



Cite this: RSC Adv., 2024, 14, 23215

## The effects of oxygen flow ratio on the properties of $\text{Ag}_x\text{O}$ thin films grown by radio frequency magnetron sputtering †

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The  $\text{Ag}_x\text{O}$  thin film with various oxygen flow ratios ( $R[\text{O}_2]\%$ ) deposited by radio frequency magnetron sputtering (RFM-SPT) has been studied. While adjusting  $R[\text{O}_2]\%$  from 0% to 30%, the  $\text{Ag}_x\text{O}$  thin film transitioned from metal to semiconductor and/or insulator with different transparent appearances on the surface observed using X-ray diffraction (XRD) and transmittance measurement. At high oxygen flow ratios, the  $\text{Ag}_x\text{O}$  film is multi-phased as a mixture of  $\text{Ag}^{(II)}\text{O}$  and  $\text{Ag}_2^{(III)}\text{O}_3$ . In addition, the work function ( $\phi$ ) of those samples changes from 4.7 eV to 5.6 eV as measured by photoelectron yield spectroscopy (PYS). The compositional and chemical state changes that occur at the  $\text{Ag}_x\text{O}$  surface during the increments of  $R[\text{O}_2]\%$  are evaluated by the relative peak intensities and binding energy shifts in X-ray photoelectron spectroscopy (XPS). With the incorporation of more electrons in chemical bonding, the oxygen-induced band forms. And combining all the results from transmittance (band gap confirmation), PYS (work function confirmation), and XPS (valence band position confirmation), the estimated band diagrams are given for the oxidation state of  $\text{Ag}_x\text{O}$  with various oxygen flow ratios.

Received 3rd April 2024

Accepted 28th June 2024

DOI: 10.1039/d4ra02039a

rsc.li/rsc-advances

### 1. Introduction

Oxide-based thin film properties are highly beneficial in various technological applications including transparent conductive films, gas sensors, LEDs, transparent electronic devices, and solar cells, among others. Thus, to obtain the adjustable properties of semiconductor materials, precise control of the extent of oxidation of thin film during the synthesis process is important for the electronics industry.<sup>1–3</sup>

According to previous investigations, silver oxide ( $\text{Ag}_x\text{O}$ ) is a transparent material in wavelengths ranging from the infrared region to the visible region with an optical band gap in the range of 1.2–3.1 eV. Whereas, silver (Ag) is a good reflective coating for applications in the optoelectrical field,<sup>4</sup>  $\text{Ag}_x\text{O}$  is preferable for use in battery electrodes as it performs better in voltage regulation and enhances storage life.<sup>5,6</sup> The work function can reach roughly 5.3 eV with  $\text{O}_2$  plasma or UV-ozone treatment of Ag anodes.<sup>7,8</sup> In particular,  $\text{Ag}_2\text{O}$  films in general are reported to show p-type semiconducting properties.<sup>9–11</sup>  $\text{Ag}_x\text{O}$

has attracted attention as an air electrode in fuel cells and metal-air batteries because of its relatively low Ag–O bonding energy (221 kJ mol<sup>–1</sup>)<sup>12–17</sup> enabling it to interact with oxygen reactants and their intermediates without excessively covering the catalyst surface. However, previous studies<sup>7,18</sup> have revealed that the instability of  $\text{Ag}_x\text{O}$  resulting from heating is in the transition of its composition, crystallinity, refractive index, and other properties. Furthermore, silver oxides ( $\text{AgO}$ ,  $\text{Ag}_2\text{O}$ ) desorb oxygen at low temperatures ( $\sim 200$  °C),<sup>18</sup> in consequence, a low-temperature film fabrication process is required to form  $\text{Ag}_x\text{O}$  thin films.

Sophisticated growth methods for Ag or  $\text{Ag}_x\text{O}$  thin film depositions are well developed, including chemical bath deposition (CBD),<sup>10</sup> dry chemical route at room temperature,<sup>11</sup> electron beam (EB) evaporation,<sup>18,19</sup> microwave sputtering,<sup>20</sup> and DC magnetron sputtering.<sup>21–24</sup> In addition, partially oxidized  $\text{Ag}_x\text{O}$  films grown *via* reactive sputtering were applied for the fabrication of  $\text{Ag}_x\text{O}/\text{ZnO}$  Schottky diodes (SBDs),<sup>25</sup>  $\text{Ag}_x\text{O}/\alpha\text{-Ga}_2\text{O}_3$  metal semiconductor field effect transistor (MESFET),<sup>26</sup>  $\text{Ag}_x\text{O}/\text{IGZO}$  SBDs,<sup>27</sup> and  $\text{Ag}_x\text{O}/\text{ZnMgO}$  Heterojunction diodes (HJDs).<sup>28</sup> Those applications of  $\text{Ag}_x\text{O}$  thin films were proven to improve the barrier height in the interface region of the heterojunction. Indeed, the reactive oxygen might have the capacity to provide O-rich ambient healing of the interface defects or states, for during the sputtering deposition process Ag ion/plasma would combine with reactive oxygen (oxygen plasma, oxygen radicals). Hence, the purpose of this work is to investigate different oxygen flow ratios of  $R[\text{O}_2]\% = [\text{O}_2]/(\text{O}_2 + \text{Ar})\%$

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d4ra02039a>



effects on the  $\text{Ag}_x\text{O}$  film properties grown by the radio frequency magnetron sputtering (RFM-SPT) deposition method.

## 2. Experimental methods

Ag and  $\text{Ag}_x\text{O}$  films were deposited at room temperature (RT) on quartz substrates which were placed vertically above the Ag target (99.99% purity, 3 inches in diameter) at an appropriate distance of 20 cm by introducing the excited gas mixture of Ar without/with  $\text{O}_2$  via a radio frequency magnetron sputtering (RFM-SPT) deposition system. Prior to the film deposition, the reaction chamber was evacuated to a base pressure below  $1 \times 10^{-4}$  Pa. During film deposition, working pressure was maintained at 0.3 Pa. The total flow rate ( $[\text{O}_2 + \text{Ar}]$ ) was set at 10 sccm, and the oxygen flow ratio ( $R[\text{O}_2]\% = [\text{O}_2/(\text{O}_2 + \text{Ar})]\%$ ) was changed from 0% to 30% while the power supply was fixed at 40 W, and the reflected power was controlled in the range of 0.5–1 W during the deposition process. Each quartz substrate was ultrasonically precleaned for 2 min in acetone, isopropyl alcohol, and deionized (D. I.) water, respectively, and was then dried using an  $\text{N}_2$  gas gun. Before checking the growth rate, the pretest sample preparation was operated for maintaining the chamber ambient and also for removing the top oxidized layer of the Ag target, after which the thin film with target thickness was prepared accordingly. Details of the deposition conditions are summarized in Table 1.

The crystal structure was analyzed by grazing incident X-ray diffraction (GIXD) spectra using  $\text{CuK}\alpha$  radiation (Rigaku Corp., Smart Lab, X-ray wavelength  $\lambda = 1.5418 \text{ \AA}$ , incident angle  $0.35^\circ$ ). When specifying the material, information on each crystal was obtained from the works of literature,<sup>29–34</sup> the angle at which the specific X-ray diffraction of Cu was determined, and the measurement results were compared with the theoretical diffraction angle, identifying the material. The thickness of the obtained coatings was measured by a step profiler in advance of the growth rate checking, and after that, the formal deposition with target thickness was operated. The surface morphology was characterized by scanning electron microscopy (SEM) (Hitachi SU8020) with an acceleration voltage of 5 to 15 keV. The optical transmittance of Ag and  $\text{Ag}_x\text{O}$  films was measured in the range from 200 nm to 2500 nm using a UV-visible spectrometer (Hitachi UV-vis U-4100). Afterward, the band gaps were calculated and confirmed by the Tauc plot. The Tauc plot was built on the data extracted from the transformation of transmittance spectra into absorption spectra. The resistances were measured by the resistivity measuring system (MITSUBISHI Chemical Corp., Hiressta-Up MCP-HT450). The work function of 150 nm thick Ag and  $\text{Ag}_x\text{O}$  films on the quartz substrate was determined by photoelectron yield spectroscopy (PYS) (BUNKOKEIKI

Corp., BIP-KV202GD/UVT) in a vacuum at room temperature. X-ray photoelectron spectroscopy (XPS) (Ulvac-Phi ESCA 5500 A) was used to investigate the chemical states of Ag and  $\text{Ag}_x\text{O}$  films, and a monochromatic Al  $K\alpha$  (1486.6 eV) X-ray source was operated at 96 W and 12 kV at room temperature below  $3.0 \times 10^{-8}$  Pa. The binding energies in the XPS spectra were calibrated by the peak position of C 1s spectra appearing at 284.8 eV for adventitious carbon surface contamination.<sup>35</sup>

## 3. Results and discussion

Under vacuum ambient,  $\text{Ag}_x\text{O}$  films were deposited on quartz in various oxygen flow ratios ( $R[\text{O}_2]\%$ ). Photos showing the appearance of  $\text{Ag}_x\text{O}$ , which had lengthy exposure to the atmosphere and was put on top of the illuminated screen, are shown in Fig. 1 (a) 150 nm and (b) 50 nm. At the same light illumination,  $\text{Ag}_x\text{O}$  samples present different transparency colors, for the increment of  $R[\text{O}_2]\%$ . The color-changing tendency is the same for 150 nm and 50 nm films. More remarkable changes could be seen in (a) 150 nm samples; as  $\text{Ag}_x\text{O}$  film with  $R[\text{O}_2]\% = 0\%$  presented a silvery metallic color and 10% showed a brown dark color blocking light from passing through. But after increasing  $R[\text{O}_2]\%$  from 16% to 30%, all the  $\text{Ag}_x\text{O}$  films showed lighter brown-grey colors except for  $R[\text{O}_2]\% = 17\%$  which presented a yellow color. It can be seen that the appearance changes significantly in relation to the flow ratio of oxygen (the change of  $x$  value), and the color-changing tendency follows in 4 categories of oxygen flow ratio: 0%, 10%, below 17%, and above 17%.

The influences of  $R[\text{O}_2]\%$  on crystal structure were investigated via GIXD spectra with the thickness of (a) 150 nm and (b) 50 nm as shown in Fig. 2. Focusing on the samples of  $R[\text{O}_2]\% = 0\%$ , the Ag-related diffraction peaks are detected as: (111), (200), (220), and (311), respectively in both 150 nm and 50 nm

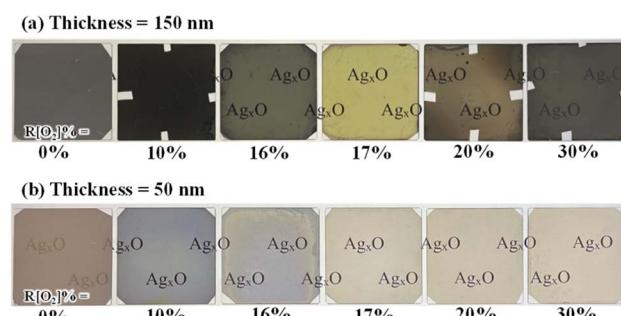


Fig. 1  $\text{Ag}_x\text{O}$  samples appearance photos comparison with various  $R[\text{O}_2]\%$  (a) thickness is 150 nm (b) thickness is 50 nm.

Table 1 RFM-SPT deposition conditions for  $\text{Ag}_x\text{O}$  films

Target	$R[\text{O}_2]\%$	Pressure (Pa)	Power (W)	Thickness (nm)	Growth time (min)
Ag	0–30	~0.3	40	50 150	≤ 5 ≤ 20



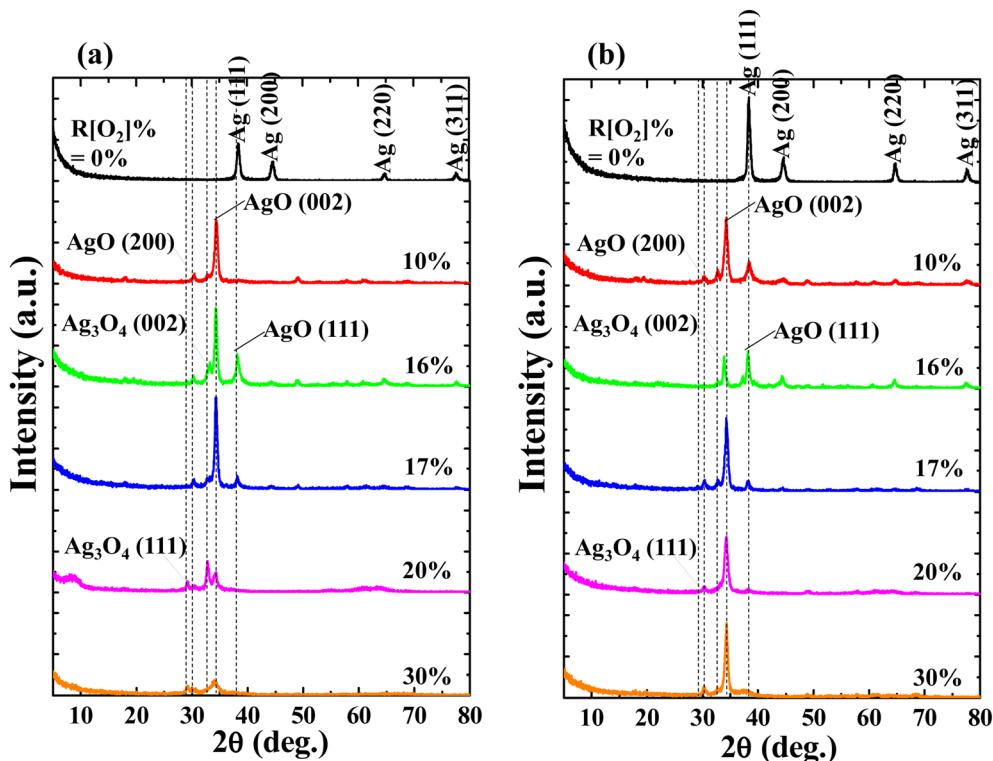


Fig. 2 Influence of  $R[O_2]\%$  on GIXD of  $Ag_xO$  films (a) 150 nm (b) 50 nm.

thickness samples. The relative intensity of the  $Ag$  (111) diffraction peak is significantly higher than others. After introducing oxygen into the depositions, all the diffraction peaks of  $Ag$  were diminished.  $Ag$  cations started to bond with oxygen ions forming transparent  $Ag_xO$  films. For the low oxygen flow ratios of  $R[O_2]\% = 10\%$  to  $17\%$ , the dominated diffraction peak at  $2\theta = 34.52^\circ$  was confirmed as the representation of  $AgO$  (002) peak,<sup>36,37</sup> and its intensity is properly proportional to the increment of  $R[O_2]\%$ . However, when  $R[O_2]\%$  exceeded  $20\%$ , the intensities of  $AgO$  (002) were reduced. On the other hand, the other two related  $AgO$  peaks of (200) and (111) were detected at  $2\theta = 30.2^\circ$  and  $38.3^\circ$  from these measured samples.  $AgO$  (200) had a relatively weak signal with constant intensity, and the  $AgO$  (111) only presented as  $R[O_2]\% = 16\%$  and  $17\%$  with decreased intensity. No  $Ag_2O$  peaks were detected in these depositions.<sup>38-40</sup> At the same time, another small shoulder-shaped diffraction peak adjacent to  $AgO$  (002) diffraction peak was determined as the  $Ag_3O_4$  (002) diffraction peak<sup>41,42</sup> without regular tendency of intensities of increment of  $R[O_2]\%$ . After  $R[O_2]\%$  increased to  $20\%$  and  $30\%$ , another related to the  $Ag_3O_4$  diffraction peak (111) was presented at  $2\theta = 29^\circ$  with weak constant intensity. Other studies<sup>43-45</sup> have reported that the dissociation of  $Ag_3O_4$  ( $2Ag_3O_{4(s)} \rightarrow 6AgO_{(s)} + O_{2(g)}$ ) readily happen even at room temperature, and  $Ag_3O_4$  crystal grains end up as randomly distributed between the  $Ag^{(II)}O$  and  $Ag_2^{(III)}O_3$ . Thus at high  $R[O_2]\%$ , the  $Ag_xO$  grains are considered as a mixture of two or more individual oxides of  $Ag^{(II)}O$  and  $Ag_2^{(III)}O_3$ .<sup>37,46-48</sup>

In addition, comparing the samples with different thicknesses prepared at the same  $R[O_2]\%$ , it was found that the

confirmed diffraction peaks appeared at the same  $2\theta$  position with slightly different intensities, but  $Ag_3O_4$  (111) peak is not mainly presented in the 50 nm sample, and  $AgO$  (002) peak appeared as the dominant peak at any oxygen flow ratios.

The surface morphology images of  $Ag_xO$  (a) 150 nm and (b) 50 nm are shown in Fig. 3. Without oxygen, the  $Ag$  films of both the 150 nm and 50 nm thickness samples showed good smooth top surfaces. After introducing oxygen, the grain shape presented a spherical grain morphology and the grain size became smaller, and the white color particles were characterized by a spherical grain morphology on top of the surface. In the meantime, we can see that the  $Ag_xO$  films become much looser and polyporous as the increment of  $R[O_2]\%$  from  $20\%$  to  $30\%$ , indicating that the surface relocation of silver atoms had increased and the 3-dimensional crystal growth formed at high oxygen flow ratios.

The variations in transmittance with the wavelength of different  $R[O_2]\%$  of 150 nm  $Ag_xO$  films are shown in Fig. 4(a). It can be seen that the transmittances between the  $R[O_2]\%$  of  $10\%$  and  $16\%$  increased in the longer wavelengths. The absorption edges were narrowly blue-shifted between  $R[O_2]\%$  of  $10-17\%$ , but after  $R[O_2]\%$  increased to  $20\%$  and  $30\%$  the transmittances were decent and the absorption edges were red-shifted in a certain range. The relation between the optical absorption coefficient  $\alpha$  of a direct band gap ( $E_G$ ) semiconductor near the band edge and the photon energy  $h\nu$  is given by the following eqn (1):<sup>49,50</sup>

$$\alpha h\nu = A(h\nu - E_G)^{1/2} \quad (1)$$

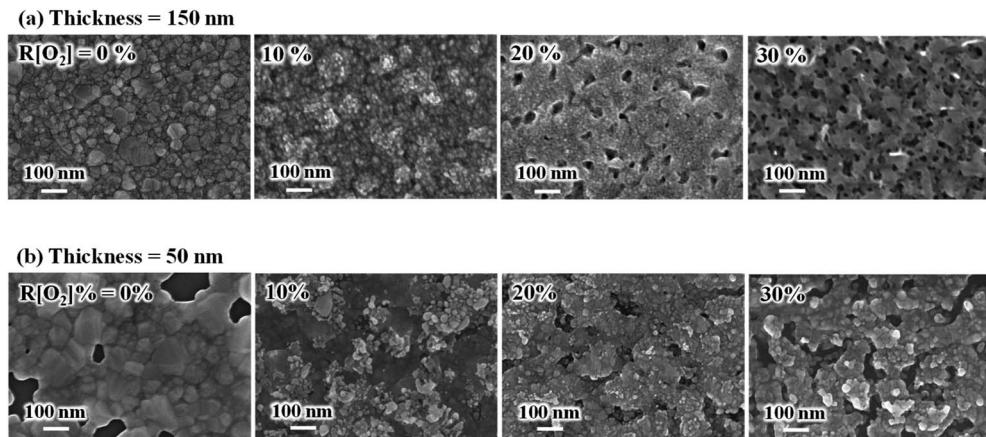


Fig. 3 Influence of  $R[O_2]\%$  on the surface morphology of  $Ag_xO$  films (a) 150 nm (b) 50 nm.

where  $A$  is a constant value. The film transmittance ( $T$ ) around the absorption edge is approximated as  $\exp(-\alpha t)$ , where  $t$  is the film thickness. By then plotting  $(\alpha h\nu)^2$  versus photon energy  $h\nu$ , the band gap  $E_G$  can be confirmed by linear extrapolations of absorption region. In addition, referring to the data from GIXD results, knew that 150 nm samples had present mixture crystals in certain conditions. Shown in Fig. 4(b) is the band gap evaluation of 150 nm thickness  $Ag_xO$  samples. The optical band gaps ( $E_{G1}$ ) widened from 1.2 to 2.5 eV as  $R[O_2]\%$  increased from 10% to 17%. After the  $R[O_2]\%$  increased to 20% and 30%, the band gap decreased. Meanwhile, the observation from Fig. 4(b) of the absorption edges curve along with different trends indicates that the  $Ag_xO$  grains were a mixture of two individual oxides. When magnifying into the smaller range of absorption region as shown in the inset of Fig. 4(b), the other band gaps ( $E_{G2}$ ) value could be confirmed. And the resistivity ( $\rho$ ) was also evaluated which had a similar changing tendency to that of

band gaps confirmed variations with the increment of oxygen flow ratios, that is as  $R[O_2]\% = 10\text{--}16\%$   $\rho$  increased from  $6.3 \times 10^{-5} \Omega \cdot cm$  to  $8.6 \times 10^5 \Omega \cdot cm$  and as  $R[O_2]\% = 17\text{--}30\%$   $\rho$  slightly decreased but almost stabilized as  $10^6 \Omega \cdot cm$ . Whereas the thickness of the films affected the optical evaluation, we decided to evaluate thinner samples with the thickness of 50 nm again.

Fig. 5 shows the optical properties of 50 nm  $Ag_xO$  films, which were more transparent from the observation of Fig. 1(b), thus they had higher transmittance with comparing 150 nm samples at the same  $R[O_2]\%$  as shown in Fig. 5(a). Band gaps of 50 nm samples could be precisely confirmed for its crystal structure tend to be dominated only by  $AgO$  (002) peak, and widened from 0.8 to 1.7 eV with increasing  $R[O_2]\%$  from 10% to 17%. Meanwhile, from Fig. 4(c) and 5(c) the observation is that the resistivity ( $\rho$ ) reached the summit value as  $R[O_2]\% = 20\%$  of 150 nm  $Ag_xO$  films:  $\rho = 3.2 \times 10^6 \Omega \cdot cm$  and as  $R[O_2]\% = 16\%$  of

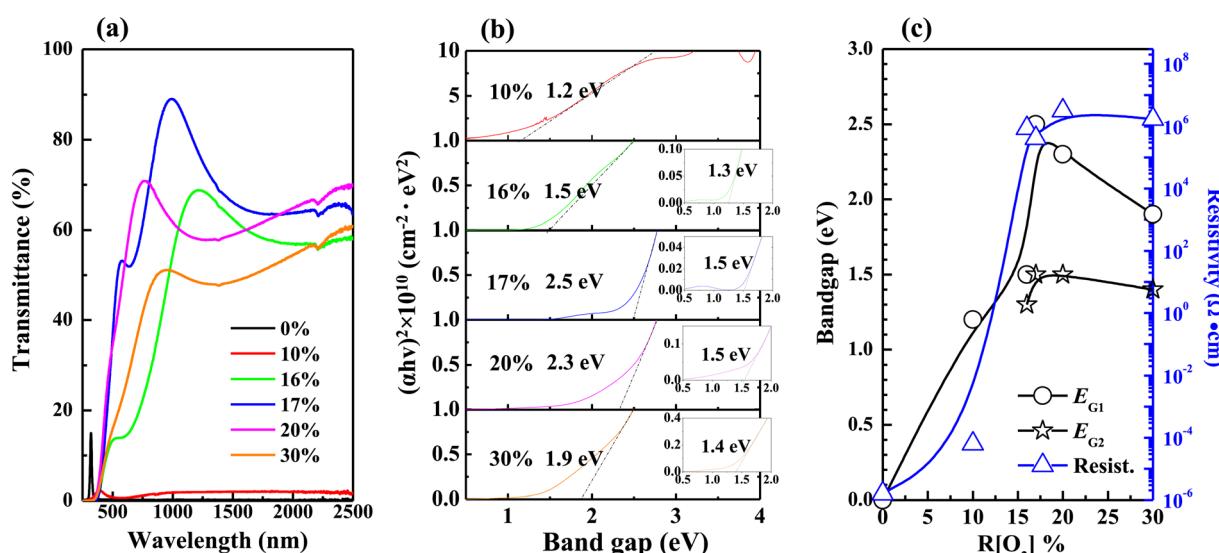


Fig. 4 Variations in (a) transmittance (b) band gaps were extracted from the linear extrapolations of thickness 150 nm samples (c) band gaps and resistivity as a function of  $R[O_2]\%$ .



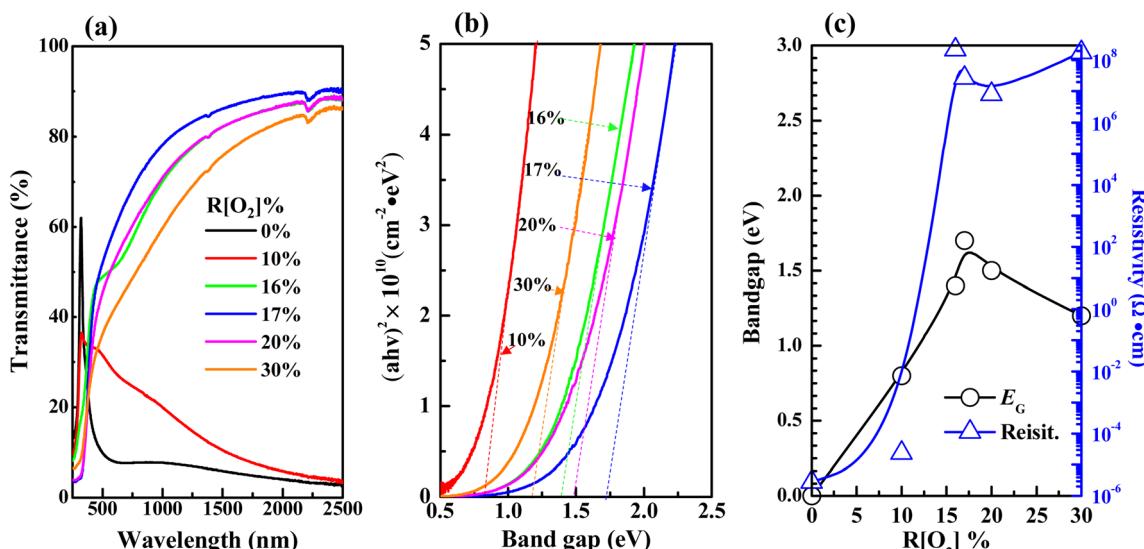


Fig. 5 Variations in (a) transmittance (b) band gaps were extracted from the linear extrapolations of thickness 50 nm samples (c) band gaps and resistivity with different  $R[O_2]\%$ .

50 nm  $Ag_xO$  films:  $\rho = 2.2 \times 10^8 \Omega \cdot cm$ . The resistivity of 50 nm samples is 2 magnitudes higher than that of 150 nm samples. The band gap reached the summit value as  $R[O_2]\% = 17\%$  of 150 nm  $Ag_xO$  films:  $E_G = 2.5$  eV and 1.5 eV, and as  $R[O_2]\% = 17\%$  of 50 nm  $Ag_xO$  films:  $E_G = 1.7$  eV. These results might be interpreted as that after  $R[O_2]\%$  exceeded 17% provided O ions sufficiency ambient in terms of the formation of the higher chemical state of Ag cations, which in the case of 150 nm depositions of  $Ag_xO$  formed by sub-stabilized  $Ag_3O_4$  crystals.

Afterward, all the measurements and analyses were based on the 150 nm thickness  $Ag_xO$  sample. The variations in PYS spectra of  $Ag_xO$  films depending on the  $R[O_2]\%$  are presented in Fig. 6 (a) full scan range and (b) magnification of small range for determining the work function of Ag and the ionization energy

of  $Ag_xO$ . The monochromatized photon from  $D_2$  (30 W) lamp is used as the excitation light. The density of yield photoelectrons ( $Y$ ) of  $Ag_xO$  samples is detected by irradiated  $D_2$  light with incremental photon energy ( $hv$ ) scan from 4 eV to 9.5 eV, which is thought to be proportional to the square root in the surface area of  $hv$  over the threshold ionization energy ( $I_{th}$ ) which is equal to the energy difference  $E_0 - E_F$  for Ag, or  $E_0 - E_v$  for  $Ag_xO$ , where  $E_0$  is vacuum level,  $E_F$  is Fermi level,  $E_v$  is valence band energy.  $I_{th}$  was evaluated through the following equation  $Y \propto (hv - I_{th})^{1/2}$  (ref. 51 and 52) with plotting the  $hv$  versus  $Y^{1/2}$  spectra then by linear extrapolations of  $Y^{1/2}$ .

In the certain range of increasing  $R[O_2]\%$ , the ionization energy of  $Ag_xO$  tended to be high energy shifting of the spectra approaching  $R[O_2]\% = 10\%$  obtained approximately 6.2 eV and by increasing  $R[O_2]\%$  to 16% shifted to 6.5 eV. However, when  $R[O_2]\%$  is 17%, the yield of photoelectrons was not monotonically increasing with increasing  $hv$  and the ionization energy seems to be shifted in the opposite direction reaching to 6.4 eV. This may be attributed to the highest resistivity of 17%  $Ag_xO$  sample with mere electrons so that the 0.1 seconds waiting time yielded a lower density of photoelectrons, and  $R[O_2]\% = 16\%$  sample had similar abnormal data. And after  $R[O_2]\%$  increased to 30%, its ionization energy continued shifting to high energy at 6.8 eV and there was no abnormal behavior of the yield of photoelectron as  $R[O_2]\% = 30\%$ .

The XPS survey spectrum obtained from the  $R[O_2]\% = 17\%$  of  $Ag_xO$  film is shown in Fig. 7. The major elements and the minor elements represent peaks in this survey spectrum including the Ag 2p, Ag 3d<sub>3/2</sub>, Ag 3d<sub>5/2</sub>, Ag 4s, and Ag 4p peaks, the O 1s peak and the C 1s peak, and the Ag 5s & Ag 4d peak. The specific information of C 1s, Ag 3d<sub>5/2</sub> and O 1s spectra about the peak assignments and chemical species correspond to different Ag–O compounds with the comparison of the increment of  $R[O_2]\%$  from 0% to 30% obtained by examining the high-

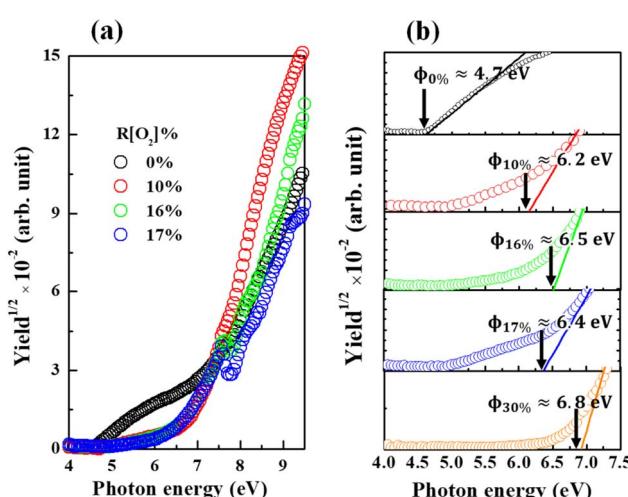


Fig. 6 PYS spectra of  $Ag_xO$  films with various  $R[O_2]\%$  (a) full range (b) magnification of small range with linear extrapolation to determine work function.



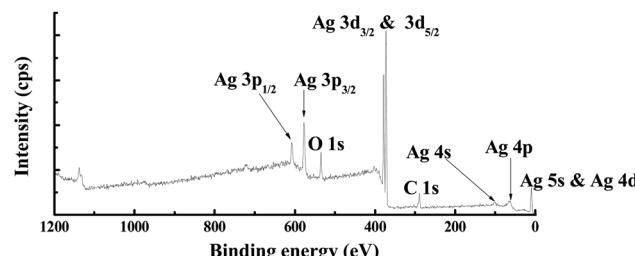


Fig. 7 XPS survey spectrum obtained from the  $R[\text{O}_2]\% = 17\%$   $\text{Ag}_x\text{O}$  sample.

resolution XPS spectra are shown in Fig. 8(a–c), respectively. And on the right side of Fig. 8 is the normalized value.

The binding energies are calibrated to the C 1s peak at 284.8 eV using charging correction and XPS analyzer techniques. Afterwards, the Ag 3d<sub>5/2</sub>, O 1s, and C 1s spectra were fitted with Gaussian curves. Referring to several previous published XPS studies for  $\text{Ag}_x\text{O}$ ,<sup>53–58</sup> the binding energies of C 1s, Ag 3d<sub>5/2</sub> and O 1s photoelectrons associated with different silver compounds and their chemical shifting values of various  $R[\text{O}_2]\%$  are given in Tables 2 and 3.

The C 1s spectra of  $\text{Ag}_x\text{O}$  films with various  $R[\text{O}_2]\%$  are shown in Fig. 8(a). There are two distinct peaks shown in these spectra of the assignments at 284.8 eV because of adsorbed hydrocarbons and at  $288.4 \pm 0.02$  eV attributed to the surface carbonate.<sup>59–61</sup> With increments of the  $R[\text{O}_2]\%$  from 0% to 30%, the peak positions were shifted by 1 eV towards the high energy

Table 2 Binding energies and chemical species of C 1s spectra

Peak assignment	C 1s
C-metal	282.2
C	284.8
Carbonate	288.4

direction from 287.4 eV to 288.4 eV, in the peaks of surface carbonate their intensities also increased with increasing  $R[\text{O}_2]\%$ . From the GIXD data, we determined that with the increments of  $R[\text{O}_2]\%$ , the dominant compositions of  $\text{Ag}_x\text{O}$  were presumably changed from  $\text{Ag}^{2+}$  to the mixture of randomly distributed  $\text{Ag}^{2+}$  and  $\text{Ag}^{3+}$ . This may be caused by the surface  $\text{Ag}^{3+}$ -adhered carbonate having higher binding energy than the surface  $\text{Ag}^{2+}$ -adhered carbonate. However, for  $R[\text{O}_2]\%$  increment of 30%, the extraordinary peak appears as the third peak at 282.2 eV with significant intensity, which can be expected to be C–Ag bonds from previous studies.<sup>62,63</sup>

The Ag 3d<sub>5/2</sub> and O 1s spectra of  $\text{Ag}_x\text{O}$  with various  $R[\text{O}_2]\%$  are shown in Fig. 8(b and c). While the  $R[\text{O}_2]\% = 0\%$ , the binding energies of Ag thin film can be observed at 368.4 eV of Ag peak in Ag 3d<sub>5/2</sub> and at  $530.5 \pm 0.02$  eV of  $\text{Ag}_x\text{O}$ -related peak in the O 1s spectra. It is postulated that the  $\text{Ag}_x\text{O}$ -related peak obtained from the Ag metal sample may be caused by the influence of the oxidized layer on the surface during atmospheric exposure. As evidence, the intensity of the O 1s peaks of the metallic Ag thin film is less than that of the corresponding peaks in Ag 3d<sub>5/2</sub>. And there are small peaks present at the

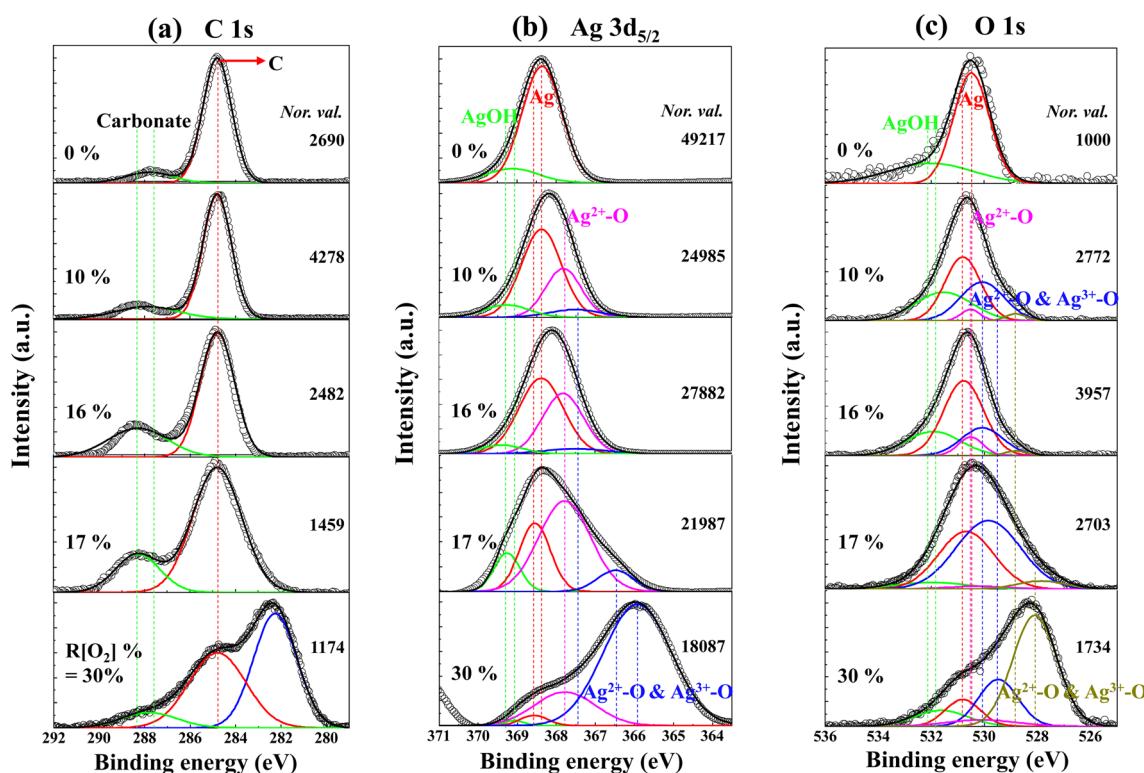


Fig. 8 Measured HXPS data for (a) C 1s (b) Ag 3d<sub>5/2</sub> (c) O 1s spectra of  $\text{Ag}_x\text{O}$  films with various  $R[\text{O}_2]\%$ .



Table 3 Binding energies and chemical shifts for different  $\text{Ag}_x\text{O}$  compounds

Peak assignment	$\text{Ag } 3\text{d}_{5/2}$	$\text{O } 1\text{s}$
$\text{Ag}_3\text{O}_4$	366.2	528.5
$\text{Ag}_3\text{O}_4$	367.5	530.2
$\text{AgO}$	367.7	530.5
$\text{Ag}$	368.4	530.5
$\text{AgOH}$	369.3	532

higher binding energy side of both  $\text{Ag } 3\text{d}_{5/2}$  and  $\text{O } 1\text{s}$  spectra corresponding to 368.9 eV and 532 eV, respectively. This might indicate the presence of hydroxy groups of  $\text{AgOH}$  and they are most likely formed by the absorption of  $\text{H}_2\text{O}$  during exposure to air. However,  $\text{AgOH}$  is not stable above 228 K,<sup>53,57</sup> so it may be impossible for  $\text{AgOH}$  to exist in this case but here we only focus on the surface area. Meanwhile, as the  $R[\text{O}_2]\%$  increased to 10% and 16%, in the  $\text{Ag } 3\text{d}_{5/2}$  spectra of  $\text{Ag}$  peaks intensities were reduced and the proportional increments of  $\text{Ag}_x\text{O}$  compound peaks appeared in comparison to  $R[\text{O}_2]\% = 0\%$ . The binding energies of  $\text{Ag } 3\text{d}_{5/2}$  and  $\text{O } 1\text{s}$  were shifted to the lower binding energies within the increase in the oxidation state of  $\text{Ag}$ . Furthermore, we found that by intentionally increasing the  $R[\text{O}_2]\%$  from 16% to 17%, even increasing the  $R[\text{O}_2]\%$  by just one percent, the splitting peaks of the  $\text{Ag}_x\text{O}$  compound shifted further to the lower binding energies. From the observation of the  $\text{Ag } 3\text{d}_{5/2}$  spectrum of  $R[\text{O}_2]\% = 17\%$  deposition,  $\text{AgO}$  dominates the fitting fragments of the  $\text{Ag}$  oxidation state. Whereas in the increase of  $R[\text{O}_2]\% = 30\%$ , the assignment of the peaks occurred further transitions toward lower binding energies at 366.2 eV of  $\text{Ag } 3\text{d}_{5/2}$  spectrum and 528.5 eV of  $\text{O } 1\text{s}$  spectrum, and incorporation of higher oxidation states formed as the mixture of  $\text{AgO}$  and  $\text{Ag}_2\text{O}_3$ . This suggests that at the higher oxygen flow ratio, the oxidation states of  $\text{Ag}$  are increased with the incorporation of more electrons into the chemical bonds<sup>64</sup> to form the dominant oxidation states of  $\text{Ag}_3\text{O}_4$ , which is the mixture of two or more individual oxides of  $\text{Ag}^{(\text{II})}\text{O}$  and  $\text{Ag}_2^{(\text{III})}\text{O}_3$ .

The high resolution in the  $-1\text{--}10\text{ eV}$  scan of the valence band (VB) spectra obtained by XPS measurement of  $\text{Ag}_x\text{O}$  films with various  $R[\text{O}_2]\%$  is shown in Fig. 9(a). The  $R[\text{O}_2]\% = 0\%$  VB spectrum presents two peaks at the binding energy of 7.2 eV and 5.5 eV, which may be the result of the  $\text{Ag } 5\text{s}$  and  $4\text{d}$  orbital atoms exerting repel forces on each other. This is consistent with the metal–metal interactions for the electric dipole transitions from  $5\text{s}$  to  $4\text{d}$  orbitals.<sup>65,66</sup> Increasing the oxygen flow ratio of  $R[\text{O}_2]\%$  from 10% to 17% and 30%, only one peak can be observed with the binding energy changing from 5.8 eV to 4 eV. In the case of  $R[\text{O}_2]\% = 17\%$  and 30%, the peak wing on the lower binding energy side was lifted to a higher intensity compared to  $R[\text{O}_2]\% = 10\%$  and 16%. This may indicate that with increasing  $R[\text{O}_2]\%$ , the atomic interaction preference for metal ( $\text{Ag}$ ) and metal ( $\text{Ag}$ ) transition to metal ( $\text{Ag}$ ) and nonmetal ( $\text{O}$ )<sup>8</sup> is interpreted as the attractive forces between the charge of  $\text{Ag } 4\text{d}$  orbital and the charge of  $\text{O } 2\text{p}$  orbital bonding to form a hybrid orbital around the valence band.<sup>18,61</sup> The feature of the lower binding energy

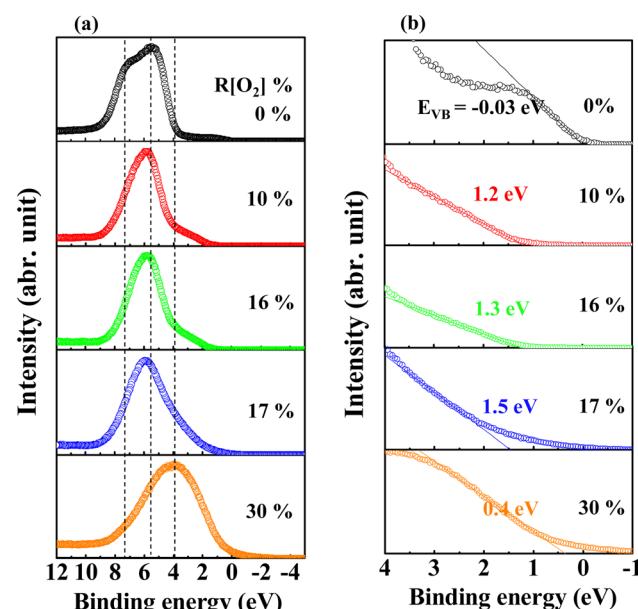
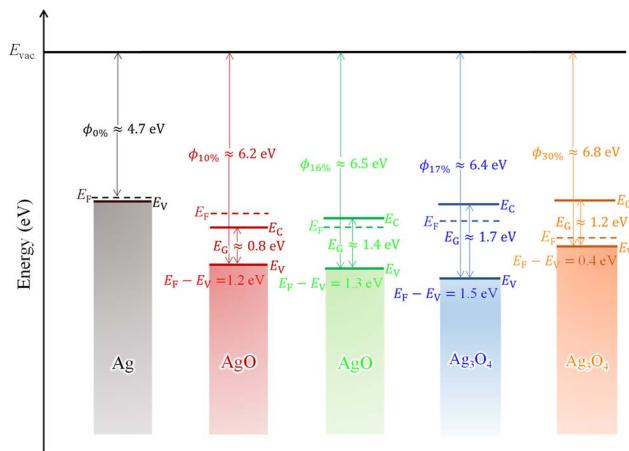
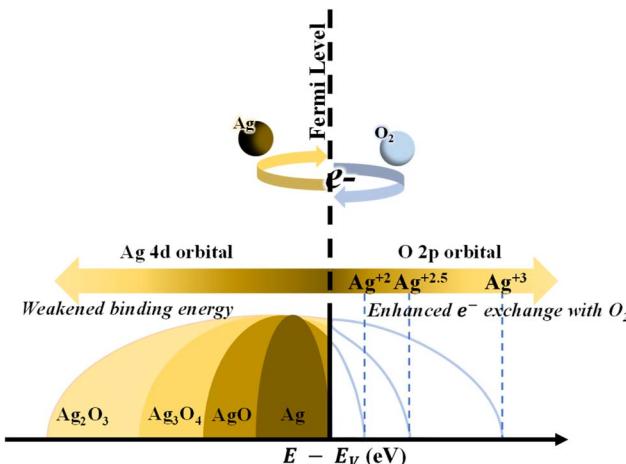


Fig. 9 Measured XPS data for (a) the peaks of the valence-band region and (b) magnifying the valence band region around  $E_F$  region of  $\text{Ag}_x\text{O}$  films deposited at various  $R[\text{O}_2]\%$ .

shifting would be to the extent of hybridization of  $\text{Ag } 5\text{s}$  and/or  $4\text{p}$  orbital with  $\text{O } 2\text{p}$  orbital.<sup>67–69</sup> Meanwhile, Fig. 9(b) of magnifying the valence band region provides good evidence for the interaction of the metal ( $\text{Ag}$ ) and nonmetal ( $\text{O}$ ) bonding system. As  $R[\text{O}_2]\% = 0\%$ , the density of states at the Fermi level can be properly observed. This is in agreement with the metallic behavior characterized as pure  $\text{Ag}$ . After supplying oxygen  $R[\text{O}_2]\%$ , we can observe the oxygen-induced band is forming. In addition to that, these results closely match the ionization energy or work function data from PYS measurements, which shows that high oxygen flow ratios tend to have more oxygen incorporated into the deposited film, especially the top surface range. Up to now, we can summarize all the information together to define the main species of  $\text{Ag}_x\text{O}$  films; that is, the samples of  $\text{Ag}_x\text{O}$  grown under the  $R[\text{O}_2]\% = 17\%$  and 30% are the mixture of  $\text{Ag}^{(\text{II})}\text{O}$  and  $\text{Ag}_2^{(\text{III})}\text{O}_3$  randomly distributed as  $\text{Ag}_3\text{O}_4$ , while those grown under  $R[\text{O}_2]\% = 10\%$  and 16% are mainly  $\text{Ag}^{(\text{II})}\text{O}$ .

Lastly, combining all the causation and correlation, we established a pilot energy level diagram of  $\text{Ag}_x\text{O}$  films with various  $R[\text{O}_2]\%$  to illustrate the mechanism between the material character and  $R[\text{O}_2]\%$  as shown in Fig. 10. And the comparisons of different  $R[\text{O}_2]\%$  influence on the physical parameters are summarized in Table 4. Note that the evaluation of the valence band level and the Fermi level difference from the vacuum level is employed using 150 nm  $\text{Ag}_x\text{O}$  thin films, whereas the band gaps were employed using 50 nm  $\text{Ag}_x\text{O}$  thin films because it was hard to obtain the data of band gaps using 150 nm  $\text{Ag}_x\text{O}$  thin films. In polycrystalline materials, the changes in properties with thickness are generally not significant,<sup>70,71</sup> and this is also confirmed by XRD, SEM, and transmittance measurements for the samples used in this study. For

Fig. 10 Schematic energy level diagram of  $\text{Ag}_x\text{O}$  with various  $R[\text{O}_2]\%$ .Fig. 11 The transition mechanism of  $\text{Ag}_x\text{O}$  with increasing  $R[\text{O}_2]\%$ .Table 4 Comparison of the different  $R[\text{O}_2]\%$   $\text{Ag}_x\text{O}$ 

$R[\text{O}_2]\%$	O-state	$\phi$ (eV)	$E_G$ (eV)	$E_V$ (eV)	$ E_F - E_V $ (eV)
0%	Ag	4.7	0	-0.03	0.03
10%	AgO	6.2	0.8	1.2	1.2
16%	AgO	6.5	1.4	1.3	1.3
17%	$\text{Ag}_3\text{O}_4$	6.4	1.7	1.5	1.5
30%	$\text{Ag}_3\text{O}_4$	6.8	1.2	0.4	0.4

$R[\text{O}_2]\% = 0\%$ , the metallic Ag film was deposited with a conductivity of  $10^6 \text{ S}\cdot\text{cm}^{-1}$ , work function of 4.7 eV, and valence band and conduction band overlapping at the 0 eV (measured data showed  $-0.03 \text{ eV}$  and we thought this is due to air explosion) with respect to Fermi level. For  $R[\text{O}_2]\% = 10\%$ , the semiconductor and/or insulator of AgO was deposited with the resistivity of  $6.3 \times 10^{-5} \Omega\cdot\text{cm}$ , the work function ( $\phi$ ) of 6.2 eV, and the band gap ( $E_G$ ) of 0.8 eV (estimated value), in which the bottom of the conduction band located at  $-0.4 \text{ eV}$  and the top of the valence band located at  $-1.2 \text{ eV}$  concerning the Fermi level. In general, the resistivity of the AgO thin film is around  $1 \Omega\cdot\text{cm}$ .<sup>72</sup> So, it can be seen that the conductivity of a transparent AgO film grown under  $R[\text{O}_2]\% = 10\%$  is as high as that of a metal. However, from the transmittance results the characteristics of  $R[\text{O}_2]\% = 10\%$  at the transparent region are different from those of other samples, and the reflection, not absorption, is dominant. In this case, it may not be possible to calculate the absorption edge well from the equation of Tauc.

For  $R[\text{O}_2]\% = 16\%$ , the semiconductor and/or insulator of AgO was deposited with a resistivity of  $8.6 \times 10^5 \Omega\cdot\text{cm}$ , the work function ( $\phi$ ) of 6.5 eV, and the band gap ( $E_G$ ) of 1.4 eV. The bottom of the conduction band located at  $0.1 \text{ eV}$  and the top of the valence band located at  $-1.3 \text{ eV}$  with respect to the Fermi level. For  $R[\text{O}_2]\% = 17\% \& 30\%$ , the deposition sample is semiconductor and/or insulator of a mixture of  $\text{Ag}^{(\text{II})}\text{O}$  and  $\text{Ag}_2^{(\text{III})}\text{O}_3$  randomly distributed as  $\text{Ag}_3\text{O}_4$  with a resistivity of  $4.1 \times 10^5 \Omega\cdot\text{cm}$  ( $R[\text{O}_2]\% = 17\%$ ) and  $1.7 \times 10^6 \Omega\cdot\text{cm}$  ( $R[\text{O}_2]\% = 30\%$ ), the work function ( $\phi$ ) of 6.4 eV ( $R[\text{O}_2]\% = 17\%$ ) and 6.8 eV

( $R[\text{O}_2]\% = 30\%$ ), and the band gap ( $E_G$ ) that of 1.7 eV ( $R[\text{O}_2]\% = 17\%$ ) and 1.2 eV ( $R[\text{O}_2]\% = 30\%$ ). The bottom of the conduction band located at  $0.2 \text{ eV}$  ( $R[\text{O}_2]\% = 17\%$ ) and  $0.8 \text{ eV}$  ( $R[\text{O}_2]\% = 30\%$ ) and the top of the valence band located at  $-1.5 \text{ eV}$  ( $R[\text{O}_2]\% = 17\%$ ) and  $-0.4 \text{ eV}$  ( $R[\text{O}_2]\% = 30\%$ ) with respect to the Fermi level. However, the valence band energy level and the work function data of 16% and 17% may be unreliable because the high resistance leading to the photoelectron cannot be obtained clearly.

The transition mechanisms between the metallic, semiconductor, and insulator states of  $\text{Ag}_x\text{O}$  with increasing  $R[\text{O}_2]\%$  are shown in Fig. 11. When we checked the literature on silver oxide, we found that N. Qin *et al.* had investigated the valence band state in detail.<sup>73</sup> According to this literature, the results obtained in this study can be understood more clearly. In short, a higher proportion of d-band holes and more electrons closer to the Fermi level under the higher valence Ag species were revealed in the literature from the computational studies, and our experimental results showed the same results.

## 4. Conclusions

The properties of  $\text{Ag}_x\text{O}$  thin films with various  $R[\text{O}_2]\%$  have been investigated and analyzed using different types of measurements. GIXD spectra and SEM images were used for the crystal structure characterization, and UV-vis spectra and the resistance meter were used for the band gap and resistivity investigations. From GIXD data, it was found that  $\text{Ag}_x\text{O}$  films tended to be a mixture of  $\text{Ag}^{(\text{II})}\text{O}$  and  $\text{Ag}_2^{(\text{III})}\text{O}_3$ . To precisely confirm the band gaps of  $\text{Ag}_x\text{O}$ , two different thicknesses of 150 nm and 50 nm were deposited. The PYS spectra were used for work function confirmation. XPS was used to characterize the chemical states of  $\text{Ag}_x\text{O}$ . With an increase in  $R[\text{O}_2]\%$  from 0% to 30%,  $\text{Ag}_x\text{O}$  has a variational orientation for AgO (111) to  $\text{Ag}_3\text{O}_4$  (002) grains, and the band gaps have extended to 1.7 eV but narrowed to 1.2 eV. The chemical states of  $\text{Ag}_x\text{O}$  have been confirmed as AgO ( $R[\text{O}_2]\% = 10\%$  and 16%) and  $\text{Ag}_3\text{O}_4$  ( $R[\text{O}_2]\% = 17\%$  and 30%). Therefore,  $\text{Ag}_x\text{O}$  has the potential to be used



as a transparent electrode in the infrared light range for heterojunctions and Schottky junctions. The energy level band has been given but the details of the physical phenomena need further confirmations.

## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts to declare.

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