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Nanoscale, surface-confined phase separation by electron beam induced oxidation†

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Electron-assisted oxidation of Co–Si-based focused electron beam induced deposition (FEBID) materials is shown to form a 2–4 nm metal oxide surface layer on top of an electrically insulating silicon oxide layer less than 10 nm thick. Differences between thermal and electron-induced oxidation on the resulting microstructure are illustrated.

Introduction

Maskless, site-selective writing of nanostructures of a desired shape and dimension using focused electron/ion beams is a powerful tool for controlled bottom-up nanofabrication.^{1–3} The unique 3D writing capabilities of these approaches allow tailoring of structures for the investigation of fundamental phenomena in diverse fields such as magnetism^{4,5} and optics.^{6,7} In addition, advances in the simulation of these printing processes provide guidance for optimized writing strategies^{8,9} and the ongoing development of precursors has expanded the range of available materials and compositions, thus broadening the potential application portfolio.^{10,11}

Besides studies of the fundamental properties of such as-grown nanostructures, there has been considerable interest in modifying the physical properties of materials prepared by focused electron beam induced deposition (FEBID). For

instance, modification of the FEBID material has been achieved by post-growth processing such as electron beam curing (EBC) and the coating by chemical/physical vapour deposition of as-grown 3D FEBID nanostructures.^{12–15} The altered physical properties after post-growth treatments are typically attributed to changes in the microstructure of the FEBID material.^{16–18} This is indicative of the fact that these direct-write methods based on electrons and ions are non-equilibrium processes, which typically yield deposits in metastable states that can be driven towards equilibrium by post-growth treatments.^{19,20} Moreover, microstructures of as-grown FEBID materials frequently exhibit nanoscale phase separation due to the deposition of composite materials containing ligand fragments. Typically, metal-rich clusters form in a highly carbon-rich matrix, which leads to the electronic transport behaviour of nanogranular metals with (correlated) variable range hopping.²¹ Post-growth EBC can lead to grain growth of the metal nanoparticles by diffusion of either fragments caused by progressive decomposition of immobilized molecules or by electron-driven processes, within the deposited material, described in detail below.²² Hence, intentional changes of the microstructure and composition are of current interest for FEBID derived materials. For instance, the presence of water as a reactive gas during the EBC of FEBID-derived material can lead to pure noble metal or metal oxide nanostructures by oxidative removal of carbon impurities which is associated with the electron-induced formation of highly reactive hydroxyl radicals.^{23–25}

In general, the energy range of the electrons causing microstructural and composition changes must be considered. For instance, metal surfaces can be oxidized under electron beam irradiation in the energy range of a few to hundreds of keV in the presence of oxygen or water.^{26–29} With respect to beam effects and reaction mechanisms in high energy processes one should always consider the effects of electron beam-induced atomic displacement as a cause for microstructural changes. This is of particular importance for *in situ* studies in transmission electron microscopes with primary electrons in the energy range of typically 200–300 keV. The atomic displace-

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ment is often considered as the main contribution for nanoparticle reorientation,^{30,31} re-crystallization,³² and enhancement of diffusion/sputtering processes.^{33,34} For studies in scanning electron microscopes, typically operating in the 5–30 keV energy range, atomic displacement is negligible. Other effects prevail as cause for microstructural changes and diffusion processes, such as electron beam heating, radiolysis, electrostatic charging, various ongoing energy-dependent bond cleavages *etc.*³⁵ However, the assignment of a general dominant driving force for the microstructural changes during EBC of FEBID material in the SEM is not possible due to the complex interplay of different aspects to be considered. The complexity is caused by ill-defined bonding situations, low crystallinity as well as constituents of different atomic masses and reactivity within the deposits.

Naturally, the composition of FEBID and FIBID materials is dependent on the precursors used during the writing process. Bimetallic precursors are highly suitable model systems to gain insight into the FEBID growth process under specific growth conditions. In this respect, different fragmentation channels depending on the spatial distribution of high and low energy electrons driving the molecule fragmentation in single spot depositions have been suggested to be responsible for FeCo₃ shell formation on an Fe-enriched NW core when HFeCo₃(CO)₁₂ is used as precursor.³⁶ Typically, in monometallic precursors this effect is masked because the composition of the FEBID material varies only in the light elements originating from the ligands. Therefore, changes in the fragmentation behaviour or regimes are sometimes not obvious and easy to identify. In addition, the more complex bimetallic precursors allow a controlled deposition of materials with a specific, pre-defined metal ratio for a wide range of deposition parameters.^{22,37–39} Recently, we reported a single precursor source approach using H₂Si(Co(CO)₄)₂ in FEBID to provide a dense material with a general composition of Co₂SiCO.⁴⁰ Such a deposit containing two metal/metalloid components with significantly different M–O bond energies is expected to be prone to phase separation under oxidizing conditions. The mostly amorphous Co₂SiCO FEBID material with a low Co clustering tendency⁴⁰ is expected to form SiO₂ due to the high oxophilicity of Si and the Si–O bond strength of 452 kJ mol⁻¹, which also surpasses the high Si–C bond strength of ~300 kJ mol⁻¹.⁴¹ The Co–O bond energy of ~315 kJ mol⁻¹ is also much lower than the energy gained by Si–O formation.⁴² Therefore, oxidative treatment of the materials will preferentially oxidize Si and lead to Si–O–Si bonding. Similarly, oxidation by thermal annealing of SiO₂ covered Co₂Si on Si results in continued SiO₂ growth.⁴³ Simultaneously with the oxidation of Si, Co is described to diffuse into the Si substrate material and thus the Si is progressively oxidized at the interface between Co₂Si and the SiO₂ top layer.⁴³ This is a typical high temperature oxidation process involving solid state diffusion that can be carried out under wet and dry oxidizing conditions.⁴⁴ Also, the Co₂SiCO FEBID material is prone to diffusion processes changing the microstructure of the whole deposit with sufficient energy input as described below. It is therefore of

interest to study electron-induced oxidation by EBC in the presence of small amounts of water as a mild modification that does not alter the bulk of FEBID material but only a small region near the surface.

Here we present a phase separation in the near-surface volume of FEBID materials driven by an electron-assisted oxidation process in the presence of small amounts of water. To the best of our knowledge, this is the first report of phase separation in a solid manifested by the formation of a surface-confined nanoscale bilayer structure composed of materials of different compositions. We demonstrate the differences in phase separation effects between thermally induced bulk diffusion and the highly surface sensitive electron driven process.

Results and discussion

Oxidative electron beam curing of Co₂SiCO FEBID material

In a first step the Co₂SiCO FEBID material was written using H₂Si(Co(CO)₄)₂ as precursor as illustrated in the scheme of Fig. 1a and b. The further process step includes post-growth EBC in the presence of water, as schematically shown in Fig. 1b, resulting in the surface oxidation. Fig. 1c represents a cross-sectional view of as grown FEBID material and the assumed bilayer formation caused by phase separation after the oxidative EBC. The black box in the EBC treated material represents a part of the deposit typically presented in most of the figures of this paper. Fig. 1d shows a transmission electron microscopy (TEM) lamella prepared from an in-plane deposit with dimensions of 5 μm length and 1 μm width written on a SiO₂ surface using e-beam parameters of 6.3 nA and 5 kV and post growth EBC with an electron dose of 1330 nC μm⁻². The water in the residual background gas in SEM systems, even at low background pressures of ~3.6 × 10⁻⁷ mbar,^{10,45} can be used for electron-induced oxidation. Dosing of additional water for oxidation is not required but an increased level was used for control experiments. When the samples were prepared for TEM, both a ~3 nm Nb-based FEBID layer and an evaporated C layer were initially included to provide a distinct difference in contrast between the Co₂SiCO FEBID and the envisioned phase separated material. Since excellent Z-contrast is provided by C alone, the Nb-based FEBID material has been omitted in most samples prepared for cross sections. For the TEM lamella preparation, the typical MeCpPtMe₃-derived FEBID and FIBID PtC_x protective layers were deposited on the surface prior to the cutting procedures. The deposited SiO₂/Co₂SiCO/NbN_yC_x/C/PtC_x layer structure clearly contains at least one additional layer between the FEBID Co₂SiCO and the NbN_yC_x/C top layer as shown in Fig. 1d. Close inspection reveals a very thin bright and dark line above the ~100 nm thick Co₂SiCO for the whole lamella length. A more obvious identification of two newly formed nanometric layers is illustrated in Fig. 1e. The contrast in the high-angle annular dark-field (HAADF) STEM image shows the formation of two layers below the dark C top layer and suggests a compositional vari-



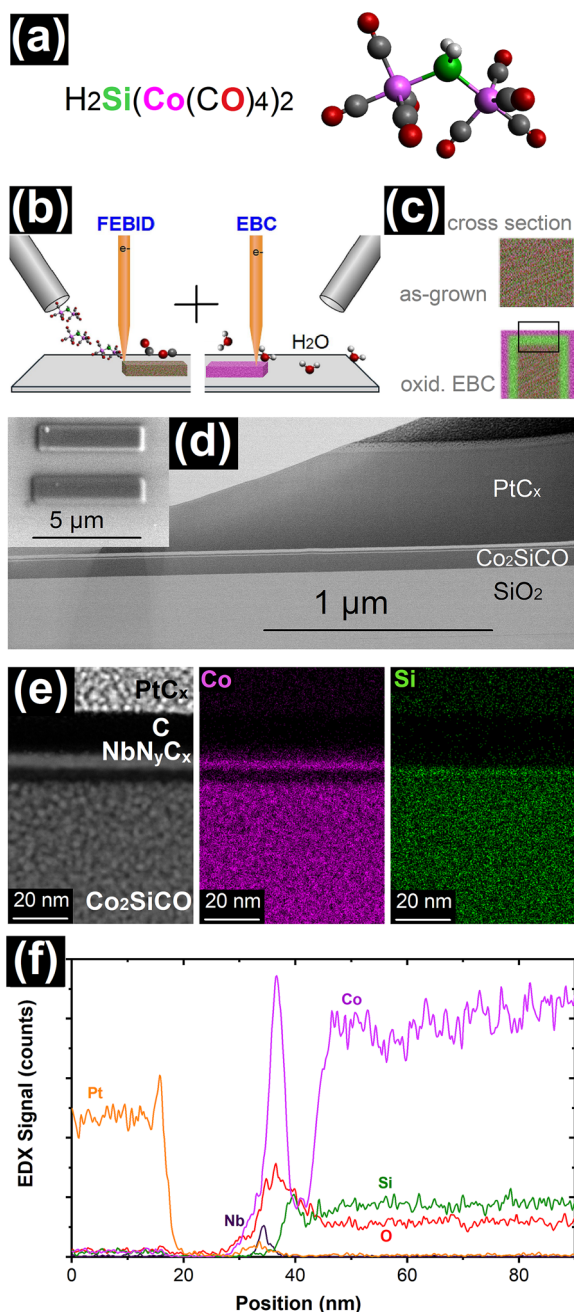


Fig. 1 (a) Colorized sum formula and illustration of the $\text{H}_2\text{Si}(\text{Co}(\text{CO})_4)_2$ precursor used for FEBID. (b) The scheme illustrates FEBID writing and the following oxidative electron beam curing. (c) Schematic cross-sectional views of as-grown deposits and the anticipated oxidative conversion after EBC with phase separation in a Co-rich (pink) and Si-rich (green) layer are shown, which is also reflected in the (S)TEM images and EDX analyses thereafter. The black box represents the sections typically used for the TEM investigations. (d) BF STEM image of a cross-sectional lamella containing electron beam cured Co_2SiCO FEBID written at 6.3 nA and 5 kV. EBC was carried out with an electron dose of $1330 \text{ nC } \mu\text{m}^{-2}$. The inset shows a SEM image to illustrate the typical size of the FEBID structures. (e) The HAADF STEM image shows two new layers with different Z-contrast between the as-grown Co_2SiCO FEBID in the lower part and the dark 10 nm C layer. The EDX Co_K and Si_K maps illustrate a newly formed Co-based layer separated from the initial FEBID material by a Si-based layer. (f) The graphical representation of a EDX line scan of the section shown in (e).

ation that is more clearly seen in the energy dispersive X-ray (EDX) elemental maps for Co_K and Si_K . The initial Co_2SiCO FEBID material is transformed into a Co-based top layer and a subsurface Si-based layer with a slightly higher Si concentration. The compositional variation is also illustrated in a line scan, which shows the layer formation and the extent of the transformation zone with a total depth of less than 15 nm. The EDX line scan reveals the aforementioned formation of oxides with increased O signals in the surface region. In addition, the ESI (Fig. S1†) includes a comprehensive set of elemental maps for a complete visual representation of the TEM lamella shown in Fig. 1. Additional examples of phase separation in different samples are also given in the ESI (Fig. S2 and S3†).

The spatial confinement of the bilayer formation described in this study and illustrated in Fig. 1 and S1–S3† contrasts with the water-based purification of PtC_x , which is a bulk effect in FEBID material up to $\sim 150 \text{ nm}$ in depth and is not limited to a small near-surface region.⁴⁶ One explanation for the different reaction volumes in the EBC would be a material dependent water penetration depth in the two FEBID materials. In this respect, it should be also noted that the electron penetration depth depends on the beam energy and the material composition. For 5 kV acceleration voltage, as used here, the penetration depth is in the range of hundreds of nm and cannot be the reason for the spatially confined reaction.⁴⁷ While nanoscale phase separation in materials is commonly observed in the form of globular or nanolaminate inclusions in the bulk, as phase separation of eutectic compositions or as segregation at grain boundaries,^{48–51} the process of surface-bound nanoscale bilayer formation described herein appears to be very uncommon.

Further microstructural features of the Si and Co enriched layers have been investigated. Fig. 2a shows a bright field (BF) STEM image revealing the granular nature of the Co_2SiCO FEBID material at the bottom with its corresponding fast Fourier transform (FFT) illustrating the amorphous nature as described in literature.⁴⁰ As it can be expected due to the high crystallisation temperatures, the formed SiO_x layer is amorphous and typically 6–8 nm thick. The cobalt oxide top layer is 2–3 nm thick and consists of individual nanocrystals, as shown by the individual FFT insets for different sections of the layer. The exact locations from which the FFTs originate are colour coded and indicated by horizontal lines, which also represent the edge length of the squares used for the FFTs. Accumulated information for a larger section of $\sim 25 \text{ nm}$ edge length is shown in the FFT framed in orange. Similar examples for the crystalline nature of the cobalt oxide are presented in the ESI (Fig. S4†). The FFTs of several samples allowed the determination of CoO as the crystalline phase, which correlates well with the Co:O atomic ratio shown in the EDX line scan of Fig. S3.†

The electron-induced oxidation and associated phase separation is not restricted to planar Co_2SiCO deposits. Fig. 2b and d show the element distribution for an as-grown Co_2SiCO nanowire (NW), illustrating the typical circular shape of FEBID NWs in the radial EDX line scan and the complete absence of



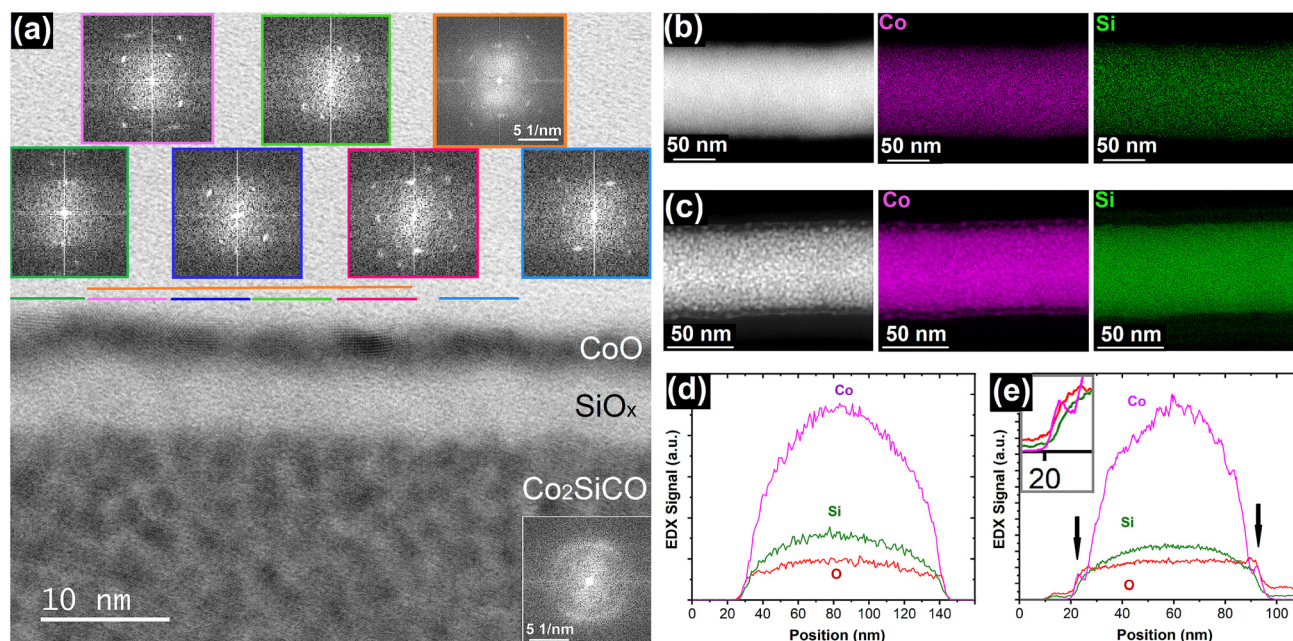


Fig. 2 (a) BF STEM image of a TEM lamella of oxidative electron beam cured Co_2SiCO FEBID material with an FFT inset on the lower right showing the mostly amorphous nature of the FEBID material. The crystalline cobalt oxide layer is 2–3 nm thick and reveals different crystallites. The FFTs originating from different positions along the layer illustrate the different orientations of the crystallites. The horizontal lines indicate the position from which the FFT originate, while the colour code matches location and FFT and the length of the horizontal lines provide the edge length of the squares used for FFTs. The frames for the FFTs contained also amorphous carbon originating from the protective layer, which does not affect the dot pattern. The STEM images show (b) a Co_2SiCO NW section with corresponding EDX Co_K and Si_K maps and (c) a section treated by oxidative EBC using a higher electron dose of $\sim 4000 \text{ nC } \mu\text{m}^{-2}$ to initiate the reaction. The more granular appearance in the HAADF image of the oxidative EBC treated NW indicates the onset of electron-induced bulk modification in addition to the oxidative phase separation on the surface. Radial EDX line scans of (d) an as-grown Co_2SiCO FEBID NW section and (e) the oxidative EBC treated NW section show an expected accumulation of Co at the surface indicating the phase separation caused by the oxidative EBC (denoted by arrows and enlarged as an inset in (e)).

phase separation. In contrast, oxidative EBC treated NWs reveal distinct changes in the microstructure (Fig. 2c and e). The accumulation of Co on the surface of the NW is clearly visible in the elemental Co_K map of Fig. 2c and in the radial EDX line scan as small shoulders in the Co signal of Fig. 2e. The granular appearance in the HAADF STEM image of Fig. 2c indicates the onset of electron-induced bulk modification associated with the surface phase separation. We note that the oxidative EBC of the NWs typically results in bending of the nanowires and is more difficult to control than the process described above for the in-plane nanostructures. *E.g.*, the electron dose had to be increased for similar beam parameters (5 kV; 6.3 nA; 4–6000 $\text{nC } \mu\text{m}^{-2}$) in which case the EBC process also starts to affect the microstructure of the FEBID bulk. Specific tailoring of the process to such 3D NW structures is therefore required to realise the full potential of the here presented strategy.

Thermal oxidation and microstructure evolution of Co_2SiCO FEBID material on microheaters

The FEBID process is not limited to specific substrate types. Therefore, in plane deposits on micromembranes with an integrated Joule heater⁵² have also been investigated to show possible differences in electron-induced and thermal oxidation behaviour. Schematically, the deposit location on micromem-

brane heaters as well as the two experiments at elevated temperatures described hereafter are shown in Fig. 3a–c. The thermal treatment was carried out in the SEM after the FEBID and under the same conditions as the electron-induced oxidation described above. Fig. 3d reveals significant dissimilarities between the EBC and thermal treatment in the SEM, as no specific tendency for Co enrichment at the surface but a Si termination can be observed for a thermal treatment at nominal $\sim 320 \text{ }^\circ\text{C}$ for 5 min. These results are similar to oxidation of Co_2Si on SiO_2 described in literature even though the oxidation temperatures are much higher for pure Co_2Si .⁴⁴ The formation of a SiO_2 surface layer and Co enrichment in the remaining Co_2Si have been observed akin to our results herein for the thermal treatment.⁴⁴

Fig. 3b reveals fluctuations in the signal intensities for Co which indicates minor bulk diffusion processes within the FEBID material at $\sim 320 \text{ }^\circ\text{C}$. Increasing the annealing temperature to nominal $\sim 350 \text{ }^\circ\text{C}$ results in a more significant surface oxidation and a discernible surface oxide that is rich in Si (Fig. 3c). In addition, a large rearrangement within the deposit is observed, which has also been described in the literature for other FEBID materials after thermal treatment.^{20,53,54} Thermally driven diffusion in metastable Co_2SiCO FEBID material can be expected to result in a SiO_xC_y phase and metallic Co with potentially a low Si



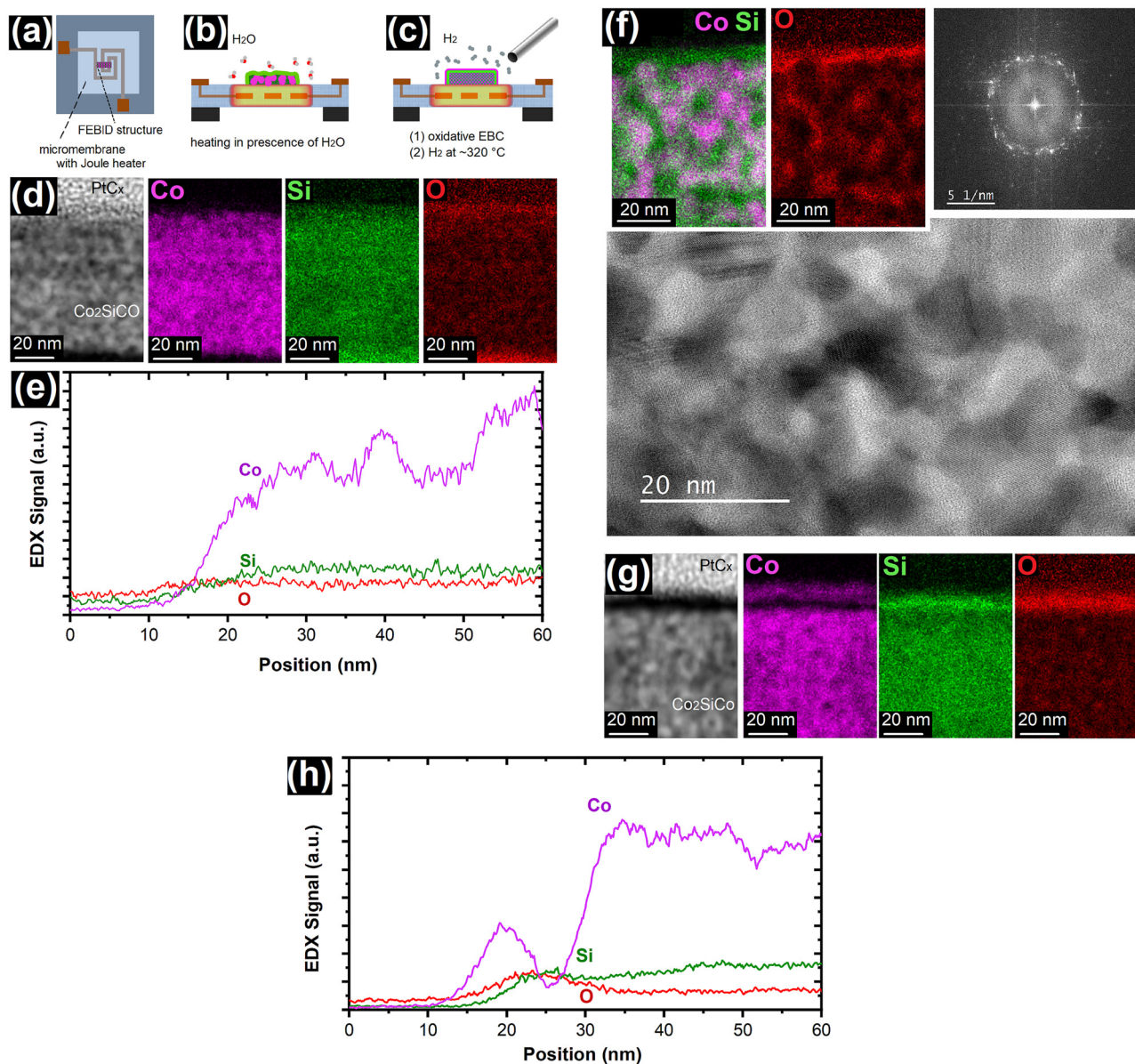


Fig. 3 (a) Schematic illustration of micromembranes with integrated Joule heaters that have been used as substrates for FEBID. These platforms allowed the investigation of (b) thermal oxidation and diffusion of the FEBID material in the SEM and (c) the onset of reduction processes under hydrogen atmosphere. (d) The images show thermally oxidized Co_2SiCO FEBID material by *in situ* heating to nominal ~ 320 °C for 5 min using a micromembrane heater as illustrated in (b). The HAADF image shows the granular PtCx protective layer directly on top of the FEBID material, but the EDX Co_K , Si_K and O_K maps reveal no surface accumulation of Co. (e) A graphical representation of a cross-sectional EDX of a 20 nm wide section taken at the center of (d). The onset of diffusion processes is indicated by the Co signal fluctuations. (f) Thermal treatment at nominally ~ 350 °C for 5 min shows significant diffusion in the FEBID material, leading to crystallization of Co, evident in the BF STEM and the corresponding FFT, and the formation of a network. The phase separation and formation of SiO_xC_y is shown in the EDX Co_K/Si_K overlay and O_K elemental map. (g) HAADF image and EDX Co_K , Si_K and O_K maps of EBC oxidised Co_2SiCO FEBID, followed by thermal reduction in 2×10^{-6} mbar H_2 at nominal ~ 320 °C for 5 min (as schematically shown in (c)). The images show the same information as Fig. 1e, but in the O_K map a lower O concentration can be noted in the location of the phase separated Co, which can also be seen in (h) the graphical representation of a line scan EDX. Therefore, this result indicates the possibility of reduction of the cobalt oxide.

content. The overlay of Si_K and Co_K EDX maps shows this tendency, which is also supported by line scans as shown in Fig. S6.† In addition, the Co forms metallic nanocrystallites with predominantly hcp-Co phase. The bulk diffusion illustrates the limited thermal stability of the as-grown Co_2SiCO FEBID material.

Thermal reduction of the top layer

In a final investigation, for the oxidative EBC treated and thus phase separated material, the reduction of CoO was investigated by thermal treatment in an H_2 atmosphere at nominal 320 °C for 5 min using the micromembrane heater. The obtained material



was subsequently covered with PtC_x by FEBID to avoid re-oxidation. Fig. 3d indicates the onset of reduction, as the O_K map shows a low signal intensity for the Co layer, but neither electrical measurements nor FFT analyses provide evidence for metallic cobalt. Phase identification by diffraction does not allow the unambiguous allocation to a specific Co phase because the PtC_x protective layer contains crystalline Pt nanoparticles, which tend to dominate the signal pattern in FFTs. Fig. S7 of the ESI† displays a four-terminal device prepared for electrical characterisation. However, no conductivity data for either hydrogen-treated or simply phase separated CoO/SiO₂ layers could be recorded due to the high electrical resistance of the Co-based top layer. This high resistance can be attributed to either the low thickness of the cobalt-based layer or very high grain boundary resistances within the layer. In addition, it can be concluded, that the CoO/SiO₂ bilayer provides electrical insulation between electron beam cured PtC_x top electrodes and the conductive Co₂SiCO FEBID bulk material.⁴⁰

Conclusions

Electron-driven, near-surface limited phase separation has been demonstrated for FEBID-derived Co₂SiCO material as a consequence of post-growth electron beam curing. We consider the energetically preferred formation of a sub-surface amorphous SiO_x layer through oxidation facilitated by hydroxyl radicals as a reason for the diffusion of cobalt to the surface. This phase separation results in the formation of a nanocrystalline CoO layer with a thickness of 2–4 nm and a total process depth of less than 15 nm. Similar observations have been made for 3D NWs, although the process control is more challenging. In contradistinction to the electron-driven reaction, thermally induced oxidation leads to silicon enrichment on the surface. Furthermore, the Co₂SiCO FEBID material undergoes thermal diffusion at temperatures of approx. 320 °C, causing nano- to microscale phase separation to metallic cobalt and SiO_xC_y. The onset of thermally assisted reduction of cobalt oxide to Co in the presence of hydrogen was observed, but such thermal treatment at temperatures of approx. 320 °C already causes changes in the bulk microstructure of the FEBID material. Moreover, the Si-based sub-surface layer of approximately 8–10 nm acts as an electrical insulator for top-contact devices. To the best of our knowledge, this is the first observation of a surface-limited phase separation with one of the phases accumulating at the top surface. This process is expected to be universal for FEBID metal silicides and may provide a method of forming nanoscale metal oxide layers on top of a thin insulating SiO₂ electrical barrier.

Methods

FEBID

The synthesis of H₂Si(Co(CO)₄)₂ was carried out by salt elimination using H₂SiI₂ and Na[Co(CO)₄], similar to a published procedure⁵⁵ and described in detail in a recent paper.³⁹

FEBID was performed using a dual beam SEM/FIB (FEI, Nova NanoLab 600) equipped with a Ga ion source. The home build gas injection system provided the precursor as described below. The FEBID growth process parameters include a beam current of 6.4 nA and a 5 kV acceleration voltage. The pitch (20 nm in *x*- and *y*-direction) between deposition events and the dwell time (1 μs) were kept constant. For the FEBID experiments, the injection capillary was positioned 100 μm laterally and vertically from the intended deposition spot on the substrate at a substrate-capillary angle of 15°. The substrates used in the study are either (i) (0001)-oriented sapphire single crystals coated with a ~150 nm Cu film, (ii) p-doped (100) Si with a 300 nm SiO₂ top layer, (iii) micromembranes with SiO₂ top layer containing a microheater or (iv) copper TEM grids. Air-plasma cleaning was always performed to reduce the hydrocarbon content within the microscope's chamber after substrate mounting. Prior to deposition experiments, the system was pumped for at least 24 h and the residual water content was reduced by using a Meissner trap for 4 h. This procedure allows a background pressure of <3.6 × 10⁻⁷ mbar to be achieved. The precursor container was maintained at 298 K during the deposition to keep the vapor pressure at an acceptable level. The total pressure within the deposition chamber during the process was controlled by a needle valve and typically maintained at 1 × 10⁻⁶ mbar. The precursor vessel was stored at 243 K and allowed to reach the deposition temperature two hours prior to the actual experiments. NbN_yC_x films are deposited by FEBID under similar conditions as described above using Nb(NMe₂)₃(N-*t*-Bu) as a single source precursor.⁵⁶

EBC was typically carried out using a beam current of 6.4 nA and a 5 kV acceleration voltage and a dose of 1330 nC μm⁻². The background water level at a base pressure of ~3.6 × 10⁻⁷ mbar is typically enough for the surface oxidation, but slightly higher levels have also been checked by releasing some of the previously trapped water from the Meissner trap (~5 × 10⁻⁷ mbar).

The dimensions of the in-plane deposits were 5 μm × 1 μm with a height in the range of 50–140 nm. The NWs were grown using a single spot deposition and duration between 1–2 min.

The hydrogen for reduction was introduced by a GIS system and the total pressure regulated to 2 × 10⁻⁶ mbar during the treatment.

The used micromembrane heaters are originally fully characterized at ambient and nominal temperature refer to the calibration curves.⁵² Operation in the SEM at reduced pressures will lead to higher actual temperatures, which differ typically less than 50 K of the nominal temperature.⁵⁷ Additional calibration for the temperature range described herein has not been performed.

Deposits' chemical and microstructural characterization

Lamellae for cross-sectional TEM of the deposits were prepared by a standard focused ion beam (FIB) milling procedure using Ga ions and MeCpPtMe₃ as precursor in a FIB/SEM Dual Beam Microscope FEI NOVA 200. The lift-out and initial milling steps were performed with an acceleration voltage of



30 kV and the final milling step was carried out at 5 kV. The resulting lamellae were mounted on an Omniprobe copper-based lift-out grid and transferred to the TEM. BF and HAADF STEM observations were performed on a probe-corrected FEI TITAN³ G2 microscope operating at 300 kV. The microscope is equipped with a Gatan Quantum imaging filter for electron energy loss spectrometry (EELS) and a high-sensitivity four-quadrant SDD (Super-X) detector for energy dispersive X-ray analysis (EDX). Data acquisition and analysis was performed using the Gatan Microscopy suite (version 3.4.) and Velox (version 3.0) by Thermo Fisher Scientific. For EDX mapping the Co_K, Si_K and O_K lines have been used. Carbon has not been included due to the noticeable overall C deposition due to hydrocarbon contamination during TEM. Therefore, the paper is limited to a qualitative discussion and net counts are used for the graphical representation.

Conflicts of interest

There are no conflicts to declare.

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