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# Inclusion complex of quercetin with sulfobutylether $\beta$ -cyclodextrin: preparation, characterization, antioxidant and antibacterial activities and the inclusion mechanism

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Quercetin (QCT) has a variety of pharmacological effects, such as antioxidant, antibacterial, anticancer, anticonvulsant and antiaging effects. However, its poor water solubility, stability and bioavailability limit its applications. The special structure of cyclodextrins and their derivatives with a hydrophobic inner cavity and hydrophilic outer wall can load a variety of hydrophobic drugs of a suitable size and shape, thereby improving the stability and solubility of these molecules. In this study, an inclusion complex of quercetin and sulfobutylether- $\beta$ -cyclodextrin was prepared. It was characterized via FT-IR, UV, <sup>1</sup>H NMR, XRD, DSC, and SEM analysis, which revealed the successful formation of the inclusion complex. *In vitro* biological activity estimations were carried out and the results indicated that the inclusion complex displayed higher antioxidative and antibacterial properties compared with free QCT. In addition, the mechanisms of inclusion were explored using <sup>1</sup>H NMR analysis and docking calculations, thus providing a theoretical basis for obtaining an inclusion complex.

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## 1. Introduction

Quercetin (QCT) is a type of bioactive substance widely existing in foods such as broccoli, blueberries and apples and has a variety of pharmacological effects, including antioxidant,<sup>1,2</sup> antibacterial,<sup>3</sup> anticancer,<sup>4,5</sup> and anticonvulsant activities.<sup>6</sup> However, the poor water solubility and stability of QCT in aqueous systems severely restricts its applications.<sup>7–10</sup>

The formation of cyclodextrin (CD) inclusion complexes is a method to increase the solubility of insoluble composites. CDs are cyclic oligosaccharides obtained from the degradation of starch by glucosyltransferase and are composed of 6–12 D-(+)-glucopyranose units in a chair conformation connected by  $\alpha$ -1,4-glucosidic bonds.<sup>11</sup> The most common CDs possess 6, 7 and 8 glucose units (defined as  $\alpha$ -CD,  $\beta$ -CD, and  $\gamma$ -CD, respectively), among which  $\beta$ -CD has a medium cavity size and low production cost and is the most common and widely used CD in food, cosmetic and pharmaceutical fields.<sup>12,13</sup> Cyclodextrins have a special structure of a hydrophobic inner cavity and hydrophilic outer wall, which can entrap a variety of hydrophobic molecules

of suitable size and shape, presenting a high inclusion rate and improving molecular stability and solubility. Presently, cyclodextrins are often used for the inclusion of water-insoluble molecules for different purposes, such as solubilization; slow release; and flavor and taste masking in food, drugs, and cosmetics.<sup>14,15</sup> However,  $\beta$ -CD is poorly soluble in water (25 °C, 18.5 mg mL<sup>-1</sup>), highly hemolytic and highly nephrotoxic,<sup>16,17</sup> and thus its application is very limited. A wide variety of water-soluble and fat-soluble cyclodextrin derivatives has been produced, such as methylated- $\beta$ -CD, hydroxypropyl- $\beta$ -CD and acetylated- $\beta$ -CD. Sulfobutylether- $\beta$ -cyclodextrin (SBE- $\beta$ -CD) is obtained through a substitution reaction between 1,4-butane sulfonated lactone and the hydroxyl groups of the carbon at the 2, 3 and 6 position of the glucose unit under alkaline conditions, with an average degree of substitution between 6.2 and 6.9.<sup>18</sup> Due to the extended butyl side chain of the hydrophobic cavity and high acid dissociation constant of SBE- $\beta$ -CD, it possesses high water solubility (>500 mg mL<sup>-1</sup>), low toxicity, good molecule binding ability and good hemocompatibility.<sup>19</sup> It remains in the same ionized state at any pH, always with a negative surface charge, and is an anionic derivative.<sup>20</sup>

In this work, a novel inclusion complex of QCT and SBE- $\beta$ -CD was prepared by a stirring combined freeze-drying method, and the stoichiometry parameter and association constant of the guest/host in the QCT/SBE- $\beta$ -CD inclusion complex (QCT/SBE- $\beta$ -CD) were investigated by analyzing the phase-solubility of QCT in SBE- $\beta$ -CD solutions at different concentrations.

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Subsequently, QCT/SBE- $\beta$ -CD was characterized by Fourier transform infrared spectroscopy (FT-IR), ultraviolet-visible spectroscopy (UV),  $^1\text{H}$  nuclear magnetic resonance ( $^1\text{H}$  NMR), X-ray diffraction (XRD), differential scanning calorimetry (DSC), and scanning electron microscopy (SEM). Lastly, the anti-oxidative and antibacterial properties and inclusion mechanism of QCT/SBE- $\beta$ -CD were studied.

## 2. Experimental

### 2.1. Materials

Sulfobutylether- $\beta$ -cyclodextrin (SBE- $\beta$ -CD, >99%, substitution degree = 6.7, MW = 2187.513) was purchased from Shandong Binzhou Zhiyuan Biochemistry Co., Ltd (Shandong, China). Quercetin (QCT, 98%) was purchased from Bide Pharmatech Ltd (Shanghai, China). 2,2'-Azinobis(3-ethylbenzothiazoline-6-sulphonate) (ABTS, 99%) and 1,1-diphenyl-2-picrylhydrazyl free radical (DPPH, 99%) was purchased from Aladdin Biochemical Technology Co., Ltd (Shanghai, China). *Escherichia coli* (*E. coli*) ATCC 25922 and *Staphylococcus aureus* (*S. aureus*) ATCC 25923 strains were obtained from China General Microbiological Culture Collection Center and kept in the College of Engineering, China Pharmaceutical University. All other reagents were of analytical grade.

### 2.2. Phase solubility

The phase-solubility analysis was carried out according to the reported method.<sup>21</sup> Excess amounts of QCT (5 mg) were added to SBE- $\beta$ -CD solutions (0–10 mM) and sonicated for 30 min. The suspensions were shaken constantly at different temperatures (27 °C, 37 °C and 50 °C) in a thermostatic shaker for 48 h until equilibrium was reached. Then, the suspensions were filtered using 0.45  $\mu\text{m}$  micropore membrane filters, and examined by UV-vis spectroscopy. All samples were characterized in triplicate. The stability constant ( $K_s$ ) was calculated according to the following formula using the phase-solubility diagram:

$$K_s = \frac{k}{s_0(1-k)} \quad (1)$$

where  $k$  represents the slope of the straight line and  $s_0$  represents the inherent solubility of QCT in aqueous solutions without SBE- $\beta$ -CD.

### 2.3. Preparation of the QCT/SBE- $\beta$ -CD inclusion complex

The inclusion complexes of QCT with SBE- $\beta$ -CD were prepared by a stirring combined freeze-drying method, as described in the literature with some modifications.<sup>22</sup> Briefly, SBE- $\beta$ -CD (0.914 g) was dissolved in 43 mL of distilled water and kept at 40 °C and QCT (50 mg) was dissolved in 7 mL anhydrous ethanol, and then slowly added into the prepared solution of SBE- $\beta$ -CD. The mixture was magnetically stirred for 2 h at 40 °C, and then evaporated under vacuum condition to remove the organic solvent. The resulting solution was freeze dried for 48 h to obtain the inclusion complexes.

Before analysis, the inclusion complexes were washed with ethanol solution to remove the unloaded QCT and dried under vacuum. The entrapment efficiency (EE) was calculated using eqn (2):

$$\text{EE}\% = \frac{\text{weight of entrapped QCT}}{\text{initial QCT weight}} \quad (2)$$

where 'weight of entrapped QCT' is the amount of QCT present in the inclusion complex and 'initial QCT weight' indicates the amount of QCT initially used to produce the inclusion complex.

### 2.4. Preparation of physical mixture of QCT and SBE- $\beta$ -CD

QCT and SBE- $\beta$ -CD were simply mixed in a 1 : 2.5 M ratio to obtain a physical mixture.

### 2.5. Characterization of inclusion complexes

**2.5.1. FT-IR analysis.** FT-IR spectra were obtained using a Bruker TENSOR27 FT-IR spectrophotometer (Germany) in the range of 400–4000  $\text{cm}^{-1}$ . The samples (5–10 mg) were ground and mixed well with a small amount of dried KBr, and then presses into pellets.

**2.5.2. UV analysis.** UV spectra were recorded using a UV754N spectrophotometer (Shanghai, China). Each sample was dissolved in ethanol or water and the solutions were scanned in the wavelength range of 200–800 nm.

**2.5.3. XRD analysis.** XRD patterns were measured using a Bruker D8 Advance diffractometer (Germany) with Cu-K $\alpha$  radiation (40 kV, 40 mA) in the  $2\theta$  range of 3–40° at a scan rate of 5°  $\text{min}^{-1}$ .

**2.5.4. DSC analysis.** The thermal behaviors were measured using a NETZSCH 3500 DSC (Germany). The samples were heated in the range of 30–350 °C at a heating rate of 20 °C  $\text{min}^{-1}$  under nitrogen.

**2.5.5. SEM analysis.** An SU8020 scanning electron microscope (Japan) was used to obtain the surface morphology characteristic. Each sample was uniformly dispersed on the conductive adhesive of the sample stage and placed in an ion sputterer for gold plating at an electron acceleration voltage of 3 kV.

**2.5.6.  $^1\text{H}$  NMR analysis.**  $^1\text{H}$  NMR spectra were obtained on a Bruker Avance 300 MHz spectrometer (Germany). All samples were dissolved in dimethyl sulfoxide (DMSO- $d_6$ ). The internal standard was tetramethylsilane (TMS).

### 2.6. Antioxidant activity determination

The antioxidant activity of free QCT and the inclusion complex against ABTS radicals was assessed using a modified reported method.<sup>23</sup> In this method, an appropriate volume of 5  $\text{mmol L}^{-1}$  ABTS solution was allowed to react with the same volume of 2.45  $\text{mmol L}^{-1}$  potassium persulfate, and the mixture was left to stand in the dark at room temperature for 15–20 h to produce the blue-green ABTS radical cation chromophore (ABTS $^{\cdot+}$ ). The ABTS radical solution was diluted with PBS to obtain an absorbance value of  $0.700 \pm 0.002$  at 734 nm. 2 mL of diluted



ABTS radical solution was added to 1 mL of free QCT and inclusion complex aqueous solutions, respectively, and their absorbance values were recorded at 734 nm after mixing for 10 min. All samples were characterized in triplicate.

The antioxidant activities of free QCT and the inclusion complex against DPPH radicals were also evaluated using a modified reported method.<sup>24</sup> 1 mL of free QCT and inclusion complex aqueous solution was immersed in 2 mL of DPPH solution. The samples were mixed well and placed in the dark for 30 min, and then their absorbance values were recorded at 517 nm. All samples were characterized in triplicate.

The scavenging activity (%) of ABTS radicals or DPPH radicals was computed according to the following equation:

$$\text{Scavenging activity (\%)} = \frac{A_0 - A_1}{A_0} \quad (3)$$

where  $A_0$  and  $A_1$  represent the absorbance values of the blank radical solution without sample and radical solution with sample, respectively.

## 2.7. Antibacterial activity determination

*Staphylococcus aureus* (*S. aureus*) and *Escherichia coli* (*E. coli*) were selected to test the antibacterial activity of the samples, and the operations were conducted under sterile conditions.

**2.7.1. Minimum inhibitory concentration (MIC).** The MIC was measured using the filter paper method.<sup>25</sup> Pieces of filter paper were prepared (diameter 6 mm), sterilized and dried. QCT solutions with concentrations of 170, 85, 42.5, 21.25, 10.625 and 5.3125 mg mL<sup>-1</sup> and inclusion complex solution with the corresponding QCT concentrations were prepared. Later, the pieces of filter paper were impregnated with a measured amount of QCT and inclusion complex solutions for several hours to ensure full absorption. 50  $\mu$ L of the original bacterial suspension was added to agar plates, and then the plates were cultured at 37 °C overnight. Subsequently the diameter of the inhibition circle was measured. All samples were characterized in triplicate.

**2.7.2. Minimum bactericidal concentration (MBC).** Inclusion complex solutions with corresponding the QCT concentrations of 8MIC, 4MIC, 2MIC, 1MIC, and 0  $\mu$ g mL<sup>-1</sup> were prepared and 10  $\mu$ L of diluted bacterial solution added to them (10<sup>-7</sup>, 10<sup>-8</sup> and 10<sup>-9</sup>) respectively. Then 50  $\mu$ L of the mixed inclusion complex solution was added to a mannitol salt agar plate, and subsequently the plates were cultured at 37 °C for 48 h. The colonies were counted and converted into CFU mL<sup>-1</sup>, where the concentration without generated colonies was MBC.<sup>26</sup> All samples were characterized in triplicate.

**2.7.3. Growth curve.** LB liquid medium was used to dissolve the inclusion complex with concentrations of 0, 1/2 MBC and MBC. Then, 50  $\mu$ L of diluted bacterial solution (10<sup>-6</sup>) was added to the inclusion complex solution and incubated at 37 °C, and the absorbance value measured at 600 nm at 0, 2, 4, 6, 8, 10, 12, 24, 30, 36 and 48 h, respectively. Meanwhile, at 12 h, the appropriate amounts of bacterial solution were taken out and diluted 10<sup>7</sup> times, and then 50  $\mu$ L of diluted bacterial solution was added to a mannitol salt agar plate, and

the plates were cultured at 37 °C for 48 h.<sup>27</sup> All samples were characterized in triplicate.

## 2.8. Molecular docking

To study the inclusion mechanism between QCT and SBE- $\beta$ -CD, the structures were calculated and simulated by the molecular simulation docking method. The molecular structure of QCT was downloaded from the PubChem database and the conformation with energy-minimized configuration was obtained by processing and optimization with the Docking Suite, which was saved in "mol2" format for subsequent use. The construction of SBE- $\beta$ -CD was based on  $\beta$ -CD by adding seven sulfobutyl groups at the wider edge of the glucopyranose unit. After the construction of the molecule, its water of crystallization was removed and it was hydrogenated. Then, the lowest energy conformation was obtained with its energy optimized, which was saved in "mol2" format for subsequent use. The SYBYL software was used to perform simulated docking calculations using QCT and SBE- $\beta$ -CD as test objects. The specific operations were as follows: the SBE- $\beta$ -CD structure was imported to generate the active site, and then the QCT structure was imported for docking. The combination modes were retained with three conformations, and the one with the highest score was chosen.

## 2.9. Statistical analysis

Statistical analysis was carried out using an independent sample *t*-test (Origin 2021, SPSS 26). "\*" represents significant ( $P < 0.05$ ), "\*\*\*" represents very significant ( $P < 0.01$ ), and "\*\*\*\*" represents extremely significant ( $P < 0.001$ ).

# 3. Results and discussion

## 3.1. Phase-solubility analysis

The phase-solubility diagrams of the inclusion complexes in water at different temperatures are shown in Fig. 1. The concentration of QCT and SBE- $\beta$ -CD showed a good linear relationship at different temperatures, indicating that the inclusion was performed at 1 : 1 inclusion ratio.<sup>28</sup> The  $K_s$  value was calculated to be 4504.69, 10 185.98 and 11 521.81 L mol<sup>-1</sup>, respectively. The  $K_s$  values were high at different temperatures, indicating the strong binding force and good stability of the inclusion complex. Moreover,  $K_s$  increased with temperature, which was significantly temperature dependent, demonstrating that the inclusion was an endothermic process, an increase in temperature was conducive to the formation of the inclusion complex, and the stability of the inclusion complex increased accordingly. Therefore, the appropriate temperature has an important effect in the preparation of the inclusion complex. Meanwhile, the Y-axis cutoff values also increased with an increase in temperature, resulting in an increase in the solubility of QCT. These data are presented in Table 1.

## 3.2. Entrapment efficiency (EE) analysis

The EE of the inclusion complexes was 86.06  $\pm$  1.43% in this study. In previous reports, some cyclodextrin inclusion



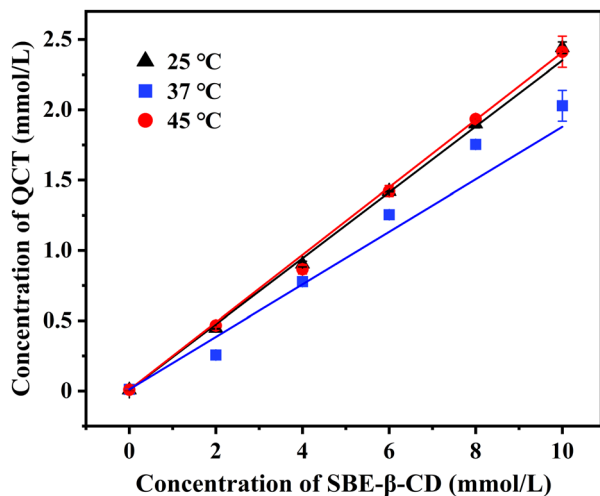


Fig. 1 Phase-solubility diagrams of inclusion complexes in water at different temperatures.

Table 1 The stability constant of the inclusion complex at different temperatures

T (°C)	Linear equation	R <sup>2</sup>	K <sub>s</sub> (L mol <sup>-1</sup> )
25	y = 0.215x - 0.0608	0.9903	4504.69
37	y = 0.2435x - 0.0316	0.9988	10 185.98
45	y = 0.2426x - 0.0278	0.9981	11 521.81

complexes prepared by co-grinding, spray-drying, microwave and co-precipitation methods had encapsulation efficiencies of 31% to 80%,<sup>29–32</sup> which are lower than the encapsulation efficiency in this study. The inclusion complex prepared by stirring combined with freeze-drying method was beneficial for improving its encapsulation efficiency, and other reports also supported similar conclusions.<sup>33,34</sup> The reason for this phenomenon may be due to the stirring process increasing the contact area between the host and guest, reducing the repulsion between them, and the freeze-drying process minimizing the possibility of chemical decomposition and the loss of bioactivity.<sup>35</sup> This study also investigated the effects of some factors (such as host and guest inclusion ratio, inclusion time, inclusion temperature and solvent composition) on the EE during stirring combined with freeze-drying process to obtain the optimal preparation conditions.

### 3.3. FT-IR analysis

The FTIR spectra are shown in Fig. 2A. The broad absorption peak at 3387 cm<sup>-1</sup> in the spectrogram of QCT (Fig. 2A(a)) represents the phenolic hydroxyl group in the benzene ring. The absorption peak at 1656 cm<sup>-1</sup> represents the C=C bond and that at 1611 cm<sup>-1</sup> represents the C=C bond in the benzene ring. The peak at 1514 cm<sup>-1</sup> corresponds to the C=O stretching vibration. The peaks at 1465–1340 cm<sup>-1</sup> and 1000–675 cm<sup>-1</sup> correspond to the C–H stretching vibration and

bending vibration, respectively. The peak at 1164 cm<sup>-1</sup> is ascribed to the C–O bond. In the spectrum of SBE-β-CD (Fig. 2A(b)), the peak at 3424 cm<sup>-1</sup> is ascribed to the hydroxyl group bond. The peaks at 2939 at 2885 cm<sup>-1</sup> is ascribed to the –CH<sub>2</sub> bond. The peaks at 1653, 1366, 1161, and 1045 cm<sup>-1</sup> correspond to the adsorbed water, S=O bond, glycosidic bond, and S–O bond, respectively. The physical mixture (Fig. 2A(c)) was simply a combination of the QCT characteristic peak and the SBE-β-CD characteristic peak, indicating no other interactions. Alternatively, the intensity of the absorption peak of the inclusion complex (Fig. 2A(d)) at 1603 cm<sup>-1</sup> changed, indicating that QCT may be partially embedded (ring A or ring B) in the cavity to form a new compound, and no new absorption peak was found, indicating that no new chemical bond was generated.

### 3.4. UV analysis

The UV absorption spectra are shown in Fig. 2B. QCT had a strong absorption peak at 373 nm, while SBE-β-CD had a very weak UV absorption and no characteristic peak due to the lack of unsaturated bonds. The absorption peak position of the inclusion complex showed a blue shift. This may be due to the reduced conjugation effect of SBE-β-CD on QCT and the solvent effect of the different samples (QCT was in ethanol and others were in water). This demonstrated that no new unsaturated bond was formed and the formation of the inclusion complex did not alter the UV absorption characteristics of QCT.<sup>36</sup>

### 3.5. XRD analysis

The powder X-ray diffractograms are shown in Fig. 2C. QCT (Fig. 2C(a)) displayed obvious sharp crystalline diffraction peaks at 2θ = 9.3°, 10.8°, 12.6°, 14.2°, 17°, 22.1°, 24.4°, 26.5°, 27.3° and 28.4°, indicating its crystal structure. SBE-β-CD (Fig. 2C(b)) exhibited a broadened and weak amorphous diffraction peak near 18.8°, indicating its amorphous form with an A-type diffraction pattern. The crystallinity peak was still detectable in the physical mixture (Fig. 2C(c)) and was a simple overlap of the diffraction peaks of QCT and SBE-β-CD. On the contrary, the diffraction spectrum of the inclusion complex (Fig. 2C(d)) was equal to that of SBE-β-CD, and the disappearance of the crystallinity diffraction peaks of QCT at 12.6° and 17° implied the formation of the amorphous inclusion complex.

### 3.6. DSC thermograms

The differential scanning calorimetry curves are shown in Fig. 2D. QCT (Fig. 2D(a)) showed phase change heat absorption peaks (dissolution peak) at 124 °C and 322 °C. The peak at 124 °C was related to the dehydration of QCT, while the sharp heat absorption peak at 322 °C was generated by the heat absorption of QCT melting (melting point 317 °C). SBE-β-CD (Fig. 2D(b)) had a broad heat absorption peak between 60 °C and 120 °C, reaching the maximum at 90 °C, which resulted from the evaporation of water, and subsequently its breakdown peak appeared at 265 °C. In the physical mixture (Fig. 2D(c)), it could be seen that it was more like a superposition of endothermic





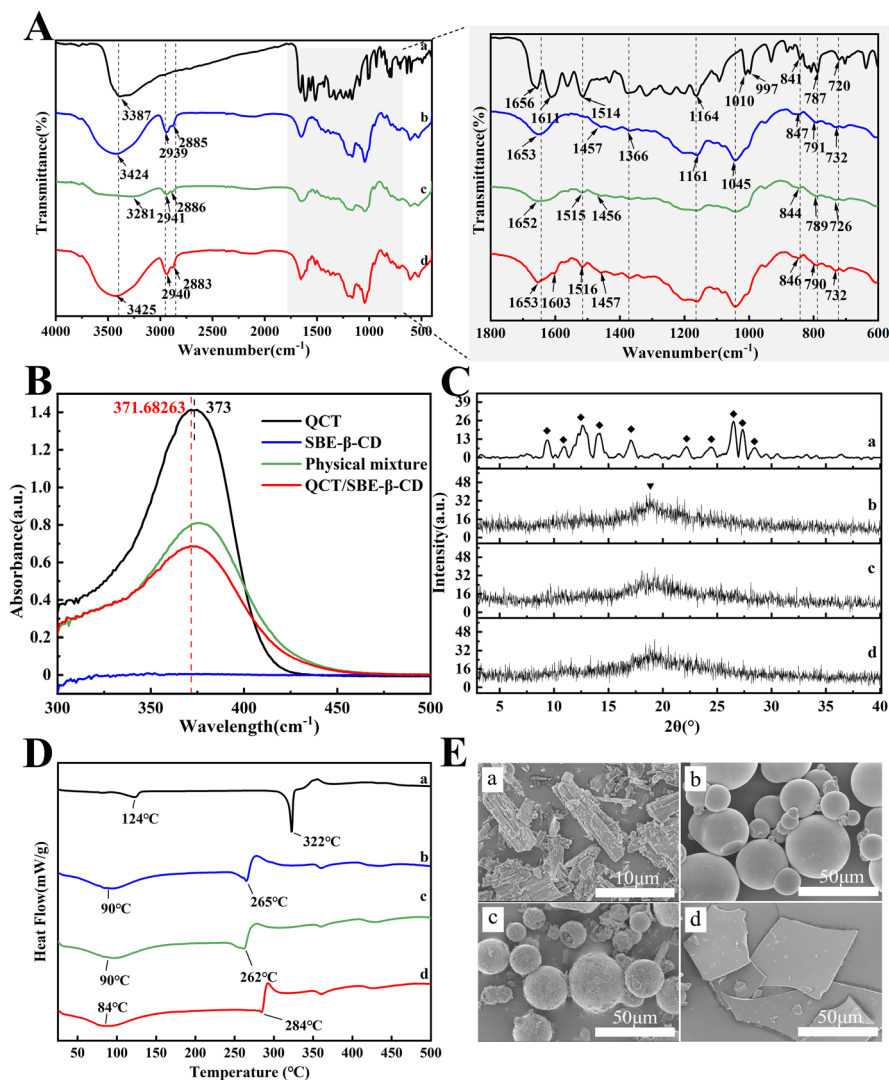


Fig. 2 FT-IR spectrum (A), UV spectrum (B), powder X-ray diffraction patterns (C), DSC curves (D), and SEM images (E) of QCT (a), SBE- $\beta$ -CD (b), physical mixture (c) and inclusion complex (d).

peaks of QCT and SBE- $\beta$ -CD. The absorption peak of SBE- $\beta$ -CD at 265 °C became sharp and moved to 284 °C, indicating that the host and guest interacted during the successful formation of the inclusion complex and the inclusion complex (Fig. 2D(d)). The endothermic peak at 84 °C can be ascribed to the evaporation of residual ethanol during the preparation of the inclusion complex.

### 3.7. SEM analysis

The SEM images are shown in Fig. 2E. Free QCT exhibited a needle-like morphology (Fig. 2E(a)), while SBE- $\beta$ -CD presented a smooth spherical structure with a size of approximately 50  $\mu$ m (Fig. 2E(b)). The physical mixture showed both crystalline components, with QCT attached to the exterior of SBE- $\beta$ -CD (Fig. 2E(c)). Conversely, the structure of the inclusion complex (Fig. 2E(d)) appeared as an irregular, amorphous piece, in which the original shape of the two elements vanished, confirming the formation of the inclusion complex. The results indicate that by

only mixing, the two compounds still maintained their original form, but when the inclusion complex was formed and underwent close association, QCT no longer existed in the crystalline state.

### 3.8. $^1\text{H}$ NMR analysis

The  $^1\text{H}$  NMR spectra are shown in Fig. 3. The peaks at  $\delta$ 2.508 (DMSO) and  $\delta$ 3.388 ( $\text{H}_2\text{O}$ ) are the solvent peaks, and the chemical shifts of QCT are mainly in the range of 6.0 to 8.0 ppm, which are different from that of the SBE- $\beta$ -CD protons in the range of 3.5 to 4.0 ppm. As shown in the red dashed box, the chemical shifts of QCT at 9.27, 9.33, 9.56, 10.76, and 12.48 ppm (3'-OH, 4'-OH, 3-OH, 7-OH, and 5-OH, respectively) disappeared in the inclusion complex, indicating that these positions of QCT were encapsulated in the cavity or formed hydrogen bonds. In the case of QCT, SBE- $\beta$ -CD caused significant changes in the field shift of its H-8 and H-6 protons, while the chemical shifts of H-2', H-6' and H-5' were small, indicating that the interaction



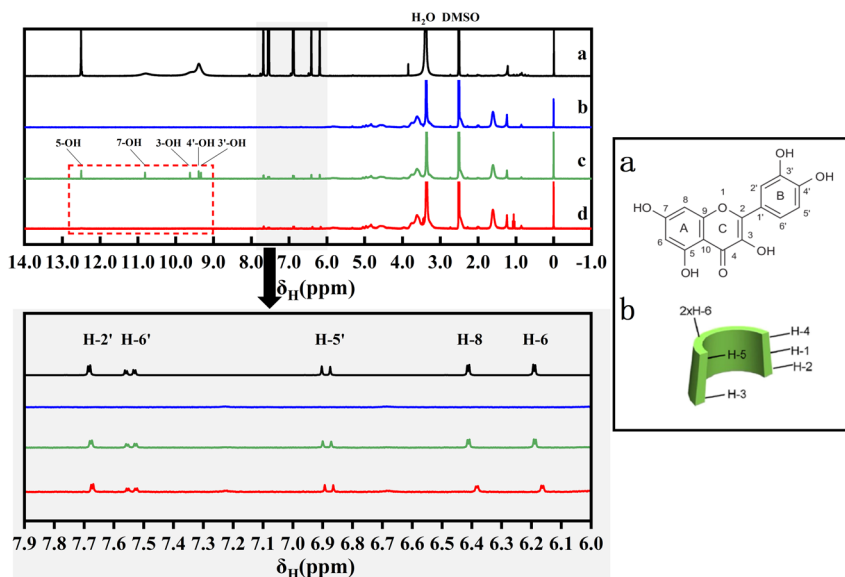


Fig. 3  $^1\text{H}$  NMR spectra of QCT (a), SBE- $\beta$ -CD (b), physical mixture (c) and inclusion complex (d).

Table 2 The chemical shifts ( $\delta$ ) of QCT, SBE- $\beta$ -CD and complex

Substance	Proton	$\delta$ (free)	$\delta$ (complex)	$\Delta\delta$
QCT	H-2'	7.683	7.673	0.01
	H-6'	7.524	7.523	0.001
	H-5'	6.87	6.864	0.006
	H-8	6.412	6.384	0.028
	H-6	6.191	6.164	0.027
SBE- $\beta$ -CD	H-1	5.057	5.053	0.004
	H-3	3.767	3.771	-0.004
	H-5	3.624	3.627	-0.003
	H-2'/H-3'	1.617	1.615	0.002

between ring A and the cavity was greater than that of ring B. In the case of SBE- $\beta$ -CD, H-1 ( $\Delta\delta$ 0.004 ppm, upper field) and H-3 ( $\Delta\delta$ 0.004 ppm, lower field) exhibited relatively significant chemical shifts compared to H-5 ( $\Delta\delta$ 0.003 ppm, lower field) and H-4 after the formation of the inclusion complex, indicating that QCT entered from the inner surface and the wider side of the cavity (H-3 was near to the wide side of the cavity and H-5 was near to the narrow side of the cavity), but it did not penetrate deeply and influence the chemical environment of H-5. Therefore, H-5 demonstrated a weaker chemical shift. These data are depicted in Table 2.

### 3.9. Antioxidant activity

The antioxidant activity of QCT and the inclusion complex against ABTS radicals and DPPH radicals is shown in Fig. 4. The scavenging ability of all the samples was enhanced with an increase in the concentration of QCT, and there was a dose-effect relation between scavenging ability and concentration.<sup>36</sup> In the case of ABTS radicals, the scavenging

ability of the inclusion complex greatly increased compared to QCT, the scavenging rate was essentially twice that of QCT. The scavenging rate of the inclusion complex was close to 100% at the concentration of 0.05 mg mL<sup>-1</sup>. In the case of the DPPH radicals, the scavenging ability of the inclusion complex was stronger than that of QCT at the concentration of 0.01 mg mL<sup>-1</sup>, which was 6.6-times higher than that of QCT. There was also a dose-dependent relation between scavenging ability and concentration. The results showed that the inclusion complex could significantly increase the antioxidant activity of QCT at certain concentrations, and its scavenging effect on ABTS radicals was greater than the two free radicals.

The scavenging effect of antioxidants on free radicals is associated with their hydrogen supply capacity and the antioxidant performance of phenolic compounds (*e.g.* QCT) is determined by their structure. One hydroxyl in the *ortho* hydroxyl groups was hydrogenated to become a carbonyl group and the other formed an intramolecular hydrogen bond, which further resonated to form *o*-benzoquinone, thus stabilizing the compound. Thus, the *ortho* hydroxyl group in ring B of QCT was the crucial structure that determined its scavenging ability for free radicals. The antioxidant performance of the inclusion complex was closely related to its binding mode. During the inclusion process, the hydroxyl of QCT was close enough to the secondary hydroxyl of SBE- $\beta$ -CD, and one or more intermolecular hydrogen bonds were formed between the host and guest. This strong intermolecular binding weakened the intramolecular hydrogen bond of QCT and the covalent bond between the oxygen and hydrogen in the hydroxyl improved the hydrogen supply capacity of the QCT hydroxyl, and thus improved the antioxidant activity of inclusion complex.



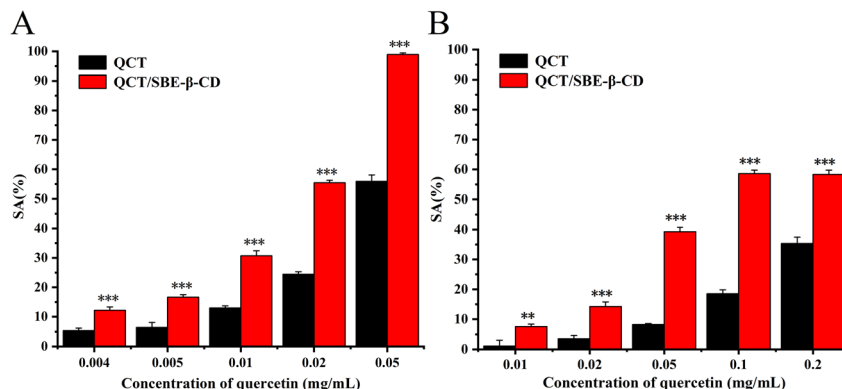


Fig. 4 Antioxidant activity of quercetin and the inclusion complex against ABTS radicals (A) and DPPH radicals (B).

### 3.10. MIC analysis

It was found that the inhibition effect of either QCT or the inclusion complex on *S. aureus* was stronger than that of *E. coli*, where the inhibition effect on *E. coli* was very poor in all experimental concentration ranges (when the highest concentration reached  $900 \text{ mg mL}^{-1}$ , there was still no inhibition circle. The MIC of *E. coli* was at least 42-times higher than that of *S. aureus*, and the solution was already approached to the viscous state, and thus there was no research significance anymore). The reason for this may be related to the structure of the bacteria, where Gram-negative bacteria have a rigid cell wall and an outer membrane that create resistance, whereas although Gram-positive bacteria have a thick peptidoglycan layer, they lack an outer membrane, and thus their cellular contents can easy to leak, and eventually the bacteria die.<sup>37</sup> Therefore, subsequent experiments were conducted only on *S. aureus*.

The control group could not inhibit the growth of bacteria, and the experimental groups showed a significant concentration dependence with an increase in the concentration of QCT. The reason for this was that the initial amount of the inclusion complex used was low, and it was not possible to release enough QCT to inhibit the growth of the bacteria. As the dosage gradually increased, the antibacterial activity increased, and the inhibitory area also increased. The amount of QCT released by the inclusion complex could have a certain degree of inhibition on the growth of *S. aureus*. The inhibition diameters of the inclusion complex against *S. aureus* were  $15.9 \pm 0.1 \text{ mm}$ ,  $12.75 \pm 0.25 \text{ mm}$ ,  $12.1 \pm 0.1 \text{ mm}$  and  $10.65 \pm 0.15 \text{ mm}$  at different concentrations ( $170 \text{ mg mL}^{-1}$ ,  $85 \text{ mg mL}^{-1}$ ,  $42.5 \text{ mg mL}^{-1}$  and  $21.25 \text{ mg mL}^{-1}$ ), respectively. The MIC of the inclusion complex against *S. aureus* was  $21.25 \text{ mg mL}^{-1}$ . The inhibition effect of the inclusion complex was better than that of QCT, and the main reason for this was that although SBE-β-CD itself did not have antibacterial properties, the inhibition activity of QCT itself was not affected after the inclusion.<sup>38</sup> However, its solubility, dissolution rate and stability in water were significantly improved, and its utilization rate improved, and thus the bacterial inhibitory effect was enhanced, which helped it fully contact with the pathogens in solution. These data are presented in Table 3.

### 3.11. MBC analysis

The MBC and bacterial survival of *S. aureus* by the inclusion complex inhibition are displayed in Fig. 5A and B, respectively. It can be seen that the growth state of *S. aureus* was significantly inhibited with different concentrations of inclusion complexes. Taking the bacterial solution diluted by  $10^9$  times as an example, at the concentration of  $21.25 \text{ mg mL}^{-1}$  (MIC), the survival rate of *S. aureus* was only 63.2% of that of the control group, indicating that the bacteria were only inhibited in growth but could not be completely killed under the effect of MIC. As the concentration of the inclusion complex increased, the survival rate of the bacteria gradually decreased. When increasing the concentration to  $42.5 \text{ mg mL}^{-1}$  (2 MIC), the median lethal rate of *S. aureus* was reached (54.4%). When the concentration further increased to  $85 \text{ mg mL}^{-1}$  (4 MIC), there were few viable bacterial colonies surviving on the plates, with a bacterial survival rate of 1%, indicating that the inclusion complex could kill 99% of *S. aureus* at this concentration, and thus the MBC of the inclusion complex was  $85 \text{ mg mL}^{-1}$ .

### 3.12. Growth curve

The growth inhibition curves of *S. aureus* by the inclusion complex are shown in Fig. 5C. The bacterial growth state of the

Table 3 The results of the inhibition zone of QCT and QCT/SBE-β-CD

Compounds	Concentration ( $\text{mg mL}^{-1}$ )	Diameter of inhibition zone (mm)
QCT	170	$15.0 \pm 0.1$
	85	—
	42.5	—
	21.25	—
	10.625	—
QCT/SBE-β-CD	5.3125	—
	200	$15.9 \pm 0.1$
	100	$12.75 \pm 0.25$
	50	$12.1 \pm 0.1$
	25	$10.65 \pm 0.15$
	12.5	—
6.25	—	



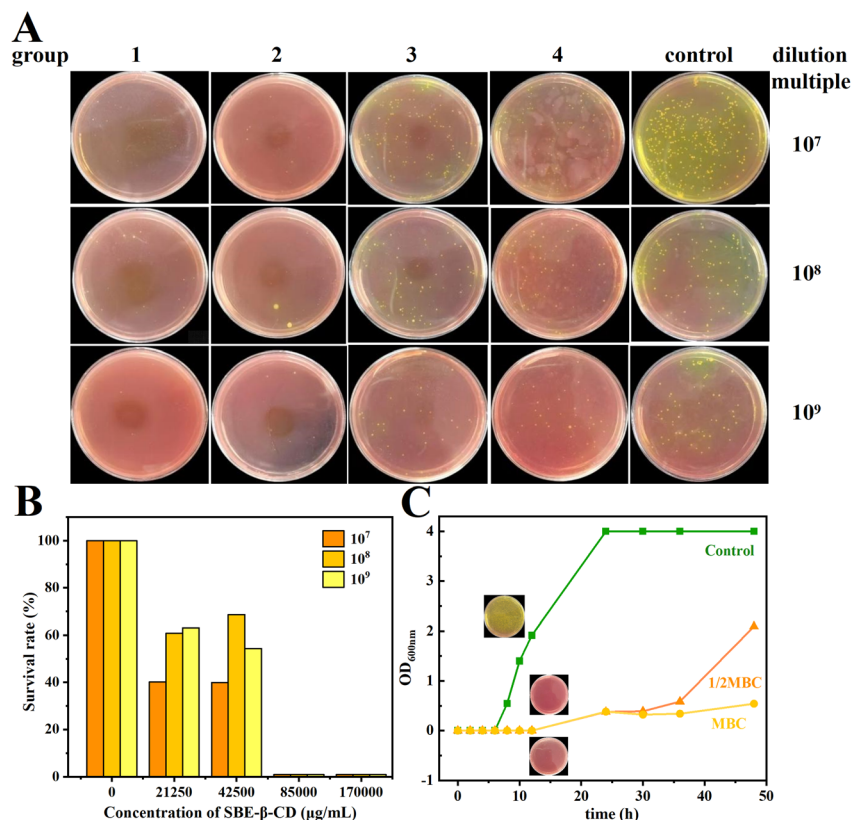


Fig. 5 MBC of *S. aureus* by inclusion complex inhibition (A) and bacterial survival (B) for groups 1–4 at concentrations of 170, 85, 42.5 and 21.25 mg mL<sup>-1</sup>. Effect of three concentrations of inclusion complexes on the growth curve of *S. aureus* (C).

control group was good, growing slowly in 0–6 h and starting to grow rapidly after 8 h. The bacterial growth was significantly inhibited by both 42.5 mg mL<sup>-1</sup> (1/2 MBC) and 85 mg mL<sup>-1</sup> (MBC) of inclusion complex, and the OD<sub>600 nm</sub> values hardly changed during the initial 12 h, indicating that inclusion complex could effectively inhibit the growth and reproduction of *S. aureus*, especially during the logarithmic phase of bacterial growth. At 12 h, the bacteria concentration of blank group reached to  $(6.02 \pm 0.36) \times 10^{11}$  CFU mL<sup>-1</sup>, while the bacteria concentration of the 1/2 MBC and MBC groups was still not observed at that time.

### 3.13. Molecular docking

The three-dimensional stereo structures of QCT and SBE- $\beta$ -CD are shown in Fig. 6A and B, respectively. QCT (Fig. 6A) is a linear molecule containing a polar group (carbonyl group) and a nonpolar aliphatic chain, and made up of two benzene rings (ring A and ring B) and a pyran ring (ring C), with ring A and ring C forming a 2-phenylacrylic acid structure with an *m*-phenol hydroxyl group on ring A and *o*-phenol hydroxyl group on ring B. The surface of SBE- $\beta$ -CD (Fig. 6B) became highly hydrophilic due to the exposed hydroxyl groups in C-2 and C-3, sulfobutyl groups, and the  $\alpha$ -1,4 glycosidic bonds. The three best conformations of the inclusion complexes obtained by molecular

docking are shown in Fig. 6C. Mode A scored the highest (2.912) compared to mode B (2.625) and mode C (2.501), indicating that it was most likely that the end of ring A and ring C of QCT entered the cavity along the direction of the broad side of SBE- $\beta$ -CD, while ring B was at the edge of the broad side of SBE- $\beta$ -CD. The green dotted line indicated that the hydroxyl groups at H-5 and H-7 of ring A and the secondary hydroxyl groups of SBE- $\beta$ -CD form hydrogen bond interactions, which connected the host and guest with intermolecular hydrogen bonds. Previous reports observed that reserpine (RSP) also approached SBE- $\beta$ -CD from the broad side of the cavity and attached to its inner surface, with the formation of hydrogen bonds and only one hydrogen bond formed in the inclusion of protocatechuic acid and vanillic acid with SBE- $\beta$ -CD.<sup>39,40</sup>

Combining the phase-solubility, <sup>1</sup>H NMR and molecular docking results, we concluded that the positions of 3'-OH, 4'-OH, 3-OH, 7-OH and 5-OH of QCT inserted into the cavity of SBE- $\beta$ -CD or formed hydrogen bonds with SBE- $\beta$ -CD. In addition, 5-OH and 7-OH could also form hydrogen bonds. Ring A interacted with the cavity but not deep, only entering from the inner surface of the cavity, while ring B could only partially penetrate the cavity due to the spatial site resistance and was located at the wide side. Therefore, several probable inclusion modes of the inclusion complex were deduced, as shown in Fig. 6D.



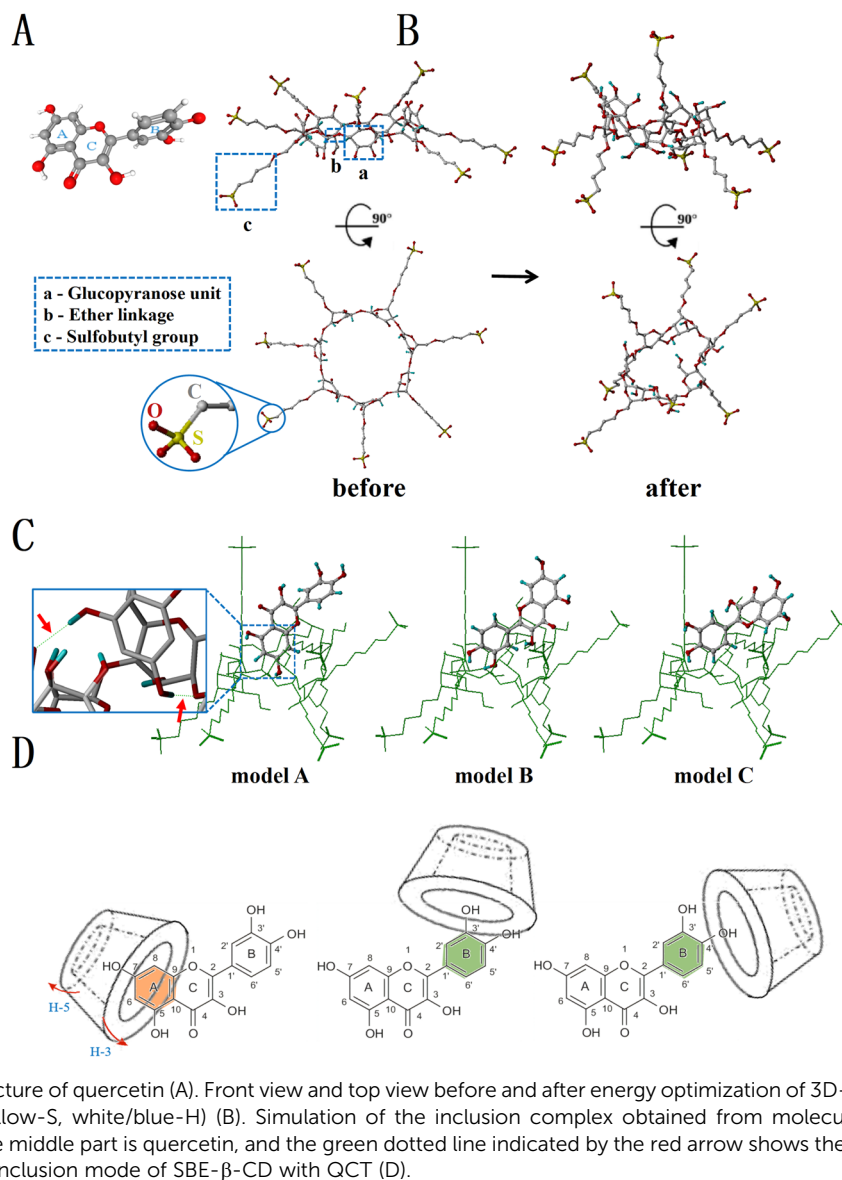


Fig. 6 3D-molecular structure of quercetin (A). Front view and top view before and after energy optimization of 3D-molecular structure of SBE- $\beta$ -CD (grey-C, red-O, yellow-S, white/blue-H) (B). Simulation of the inclusion complex obtained from molecular docking: the green ring structure is SBE- $\beta$ -CD, the middle part is quercetin, and the green dotted line indicated by the red arrow shows the possible hydrogen bonding interactions (C). Possible inclusion mode of SBE- $\beta$ -CD with QCT (D).

## 4. Conclusion

In our study, we successfully prepared an inclusion complex of QCT and SBE- $\beta$ -CD *via* a stirring combined freeze-drying method and analyzed their structural characteristics by FT-IR, UV, XRD, DSC and SEM. According to the phase-solubility experiments, SBE- $\beta$ -CD could theoretically form a 1 : 1 inclusion complex with QCT, maintaining strong inclusion bonding and good stability, and the  $K_s$  values increased with an increase in temperature, which had a good solubilization effect on quercetin. The antioxidant studies showed that the inclusion complex significantly improved the scavenging ability of QCT for ABTS radicals and DPPH radicals. The inhibition activity study showed that the inhibition effects of the inclusion complex and QCT on *S. aureus* were stronger than that for *E. coli* and the inhibition effect of the inclusion complex was greatly better than that of QCT. The inclusion complex could effectively inhibit the growth of *S. aureus*. Besides, the

mechanism of inclusion was also explored, providing a theoretical basis for obtaining an inclusion complex. Ring A of QCT was embedded in the cavity of SBE- $\beta$ -CD, while ring B could only partially penetrate the cavity, which was located at the wide side. Future studies should focus on the application of inclusion complexes in the food industry and their bioavailability *in vivo*.

## Author contributions

Kunkun Dai and Jiayi Wu contributed equally to this work. Kunkun Dai: conceptualization, software and writing – review & editing; Jiayi Wu: methodology, investigation and writing – original draft; Xinyang Liu: validation and data curation; Suilou Wang: supervision; Yihang Liu: visualization; Hehe Li: conceptualization and resources; Haixiang Wang: project administration, supervision, funding acquisition and writing – review & editing.



## Conflicts of interest

There are no conflicts to declare.

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