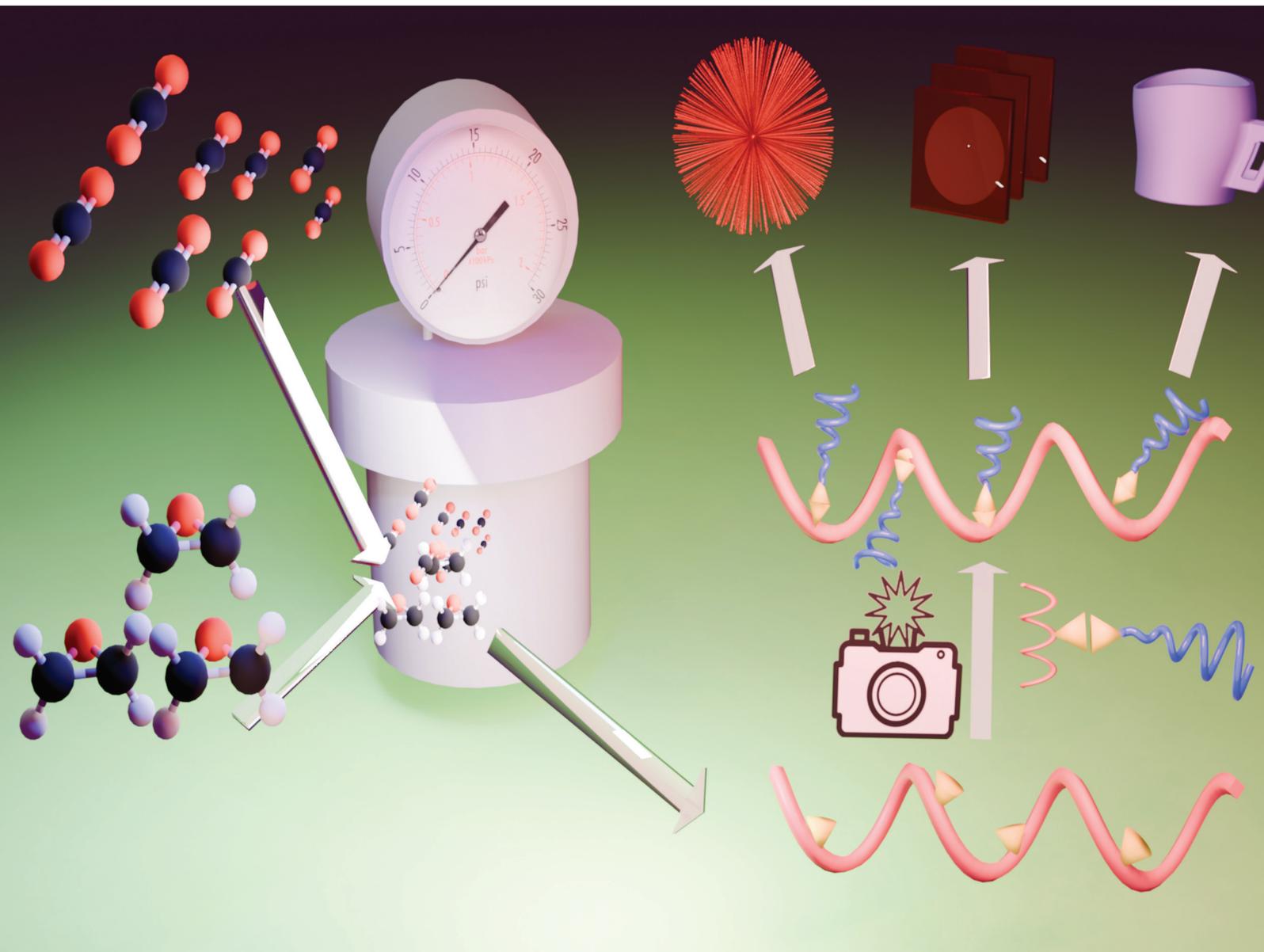


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## REVIEW ARTICLE

Gulzar A. Bhat, Donald J. Darensbourg *et al.*  
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# Post-polymerization functionalization of aliphatic polycarbonates using click chemistry

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Presently there is much interest in the synthesis of degradable, more sustainable polymers from resources that minimize the use of petroleum-based feedstocks. A process receiving much attention related to this challenge is the production of polycarbonates from CO<sub>2</sub> and epoxides or cyclic carbonates. These polymeric materials generally lack thermal and mechanical properties as well as economic feasibility to compete with the widely used polyolefins and BPA-derived polycarbonates; nevertheless, because of their degradability and biocompatibility, these CO<sub>2</sub>-derived polymers can play valuable roles in niche applications. This implementation would be greatly enhanced by the functionalization of these polymers. A wide variety of aliphatic polycarbonates are readily synthesized *via* the copolymerization of epoxides and carbon dioxide. Nevertheless, these polymeric materials have limited utility, in part because of their lack of functionalities. These shortcomings can be modified by way of post-polymerization processes. Prominent among these modifications is click chemistry. Relevant pathways for these materials are thiol-ene and alkyne-azide processes. Herein, we will discuss the functionalization of polymers produced from the copolymerization of CO<sub>2</sub> and epoxides and the ring-opening polymerization of six-membered cyclic carbonates. We will also highlight several of the unique applications these novel materials are used for, particularly in biomedical science.

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## Introduction

Aliphatic polycarbonates represent a promising class of polymeric biomaterials due to their biocompatibility and controlled biodegradability. Additionally, these polymers can be obtained from a variety of resources, including both natural and renewable. These polycarbonates are synthesized *via* three main routes: (i) polycondensation of aliphatic diols and diallyl carbonates, (ii) ring-opening polymerization of six-membered cyclic carbonates, or (iii) the copolymerization of 3- or 4-membered cyclic ethers and carbon dioxide. Because of their low glass transition temperatures ( $T_g$ s), *e.g.*, polypropylene carbonate has a  $T_g$  of 40 °C, and often lack of functionality, these copolymers have inferior mechanical properties. For example, BPA polycarbonate has a high  $T_g$  value of 140–150 °C, rendering it useful in a wide variety of commercial applications as compared to some aliphatic polycarbonates.

Similarly, aliphatic polycarbonates are unlikely to ever be competitive, both in terms of their physical properties and economic feasibility, with the broadly used polyolefins. Nevertheless, since these aliphatic polycarbonates (aPCs) have

the potential to be biocompatible and (bio)degradable,<sup>1</sup> there are numerous niche applications which greatly benefit from their use, especially in biomedical science. Despite the advantages that aPCs possess, their lack of functionality can greatly hinder their ability to serve as high value-added materials. In efforts to broaden the usability of these polymeric materials, there is a need for enhancing their functionalities. In general, this is most conveniently achieved using a post-polymerization strategy, that is either thiol-ene or alkyne-azide click chemistry. Herein, we will focus on utilizing these approaches for functionalizing aliphatic polycarbonates derived from carbon dioxide (CO<sub>2</sub>) and cyclic ethers or six-membered cyclic carbonates.

Recently, due to growing environmental concerns, sustainability and development of eco-friendly products are highly desirable. Anastas and Warner developed the “Twelve Principles of Green Chemistry” to represent the objectives of sustainability in the discipline of chemistry. The principles of green chemistry often center around a number of ideas, including the use of renewable feedstocks, employing safe and ecologically friendly materials, and atom economy.<sup>2</sup> As carbon dioxide (CO<sub>2</sub>) is one of the greenhouse gases emitted in large quantities, its emission must be reduced and it must be stored and/or recycled into chemicals in order to maintain its permissible concentration in the atmosphere. In this regard, catalytic coupling of epoxides with CO<sub>2</sub> to produce biodegradable

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polycarbonates can help in recycling of CO<sub>2</sub> emissions, hence representing a viable option for utilizing this abundantly available gas for creating value added products. For example, polyether carbonate polyols are presently used on the industrial scale to be employed in the production of polyurethanes. It has been shown by a life-cycle analysis when compared to the production of conventional polyether polyols that polyols containing 20% by weight of CO<sub>2</sub> provide a greenhouse gas (GHG) reduction of 11–19%.<sup>3</sup> Polycarbonates have diverse applications and have been extensively used as adhesives, ceramic binders, coatings, and packaging materials and in the synthesis of engineering thermoplastics and resins.<sup>1,4</sup> The most widely utilized polycarbonate is produced through condensation reactions between bisphenol A (BPA) and phosgene gas (COCl<sub>2</sub>) in a biphasic NaOH/DCM system (Scheme 1a).<sup>5</sup> However, an alternate route for synthesizing BPA polycarbonates *via* a melt reaction between BPA and diphenyl carbonate has enhanced the eco-friendly nature of its preparation.<sup>6</sup> Notwithstanding, BPA remains being toxic and the cause for many other diseases.<sup>7</sup>

Currently, there is not a broad-based replacement for BPA-derived polycarbonates; however, the development of environment friendly and biodegradable polycarbonates from renewable sources is an important strategy to reduce the dependency on petroleum-based resources for the synthesis of other useful polycarbonates. In this direction, an important discovery of the copolymerization of propylene oxide (PO) and CO<sub>2</sub> catalyzed by an inefficient heterogeneous catalytic system (ZnEt<sub>2</sub>/H<sub>2</sub>O) was reported by Inoue and coworkers in 1969 which paved the way for the synthesis of different polycarbonates *via* this viable approach (Scheme 1b).<sup>8,9</sup> This discovery has motiva-

ted researchers across the globe to develop well-defined catalytic systems for selective coupling of epoxides with CO<sub>2</sub> to copolymers. Furthermore, this approach generally can be carried out in the absence of a solvent as most epoxides are liquids.<sup>10</sup> Although the conversion of CO<sub>2</sub> into useful products is a challenging process, it can be overcome by reacting CO<sub>2</sub> with high energy molecules like oxiranes for the synthesis of polycarbonates or cyclic carbonates.<sup>11,12</sup> During this process, formation of several side products like cyclic carbonates *via* backbiting or formation of a ether linkage due to successive ring opening may also take place.<sup>13</sup> Two important epoxides, PO and cyclohexene oxide (CHO), have been widely investigated for the copolymerization process due to their reactivity and product selectivity. In contrast to BPA-based polycarbonates, these polycarbonates are mainly biodegradable. Although the large level of CO<sub>2</sub> emissions can't be compensated by utilizing CO<sub>2</sub> as a feedstock for chemical synthesis, this copolymerization approach offers a route to the products obtained from renewable and non-toxic resources. Utilizing CO<sub>2</sub> is also important with respect to current environmental concerns and the key advantage is that CO<sub>2</sub> is inexpensive in nature. On a commercial scale, companies like Covestro, Saudi Aramco and Empower materials are synthesizing different polyols for polyurethane synthesis based on CO<sub>2</sub>/epoxide copolymerization (*vide supra*).<sup>14</sup> Despite many advantages, the lack of functionalities has limited the use of polycarbonates in several applications.

Fortunately, these polycarbonate materials can be functionalized *via* pendant or terminal attachments using click chemistry which is the main aim of this review (Scheme 1c).<sup>15</sup> For a comprehensive presentation of the current state of metal- and



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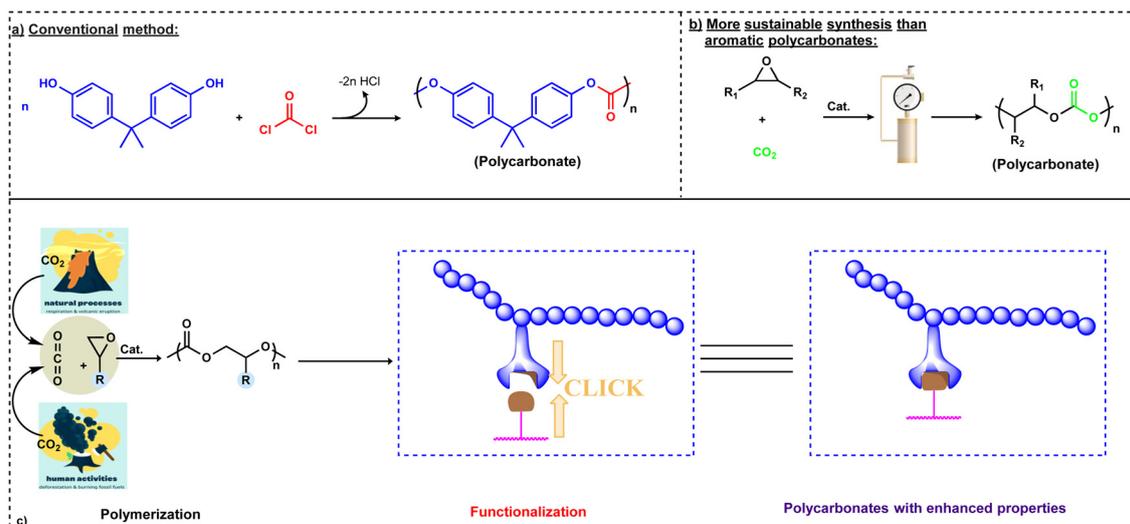


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**Scheme 1** Comparison of conventional and sustainable approaches for polycarbonate synthesis. (a) Conventional method of synthesis from bisphenol A (BPA) and phosgene.<sup>5</sup> (b) Sustainable synthesis of polycarbonates.<sup>8,9</sup> (c) Brief description about the synthesis and functionalization of polycarbonates synthesized via the copolymerization of CO<sub>2</sub> and epoxides.<sup>15</sup>

organo-catalysts for the copolymerization of CO<sub>2</sub> and epoxides, along with the mechanistic aspects of these processes, see our recent review.<sup>16</sup>

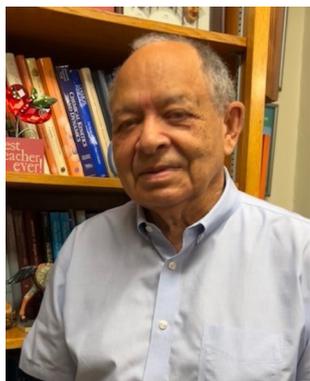
## Synthesis of functional aliphatic polycarbonates

As alluded to earlier, the availability of aliphatic polycarbonates with specific functionalities is essential in advancing the scope of applications open to these polymeric materials. That is, a variety of techniques, including post-polymerization

functionalization, are used to change the mechanical properties, manage biodegradation, and extend the chemical and biological properties of polycarbonates.<sup>15</sup> In post-polymerization functionalization, the functional groups are anchored in an orthogonal fashion along the main polymeric backbone, hence increasing the side chain length as well. As physical properties like toughness and glass transition temperature ( $T_g$ ) are attributed to the main chain, therefore, softening of main chain rigidity may occur due to the lengthening of side chains by post-polymerization modification.<sup>17</sup> Typically, functional groups, such as aryl, alkyl, alkene, alkyne, and azide, have been used to categorize the methods for post-polymerization modifications. Particularly, post-polymerization alterations of polycarbonates with alkene functionalities have drawn a lot of attention for the synthesis of improved biomaterials.<sup>18</sup> There is a need to summarize the methods and approaches that aid in the synthesis of useful functional aliphatic polycarbonates on a large scale. The most notable for this purpose is the class of precise and tunable bio-orthogonal processes known as click chemistry.

The term “click chemistry” was first introduced by K. Barry Sharpless, H C. Kolb and M. G. Finn in 2001 through a review article.<sup>19</sup> One can simply understand the “click” term by considering the clicking of two pieces of a seat belt. As they were highly impressed by the nature’s way of working with substances, the main aim of Sharpless and coworkers was to join small units through a heteroatom linkage and to describe reactions that enable products with high yields and great selectivity.<sup>19,26</sup>

This approach gave birth to a desire to harness the efficiency of molecular assembly for various applications, hence revolutionizing molecular engineering. For a reaction to be categorized under this approach, it must be “modular, wide in scope, give very high yield, generate only inoffensive bypro-



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*Donald J. Darensbourg was born in Baton Rouge, LA in 1941 and received his B.S. and Ph.D. degrees from California State University at Los Angeles and the University of Illinois/Urbana, respectively. Following a nine month period at the Texaco Research Center in Beacon, NY, he was on the faculties of State University of New York at Buffalo from 1969 to 1972 and Tulane University from 1973 to 1982. He has been at Texas A&M*

*University since 1982 where he currently is a Distinguished Professor. Among his current interests are the utilization of CO<sub>2</sub> as both a monomer and solvent in copolymerization reactions with oxiranes and oxetanes, and the ring-opening polymerization of renewable monomers such as lactides.*



ducts that can be removed by non-chromatographic methods and be stereospecific (not necessarily enantioselective)". Also the required characteristics for the process include "simple reaction conditions, readily available starting materials, use of no solvent or a solvent that is benign, and simple product isolation."<sup>19,27</sup> It is crucial to understand that click reactions have strong thermodynamic driving forces generally greater than 20 kcal mol<sup>-1</sup>.<sup>19,28</sup> The success of click chemistry has made a huge impact on the research community, as of now two decades later this approach has won Nobel Prizes for making difficult processes easier.<sup>29</sup> Various click chemistry reactions that have been reported are summarized in Scheme 2a.<sup>20,21</sup>

Of the diverse groups of click reactions depicted in Scheme 2a, thiol-ene click chemistry is the leading technique for functionalizing aliphatic polycarbonates. The word "thiol-ene" is generally used to denote the addition of a thiol to an ene bond; however, thiol-ene is associated with a radical mediated reaction, whereas base/nucleophile-mediated additions of activated enes are described as thiol-Michael addition.<sup>30</sup> Although the thiol-ene reaction is simply hydrothiolation of alkene unsaturation, this type of reaction has been widely used for many applications. The key features associated with the thiol-ene reaction are that the process is completed in a fraction of seconds, its dependence on the polarity of the S-H bond, and the S-H bond dissociation energy is less than that of the corresponding O-H bond.<sup>31</sup>

As stated above, the reaction between thiols and enes proceeds *via* a radical mechanism called thiol-ene reactions, as shown in Scheme 2b, or through an anionic chain called a thiol-Michael addition, as shown in Scheme 2c, under mild basic or nucleophilic conditions. However, in contrast to the traditional thiol-Michael addition, the use of a weak base like triethylamine (NEt<sub>3</sub>) is enough for catalysis.<sup>22,23</sup> Thiol-ene click reactions, thermally or photochemically induced, occur through an anti-Markovnikov path<sup>32</sup> and the rate of free radical thiol-ene chemistry depends upon the structure of the thiol and ene groups.<sup>33</sup> The rate dependency on structure is due to steric and electronic effects; additionally the presence of different functional groups can also effect the rate of reaction because of inhibiting or favouring the radical formation.<sup>34</sup>

In the case of the alkyne-azide click chemistry, the reaction between an alkyne and an azide, generally catalyzed by Cu(I), results in the formation of a five-membered heteroatom ring.<sup>35</sup> The widespread employment of this reaction confirms the fact that it fulfills many of the prerequisite conditions of click chemistry. That is, various substituted alkynes and azides are readily available and many others can be synthesized with attached functional groups.<sup>36</sup> Nevertheless, due to high reaction yields, readily available materials as well as following the principles of green chemistry such as energy efficiency and use of solvents,<sup>33,37</sup> thiol-ene click chemistry is the method of choice for functionalizing aliphatic polycarbonates.<sup>38-40</sup> Although post-polymerization modifications result in desired functional group attachments, the addition of one more step

for targeted application needs specific requirements like more time and energy. Moreover, each additional step has the potential for the introduction of undesired results. Also to get the preferable product, additional characterization and purification have to be done, therefore adding economic implication to the process. However economic implications can be justified by the utility of the desired functional products.

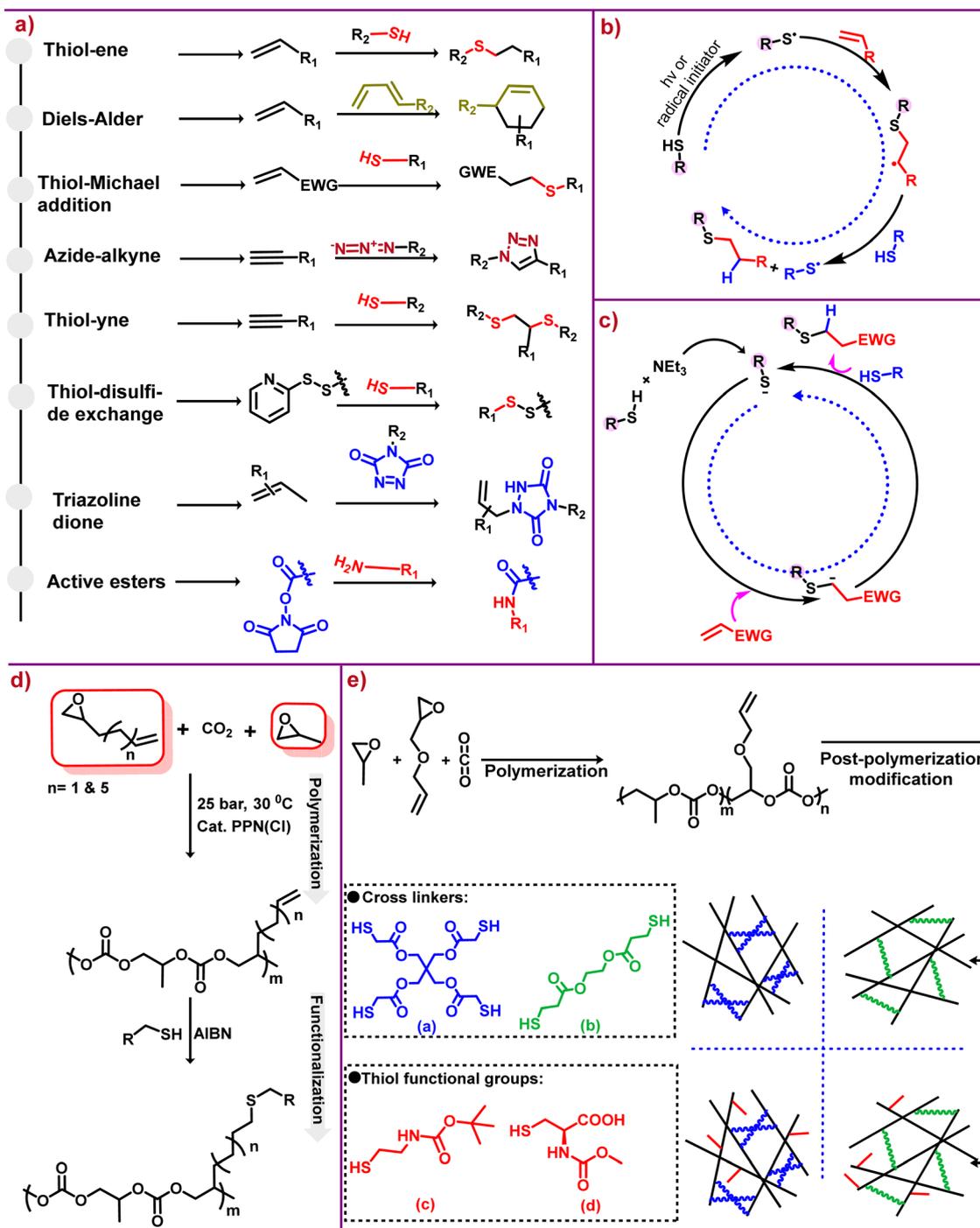
## Thiol-ene click chemistry: an efficient method for functionalization of aliphatic polycarbonates

Post-polymerization functionalization provides an efficient synthetic route to access useful aPCs *via* a single scaffold. It also enables the addition of groups that if present in monomers will be detrimental in the polymerization process. Although there are several reports that have compiled many aspects of functionalized polycarbonates,<sup>14,15,18,41</sup> in this section of the review we will summarize the most recent developments concerning the synthesis of functionalized aPCs *via* thiol-ene click chemistry. Importantly, in the thiol-ene click approach, pendant alkene groups are the main targets for modification, and therefore no protection/deprotection is needed to provide functionalization. This is true whether aPCs are obtained *via* coupling of CO<sub>2</sub> and epoxides or ring opening of cyclic carbonates. The reaction conditions for this type of post-polymerization process generally involve radical initiators like azobisisobutyronitrile (AIBN), followed by refluxing at appropriate temperatures. A UV light source can also be used for this type of post-polymerization modification.

The advantageous features of CO<sub>2</sub> and epoxide copolymerization provide a facile method for developing platforms for the synthesis of various active functional polycarbonates. In this regard, Frey and coworkers terpolymerized CO<sub>2</sub> with PO and 1,2-epoxy-5-hexene or 1,2-epoxy-9-decene in various feed ratios to provide terpolymers which were functionalized with thiols, mercapto ethanol, thioacetic acid and thioglycolic acid (Scheme 2d).<sup>24</sup> This approach paved the way for synthesizing numerous amphiphilic polycarbonates. For example, we synthesized copolymers from 2-vinyl oxirane (VIO) and CO<sub>2</sub>, as well as terpolymers VIO/PO/CO<sub>2</sub>. Both were functionalized by thiol-ene click reactions with 2-mercaptoethanol and thioglycolic acid, followed by modification with NH<sub>4</sub>OH and L-aspartic acid anhydride hydrochloride to yield water soluble polycarbonates.<sup>44</sup>

Unlike the terpolymer prepared from PO/VIO and CO<sub>2</sub>, where PO is more reactive than VIO, leading to a more tapered polymer, the terpolymer produced from PO/allylglycidyl ether/CO<sub>2</sub> has a random distribution of unsaturated side chains. These terpolymers were subjected to cross linking, as shown in Scheme 2e, using thiol-ene click chemistry.<sup>25</sup> Recently, polyols produced from PO/AGE/CO<sub>2</sub> were functionalized with mercaptopropionic acid, which afforded polycarbonates with high





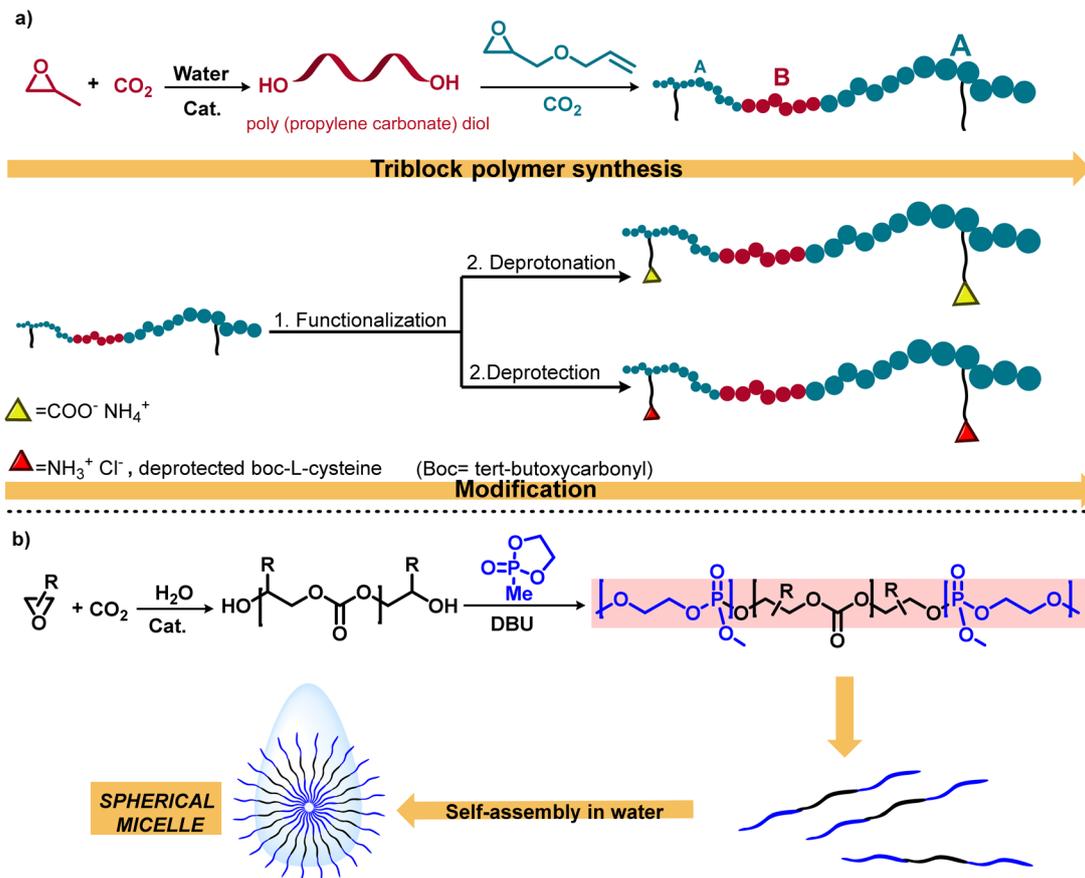
**Scheme 2** Click chemistry and its applications. (a) Different classes of reactions that can be employed for post-polymerization modification of various polycarbonates.<sup>20,21</sup> (b) Mechanistic depiction of the thiol-ene reaction via a radical pathway.<sup>22,23</sup> (c) Proposed base-catalyzed mechanism for hydrothiolation.<sup>22,23</sup> (d) Terpolymerization followed by post-polymerization modification via thiol-ene click chemistry.<sup>24</sup> (e) Polycarbonate synthesis [(a and b) crosslinkers] and [(c and d) thiol functional groups].<sup>25</sup>

toughness and tensile strength for synthesizing waterborne polyurethanes.<sup>45</sup>

This methodology for the schematic synthesis of functional aPCs has provided for the first time polymeric nanoparticles derived from the coupling of CO<sub>2</sub>/PO/AGE using a (salen)

CoTFA/PPNTFA (TFA = trifluoroacetate) binary catalyst system (Scheme 3a).<sup>42</sup> In this study, post-polymerization alteration of these triblock polymers with thiols yielded amphiphilic polycarbonates with negative or positive charges, which exhibited self-assembly into spherical nanostructures when added to de-





**Scheme 3** Sequential approach for the synthesis and functionalization of polycarbonates. (a) Water-mediated polycarbonate synthesis and functionalization to achieve amphiphilic polycarbonates.<sup>42</sup> (b) Illustration of the mechanistic pathway including metal-catalyzed CO<sub>2</sub>/epoxide copolymerization and organocatalytic ring-opening polymerization.<sup>43</sup>

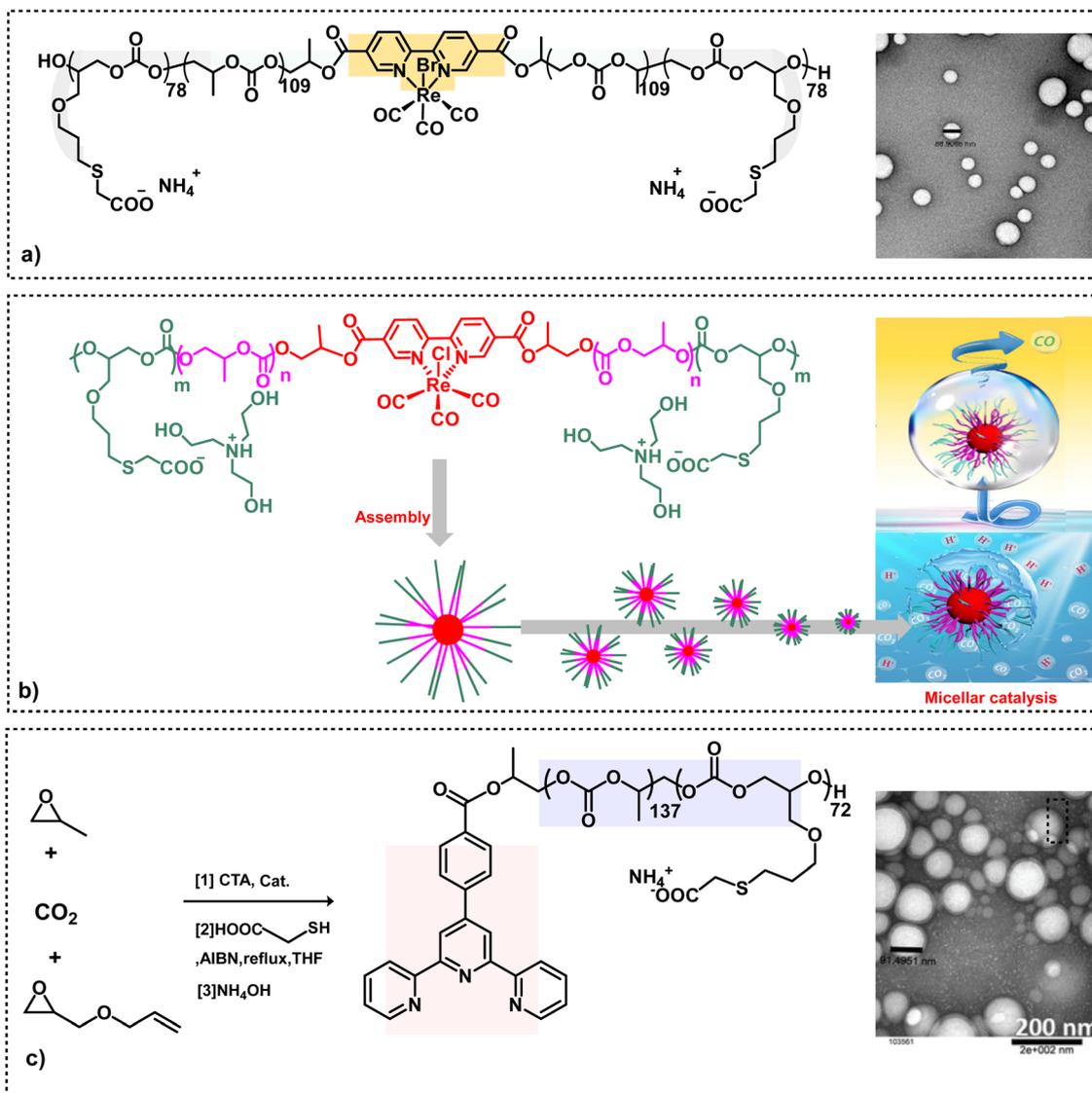
ionized water. Considering the importance of amphiphilic macromolecules, this research group synthesized another triblock copolymer, poly(phosphate-*b*-carbonate-phosphate) (PPE-*b*-PPC-PPE), in the presence of an organocatalyst DBU (1,8-diazabicyclo[5.4.0]undec-7-ene) to afford self-assembled micellar structures in water (Scheme 3b).<sup>43</sup> Additionally, this methodology was employed for the synthesis of degradable amphiphilic aPC; following this, the conjugation with gadolinium results in polymeric micelles with efficient magnetic resonance imaging properties for cancer diagnosis and treatment.<sup>50</sup>

Subsequent to the successful synthesis of amphiphilic aPCs utilizing chain transfer agents, it became apparent that we could add functionality to the chain transfer agent itself.<sup>51,52</sup> Indeed, this behavior was seen upon embedding (bpy) or (bpy) Re(CO)<sub>3</sub>Br in the hydrophobic part of the backbone chain of an (AGE)(PO)(CO<sub>2</sub>) triblock polymer employing (2,2'-bipyridine-5,5'-dicarboxylic acid) or its rhenium complex as a CTA prior to its modification with HOOC(CH<sub>2</sub>)SH *via* thiol-ene click chemistry and deprotonation with NH<sub>4</sub>OH (Scheme 4a).<sup>46</sup> These anionic amphiphilic polycarbonates containing (bpy)Re(CO)<sub>3</sub>Br in the backbone chain were shown to self-assemble in water to afford uniform and spherical nanostructures. The

rhenium-based metallocatalyst was demonstrated to act as an efficient photocatalyst in the aqueous medium for the selective reduction of CO<sub>2</sub> to CO (Scheme 4b).<sup>47</sup> In addition to utilizing water as the solvent, the advantages of having the catalyst situated in the hydrophobic nanoreactor over the analogous catalyst in organic solvents are: dimerization of the metal catalyst is retarded by the polymeric backbone; the hydrophobicity of the catalyst avoids photocatalysis of H<sub>2</sub>O; enhanced solubility of CO<sub>2</sub>. Other metallocatalysts which have been synthesized in an analogous manner contain a terpyridine ligand for the binding of various metals, as well as bipyridine palladium complexes for carbon-carbon bond forming processes (Scheme 4c).<sup>48,49</sup>

Derivatives of the commonly employed cyclohexene oxide (CHO) such as vinyl-cyclohexene oxide (VCHO), cyclohexadiene oxide (CHDO), and limonene oxide (LO) have been frequently used for the synthesis of functional polycarbonates. Parenthetically, it should be noted here that these alicyclic epoxides are very selective for copolymer formation from the coupling of CO<sub>2</sub> and epoxides. This is due to the higher activation barrier for cyclic carbonate production,<sup>53</sup> as well as more favorable thermodynamic stability of the copolymer *vs.* cyclic carbonates.<sup>54,55</sup> In this regard, copolymers from VCHO





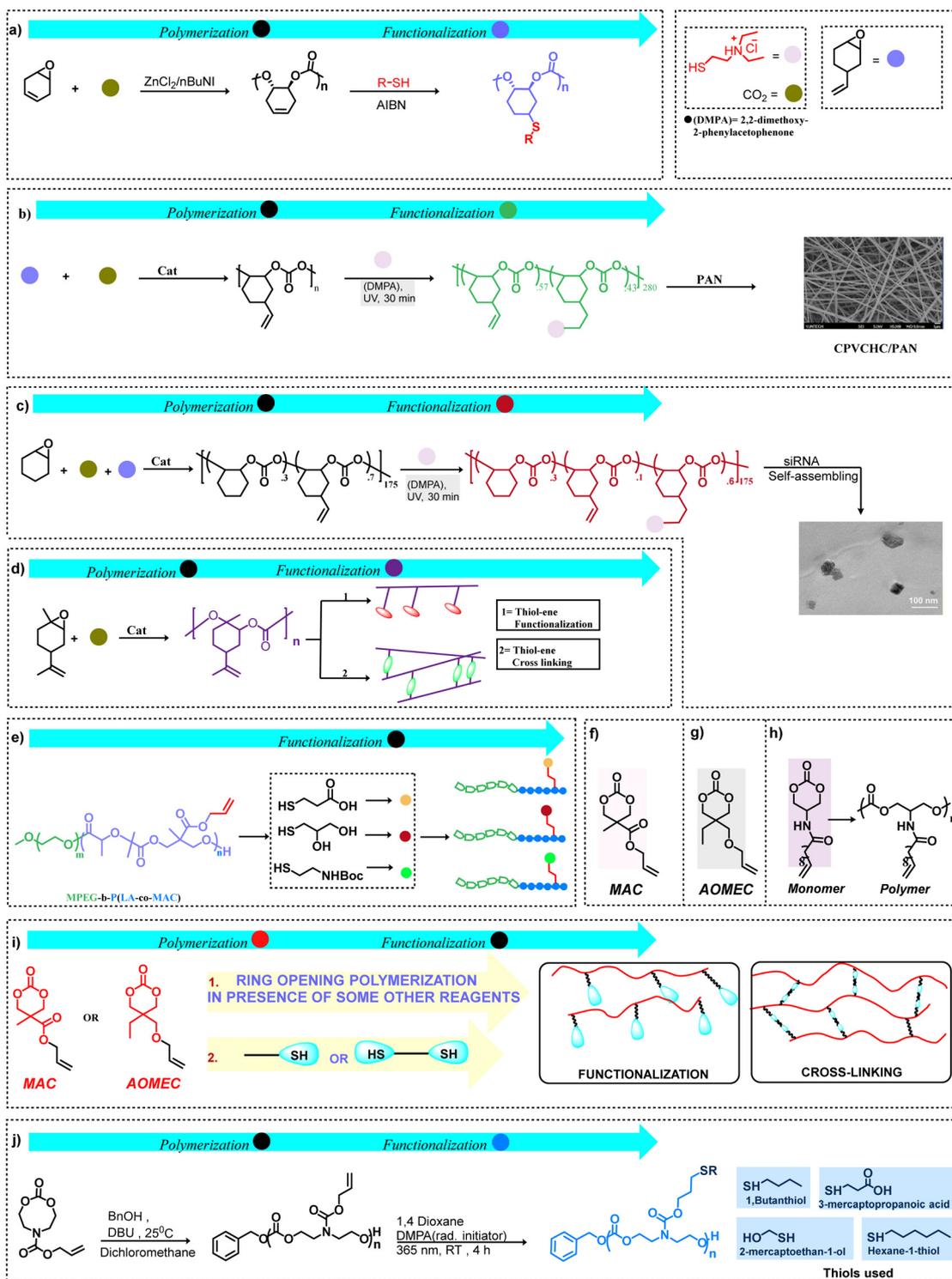
**Scheme 4** Amphiphilic polycarbonate synthesis. (a) On the left, triblock amphiphilic polymer derived from the copolymerization of CO<sub>2</sub> and epoxides containing a bipyridine rhenium complex in its backbone. On the right, TEM image of anionic nano micelles with good uniformity.<sup>46</sup> (b) Synthetic route to Re(dcbpy)-based amphiphilic micellar catalysts used for CO<sub>2</sub> to reduction in water.<sup>47</sup> (c) Synthesis of a triblock polymer attached with a chelating ligand, followed by functionalization. The TEM image shows anionic nanoparticles.<sup>48,49</sup>

and CO<sub>2</sub> were synthesized and modified employing thiol-ene click chemistry with  $\beta$ -mercaptoethanol to provide side chains with -OH end groups. In a sequential process,  $\epsilon$ -caprolactone was grafted onto these -OH groups *via* ring-opening polymerization to afford degradable well-defined brush polymers.<sup>56</sup> In a related alternative process, brush polycarbonates were prepared from the copolymerization of VCHO and CO<sub>2</sub> using an organocatalyst, followed by thiol-ene click reactions with mercaptopropionic acid, with subsequent partial deprotonation of the acid side chains to furnish carboxylates for initiating the copolymerization of PO and CO<sub>2</sub>.<sup>57</sup>

Use of the epoxide monomer, 1,2-epoxy-4-cyclohexene (1,4-CHDO), for copolymerization with CO<sub>2</sub> afforded a completely water-soluble polycarbonate following post-polymerization

functionalization using thiol-ene click chemistry with thioglycolic acid and deprotonation, Scheme 5a.<sup>58</sup> The glass transition temperature ( $T_g$ ) of the initially produced polymer was found to be 123 °C or 7 °C higher than that of its saturated analog. Significantly, the 1,4-cyclohexadiene monomer precursor is available from renewable resources.<sup>59</sup> The related epoxide, 1,2-epoxy-3-cyclohexene (1,3-CHDO), was shown to be more reactive with CO<sub>2</sub> to produce a copolymer with a  $T_g$  of 106 °C, much lower than its isomeric counterpart.<sup>60</sup> Additionally, the enhanced activity of 1,3-CHDO allows for its terpolymerization with PO and CO<sub>2</sub> to afford random terpolymers with a PO to 1,3-CHDO content of 0.81 from a 1 : 1 feed ratio. Under these conditions, a terpolymer with a  $T_g$  near 70 °C was produced, which can be further tuned for post-





**Scheme 5** Functionalization by thiol-ene click chemistry. (a) Cyclohexadiene oxide as a biological source for functional polycarbonate synthesis.<sup>58</sup> (b) Preparation and functionalization of cationic poly(vinylcyclohexene carbonates) via the copolymerization of VCHO and  $\text{CO}_2$ , also the polycarbonate backbone was blended with polyacrylonitrile (PAN) to form nanofiber meshes (NFMs) via electrospinning.<sup>61</sup> (c) Schematic illustration of the formation of CPCHCs/siRNA nanoparticles from functional polycarbonates.<sup>62</sup> (d) General approach for synthesizing limonene oxide-based functional and cross-linked polycarbonates. (e) Functionalization of a copolymer with different mercaptans.<sup>65</sup> (f) ref. 63, (g) ref. 64, and (h) ref. 65 Special monomers for polycarbonate synthesis. (i) MAC and AOMEc-based functional and cross-linked polycarbonates derived from the ring-opening polymerization of these monomers. (j) Allyl bearing eight-membered cyclic carbonates obtained via a two-step synthesis approach initially, followed by the ring-opening homopolymerization of an allyl bearing eight-membered cyclic carbonate and functionalization via a radical thiol-ene approach.<sup>37</sup>



polymerization modifications for a variety of applications. In separate studies, Sugimoto and coworkers have modified in post-polymerization processes the cyclohexene rings of copolymers derived from 1,4-CHDO and CO<sub>2</sub> with -Br, -Cl, or -OH groups.<sup>17</sup> Other accounts of the synthesis of a terpolymer based on PO/VCHO/CO<sub>2</sub> have been reported which provide polymeric materials, which can be functionalized by thiol-ene click chemistry.<sup>66</sup>

With the aim of synthesizing biodegradable polymeric surfactants, functional poly(cyclohexene carbonate)s have been obtained *via* thiol-ene click reactions following the copolymerization of VCHO or VCHO/CHO and CO<sub>2</sub>.<sup>61,67</sup> That is, 2-(diethylamino)ethanethiol hydrochloride (DEAET) was anchored onto these polycarbonates by way of a UV-radiated thiol-ene click mechanism with subsequent conversion into nanoparticles *via* a mini-emulsion interfacial cross-linking technique.<sup>67</sup> In this manner, two different DEAET functionalized polycarbonates were examined for their antimicrobial and antitumor activities. The DEAET-modified cationic poly(vinylcyclohexene carbonate)s were found to provide efficient antibacterial activity against *Staphylococcus aureus*. In order to have additional biomedical applications, the cationic copolymer having a tertiary amine density of 43% relative to the backbone was blended with polyacrylonitrile (PAN) to form nanofiber meshes *via* electrospinning (Scheme 5b).<sup>61</sup> Other related studies utilized terpolymers derived from CHO/VCHO/CO<sub>2</sub> functionalized with DEAET to various extent to treat osteosarcoma by acting as efficient synthetic gene vectors. The expression of the polo-like kinase 1 (PLK1) gene in osteosarcoma cells was significantly suppressed when employing polycarbonate/siRNA nanoparticles for treatment. Among the different cationic polycarbonates, ones with 60% amine moieties showed the best results (Scheme 5c).<sup>62</sup>

Since the development of an effective process for the copolymerization of limonene oxide (LO), a bio-mass-derived monomer and CO<sub>2</sub> by Coates and coworkers,<sup>68</sup> significant progress has been achieved in the post-polymerization functionalization of this polymeric material.<sup>69</sup> Bio-based poly(limonene carbonate)s have been functionalized to provide antibacterial polymers, heat processing-grade polymeric materials, and seawater soluble polymers.<sup>70</sup> In these cases, functionalization by thiol-ene click chemistry with 2-mercaptothiol or mercaptoacetic acid enhances hydrophilicity; whereas, addition of butyl-3-mercaptoacrylate followed by curing converts the polycarbonate into an elastic rubbery material and treatment with 2-(diethylamino) ethanol, followed by quaternization with benzyl bromide forms a polycarbonate with antibacterial properties. Poly(limonene-8-9-oxide carbonate) has been synthesized *via* chemo selective coupling of LO and CO<sub>2</sub>, and was modified with various nucleophiles, including thiols by way of epoxide ring opening.<sup>71</sup> Cross-linked polycarbonates derived from renewable resources have received much interest. In this regard, thermoset materials have been synthesized *via* a thiol-ene approach based on poly(limonene carbonate)s, such as the series of terpolymers obtained from LO/CHO/CO<sub>2</sub> with variable limonene carbonate

contents which provide polymers with improved thermal properties.<sup>72,73</sup> Recently, poly(limonene carbonate) cured with trifunctional thiols afforded poly(thioether-*co*-carbonate) networks to act as important bio-based coatings.<sup>74</sup> In general, a sustainable synthetic pathway for limonene oxide-based functional and cross-linked polycarbonates is shown in Scheme 5d.

Correspondingly, it is possible to synthesize functional polycarbonates *via* the ring-opening polymerization of 6- or 8-membered cyclic carbonates. This can be achieved either by first synthesizing functional cyclic carbonates, often an onerous task, or by post-polymerization by thiol-ene click chemistry. For example, the cyclic carbonate monomer 5-methyl-5-allyloxy-carbonyl-1,3-dioxan-2-one (MAC) (Scheme 5e and f) and its derivatives are frequently used monomers because of the versatile nature of this cyclic carbonate as demonstrated by Yue *et al.*<sup>63</sup> This pathway was employed by Jing and coworkers to prepare the copolymer poly(LA-*co*-MAC) which was functionalized by grafting NHS-activated folic acid to the poly(ester carbonate) by thiol-ene click chemistry.<sup>75</sup> In another instance, using 2-allyloxymethyl-2-ethyl trimethylene carbonate (AOMEC), Olsen *et al.* have functionalized poly(AOMEC) *via* thiol-ene click chemistry using 1-dodecanethiol (Scheme 5g).<sup>64</sup> Similarly, using (AOMEC) derived from the easily accessible and cost-efficient precursor trimethylolpropane allyl ether diol (TMAD), polycarbonates with pendant allyl groups were synthesized by way of ring-opening polymerization. The resulting polycarbonates were functionalized using 1-dodecanethiol, 3-mercaptoacetic acid, and benzyl mercaptan to afford polymers with tunable thermal responses.<sup>76</sup> The development of a prodrug containing doxorubicin (DOX) through hydrazone bonds based on poly(5-methyl-5-allyloxycarbonyl-1,3-dioxan-2-one)-*graft*-12-acryloyloxy dodecyl phosphorylcholine (PMAC-*graft*-ADPC) was obtained by way of ROP of MAC. This prodrug exhibited micellar assembly in aqueous solution and was shown to release DOX in cancer cells. Moreover, this prodrug is biodegradable and can be eliminated from the body following drug release.<sup>77</sup> Similarly, 3,4-dihydroxyphenylalanine (DOPA), the naturally occurring amino acid, was anchored on a MAC-based polycarbonate *via* thiol-ene click chemistry and shown to form self-healing gels in the presence of Fe(III).<sup>78</sup> Here, initially pMAC was synthesized, followed by the synthesis of DOPA-thiol, and at the end, DOPA functionalization of the initially synthesized pMAC was obtained *via* UV initiated thiol-ene coupling between the allyl moiety of the polymer and the thiol group of DOPA-thiol. In other studies, Dove and coworkers have used organocatalysts for the ring-opening polymerization of MAC to provide polycarbonates with narrow molecular weight distributions and high end-group fidelity, with subsequent functionalization of the allyl groups by the radical addition of 1-dodecanethiol.<sup>79</sup> Additionally, this group has synthesized a new furan-protected maleimide-containing six-membered cyclic carbonate for ROP to afford homopolymers and copolymers with L-lactide.<sup>80</sup> This was followed by activation of the maleimide groups in these polymers *via* a retro Diels-Alder reaction to provide pendant maleimide moieties for further



modification using thiol–ene click chemistry with the thiols, 1-hexanethiol and 6-(ferrocenyl)hexanediol. The latter thiol made accessible a readily discernible NMR probe.

Dove's research group has also synthesized triblock polymers derived from the ROP of MAC and *l*-lactide, followed by reversible addition–fragmentation chain transfer (RAFT) polymerization of tetrahydropyran.<sup>81</sup> This was accomplished by first ROP of a small quantity of MAC (degree of polymerization (DP) = 4) using an organocatalyst and the dual-headed initiator dodecyl 4-(hydroxymethyl) benzyl carbonotrithioate and *l*-lactide (DP = 32) to provide the diblock polymer PLLA-*b*-PMAC. Tetrahydropyran acrylate (THPA) was subsequently polymerized from the macro initiator PLLA-*b*-PMAC by a RAFT polymerization process (DP = 258) to afford the triblock polymer PLLA<sub>32</sub>-*b*-PMAC<sub>4</sub>-*b*-PThPA<sub>258</sub>. Following deprotection of the THPA block, crystallization-driven self-assembly leads to the development of cylindrical micelles where the inner hydrophobic MAC core was shown to undergo click chemistry with the thiols, benzyl mercaptan and 6-(ferrocenyl)hexanethiol. This approach provides a possible novel means for drug delivery. Another synthesis of an amphiphilic polymer utilizing thiol–ene click chemistry for possible drug delivery has been reported by Kuang, *et al.*<sup>82</sup> That is, these researchers have synthesized a mPEG-*b*-P(LA-co-MAC) polymer backbone, subsequently adding 3-mercapto-1,2-propane diol using click chemistry. The nucleobase, thymine, was grafted onto the hydrophobic segment of these amphiphilic polymers, providing nanoparticles in water, thereby allowing hydrogen bonding of a modified adenine group in the hydrophobic core. In another instance, the polycarbonate synthesized from the cyclic carbonate monomer having pendant unsaturation procured from methyl undec-10-enoate was modified using a cinnamate thiol (Scheme 5h).<sup>65</sup> Thus as a result of the ring-opening polymerization of MAC and AOMEC, these cyclic monomers have been used for synthesizing functional and cross-linked polycarbonates, as shown in Scheme 5i. Biologically active functional polycarbonates have also been synthesized *via* ring opening of cyclic carbonate monomers; these monomers were initially synthesized using 1,1'-carbonyldiimidazole as a key reagent, hence this approach also presents a cost-efficient route for synthesizing customized carbonate monomers.<sup>83</sup> Due to the unique properties of boronic acid polymers, access to facile and well-defined boronic acid functional polycarbonates has been presented by utilizing 6-membered cyclic carbonate monomers and by taking advantage of post-polymerization modification.<sup>84</sup> Furthermore, a distinctive approach for post-polymerization *via* transesterification between pentafluorophenyl ester functional polycarbonates and different alcohols has been achieved under milder conditions to afford functional polycarbonates.<sup>85</sup>

In a recent and topical publication, an amphiphilic sulfonated polycarbonate was synthesized which rapidly inactivates SARS-CoV-2.<sup>86</sup> This was achieved utilizing two functional cyclic carbonate monomers derived from 5-methyl-5-carboxyl-1,3-dioxane-2-one (MTC-OH), where one was functionalized with a protected sulfonate prepared *via* thiol–ene click chemistry and

the other with an *n*-butyl substituent. Several polymers were prepared with varying stoichiometries of hydrophobic *n*-butyl segments and once deprotected hydrophilic sulfonate pendants, with the copolymer containing 30% sulfonate content showing the highest antiviral activity for SARS-CoV-2 (ancestral wild-type and the delta variant). In addition, this polymer exhibited the least cytotoxicity against mammalian cells.

In contrast to six-membered cyclic carbonates, Alexander and coworkers have employed cyclic eight-membered carbonate monomers to synthesize several functionalized polycarbonates by way of thiol–ene click chemistry (Scheme 5j).<sup>37</sup> To broaden the scope of CO<sub>2</sub> utilization and to address different concerns, first one-pot synthesis of 7- and 8-membered cyclic carbonates was performed under ambient conditions using CO<sub>2</sub>, diols, tosyl chloride and a mild base (triethylamine).<sup>87</sup> Concomitant to this, Hedrick and coworkers also reported the synthesis of 6- and 8-membered functional cyclic carbonates followed by ring-opening polymerization to synthesize diverse functional polycarbonates.<sup>88</sup> Moreover, considering the sustainability, an innovative strategy was developed involving the depolymerization reaction of a bisphenol A-based polycarbonate in the presence of an organocatalyst along with functional diols to achieve functional 6-membered cyclic carbonate monomers.<sup>89</sup>

In order to enhance the properties of solid-state batteries for next-generation use, polymers with a specific combination of mechanical, chemical and electrochemical properties could help to overcome the challenges associated with these batteries. In this regard, a block polymer with hard–soft–hard blocks was synthesized. Here, the soft block represents poly(ethylene oxide) for boosting the ionic conductivity and the hard block represents poly(4-vinyl cyclohexene oxide carbonate) for improving oxidative stability and providing mechanical strength. Moreover, the pendent unsaturation in the hard block was functionalized with phosphonic acid *via* a thiol–ene click chemistry approach for adhesive properties.<sup>90</sup>

Regarding elastomers, the triblock polymer poly(vinyl-cyclohexene carbonate-*b*-decalactone-*b*-vinyl-cyclohexene carbonate) was synthesized in a one pot process. This was followed by UV-initiated attachment of 3-mercaptopropionic acid *via* thiol–ene click chemistry and coordination with metal centres to afford thermoplastic elastomers.<sup>91</sup> Another type of elastomer was synthesized by incorporating copper–thioether coordination within a polycarbonate framework. This was achieved through the copolymerization of cyclohexene oxide, vinyl cyclohexene oxide, and CO<sub>2</sub>, followed by the functionalization of unsaturated pendant groups using hexanethiol and dodecylthiol *via* thiol–ene click chemistry. The subsequent introduction of copper enables dynamic, reversible coordination with sulfur, thereby converting the rigid polymer into an elastomeric material.<sup>92</sup>

In addition to all of these post-polymerization modifications, thiol–ene click chemistry has been utilized for cross-linking various cyclic carbonate-based polycarbonates providing diverse applications such as nanosponges, hydrogels, 3D printed porous materials and elastic polymers.<sup>93–96</sup>



# Functionalization of polycarbonates via azide–alkyne cycloaddition and 1,2,4-triazoline-3,5-dione (TAD)

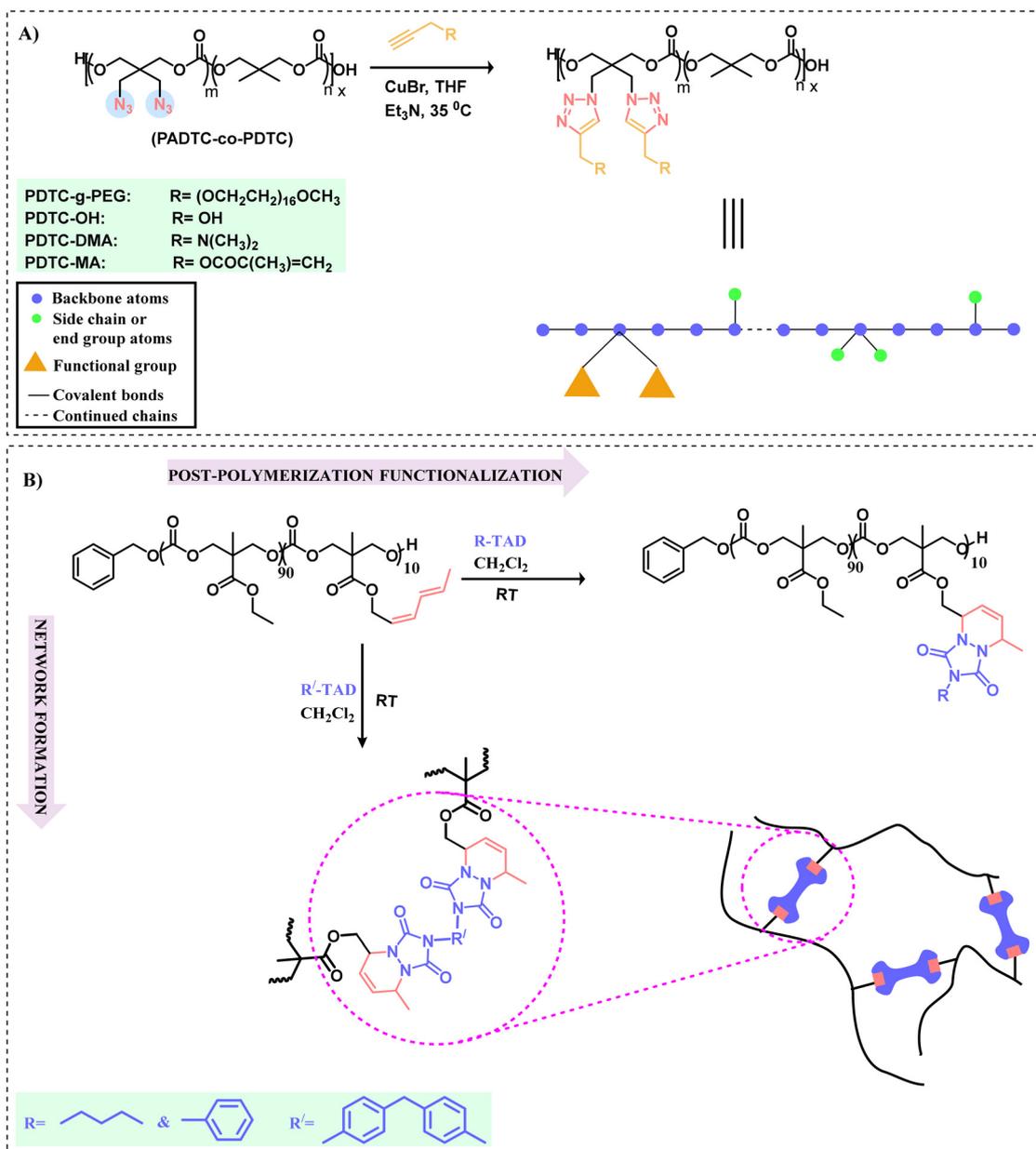
Although there is much literature utilizing azide–alkyne cycloaddition (AAC) in polymer chemistry, herein, we will solely consider it as an efficient tool for functionalizing polycarbonates. This methodology, in the presence of a Cu(I) catalyst to afford a 1,4-adduct *via* a click reaction under milder reaction conditions, has expanded the functionalization pathways for polycarbonates. In the absence of a catalyst, this process requires elevated temperatures and leads to a lack of regioselectivity.<sup>97</sup> This was first illustrated by Sharpless *et al.* in 2002 using Cu(I) as a catalyst. The mechanistic details for the reaction can be explained as the catalyst generally (Cu(I)) reacts with a terminal alkyne to form a copper–acetylide complex. Now the azide moiety coordinates with the copper complex, followed by 1,3-dipolar cycloaddition between the terminal alkyne and the azide group, resulting in the formation of a five-membered ring (1,2,3-triazoline).<sup>98</sup> Since that time, other metal catalysts have been employed in this type of click chemistry, including Ru(II) and Ag(I).<sup>99</sup> Both alkyne and azide containing polycarbonates have been synthesized, *e.g.*, glycidyl propargyl ether can be utilized in the synthesis of alkyne bearing polycarbonates, whereas cyclic carbonate monomers and polycarbonates can possess azide groups suitable for alkyne–azide click chemistry.<sup>100–104</sup> Functional biodegradable aliphatic polycarbonates have been synthesized from 5-methyl-5-propargyloxycarbonyl-1,3-dioxan-2-one (MPC) and L-lactide, resulting in a diblock polymer with orthogonal acetylene moieties for further anchoring with 2-azidoethyl  $\beta$ -D-glucopyranoside or 2-azidoethyl  $\beta$ -lactoside using a Cu(I) catalyst.<sup>15,105</sup> Because of its stability and ease of synthesis, MPC has become an efficient monomer for providing polymer modifications.<sup>83</sup> These include disulfide,<sup>106</sup> azide-modified spiropyran (SP-N<sub>3</sub>),<sup>107</sup> altered dimethylamine,<sup>108</sup> and benzylbenzene boronic acid pinacol ester,<sup>109</sup> which have been used for the functionalization of aliphatic polycarbonates through alkyne–azide click chemistry for various applications such as controlled release of hydrophobic drugs. Similarly, alkyne-containing cyclic carbonate monomers capable of enhancing the properties of various aliphatic polycarbonates with respect to low cytotoxicity and drug release have been reported. Examples involve 5-methyl-5-propargyl-1,3-dioxan-2-one,<sup>110</sup> 5,5-di(propargyl)-1,3-dioxan-2-one,<sup>111</sup> and methyl-2-O-ethyloxycarbonyl-3-O-propargyloxycarbonyl-4,6-O-carbonyl- $\alpha$ -D-glucopyranoside.<sup>112</sup> Alkyne-containing compounds like propargyl alcohol and alkyne-terminated poly(ethylene glycol) can also be employed for the synthesis of functional aliphatic polycarbonates *via* alkyne–azide click reactions. Utilizing this approach, propargyl palmitate has been successfully grafted onto polycarbonates to generate amphiphilic block-graft copolymers.<sup>113</sup> Redox-responsive polymeric micelles having cross-linked cores for stability in aqueous solution with applications in drug loading have been prepared using 2,2-bis(azidomethyl)tri-

methylene carbonate (ADTC) and 2,2-dimethyltrimethylene carbonate (DTC) with monomethoxy poly(ethylene glycol) (mPEG) as an initiator.<sup>114</sup> For the synthesis of an amphiphilic block copolymer, these azide groups aid in cross-linking *via* a click reaction with a disulfide-containing dialkyne.

Cyclic polymers, because of topological effects, have distinct and unique physical/chemical properties, such as high thermodynamic stability compared to their linear counterparts.<sup>115</sup> In this direction, a functional amphiphilic cyclic polycarbonate was synthesized *via* an alkyne azide reaction.<sup>116</sup> Following the tremendous work done for the polymerization and post-polymerization functionalization of substituted cyclic and acyclic carbonate monomers, Zhu *et al.* have shown that alkyne-terminated polyethylene can be anchored onto the azide-containing copolymer of  $\epsilon$ -caprolactone ( $\epsilon$ -CL) and 5,5-dibromomethyltrimethylene carbonate (DBTC).<sup>117,118</sup> Using this pathway, a focus of Ai-Yun Peng's research group was to functionalize the P(BMTC-*co*-L<sub>2</sub>LA) polymer derived from 2-bromomethyl-2-methyltrimethylene carbonate (BMTC) with L-lactide (L<sub>2</sub>LA). Following the azidation of the polymer, clicking on phenyl acetate provided the functional polycarbonate.<sup>119</sup> Another azide polycarbonate 2,2-bis(azidomethyl)trimethylenecarbonate (PADTC-*co*-PDTC) was anchored with pendant functionalized groups such as alkynyl-terminated poly(ethylene glycol) monomethyl ether (propargyl-PEG), propargyl alcohol, dimethylpropargylamine, and propargyl methacrylate using click chemistry catalyzed by CuBr-Et<sub>3</sub>N in THF at 35 °C, as shown in Scheme 6a. Interestingly, the PDTC-*g*-PEG polymer is amphiphilic in nature (PEG acts as a side chain) capable of self-assembling in a particular solvent.<sup>120</sup> To circumvent the toxic effects of Cu(I) and to enhance the biomedical applications, functionalization of azide polycarbonate with dibenzocyclooctyne was carried out through copper-free strain-promoted azido-alkyne cycloaddition.<sup>121</sup> Also, a method for the *in situ* generation of Cu(I) has been developed using the precursor copper sulphate (CuSO<sub>4</sub>) as a feedstock for the generation of Cu(I) using ascorbate for the reduction of Cu(II) to Cu(I).<sup>122</sup> Although the copper catalyzed reaction is efficient but due to residual copper, the potential toxicity can't be ignored. In contrast to this, copper-free strain-promoted azido-alkyne cycloaddition relies on the high energy strained cyclooctyne ring to facilitate the reaction. Hence this reaction can be done in biological systems. Thus far, we have described several click reaction pathways for the functionalization of polycarbonates, including thiol–ene, alkyne–azide, and strain promoted azido-alkyne. Recently, the reaction of 1,2,4-triazoline-3,5-dione (TAD)-based derivatives for the functionalization of polycarbonates has received much attention due to the ultra-fast nature of this process.<sup>123</sup>

TAD molecules are heterocyclic moieties where the azo group is attached to two carbonyl substituents.<sup>125</sup> An important feature of TAD is its electron-withdrawing carbonyl groups and symmetric electron density, giving it an electrophilic nature like that of carbenes or singlet oxygen.<sup>126</sup> Due to the presence of the highly electron-deficient N=N (nitrogen–nitrogen) double bond, TAD acts as an exceptionally strong electro-





**Scheme 6** Polycarbonate functionalization using alkyne–azide 1,2,4-triazoline-3,5-dione (TAD) click reactions. (A) Functionalization of polycarbonate bearing a pendant azide group through an alkyne–azide click chemistry approach.<sup>120</sup> (B) Pathway depicting the functionalization and formation of network materials.<sup>124</sup>

phile for numerous reactions. TAD can be used as a dienophile for Diels–Alder reactions and as an electrophile for ene-like nucleophilic addition with phenols and indoles.

Using TAD compounds for the functionalization of aliphatic polycarbonates by click chemistry is a new approach employed initially by Du Prez and coworkers.<sup>124</sup> This reaction is a type of Diels–Alder cycloaddition reaction involving 1,2,4-triazoline as a dienophile during the reaction with conjugated dienes; therefore, the mechanism involves a typical cycloaddition pathway. Such a type of click approach generally involves the reaction between TAD and the diene moiety under ambient

conditions in an organic solvent. The advantages of TAD click chemistry are no catalyst or initiators are used and no byproducts are formed during the functionalization of the polymer. In a specific example, 5-methyl-5-hexadienoxycarbonyl-1,3-dioxane-2-one (MTC-HD) was copolymerized with a nonfunctional monomer 5-methyl-5-ethyloxycarbonyl-1,3-dioxane-2-one (MEC) using an organocatalyzed ring-opening polymerization (ROP). In order to examine the effect of functionalization on  $T_g$ , post-polymerization modifications were carried using 4-butyl-TAD (BuTAD) or 4-phenyl-TAD (PhTAD) (Scheme 6b). To highlight the versatility of this concept, bivalent TAD com-



pounds based on 4,4'-methylene bis(phenyl isocyanate) (MDI-TAD) were used to synthesize cross-linked polycarbonates.

Another type of click reaction that can be used for functionalization of polycarbonates is the thiol-yne click reaction. This reaction proceeds *via* a two-step path, initially there is the addition of a photo-initiated thiol radical to the alkyne group giving rise to a vinyl sulfide intermediate which is followed by thiol-ene chemistry to give a 1,2-dithioether adduct. This reaction can take place photochemically or thermally. Some research groups have shown that thiol-yne reactions occur with fast kinetics, high efficiency, and at room temperature using sunlight as the renewable source of radiation.<sup>127,128</sup> With the aim of developing materials that can be easily degraded, researchers have synthesized functional polycarbonate *via* thiol-yne click chemistry.<sup>129</sup> By designing a tetrafunctional alkyne monomer having an aliphatic polycarbonate backbone, they have managed to synthesize different cross-linked degradable polycarbonates. Interestingly, the ester linkages present in cross-linkers impart fast hydrolytic degradation of the obtained 3D printed shapes in 1 M NaOH solution.

## Applications of functionalized aliphatic polycarbonates

Functionalized aliphatic polycarbonates have provided applications for biomedical functionality (including drug delivery vehicles and support structures for hard and soft tissues), polymeric electrolytes, barrier materials, construction materials, electronics, and others (Scheme 7a). A select discussion of some of their implementations is provided here. The important key features of aliphatic polycarbonates compared to their aromatic analogs are their (bio)degradability and good biocompatibility, thereby being preferred for biomedical applications.

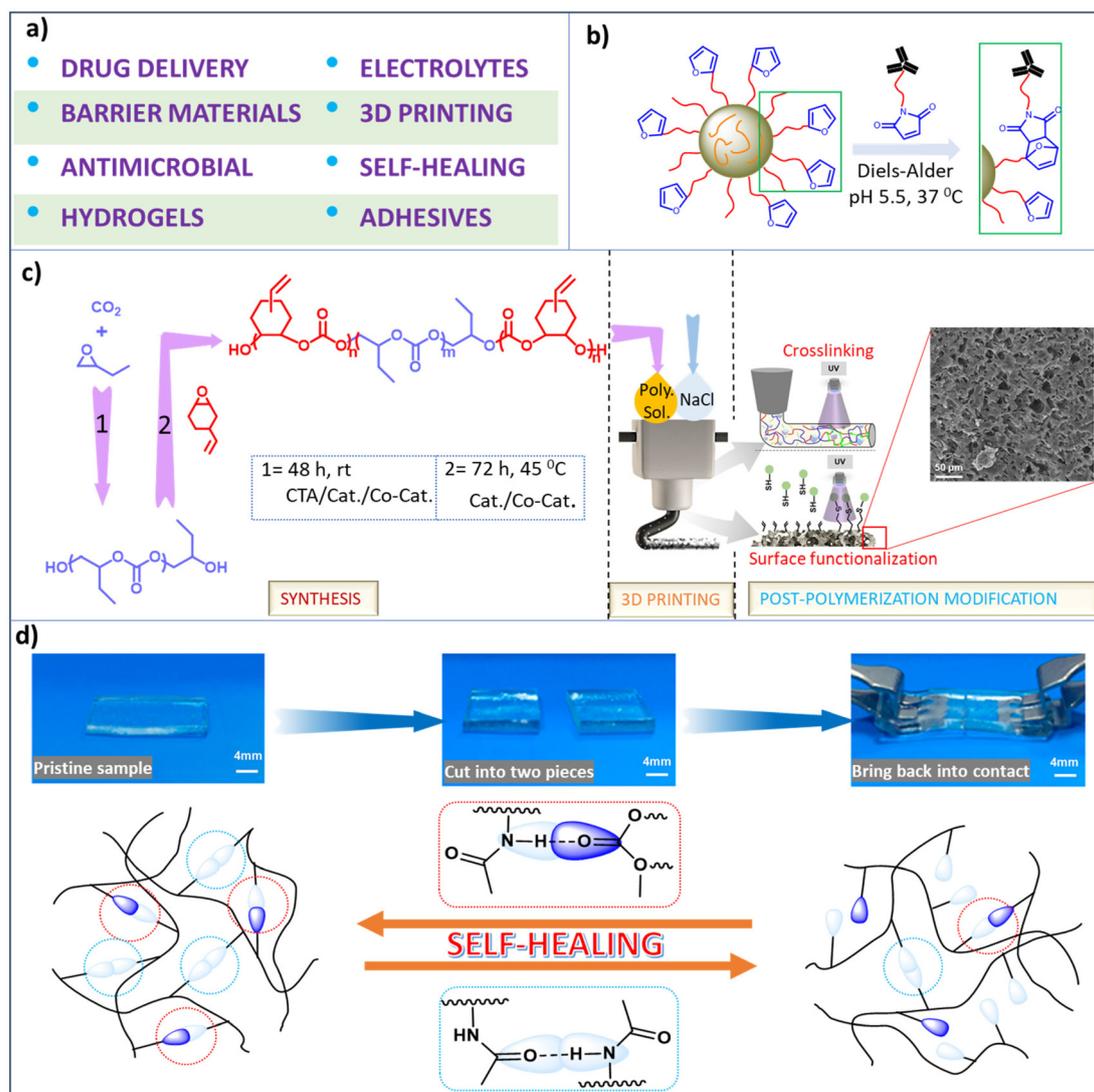
The mechanism of degradation of polycarbonates generally involves end-group activation as opposed to main chain scission, hence polycarbonates can withstand their mechanical properties for a prolonged period of time during degradation.<sup>67</sup> Furthermore, the products of degradation of polycarbonates unlike those of polyesters such as polylactides are neutral in nature.<sup>130,131</sup> Indeed, several aliphatic polycarbonates are approved for biomedical applications by the US Food and Drug Administration (FDA).<sup>44</sup> Functionalized polycarbonates derived from CO<sub>2</sub> provides excellent precursor materials for hydrogels which have numerous applications, such as dressings, personal care products, protein microchips, ophthalmic prostheses, and tissue engineering scaffolds, among others that have been summarized by Xu *et al.*<sup>132</sup> Additionally the chemotherapeutic drug, paclitaxel has been reported to be deliverable by polycarbonate-based thiol-ene hydrogels.<sup>96</sup> In related efforts, Hedrick and coworkers have synthesized sugar-functionalized polycarbonates that were shown to deliver doxorubicin more efficiently into ASGP-R positive HepG2 cells than

in ASGP-R negative HepG2 cells.<sup>133</sup> Other studies have shown that functional furan groups located on the polymer surfaces enable the conjugation of biomolecules, *e.g.*, biodegradable graft copolymers of poly(2-methyl-2-carboxytrimethylene carbonate-*co*-D,L-lactide)-*graft*-poly(ethylene glycol)-furan (poly(TMCC-*co*-LA)-*g*-PEG-furan) were utilized as drug delivery systems because of their ability to self-assemble into micellar immune-polymeric nanoparticles in aqueous solution (Scheme 7b).<sup>134</sup> Similarly, self-assembled polymeric micelles of urea-functionalized polycarbonate/PEG, phenylboronic acid-functionalized polycarbonate/PEG, and a 1:1 mixture of both were used for encapsulating the poorly insoluble toxic antifungal drug amphotericin B.<sup>135</sup>

Other chemotherapeutic investigations involve the high efficiency loading and regulated release of doxorubicin (DOX) into cancer cells *via* the pendant carboxyl-modified block copolymer based on methoxy poly(ethylene glycol)-*b*-poly(5-allyloxy-1,3-dioxan-2-one) (mPEG-*b*-PATMC). Both the carboxyl-modified and unmodified copolymers were shown to self-assemble to produce nano-sized micelles in aqueous solution. It was also revealed that the pendant carboxyl-modified structure in the polymer mPEG-*b*-PATMC-*g*-SCH<sub>2</sub>COOH significantly improves the drug-loading capacity and enhances trapping compared to the unmodified copolymer through electrostatic interactions with amine groups from doxorubicin.<sup>136</sup> It was further shown that PEG and *N*-methylimidazole-functionalized polycarbonate when used in combination with catechol-functionalized polycarbonates for DOX delivery achieved higher drug loading efficiency.<sup>137</sup> Taking advantage of biodegradable polymers, the prodrug poly(5-methyl-5-allyloxycarbonyl-1,3-dioxan-2-one)-*g*-12-acryloyloxy dodecyl phosphorylcholine-*co*-6-maleimidocaproyl-doxorubicin, Ji *et al.* have attached DOX to an aliphatic carbonate,<sup>77</sup> while another research group attached DOX on an amphiphilic polycarbonate,<sup>138</sup> in both cases micelles efficiently release DOX in cancer cells.  $\epsilon$ -Caprolactone and 16-membered cyclic decarbonate-derived biodegradable copolymers have also been employed for drug delivery applications for ibuprofen and doxorubicin.<sup>139</sup> Relative to tumor detection, aPCs have shown promising results, *i.e.*, researchers have demonstrated the synthesis of aPC micelles accompanied with gadolinium, where these polymeric micelles have shown efficient magnetic resonance imaging (MRI) performance. Moreover the degraded products of these micelles could easily be eliminated from the body *via* the renal path without any toxic effects.<sup>50</sup>

The demand for rechargeable lithium-ion batteries for their numerous applications has led to a need for better electrolytes present in them for enhanced charge flow. This has been achieved by the introduction of poly(propylene carbonate) into a gel,<sup>140</sup> as well as in solid polymers.<sup>141</sup> When poly(vinylidene fluoride)-based gel polymer electrolytes were added to poly(propylene carbonate), the resulting electrolyte displayed a significant increase in ionic conductivity.<sup>142</sup> Relative to this objective, Wenhan and coworkers have synthesized a gel polymer electrolyte by functionalizing a terpolymer produced from PO/AGE/CO<sub>2</sub> using thiol-ene click chemistry with a thiolated





**Scheme 7** Various applications of synthesized polycarbonates. (a) Depiction of different applications of functional polycarbonates. (b) Representation of the reaction between maleimide-modified antibodies and self-assembled micellar nanoparticles formed from poly(2-methyl-2-carboxytrimethylene carbonate-co-D,L-lactide)-graft-poly(ethylene glycol)-furan.<sup>134</sup> (c) Sequential illustration of triblock copolymer synthesis, followed by utilization of the polymer as an ink for 3D printing, and UV-induced cross-linking and surface modification *via* thiol-ene click chemistry.<sup>148</sup> (d) Self-healing material with self-healing ability due to hydrogen bonding.<sup>151</sup>

methoxy polyethylene glycol.<sup>143</sup> Additionally, a single-ion conducting electrolyte was prepared by the lithiation of the functionalized terpolymer of PO/AGE/CO<sub>2</sub>. This was realized *via* thiol-ene click chemistry employing 3-mercaptopropionic acid prior to lithiation. The resulting polymer showed excellent ionic conductance among numerous solid-state single-ion conducting polymer electrolytes.<sup>144</sup> In other usages, the functionalization of poly(2-methacryloyloxy)ethyl oleate (PMAEO) using 3-mercaptopropanic acid has provided water-soluble polymers suitable for use as adhesives and paints. Because of the special features of microspheres, *i.e.*, small size, high specific surface area and diffusivity, monodispersed microspheres have been prepared *via* a step-growth thiol-Michael addition from functionalized monomers, thereby being useful for fluorescence related applications.<sup>145–147</sup>

Since the mechanical properties of polycarbonates are a function of the nature of the epoxide employed in the copolymerization with CO<sub>2</sub>, *e.g.*, poly(cyclohexene carbonate) is hard and poly(ethylene carbonate) is soft,<sup>1,148</sup> the mechanical behaviour of the polymer can be widely varied by the contents of the epoxide monomers used in its synthesis. In this regard, several research groups have prepared polycarbonates that possess various applications for 3D printing. Recently, Darensbourg and coworkers have synthesized triblock copolymers consisting of soft and hard blocks with pendant alkene groups attached to the hard blocks for cross-linking or thiol-ene click functionalization for their use in 3D printing. This approach allowed for easy tuning of the chemical, mechanical and thermal properties of the printed products (Scheme 7c).<sup>148</sup> Similarly, other investigators have prepared cross-linked poly-



carbonates having alkene substituents for thiol-ene click chemistry, thus providing many clinical applications.<sup>149</sup>

Finally, synthetically developed materials that mimics nature's ability of self-healing, *i.e.*, having the built-in ability to automatically repair themselves without human intervention are of much interest, and indeed need attention with respect to greener processes from researchers.<sup>150</sup> In this regard, thiol-ene click chemistry has been instrumental in providing a self-healing polymeric material based on hydrogen bonding.<sup>151</sup> That is, the employment of three different reaction strategies involving propylene oxide hydrolysis to afford a CTA, followed by sequential copolymerization of PO/CO<sub>2</sub> and AGE/CO<sub>2</sub> to provide a diblock polymer in a one-pot process with the unsaturated block undergoing thiol-ene addition of 2-(acetylamino)ethanethiol. The resulting polycarbonate exhibited autonomous self-healing ability (Scheme 7d), where this attribute was shown to involve both homo- and hetero-hydrogen bonding.

## Conclusion and outlook

In the above presentation, we have described the state of the art for functionalizing aliphatic polycarbonates derived from CO<sub>2</sub> and epoxides or six- or eight-membered cyclic carbonates. We have attempted to emphasize the main methods for transforming these polymeric materials from those with limited usage to matter with significantly broader applications. In general, modifications of these easily accessible and degradable polycarbonates are best achieved by utilizing post-polymerization click chemistry pathways of thiol-ene or azide-alkyne addition. These are important advancements, given that most polycarbonates obtained by these greener methods lack functionality.

The copolymerization of carbon dioxide and epoxides *via* a chain-growth pathway to provide aliphatic polycarbonates is an effective and greener route compared to that involving polycondensation of diols and carbonates. Indeed, this process can be made to be sustainable when transitioning epoxide monomers from petroleum-based feedstocks to those from natural products. More importantly, these polymeric materials are (bio)degradable, thereby addressing the issues of plastic pollution, as well as providing polymers for biomedical applications. Continuing efforts to advance catalyst development are directed at minimizing the reaction conditions of polymerization temperatures and CO<sub>2</sub> pressures, with concomitant product selectivity for copolymers over five-membered cyclic carbonates. Other considerations for improving this technology are understanding polymer degradation and in particular biodegradation, as well as purity requirements of the CO<sub>2</sub> monomer.

Nevertheless, the advantageous features which make the above process for synthesizing aliphatic polycarbonates so attractive are particularly negated by these polymeric materials' lack of functionality. Overcoming this deficiency was indeed the focus of this review article. Researchers have enhanced the

usefulness of these polymers by employing epoxide monomers in the copolymerization reactions with CO<sub>2</sub> that contain alkene or alkyne groups for their abilities to undergo click reaction chemistry. As we have demonstrated above one of the most effective routes to achieve functionality is utilizing thiol-ene click reactions in post-polymerization pathways. Importantly, these click reactions can be carried out using the photo- or radical-initiated mechanism, for each direction has advantages depending on the nature of the addition. For example, as noted in the use of modifying aPCs following 3D printing, photochemically initiating thiol-ene click chemistry is the method of choice.

## Conflicts of interest

The authors declare that they have no competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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