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# Fabrication of a ternary biocomposite film based on polyvinyl alcohol, cellulose nanocrystals, and silver nanoparticles for food packaging†

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Silver nanoparticles (AgNPs) were loaded on deprotonated cellulose nanocrystals (CNCd) and incorporated into polyvinyl alcohol (PVA) to develop novel active food packaging films. The AgNPs were fabricated using the liquid phase chemical reduction method using the sodium borohydride reductant of AgNO<sub>3</sub>. The analysis using X-ray diffraction (XRD), Fourier transform infrared spectroscopy (FT-IR), Thermogravimetric analysis (TGA), Differential scanning calorimetry (DSC), and Ultraviolet-visible spectroscopy (UV-Vis) showed that the CNCd surface had a homogeneous distribution of AgNPs with a diameter of about 100 nm. Additionally, CNCd/Ag was successfully incorporated into the PVA film. The developed PVA/CNCd/Ag film showed significantly improved mechanical properties, thermal stability, and UV barrier properties compared to a neat PVA film. The PVA/CNCd/Ag composite film could significantly preserve bananas for 14 days, preventing deterioration and allowing extended storage periods. This composite film generally shows promise in food packaging and prolongs food's shelf life.

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## 1. Introduction

Food packaging is one of the most significant uses of polymers derived from petroleum, with 19% of all polymers derived from fossil fuels utilized for food packaging.<sup>1,2</sup> Polymer packaging materials safeguard food from external influences (microorganisms, chemical interactions, ultraviolet (UV)/visible light, temperature, and humidity), thus preserving food quality and extending its shelf life.<sup>3</sup> The consumption of food packaging materials is projected to increase annually at approximately 7.05% (from 2019 to 2026), raising concerns about plastic packaging waste.<sup>4</sup> Because conventional food-packaging materials (such as polypropylene and polyethylene terephthalate) are not biodegradable, plastic waste decomposition takes ten to hundreds of years.<sup>5</sup> Therefore, increased plastic waste from food packaging has caused grave environmental concerns.<sup>3</sup> These worries and the scarcity of petroleum resources have prompted the development of biodegradable food packaging materials.<sup>6,7</sup>

Polyvinyl alcohol (PVA) is one of the most commonly employed biodegradable synthetic polymers. It possesses numerous exceptional physicochemical properties, including a remarkable film-forming ability, good chemical resistance, good sealing ability, outstanding oxygen/aroma barrier properties, biocompatibility, and good thermal stability. Consequently, it is utilized in various industrial applications, including packaging, coatings, textiles, paper, membranes, and medical devices. However, due to the abundance of hydroxyl groups, pure PVA film has significant limitations as a packaging material, including poor water resistance and water vapor barrier properties.<sup>8,9</sup> In addition, the mechanical strength of pure PVA film is lower than that of conventional packaging polymers.<sup>8</sup> Adding nanofillers, like silica,<sup>10</sup> carbon nanotubes,<sup>11</sup> and nanoparticles,<sup>12</sup> to PVA can enhance its mechanical properties. However, introducing these inorganic nanofillers compromises its biocompatibility and biodegradability. Researchers have shown significant interest in using nanofillers derived from natural materials to preserve PVA's biodegradability.

Cellulose, the most common natural carbohydrate polymer, is used to construct CNC. Through acid hydrolysis, cellulose's amorphous domains are dissolved to produce CNC with predominantly crystalline domains.<sup>13</sup> Nanoscale CNC typically has a rod-like shape (3–20 nm in diameter and 100–1000 nm in length). CNC has exceptional mechanical strength, Young's modulus, good oxygen barrier properties, a large surface area, and excellent biodegradability.<sup>13,14</sup> CNC is regarded as a superior natural reinforcing fiber that enhances the mechanical

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properties of polymer matrices.<sup>15,16</sup> Moreover, CNC and PVA are compatible because they can form hydrogen bonds.<sup>17,18</sup> According to previous research, CNC can considerably improve PVA films' mechanical strength and water vapor barrier properties.<sup>19–21</sup>

Due to its straightforwardness, the solution casting method is the most frequently employed approach for transferring CNC into a PVA matrix. This method can be implemented by casting a mixture of CNC suspension and PVA solution into Teflon, polypropylene, or glass dishes and allowing water to evaporate at the appropriate temperature.<sup>22</sup> An essential factor of this procedure is that the CNC must be uniformly distributed throughout the PVA matrix. As a result, it is necessary to prepare the CNC and PVA matrix in an aqueous solution, ensuring that both components remain stable throughout the combining process of the constituents. Nevertheless, the dispersion of CNC into a suspension in water is exceedingly challenging owing to the formation of hydrogen-bound CNC networks, which consist of numerous hydroxyl groups on their surface.<sup>23,24</sup> Introducing anionic groups (carboxyl groups) onto the surface of CNC (carboxylated CNC) can facilitate dispersion in water by increasing electrostatic repulsion and loosening the hydrogen-bound network. Additionally, carboxylated CNC offers greater reactivity than unmodified CNC because the carboxyl group can be functionalized<sup>25</sup> and cross-linked,<sup>26</sup> broadening the range of refined products that can be improved by CNC incorporation.<sup>27,28</sup>

One of the primary contributors to food deterioration is bacteria.<sup>29</sup> However, CNC is not intrinsically antibacterial, which limits its use in functional packaging.<sup>30</sup> Incorporating antimicrobial agents into packaging materials has thus generated considerable interest in antibacterial packaging materials. Recently, food packaging has incorporated metal nanoparticles, including copper, gold, silver, and zinc, due to their exceptional physicochemical characteristics and potent antibacterial activity. The wide-ranging antibacterial activity, exceptional thermostability, and reduced volatility of silver nanoparticles (AgNPs) compared to alternative metals have led to their widespread application.<sup>31</sup> Additionally, AgNPs can assimilate and decompose ethylene generated throughout the maturation process of fruits and vegetables, thereby effectively prolonging the perishability of perishable foods.<sup>32,33</sup> The addition of AgNPs to composites composed of PVA exhibits notable antibacterial and UV-blocking properties.<sup>34</sup> Nevertheless, the limited water resistance and inadequate mechanical strength of PVA-AgNPs composites will prevent their extensive implementation in food packaging.<sup>35</sup> Another challenge is that, due to their high surface energy, AgNPs are susceptible to aggregation to reduce their surface area; this can result in a noticeable decline in their antibacterial activity.<sup>36</sup> Therefore, developing a method to produce and immobilize AgNPs with potent antibacterial properties is critical. CNC can function as a stabilizer and a template for the synthesis and immobilization of AgNPs. Its favorable dispersion on the CNC surface is attributed to its substantial specific surface area and ample hydroxyl groups, which enable it to form complexes with Ag<sup>+</sup> *via* ion–dipole interactions on the cellulose backbone.<sup>37</sup>

Most papers describe the coupling of AgNPs with CNC as a two-stage process in which AgNPs are first created by reducing silver salts (*i.e.*, AgNO<sub>3</sub>) and then introduced into a CNC suspension. Three methods have been devised to make hybrid CNC–Ag composites: (i) adding a reducing agent to the metal precursor in the presence of CNC,<sup>38,39</sup> (ii) using CNC as both a reducing agent and immobilization substrate,<sup>40,41</sup> and (iii) reduction *via* surface-functionalized CNC.<sup>42</sup> The first way is the simplest, including adding a common reducing agent such as NaBH<sub>4</sub>, ascorbic acid, or hydrazine to a CNC suspension in the presence of an Ag precursor solution. However, this technique is not selective, and most AgNPs are synthesized in solution, with just a tiny proportion produced on the surface of CNC. The second strategy uses CNC as both a support and a reducer for the nucleation of AgNPs, using the hydroxyl groups' reducing ability. Although this approach is appealing, direct evidence of the involvement of hydroxyl groups in the reduction process has yet to be confirmed, and the presence of functional groups such as carboxylic, sulfate, or amine is suggested to promote the coordination of Ag<sup>+</sup> ions to the surface of CNC. In addition, the reduction process must be started at a temperature higher than 80 °C. The third strategy is based on the surface functionalization of CNC with particular groups capable of acting as a reducing agent in silver ionic aqueous solutions.

Others have demonstrated AgNPs production in carboxylated cellulose species. However, a reducing precursor for Ag ions, such as sodium borohydride or ascorbic acid, is required in most situations.<sup>43,44</sup> Studies that did not employ additional reducing agents for one-pot AgNPs production on carboxylated cellulose found that either a high-temperature treatment or UV irradiation for different periods was necessary.<sup>45,46</sup> The current study describes a one-pot production of AgNPs in cellulose using deprotonated carboxylic groups at room temperature without the necessity for sample postprocessing. We predict Ag NPs will form and grow preferentially on the surface of CNC. This guarantees that the Ag NPs are effectively anchored to the surface of CNC, prevents the generation of free Ag NPs in solution, and allows for greater control of the AgNPs size.

The primary objective of this research was to produce a ternary biocomposite film comprised of PVA, CNC, and Ag NPs that overcomes the shortcomings of prior investigations while retaining their superior properties. Mass-producing the nanocomposites *via* thermoforming procedures<sup>47,48</sup> was advantageous due to the thermoplastic character of the PVA substrate. In addition, the potential interaction between AgNPs and CNC would result in a more uniform distribution of AgNPs and CNC within the prepared PVA matrix, thus improving the material's performance.

## 2. Experimental

### 2.1. Chemicals and reagents

PVA beads (Mw 31 000–50 000; 87–89% hydrolyzed), cellulose microcrystalline powder (CMC-Avicel®PH-101, ~50 μm in particle size), and sodium borohydride (NaBH<sub>4</sub>, ≥96.0%) were purchased from Sigma-Aldrich. Hydrogen chloride (HCl, 36%), sodium hydroxide (NaOH, 96%), silver nitrate (AgNO<sub>3</sub>, 99%),



and citric acid monohydrate ( $C_6H_8O_7 \cdot H_2O$ , 99%) originated in China. All the materials were used as received, and deionized water was used throughout the experimentation.

## 2.2. Preparation and deprotonation of CNC (CNCd)

CNC was isolated from cellulose microcrystalline (CMC) using a hydrolysis method reported by Yu *et al.*<sup>49</sup> In brief, 8.0 g of CMC was added to 400 mL of a 90%  $C_6H_8O_7$ /10% HCl mixed acid solution (400 mL, 3 M  $C_6H_8O_7$ , and 6 M HCl). The mixture was heated at 80 °C for 6 hours with constant mechanical agitation. Upon completion, the resultant suspension was quickly cooled to room temperature and centrifuged at 4000 rpm with deionized (DI) water until its pH was approximately 7. CNC was deprotonated in a  $NaHCO_3$  solution with a CNC :  $NaHCO_3$  ratio of 1 : 75 (w/v) for 30 minutes at room temperature while agitating continuously. The CNCd obtained was then centrifuged at 4000 rpm with DI and freeze-dried.

## 2.3. Synthesis of Ag nanoparticles on CNCd (CNCd/Ag)

0.5 g of CNCd was agitated continuously for 15 hours in 0.05 M  $AgNO_3$  solution. After obtaining the solid sample by filtration, it was stirred for 15 minutes in 15 mL of DI water with NaOH solution added steadily until the pH reached 10 to 11. After adding 0.4 mL of  $NaBH_4$  0.01 M, the mixture was agitated continuously for 24 hours. The obtained product was centrifuged multiple times with DI and then desiccated at 110 °C for 3 hours. It was a greyish-brown substance denoted by the symbol CNCd/Ag.

## 2.4. Preparation of PVA/CNCd/Ag nanocomposite

By dissolving PVA beads in deionized (DI) water at 90 °C for 2 hours with magnetic stirring (500 rpm) and then cooling to room temperature, a PVA solution (12 wt%) was produced. The PVA solution was cast on Petri dishes to create the PVA film. For the preparation of PVA/CNCd film, 0.05 g CNCd was added to a 50 g PVA solution (12 wt%), which was then stirred at 1000 rpm for 12 hours and sonicated in an ultrasonic bath for 30 minutes before being poured into Petri dishes. Like PVA/CNCd film, the PVA/CNCd/Ag nanocomposite was created by adding 0.05 g of CNCd/Ag powder to 50 g of PVA solution (12 wt%). This mixture was agitated at 1000 rpm for 12 hours and then sonicated for 30 minutes to ensure an even distribution of CNCd/Ag throughout the PVA solution. Casting the PVA/CNCd/Ag suspension on Petri dishes produced the PVA/CNCd/Ag film. The PVA, PVA/CNCd, and PVA/CNCd/Ag films with a thickness of 100  $\mu m$  were obtained after approximately five days of curing at room temperature (23–25 °C).

## 2.5. Preparation of PVA coating solutions and application of coatings on fruit

To demonstrate the potential applications of the PVA/CNCd/Ag film in food packaging, a preservation test of banana at room temperature (23–25 °C) was performed for 14 days. The examined samples of bananas originated from recently harvested gardens that did not use preservatives. Before being stored,

every banana should be brilliant green, firm, have green stems, be free from pests, and be undamaged. Subsequently, preservative solutions consisting of PVA, PVA/CNCd, and PVA/CNCd/Ag were applied to the banana samples. Fresh bananas were completely immersed in the PVA coating solutions for 15 seconds to achieve uniform surface coatings. Then, they were drained and dried at room temperature for 30 minutes, and finally, they were observed at room temperature for 14 days.

## 2.6. Characterizations

**2.6.1. X-ray diffraction (XRD).** An X-ray diffractometer (Bruker-D2 PHASER, Germany) with Cu K $\alpha$  radiation ( $\lambda = 0.154$  nm) was used to investigate the XRD patterns of the CMC, CNC, CNCd, and CNCd/Ag powders, as well as the PVA, PVA/CNCd, and PVA/CNCd/Ag films. The diffractograms were obtained by recording data in the angular range of 10° to 80° with a step size of 0.02°. The X-ray diffraction (XRD) data obtained from the holder without a sample was used as the background. The raw XRD data of the samples was processed by subtracting the background, resulting in the experimental XRD data devoid of any environmental interference.

**2.6.2. Fourier transform infrared spectroscopy (FT-IR).** The functional groups in the materials were identified using the KBr disk technique using an FTIR Bruker-Tensor 27 instrument from Germany. The material was mixed with 200 mg of potassium bromide (KBr), resulting in 2 mg. Measurements were conducted at wavenumbers ranging from 400  $cm^{-1}$  to 4000  $cm^{-1}$ , with a resolution of 4  $cm^{-1}$ .

**2.6.3. Scanning electron microscopy with energy-dispersive X-ray spectroscopy (SEM-EDX) analysis.** The surface morphology of CNCd/Ag was analyzed using an SEM (S-4800) equipped with an energy-dispersive X-rays (EDX) spectrometer. Before further treatment, a thin platinum conductive layer was used to cover the samples. A plasma coater produced the coating. A secondary electron detector with a 2 kV accelerating voltage was used to observe the surface of samples.

**2.6.4. Thermogravimetric (TGA) analysis.** The thermogravimetric analyses (TGA) were conducted using a METTLER TOLEDO thermogravimetric analyzer. The samples were heated from 35 °C to 800 °C at a rate of 10 °C  $min^{-1}$  in an atmosphere of nitrogen. The weight loss (TGA) or heat evolved (differential thermal analysis\_DTA) was measured against increasing temperature.

**2.6.5. Differential scanning calorimetry (DSC) analysis.** DSC analysis (TA Instrument Inc., Q20) was performed using a heating-cooling cycle in the temperature range of 30 to 250 °C to evaluate the melting and crystallization behavior of the samples. Under a nitrogen purge gas flow of 50 mL  $min^{-1}$ , all samples were described with weights ranging from 5 to 6 mg. The ramping rate was set at 10 °C  $min^{-1}$ . Temperatures exceeding 200 °C may induce thermal deterioration of PVA, resulting in distortion of observed characteristics. The maximum temperature for the cyclic DSC experiments was set to 250 °C to reduce the adverse effects of thermal deterioration.

**2.6.6. UV barrier properties.** The UV barrier qualities of the films were assessed using a UV-Vis spectrophotometer



(OPTIZEN POP, Mecasys). Cutting from the produced films resulted in specimens measuring  $30 \times 30 \text{ mm}^2$ . UV-visible transmission spectra were measured within the 200 to 800 nm wavelength range, using a resolution of 0.5 nm.

**2.6.7. Mechanical properties.** An assessment of the mechanical characteristics of the manufactured films was conducted *via* a tensile test by ASTM D3039. A tensile testing machine (JP/AGS-5kN, Shimadzu) was utilized. Rectangular samples measuring  $5 \times 50 \text{ mm}^2$  were extracted from films at various positions using a die-cutting instrument. A tiny piece of paper was affixed to its end to prevent each sample from sliding. The experimental setup comprised a grasp distance of 20 mm, a load cell of 100 N, a crosshead speed of  $10 \text{ mm min}^{-1}$  at a temperature of  $25 \text{ }^\circ\text{C}$ , and a relative humidity of 50%. For each film, a minimum of six samples were evaluated, and the mean values are provided in this document.

### 2.7. Statistical analysis

All measurements were performed at least in triplicate, and the results were expressed as means  $\pm$  standard deviations. One-way analysis of variance (ANOVA) with Tukey's test using Minitab 19 software was employed to analyze the data. Statistical significance was considered when the *p*-value was  $<0.05$  in all the analyses.

## 3. Results and discussion

### 3.1. Characteristics of CNCd/Ag material

**3.1.1. XRD and FT-IR analysis.** The XRD pattern of CMC, CNC, CNCd, and CNCd/Ag is depicted in Fig. 1. The results indicated that all four samples exhibited characteristic cellulose diffraction peaks at  $2\theta$  positions of  $14.8^\circ$ ,  $16.5^\circ$ ,  $22.6^\circ$  and  $34.2^\circ$  for lattice faces (1 10), (110), (200), and (004), respectively.<sup>50</sup> The samples' crystallinity was determined using the Segal formula (1).<sup>51</sup>

$$\text{CrI (\%)} = [(I_{200} - I_{\text{am}})/I_{200}] \times 100 \quad (1)$$

where  $I_{200}$  is the intensity of the diffraction peak (200) at  $2\theta = 22.6^\circ$ , and  $I_{\text{am}}$  is the intensity at the diffraction angle  $2\theta = 18.5^\circ$ .

When CMC was hydrolyzed with a mixture of citric acid and HCl, the crystallinity of CNC was 5.1% greater than that of CMC ( $\text{CrI}_{\text{CNC}} = 82.3\% > \text{CrI}_{\text{CMC}} = 77.5\%$ ). In addition, the absence of a shift in the diffraction peaks indicated that hydrolysis only eliminated the amorphous portion of the CMC structure to form CNC and increased crystallinity without altering the crystal structure. After deprotonation of CNC, the resultant CNCd possessed a lower crystallinity than CNC but a higher crystallinity than CMC, as shown in Table 1. This result can be attributed to the fact that  $\text{COO}^-$  groups formed after deprotonation typically repelled each other (due to their identical negative charge) and disrupted some ordered regions of the CNC, resulting in CNCd having a lower crystallinity than CNC.<sup>52</sup>

The XRD pattern of CNCd/Ag revealed four cellulose peaks at  $2\theta = 14.4^\circ$ ,  $16.5^\circ$ ,  $26.6^\circ$ , and  $34.6^\circ$ . In addition, as a result of the synthesis of AgNPs, additional peaks have appeared at  $2\theta = 38.2^\circ$ ,  $44.1^\circ$ ,  $64.4^\circ$ , and  $77.5^\circ$ , corresponding to (111), (200),

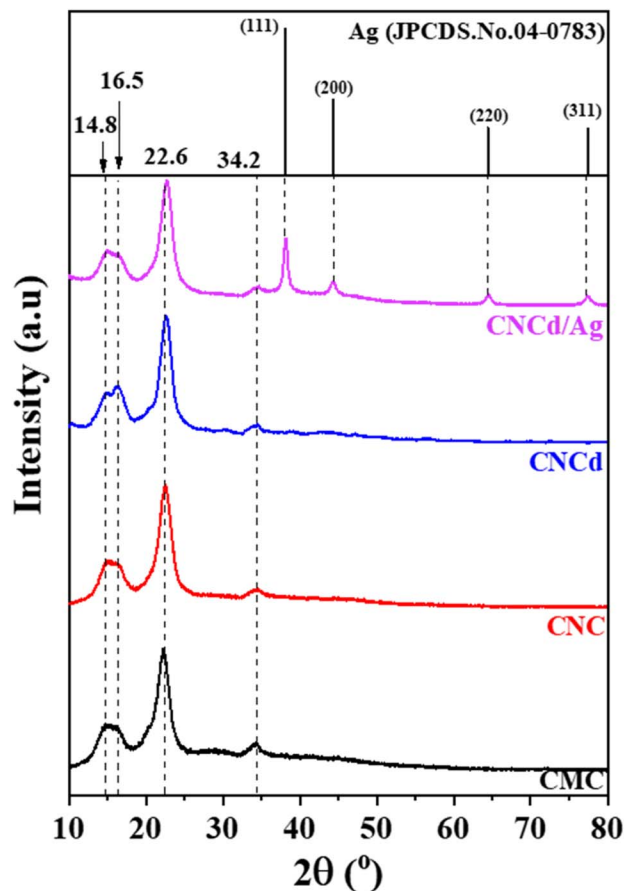


Fig. 1 XRD pattern of CMC, CNC, CNCd, and CNCd/Ag.

(220), and (311), respectively (JCPDS#04-0783),<sup>53</sup> indicating that AgNPs have a spherical morphology and crystalline structure. These patterns demonstrated the presence of metallic silver face-centered cubic crystals in the CNCd/Ag material.<sup>54</sup> Additionally, the crystallinity of the CNCd/Ag sample was less than that of the CNCd sample (Table 1). The infusion of AgNPs may have realigned the CNCd molecules, reducing crystallinity.<sup>55</sup> The crystal size  $D$  (nm) of Ag NPs decorated on CNCd was determined from the XRD pattern according to the Debye-Scherrer formula (2):

$$D = (K \times \lambda)/(\beta_{1/2} \times \cos \theta) \quad (2)$$

where correction factor  $K$  is 0.9,  $\lambda$ ,  $\theta$ , and  $\beta_{1/2}$  are the wavelength, the diffraction angle, and the peak width at half maximum intensity, respectively.

Table 1 CrI of CMC at different stages of treatment and Ag's crystalline size

Samples	CrI (%)	Ag's crystalline size (nm)
CMC	77.2	—
CNC	82.3	—
CNCd	79.5	—
CNCd/Ag	72.9	10.85



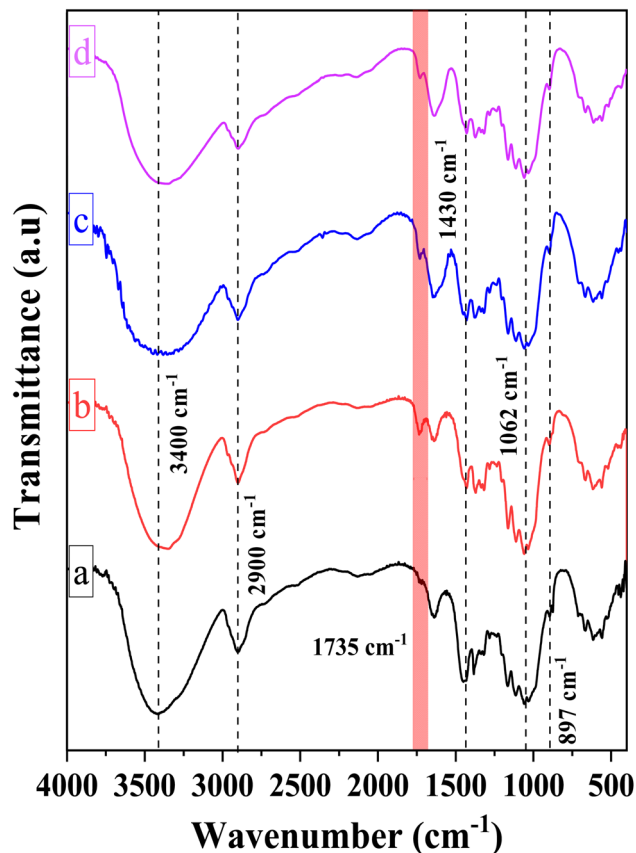


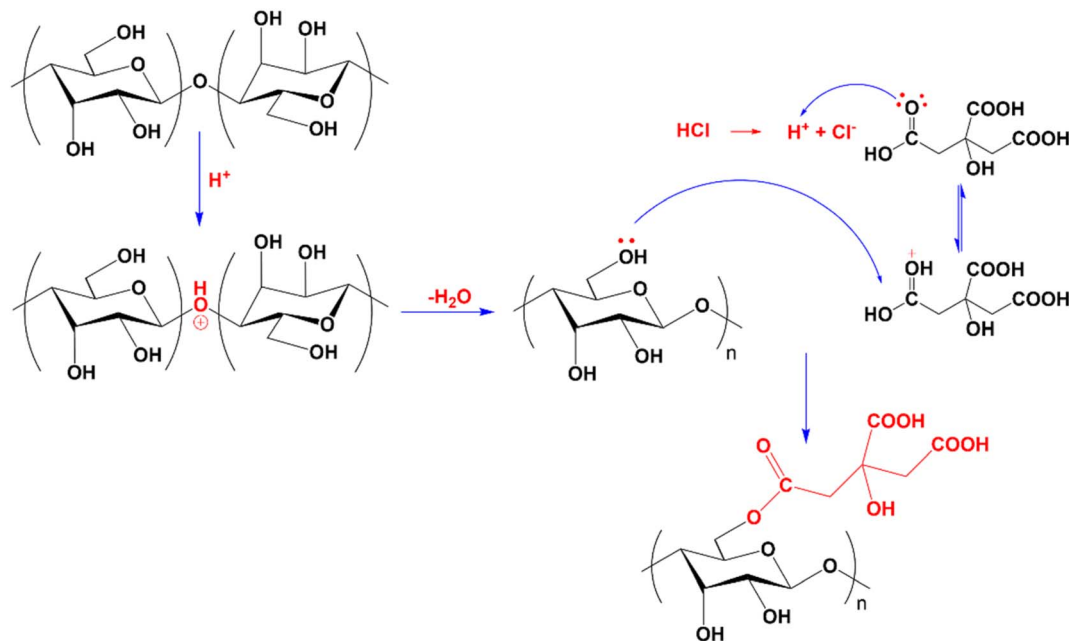
Fig. 2 FT-IR spectra of (a) CMC, (b) CNC, (c) CNCd and (d) CNCd/Ag.

Fig. 2 illustrates the chemical structures of the CMC, CNC, CNCd, and CNCd/Ag samples *via* the FT-IR spectra. The FT-IR spectrum of CMC exhibited absorption peaks typical of the

bond vibrations in the cellulose structure. The broad and strong absorption band at  $3400\text{ cm}^{-1}$  has been attributed to the O–H stretching vibration and intermolecular hydrogen bonding. The sharp peak at  $2900\text{ cm}^{-1}$  was ascribed to  $\text{C}_{\text{sp}^3}\text{-H}$  group stretching vibrations. The absorption peak indicated a  $\beta$ -1,4-glycosidic bond between D-glucose monomers in cellulose at  $1062\text{ cm}^{-1}$ , corresponding to the stretching vibration of the  $\text{C}_1\text{-O-C}_4$  bond in the D-glucose ring. The peak at  $897\text{ cm}^{-1}$  corresponded to C–O–C stretching at the  $\beta$ -1,4-glycosidic, whereas the peak at  $1430\text{ cm}^{-1}$  corresponded to  $-\text{CH}_2\text{-(C}_6\text{)-}$  bending vibration.<sup>50,56,57</sup>

Compared to CMC, the FT-IR spectrum of CNC appeared to have a new absorption peak at  $1735\text{ cm}^{-1}$ , ascribed to the stretching vibration of the  $\text{C}=\text{O}$  bond in the  $-\text{COOH}$  group.<sup>58</sup> In the hydrolysis process, not only were the amorphous portions of the CMC structure removed, which helps to increase the CNC's crystallinity, but the esterification reaction between citric acid and mobile  $-\text{OH}$  groups on the cellulose surface also took place. Consequently, the formed CNC exhibited surface functional groups  $-\text{COOH}$  after hydrolysis.<sup>52,59,60</sup> Scheme 1 depicts the mechanism of cellulose hydrolysis and surface modification. After the reaction with citric acid, the intermolecular bonds and adsorbed water on the surface decreased due to bulky citric acid molecules replacing the O–H groups on the cellulose surface. This phenomenon was demonstrated by the fact that the O–H absorption band of CNC was sharper than that of CMC and that the characteristic peak at  $1644\text{ cm}^{-1}$ , attributed to the H–O–H deformation vibration of water adsorbed on CNC, has decreased substantially compared to CMC.

On the FT-IR spectrum of CNCd and CNCd/Ag, the peak at  $1565\text{ cm}^{-1}$  corresponded to the stretching vibration of the  $\text{C}=\text{O}$  bond in the  $\text{COO}^-$  group.<sup>61</sup> This peak indicated that CNC's  $\text{COOH}$  groups were deprotonated to  $\text{COO}^-$  groups. In the CNCd



Scheme 1 Schematic of cellulose hydrolysis and surface modification.



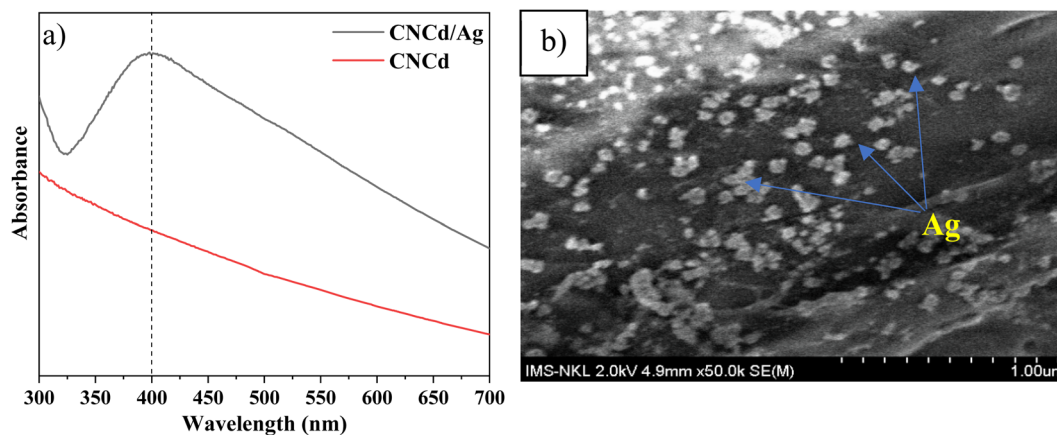


Fig. 3 (a) UV-Vis spectra of CNCd and CNCd/Ag, and (b) SEM image of CNCd/Ag.

sample, the peak of the O–H stretching vibration became broader, and the water H–O–H deformation vibration became more intense than that of CNC. After protonation, many negatively charged  $\text{COO}^-$  groups appeared on the surface of the CNC, causing this transformation. These groups enhanced the intermolecular interactions and the affinity of CNCd for water. However, after Ag attachment, the characteristic band of the O–H bond tended to be more pointed than that of CNCd, as AgNPs bind to the  $\text{COOH}$ , O–H, and  $\text{COO}^-$  groups *via* coordination bonds, thereby reducing intermolecular bonds. In addition, the initial results partially demonstrated that Ag had been effectively attached to CNCd support.

**3.1.2. UV-Vis and SEM analysis.** Fig. 3 displays the UV-Vis results of CNCd, CNCd/Ag, and the SEM images of CNCd/Ag. The UV-Vis analysis revealed that CNCd had no absorption bands. In contrast, CNCd/Ag exhibited an absorption band at 400 nm, which is characteristic of the surface plasmon resonance of AgNPs. This result demonstrated that AgNPs were effectively bonded to CNCd. The SEM image of CNCd/Ag revealed that the AgNPs were uniformly distributed on the surface of CNCd and were approximately 100 nm in diameter. Fig. S1† illustrates the EDX results for CNCd/Ag. The peak at the

3 keV position was typical of the Ag element, and there was no indication of any unusual peaks. This result demonstrated Ag's presence on CNCd and the absence of impurities. In addition, EDX results indicated that Ag elements were distributed on the cellulose surface with an atomic ratio of 0.42% and a mass ratio of 3.16%.

**3.1.3. TGA analysis.** Fig. 4 depicts the TGA plot and DTG derivative of the CMC, CNC, CNCd, and CNCd/Ag samples. Table 2 displays the results of the primary decomposition stages and the residual ash of these materials. The TGA diagram revealed that CMC and CNC undergo a primary thermal decomposition stage with a maximum decomposition temperature of 341 °C for CMC and 357 °C for CNC. In contrast to CMC and CNC, CNCd underwent thermal decomposition in two stages, with the first and second significant thermal decompositions occurring at temperatures of 264 °C and 343 °C, respectively, at the maximal mass loss rate.

The CMC had the following regions of mass loss: the evaporation of water from room temperature to 265 °C results in a mass loss of approximately 5.2%. The temperature range between 265 °C and 430 °C was the primary decomposition stage of CMC,<sup>62</sup> with the maximal decomposition rate occurring

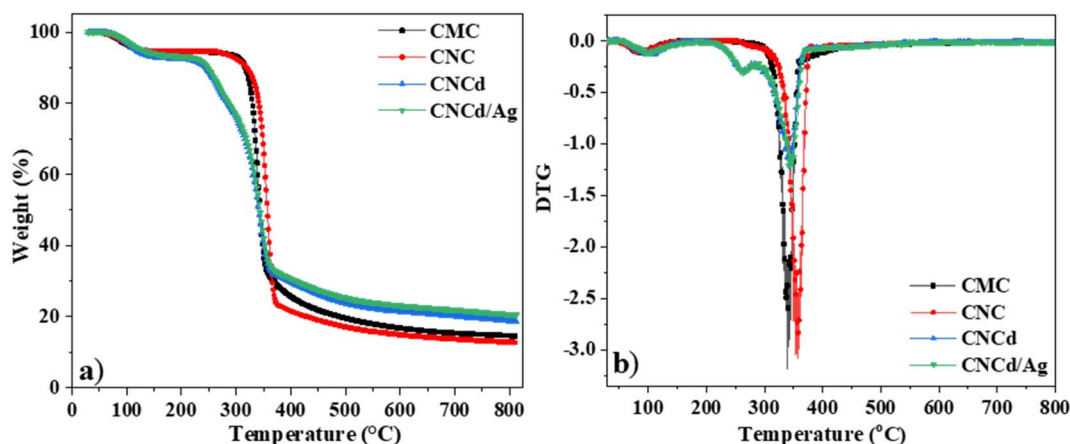


Fig. 4 TGA (a) and DTG (b) curves of CMC, CNC, CNCd, and CNCd/Ag.



**Table 2** Onset temperature ( $T_o$ ), weight loss ( $W_L$ ), degradation temperature at max weight loss ( $T_{max}$ ), and char yield for CMC, CNC, CNCd, and CNCd/Ag materials evaluated from TG

Samples	1st decomposition stage			2 <sup>nd</sup> decomposition stage			Char yield (%) at 800 °C
	$T_o$ (°C)	$T_{max}$ (°C)	$W_L$ (%)	$T_o$ (°C)	$T_{max}$ (°C)	$W_L$ (%)	
CMC	—	—	—	265	341	82.5	15.0
CNC	—	—	—	255	357	81.2	13.3
CNCd	200	264	12.7	284	343	61.4	18.5
CNCd/Ag	200	264	12.7	290	345	49.2	21.0

at 341 °C; during this time, the functional groups on CMC were severed, resulting in a weight loss of approximately 82.5%. The remaining ash percentage was 15.0%.

The mass loss regions of CNC, cellulose with carboxylic groups, were represented on the TGA curve. First, the evaporation of water from room temperature to 255 °C corresponded to a mass loss of 5.5%. The primary decomposition stage of the CNC occurred between 255 °C and 380 °C, with the maximal decomposition rate occurring at 357 °C. The remaining ash percentage was 13.3%. The TGA results indicated that CNC decomposed heat faster than CMC. Nevertheless, CNC was more resistant to heat than CMC. The CNC decomposed first because it contains voluminous citric acid radicals that facilitate the decomposition of the samples. However, CNC crystallized better than CMC (as demonstrated by XRD results), so additional heat was required to damage the final structure.

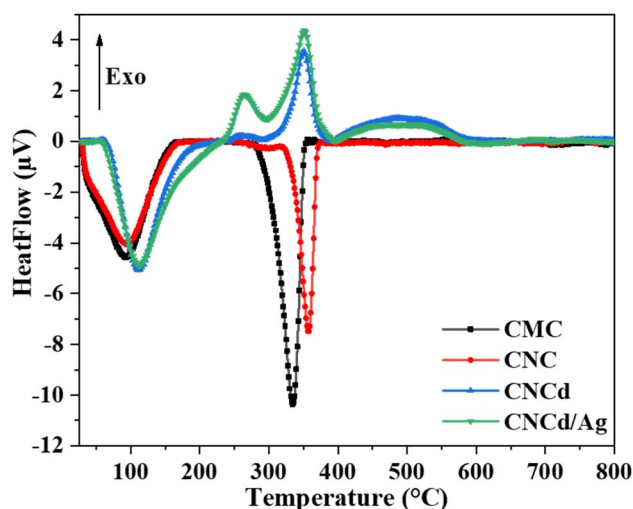
The TGA diagram of CNCd, cellulose with sodium carboxylate groups, revealed two central regions of thermal decomposition. First, the temperature range from room temperature to 200 °C corresponded to a water mass loss of approximately 7.4% in the material structure. The first peak decomposition occurred between 200 °C and 284 °C with a maximum mass loss rate of 264 °C and a mass loss of approximately 12.7%, corresponding to the degradation of the anhydroglucuronic acid units and as the sample is heated, the carboxylate groups also participate in decarbonation and decarboxylation reactions that degrade the cellulose chains.<sup>63,64</sup> It was reported that carboxymethyl celluloses degrade at lower temperatures by decarbonation of the sodium carboxyl groups than for cellulose.<sup>62,65</sup> The second peak decomposition occurred at 343 °C, corresponding to the degradation of the remaining unfunctionalized cellulose.<sup>63,64</sup>

The TGA diagram for the CNCd/Ag was identical to the TGA diagram for the CNCd sample. However, the CNCd/Ag residual ash was 21%. When deprotonating and attaching Ag to the CNC, cellulose exhibited different thermal behaviors. CNCd and CNCd/Ag had an extended decomposition temperature range in the decomposition region of water because the  $\text{COO}^-$  groups carry a charge, increasing the quantity of water absorbed by cellulose. During deprotonation, the cellulose chains separated, allowing water molecules to penetrate the structure. In addition, CNCd and CNCd/Ag underwent an additional thermal decomposition step compared to CNC and CMC. At this stage of thermal decomposition, functional groups related to  $\text{Na}^+$  and  $\text{Ag}^+$  ions were decomposed. In addition, the amount of ash

remaining on CNCd/Ag was more significant than that of CNCd because Ag had a greater molecular weight than Na.

Fig. 5 depicts the DTA analysis of CMC, CNC, CNCd, and CNCd/Ag thermal properties. The first endothermic peak of CMC and CNC appeared in the room temperature range of 160 °C due to the evaporation of water molecules adsorbed on these two samples. The CMC's second endothermic peak, indicating decomposition in the CMC structure, appeared between 265 and 400 °C, with a maximum temperature of 334 °C. In contrast, CNC had a maximum temperature of 357 °C. The CNC had a more crystalline structure and was more heat stable than CMC, as indicated by CNC's higher maximal decomposition temperature.

Unlike CNC, the DTA diagram of CNCd displays two exothermic peaks at 350 °C and 496 °C, along with the endothermic peak related to water evaporation. The given peaks represented the two main stages of CNCd decomposition. Decomposition began with the breaking of deprotonated side chains and the main chain of cellulose. The second step included decomposing the remaining main chain fragments and the charring process. Thermal energy was used on CNCd in both places to break the bonds. Fractured chains tended to reform bonds because of the presence of Na in the structure, leading to the subsequent breakdown of by-products. Competition between heat absorption and release processes occurred



**Fig. 5** DTA curves of CMC, CNC, CNCd, and CNCd/Ag.



throughout these stages, with heat release prevailing, leading to exothermic peaks on the CNCd DTA diagram.

The CNCd/Ag sample exhibits an extra exothermic peak at 264 °C compared to the CNCd sample. The addition of Ag accelerated the thermal induction processes of CNCd/Ag owing to Ag's excellent heat transfer capabilities.

### 3.2. Characteristics of prepared biocomposite films

**3.2.1. XRD and FT-IR analysis.** Fig. 6 displays the XRD diffractograms of the CNCd/Ag, PVA, and PVA/CNCd/Ag films obtained by solvent casting. The outcomes demonstrated that all three films exhibited a diffraction peak at  $2\theta = 19.8^\circ$ , characteristic of the crystalline regions of the PVA structure, which were formed by a network of intramolecular and intermolecular hydrogen bonds. The XRD result for the PVA/CNCd/Ag film revealed that PVA had a diffraction peak, whereas the characteristic peaks for CNC and Ag NPs were nearly invisible. The low CNC and CNCd/Ag concentrations dispersed in the PVA may account for this result.<sup>66,67</sup>

In addition to examining the XRD diffractogram, the FT-IR spectra (Fig. 7) of the PVA, PVA/CNCd, and PVA/CNCd/Ag films were analyzed. At  $3300\text{ cm}^{-1}$ , all three samples exhibited a broad absorption band, which was characteristic of the stretching vibration of the OH bond. The absorption bands at  $2925\text{ cm}^{-1}$  and  $2880\text{ cm}^{-1}$  represented the asymmetric and symmetric stretching vibrations of the  $\text{CH}_2$  group.

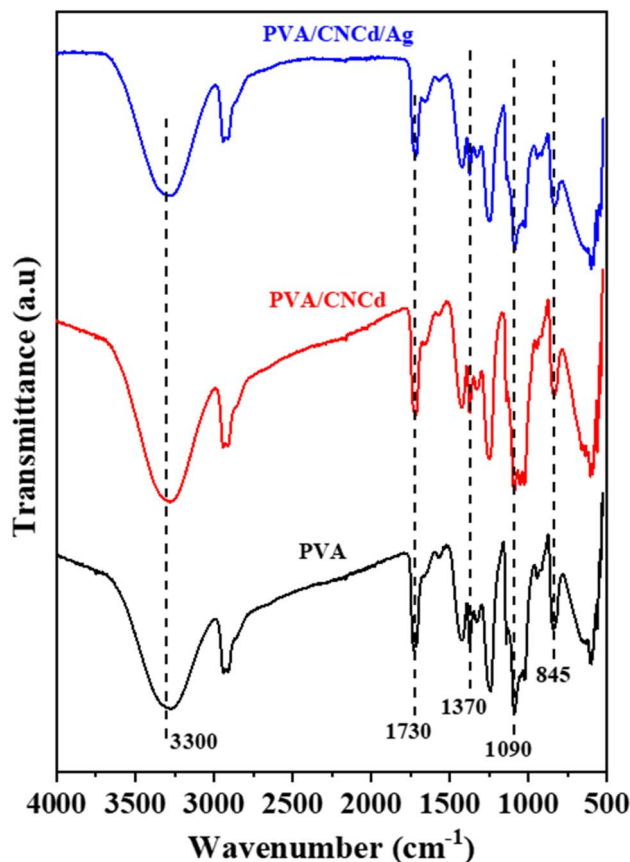


Fig. 7 FT-IR spectra of PVA, PVA/CNCd, and PVA/CNCd/Ag films.

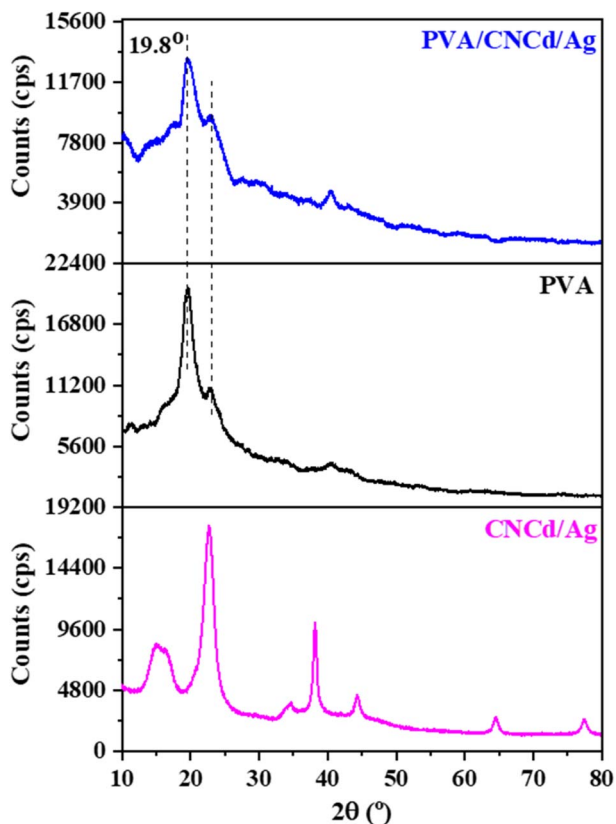


Fig. 6 XRD pattern of CNCd/Ag, PVA, and PVA/CNCd/Ag films.

Fig. 7 also depicts PVA's specific absorption peaks at  $845\text{ cm}^{-1}$  (vibration of C-C),  $1090\text{ cm}^{-1}$  (stretching vibration of C-O),  $1370\text{ cm}^{-1}$  (stretching vibration of C-C), and  $1430\text{ cm}^{-1}$  (deformation vibration -CH in  $-\text{CH}_2$ ). The prominent absorption peak at  $1730\text{ cm}^{-1}$  was characteristic of the C=O bond, which was present in PVA's unhydrolyzed  $-\text{OCOCH}_3$  component. In addition, no visible absorption peaks of CNC and Ag were observed in the FT-IR spectra of the PVA/CNCd and PVA/CNCd/Ag samples, possibly due to the low amount of CNC and Ag present. In addition, when comparing the FT-IR spectra of all three materials, there was no appearance or displacement of the absorption peaks. The FT-IR results indicated that the film components did not make chemical bonds when fabricated using solvent casting.<sup>66,68</sup>

**3.2.2. Thermal properties.** Fig. S2† displays the TGA plot and DTG derivative of PVA, PVA/CNCd, and PVA/CNCd/Ag films. The thermal behavior of PVA and PVA/CNCd was similar based on the TGA plot and the DTG derivative. The thermal characteristics of the PVA were not influenced by the CNCd, perhaps due to the present deficient concentration of CNCd. The initial stage of thermal degradation, occurring at temperatures below  $200\text{ °C}$ , can be attributed to the volatilization of water,<sup>69</sup> resulting in a weight reduction of around 8%. The heat-stable stage of PVA and PVA/CNCd was characterized by a temperature range of  $205\text{ to }285\text{ °C}$ . The second thermal breakdown stage involved the branched-chain decomposition of PVA,<sup>70,71</sup>



which commenced at 285 to 425 °C. The decomposition process peaked at 345 °C, with a maximum decomposition rate of 1.10% per °C. The process exhibited a mass loss of approximately 73%.

The onset of the third thermal breakdown stage occurred at 425 °C, corresponding to the degradation of the primary chain of PVA. During this stage, a mass loss of around 14% was seen, with the highest decomposition temperature recorded at 450 °C. Additionally, the maximum decomposition rate was 0.28% per °C. The charring process commenced at 500 °C, resulting in a residual ash content of around 5%.

The thermal behavior of PVA was observed to have undergone modifications in the PVA/CNCd/Ag sample. The branched-chain decomposition of PVA commenced during the second thermal decomposition stage at 275 °C. The process concluded at a temperature of 425 °C, resulting in a mass reduction of 78%. The highest temperature at which decomposition occurred was 325 °C, and the maximum decomposition rate was observed to be 1.28% per °C. The PVA underwent a primary chain breakdown process, resulting in a mass loss of around 12%. This decomposition process commenced at a temperature of 425 °C, reaching its peak at 450 °C. The maximum decomposition rate observed during this process was 0.29% per °C. The carbonization process commenced at 510 °C, resulting in a residual ash content of around 2%.

The use of Ag served as an effective catalyst and facilitator of heat transfer. Consequently, the branched-chain breakdown of PVA commenced earlier, accompanied by a decrease in both the maximum decomposition temperature and the maximum decomposition rate. Furthermore, the presence of the Ag agent contributed to a more pronounced weight loss during the degradation of two PVA stages, specifically the branching and leading chain of PVA. This reduced the amount of ash in the PVA/CNCd/Ag sample.

The DTA diagram of PVA, PVA/CNCd, and PVA/CNCd/Ag heated from room temperature to 800 °C is depicted in Fig. S3.† There were three prominent endothermic peaks in the DTA results. The endothermic peak between 55 and 240 °C was typical for the melting phase of PVA with a maximal temperature of 200 °C. In addition, at 150 °C, a minor endothermic peak

corresponding to the water evaporation phase in the PVA structure was evident. The endothermic peak at 260–365 °C was characteristic of the branched-chain decomposition of PVA, with intensity decreasing from PVA, PVA/CNCd, and PVA/CNCd/Ag. Due to intermolecular interactions between dense OH groups, PVA had the greatest branched chain-breaking peak strength. CNCd inserted amid the PVA structure reduced the intermolecular interactions of the OH groups between the PVA chains, thereby decreasing the intensity of the PVA branch degrading peak after the addition of the CNCd reinforcement phase.

When Ag was attached to CNCd, the intensity of this exothermic peak continued to decrease because Ag was an exceptional thermal conductor, which made the branched chain decomposition process more favorable. In addition, due to the Ag heat transfer agent, the branch decomposing peak of PVA/CNCd/Ag shifted to 325 °C, whereas the other two samples remained at 345 °C. The endothermic peak between 400 and 500 °C corresponded to the degradation of the PVA main chain at a maximal temperature of 455 °C.

The DSC diagram of PVA, PVA/CNCd, and PVA/CNCd/Ag (Fig. 8) demonstrated that the films' thermal properties were altered in the CNCd and CNCd/Ag dispersion phases. All three films exhibited two endothermic peaks during the heating procedure. The initial endothermic peak of the PVA/CNCd sample, corresponding to water evaporation, shifted toward a higher temperature than the PVA sample. This shift can be attributed to the hydrophilic nature of PVA and CNCd, which established robust hydrogen bonds with H<sub>2</sub>O molecules adsorbed in the film. Therefore, additional heat was necessary to disrupt hydrogen bonds so H<sub>2</sub>O molecules could evaporate. Including Ag in the PVA/CNCd/Ag film decreased the hydrogen interaction between PVA, CNCd, and H<sub>2</sub>O, causing the H<sub>2</sub>O molecules to loosely retain within the film structure. Therefore, the first endothermic peak of the PVA/CNCd/Ag sample shifted to a lower temperature compared to the pure PVA film.

The second endothermic peak, corresponding to the PVA melting process,<sup>71,72</sup> appeared at approximately 200 °C. This peak area decreased when the CNCd and CNCd/Ag were added

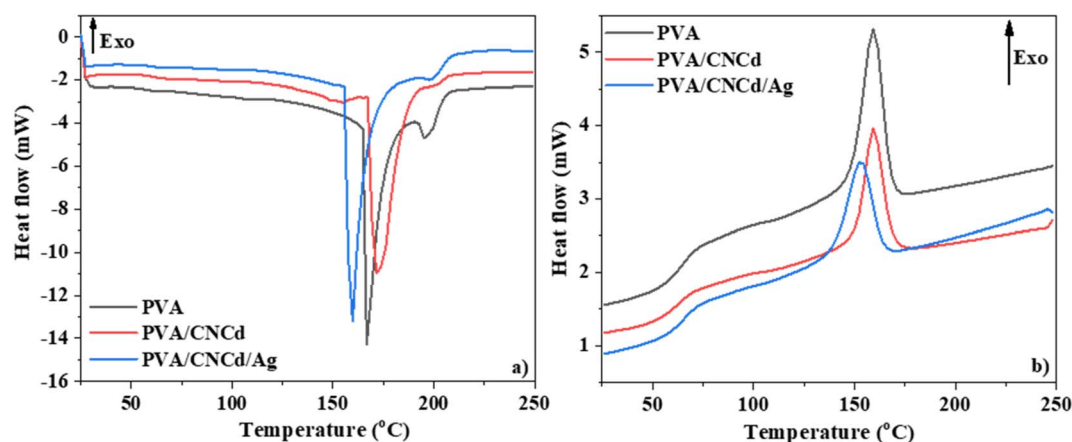


Fig. 8 DSC curves of PVA, PVA/CNCd, and PVA/CNCd/Ag films through (a) heating (b) cooling cycle.



to the PVA/CNCd and PVA/CNCd/Ag samples, compared to the neat PVA sample. The presence of CNCd and CNCd/Ag dispersion phase in the PVA matrix reduced the interaction between PVA chains, destroying the crystalline structure of PVA and reducing the heat required to melt PVA. In addition, Ag was an excellent heat transfer agent, so the amount of heat required to

melt PVA continues to decrease in the presence of Ag in the PVA structure.

During the cooling phase, the influence of CNCd and CNCd/Ag on the crystallization of the PVA matrix was more pronounced (Fig. 8b). PVA, PVA/CNCd, and PVA/CNCd/Ag all exhibited an exothermic peak at a maximal temperature of 160 °C, 153 °C, and 153 °C, respectively. The decreasing peak area between PVA, PVA/CNCd, and PVA/CNCd/Ag demonstrated that the interaction between the CNC and CNCd/Ag dispersed phase and the PVA matrix affects the interaction between PVA chains, making the PVA in PVA/CNCd and PVA/CNCd/Ag more challenging to crystallize than pure PVA.<sup>73</sup>

**3.2.3. UV barrier properties.** Fig. 9 depicts the UV-Vis spectra of PVA, PVA/CNCd, and PVA/CNCd/Ag films. UV-Vis results indicated that all samples had an absorption peak at 280 nm, typical for the PVA absorption region. Additionally, the PVA/CNCd/Ag sample exhibited an absorption peak at 430 nm, characteristic of the Ag absorption region. All three spectra revealed that the transmittances of all three material films were greater than 50% in the visible light region (approximately 380 nm to 720 nm),<sup>74</sup> indicating that the formed materials are suitable for aesthetic applications. PVA/CNCd and PVA/CNCd/Ag films transmitted less than PVA film. The appearance and dispersion of CNCd and CNCd/Ag in the PVA matrix darkened, preventing light from penetrating the PVA/CNCd and PVA/

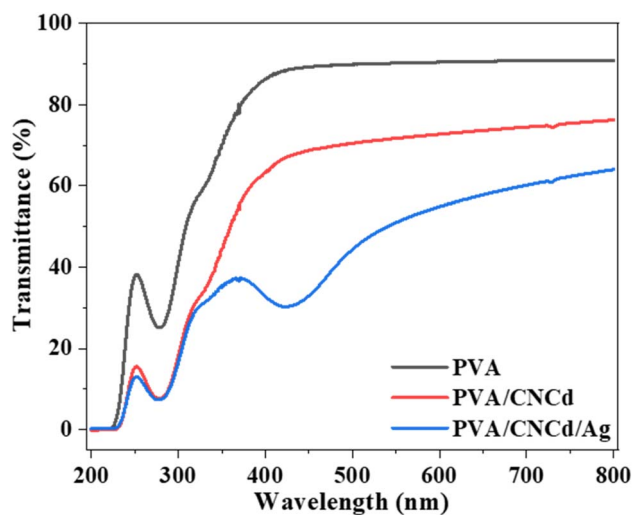


Fig. 9 UV-Vis spectra of PVA, PVA/CNCd, and PVA/CNCd/Ag films.

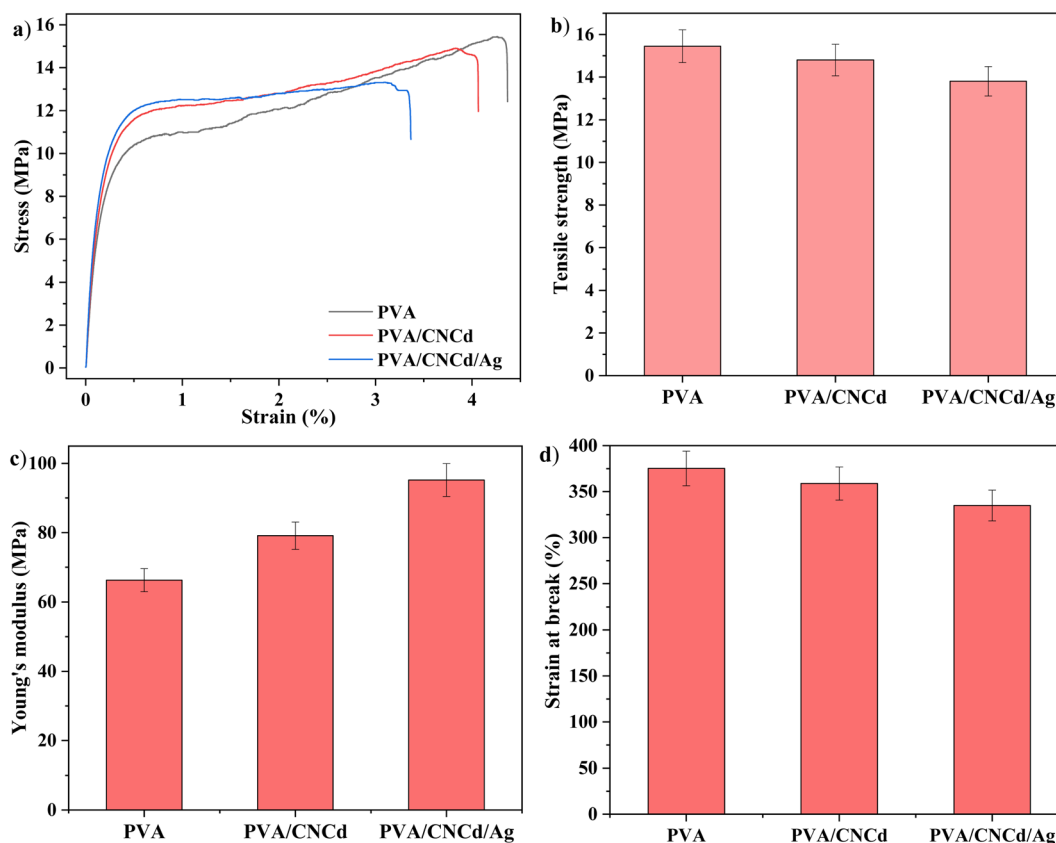


Fig. 10 (a) Stress–strain curves, (b) tensile strength, (c) Young's modulus, and (d) strain at break of prepared films. Values that share at least one common letter are not significantly different ( $p < 0.05$ ).



CNCd/Ag films. Therefore, the UV-Vis transmittance of these two films was less than that of pure PVA films.

**3.2.4. Mechanical properties.** Fig. 10 depicts the stress-strain curves of the PVA, PVA/CNCd, and PVA/CNCd/Ag films. In general, the mechanical behavior of all three samples was identical. The stress experienced rapid development during the initial phase while the strain remained relatively low. This can be attributed to the PVA chains bearing the tensile force, causing a rotational configuration shift in the direction of the applied force. During the subsequent phase, there was a notable escalation in elongation, which can be attributed to the parallel alignment of PVA chains, facilitating their sliding motion relative to one another. The stress experienced during this stage was heightened due to the close arrangement of PVA chains, resulting in increased intermolecular interactions among the polymer chains. Conversely, the C-C bonds undergo elongation to modify the bond angle, resulting in bond cleavage and subsequent elevation of stress levels.

The tensile strength and elongation of the PVA/CNCd and PVA/CNCd/Ag films decreased in the presence of the CNCd and CNCd/Ag reinforced phases, while the Young modulus increased. In order to absorb mechanical energy, these reinforcement phases were disseminated throughout the polymer matrix. Fig. 10 demonstrated that the PVA/CNCd/Ag modulus was 1.38 times that of pure PVA. However, CNC and CNCd/Ag were dispersed in the PVA matrix, making the PVA chains less flexible, making it difficult to rotate the configuration, creating

obstacles for the polymer chain, making the polymer chain simple to break, and resulting in a decrease in tensile strength and elongation at break.

**3.2.5. Banana preservation by PVA/CNCd/Ag biocomposite film.** Daily observations of banana samples at room temperature after coating were made (Fig. 11). The results indicated that the uncoated control sample had ripened by the third day. In contrast, the banana samples coated with film remained green. The control sample ripened and darkened to a certain extent after five days, whereas the PVA-coated sample started to ripen. In contrast, the banana samples coated with PVA/CNCd and PVA/CNCd/Ag remained green. The control banana sample had completely darkened and sustained damage by the seventh day. The stem tip of the PVA-coated sample had darkened, while the two banana samples coated with PVA/CNCd and PVA/CNCd/Ag exhibited maturation. The control banana sample was deemed unfit for further observation due to its damaged state. The outcomes of the three samples monitored until the ninth day were as follows: the sample coated with PVA exhibited a more pronounced discoloration. The PVA-coated sample, on the other hand, exhibited indications of more pronounced darkening.

In contrast, the PVA/CNCd sample displayed indications of wilting and incomplete ripening (yellow coloration accompanied by green patches). The PVA/CNCd/Ag-coated samples matured, evolved a yellow hue, and remained unblemished. On the eleventh day of observation, bananas coated with PVA/CNCd

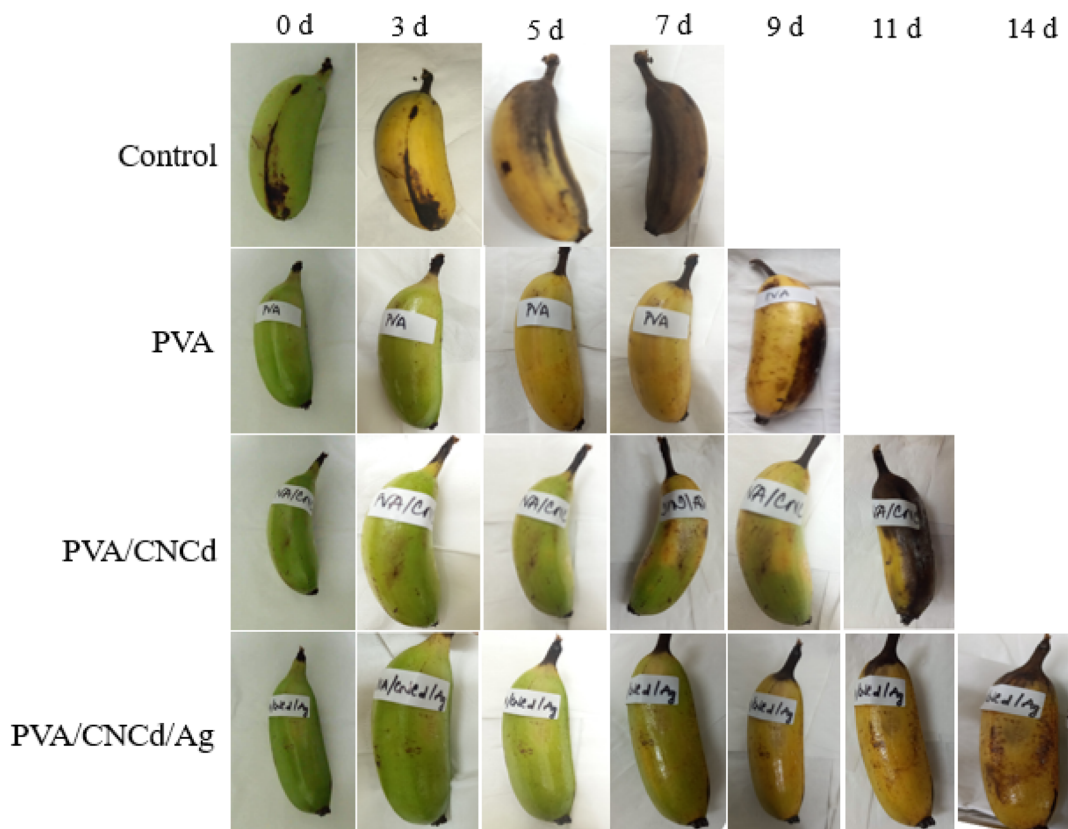


Fig. 11 Changes in appearance of bananas on different days (0, 3, 5, 7, 9, 11, and 14 days).



exhibited darkening symptoms. In contrast, those coated with PVA/CNCd/Ag maintained their yellow coloration and did not undergo wilting or darkening. On day fourteen, the PVA/CNCd/Ag sample remained the only one under observation, indicating that it had matured; however, the fruit began to develop bruises.

On day fourteen, the final sample was observed; however, indications of darkening prompted the cessation of the observation and the addition of additional fruit. The control sample was utterly degraded, and the banana meat turned dark after seven days of storage. In contrast, PVA/CNCd/Ag preserved bananas for fourteen days, and the banana meat remained utterly ordinary.

The observed outcomes were accounted for by inhibiting the banana's metabolism caused by the PVA coating, which slowed the maturation process compared to uncoated samples. Incorporating the dispersed phase CNCd into the PVA structure resulted in the partial filling of the voids with CNCd, which aided in inhibiting the metabolic process and slowed the maturation of fruits coated with PVA/CNCd.<sup>75–78</sup> In contrast, the fruit was preserved for a maximum of fourteen days with the PVA/CNCd/Ag coating due to the antibacterial and antifungal properties of CNCd/Ag, which prevented fruit damage and enhanced the presence of a dispersed phase that impeded the exchange of gases and substances between the fruit and its surroundings, thereby extending the fruit's preservation time.

## 4. Conclusion

For this study, silver (Ag) was loaded onto a deprotonated CNC carrier (CNCd) and utilized as a strengthening component for polyvinyl alcohol (PVA) in the production of PVA/CNCd/Ag biocomposite film. The results showed a uniform distribution of Ag nanoparticles measuring around 100 nm in diameter on the surface of CNCd. The addition of CNCd/Ag in the PVA matrix phase resulted in significant changes to the thermal stability, optical properties, and mechanical properties of the PVA/CNCd/Ag film compared to the pure PVA film. The composite film made from PVA, CNCd, and Ag can slow down the decay of bananas and extend their shelf life. These findings imply that while the PVA/CNCd/Ag film contributes to the preservation and extension of the shelf-life of food, additional characteristics of the film, such as water vapor transmission rate, moisture retention capability, and antimicrobial efficacy, must be thoroughly evaluated prior to the utilization of the films produced at varied ingredient proportions for food packaging. Furthermore, further safety considerations regarding the released Ag NPs must be considered when employing them as direct materials for food packaging.

## Data availability

Data will be made available on request.

## Author contributions

Long Hoang Nguyen: investigation, writing – original draft preparation. Trang Thanh Tran: formal analysis. Thanh-My T.

Nguyen: formal analysis. Kim-Phung L. Nguyen: resources. Hieu Van Le: writing – review & editing. An Nang Vu: conceptualization, validation, supervision.

## Conflicts of interest

The authors report no declarations of interest.

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