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## Cesium lead bromide perovskite nanocrystals synthesized *via* supersaturated recrystallization at room temperature: comparison of one-step and two-step processes†

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Over more than a decade, lead halide perovskites (LHPs) have been popular as a next-generation semiconductor for optoelectronics. Later, all-inorganic  $\text{CsPbX}_3$  ( $\text{X} = \text{Cl}$ ,  $\text{Br}$ , and  $\text{I}$ ) nanocrystals (NCs) were synthesized *via* supersaturated recrystallization (SR) at room temperature (RT). However, compared to the hot injection (HI) method, the formation mechanism of NCs *via* SR-RT has not been well studied. Hence, this study will contribute to elucidating SR-RT based on the LaMer model and Hansen solubility parameter. Herein, we also demonstrate the entropy-driven mixing between two dissimilar polar-nonpolar (DMF–toluene) solvents. Next, we find that, in a poor solvent (toluene  $\gg$  DMF in volume),  $\sim 60$  nm sized  $\text{CsPbBr}_3$  NCs were synthesized in one step, whereas in a marginal solvent (toluene  $\approx$  DMF),  $\sim 3.5$  nm sized NCs were synthesized in two steps, indicating the importance of solvent polarity, specifically the ‘solubility parameter’. In addition, in the presence of a  $\text{CuBr}_2$  additive, high-quality cubic NCs (with  $\sim 3.8$  nm and  $\sim 21.4$  nm edge sizes) were synthesized. Hence, through this study, we present a ‘solubility parameter-based nanocrystal-size control model’ for SR-RT processes.

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### 1. Introduction

All-inorganic cesium lead halide perovskite (LHP) ( $\text{CsPbX}_3$ ,  $\text{X} = \text{Cl}$ ,  $\text{Br}$ , and  $\text{I}$ ) nanocrystals (NCs), also called quantum dots (QDs) in the case of zero dimension (0D), have received increasing attention as emerging semiconductors in next-generation optoelectronic devices, including light emitting diodes (LEDs), solar cells, photodetectors,<sup>1,2</sup> field-effect transistors (FETs),<sup>3</sup> lasers, sensors,<sup>4,5</sup> and quantum communication elements.<sup>6</sup> Specifically, high color purity and high quantum efficiency make  $\text{CsPbX}_3$  NCs highly competitive for wide color

gamut display applications.<sup>7–10</sup> In addition to their outstanding luminescence performance,  $\text{CsPbX}_3$  NCs offer the advantage of tunable energy bands through chemical and morphology modulation.<sup>11</sup>

Green-emitting  $\text{CsPbBr}_3$  NCs were tuned to a blue emitter by adjusting the stoichiometry of halide (bromide and chloride) anions.<sup>12,13</sup> However, LHP NCs with mixed halides are known to have drawbacks such as low defect tolerance of chlorine anions and phase instability upon exposure to light and/or voltage when applied as a blue light source in lighting and display technology.<sup>13</sup> The other strategy for blue-emitting LHP NCs relies on the quantum confinement effect, a unique property of low-dimensional semiconductors. For example, strongly quantum-confined  $\text{CsPbBr}_3$  NCs, such as dots, nanowires, nanoplatelets, and nanocubes, have been demonstrated as blue emitters.<sup>10,14–17</sup> However, the fast nucleation and growth rate of  $\text{CsPbBr}_3$  NCs, originating from their low particle formation energy and soft ionic lattice structure, makes it difficult to control the size and morphology of NCs in the highly quantum confined region.<sup>18</sup>

To address the precise control of the size and shape of LHP NCs, several processing methods such as ‘hot-injection (HI)’, ‘ligand-assisted reprecipitation (LARP)’, and ‘room-temperature (RT) supersaturated recrystallization (SR)’ have been employed.<sup>10,19,20</sup> For example, Kovalenko and coworkers

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controlled the size of  $\text{CsPbX}_3$  NCs in the range of 4–15 nm edge lengths by varying the reaction temperature from 200 to 140 °C in 2015.<sup>10</sup> Rogach *et al.* demonstrated the size-tuned bandgap of  $\text{CH}_3\text{NH}_3\text{PbBr}_3$  NCs by varying the precipitation temperature from 0 to 60 °C *via* LARP routes.<sup>19</sup> Later, in 2016, Zeng and coworkers invented an RT-SR (a special case of LARP) method for the synthesis of  $\text{CsPbX}_3$  NCs within a few seconds at ambient conditions without any inert gas and local injection operation.<sup>20</sup> Furthermore, ligand composition and LHP precursor concentration were varied to control the size of the NCs.<sup>21,22</sup> Son *et al.* accurately controlled the  $\text{CsPbX}_3$  NC size with high ensemble uniformity utilizing thermodynamic equilibrium.<sup>18</sup> Pradhan *et al.* reported the precise step-growth process of  $\text{CsPbBr}_3$  NCs *via* unit cell size (~0.6 nm) increment.<sup>16</sup> Zhang *et al.* demonstrated the size and shape control of LHP NCs using suitable amounts of water, contrary to the common preconception that LHPs may rapidly decompose when exposed to polar solvents such as water.<sup>23</sup> Interestingly, Yang *et al.* achieved the controlled synthesis of ~3 nm sized  $\text{CsPbBr}_3$  NCs using the cryogenic temperature synthetic strategy.<sup>24</sup> Moreover, the quantum-confinement effect is further tunable by using copper, nickel, tin, cadmium, zinc, and aluminum ions as an additive (dopant) for  $\text{CsPbX}_3$  NCs.<sup>25–28</sup>

The nucleation and growth processes of LHP<sup>29,30</sup> and cadmium chalcogenide ( $\text{CdX}$ , X = S, Se, and Te)<sup>31,32</sup> NCs have been interpreted based on the classical LaMer model introduced in the 1950s.<sup>33,34</sup> However, studies on the formation mechanism of colloidal NCs have been mostly focused on the popular HI<sup>10,35</sup> and heat-up<sup>31,32</sup> processes instead of the SR (LARP)<sup>19,20,36</sup> method operating at RT. Hence, this work is dedicated to elucidating the NC formation mechanism for the green- and blue-emitting  $\text{CsPbBr}_3$  NCs synthesized *via* one-step and two-step SR processes at RT, respectively. For this purpose, we additionally employ, for the first time, the Hildebrand and Hansen solubility parameters<sup>37</sup> determining the NC size (green or blue emitter) through the balance between the formation and dissolution of  $\text{CsPbBr}_3$  NCs in solvent medium – good (polar), marginal (partially polar) or poor (nonpolar). We find that the partially-polar marginal solvent medium is suitable for blue-emitting NCs whereas the nonpolar poor solvent quality is acceptable for green-emitting NCs. Furthermore, to understand the solvent–antisolvent (*e.g.*, the binary DMF–toluene system) miscibility, we employ the Flory–Huggins theory<sup>38,39</sup> predicting the entropy-driven mixing between two dissimilar polar–nonpolar solvents, enabling the SR routes using solvent–antisolvent engineering for the synthesis of  $\text{CsPbBr}_3$  NCs at RT.

## 2. Materials and methods

### 2.1. Chemicals

Cesium bromide (CsBr, 99.9%, Sigma-Aldrich, Darmstadt, Germany), lead(II) bromide ( $\text{PbBr}_2$ , 99.0%, AR chemicals, Delhi, India), copper(II) bromide ( $\text{CuBr}_2$ , 99.9% Sigma-Aldrich, Darmstadt, Germany), oleic acid (OA, 98%, Sigma-Aldrich, Darmstadt, Germany), oleylamine (OAm, technical grade 70%, Sigma-Aldrich, Darmstadt, Germany), *N,N*-dimethylformamide (DMF,

99%, SRL, Mumbai, India), toluene (99%, Loba Chemie, Mumbai), and ethyl acetate (EA, 99%, SRL, Mumbai, India) were used as received without further purification.

### 2.2. Synthesis of $\text{CsPbBr}_3$ NCs: one-step process

The precursor solution was prepared by dissolving  $\text{PbBr}_2$  (0.2 mmol), CsBr (0.2 mmol), OA (0.5 mL), and OAm (0.25 mL) in DMF (5 mL) under stirring for 2 hours at room temperature. Then, 1 mL of the solution was added to 10 mL toluene (*i.e.*, toluene  $\gg$  DMF in volume) under vigorous stirring. Immediately, the formation of  $\text{CsPbBr}_3$  NCs was verified with a bright green-light emission in the solution under a 365 nm UV lamp.

### 2.3. Synthesis of $\text{CsPbBr}_3$ NCs: two-step process

The precursor solution was prepared by dissolving  $\text{PbBr}_2$  (0.2 mmol), CsBr (0.2 mmol), OA (0.5 mL), and OAm (0.25 mL) in DMF (5 mL) under stirring for 2 hours at room temperature. Then, 5 mL of toluene was added to the 5 mL solution (toluene  $\approx$  DMF in volume) under vigorous stirring to obtain the first stage of crystallization. Then, after aging for 2 min, 1 mL of the solution was added to 10 mL toluene (toluene  $\gg$  DMF) to finalize the crystallization – the second stage in the two-step process. Immediately, the formation of  $\text{CsPbBr}_3$  NCs was verified with a bright blue-light emission in the solution under a 365 nm UV lamp. Note that all the synthesis reactions were carried out without any inert gas in the air.

### 2.4. Purification

For comparison purposes, the solutions of both NCs synthesized by one-step and two-step processes were centrifuged at 9000 rpm for 5 min, and the precipitates were collected and characterized. In this report, for the two-step synthesis, the precipitant is referred to as the unpurified  $\text{CsPbBr}_3$  NCs. To purify the NCs synthesized by two steps, the solution was first centrifuged at 3500 rpm to separate larger NCs and/or aggregation as precipitate and smaller ones as supernatant. The supernatant was collected and further centrifuged at 8500 rpm for 10 min to separate NCs from the solution, and the NCs are referred to as the purified  $\text{CsPbBr}_3$  NCs in this report. Finally, the supernatant was discarded, and the precipitate was dispersed in toluene for further characterization. In this study,  $\text{CsPbBr}_3$  NCs synthesized *via* the one-step process were used without further purification.

### 2.5. Characterization

The ultraviolet-visible (UV-Vis) absorption spectra of as-prepared NCs in toluene solution were obtained using the P9 UV-Vis spectrophotometer, China. The luminescence of NCs was recorded by the Cary Eclipse Fluorescence spectrophotometer, Malaysia. The crystal structure of the NCs was examined by X-ray diffraction (XRD) with  $\text{Cu K}\alpha$  radiation,  $\lambda = 1.5406$  Å at 30 kV and 25 mA (Draewell XRD 7000, China). The morphology and microstructure as prepared NCs were analyzed by high-resolution transmission electron microscopy (HR-TEM)

(JEOL JEM-2100; Peabody, MA, USA) with an operating voltage of 200 kV.

## 2.6. Computational details

In order to understand the electronic structures of  $\text{CsPbBr}_3$  perovskite, the density functional theory (DFT)-based first-principle calculations as in the Quantum ESPRESSO package were carried out.<sup>40</sup> The generalized gradient approximation (GGA) functional of Perdew–Burke–Ernzerhof for solids (PBEsol) is used to describe the exchange–correlation potential.<sup>41,42</sup> The interactions between the atomic core and the valence electrons were described by the ultrasoft pseudopotentials. The valence electronic configurations for Cs, Pb, and Br atoms are  $5s^25p^66s^1$ ,  $5d^{10}6s^26p^2$  and  $4s^24p^5$ , respectively. A plane wave cut off 38 Ry and  $7 \times 7 \times 7$   $k$ -point mesh was used in the calculation process. Accordingly, the electronic band structure and the projected density of state (PDOS) of  $\text{CsPbBr}_3$  are summarized and displayed in Fig. S1 in ESI.<sup>†</sup>

## 3. Results and discussion

Fig. 1 shows a schematic representation of the quantum confinement effect, *e.g.*, size-dependent light emission, of  $\text{CsPbBr}_3$  NCs. This phenomenon is observable when the electron and hole wave functions are reduced to be smaller than the excitonic Bohr radius, *e.g.*,  $\sim 7$  nm for  $\text{CsPbBr}_3$ .<sup>10,43–45</sup> Specifically, when the size of  $\text{CsPbBr}_3$  NCs is less than  $\sim 4$  nm, the pure blue emission could be expected.<sup>46</sup> Hence, this study focuses on the processing–nanostructure–optical property relationship of these  $\text{CsPbBr}_3$  NCs with emphasis on the formation mechanism of green- and blue-emitting  $\text{CsPbBr}_3$  NCs *via* the SR method at RT.

Briefly, in the LaMer model (Fig. 2a),<sup>33,34</sup> the total free energy ( $\Delta G$ ) for the formation of a spherical particle with radius  $r$  could be expressed as

$$\Delta G = 4\pi r^2\gamma + \frac{4}{3}\pi r^3\Delta G_V \quad (1)$$

where  $\gamma$  is the solid/liquid interfacial energy per unit area and  $\Delta G_V$  is the volume free energy. Here,  $\Delta G_V$  can be expressed as

$-\text{k}_B T \ln S/V$ , where  $\text{k}_B$ ,  $T$ , and  $V$  are Boltzmann constant, temperature, and the volume of monomer (*e.g.*,  $\text{Cs}[\text{PbBr}_3]$  aggregate or precursor ions/complexes in this study), respectively. Thus, the degree of supersaturation ( $S$ ) is the driving force for the reduction of  $\Delta G_V$ . Under unstable equilibrium,  $\partial\Delta G/\partial r = 0$ , the critical radius is  $r_c = -2\gamma/\Delta G_V = 2\gamma V_m/RT \ln S$  and concomitantly, the total free energy  $\Delta G(r = r_c)$  is  $\Delta G_c = 16\pi\gamma^3/3\Delta G_V^2 = 16\pi\gamma^3V^2/[3k_B^2T^2(\ln S)^2]$ . Then, the nucleation rate ( $dN/dt$ ) could be defined as follows,<sup>31,32</sup>

$$\frac{dN}{dt} = A \exp\left(-\frac{\Delta G_c}{k_B T}\right) = A \exp\left(-\frac{16\pi\gamma^3 V^2}{3k_B^3 T^3 (\ln S)^2}\right) \quad (2)$$

which describes that the burst nucleation is largely governed by the degree of supersaturation ( $S$ ) and interfacial energy ( $\gamma$ ) in the case of SR at RT (constant temperature). Here,  $A$  is a pre-factor. Importantly, the factor  $S$  can be controlled by the amount of nonpolar antisolvent (typically, toluene) at SR-RT. According to eqn (2), when a large amount of antisolvent is added to the perovskite precursor solution,  $S$  will increase, resulting in a high rate of nucleation.

Fig. 2b and c show the LaMer diagrams for the one- and two-step syntheses, respectively, which are composed of three regions, ‘ $\text{Cs}[\text{PbBr}_3]$  aggregate’ accumulation (I), burst nucleation (II) and rapid growth (III), followed by the Ostwald ripening process. In this study, the ‘one-step process’ indicates that the perovskite precursor in polar DMF (1 mL) was injected into 10 mL of the nonpolar antisolvent toluene at RT, resulting in the green-emitting NC synthesis *via* a high degree of supersaturation.

On the other hand, the ‘two-step process’ denotes the sequential mixing of the perovskite precursor solution with the antisolvent. The first stage is the mixing of 5 mL DMF (perovskite precursor) and 5 mL toluene, resulting in the blue-emitting NC synthesis *via* a low degree of supersaturation. However, for collecting these blue emitters, the second stage is usually required. For example, 1 mL of the first stage sample is mixed with 10 mL of antisolvent (Fig. 2c), resulting in a bimodal distribution of NCs, *i.e.*, the blue and green emitters. Note that in Fig. 2c, the solubility of the eventual solvent systems (marginal *vs.* poor) is

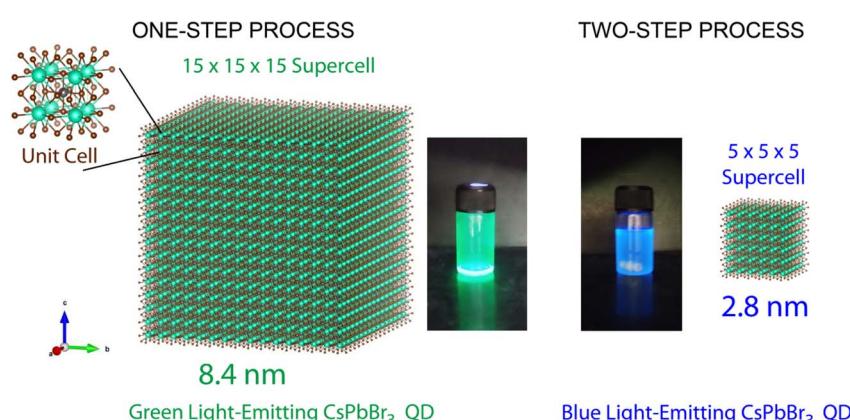


Fig. 1  $\text{CsPbBr}_3$  NCs with a cubic structure: green and blue-emitting NCs depending on the processing conditions: one-step *vs.* two-step. Unit-cell edge length is 0.587 nm.



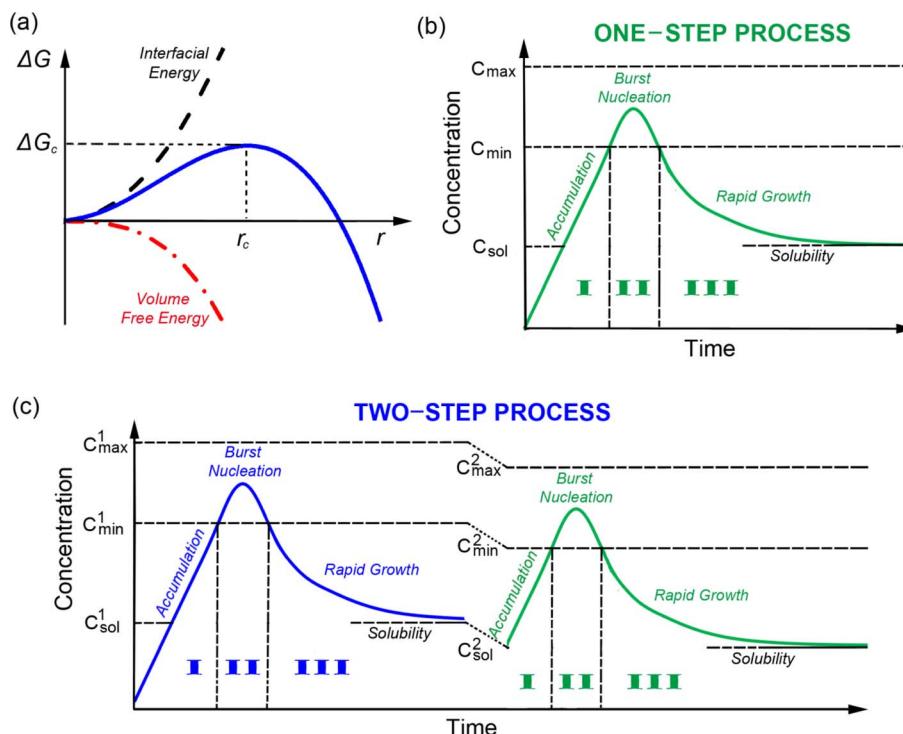


Fig. 2 (a) Free energy change vs. particle size. LaMer diagram: (b) one-step process and (c) two-step process. The regions I, II and III correspond to (monomer) accumulation, burst nucleation and rapid growth, respectively.

different in the first and second stages, affording low and high degrees of supersaturation, respectively. Hence, two different sets of monomer concentrations ( $C_{\text{max}}^1$ ,  $C_{\text{min}}^1$ , and  $C_{\text{sol}}^1$  for the 1st stage; and  $C_{\text{max}}^2$ ,  $C_{\text{min}}^2$ , and  $C_{\text{sol}}^2$  for the 2nd stage) should be considered. Here,  $C_{\text{max}}$  is the maximum supersaturation concentration,  $C_{\text{min}}$  is the minimum supersaturation concentration (*i.e.*, the critical monomer concentration), and  $C_{\text{sol}}$  is the solubility limit for a given solvent system (hence, the solubility parameter is essential for the SR-RT process). The superscripts 1 and 2 in the concentration ( $C$ ) symbol denote the first and second stages, respectively. Furthermore,  $S$  can be expressed by  $C/C_{\text{sol}}$  as long as  $C$  is larger than  $C_{\text{sol}}$ .

To understand the miscibility between the polar solvent DMF and the nonpolar antisolvent toluene (Fig. 3a) in the SR-RT process, we employ the Flory–Huggins theory (reduced to the regular solution theory when two solvents are equal in molar volume) as follows,<sup>38,47,48</sup>

$$\frac{\Delta G_{\text{mix}}}{RT} = \frac{\Delta H_{\text{mix}}}{RT} - \frac{\Delta S_{\text{mix}}}{R} = \frac{\phi_1}{r_1} \ln \phi_1 + \frac{\phi_2}{r_2} \ln \phi_2 + \chi_{12} \phi_1 \phi_2 \quad (3)$$

where  $\Delta G_{\text{mix}}$  is Gibbs free energy of mixing,  $\Delta H_{\text{mix}}$  is the enthalpy of mixing,  $\Delta S_{\text{mix}}$  is the entropy of mixing and  $\chi_{12}$  is the Flory–Huggins interaction parameter.  $\phi_1$  and  $\phi_2$  are the volume fractions of DMF and toluene, whereas  $r_1 (=1)$  and  $r_2$  are the relative molar volumes of DMF and toluene, respectively. Note that when  $r_2$  is 1, eqn (1) is reduced to the regular solution theory. However, in this work, the molar volumes of DMF and toluene are  $77.4 \text{ cm}^3 \text{ mol}^{-1}$  and  $106.3 \text{ cm}^3 \text{ mol}^{-1}$ , respectively. Hence, we used eqn (3) with  $r_2 = 1.37 = 106.3/77.4$  (=toluene/

DMF, molar volume ratio). Here, the interaction parameter ( $\chi_{12}$ ) between DMF and toluene is defined as

$$\chi_{12} = \frac{\hat{V}_1}{RT} (\delta_1 - \delta_2)^2 \quad (4)$$

where  $\hat{V}_1$  is the molar volume of DMF, whereas  $\delta_1$  and  $\delta_2$  are the solubility parameters of DMF and toluene, respectively. For example,  $\chi_{12}$  is 1.34 when  $\hat{V}_1$  is  $77.4 \text{ cm}^3 \text{ mol}^{-1}$ ,  $R = 1.987 \text{ cal K}^{-1} \text{ mol}^{-1}$ ,  $T = 298 \text{ K}$ ,  $\delta_1 = 12.1 \text{ cal}^{1/2} \text{ cm}^{-3/2}$  and  $\delta_2 = 8.9 \text{ cal}^{1/2} \text{ cm}^{-3/2}$ . Accordingly, the enthalpy, entropy and Gibbs free energy of mixing were predicted for the binary DMF–toluene system (Fig. 3). First of all, the poor affinity between DMF and toluene opposes mixing by showing the positive enthalpy of mixing (Fig. 3b). However, because of the entropic gain (Fig. 3c), the Gibbs free energy of mixing decreases when DMF and toluene are mixed together as shown in Fig. 3d. Hence, when the toluene molecules were added to the perovskite precursor solution, they could replace DMF contacting the perovskite precursor molecules, resulting in the burst nucleation and growth of NCs *via* enhanced supersaturation. Note that through the water contact angle ( $\theta_c$ ) data for the  $\text{CsPbBr}_3$  (bulk) and  $\text{CsPbBr}_3$  NC films, we can estimate their solubility parameters (see the ESI† section for details).<sup>49,50</sup> For example, when  $\theta_c = 10.57^\circ$  for the  $\text{CsPbBr}_3$  film,<sup>51</sup> the surface energy was calculated to be  $71.594 \text{ mJ m}^{-2}$ , resulting in the solubility parameter  $\delta_{\text{CsPbBr}_3} = 15.5 \text{ cal}^{1/2} \text{ cm}^{-3/2}$  and  $\delta'_{\text{CsPbBr}_3}$  (SI unit) =  $31.8 \text{ MPa}^{1/2}$ . This estimation is based on the relation,  $\delta \propto \sqrt{\gamma}$ .<sup>47,48,52</sup> In the case of  $\text{CsPbBr}_3$  NCs complexed with the surface ligands (OA and OAm),  $\theta_c = 37.57^\circ$ .<sup>53</sup> Accordingly, the surface energy ( $\gamma$ ) was estimated to be  $61.603 \text{ mJ m}^{-2}$ , resulting in



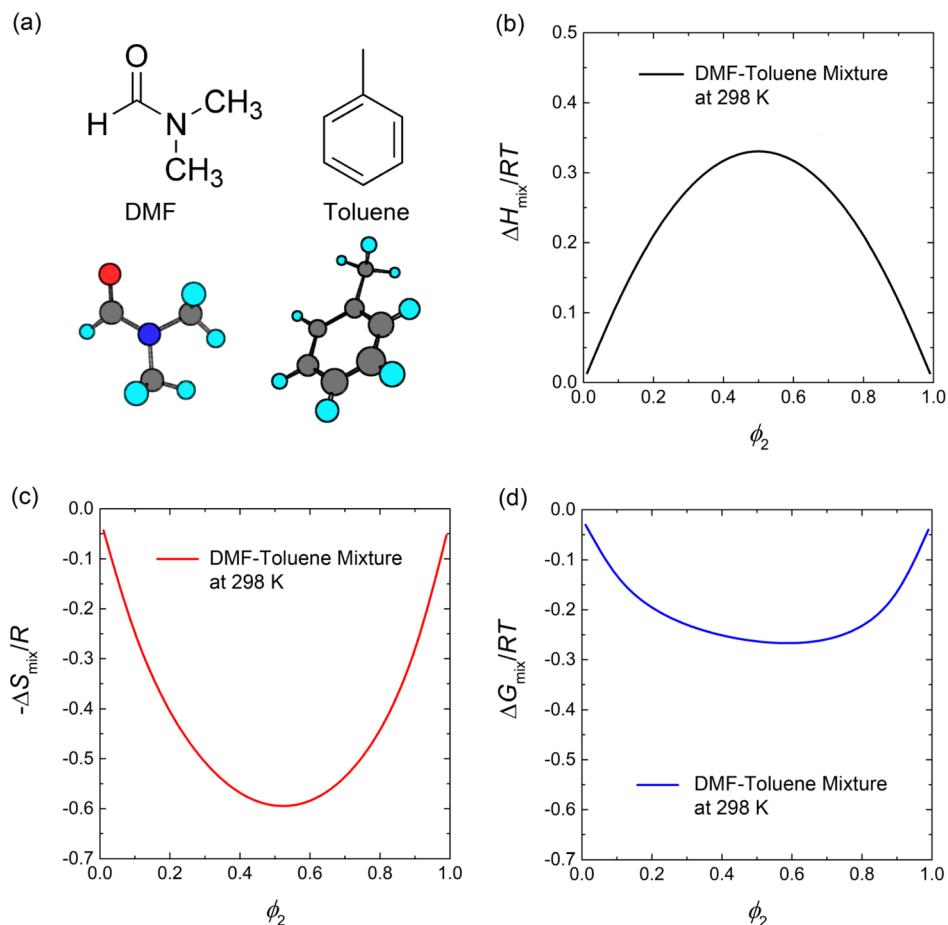


Fig. 3 (a) Chemical structures of DMF and toluene. Prediction of Flory–Huggins theory for (b) enthalpy of mixing, (c) entropy of mixing, and (d) Gibbs free energy of mixing as a function of volume fraction of toluene,  $\phi_2$  at 298 K.

$\delta_{\text{CsPbBr}_3\text{-NC}} = 14.4 \text{ cal}^{1/2} \text{ cm}^{-3/2}$  and  $\delta'_{\text{CsPbBr}_3\text{-NC}} = 29.5 \text{ MPa}^{1/2}$  for the  $\text{CsPbBr}_3$  NCs. Hence, the polarity of  $\text{CsPbBr}_3$  is partially reduced when complexed with OA and OAm. Note that OA and OAm have the solubility parameters of  $7.9 \text{ cal}^{1/2} \text{ cm}^{-3/2}$  and  $8.0 \text{ cal}^{1/2} \text{ cm}^{-3/2}$ , respectively, exhibiting they are nonpolar, like antisolvent.<sup>54</sup> However, the  $\text{CsPbBr}_3$ -surface ligand complex is still polar, allowing the polar DMF to act as a good solvent. In addition, it is notable that DMF is a retrograde solvent,<sup>55</sup> indicating that the degree of supersaturation will be enhanced with increasing temperature because the solubility of perovskite precursor in DMF

will decrease with increasing temperature. Table 1 shows the summary of the solubility parameters related to this study.<sup>56,57</sup>

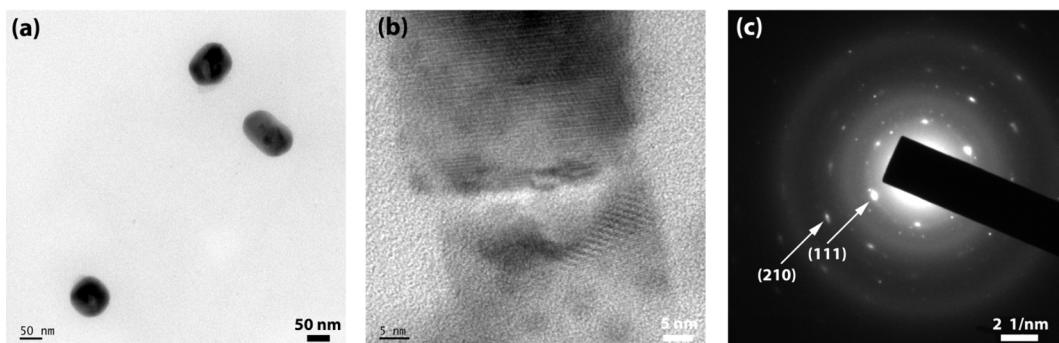
Fig. 4 shows the TEM images of  $\text{CsPbBr}_3$  NCs synthesized by one-step (Fig. 4a and b) and two-step (Fig. 4d and e) processes. In the one-step process, the average size of  $\text{CsPbBr}_3$  NCs is  $\sim 60 \text{ nm}$ , which is far from the excitonic confinement regime because its Bohr diameter is  $\sim 7 \text{ nm}$ . On the other hand, in the two-step process, the  $\text{CsPbBr}_3$  NCs exhibit a bimodal distribution (*i.e.*, two separate groups) with average NC sizes of  $\sim 13.5 \pm 2.5 \text{ nm}$  (green emitter) and  $\sim 3.5 \pm 0.4 \text{ nm}$  (blue emitter),

Table 1 Solubility parameter, molecular weight, density, and molar volume of the solvent, non-solvent,  $\text{CsPbBr}_3$  (bulk) and  $\text{CsPbBr}_3$  NC complexed with oleic acid and oleylamine.  $\delta'$  (SI unit) =  $\delta \times 2.0455$

Molecule	$\delta'$ (MPa $^{1/2}$ )	$\delta$ (cal $^{1/2}$ cm $^{-3/2}$ )	MW (g mol $^{-1}$ )	$\rho$ (g cm $^{-3}$ )	$\hat{V}_i$ (cm $^3$ mol $^{-1}$ )
DMF	24.8	12.1	73.09	0.944	77.4
Toluene	18.2	8.9	92.14	0.867	106.3
Ethyl acetate	18.6	9.1	88.11	0.902	97.7
$\text{CsPbBr}_3$	31.7	15.5	242	4.57	53.0
$\text{CsPbBr}_3$ NC	29.5	14.4	242 <sup>a</sup>	4.57 <sup>a</sup>	53.0 <sup>a</sup>

<sup>a</sup> Surface ligands are not considered.

## ONE-STEP PROCESS



## TWO-STEP PROCESS

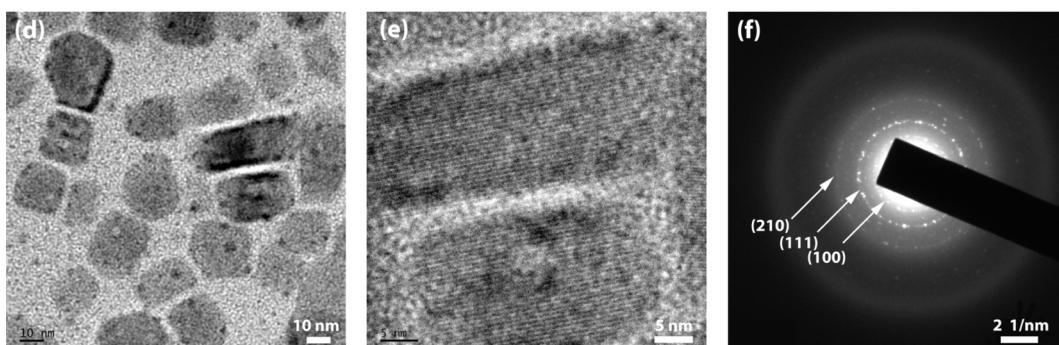


Fig. 4 TEM images of CsPbBr<sub>3</sub>NCs synthesized through (a and b) one-step synthesis and (d and e) two-step synthesis. SAED images: (c) one-step synthesis and (f) two-step synthesis.

respectively (Fig. S2†). The selected area electron diffraction (SAED) patterns (Fig. 4c and f) provide additional confirmation of the phases of the NCs. The one-step process displays the SAED pattern of a single crystal-like pattern, whereas the two-step process exhibits a polycrystal pattern because of two different NCs with versatile orientations.

Here, it is worth noting that in the one-step process, there is a high degree of supersaturation (unstable colloidal dispersion) allowing the perovskite precursor components (Cs<sup>+</sup>, Pb<sup>2+</sup>, Br<sup>-</sup>, and its complexes in the presence of OA and OAm) to form Cs[PbBr<sub>3</sub>] monomer aggregates resulting in the burst nucleation and fast growth of ~60 nm sized NCs (Fig. 2b). Here, the entropy of mixing between DMF (~1 mL) and toluene (10 mL) is the driving force (Fig. 3c) initiating the aggregate formation of Cs[PbBr<sub>3</sub>] monomers because of the repulsive interactions between the polar perovskite precursors and the nonpolar toluene. Interestingly, the phase inversion membrane in polymer science uses the same principle, *i.e.*, the exchange of anti-solvent and solvent molecules for the membrane formation.<sup>58</sup> On the other hand, in the case of the two-step process, the perovskite precursor molecules can contact the good solvent DMF molecules in the first stage (Fig. 2c) because it is approximately equal mixing between DMF (~5 mL) and toluene (5 mL), resulting in a low degree of supersaturation, *i.e.*, relatively a limited formation of Cs[PbBr<sub>3</sub>] monomer aggregates allowing the formation of blue-emitting CsPbBr<sub>3</sub> NCs. It is probably in a metastable state (or weak unstable state) because the larger

green-emitting NCs were not allowed to be formed except for the smaller blue-emitting ones.

At this moment, if we employ the Hildebrand and Hansen solubility parameter,<sup>37,56,57</sup> the supersaturation phenomena could be quantitatively analyzed during the one-step and two-step processes for the CsPbBr<sub>3</sub> NC synthesis *via* the RT-SR processes. For DMF,  $\delta = 12.1 \text{ cal}^{1/2} \text{ cm}^{-3/2}$ , whereas for toluene,  $\delta = 8.9 \text{ cal}^{1/2} \text{ cm}^{-3/2}$ .<sup>56,57</sup> The polar perovskite precursors ( $\delta \sim 14.4\text{--}15.5 \text{ cal}^{1/2} \text{ cm}^{-3/2}$ ) can aggregate easily in the nonpolar medium (toluene in the one-step process), whereas they can barely aggregate in the DMF-toluene (5 mL: 5 mL mixture) mixture with the average  $\delta = (12.1 + 8.9)/2 \approx 10.5 \text{ cal}^{1/2} \text{ cm}^{-3/2}$ , *i.e.*, a (partially polar) marginal solvent. Hence, in the first stage of the 'two-step process', the nucleation and dissolution of CsPbBr<sub>3</sub> could reach an unstable equilibrium (Fig. 2a), resulting in the blue-emitting CsPbBr<sub>3</sub> NC formation with an average size of ~3.5 nm. However, to collect these small blue-emitting NCs, some processing solvents, such as nonpolar ethyl acetate and/or toluene, should be used. Resultantly, the colloidal dispersion with the blue-emitting CsPbBr<sub>3</sub> NCs may undergo additional burst nucleation and rapid growth of green-emitting CsPbBr<sub>3</sub> NCs in spite of some depletion of monomers in the first stage, stipulating the purification step for separating the blue-emitters from the green ones.

XRD was employed to characterize the crystal structures of CsPbBr<sub>3</sub> NCs. It has been reported that the tilt of corner-sharing {PbBr<sub>6</sub>}<sup>4-</sup> octahedral building blocks causes CsPbBr<sub>3</sub> to exist as



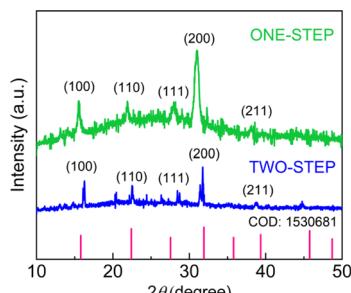


Fig. 5 XRD patterns of  $\text{CsPbBr}_3$  NCs synthesized via the one-step and two-step SR method without purification.

polymorphs. The crystal phase symmetry of bulk  $\text{CsPbBr}_3$  increases with temperature, undergoing the phase transformation from orthorhombic to tetragonal ( $P4mm$ ) at  $88\text{ }^\circ\text{C}$  and from tetragonal to cubic ( $Pm3m$ ) at  $130\text{ }^\circ\text{C}$ .<sup>47</sup> However, Fig. 5 clearly demonstrates that, due to the well-known high surface energy at the nanoscale,  $\text{CsPbBr}_3$  NCs have a cubic phase at RT by displaying the XRD peaks at  $2\theta = 16^\circ, 22^\circ, 28^\circ$ , and  $31^\circ$ , which correspond to the (100), (110), (111), and (200) crystallographic planes, respectively.<sup>59</sup> Importantly, in comparison to the one-step process, the two-step process exhibits slightly shifted XRD peaks to a higher degree, revealing a lattice contraction in the nanoscale particles with an edge size of  $\sim 3.5\text{--}13.5\text{ nm}$ .<sup>24</sup>

Fig. 6 shows the UV-Vis absorption and PL emission spectra for (a) one-step and (b) two-step processes before purification, respectively. Fig. 6a shows the absorption peak at  $515\text{ nm}$  and the green-light emission peak at  $519\text{ nm}$  with a full width at half maximum (FWHM) of  $\sim 30\text{ nm}$ . Fig. 6b displays the two absorption peaks at  $455\text{ nm}$  and  $\sim 511\text{ nm}$  and the two PL emission peaks at  $455\text{ nm}$  and  $\sim 511\text{ nm}$  (the blue PL from  $\sim 3.5\text{ nm}$  NCs and the green PL from  $\sim 14\text{ nm}$  NCs), respectively (see Fig. S2† for NC's size distribution TEM data). The broad band spectrum of the unpurified two-step process samples is due to the wide range distribution of NCs from  $2.5\text{ nm}$  to  $25\text{ nm}$ , covering both the non-quantum confined (larger than  $7\text{ nm}$ ) and the quantum-confined (less than  $7\text{ nm}$ ) regions (see Fig. S2†).

Then, for collecting the blue-emitting  $\text{CsPbBr}_3$  NCs, we purified the two-step processed samples (Fig. 4d and e). Fig. 7a shows the UV-Vis absorption and PL emission spectra of the purified blue-emitting NC samples, displaying the absorption peak at  $452\text{ nm}$  and the PL emission peak at  $457\text{ nm}$  with FWHM of  $\sim 23\text{ nm}$ . Here, this narrow FWHM data implies the relatively uniform distribution of  $\text{CsPbBr}_3$  NCs with a low trap density.<sup>24,46</sup> Importantly, through the Tauc plot equation,  $(\alpha h\nu)^n = B(h\nu - E_g)$ , we can quantify the optical bandgap ( $E_g$ ) of the NC samples (Fig. 7b). Here,  $h\nu$  is the photon energy,  $\alpha$  is the absorption coefficient,  $B$  is a constant relative to the material, and  $n$  is either 2 for a direct transition or 0.5 for an indirect transition.<sup>60</sup> As shown in Fig. 7b, the one-step and two-step

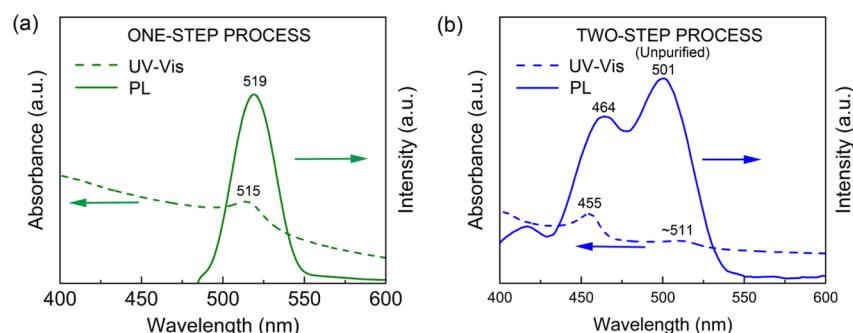


Fig. 6 UV-vis and PL spectra of  $\text{CsPbBr}_3$  NCs: (a) one-step process and (b) two-step process without purification.

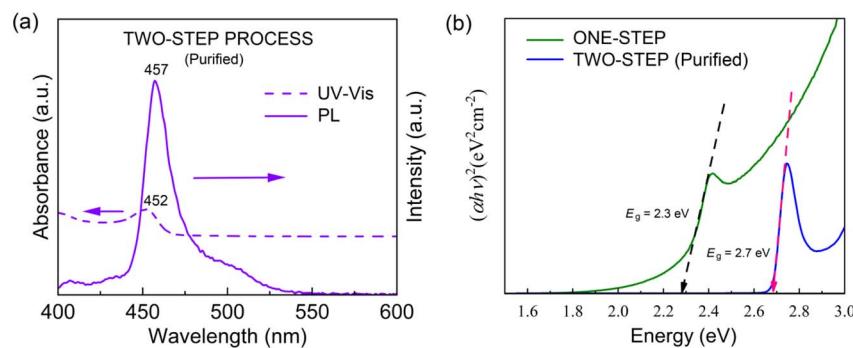


Fig. 7 (a) UV-vis and PL spectra for the purified two-step synthesized  $\text{CsPbBr}_3$  NCs. (b) Tauc plot of one-step  $\text{CsPbBr}_3$  NCs and two-step  $\text{CsPbBr}_3$  NCs after purification.



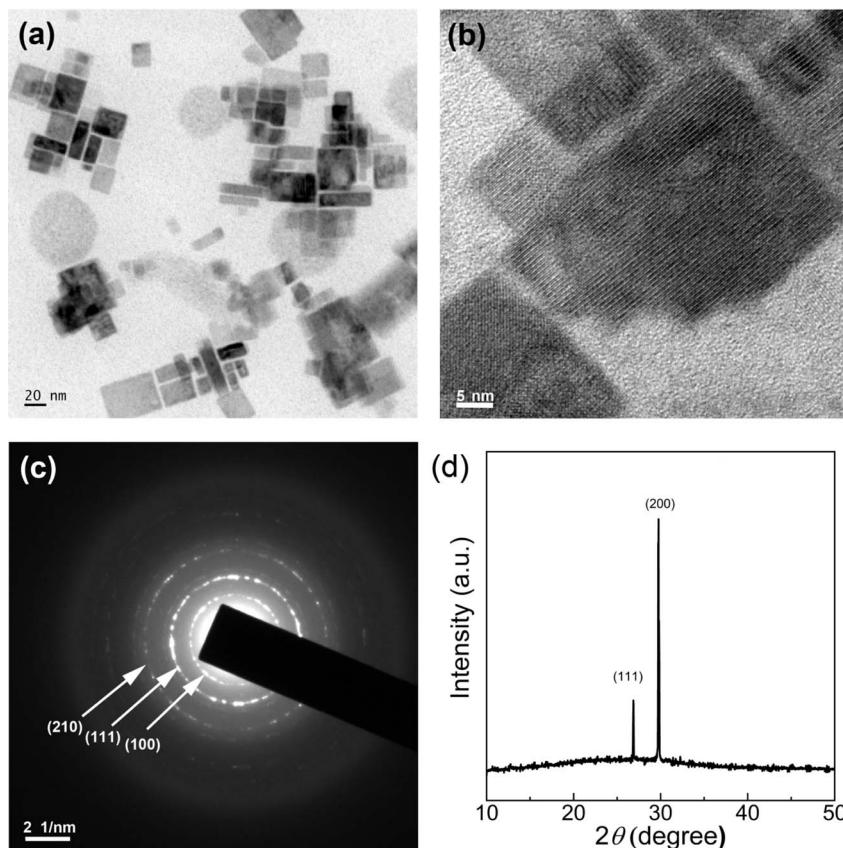
TWO-STEP PROCESS ( $\text{CuBr}_2 : \text{PbBr}_2 = 1:4$ )

Fig. 8 TEM images of  $\text{CsPbBr}_3$  NCs synthesized through the two-step process with  $\text{CuBr}_2 : \text{PbBr}_2 = 1:4$  mole ratio: (a) scale bar = 20 nm, (b) scale bar = 5 nm, and (c) SAED image. (d) XRD pattern of  $\text{CsPbBr}_3$  NCs synthesized via a two-step process with  $\text{CuBr}_2 : \text{PbBr}_2 = 1:4$  mole ratio.

processed samples exhibit an optical bandgap of 2.3 eV (green emitter) and 2.7 eV (blue one), respectively, demonstrating the process-nanostructure-optical property relationship.

We expanded the aforementioned two-step process by incorporating  $\text{CuBr}_2$  as an additive into the perovskite precursor solutions with  $\text{CuBr}_2 : \text{PbBr}_2 = 1:4$  (molar ratio) for the first time *via* SR at RT. Here, by adding  $\text{Cu}^{2+}$  cations as well as  $\text{Br}^-$  anions with high Gutmann's donor number ( $D_N = 33.7$ , Lewis basicity),<sup>61</sup> we may modify the interactions between perovskite precursor-solvent (DMF with  $D_N = 26.6$ ; toluene with  $D_N = 0.1$ ), affecting the crystallization process of LHPs.<sup>50,61-63</sup> Fig. 8a and b show the HR-TEM images of  $\text{CsPbBr}_3$  NCs processed with the  $\text{CuBr}_2$  additive, displaying the clear cubic NCs, indicating that the additive may stabilize the nanoscale cube with a sharp vertex in spite of its increased surface energy (compared to the spherical structure). Here, we observed again a bimodal distribution, composed of average  $\sim 3.8 \pm 0.7$  nm and  $\sim 21.4 \pm 9.5$  nm sized NCs (Fig. S3†). Note that this NC size is slightly larger than that of NCs without the additive in Fig. 4 and S2.† Furthermore, Fig. 8c shows the corresponding SAED image, indicating that the cubic  $\text{CsPbBr}_3$  NCs are oriented to the [110] direction on top of the TEM copper grid. On the other hand, the XRD pattern of the cubic  $\text{CsPbBr}_3$  NCs (Fig. 8d) displays two strong peaks at

26.8° and 29.9°, corresponding to (111) and (200) crystallographic planes, indicating that the cubic NCs are oriented more to the [200] direction on top of the glass slide. Hence, compared to the XRD in Fig. 5, the XRD pattern in Fig. 8d is much more simplified, indicating the enhanced orientational order when processed with the  $\text{CuBr}_2$  additive.

Fig. 9 shows the UV-Vis absorption and PL emission spectra for (a) the unpurified and (b) purified  $\text{CsPbBr}_3$  NCs with  $\text{CuBr}_2$ . Compared to the unpurified sample in Fig. 6b (without  $\text{CuBr}_2$ ), the unpurified sample in Fig. 9a (with  $\text{CuBr}_2$ ) shows a slight redshift (UV-Vis absorption peaks from '455 nm and 511 nm' to '566 nm and 521 nm' whereas PL emission peaks from '464 nm and 501 nm' to '466 nm and 501 nm') (compare Fig. 6b and 9a). The same trend was also observed in the purified samples by displaying the minor red shift (UV-Vis absorption from 452 nm to 458 nm whereas PL emission from 457 nm to 460 nm). This red shift could be rationally understood by observing the partial increase of the  $\text{CsPbBr}_3$  NC size in the presence of the  $\text{CuBr}_2$  additive, as shown in Fig. S1 and S6.† Furthermore, it is interesting to observe the reduced PL FWHM of  $\sim 18.5$  nm (Fig. 9b), which is significantly smaller than  $\sim 23$  nm in Fig. 7 (without  $\text{CuBr}_2$ ), indicating that the size-focusing effect is available when processed with the  $\text{CuBr}_2$  additive. However, because of the



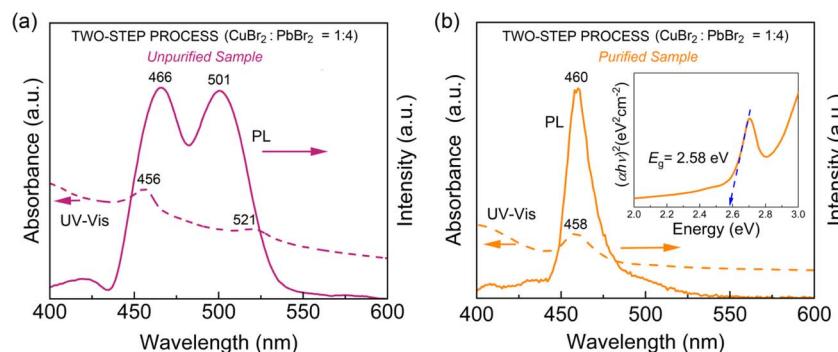


Fig. 9 UV-vis and PL spectra of  $\text{CsPbBr}_3$  NCs synthesized through two-step synthesis with doping ( $\text{CuBr}_2 : \text{PbBr}_2 = 1 : 4$  mole ratio): (a) unpurified sample and (b) purified sample. Inset: Tauc plot of the purified sample.

partial increase of NC size, the optical bandgap is accordingly reduced from 2.7 eV (Fig. 7b) to  $\sim 2.6$  eV (see the inset in Fig. 9b), displaying the quantum size effect.

The two-step process produces a bimodal distribution, as demonstrated through the TEM data in Fig. 4d, S2, 8a, and S3.† Hence, it would be valuable to examine the stability and crystallization of the original solution samples after the first stage 'separately' in the two-step process. For this purpose, after the initial mixing of 'the precursor solution in 5 mL DMF' and '5 mL toluene', the solution was stored for aging effect at RT – this is a low degree of supersaturation state. Fig. 10 shows the UV-Vis absorption and PL emission spectra as a function of aging time at RT. Fig. 10a displays clearly that the absorption increases with time. However, based on the peak's location, we determined that there is only blue-emitting  $\text{CsPbBr}_3$  NCs without

the Oswald ripening effect related to the green emitter growth (the quenching mechanism is simply all monomer consumption at RT). Furthermore, when the UV-Vis absorption data was plotted at the wavelength ( $\lambda$ ) of 410 nm with aging time, a saturation behavior was observed after  $\sim 50$ –100 hours (Fig. 10b). Subsequently, we examined the PL emission behavior as a function of aging time. As shown in Fig. 10b, the PL increases initially but decreases after 24 hours, indicating NCs might be deactivated, *i.e.*, quenching the PL emission. Furthermore, the PL red-shift was observed, indicating a partial growth of blue-emitting  $\text{CsPbBr}_3$  NCs, but still, it is a blue emitter, indicating that there might be a balance between formation and dissolution of the blue-emitting NCs in this low degree of supersaturated state. However, recall that for collecting these NCs, additional nonpolar antisolvent should be

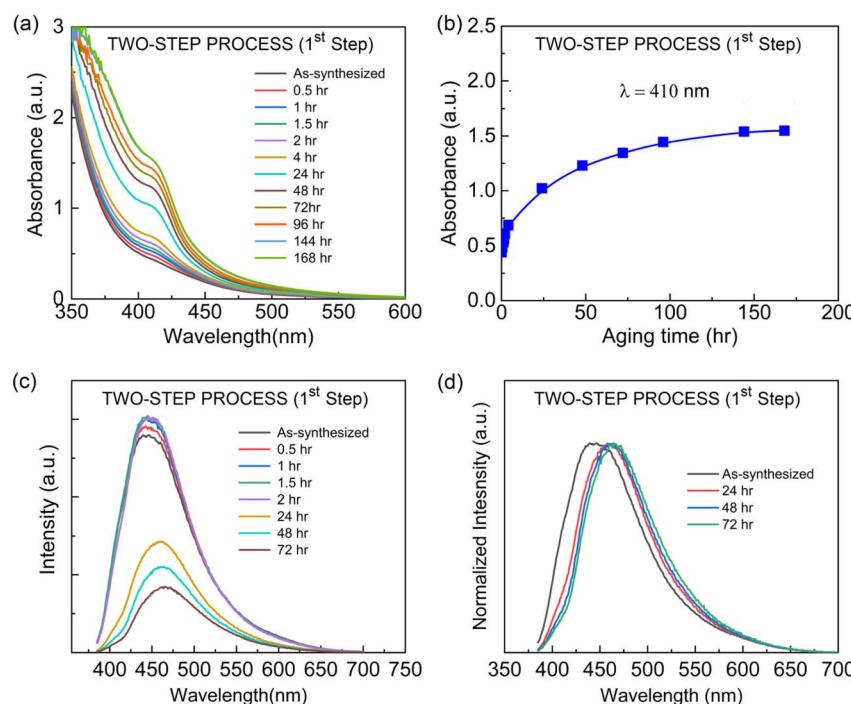


Fig. 10 Aging effect on the optical properties of  $\text{CsPbBr}_3$  NCs (after the first step in the 'two-step process') at room temperature. (a) UV-vis absorption spectra as a function of aging time at room temperature. (b) The absorption at  $\lambda = 410$  nm. (c) PL emission spectra as a function of aging time at room temperature. (d) Normalized PL intensity as a function of aging time at room temperature.



## SUPERSATURATED RECRYSTALLIZATION AT ROOM TEMPERATURE

## Solubility Parameter-Based Nanocrystal Size Control Model

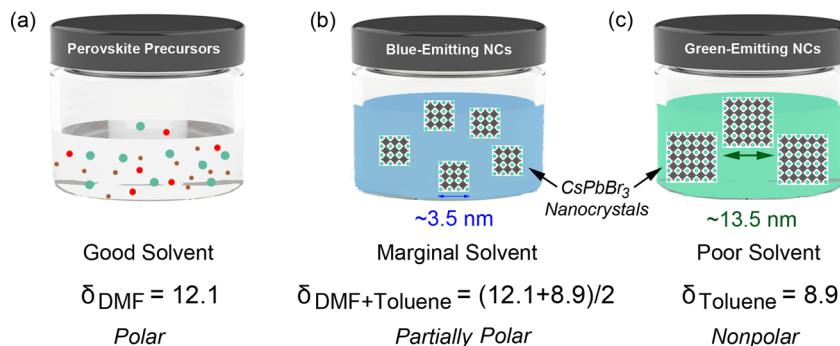


Fig. 11 Supersaturated recrystallization at room temperature: solubility parameter-based nanocrystal size control model: (a) good solvent = polar, (b) marginal solvent = partially polar, and (c) poor solvent or antisolvent = nonpolar.

required as a processing solvent, resulting in another nucleation and growth of green emitters from the new monomer aggregates generated by the enhanced degree of supersaturation (Fig. 2c). Moreover, in the two-step process (1st step), the UV-Vis and PL spectra display that the  $\text{CsPbBr}_3$  NCs grow with a wide range of size distribution (Fig. 10). However, still, the majority of the NCs are in a quantum-confined regime. However, when the antisolvent toluene is additionally added for the second time, it may cause some of the NCs to aggregate and grow, resulting in non-quantum confined NCs. Hence, two competing peaks were observed in Fig. 6b.

Fig. 11 is the summary of this work suggesting 'the solubility parameter-based nanocrystal size control model' for explaining the SR process at RT. When the perovskite precursors are in a good solvent like DMF, there is no nucleation and growth of NCs because they can stay as a well-dispersed colloidal system. However, by adding an antisolvent (toluene) into the perovskite precursor solution in DMF with a  $\sim 50:50$  volume ratio, the liquid medium becomes a marginal solvent with partial polarity, resulting in the burst nucleation and rapid growth of blue-emitting  $\text{CsPbBr}_3$  NCs. Here, the DMF-toluene  $50:50$  mixture provides a significant solubility for colloidal perovskite precursors (Fig. 2c), and no sufficient monomer aggregates remain for further growing to green emitters at this low supersaturation level. Finally, if the liquid medium is dominantly nonpolar ( $\text{toluene} \gg \text{DMF}$ ), the green-emitting NCs can be easily grown in the presence of blue-emitting NCs. Hence, the solubility parameters (or solubility) of the solvent and solvent-antisolvent mixture can serve as a key factor for the nanoscale size regulation in the SR process at RT, affecting (1) the nucleation and growth of NCs and (2) the balance between formation and dissolution of  $\text{CsPbBr}_3$  monomers and NCs in line with the LaMer diagram in Fig. 2 and 11.

## 4. Conclusions

The nucleation and growth of  $\text{CsPbBr}_3$  NCs were studied in view of the classical LaMer model when the supersaturated recrystallization was carried out at room temperature. For this

purpose, we compared one-step and two-step processes to elucidate the formation mechanism of NCs. Resultantly, when the nonpolar toluene (antisolvent) was much larger in volume than the polar DMF (solvent), the  $\sim 60$  nm sized green-emitting NCs were synthesized *via* the one-step process under a high degree of supersaturation. On the other hand, when the antisolvent volume is comparable to that of the solvent, the  $\sim 3.5$  nm-sized blue-emitting NCs were synthesized *via* a two-step process using a low degree of supersaturation. In this study, by employing the Hildebrand and Hansen solubility parameter concept, we quantitatively explained the solvent quality (good, marginal, and poor). Hence, we named this approach 'the solubility parameter-based nanocrystal size control model' because supersaturation is a function of 'solubility and polarity' (expressed as solubility parameter) and 'antisolvent-solvent volume ratio' (considered as average solubility parameter). Furthermore, based on the Flory-Huggins model, we predicted that the antisolvent-solvent mixing is driven by the entropy of mixing, allowing the crystallization of the perovskite precursor to aggregate *via* SR operating at RT. Finally, in the presence of the  $\text{CuBr}_2$  additive in the two-step process, we observed a partial red-shift in both absorption and emission spectra from the quantum size effect (a slight increase of nanocube). In addition, the PL emission spectra showed a reduced PL FWHM of  $\sim 18.5$  nm (reduced size scattering and enhanced size focusing) from the  $\sim 3.8$  nm NCs. Finally, we believe that our finding, *i.e.*, the importance of the solubility parameter as an NC size control factor for the SR method at RT, will contribute to further advancing the perovskite nanocrystal technology and beyond. Future work may include the formation mechanism of NCs *via* SR-RT as a function of the average solubility parameter from the versatile antisolvent-solvent mixtures.

## Author contributions

Writing – original draft preparation, D. A. I.; experiments and formal analysis, D. A. I., M. A., D. M., J. K. P., L. T., A. T., S. T. and J. Y. K.; and writing – review and editing, J. Y. K.



## Conflicts of interest

The authors declare no competing financial interest.

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