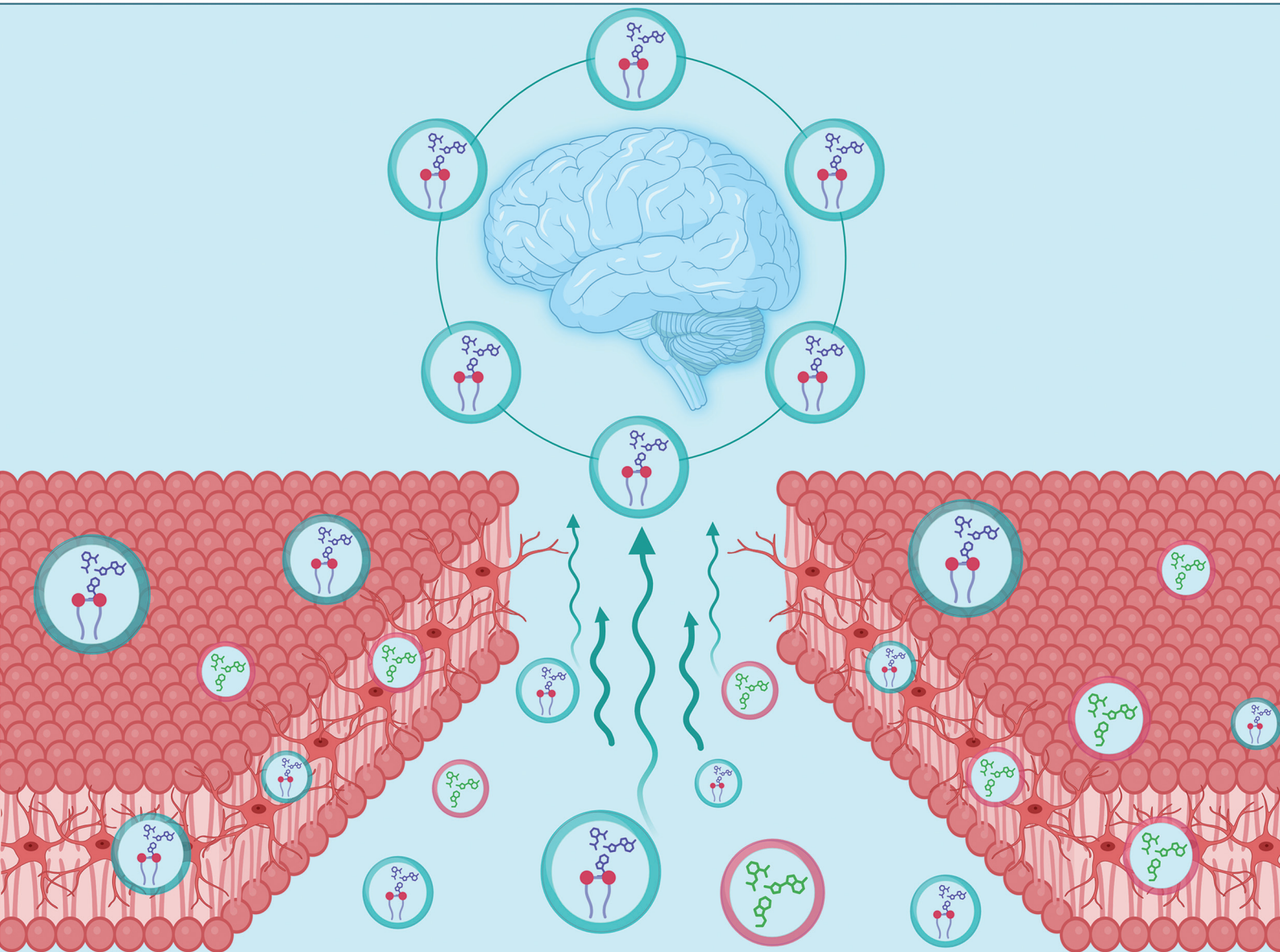


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## PAPER

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## Doxorubicin-Polysorbate 80 conjugates: targeting effective and sustained delivery to the brain†

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Targeting therapeutic agents to the brain to treat central nervous system (CNS) diseases is a major challenge due to the blood–brain barrier (BBB). In this study, an attempt was made to deliver a model drug such as doxorubicin (DOX), to the brain in a mouse model through DOX-Polysorbate 80 (DOX-PS80) conjugates. DOX was successfully conjugated with the non-ionic surfactant Polysorbate 80 (PS80) by carbamate linkage and the conjugate was characterized by different spectroscopic techniques, such as FTIR, UV-Visible and NMR. The DOX conjugation efficacy was found to be  $43.69 \pm 4.72\%$ . The *in vitro* cumulative release of DOX from the conjugates was found to be  $4.9 \pm 0.8\%$  in PBS of pH 7.3 and  $3.9 \pm 0.6\%$  in simulated cerebrospinal fluid (CSF) of pH 7.3 at the end of 10 days. An *in vitro* BBB permeability assay was carried out using bEnd.3 cells and DOX-PS80 conjugate showed a 3-fold increase in BBB permeability compared with controls. *In vitro* cytotoxicity assay using U251 human glioblastoma cells showed an  $IC_{50}$  value of  $38.10 \mu\text{g mL}^{-1}$  for DOX-PS80. Cell uptake studies revealed that DOX-PS80 was effectively taken up (90%) by the bEnd.3 and U251 cells and localized in cytoplasm at the end of 24 h. Pharmacokinetic parameters for DOX-PS80 were evaluated using *in silico* studies. Tumor spheroid assay and *in vivo* experiments in Swiss albino mouse demonstrated the possibility of DOX-PS80 conjugate crossing the BBB and delivering the drug molecules to the target site for treating CNS disorders.

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## 1. Introduction

Targeting therapeutic agents to the brain to treat central nervous system (CNS) diseases is a major challenge due to the blood–brain barrier (BBB), the major role of which is to restrict the entry of pathogenic organisms and unwanted substances into the brain.<sup>1–3</sup> In spite of the prodigious developments in our understanding of the molecular structure and the physiology of the BBB as well as the advances in the therapeutic approaches to treat CNS diseases, effective delivery of many potential therapeutic agents to treat CNS disorders and dis-

eases is still in its infancy. Any attempt to transport drugs across the BBB is against the natural function of BBB.<sup>4</sup> The main anatomical and functional site of the BBB is the brain endothelium.<sup>5</sup> The presence of tight junctions (TJ) between adjacent endothelial cells, formed by an intricate complex of transmembrane proteins, impedes the drug transport into the brain *via* the blood circulation.<sup>3–5</sup>

In order to overcome the constraints in delivering drugs to the brain or CNS, it is important to understand the physiology of the BBB, the response of the BBB to physical and chemical stimuli, the different transporter receptors present there, and its permeability under different pathological or disease conditions, and to have knowledge about the drug delivery technologies that could overcome the barrier constraints.<sup>4–7</sup> Currently various approaches and methodologies are attempted for transporting drugs across the BBB, such as opening the TJ using chemical stimulants like sodium dodecyl sulphate, transport system-mediated delivery (adsorptive-mediated transcytosis (AMT), cationic proteins, and cell-penetrating peptides (CPP) capable of entering cells) and nanocarrier-based delivery using liposomes and polymeric nanoparticles.<sup>8–20</sup>

Among various systems, considerable research has been reported over the years on the use of polymeric/liposomal/inorganic nanoparticulate drug delivery systems for crossing the BBB to deliver a number of therapeutic agents.<sup>21–28</sup> While the

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nanoparticle (NP) approach is interesting from the point view of examining the possibility of delivering drugs across the BBB, there are many problems associated with nanocarriers, especially when targeting the brain.<sup>26–30</sup> NPs coated with polysorbate (PS)-80, a non-ionic surfactant, have been shown to cross the BBB and deliver a number of drugs to the brain.<sup>31–33</sup>

Despite the fact that PS-coated NPs displayed BBB permeability, there are still some issues to be resolved, such as the toxicity of polycyanoacrylates or inorganic/metals used as NPs and their toxic byproducts. There is the possibility of accumulation of NPs as well as their degradation products in the brain capillaries. The drug cargo in the NPs is often only a very small percentage of the weight of the NPs, and fabrication and incorporation of drugs in the NPs usually involve organic solvents, traces of which are often difficult to remove completely. This is the case even when more biocompatible and biodegradable NPs from poly(lactic acid) or poly(glycolic acid) are used. The encapsulation process also results in wasting a significant portion of the drug, as encapsulation efficiencies are rather low in most encapsulation processes. In the work described wherein NPs are coated with polysorbate-80,<sup>27–39</sup> the surfactant is physically adsorbed onto the NPs and one has little control on the extent of adsorption of the surfactant.

If polysorbates are responsible for the transport of NPs across the BBB as has been reported for many drugs, and the mechanism of transport is believed to be the adsorption of ApoE or ApoB on the NPs to facilitate their transport,<sup>31,38,39</sup> then drugs that are conjugated to polysorbates should obviously have the ability to cross the BBB. Polysorbates are surfactants based on poly(oxyethylene) sorbitan esters and have been approved by the US FDA as additives in pharmaceutical preparations (e.g., for Tween-80, Tween-20). In a recent study,<sup>40</sup> we have shown that amphotericin B (AmB) conjugated to Tween 20 through hydrolysable carbamate linkages increases the solubility of an otherwise water-insoluble AmB dramatically, is able to release the drug when incubated in phosphate buffer, exhibited negligible hemolytic potential even at a concentration of 1000  $\mu$ M, and was not toxic against human embryonic kidney cell line (HEK293T) at similar concentrations.<sup>40</sup> Various NP carriers, including gold, iron oxide, and PLGA NPs, as well as polysorbate-modified NPs, were reported to be used to deliver drugs to the brain.<sup>40–48</sup>

The current study is based on the hypothesis that a polymeric prodrug like DOX-PS80 should be able to pass the BBB in sustained manner if the polycyanoacrylate/albumin/silica/polymer NPs coated with PS80 are able to do so, as previously reported in numerous studies.<sup>29–48</sup> Here, the drug DOX was coupled to the surfactant polysorbate-80 *via* a hydrolysable carbamate linkage to test the hypothesis, thereby allowing precise control over the prodrug's structure and composition and its solubility in aqueous vehicles for injection without the burden of the toxicity of NPs as carriers, their insolubility, aggregation, and degradation. DOX-PS80 conjugates displayed significant BBB permeation and prolonged DOX release in both *in vitro* and *in vivo* investigations. We are aware that DOX is not the standard drug for treatment for brain tumors; the objective of

this investigation is to demonstrate that polysorbate-based drug conjugates will be an effective approach for crossing the BBB and delivering small molecules to the brain to treat CNS disorders.

## 2. Materials and methods

### 2.1. Materials

Polysorbate 80 (PS80) was purchased from S. D. Fine Chemicals, Mumbai, India. It was dried by azeotropic distillation with toluene and stored in a desiccator. *p*-Nitrophenyl chloroformate (PNPC) and 4-dimethylamino pyridine (DMAP) were obtained from Spectrochem Ltd, Mumbai, India. Tetrahydrofuran (THF) was dried over sodium/benzophenone and distilled prior to use. Dry dimethyl formamide (DMF) was prepared by drying the solvent overnight over barium oxide, followed by distillation in a vacuum. 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium Bromide (MTT), 4',6-diamidino-2-phenylindole (DAPI) and Dulbecco's Modified Eagle's medium (DMEM) media were from Himedia labs, India. Potassium bromide (KBr) was from Himedia Labs, Mumbai, India. Chloroform D (D, 99.8%) ( $\text{CDCl}_3$ ) was from Cambridge Isotope Laboratories, Inc. (USA). Brain endothelial cells (bEnd.3) were procured from NCCS, Pune and U251 glioblastoma cells were procured from Cancer cell repository, Rajiv Gandhi Center for Biotechnology (RGCB), Trivandrum. Distilled water was used throughout. All other solvents such as chloroform, methanol, hexane, ethyl acetate *etc.*, were of analytical grade. All animal procedures were performed in accordance with the Guidelines for Care and Use of Laboratory Animals of Committee for the Purpose of Control and Supervision of Experiments on Animals (CPCSEA), India. RGCB CPCSEA registration number: 326/GO/ReBiBt/S/2001/CPCSEA. The experimental protocols were approved by the Institutional Animal Ethics Committee of Animal Research Facility (IAEC-ARF), RGCB, Trivandrum India. *In vivo* biodistribution animal studies IAEC-ARF approval number: IAEC/856,877/RAM/2021-2022.

### 2.2. Instrumentation

$^1\text{H}$  NMR spectra were recorded using a Bruker instrument (Bruker AV III 400 MHz FT NMR, USA). Fourier-transform infrared (FTIR) spectra were taken using KBr pellets using a PerkinElmer FT-IR spectrophotometer (Spectrum Two Model, USA). UV-visible spectra were recorded using a UV-Visible spectrometer (PerkinElmer, Lambda 25, USA). The Elisa microplate reader used was BIORAD, iMark, India. Confocal microscopy was used to capture cell imaging (Leica SP8 WLL, Germany). A Varioskan™ LUX multimode microplate reader was used to measure the fluorescence intensity. The quantification of drug uptake in cells was performed by flow cytometry (BD FACSVerser™, BD Biosciences, USA).

### 2.3. Synthesis of PS80-PNPC

PS80 was activated using PNPC using the following procedure.<sup>40</sup> PS80 (0.381 mmol) was dissolved in dry THF (5 mL)



and 279 mg (2.29 mmol) of DMAP was added, and the contents were stirred for 10 min. Then, PNPC (614 mg, 3.05 mmol) was added, and the mixture was stirred at room temperature overnight. The product formation was identified by thin layer chromatography (Silica gel 60 F<sub>254</sub> aluminium sheet) every 2 h. After product formation, the precipitate was filtered using Whatman filter paper grade 1 (150 mm) and the crude product was collected in a round-bottom flask and concentrated using a rotavapor. The crude product was purified by column chromatography on silica gel (100–200 mesh) using ethyl acetate/hexane (1:1) followed by chloroform/methanol (9.5:0.5) to give a yellow-coloured viscous liquid which was characterized and named PS80-PNPC. Yield: 60 to 80% (Scheme 1).

#### 2.4. Synthesis of DOX-PS80 conjugate

The bioconjugate of DOX with PS80-PNPC was synthesized using the following procedure. PS80-PNPC (100 mg, 0.0677 mmol) and DMAP (8.27 mg, 0.0677 mmol) were dissolved in dry DMF (3 mL), and the solution was treated with a suspension of doxorubicin (36.79 mg, 0.0677 mmol) in DMF (2 mL) for 24 h at room temperature (Scheme 2). After the reaction, the clear solution was poured into cold ether (50 mL) and the product was collected by filtration on a sintered glass filter under nitrogen and was washed with ether (3 × 20 mL). The residue was then dissolved in methanol (5 mL), precipitated

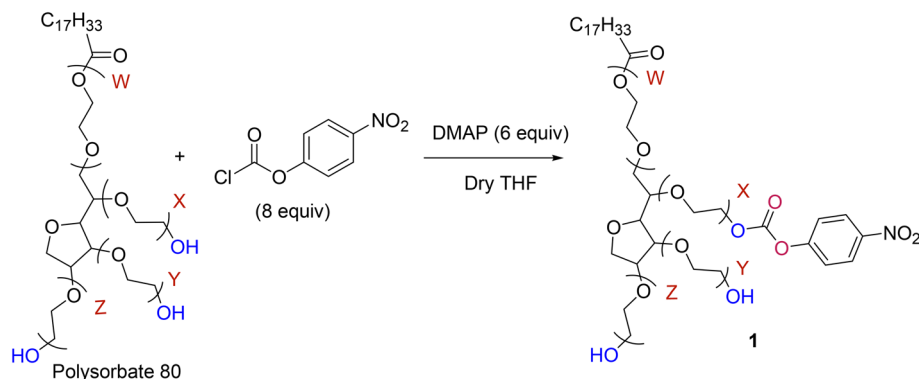
with cold ether (25 mL), filtered and washed with hexane: ether (70:30) until the free DOX and other impurities were removed. The washing procedure was repeated (5×) to ensure complete removal of free DOX. The precipitate was finally washed with hexane and dried in a vacuum desiccator (Yield 80%). The compound is named as DOX-PS80 Conjugate.

#### 2.5. Estimation of drug content

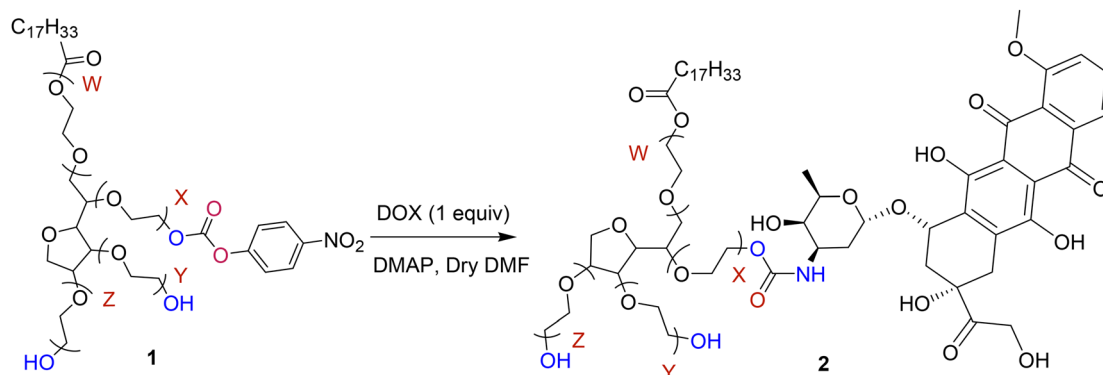
The amount of DOX conjugated in the PS80 was calculated by using UV-visible spectroscopy. DOX-PS80 (5 mg) was dissolved in 200  $\mu$ L methanol and made up to 5 mL using phosphate buffered saline (PBS) to achieve 1 mg mL<sup>-1</sup> concentration. Then samples were serially diluted, and the absorbance was measured at 480 nm. The amount of DOX conjugated to the PS80 was calculated using a standard curve of DOX in 1:9 methanol:PBS and measuring the absorbance at 480 nm. Measurements were done in triplicate and averaged.

#### 2.6. *In vitro* drug release studies

The *in vitro* drug release studies were performed using phosphate buffered saline (PBS) pH 7.3 and simulated cerebrospinal fluid (CSF) pH 7.3. DOX-PS80 conjugate (5 mg) was dissolved in 5 mL of buffer and transferred into 3.5 kDa dialysis tubing. The dialysis tubing was kept in 100 mL PBS pH 7.3 or CSF pH 7.3 in 250 mL beakers in a constant temperature bath



Scheme 1 Activation of Polysorbate 80 using *p*-nitro phenyl chloroformate.



Scheme 2 Synthesis of doxorubicin-Polysorbate 80 conjugate.





maintained at 37 °C. At regular intervals, 1 mL of supernatant from the samples was withdrawn and replaced with fresh buffer. The samples were withdrawn for 10 days at regular time points. The amount of drug released from the conjugates was estimated by UV-visible spectrophotometry by measuring the absorbance at 480 nm.

## 2.7. Hemolysis

Hemolysis assay was performed using the protocol of Francis *et al.*<sup>47</sup> Briefly, human blood was obtained from the blood bank in EDTA-coated vacutainers. Red blood cells (RBCs) were separated from the whole blood by centrifuging at 1500 rpm for 5 min. The sediment of RBC pellets was separated, and supernatant was discarded. The centrifuged RBCs were washed with 150 mM of normal saline (3×). Then the concentrated RBCs were dispersed in PBS (pH 7.4) and diluted to 10-fold from initial concentration. DOX-PS80 and DOX were prepared at different concentrations of 20 to 100 µg mL<sup>-1</sup> and transferred to 2 mL Eppendorf tubes and sterilized with UV radiation for 2 h in a bio-safety cabinet. The diluted RBCs (200 µL) were added to the Eppendorf tubes and the volume was adjusted to 1 mL using PBS (pH 7.4). The control samples were prepared without drug. RBCs dispersed in PBS and water were used as negative and positive control, respectively. All the samples were incubated for 1 h at 37 °C. Then the Eppendorf tubes were centrifuged for 5 min at 1500 rpm. The supernatant solution was separated and absorbance was measured at 540 nm using a microplate reader (BIORAD, iMark, India). The hemolysis percentage was calculated by following formula,

$$\text{Hemolysis (\%)} = \frac{\text{sample absorbance} - \text{negative control absorbance}}{\text{positive control absorbance}} \times 100$$

## 2.8. Cell culture studies

**2.8.1. Biocompatibility assay.** The biocompatibility of DOX and DOX-PS80 was examined by MTT assay using brain endothelial cells (bEnd.3)<sup>42</sup> purchased from ATCC. Briefly, bEnd.3 cells were seeded into 96-well plates (Tarsons, India) at a density of  $5 \times 10^3$  cells per well. After 24 h incubation, the cells were exposed to serial concentrations (5 to 100 µg mL<sup>-1</sup>) of DOX-PS80, PS80 and DOX at 37 °C for 3, 6 and 24 h. After every incubation end point, 10 µL of 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium Bromide (MTT) solution (5 mg mL<sup>-1</sup> in PBS) with 90 µL of DMEM was added into each well and incubated at 37 °C for another 4 h, following which the medium was replaced with 100 µL DMSO to dissolve the purple formazan crystals. After shaking for 5 min, the absorbance of each well was measured using a microplate reader at 570 nm (BIORAD, iMark, India). The cell viability was calculated using sample absorbance to the control absorbance.

$$\text{Percentage cell viability} = \frac{\text{sample absorbance}}{\text{control absorbance}} \times 100$$

**2.8.2. Cell uptake studies using bEnd3 cells.** The internalization of DOX-PS80 and DOX was analyzed using fluorescence microscopy. The bEnd.3 cells were seeded into 6-well plates at a density of  $2 \times 10^6$  cells per well at 37 °C and incubated in 5% CO<sub>2</sub> for 24 h. After cell attachment, 100 µL of DOX-PS80 (100 µg mL<sup>-1</sup>) and DOX (50 µg mL<sup>-1</sup>) were added to the cells and incubated for 2 h and 4 h at 37 °C. After incubation, the treated cells were washed with cold PBS (3×). The cells were fixed with 4% paraformaldehyde and incubated at 37 °C for 10 min and then cooled to room temperature. The cells were washed with PBS (3×) and stained with 4',6-diamidino-2-phenylindole (DAPI) and incubated for 10 min in the dark. After incubation, the cells were washed with cold PBS to remove excess dye and were imaged using fluorescence microscopy.

**2.8.3. Barrier potential measurement in brain endothelial cells (bEnd.3).** The barrier potential of the cells was measured using Milli Cell ERS (Millipore) and the procedure was adapted from previous studies.<sup>42</sup> Briefly, cells were cultured on a transwell membrane having 0.4 µm pore size (Millipore) by keeping it on a 12-well cell culture plate. Barrier potential was monitored daily. After the 6th day, the potential of the cells reached around 110 Ohm cm<sup>-2</sup>. Cell material interaction was studied by seeding the probes onto the cells on the 6th day. Furthermore, 100 µL of DOX-PS80 (1 mg mL<sup>-1</sup>), DOX (0.5 mg mL<sup>-1</sup>) and PS80 (0.5 mg mL<sup>-1</sup>) were added to each well, and the change in potential was monitored for 30 min, 1 h, 2 h, 3 h, 6 h and 24 h. Barrier potential was measured in triplicate for both the probes and control. Cells without material served as control. The measurement procedure included measuring the blank resistance of the semipermeable membrane without cells and measuring the resistance across the cell layer on the membrane. The final unit area resistance was calculated by multiplying the sample resistance by the effective area of the membrane.

**2.8.4. In vitro barrier permeability measurement in bEnd.3 cells.** The bEnd.3 cells were cultured on a transwell membrane having 0.4 µm pore size by keeping it on a 12-well cell culture plate. The samples were prepared as DOX-PS80 (1 mg mL<sup>-1</sup>), DOX (0.5 mg mL<sup>-1</sup>) and PS80 (0.5 mg mL<sup>-1</sup>) and 100 µL of samples were added to the bEnd.3 cells. After the addition of samples, media in the 12-well plates were collected after 3, 6 and 24 h for assessing the barrier permeability of the probes. The amounts of DOX-PS80, DOX and PS80 present in the collected media were estimated spectrometrically at 558 nm by employing a calibration plot of known concentrations of DOX-PS80, DOX and PS80.

**2.8.5. Cytotoxicity assay in U251 cells.** The cytotoxicity of DOX-PS80 conjugate and DOX was checked using human malignant glioblastoma multiforme cells (U251). The cells were grown using DMEM with 10% FBS and 1% antibiotic-antimitotic solution and incubated at 37 °C with 5% CO<sub>2</sub> in a humidified atmosphere. The medium was changed every two days until the cells reached a confluence of around 90%. The cells were seeded at a density of 5000 cells per well in 96-well plates and incubated for 24 h at 37 °C with 5% CO<sub>2</sub>. The DOX, DOX-PS80 and PS80 samples were prepared at 1 mg mL<sup>-1</sup> stock



solution using DMEM media. The samples were further serially diluted to different concentrations from  $100\ \mu\text{g mL}^{-1}$  to  $0.78\ \mu\text{g mL}^{-1}$ . The samples ( $100\ \mu\text{L}$ ) were added to the wells and incubated for 24, 48 and 72 h. After incubation, the media were replaced with mixture of DMEM ( $90\ \mu\text{L}$ ) and  $10\ \mu\text{L}$  of MTT. The MTT-treated samples were incubated for 4 h at  $37\ ^\circ\text{C}$ . Subsequently, DMSO ( $100\ \mu\text{L}$ ) was added to dissolve the formazan crystals and the absorbance was read at  $570\ \text{nm}$  using a microplate reader. The percentage cell viability was calculated using the ratio of sample absorbance to the control absorbance.

**2.8.6. Cell uptake studies in U251 cells by confocal imaging.** The U251 cells were grown in DMEM with 10% FBS in T25 tissue culture flask (Tarsons, India). When the cells attained 80–90% confluence, the cells were trypsinized and  $2 \times 10^6$  cells were seeded into 6-well plates with round glass cover slip ( $18\ \text{mm}$ ) and incubated for 24 h at  $37\ ^\circ\text{C}/5\% \text{CO}_2$ . After the cells adhered to the cover slip and dish, the DOX-PS80 ( $100\ \mu\text{g mL}^{-1}$ ) and DOX ( $50\ \mu\text{g mL}^{-1}$ ) were added to the cells and incubated for 2, 4 and 24 h. After incubation, the media was removed, and cells were repeatedly washed with cold PBS to remove the excess stain. After washing, the cell's nucleus was stained using Hoechst 33342. Then the cells were incubated for 10 min and washed with cold PBS repeatedly to remove the unstained dye. After washing, the cells were fixed with paraformaldehyde (4%) for 10 min at  $37\ ^\circ\text{C}$  and cooled to room temperature. After drying, the coverslips were washed with cold PBS thrice. Then coverslips were removed from the 6-well plates and fixed on glass slides using one drop of mounting medium (Fluoromount, Sigma) and imaged using confocal microscopy (Leica SP8 WLL, Germany).

**2.8.7. Cellular uptake by flow cytometry.** The internalization of DOX-PS80 and DOX by the U251 cells was quantified by flow cytometry. In a 6-well plate, around  $3 \times 10^6$  cells were added and incubated for 2, 4 and 24 h at  $37\ ^\circ\text{C}/5\% \text{CO}_2$ . The test samples (DOX-PS80  $100\ \mu\text{g mL}^{-1}$  and DOX  $50\ \mu\text{g mL}^{-1}$  concentration) were added to the cells and incubated at  $37\ ^\circ\text{C}$  for 24 h. After incubation, the sample treated cells were washed with cold PBS ( $3\times$ ) and the cells were detached from the plate using trypsin. The cells were centrifuged for 5 min at 1000 rpm. The resulting cell pellets were suspended in  $300\ \mu\text{L}$  of fresh cold PBS. The cellular uptake of DOX-PS 80 and DOX was measured by using flow cytometry (BD FACSVerse™, BD Biosciences, USA). The data were analyzed using Flowing software 2.5.1, Turku Bioscience, Finland.

**2.8.8. Cell cycle analysis.** Cell cycle analysis was carried out to assess and categorise the cell population at various stages of the cell cycle after drug treatment. Briefly, U251 cells ( $2 \times 10^6$  cells) were seeded in 6-well plate and incubated at  $37\ ^\circ\text{C}/5\% \text{CO}_2$  for 24 h. DOX ( $50\ \mu\text{g mL}^{-1}$ ) and DOX-PS80 Conjugate ( $100\ \mu\text{g mL}^{-1}$ ) was added to the U251 cells and incubated at  $37\ ^\circ\text{C}$  for 24 h. After incubation, the sample treated cells were washed with cold PBS ( $3\times$ ) and the cells were detached from the plate using trypsin. The cells were centrifuged for 5 min at 1000 rpm. The resulting cell pellets were suspended in  $300\ \mu\text{L}$  of fresh cold PBS. The cell cycle analysis was performed using flow cytometry (BD FACSVerse™, BD Biosciences, USA).

## 2.9. Ex vivo tumor spheroids assay

The tumor spheroids formation protocol was adapted from Piyush *et al.*<sup>48</sup> Briefly, U251 cells were grown in T25 flasks using DMEM with 10% FBS and 1% antibiotic-antimitotic solution and incubated at  $37\ ^\circ\text{C}/5\% \text{CO}_2$  until reaching 90% confluence. Then, 96-well plates were coated with 2% agar and sterilized under UV for 2 h. Once the cells were confluent and healthy, cells were trypsinized and 20 000 cells were seeded per well in  $200\ \mu\text{L}$  DMEM media and incubated at  $37\ ^\circ\text{C}$ . The plates were regularly monitored until the cells were in the shape of uniform spheroids. At the end of 48 h, uniform spheroids were formed, and images were captured. Then, the spheroids were treated with free DOX ( $5\ \mu\text{g mL}^{-1}$ ), DOX-PS80 ( $10\ \mu\text{g mL}^{-1}$ ) and free PS80 ( $5\ \mu\text{g mL}^{-1}$ ) and incubated at  $37\ ^\circ\text{C}$ . Control was without any sample. Every 24 h, the spheroids' morphology was observed, and images were captured using a phase contrast microscope (Nikon Ti eclipse, USA) at  $4\times$  magnification and compared with control spheroids. The average diameter of untreated and sample treated tumor spheroids was calculated using Image J software (U. S. National Institutes of Health, Bethesda, Maryland, USA).

## 2.10. Biodistribution studies by IVIS imaging

The transportation of the drug conjugates to the brain was analyzed using IVIS animal imaging (Xenogen IVIS Spectrum, PerkinElmer, USA). Healthy adult Swiss albino mice (male) in the age group of 8–10 weeks ( $19\text{--}22\ \text{g}$ ) were selected for the study. The experiments were performed with Institute (RGCB) animal ethical committee approval (No.: IAEC/856,877/RAM/2021-2022). The animals were divided into 3 groups, namely DOX, DOX-PS80 and untreated control. These preparations were injected i.v. into the tail vein using the following dose regimens:  $2.5\ \text{mg mL}^{-1}$  for DOX-PS80 conjugate and  $1.25\ \text{mg mL}^{-1}$  of DOX (equivalent to DOX in conjugate). The whole animal imaging was carried out after the animals were anaesthetized with isoflurane at different time points, *i.e.*, 1 h and 24 h. The images were captured by fixing DOX excitation wavelength ( $480\ \text{nm}$ ) and emission filters with background subtraction. Tissue/blood auto-fluorescence was excluded by setting GFP excitation/emission filter from untreated mice.

**2.10.1. Quantification of drug biodistribution by fluorescence spectroscopy.** The transportation/distribution of the injected DOX-PS80 and DOX was analyzed using Swiss albino mice. The mice were selected in the age groups of 7 to 8 weeks with body weight of around  $19\text{--}23\ \text{g}$ . All the experiments were performed with approval from the Institute (RGCB) animal ethical committee (No. IAEC/856,877/RAM/2021-2022). The mice were randomly divided into 3 groups as follows, Control, DOX in PBS, and DOX-PS80. The drug formulations were injected *via* i.v. into the tail vein using the following dose regimens:  $2.5\ \text{mg per kg}$  body weight for DOX-PS 80 and  $1.25\ \text{mg kg}^{-1}$  of DOX. After 1 h and 24 h imaging, animals were sacrificed by carbon dioxide asphyxiation and all the organs, namely brain, heart, liver, kidneys, and lungs were dissected. To quantify the amount of drug in each organ, the tissues were



digested with acetone:phosphate buffer pH 3:methanol (25:45:30) and the mixture was centrifuged at 8000 rpm for 15 min and supernatant was separated. The supernatant samples were syringe filtered (0.45  $\mu\text{m}$ ) and analyzed using fluorescence spectroscopy by fixing the excitation and emission wavelength at 480 nm and 555 nm.<sup>49,50</sup>

**2.10.2. Histology studies.** To evaluate the toxicity of the drug conjugates, the animals were sacrificed after 24 h of drug treatment and each organ was dissected and stored in 10% neutral formalin buffer. Brain, liver, kidney, lung, and heart tissues were sectioned using microtome and embedded in paraffin. The paraffin-embedded tissue slides were stained with haematoxylin and eosin according to the standard established protocol. After staining the slides, each of the tissue samples was imaged using an optical microscope (Leica) at 10 $\times$  magnification for analysing inflammation, necrosis, and other parameters.

### 2.11. *In silico* studies: analysis of pharmacokinetic parameters

Computational modeling studies are gold standard techniques to evaluate the drug likeness and pharmacokinetic profiles of new chemical entities.<sup>60,61</sup> Among different computational resources, artificial intelligence (AI) and machine learning (ML)-based prediction software packages have recently been the focus for predicting the absorption (A), distribution (D), metabolism (M), excretion (E) and toxicity (T) (ADMET) properties for new therapeutic molecules.<sup>61,62</sup> In our study, to acquire a deeper understanding of the ADMET properties of DOX, PS80, and DOX-PS80 conjugates, a variety of AI-based

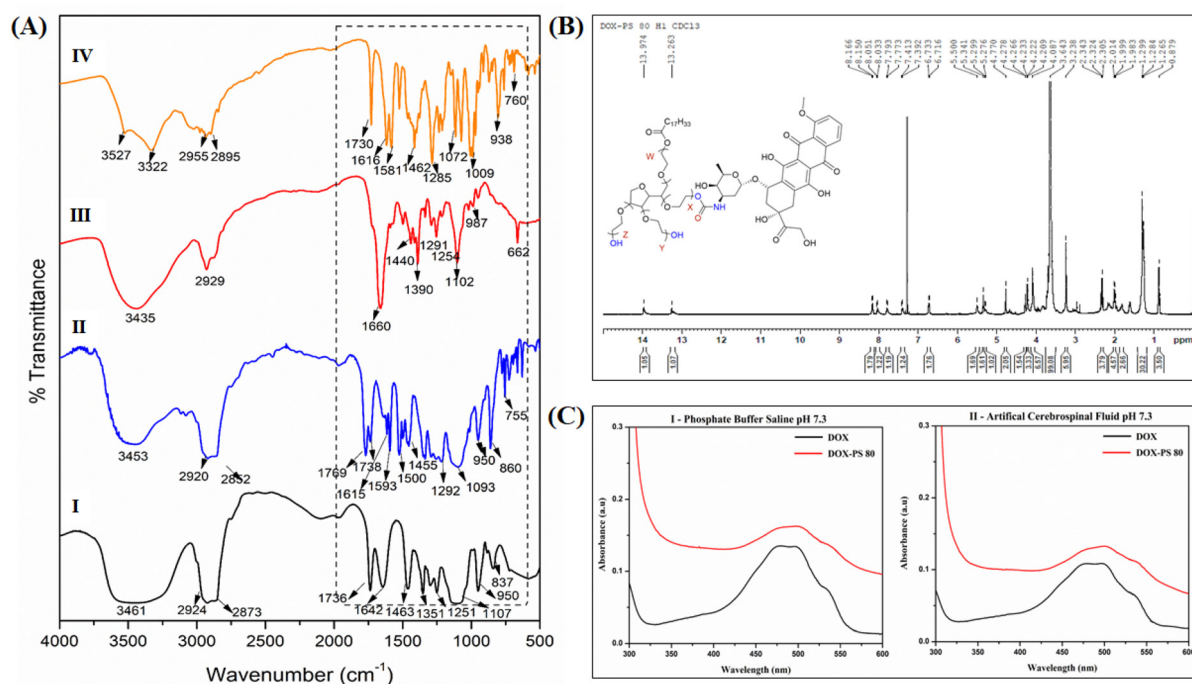
ADMET tools were utilized, including ADMET-AI,<sup>62</sup> ADMETlab 2.0,<sup>63</sup> PreADMET,<sup>64</sup> admetSAR<sup>65</sup> and SwissADME.<sup>66</sup> The physicochemical parameters and ADMET score of all the three compounds were analyzed in different ADMET tools and best outcomes were reported.

### 2.12. Statistical analysis

For all the experiments one-way and two-way ANOVA was performed using Graph Pad Prism (version 5.0) software and the values are expressed as mean  $\pm$  SD. The differences were considered significant if  $p < 0.05$ .

## 3. Results and discussion

PS80 was activated by *para* nitrophenyl chloroformate (PNPC) in dry tetrahydrofuran (THF) leading to a stable carbonate intermediate as previously reported from our laboratory<sup>40</sup> (Scheme 1). Briefly, the activation of the PS80's hydroxyl group was achieved by employing the 6 : 8 equivalent dimethyl amino pyridine (DMAP) and PNPC. The activation of hydroxyls was confirmed by both FTIR and <sup>1</sup>H NMR spectroscopy. PS80 displays characteristic absorption peaks at 3461  $\text{cm}^{-1}$  (OH stretching), 2924  $\text{cm}^{-1}$  ( $\text{CH}_2$  stretching), 1736  $\text{cm}^{-1}$  (ester carbonyl) and ether linkages of PEG at 1104  $\text{cm}^{-1}$ . When PS80 was activated by PNPC through a carbonate bond, a characteristic absorption peak of carbonate was observed in the region of 1769  $\text{cm}^{-1}$ , along with the strong absorption of nitro group in the region of 1217  $\text{cm}^{-1}$  confirming the activation of the hydroxyl group of PS80 (Fig. 1A-I).





A comparison of the FTIR spectra of PS80 and PS80-(4-nitro-phenyl carbonate) was done as follows. PS80 showed characteristic IR absorptions (Fig. 1A-I) at  $3461\text{ cm}^{-1}$  (OH stretch),  $2924\text{ cm}^{-1}$  (C-H stretch),  $1736\text{ cm}^{-1}$  (ester CO stretch) and  $1107\text{ cm}^{-1}$  (etheral C-O stretch) (Fig. 1A-I). Activation of PS80 by PNPC is through a carbonate bond and its characteristic stretching frequencies are from the C=O, O-C-O and O-C-C vibrations. The IR spectrum of activated PS80 (Fig. 1A-II) clearly showed the strong peaks at  $1769\text{ cm}^{-1}$  (carbonate C=O stretch),  $1738\text{ cm}^{-1}$  (ester C=O stretch),  $1217\text{ cm}^{-1}$  (O-C-O stretch) and  $1093\text{ cm}^{-1}$  (C-O stretch). Additionally, the strong peak at  $1593\text{ cm}^{-1}$  can be attributed to the N-O stretching of the nitro group. All this evidence pointed out the successful activation of PS80 by PNPC resulting in PS80-PNPC.

Furthermore, the activation of PS80 by PNPC was confirmed by NMR spectroscopy. PS80 ( $\text{CDCl}_3$ ,  $\delta$  ppm)  $^1\text{H}$  NMR spectrum showed peaks at 5.33 ppm (CH=CH), 4.2 ppm (OCOCH<sub>2</sub>) and 3.6 ppm (CH<sub>2</sub>) corresponding to olefinic proton, 2.3 ppm (CH<sub>2</sub>OCO), 1.9 ppm (CH<sub>2</sub>CH<sub>2</sub>OCO) and peaks at 1.2–1.3 ppm (CH<sub>2</sub>), 0.8 ppm (CH<sub>3</sub>) corresponding to lauric acid residues (Fig. S1†). The  $^{13}\text{C}$  NMR of PS80 is shown in Fig. S2†. In addition to the polysorbate peaks, the appearance of new signals at 8.29, 8.27 ppm and 7.41, 7.39 ppm was attributed to the aromatic protons from PNPC (Fig. S3†). The peak signals of sorbitan polyethoxylates (SPEs) series *i.e.*, O-CH<sub>2</sub>-CH<sub>2</sub>-O of PS80 at 5.3 ppm and aromatic proton at 8.2 ppm from PNPC confirmed the activation of polysorbate. The  $^{13}\text{C}$  NMR spectrum also confirmed the activation of PS80 by PNPC (Fig. S4†). The aromatic carbonyl carbon of PNPC was observed at  $\delta$  155 ppm, 145 ppm, 121 ppm and 125 ppm and the carbonate carbonyl carbon was observed at  $\delta$  171.1 ppm (Fig. S4†). NMR spectra of PS80-PNPC were further analysed,  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ,  $\delta$  ppm) showed 8.27 ppm (d,  $J = 9.2\text{ Hz}$ , 2H), 7.39 ppm (d,  $J = 9.2\text{ Hz}$ , 2H), 5.3 ppm (m, 1H), 4.4 ppm (d,  $J = 9.2\text{ Hz}$ , 2H), 4.25 (t,  $J = 4.5\text{ Hz}$ , 2H), 3.84–3.78 ppm (m, 1H), 3.69 ppm (q,  $J = 0\text{ Hz}$ , 4H), 3.65 ppm (dd,  $J = 7.6\text{ Hz}$ , 59H), 2.33 ppm (t,  $J = 7.6\text{ Hz}$ , 2H), 2.04–1.96 ppm (m, 1H), 1.37–1.21 ppm (m, 22 H) and 0.87 ppm (t,  $J = 7.0\text{ Hz}$ , 3H).

The activated PS80-PNPC was conjugated with DOX using DMAP in dry DMF (Scheme 2) with a molar ratio of 1:1. The conjugation of DOX with PS80 was characterized by FTIR, NMR and UV-Visible spectroscopy. FTIR spectrum of DOX-PS80 (Fig. 1A-III) showed a sharp peak at  $1660\text{ cm}^{-1}$  corresponding to the C=O stretch of the carbamate group and the absence of the carbonate signal, strongly suggesting the formation of the DOX-PS80 conjugate. Furthermore,  $^1\text{H}$  NMR spectrum of DOX-PS80 showed signals at  $\delta$  8.01, 7.79 and 7.74 ppm, ascribed to the aromatic protons from DOX, while the peak at  $\delta$  8.16 ppm corresponded to the N-H proton and peaks at  $\delta$  13.96 and 13.26 ppm corresponded to the phenolic hydroxyl protons (Fig. 1B). The  $^{13}\text{C}$  NMR spectrum showed the disappearance of carbonyl carbon of PNPC at  $\delta$  155, 145, 121, 125, 171.1 ppm and the appearance of DOX carbonyl peaks at  $\delta$  56, 110, 117, 19, 129, 135, 137, 160, 213 ppm, confirming the conjugation of DOX with polysorbate (Fig. S5†).

DOX-PS80  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ,  $\delta$  ppm): 13.97 ppm (d,  $J = 9.28\text{ Hz}$ , 1H), 13.52–12.94 ppm (m, 1H), 8.16 ppm (d,  $J = 6.52\text{ Hz}$ , 2H), 8.10–7.95 ppm (m, 1H), 7.81–7.77 ppm (m, 1H), 7.41–7.39 ppm (m, 1H), 6.72 ppm (d,  $J = 6.8\text{ Hz}$ , 2H), 5.50 ppm (s, 2H), 5.40–5.32 ppm (m, 1H), 5.31–5.22 ppm (m, 1H), 4.77 ppm (s, 2H), 4.32–4.25 ppm (m, 1H), 4.22 ppm (dd,  $J = 5.7, 3.9\text{ Hz}$ , 3H), 4.08 ppm (d,  $J = 3.4\text{ Hz}$ , 5H), 3.64 ppm (q,  $J = 3.5\text{ Hz}$ , 99 H), 3.24 ppm (s, 6H), 2.32 ppm (t,  $J = 7.5\text{ Hz}$ , 4H), 2.16 ppm (dd,  $J = 15.3, 3.3\text{ Hz}$ , 4H), 2.06–1.96 ppm (m, 4H), 1.50–1.10 ppm (m, 30H), 0.89 ppm (t,  $J = 6.9\text{ Hz}$ , 3H).

The conjugation of DOX with PS80 was also confirmed by UV visible spectroscopy. DOX-PS80 conjugate exhibited characteristic absorptions peaks of DOX at 480, 496 and 538 nm, confirming the DOX coupling onto the PS80. The absorption spectra of conjugate in PBS (pH 7.3) and CSF (pH 7.3) are shown in Fig. 1C. The amount of DOX in the conjugates was calculated by measuring the absorption of the DOX-PS80 conjugate at 480 nm using a standard plot of DOX constructed at different concentrations. The amount of drug conjugated onto the PS80 was found to be  $43.69 \pm 4.72\%$ .

### 3.1. *In vitro* drug release and kinetic studies

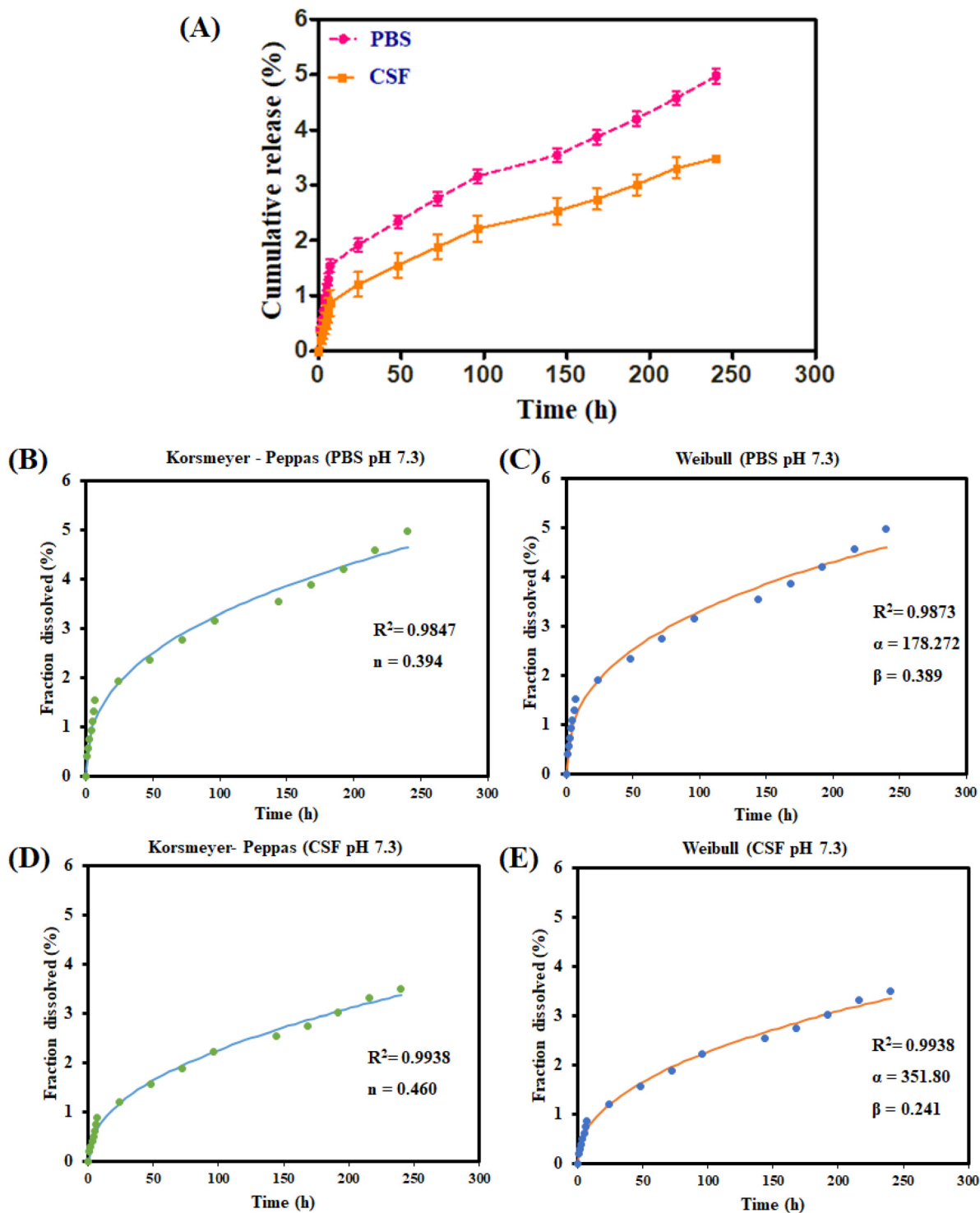
The drug release studies of DOX-PS80 conjugate were performed using PBS pH 7.3 and CSF pH 7.3 using the dialysis method. At the end of 10 days, the cumulative drug released from DOX-PS80 was found to be  $4.9 \pm 0.8\%$  in PBS and  $3.9 \pm 0.6\%$  in CSF (Fig. 2A). No burst release effect was observed in either PBS or CSF buffers. Sustained release of DOX was observed over the period of time. In a previous study from our laboratory<sup>40</sup> the prodrug of AmB with Tween 20 with a carbamate linkage showed 6% release at the end of 48 h. According to a previous report by Conover *et al.*, drug release *via* carbamate ester linkage-based systems was less than 5% in PBS, while the pattern for release in rat plasma was completely different<sup>38,39</sup> (50%). A wide range of mathematical models were established to fit the drug dissolution data and most of them were non-linear equations (Zhang *et al.*, 2010).<sup>51</sup> In order to understand the drug release mechanism from DOX-PS80 conjugate, the drug release profiles of DOX were fitted with different kinetic models. The mechanism of drug release was analyzed using the correlation coefficient ( $R^2$ ) and other release parameters. The ( $R^2$ ) values of each model with other parameters are compared and tabulated as shown in Table 1.

Korsmeyer Peppas and Weibull models showed highest the correlation coefficient values ( $R^2$ ) in the range of 0.96 to 0.99 compared with the other kinetic models. The Peppas model is a simple and semi-empirical relationship which describes drug release mechanism from the polymeric and matrix systems.<sup>52,53</sup> Drug release profiles of DOX in both PBS and CSF showed  $n$  values less than 1 (PBS  $n = 0.394$  and CSF,  $n = 0.460$ ) which demonstrated a lower Fickian diffusion release mechanism. The Peppas model fit curve for DOX from DOX-PS80 is shown in Fig. 2(B) and (D).

Weibull is an empirical model and widely applied for extended-release dosage forms as well as matrix system.<sup>52,53</sup> It can be used for all types of dosage forms and drug release







**Fig. 2** *In vitro* cumulative drug release of DOX from DOX-PS80 in PBS and CSF. (A) Drug release profile in PBS pH 7.3 fitted to Korsmeyer–Peppas model (B), Weibull model (C), CSF pH 7.3 release profile fitted to Korsmeyer–Peppas model (D) and Weibull model (E) using DDSolver software application.

mechanisms, such as diffusion, dissolution, and dissolution-diffusion rate. The equation of Weibull is  $F = 100 \times \{1 - e^{-(t/\alpha)^\beta}\}^{\alpha}$ ; in this equation,  $F$  is fraction of drug dissolved as a function of time  $t$ ,  $\alpha$  defines a scale parameter of geometry, and  $\beta$  defines a shape parameter which explains the drug dissolution

curve. In the case of  $\beta = 1$ , the shape of the curve corresponds to exponential,  $\beta > 1$  defines the sigmoid S-shaped upward curve, and  $\beta < 1$  denotes a parabolic with initial high slope followed by consistent exponential. Release profiles of DOX from PBS and CSF both showed  $\beta$  value less than 1, i.e., 0.389 (PBS)

**Table 1** *In vitro* drug release kinetic model fit for DOX-PS 80 conjugates

Kinetic model	Equation	Drug release parameters	Release from DOX-PS80	
			PBS pH 7.3	CSF pH 7.3
Zero order	$F = k_0 \times t$	$R^2$	0.7057	0.8161
First order	$F = 100 \times [1 - \exp(-k_1 \times t)]$	$K_0$	0.023	0.017
		$R^2$	0.7123	0.8199
Higuchi	$F = k_H \times t^{0.5}$	$K_1$	0.001	0.001
		$R^2$	0.9652	0.9913
Korsmeyer Peppas	$F = k_{KP} \times t^n$	$K_H$	0.317	0.222
		$R^2$	0.9847	0.9938
Hixson Crowell	$F = 100 \times [1 - (1 - k_{HC} \times t)^3]$	$KK_P$	0.535	0.271
		$n$	0.394	0.460
Hopfenberg	$F = 100 \times [1 - (1 - k_{HB} \times t)^n]$	$R^2$	0.7101	0.8186
		$K_{HC}$	0.001	0.001
Weibull	$F = 100 \times \{1 - \exp[-(t^\beta)/\alpha]\}$	$R^2$	0.7121	0.8189
		$n$	54.068	38.909
		$R^2$	0.9873	0.9938
		$\alpha$	178.272	351.80
		$\beta$	0.389	0.241

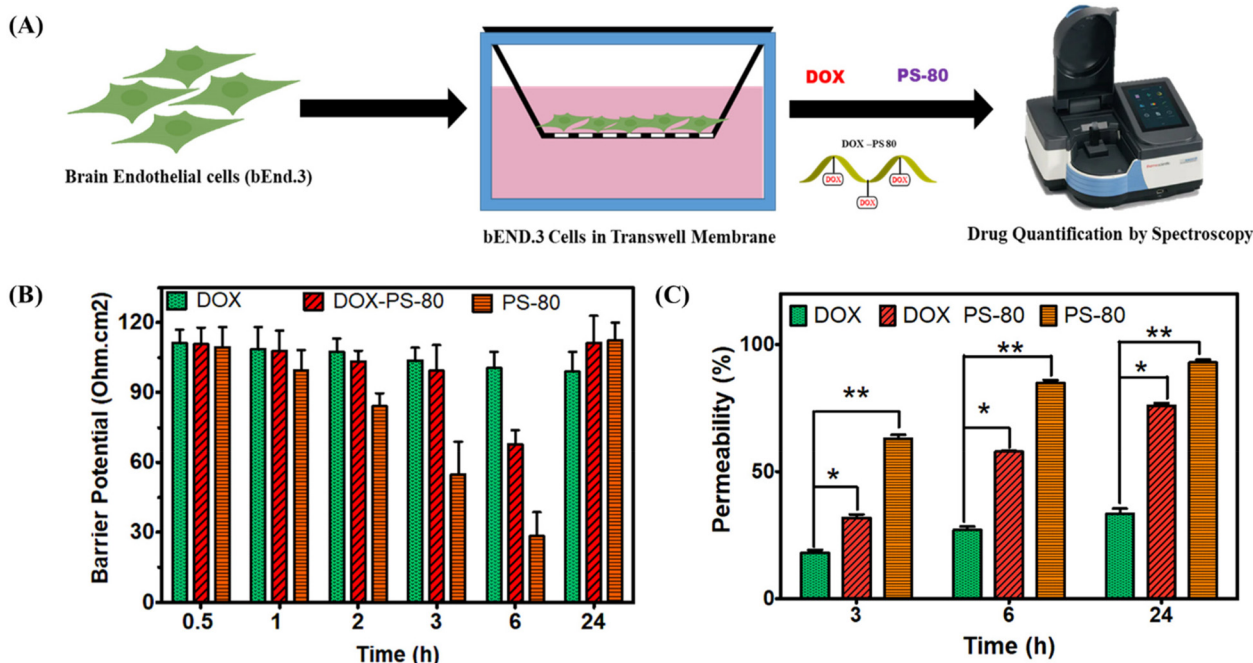
and 0.241 (CSF), which denotes a parabolic curve with high initial slope. Scale parameter ( $\alpha$ ) defines the release rate of dissolved drug molecules; if the scale parameter is high, the drug release rate will be slower. CSF buffer showed higher  $\alpha$  values ( $\alpha = 351.80$ ) compared with the PBS ( $\alpha = 178.27$ ) at alkali environment (pH 7.3) which shows the steady and sustained release of DOX from the conjugates. The Weibull fit curve for DOX from the DOX-PS80 conjugate is shown in Fig. 2(C) and (E).

### 3.2. *In vitro* barrier potential and BBB permeability assay

To evaluate the effect of BBB permeability of DOX-PS80, bEnd 3 cells were grown on a transwell milli-insert with 0.4  $\mu\text{m}$  pore size (Millipore) (Fig. 3). The barrier potential of the bEnd.3 cells was regularly monitored to ensure the formation of monolayer cells which mimic the BBB. When the potential of the cells reached 110  $\text{Ohm cm}^{-2}$  on the 6<sup>th</sup> day, the samples were exposed, and the barrier potential was monitored. Once the samples were added, barrier potential of PS80 dropped to 90  $\text{Ohm cm}^{-2}$  at the end of 3 h and 30  $\text{Ohm cm}^{-2}$  at 6 h, but again the membrane potential was regained to 110  $\text{Ohm cm}^{-2}$  at the end of 24 h. In contrast, the potential of both DOX and DOX-PS80 was stable up to 3 h, followed by a decrease at 6 h and again a regain of the potential at the end of 24 h (Fig. 3B). The drug molecules which crossed the transwell barrier, *i.e.*, passing through the membrane, were quantified using UV visible spectroscopy at regular intervals. The concentration-dependent permeability was observed from 3 h to 24 h incubation (Fig. 3C). At the end of 3 h, PS80 showed more than 60% permeability, while DOX and DOX-PS80 showed 30% permeability. At the end of 24 h incubation, DOX-PS80 showed more than 70% permeability, whereas DOX showed only less than 40% and PS80 showed a high 90% permeability. In comparison with DOX, DOX-PS 80 conjugate showed two-fold increased drug permeability at the end of 24 h (Fig. 3C).

### 3.3. Biocompatibility and cell uptake by bEnd3 cells

The biocompatibility of synthesized DOX-PS80 was evaluated in brain endothelial cells (bEnd3) by incubating samples for



**Fig. 3** Schematic representation of BBB permeability assay by bEnd.3 monolayer formation cells using transwell membrane (A), *in vitro* barrier potential in bEnd.3 cells at different time points (B), *in vitro* BBB permeability assay results compared with DOX, DOX-PS80 showed significant permeability in all time points (C).



different periods of time with controls (PS80 and DOX). All the samples showed more than 90% viability at 3 and 6 h incubation. DOX showed concentration-dependent toxicity at 24 h incubation with more than 60% viability even at a concentration of  $100\ \mu\text{g mL}^{-1}$  (Fig. 4A). Meanwhile, DOX-PS80 showed more than 80% viability for all the concentrations at 24 h incubation. The cell viability assay showed that the synthesised DOX-PS80 was highly biocompatible with the brain endothelial cells. The intracellular uptake of DOX-PS80 and DOX was analyzed using bEnd3 cells by fluorescence imaging. The samples were incubated at 2 h and 4 h followed by fixing the cells using 4% paraformaldehyde. Then the cells were mounted on the glass slide and imaged at  $10\times$  magnification. Both DOX-PS80 and DOX showed intracellular uptake within 2 h. The red fluorescence of drug molecules was observed in cytoplasm as well in the nucleus, which confirmed the internalization of drug molecules throughout the cells (Fig. 4B).

### 3.4. Cytotoxicity, intracellular uptake and cell cycle analysis in U251 cells

The cytotoxicity of DOX-PS80, DOX and PS80 was tested in human glioblastoma U251 cells. PS80 showed more than 60% cell viability at 48 h incubation and did not show significant cytotoxicity compared with the control cells. In the case of DOX and DOX-PS80, both showed concentration-dependent toxicity at 48 h incubation (Fig. 5A). The minimum inhibitory concentration ( $\text{IC}_{50}$ ) for DOX and DOX-PS80 was calculated at

the end of 48 h. The  $\text{IC}_{50}$  of DOX-PS80 was found to be  $38.10\ \mu\text{g mL}^{-1}$  and DOX showed  $1\ \mu\text{g mL}^{-1}$ . Our results are in agreement with the previous results of Stan *et al.*<sup>54</sup> who reported the  $\text{IC}_{50}$  of free DOX as  $1\ \mu\text{g mL}^{-1}$  in U 87 MG and U373 glioma cells. DOX-PS80 showed higher inhibitory concentration compared with free DOX, which could be due to sustained release of DOX from the prodrug. Comparing the drug release data with the cytotoxicity assay, DOX-PS80 in PBS pH 7.4 showed around 2% DOX release at the end of 48 h. Though the drug release from the conjugate is slow and controlled, there is not much difference in the tumor inhibitory effect of DOX with the glioma cells.

The cellular uptake behaviour of DOX-PS80 and DOX was studied in glioblastoma U251 cells at 2, 4 and 24 h incubation. The nucleus was stained with Hoechst, which will give blue fluorescence and DOX will emit red fluorescence. After drug treatment, incubation and staining the dyes, the cells were fixed with paraformaldehyde. The localization of drug molecules in the cells at different time points was observed under confocal microscope at  $20\times$  and  $60\times$  magnification. The single cell image at  $60\times$  magnification (24 h time point) is shown in Fig. 5D and  $20\times$  magnification for 2 h, 4 h and 24 h shown in Fig. S6.† Confocal images clearly demonstrated that DOX-PS80 localized mainly in the cytoplasm and around the nucleus, but in case of DOX, it entered the nucleus within 2 h incubation. Further increases in incubation time to 4 and 24 h also showed a similar effect with increased fluorescence intensity.

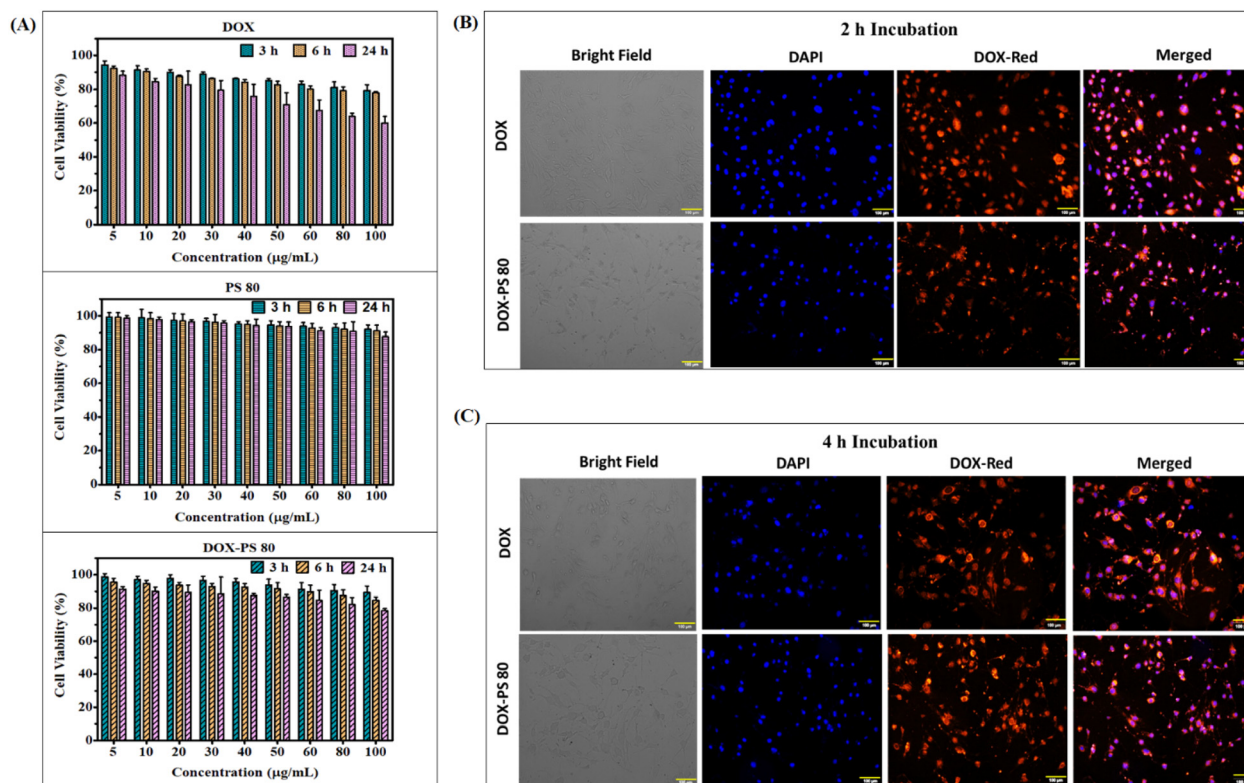
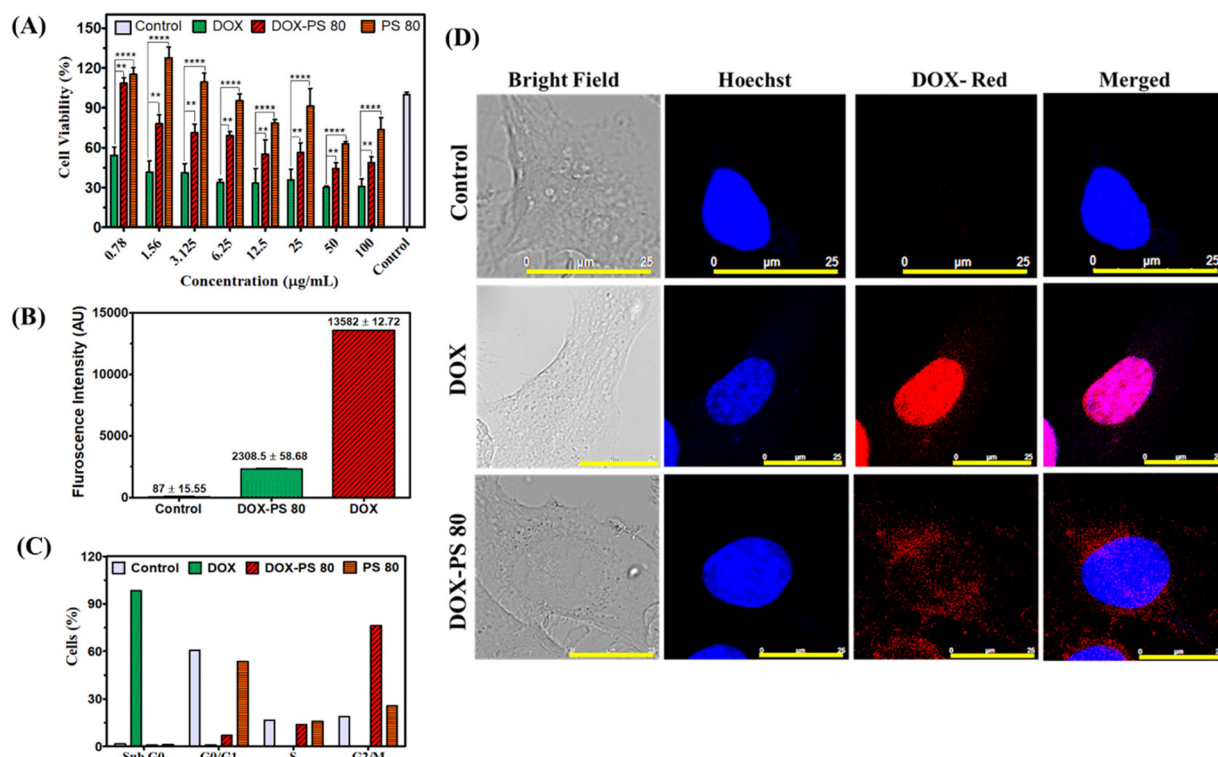


Fig. 4 Biocompatibility assay on bEnd3 cells for PS80, DOX-PS80 and DOX at 3, 6 and 24 h incubation (A), intracellular uptake of DOX and DOX-PS80 on bEnd3 cells at 2 and 4 h incubation using fluorescence microscopy (B,C), scale bar is  $100\ \mu\text{m}$ .







**Fig. 5** Cytotoxicity assay on U251 cells for DOX, DOX-PS80 and PS80 at 48 h (A), quantification of DOX and DOX-PS80 uptake by flow cytometry (B), cell cycle analysis post treatment at 24 h (C), visual inspection of cellular uptake of drug conjugates at 24 h incubation by confocal single-cell imaging (D), scale bar is 25 μm.

Compared with the control cells, DOX and DOX-PS80 both showed a similar effect and time-dependent drug uptake at all time points. During confocal imaging, it was observed that conjugation of DOX with PS led to a decrease in the auto fluorescence property of DOX.

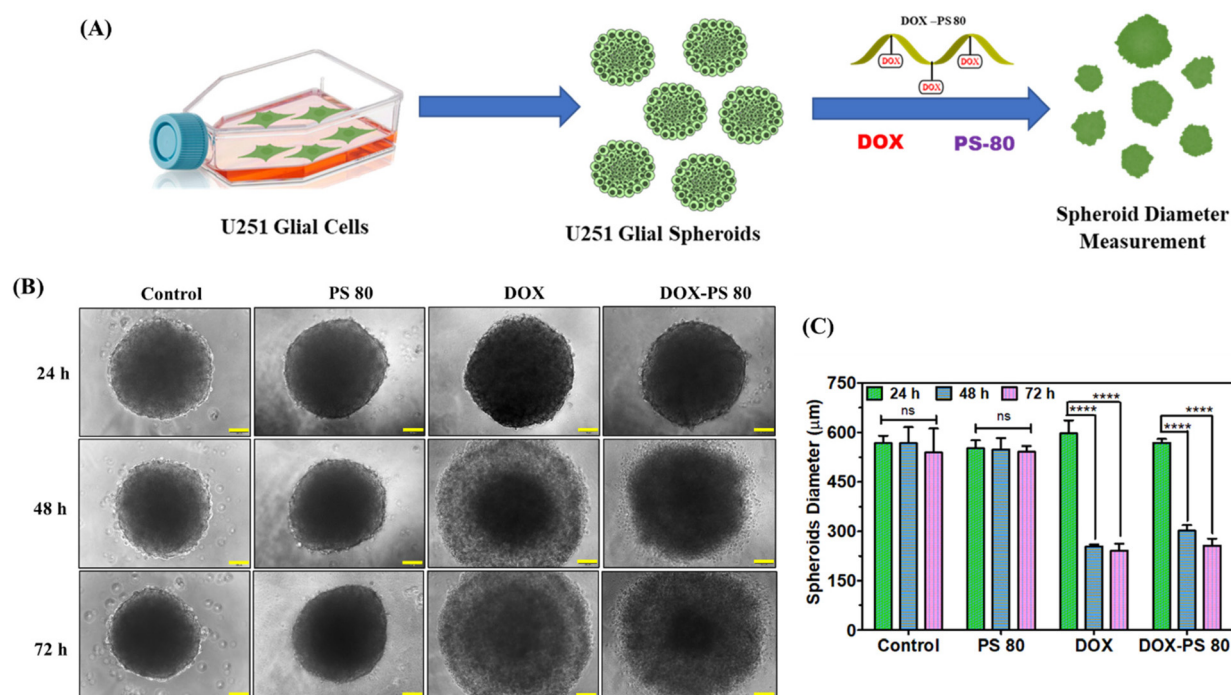
The internalization of DOX-PS80 and DOX was quantified using flow cytometry at different time points of 2, 4 and 24 h incubation. The data for cell uptake at 24 h are shown in Fig. 5B. The mean fluorescence intensity (MFI) for DOX and DOX-PS80 was calculated, and the results were compared and correlated (Fig. S7†). The mean fluorescence intensity of DOX-PS80 was found to be  $837.5 \pm 19.10$  (2 h),  $1124 \pm 28.22$  (4 h),  $2308.5 \pm 58.68$  (24 h) and for DOX was  $2959 \pm 4.24$  (2 h),  $5761 \pm 1.41$  (4 h),  $13582 \pm 12.7$  (24 h), respectively (Fig. S7†). The drug uptake results showed 80 to 90% uptake at the end of 2 h, while 4 h and 24 h incubation showed more than 95% uptake for both DOX and DOX-PS80 (Fig. S8†). Both DOX and DOX-PS80 showed increase in fluorescence intensity with increasing drug incubation time. While comparing the MFI of DOX-PS80 with DOX, DOX showed higher fluorescence intensity. The reason for the lower MFI for DOX-PS80 may be the quenching effect of auto fluorescence of DOX when conjugated with PS80. The results are in conformity with the previous confocal imaging results. Also, the higher MFI for free DOX may be due to passive diffusion of small molecules through the cell membrane; meanwhile, the mechanism of DOX-PS80 intracellular uptake remains to be explored.

To check the effect of cell cycle phases in U251 cells, PS80, DOX and DOX-PS80 conjugate were treated for 24 h and analyzed by flow cytometry (Fig. 5C). Control cells and PS80 showed the same cell cycle pattern, with more than 50% cells in G1 phase (growth phase), meanwhile DOX showed majority of cells in Sub G0 phase (98.2%) which may be due to the higher concentration of DOX resulting in apoptosis. Apoptotic cells, cells that had already lost their DNA by the release of apoptotic bodies, cellular fragments containing fragments of chromatin, damaged nuclei, chromosomes, and cellular debris made up the sub-G0/G1 peak.<sup>55,56</sup> A higher proportion of cells (76.3%) were arrested in the G2/M phase of mitosis as a result of DOX-PS80 conjugate treatment, along with 13.8% of the population of cells in the S phase (DNA synthesis), and 6.9% of cells were retained in the G1 phase. The percentages of cell cycle phases for all the samples are shown in Fig. S9†.

### 3.5. *Ex vivo* tumor spheroid assay

The tumor spheroid assay is the best *ex vivo* model, and closely resembles the solid tumor microenvironment for testing the anticancer efficacy of synthesised compounds.<sup>48,57</sup> In our study, the tumor inhibitory efficacy of DOX-PS80 was assessed using a glioblastoma U251 cell spheroid model with controls (Fig. 6A). The morphology of tumor spheroids was captured at the end of 24, 48 and 72 h using phase contrast microscopy. The morphology of drug-treated tumor spheroids was compared with the control. PS80 does not show any mor-





**Fig. 6** Schematic diagram represents *ex vivo* tumor spheroid assay (A), bright field microscopy images for drug treated and untreated spheroids at different time points (B), scale bar is 100 μm. Quantitative measurement of spheroid diameter after 24 h incubation showed significant reduction in both DOX and DOX-PS80 (C).

phological changes in spheres until 72 h. Meanwhile both DOX-PS80 and free DOX-treated spheroids showed slight morphological changes after 24 h (Fig. 6B). Comparatively, DOX-treated spheres showed stepwise degradation of spheres followed by cell death from 24 to 72 h. More interestingly, DOX-PS80-treated samples showed disruption of cells slowly from spheres followed by degradation. Polysorbate has a solubilizing property, and due to this property DOX-PS80 samples showed disruption followed by cell death. PS80-treated samples also showed slight cell disruption behaviour (Fig. 6B). The average diameter of the tumor spheroids was calculated and compared with the control (Fig. 6C). At the end of 72 h, control spheroids showed average diameter of  $539.76 \pm 71.53$  μm and spheroids treated with PS80, DOX and DOX-PS80 showed average diameter of  $541.62 \pm 17.44$  μm,  $240.62 \pm 22.08$  μm and  $256.57 \pm 20.89$  μm, respectively.

The morphological changes as well the average diameter of spheroids showed that both DOX and DOX-PS80 exhibited a very similar tumor inhibitory effect. Due to sustained release of DOX from the DOX-PS80 conjugate, the tumor inhibitory effect happened in a slow fashion. This may be due to PS80 solubilizing and disrupting the tumor spheroids' morphology and DOX degrading the cells slowly followed by apoptosis. Control and PS80 did not show tumor inhibitory effects and there was no change in spheroids' diameter. At 24 h incubation, there were no changes in the test group compared with untreated spheroids. Compared with the control, DOX and DOX-PS80 conjugate showed significant difference at 48 and

72 h incubation, demonstrating the anti-tumor efficacy of the drug molecules (Fig. 6B and C).

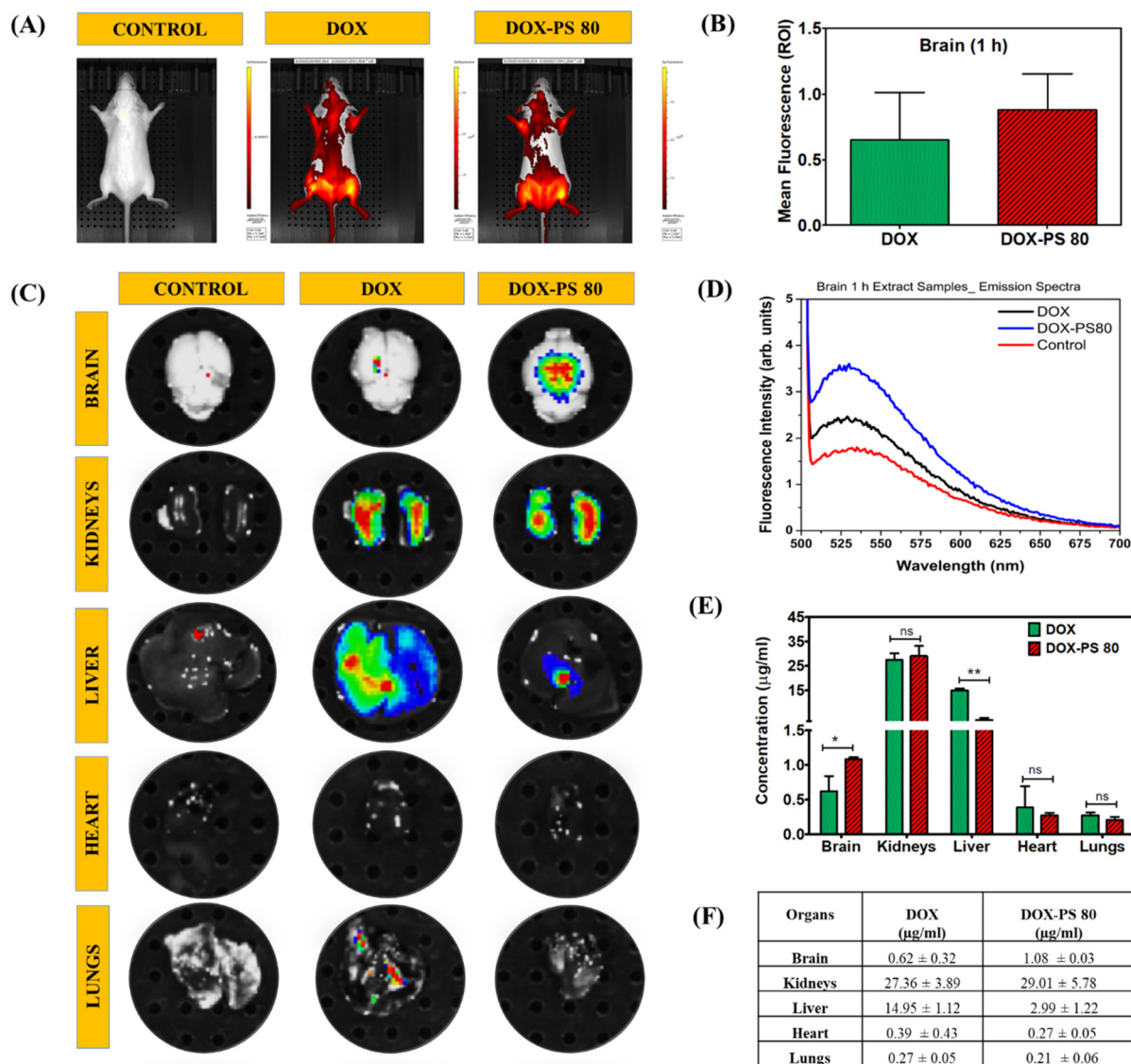
### 3.6. Hemolysis

The hemolytic effect of the synthesized DOX-PS80 was assessed using human RBCs. Different concentrations of PS80, DOX and DOX-PS80 were prepared using PBS pH 7.4 and the assay was performed according to Francis *et al.*<sup>47</sup> The percentages of hemolysis for all the concentrations are shown in Fig. S10.† PS80 did not show any hemolytic activity. DOX-conjugated PS80 samples showed less hemolytic activity compared with the pure DOX. At higher concentrations such as  $100 \mu\text{g ml}^{-1}$ , DOX-PS80 conjugates showed  $5.16 \pm 1.4\%$  and free DOX showed around  $14.71 \pm 0.7\%$  hemolysis.

### 3.7. Biodistribution, toxicology, and histopathology studies in Swiss albino mice

Biodistribution studies were performed to evaluate the transportation of DOX-PS80 conjugate in different organs.<sup>58,59</sup> Through IVIS live animal imaging, the whole animal and dissected organs were imaged and compared with the control (Fig. 7A and C). Whole live animal imaging was unable to provide a clear understanding of the transportation of drug conjugates into the brain because DOX fluorescence interfered with the fluorescence of the animal's blood and fur. To evaluate the drug concentration, all the organs, namely the brain, kidneys, liver, lungs, and heart, were dissected and imaged by IVIS (Fig. 7C). DOX-PS80 showed higher fluorescence com-





**Fig. 7** IVIS fluorescence image of mouse organs after 1 h drug treatment, IVIS whole live animal imaging showed similar fluorescence for DOX and conjugate (A), fluorescence region of interest (ROI) of brain at 1 h (B), the amount of drug distribution in brain as well other organs was compared using IVIS fluorescence imaging (C) and brain extraction samples analyzed for fluorescence signal (D), DOX-PS80 showed strong fluorescence signal in brain compared with DOX. Quantitative estimation of DOX and DOX-PS80 in different organs was compared and correlated (E and F).

pared with the DOX in IVIS imaging and drug quantification. Fluorescence signal (ROI) for brain was measured separately before digestion and results for DOX and DOX-PS80 were compared (Fig. 7B). To further quantify the amount of drug in the brain and other organs, all the tissues were ground and digested with buffer [acetone:phosphate buffer pH 3: methanol (25:45:30)] and the amount of drug in each tissue was measured using fluorescence spectroscopy at 590 nm (Fig. 7D–F).

When the concentrations of free DOX and DOX-PS80 conjugate in the brain were compared, DOX-PS80 showed a significant difference, demonstrating the effective permeation of drug conjugate across the BBB. The concentration of DOX in other organs was quantified. Within 1 h, kidneys and liver

showed maximum drug, followed by other organs, namely brain, heart, and lungs. On comparing the amount of free DOX and DOX-PS80 conjugate in the brain alone, DOX-PS80 showed around  $1.08 \pm 0.03 \mu\text{g ml}^{-1}$  and DOX showed  $0.62 \pm 0.32 \mu\text{g ml}^{-1}$ . The concentrations of drug molecules of different organs were quantified and tabulated, and are shown in Fig. 7F.

The drug biodistribution analysis was also performed for 24 h drug treatment and results were compared with respective controls. IVIS spectrum of whole animal and different organ analysis data for 24 h are shown in Fig. S11 and Table S1.† All the experiments were subjected to statistical analysis and the average results are reported. For histopathology, organs were dissected and stained with hematoxylin and eosin after 24 h of





drug treatment. Sections were photographed at 10× magnification and any morphological or pathological changes were investigated. Histopathological investigation of the sections indicated no appreciable changes in any of the dissected organs. The results were compared and correlated with the control group (Fig. S12†).

### 3.8. *In silico* studies: analysis of pharmacokinetic parameters

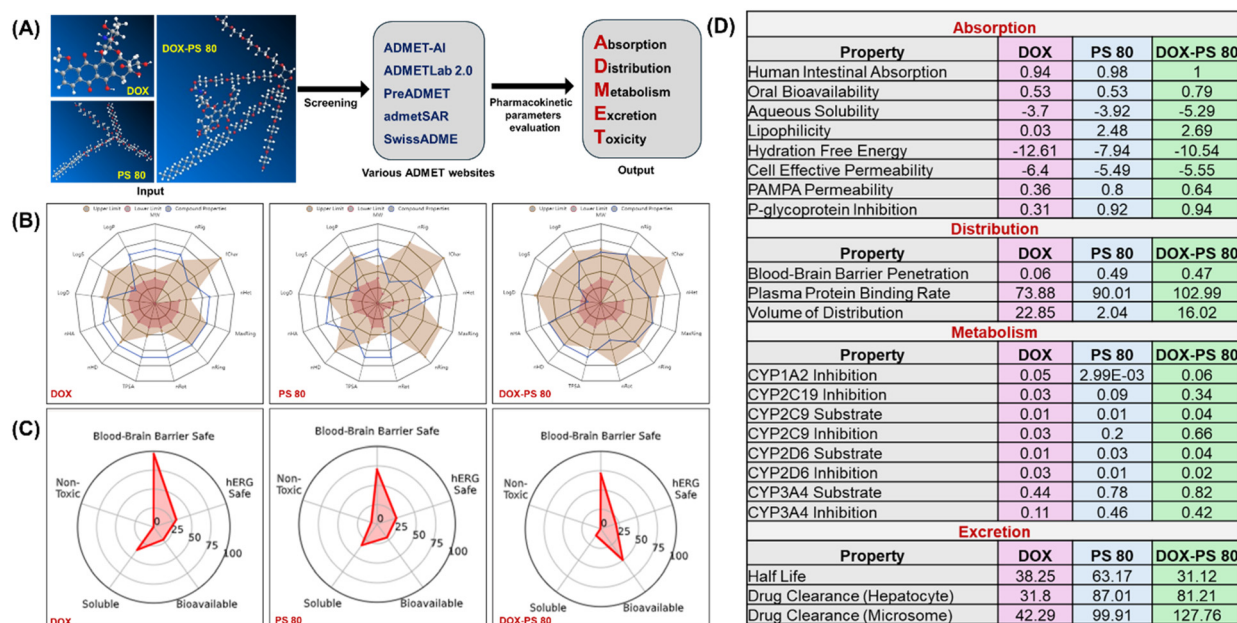
ADMET properties for DOX, PS80 and DOX-PS 80 were comprehensively analyzed through different web server-based platforms (Fig. 8A). The physicochemical and ADMET properties of all the three molecules were analyzed using ADMET-AI, ADMETlab 2.0, Pre ADME, admetSAR, and SwissADME.<sup>60–65</sup> Every tool forecasts the ADMET characteristics using a distinct source and approach; all the predictions for DOX, PS80 and DOX-PS80 were subsequently assessed and verified. Among those, machine learning platform ADMET-AI web server (<https://admet.ai.greenstonebio.com/>) provided fast and accurate ADMET predictions and holds the highest rank on the therapeutic data commons leaderboard.<sup>62</sup> In addition to ADMET-AI, the web-based ADMETlab 2.0 (<https://admetmesh.scbdd.com/>) demonstrated precise and similar characteristics to the predictions generated by ADMET-AI.

Even though we investigated ADMET predictions from a variety of programs, we have opted to present the data from ADMET-AI and ADMETlab2, as these programs produced the most accurate projections. In Fig. 8B, a radar spider plot illustrates the comparison of the physicochemical parameters of DOX, PS80, and DOX-PS80 from ADMETlab 2.0 predictions. All three compounds showed optimal values with reference range; however, DOX-PS80 showed a higher polar surface area

(478.97 Å) followed by PS80 (280.82 Å) and DOX (206.07 Å) based on Veber rules. The logarithmic aqueous solubility ( $\log S$ ) of DOX-PS80, PS80 and DOX showed  $-4.751$ ,  $-4.562$  and  $-3.419 \text{ mol L}^{-1}$ , which is the optimum range. The predicted  $\log P$  value of DOX-PS80 showed  $4.667 \text{ mol L}^{-1}$ , which represents higher lipophilic behavior of the compound compared with DOX ( $2.012 \text{ mol L}^{-1}$ ) and PS80 ( $2.508 \text{ mol L}^{-1}$ ). Interestingly, the distribution coefficient ( $\log D$ ) values of PS80 and DOX-PS80 showed optimal values of  $2.713$  and  $2.534 \text{ mol L}^{-1}$ , respectively. However, DOX showed a  $\log D$  value less than  $1$  ( $0.738$ ) which is considered as out of range. Both  $\log P$  and  $\log D$  values are direct measurements of lipophilicity, which is an important requirement for blood–brain barrier membrane permeability. Detailed descriptions of all the physicochemical parameters are available on the ADMETlab 2.0 website (<https://admetmesh.scbdd.com/explanation/index>).

The radial plot from ADMET-AI (Fig. 8C) summarizes the significant ADMET properties, *i.e.*, solubility, bioavailability, toxicity, BBB and hERG safety. The ADMET AI prediction clearly indicated that all three compounds are non-toxic and BBB-safe. It is interesting to note that DOX-PS80 showed a better bioavailability value of  $0.79$  compared with DOX ( $0.53$ ) and PS80 ( $0.53$ ). The human ether-a-go-go related gene (hERG) potassium channel prediction data values for DOX ( $0.61$ ), PS80 ( $0.74$ ) and DOX-PS80 ( $0.81$ ) showed that the values are within the safe range.

The ADMET properties of DOX, PS80 and DOX-PS80 from ADMET-AI software were compared and tabulated (Fig. 8D). In terms of absorption factors such bioavailability, solubility, lipophilicity, and permeability, DOX-PS80 outperformed DOX with respect to predicted values. The BBB penetration scores



**Fig. 8** Evaluation of pharmacokinetic parameters (ADMET) using various AI-based *in silico* models (A), the radial plot of DOX, PS80, and DOX-PS80 physicochemical parameters from ADMETlab 2.0 and DOX-PS80 showed better properties compared with free DOX (B), ADMET-AI radar plot of DOX-PS80 revealed higher bioavailability and permeability (C) and comparison of ADME score for DOX, PS80 & DOX-PS80 through ADMET-AI tools (D).



clearly demonstrated that DOX-PS80 had superior BBB penetration compared with free DOX. Whereas free DOX showed a value of 0.06, the DOX-PS80 and PS80 displayed values of 0.47 and 0.49. This exemplifies the significance of conjugating PS80 with hydrophilic drug moieties such as DOX. These findings are corroborated with *in vitro* BBB permeability results (Fig. 3C) and *in vivo* data (Fig. 7). The human cytochrome (CYP) P450 family enzymes and isozymes play a major role in the drug metabolism process. The CYP substrate and the inhibition prediction values for DOX, PS80, and DOX-PS80 exhibited values less than 1 (within range). The half-life ( $t_{1/2}$ ) is an important parameter which correlates the volume of distribution and clearance. PS80 exhibited a maximum half-life of 63.17 h, while DOX and DOX-PS80 displayed 38.25 and 31.12 h, respectively. Both DOX-PS80 and PS80 showed the highest clearance values compared with free DOX (Fig. 8D).

## 4. Conclusions

Doxorubicin was successfully conjugated with PS80 by carbamate linkage and the conjugation was confirmed by different spectroscopic techniques. The amount of DOX conjugated to the PS80 was found to be  $43.69 \pm 4.72\%$ . The percentage cumulative release of DOX from the conjugates was found to be  $4.9 \pm 0.8\%$  (PBS pH 7.3) and  $3.9 \pm 0.6\%$  (CSF pH 7.3) at the end of 10 days. *In vitro* biocompatibility testing in bEnd.3 cells revealed that the synthesized DOX-PS80 conjugate was biocompatible and non-toxic. BBB permeability assay by *in vitro* method revealed the effective permeation of DOX-PS80 conjugates compared with DOX. The cytotoxic effect of DOX-PS80 was analyzed using glioblastoma U251 cells and the  $IC_{50}$  value was found to be  $38.10 \mu\text{g mL}^{-1}$ . Intra-cellular uptake studies revealed that the synthesized DOX-PS80 was able to localize in cytoplasm and nucleus at different time intervals in both brain endothelial bEnd.3 as well in glioblastoma U251 cells. Cell cycle analysis of DOX-PS80 conjugate showed G2/M phase arrest and apoptosis. Tumor spheroids assay in U251 cells showed a significant reduction in tumor size in treated cells compared with control. IVIS live animal imaging and fluorescence spectroscopy results revealed that most of the drug molecules were transported to the liver and kidneys, followed by other organs. Brain sections showed a higher amount of DOX-PS80 conjugate compared with DOX, showing the enhanced permeation of DOX-PS80 through BBB. *In silico* pharmacokinetic parameters were screened using ADMET web-based platforms. These preliminary findings show that drugs conjugated to PS80 have the potential to cross the BBB for treating CNS disorders.

## Conflicts of interest

The authors declared no conflict of interest.

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