text{ and } \text{X}_{\text{A}} \text{ are respectively the concentration of protonated and neutral species}).$$



statement above we used 16 DFT functional ( $\omega$ B97X-D,<sup>23</sup> B3LYP,<sup>17,18</sup> M062x,<sup>52</sup> B97D,<sup>53</sup> B3LYP-D3,<sup>54</sup> SVWN,<sup>55,56</sup> CAM-B3LYP,<sup>57</sup> BLYP,<sup>18,58</sup> BHANDHLYP,<sup>59</sup> PBE,<sup>60</sup> PBE0,<sup>61</sup> BP86,<sup>58,62</sup> PW91,<sup>63</sup> B3PW91,<sup>17,64</sup> TPSS<sup>65</sup> and X3LYP<sup>66</sup>) to calculate relative

energies, which may be considered a representative set and can provide a general view of the DFT performance for the evaluation of relative stability of conformers of organic molecules. In addition, the *ab initio* post-HF MP2 level of theory was used to

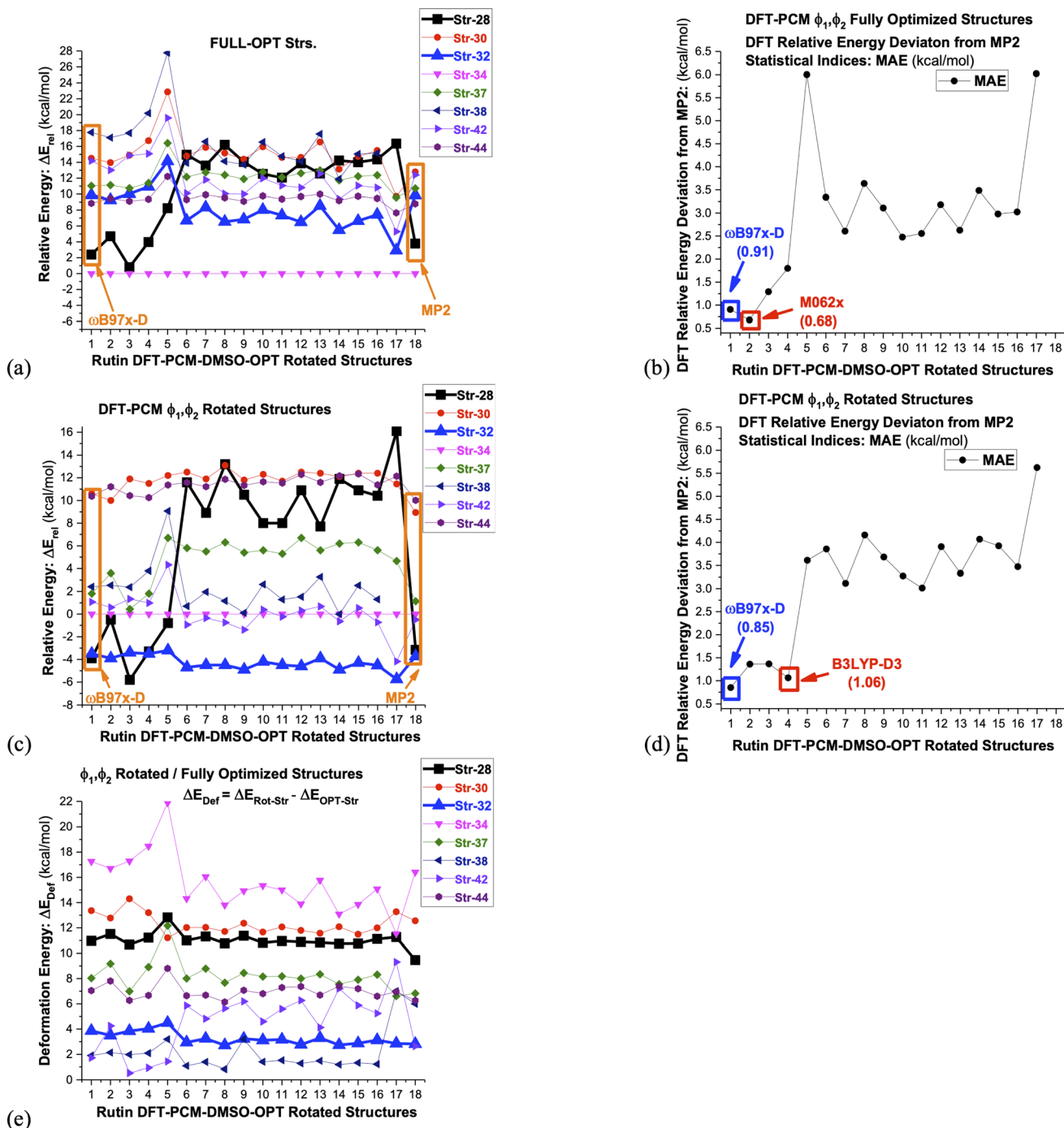


Fig. 6 DFT/6-31G(d,p)-PCM-DMSO// $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO single-point relative energies ( $\Delta E_{rel}$  in kcal mol<sup>-1</sup>) with respect to structure 34, evaluated for seven chosen  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO optimized-rotated structures from Fig. 3 (28, 30, 32, 34, 37-MD#3000, 38-MD#4000, 42-MD#8000, 44-MD#10000), using sixteen functional and the Post-HF level (MP2) specified below. The torsion angles for rotated structures are given in Table 1. (a)  $\Delta E_{rel}$  for fully-optimized structures (b) MAE: deviation from  $\Delta E_{rel}$ -MP2 (fully-optimized structures) (c)  $\Delta E_{rel}$  for  $\phi_1, \phi_2$  rotated structures (d) MAE: deviation from  $\Delta E_{rel}$ -MP2 ( $\phi_1, \phi_2$  rotated structures) (e) DFT/6-31G(d,p)-PCM-DMSO deformation energy due to  $\phi_1, \phi_2$  rotation evaluated with respect to fully-optimized structures. The deformation energy is defined as the difference between  $\Delta E_{rel}$  evaluated for rotated and optimized structures 1:  $\omega$ B97X-D; 2: M062x; 3: B97D; 4: B3LYP-D3; 5: SVWN; 6: B3LYP; 7: CAM-B3LYP; 8: BLYP; 9: BHANDHLYP; 10: PBE; 11: PBE0; 12: BP86; 13: PW91; 14: B3PW91; 15: TPSS; 16: X3LYP; 17: HF; 18: MP2.



Table 3 Change in the torsion angle ( $\Delta\phi$ ) from the DFT-PCM optimized value due to  $\phi_1$ ,  $\phi_2$  rotation

	Str-28	Str-30	Str-32	Str-34	37-MD#3000	42-MD#8000	44-MD#10000
$\Delta\phi$ ( $^\circ$ )	-21.6	-2.6	+23.0	+14.8	-23.3	-69.3	+148.9
$\Delta\phi$ ( $^\circ$ )	-11.1	+22.8	+12.5	+24.8	+12.5	-3.8	0

calculate relative energy values for the eight rutin structures shown in Fig. 3, using  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO optimized geometries. The MP2 correlated level of theory describe satisfactorily dispersion effects and can be used as reference to assess the performance of DFT functional. Of course, a highly post-HF correlated level of theory such as coupled cluster with single, doubled and triples excitations (CCSD(T))<sup>67</sup> would be desirable, but it is computationally unfeasible for a large molecule such as rutin.

The results are show in Fig. 6, where it is clearly seen that dispersion effects can play a fundamental role in conformational analysis of rutin. Noncovalent intramolecular H-bond and van der Waals (vdW) type interactions are taking place. Only the DFT functional that carry dispersion effects yielded a good agreement with MP2 results for all distinct rutin structures, especially for structure 28, which is substantially stabilized by van der Waals (vdW) type noncovalent interactions (see Fig. 3a). In fact, this remarkable feature of DFT functional is quite visible in Fig. 6a–d, where only the  $\omega$ B97X-D, M062x and B3LYP-D3 functional show MAE values below 1 kcal mol<sup>-1</sup>. The functional having no dispersion contribution yielded MAE values larger than approximately 3 kcal mol<sup>-1</sup>. However, the  $\phi_1$ ,  $\phi_2$  rotated structures 32, which does not contain explicit non-covalent vdW interactions, is predicted to be the global minimum energy, among all seven rotated structures, using all sixteen functional used here (change in the torsion angle  $\Delta\phi$  from the DFT-PCM optimized value due to  $\phi_1$ ,  $\phi_2$  rotation is given in Table 3). An exception is the B97D functional that favors slightly the rotated structure 28 (Fig. 6c). Just to mention the  $\omega$ B97X-D and B3LYP (PCM values) <sup>1</sup>H-NMR chemical shifts are quite similar with average MAE of 0.1 ppm (see Table S1 and Fig. S4, ESI†) for all rutin structures, strongly indicating that the  $\omega$ B97X-D functional is also adequate for NMR calculations besides relative energies.

Lastly in Fig. 6c deformation energy ( $\Delta E_{\text{Def}}$ ), defined as the difference between  $\Delta E_{\text{rel}}$  evaluated for  $\phi_1$ ,  $\phi_2$  rotated and fully optimized structures, is shown. This can be seen as a measure of the energy cost to reach a conformation that produce chemical shift values in close agreement with <sup>1</sup>H-NMR experimental data in DMSO-*d*<sub>6</sub> solution. It can be seen that structure 32 has the lowest  $\Delta E_{\text{Def}}$  values (followed closely by structure 42), while the previous global minimum structure 34 has the largest value, with structure 28 having also large  $\Delta E_{\text{Def}}$ . We believe that it is natural to associate the lowest  $\Delta E_{\text{Def}}$  value predicted for structure 32 to its favoritism to be experimentally observed as strongly indicated by the analysis of the <sup>1</sup>H-NMR spectrum. Change in the torsion angle ( $\Delta\phi$ ) from the DFT-PCM optimized value due to  $\phi_1$ ,  $\phi_2$  rotation is given in Table 3, where relatively small values are found for structure 32, implying that only slight torsion on the optimized structure (around 20°) is necessary to reach agreement with experimental spectroscopic data in solution.

To better understand the relative stability of rutin conformers, interatomic distances related to intramolecular hydrogen bonds, as well as the respective electron density donations identified by NBO analysis are provided in the following tables. The first point to note is that the procedure of analyzing only interatomic distances can lead to the identification of interactions not confirmed in the NBO analysis. These interactions are marked as ND (non-detected) in Tables 4–7. For dispersive interactions (vdW), calculations of Non-Covalent Interactions (NCI) were carried out and the two-dimensional graphs of the Reduced Density Gradient (RDG) and the respective three-dimensional surfaces are shown in Fig. S5.† Dots with reduced density in blue represent hydrogen bonds (with expressively negative values for  $\text{sign}(\lambda_2)\rho$ ) and appear on the surface as well-defined disks with the same blue color. Points in green represent dispersive interactions (with less

Table 4 Interatomic distances and second-order perturbation theory analysis of Fock Matrix in NBO basis for structures 28 and 30. Stabilization energy ( $E(2)$ ) for the LP<sub>O</sub> →  $\sigma_{\text{HO}}^*$  donations at the  $\omega$ B97X-D/6-31G(d,p) – PCM DMSO level of theory. ND = non detected by NBO analysis

Interaction	Structure 28				Structure 30				
	Fully optimized		$\phi_1, \phi_2$ rotated		Fully optimized		$\phi_1, \phi_2$ rotated		
	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	
OH5-O4	1.66	38.4	1.66	38.35	OH5-O4	1.67	37.46	1.67	37.19
OH3'-OH3''	2.07	ND	2.82	ND	OH4'-OH3'	2.10	3.53	2.10	3.49
OH4'-OH3'	2.15	2.76	2.15	2.62	OH3'''-OH4'''	2.21	3.37	2.21	3.41
OH2'''-OH3'''	2.37	1.39	2.37	1.4	OH4''-O-CH2	2.10	5.81	2.10	5.8
OH3'''-OH4'''	2.41	1.44	2.41	1.34	OH4''-OH2'''	2.11	7.62	2.11	7.62
					H3'''-OH4'''	2.34	2.06	2.34	2.06
					H2'''-OH3'''	2.14	3.67	2.14	3.67



**Table 5** Interatomic distances and second-order perturbation theory analysis of Fock matrix in NBO basis for structures **32** and **34**. Stabilization energy ( $E(2)$ ) for the  $LP_{\text{O}} \rightarrow \sigma_{\text{HO}}^*$  donations at the  $\omega\text{B97X-D}/6\text{-31G(d,p)} - \text{PCM DMSO}$  level of theory. ND = non detected by NBO analysis

Interaction	Structure 32				Interaction	Structure 34			
	Fully optimized		$\phi_1, \phi_2$ rotated			Fully optimized		$\phi_1, \phi_2$ rotated	
	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )		$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )
OH5-O4	1.72	29.17	1.72	29.50	OH5-O4	1.71	30.48	1.71	30.84
OH2''-O4	1.85	19.56	1.93	13.07	OH2''-O4	1.90	15.97	1.99	8.02
OH4'-OH3'	2.10	3.56	2.10	3.55	OH4'-OH3'	2.04	4.84	2.04	4.59
OH2''-OH3''	2.30	2.05	2.30	2.02	OH2''-OH3''	2.35	1.09	2.35	1.08
OH4''-OCH2	2.04	7.29	2.04	7.29	OH4''-O6'''	1.97	11.15	1.97	11.23
OH2'''-OH3'''	2.14	4.67	2.14	4.67	OH2'''-OH3'''	2.10	5.59	2.10	5.43
OH3'''-OH4'''	2.31	2.35	2.31	2.35	OH3'''-OH4'''	2.32	2.24	2.32	2.25
					OH3'-OH2'''	1.82	20.53	3.28	ND

negative values for  $\text{sign}(\lambda_2)\rho$  and are represented three-dimensionally as large green surfaces. Dots with reduced density in red represent bad contacts, especially repulsions between internal groups of the molecule.

The NBO data for structures **28** and **30** (optimized and manually rotated) is shown in the Table 4. It is possible to see that there are no major changes in the intensities of intramolecular hydrogen bonds when the rotation is done. This can be confirmed by the very little variation in the lengths of the hydrogen bonds, in the respective stabilization energies ( $E_2$ ), and by the imperceptible change in the blue dots when comparing Fig. S5a with S5c (structure **28**) and S5e with S5g (structure **30**).<sup>†</sup> It is also possible to notice that structure **28** has fewer intramolecular hydrogen bonds than structure **30**, suggesting that the stabilization of the conformation **28** (rotated) using the functionals with dispersion terms occurs due to vdW interactions. This confirmation can be made by the appearance of a greater number of green dots in the range  $-0.02 < \text{sign}(\lambda_2)\rho < -0.01$  of the RDG plot for structure **28**. The importance of these dispersion interactions for rutin has already been pointed out in an article about their solubility in different solvents<sup>68</sup> and it is confirmed here for conformational analyses.

For structures **32** and **34**, the NBO data are shown in the Table 5 and the NCI plots are shown in Fig. S5i–p.<sup>†</sup> Unlike the first pair of structures previously described structures **32** and **34** undergo a clear weakening of the same intramolecular hydrogen bond: OH2''-O4. This fact is confirmed by the elongation of the interaction, but it is much clearer by the decrease in the stabilization energy to almost 50% of the values of these optimized structures. This weakening is the cause of the disappearance of blue dots when comparing the RDG graphs for structures **32** and **34** ( $E(2) \rightarrow$  rotated optimized). However, one point deserves attention. The rotation of the ring B of structure **34** breaks a hydrogen bond that existed in the optimized form: OH3'-O4, not detected (ND) in the NBO donations in the rotated structure.

The weakening of interactions between the ABC rings of rutin and its glycosidic part due the rotation can also be demonstrated in the structure **37** using the data given in Table 6. In conformation **37**, rotation causes the weakening of two important hydrogen bonds: OH3'-O6''' and OH4'-O6'''. This fact can be easily confirmed by the disappearing of the blue disk in the NCI surface (Fig. S5r and t<sup>†</sup>). For structure **38** the rotation of the ring B does not affect the interaction between the ABC rings and the glycosidic part because in both (fully optimized and

**Table 6** Interatomic distances and second-order perturbation theory analysis of Fock matrix in NBO basis for structures **37** and **38**. Stabilization energy ( $E(2)$ ) for the  $LP_{\text{O}} \rightarrow \sigma_{\text{HO}}^*$  donations at the  $\omega\text{B97X-D}/6\text{-31G(d,p)} - \text{PCM DMSO}$  level of theory. ND = non detected by NBO analysis

Interaction	Structure 37				Interaction	Structure 38			
	Fully optimized		$\phi_1, \phi_2$ rotated			Fully optimized		$\phi_1, \phi_2$ rotated	
	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )		$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )
OH5-O4	1.69	34.60	1.69	34.64	OH5-O4	1.69	34.58	1.69	34.45
OH3'-O6'''	1.94	12.30	2.51	1.26	OH4'-OH3'	2.11	3.36	2.11	3.36
OH4'-OH3'	2.06	4.47	2.06	4.41	OH2''-OH3''	2.36	1.58	2.36	1.57
OH3''-OH4''	2.34	1.55	2.34	1.55	OH3''-OH4''	2.37	1.30	2.37	1.30
OH2''-OH3''	2.35	1.63	2.35	1.63	OH3'''-OH4'''	2.25	2.90	2.25	2.90
OH2'''-OH3'''	2.24	1.80	2.24	1.80	OH3'''-O-CH2	2.18	2.82	2.18	2.82
OH3'''-OH4'''	2.33	2.06	2.33	2.07					
OH3'-O-CH2	2.12	3.99	2.12	3.99					
OH4'-O6'''	1.94	12.30	2.51	1.26					



**Table 7** Interatomic distances and second-order perturbation theory analysis of Fock matrix in NBO basis for structures **42** and **44**. Stabilization energy ( $E(2)$ ) for the  $LP_O \rightarrow \sigma_{HO}^*$  donations at the  $\omega B97X-D/6-31G(d,p)$  – PCM DMSO level of theory. ND = non detected by NBO analysis

Interaction	Structure <b>42</b>				Interaction	Structure <b>44</b>			
	Fully optimized		$\phi_1, \phi_2$ rotated			Fully optimized		$\phi_1, \phi_2$ rotated	
	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )		$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )	$d$ (Å)	$E(2)$ (kJ mol <sup>-1</sup> )
OH5-O4	1.71	31.25	1.71	31.38	OH5-O4	1.70	34.42	1.70	32.34
OH4'-OH3'	2.10	3.46	2.10	3.46	OH2''-O4	1.75	17.31	1.75	17.26
OH2''-OH3''	2.37	1.40	2.37	1.41	OH4'-OH3'	2.09	3.60	2.09	3.81
OH3''-OH4''	2.41	1.28	2.41	1.28	OH3''-OH4''	2.34	1.74	2.34	1.74
OH2'''-OH3'''	2.22	2.52	2.22	2.53	OH2''-OH3''	2.45	1.07	2.45	1.07
OH3'''-OH4'''	2.33	2.01	2.33	2.01	OH2'''-OH3'''	2.18	2.43	2.18	2.87
OH3'-O-CH2	2.19	3.30	2.19	3.45	OH3'''-OH4'''	2.33	2.06	2.33	2.06
OH2'''-OC4	2.00	7.14	2.11	4.43	OH3'-O-CH2	2.12	3.34	2.12	3.34

rotated structures) the rhamnose and glucose rings are too far from ABC rings.

Data for structures **42** and **44** are given in Table 7. The geometry **42**, when rotated, approximates its glycosidic chain to the other side of the molecule (from the A ring to the B ring). This rotation, despite completely changing the orientation of glucose and rhamnose, does not considerably affect the hydrogen bonds and dispersive interactions of the molecule. In a similar way, for structure **44**, the rotation of the ring B did not cause major changes in the orientation of the glycosidic chain. The NCI plots for these two structures are shown in Fig. S5ac–af.†

To illustrate the discussion above. Bi and tridimensional NCI plots calculated at  $\omega B97X-D/6-31G(d,p)$  – PCM DMSO level of theory are shown in Fig. 7 for fully optimized (FO) and  $\phi_1, \phi_2$  rotated (ROT) structures **28** and **32**. In particular for structure **28** relative energy value is quite sensitive to the chosen DFT functional, being remarkably stabilized by dispersion effects.

Substantial changes in intramolecular distances related to vdW interactions are observed for all structures (see Table 8). The rotated structure **28** has three vdW distances substantially shorter than the corresponding fully optimized structures causing an increase in the relative stabilization due to vdW type interactions. Rotated structures **32** and **34** have also one intramolecular vdW distance shorter than the fully optimized structures, while rotated structures **37**, **38**, **42** and **44** have longer vdW distances than respective fully optimized structures not contributing to energy stabilization. This analysis of intramolecular non-covalent interaction distances for  $\phi_1, \phi_2$  rotated and fully optimized rutin structures is in line with the relative energy profile shown in Fig. 6a and c, providing a qualitative explanation for the larger stability of the structure **28** highly influenced by vdW type intramolecular interactions.

The results reported in Fig. 2 and 6, and Tables 4–7, regarding rutin structures **28**, **30**, **32**, **34**, **37**, **38**, **42** and **44** shown in Fig. 3, provide a good example of how distinct conformers of the same organic molecule can be stabilized by dissimilar intramolecular interactions, and intermolecular interactions with solvent molecules or other molecules present in biological media. And an adequate choice of DFT functional can be crucial

for the correct prediction of the relative energy profile. A comparison between selected MD frames named #3000, #4000, #8000 and #10000 (not optimized structures) and corresponding DFT-PCM optimized geometries is presented in Table S2† (torsion angles and relative energies) and Fig. S6† (<sup>1</sup>H-NMR spectra). The large differences observed in DM and DFT-PCM optimized torsion angles provide a good example of very dissimilar molecular structures resulting from the use of a force field and DFT exchange–correlation functional to minimize the molecular energies, as reflected by the relative energy values given in the last column of Table S2.† This is totally supported by the very distinct DFT-PCM <sup>1</sup>H-NMR spectra calculated using the non-optimized MD frames and corresponding fully optimized DFT-PCM structures (Fig. S6†), illustrating the distinction between MD and DFT outcomes. None of DFT-PCM <sup>1</sup>H-NMR spectra calculated using the MD frames (non-optimized geometries) yield a good agreement with experimental NMR profile (Fig. S6†) providing an indication that the molecular structures originating from MD simulation are substantially different from the predominant rutin conformer present in DMSO solution according to the spectroscopic analysis.

We use the flavonoid rutin in this work, but the essence of the methodology can be extended to any organic compound of interest, with some changes and limitations, such as: experimental data are necessary to compare with those obtained experimentally, which leads the researcher to carry out the experiment itself or find data already obtained by other research groups; the complexity of the organic molecule of interest (which may be even greater than the rutin), which would make the calculations computationally difficult, and an adjustment of the level of theory for possible particularities of other organic systems.

The current understanding of molecular conformation is based on the minimization of energy. However, the measurement made on the experimental sample is not of energy, but a magnetic measurement, which reveals details about the chemical environment of the nuclei. Predicting experimental chemical shifts theoretically is synonymous with predicting the most likely conformation of the molecule in solution.



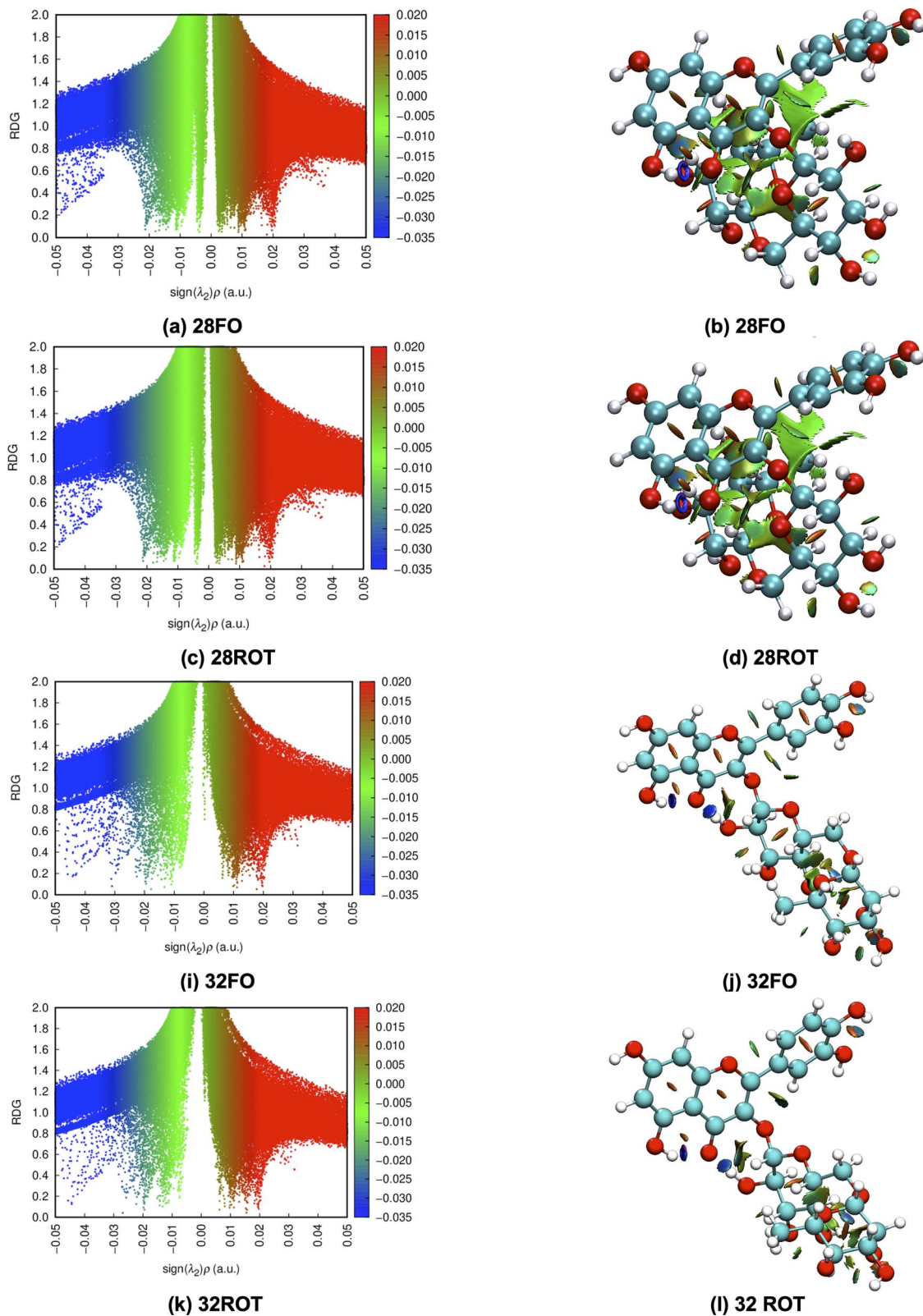


Fig. 7 (a, c, i and k) Bi and (b, d, j and l) tridimensional NCI plots calculated at  $\omega$ B97X-D/6-31G(d,p) – PCM DMSO level of theory (FO = Fully Optimized and ROT = rotated) for structures 28 and 32 of rutin.



**Table 8** Intramolecular Non-Covalent interaction distances (°) for selected  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO optimized-rotated rutin structures

van der Waals (vdW) type interactions											
Str. 28	ROT	OPT	Str. 30	ROT	OPT	Str. 32	ROT	OPT	Str. 34	ROT	OPT
OH4'''-C8	2.26	2.25	OH2''-C2'	<b>2.97</b>	<b>2.20</b>	H1G-O4	<b>2.20</b>	<b>2.56</b>	H1G-O4	<b>2.19</b>	<b>2.91</b>
H5'''-C10	<b>2.42</b>	<b>2.64</b>	H2'-OC3	2.54	2.60	CH2-H1R	2.34	2.34	CH2-H1R	2.42	2.42
H3'''-C5	<b>2.14</b>	<b>2.61</b>	H6'-O1	2.54	2.57	H4''-CH2	2.61	2.61			
H1R-O4	2.44	2.90	H2''-C1'	3.53	2.74						
CH3-H6'	2.44	2.47	CH2-H1R	2.39	2.39						
H1G-CH3	2.44	2.44	H4''-CH2	2.59	2.59						
H1R-O4	<b>2.44</b>	<b>2.90</b>	H1G-H5''	2.53	2.53						
			H1R-H5'''	2.37	2.37						
Str. 37	ROT	OPT	Str. 38	ROT	OPT	Str. 42	ROT	OPT	Str. 44	ROT	OPT
H2'-OC3	<b>2.73</b>	<b>2.27</b>	H2'-OC3	2.56	2.25	H2'-OC3	<b>2.51</b>	<b>2.39</b>	H6'-O1	2.80	2.28
OH2''-C3	2.58	2.58	OH2''-C3	<b>2.99</b>	<b>2.99</b>	H1R-CH2	2.68	2.68	H2'-OC3	2.79	2.39
H1R-CH2	2.80	2.80	H1R-CH2	<b>2.31</b>	<b>2.34</b>	CH2-O6'''	2.41	2.41	OH2''-C3	2.95	2.95
CH2-O6'''	2.80	2.37	CH2-O6'''	2.53	2.53	H6'-OH2''	—	2.14	H2''-C3	2.63	2.63
CH3-OC3'	<b>2.18</b>	<b>2.45</b>	CH3-OHC4'''	<b>2.62</b>	<b>2.62</b>	H1G-OC4	—	2.44	H1R-CH2	2.42	2.42
OH3'-CH2	<b>2.83</b>	<b>2.24</b>	OH3'-CH2	NO	NO	CH2-O6''	2.55	2.55	CH2-O6'''	2.61	2.61
			H1G-C4	2.59	2.68	H1G-OC3	2.47	2.47	CH3-OC3'	2.09	2.25
			H6'-O1	2.56	2.39	H6'-OH2''	2.39	—	H5'-OH2'''	<b>6.12</b>	<b>2.37</b>
						H1G-OC4	2.35	—			

## 4 Conclusions

In this paper we analyzed three theoretical procedures used in the elucidation of the predominant structure of organic molecules in solution, which is a hard task for experimentalists, using the flavonoid rutin as a working example: thermodynamic analysis (relative energy values), evaluation of statistical indices with respect to experimental NMR chemical shift data and analysis of <sup>1</sup>H-NMR profile in solution (best match between theoretical and experimental NMR pattern). The thermodynamic and spectroscopic criterion do not always go in the same direction, as expected, and we provided here an explanation using as an example the conformers of rutin. It is natural to think that for large and flexible molecules, such as rutin, there is a dynamic process in solution with various conformations been accessible as a function of time, and the experimentally observed NMR signal for each proton, should be a weighted average (usually Boltzmann population) of all structures according to the eqn (1), where the concentration of each conformer is obtained using the well-known thermodynamic equation (relative energy values are given in Fig. 2).

The interaction of the experimental sample with external magnetic field (NMR spectroscopy) can be considered as a reliable source of information on the molecular structure in solution. The sensitivity of <sup>1</sup>H-NMR chemical shifts to local chemical environments (molecular structure) is of fundamental importance in the elucidation of the preferred conformer in solution, with the NMR spectrum being a faithful representation of the plausible molecular structures. Therefore, using quantum chemical methods we can perform an extensive search for local minimum energy structures on the PES and

then carry out NMR chemical shifts calculation for each structure. A comparison with experimental NMR data (spectroscopic criterion) would allow us to eliminate improbable conformers which we expected to be also energetically disfavored (thermodynamic criterion).

The effect of the level of theory on the relative energy predictions for conformers of organic molecules was investigated using a series of distinct structures of rutin which can be considered representative of the type of relevant intramolecular interactions usually present in chemical compounds. The *ab initio* Pos-HF MP2/6-31G(d,p)-PCM-DMSO level of theory was used as reference and sixteen DFT exchange–correlation functional was employed in our analysis with the geometries optimized at the  $\omega$ B97X-D/6-31G(d,p)-PCM-DMSO level of calculation. The MP2 correlated level of theory describe satisfactorily dispersion effects and can be used as reference to assess the performance of DFT functional. It came as no surprise that only the DFT functional carrying some type of dispersion correction were able to reproduce correctly the MP2 energy profile for all rutin conformers. Specifically, structure **28** was substantially stabilized by vdW type intramolecular interactions and only the relative energy results calculated with the  $\omega$ B97X-D, M062x, B3LYP-D3 and B97D functional (using the 6-31G(d,p) basis set and PCM solvent model) were in good agreement with MP2/6-31G(d,p)-PCM-DMSO values. The  $\omega$ B97X-D functional was the only one having MAE value (with respect to MP2) below 1 kcal mol<sup>-1</sup> for the full series of rutin structures and therefore it seems adequate for studies of organic molecules. Regarding DFT calculation of NMR chemical shifts it has already been shown that the B3LYP/6-31G(d,p)-PCM// $\omega$ B97X-D/6-31G(d,p)-PCM level of calculation produce



good agreement with experimental data in solution.<sup>49</sup> Rutin structure **28** provided a good example where the use of a DFT functional including dispersion effects, such as  $\omega$ B97X-D, is crucial for the correct prediction of relative energies of distinct conformers of the same molecule. And this may happen with any organic molecule where intramolecular vdW interactions are relevant.

Due to the effect of intramolecular interactions on the conformations, NCI/NBO analyses were performed in order to characterize the dispersive interactions and hydrogen bonds. The data showed that, in conformation **28**, the presence of vdW forces is higher than in the other conformations, which justifies the extra stabilization predicted by functionals with dispersion terms. In addition, the NCI data reveal that  $\phi_1$ ,  $\phi_2$  rotation of the optimized structure **32** leads to new vdW interactions, producing a conformation with thermodynamic/spectroscopic preference and revealing the importance of describing dispersive interactions in rutin.

Before we proceed one thing should be clear in our mind. When the experimental <sup>1</sup>H-NMR spectrum is well resolved with peaks well separated and clearly defined, there is a small probability that distinct conformers of the same molecule (having protons in a very different chemical environment) will be detected at equilibrium in appreciable concentrations in solution. And that is the case of the flavonoid rutin. Among 44 distinct conformations located on the PES (34 obtained using standard scan procedures varying six torsion angles, and 10 structures optimized using as input MD simulations frames) none of them could reproduce correctly the experimental <sup>1</sup>H-NMR profile in DMSO-*d*<sub>6</sub> solution (including the global minimum structures named **34**). Only when two torsion angles ( $\phi_1$ ,  $\phi_2$  see Scheme 1) were rotated in an artisanal manner (by a maximum of +23° in structure **32**), keeping all remaining geometrical parameters at their DFT optimized values, an agreement with experiment was attained. Eight selected structures using a sound criterion, named **28**, **30**, **32**, **34**, **37**, **42** and **44** (MD last frame), were rotated and the NMR spectra calculated. According to relative energy values structure **32** is the global minimum among all rotated structures, which also reproduce almost perfectly the experimental <sup>1</sup>H-NMR profile, but in the light the MAE data (NMR) both structures **32** and **42** were predicted to exist in solution, what does not seem correct. These results indicate that although statistical indices are very useful, analysis of them alone may yield misleading predictions. The list of possible conformers of rutin in solution can be more than the 44 reported here, but our analysis revealed that the concentration of a single structure **32** should be predominantly larger than other conformers that can be present in DMSO solution.

Our results show that the desired harmony between thermodynamic and spectroscopic criterion is only achieved when we consider a molecular structure with  $\phi_1$  and  $\phi_2$  torsion angles deviating by a small amount from the DFT-PCM-DMSO fully optimized values (true minimum energy structure on the PES). The common procedure of using the last frame of MD simulation (structure **44** in the present work) as a good candidate input for DFT/PCM geometry optimization procedure did not work well for rutin. Improving the solvent effect model used here (PCM) through inclusion of explicit DMSO solvent molecules in

the geometry optimization procedure could probably lead us to the correct rotated structure (obtained in an artisanal manner). However, as rutin has many polar groups that can explicitly interact with the polar DMSO molecule (mainly O–H), in addition to conformational flexibility, such quantum chemical calculations would be a very hard computational task. Our results strongly indicate that the combination between thermodynamics and NMR spectroscopic analysis can be an adequate strategy for the elucidation of the predominant structure of organic molecules in solution. We may think about an NMR-constrained DFT geometry optimization procedure as more appropriate for the prediction of molecular structures in solution than the conventional approach.

It is worth mentioning that there are various interesting computational procedures available for sampling local minimum energy structures on the PES for large organic molecules, some based on classical and quantum/classical hybrid approaches, which can provide a comprehensive search for distinct conformers of the same molecule. Such computational approaches can locate molecular structures situated in far regions of the PES, which can be relevant and not easily detected by DFT torsion angles scan procedure. Although molecular structures obtained by other computational tools can be fine, relative energies may not be trusted since depending on specific molecular structure (as happened for rutin) non-covalent vdW type intermolecular interactions can take place and the choice of DFT functional can be crucial. Therefore, computational schemes designed for conformational search should use functional carrying dispersion correction, to contemplate more faithfully the diversity of intramolecular interactions present in organic compounds.

The comparison we report here, between the calculated <sup>1</sup>H-NMR chemical shifts and the experimentally measured spectra, involves a search for patterns, a common approach in scientific research (as seen in the health field with DNA tests). Ultimately, we seek a strong match between the two sets of data (theoretical and experimental), which provides compelling evidence that the predominant molecular structure of the solute present in the solution has been accurately identified.

## Conflicts of interest

There are no conflicts of interest to declare.

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