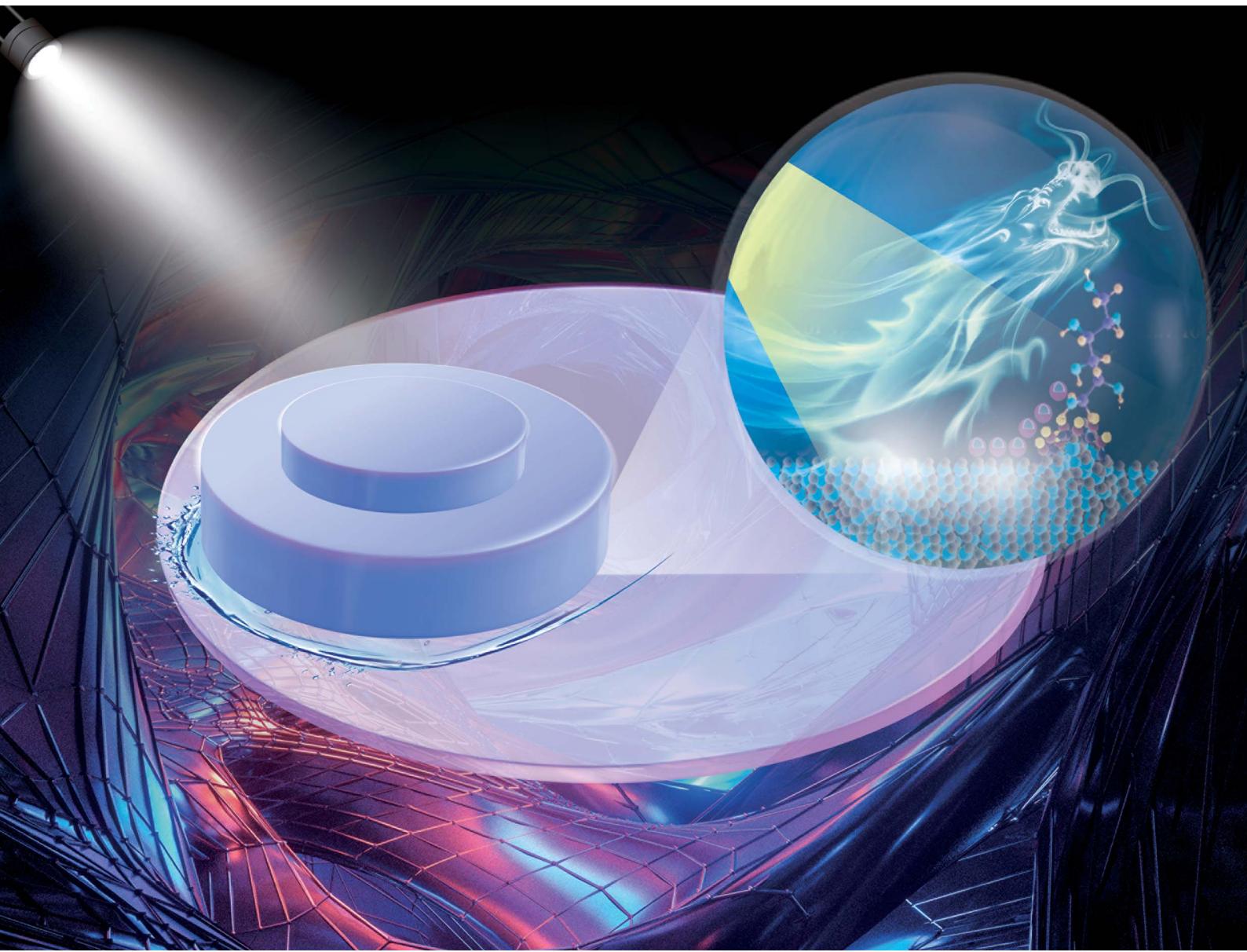


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**PAPER**

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## Atomic surface of quartz glass induced by photocatalytic green chemical mechanical polishing using the developed $\text{SiO}_2@\text{TiO}_2$ core–shell slurry

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High-performance devices of quartz glass demand an atomic surface, which induces a challenge for chemical mechanical polishing (CMP) with a high material removal rate (MRR). Moreover, traditional CMP usually employs toxic and corrosive slurries, leading to the pollution of the environment. To overcome these challenges, a novel green photocatalytic CMP is proposed. In the CMP,  $\text{SiO}_2@\text{TiO}_2$  core–shell abrasives were developed, and the CMP slurry included the developed abrasives, sodium carbonate, hydrogen peroxide and sorbitol. After photocatalytic CMP, the surface roughness  $S_a$  of quartz glass is 0.185 nm, with a scanning area of  $50 \times 50 \mu\text{m}^2$ , and the MRR is  $8.64 \mu\text{m h}^{-1}$ . To the best of our knowledge, the MRR is the highest on such a big area of atomic surface for quartz glass. X-ray photoelectron spectroscopy reveals that  $\text{SiO}_2@\text{TiO}_2$  core–shell abrasives were used as photocatalysts motivated by simulated solar light, generating electrons and holes and producing hydroxyl radicals through hydrogen peroxide. As a result,  $\text{OH}^-$  could combine with Si atoms on the surface of quartz glass, forming Si–OH–Si bonds. Then the formed bonds were removed based on the balance between chemical and mechanical functions. The proposed CMP, developed  $\text{SiO}_2@\text{TiO}_2$  abrasives and slurry provide new insights to achieve an atomic surface of quartz glass with a high MRR.

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## 1. Introduction

Quartz glass, primarily composed of  $\text{SiO}_2$ , is an amorphous solid that is colorless, transparent, and possesses a hard texture.<sup>1,2</sup> At the microscopic level, the interior of quartz glass forms a stable network structure woven from tetrahedra consisting of silicon and oxygen atoms. At the macroscopic level, this results in stable chemical characteristics and outstanding physical properties, including outstanding heat resistance, dimensional stability, high-temperature tolerance, and excellent light transmittance.<sup>3,4</sup> Consequently, quartz glass is extensively used in high-tech industries such as optoelectronic systems, semiconductors, aerospace, etc.<sup>5,6</sup> In these advanced applications, quartz glass needs to meet stringent

requirements. As an optical component, it must exhibit minimal subsurface damage and achieve an atomic-level super-smooth surface.<sup>7,8</sup> The improvement of surface quality in quartz glass primarily relies on two key processes: grinding and polishing.<sup>9</sup> Chemical mechanical polishing (CMP) has emerged as the primary processing method for quartz glass due to its high removal rate and low surface roughness.<sup>10–12</sup> However, CMP slurry, a crucial material in optical element fabrications, presents distinct challenges. It demands a high level of expertise, involves significant investment risks, has a lengthy technology accumulation cycle, and relies heavily on economies of scale. Consequently, the development of CMP slurry faces substantial challenges. For decades, tremendous efforts have been dedicated to exploring CMP slurry, mainly composed of abrasives, pH adjusters, oxidizers, surface active agents, and various other components.<sup>13</sup> Among these constituents, abrasives have been recognized as essential candidates for polishing due to their surface chemical and frictional properties.<sup>14,15</sup>  $\text{SiO}_2$  and  $\text{CeO}_2$  stand out as the most frequently employed abrasives.<sup>16</sup> To enhance the precision polishing performance of these abrasives, additional agents such as surfactants and dispersants including PAMS,<sup>17</sup> sodium hexametaphosphate, SDBS, and triethanolamine, are often incorporated.<sup>18</sup> Stability tests have revealed that when the PAMS dispersant concentration reaches

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3 wt%, it significantly enhances the polishing performance of the abrasive particles. This improvement stems from the ability of the dispersant to provide steric hindrance, to overcome attraction forces; moreover, anionic dispersants, such as polycarboxylic acid polymers, were employed to maintain the levitation stability of the polishing solution and achieve a more uniform distribution of abrasive particle size, resulting in a smoother surface post CMP processing. Through an orthogonal experiment, it was found that a smooth surface with a roughness of 0.23 nm was achieved within the  $50 \times 50 \mu\text{m}^2$  area.<sup>19</sup> In addition to incorporating dispersants and other components, modifying the abrasive particles has proven effective in enhancing the chemical mechanical polishing performance. In the case of silicon wafers, the application of APTS or MTMOS modified silica particles improved CMP by optimizing the stability and polishing properties of the particles, leading to a significant improvement in polishing surface quality.<sup>20</sup> Furthermore, an ordered mesoporous rod-shaped silica based abrasive with diverse mesoporous structures has been successfully applied in a sustainable polishing slurry. As a result, the surface roughness of cadmium zinc telluride (CZT) is 0.259 nm within the  $5 \times 5 \mu\text{m}^2$  area.<sup>21</sup> Various methods have been developed to design a highly efficient abrasive through surface modification and for the introduction of inorganic and organic materials. Nonetheless, achieving the stringent requirements for an ultra-smooth atomic surface remains a formidable challenge.

Fortunately, Xiaoguang Guo *et al.*<sup>11</sup> found that the degree of hydroxylation on the surface of quartz glass affects the formation of interfacial chemical bonds, subsequently impacting the removal of surface atoms. When photocatalysts are stimulated by light, they generate electrons and holes, which react with oxidants and  $\text{OH}^-$  to produce hydroxyl radicals. These radicals can promote the formation of a Si-OH bond on surface quartz glass. Wang *et al.*<sup>22,23</sup> employed silica sol as the abrasive and nanoscale  $\text{TiO}_2$  as the photocatalyst in the process called photogenerated-catalytic CMP on GaN chips. Remarkably, this approach resulted in an almost twofold increase in the material removal rate (MRR) compared to that of conventional CMP techniques. In recent years, the adoption of photocatalytic-assisted chemical mechanical polishing (PCMP) has been widely applied for various materials including diamond, GaN (gallium nitride), and SiC (silicon carbide). Furthermore, materials like  $(\text{CeO}_2 + \text{PS})$ ,  $(\text{CeO}_2 + \text{SiO}_2)$ ,  $\text{TiO}_2$ , and their counterparts have found extensive use as photocatalysts in PCMP.<sup>24,25</sup> However, despite its success in various materials, there are still limited reports regarding the application of PCMP in the polishing of quartz glass.

Herein, a green and environmentally friendly polishing solution was developed, composed of  $\text{Na}_2\text{CO}_3$ ,  $\text{H}_2\text{O}_2$ , tartaric acid, abrasive particles, and deionized water. In particular, spherical  $\text{SiO}_2@\text{TiO}_2$  nanoparticles as abrasives were synthesized by a hydrothermal method. The spherical  $\text{SiO}_2@\text{TiO}_2$  nanoparticles were then applied in the PCMP experiment of quartz glass. Through single factor variable experiments, the optimal slurry components were projected to inquire into the effects of diverse abrasives under the conditions of photo-

catalytic assisted CMP, and the optimal ratio was obtained. In addition, the polishing mechanism was analyzed by XPS, FTIR, and Raman spectroscopy to prove the importance of photocatalysts in the polishing process.

## 2. Experimental

### 2.1. Chemicals

The samples, chemical reagents and abrasive particles were needed in the experiment. The hot-grown high-purity fused quartz sheets were purchased from Lianyungang Weida Quartz Products Co., Ltd, China, whose dimensions were 10 mm  $\times$  10 mm  $\times$  5 mm. The chemical reagents included tetrabutyl titanate ( $\text{C}_{16}\text{H}_{36}\text{O}_4\text{Ti}$ ), tetraethyl orthosilicate ( $\text{C}_8\text{H}_{12}\text{O}_8\text{Si}$ ), sorbitol ( $\text{C}_6\text{H}_{14}\text{O}_6$ ) and acetic acid ( $\text{C}_2\text{H}_4\text{O}_2$ ). They were purchased from Aladdin, China.  $\text{NH}_3 \cdot \text{H}_2\text{O}$ ,  $\text{H}_2\text{O}_2$ ,  $\text{Na}_2\text{CO}_3$ , glycerin and absolute ethanol (EtOH) were acquired from Sinopharm Chemical Reagent Co., Ltd, China. Commercial  $\text{TiO}_2$  abrasives (100 nm) and  $\text{SiO}_2$  abrasives (300 nm, 500 nm) were needed in the experiment, which were purchased from Suzhou Yuante New Material Co., Ltd, China and Suzhou Beasley New Materials Co., Ltd, China, respectively. What's more, DI- $\text{H}_2\text{O}$  was used from start to finish.

### 2.2. Synthesis of $\text{SiO}_2$ abrasive particles

The  $\text{SiO}_2$  abrasives were prepared by the Stöber method. First of all, 43.25 mL DI- $\text{H}_2\text{O}$ , 43.25 mL EtOH and 13.5 mL  $\text{NH}_3 \cdot \text{H}_2\text{O}$  were blended at a speed of 600 rpm. After stirring thoroughly, we quickly injected 9 mL tetraethyl orthosilicate into the solution at a beater speed of 600 rpm for 4 h. After this, the sample solution was centrifuged at a rate of agitation of 7000 rpm for 5 min. The prepared samples were washed with DI and EtOH. After centrifugation and washing, the sample abrasives were dried at 60 °C for 12 h in a drying oven. Ultimately, the ground abrasives were calcined in a muffle furnace at 550 °C for 8 h.

### 2.3. Synthesis of $\text{SiO}_2@\text{TiO}_2$ abrasive particles

The  $\text{SiO}_2@\text{TiO}_2$  abrasives were provided by the Stöber method. First, we added an appropriate amount of  $\text{SiO}_2$  abrasives to 100 mL of EtOH and sonicated the mixed solution for 5 h. Subsequently, we prepared solution A and solution B. Solution A was prepared by sonication of the sample solution, which included 5 mL of the  $\text{SiO}_2$  mixed solution, 100 mL of EtOH, 5 mL of DI- $\text{H}_2\text{O}$ , and an appropriate amount of acetic acid. Solution B was prepared by adding an appropriate amount of tetrabutyl titanate to 100 mL of EtOH and sonicating uniformly for 1 h. Afterward, solution B was tardily dripped into solution A at 40 °C for an appropriate duration using an oil bath. Additionally, the sample solution was centrifuged at an agitation rate of 7000 rpm for 5 min. The resulting solution was cleaned with EtOH and DI- $\text{H}_2\text{O}$ . After centrifugation and washing, the sample abrasives were dried at 80 °C for 12 h in a drying oven. At last, the ground abrasives were calcined in a muffle furnace at 550 °C for 8 h to dissociate acetic acid from the  $\text{SiO}_2@\text{TiO}_2$  abrasives.



#### 2.4. PCMP process

Three identical high-purity fused quartz glasses measuring  $10\text{ mm} \times 10\text{ mm} \times 5\text{ mm}$  were affixed with distinct features at three equidistant positions on an aluminum alloy disk. The surface roughness of the quartz glass was measured over a  $50 \times 50\text{ }\mu\text{m}^2$  area, yielding values of  $22.640\text{ nm}$  for  $S_a$ . The sample quartz glasses underwent rough polishing and fine polishing procedures using a precision polisher (UNIPOL-1200S, Shenyang Kejing Auto-Instrument Co., Ltd, China).

Rough polishing significantly reduced the  $S_a$  of the quartz glass and improved the efficiency of the overall polishing process. The rough polishing solution comprised 3 wt%  $\text{SiO}_2$  abrasives (500 nm),  $\text{Na}_2\text{CO}_3$ , 0.5 wt% sorbitol, 0.5 wt%  $\text{H}_2\text{O}_2$ , and  $\text{DI}\cdot\text{H}_2\text{O}$ .  $\text{Na}_2\text{CO}_3$  was employed to regulate the pH of the solution to 9. During the solution preparation, the solution was continuously stirred to ensure uniform distribution. The sample quartz glasses underwent polishing for 60 min under the following experimental conditions: 100 rpm polishing speed, 18 kPa polishing pressure, and  $5\text{ mL min}^{-1}$  flow rate. Following the completion of the rough grinding process, the sample surfaces were thoroughly washed with copious amounts of  $\text{DI}\cdot\text{H}_2\text{O}$  and promptly dried using compressed air. Subsequently, the surface roughness  $S_a$  of the quartz glass was measured to be  $1.008\text{ nm}$  for over a  $50 \times 50\text{ }\mu\text{m}^2$  measurement area.

The precision polishing process was carried out using a single-factor controlled variable method, with variations in the type of abrasive grains. The precision polishing solution comprised 1 wt% mixed abrasives (the ratio of  $\text{SiO}_2$  to  $\text{TiO}_2$  is 0 : 1, 1 : 1, 3 : 2, 4 : 1, and 1 : 0),  $\text{Na}_2\text{CO}_3$ , 0.5 wt% sorbitol, 0.5 wt%  $\text{H}_2\text{O}_2$ , and  $\text{DI}\cdot\text{H}_2\text{O}$ . Sorbitol was employed as a dispersant in the polishing solution.  $\text{Na}_2\text{CO}_3$  was utilized to regulate the pH of the polishing solution to 9. To ensure a homogeneous distribution of the solution, the slurries were continuously stirred throughout the fine polishing preparation process. The sample quartz glasses underwent precision polishing for 15 min under the following experimental conditions: 80 rpm polishing speed, 18 kPa polishing pressure, and  $5\text{ mL min}^{-1}$  flow rate. The experimental conditions of polishing are shown in Table 1.

Throughout the entire precision polishing process, a light source (HXF300-T3, Beijing Zhongjiao Jinyuan Technology Co., Ltd, China) was employed to activate the polishing solution, providing an average optical power density of 15 Sun. Following the completion of the fine grinding process, the sample surfaces

were thoroughly washed using a generous amount of  $\text{DI}\cdot\text{H}_2\text{O}$  and promptly dried using compressed air.

#### 2.5. Characterization of abrasive particles

The surface topography of the abrasive particles was characterized by using a scanning electron microscope (SEM, FEI Quanta 200F) and the internal structure of the abrasive particles was characterized using a transmission electron microscope (TEM, HITACHI HT7700). Moreover, an X-ray diffractometer (XRD, Rigaku Dmax Ultima+, Japan) was utilized with  $\text{Cu K}\alpha$  radiation ( $\lambda = 1.5418\text{ \AA}$ ) within the  $2\theta$  range of  $10\text{--}80^\circ$ . X-ray photoelectron spectroscopy (XPS, Thermo Scientific K-Alpha, America) was employed for qualitative analysis of the synthesized particle elements.

#### 2.6. Characterization of quartz glasses

The surface roughness ( $S_a$ ) and material removal rate (MRR) are important indicators for characterizing the surface quality and processing performance of quartz glasses. An optical microscope (MX40, Olympus, Japan) was used to evaluate the surface defects of the sample quartz glasses before and after the polishing process. Additionally, the surface roughness and 3D topography of the quartz glasses before and after polishing were respectively measured using a 3D optical surface profiler (Zygo NewViewTM 9000, USA) and an atomic force microscope (AFM, XE-200, Park Systems Corp., South Korea). The formula for calculating the material removal rate (MRR) of the quartz glass is shown in eqn (1).<sup>14,18,19</sup>

$$\text{MRR} = \frac{\Delta m}{\rho s t} \quad (1)$$

where  $\Delta m$  is the mass loss of quartz glasses before and after the polishing process,  $\rho$  is the density of quartz glasses ( $2.2\text{ g cm}^{-3}$ ),  $s$  is the contact area of quartz glasses and an aluminum alloy plate, and  $t$  is the polishing time. The quality of quartz glasses before and after the polishing process was measured by using a precision balance (FA124, Shanghai Lichen Instrument Technology Co., Ltd, China).

In addition, the sample quartz glasses were analyzed using X-ray photoelectron spectroscopy (XPS, Thermo Scientific K-Alpha, America) after undergoing different treatments. The treatments included immersing the quartz glasses in the polishing solution containing  $\text{SiO}_2@\text{TiO}_2$  synthesized abrasives for 7 days, as well as precision polishing using the polishing

Table 1 The experimental conditions of polishing<sup>a</sup>

	Polishing solution composition	Polymer pH	Polishing pressure (kPa)	Polishing speed (rpm)	Polishing time (min)	Average optical power density (Sun)	Polishing solution flow rate ( $\text{mL min}^{-1}$ )
Rough polishing	$\text{SiO}_2$ abrasive, $\text{Na}_2\text{CO}_3$ , sorbitol, $\text{H}_2\text{O}_2$ , and $\text{DI}\cdot\text{H}_2\text{O}$	9	18	100	60	0	5
Precision polishing	Mixed/ $\text{SiO}_2@\text{TiO}_2$ abrasives, $\text{Na}_2\text{CO}_3$ , sorbitol, $\text{H}_2\text{O}_2$ , and $\text{DI}\cdot\text{H}_2\text{O}$	9	18	80	15	15	5

<sup>a</sup> Where 1 Sun is equivalent to  $1000\text{ W m}^{-2}$  solar constant. What's more, the standard value of the solar constant is approximately  $1361\text{ W m}^{-2}$ .



solution containing  $\text{SiO}_2@\text{TiO}_2$  synthesized abrasives. All the sample quartz glasses were processed under photocatalytic conditions. Furthermore, Raman spectroscopy (Horiba LabRAM HR Evolution, Japan) was performed to analyze the sample quartz glasses before and after polishing. Finally, FTIR (Thermo Scientific Nicolet iS20, USA) was employed to assess whether the quartz glasses were contaminated after the precision polishing process.

### 3. Results and discussion

#### 3.1. Experimental mechanism and process of PCMP

The PCMP process of quartz glass comprises two vital steps, namely chemical etching and mechanical removal, with abrasive particles playing pivotal roles in both of these constituents.<sup>26</sup> Their morphology, size, mechanical properties, and other characteristics determine the delicate balance between the mechanical grinding effect and chemical erosion during PCMP, thereby directly influencing the overall performance of PCMP. According to the literature,<sup>27</sup> the utilization of core–shell abrasive particles in chemical mechanical polishing not only enhances the photocatalytic activity of the abrasives but also reduces their hardness, effectively diminishing mechanical abrasions, thereby improving sub-surface precision. This study aims to synthesize a novel nanoscale abrasive particle. It involves using  $\text{SiO}_2$  synthesized through the Stöber method as the core and depositing a titanium dioxide ( $\text{TiO}_2$ ) shell on the outer layer using tetrabutyl titanate as the titanium source. Excess chemical substances are subsequently removed through centrifugation and calcination, resulting in the formation of  $\text{SiO}_2@\text{TiO}_2$  core–shell abrasive particles, as illustrated in Fig. 1(a). In this context, the  $\text{TiO}_2$  of  $\text{SiO}_2@\text{TiO}_2$  core–shell abrasive particles serves as the photocatalytic material, while  $\text{SiO}_2$  functions as the polishing abrasive.<sup>28,29</sup> In addition, in this study, the chemical etching of PCMP is attributed to the presence of  $\text{Na}_2\text{CO}_3$  and  $\text{H}_2\text{O}_2$  in the solution, while the mechanical action is a result of external pressure, as illustrated in Fig. 1(b).

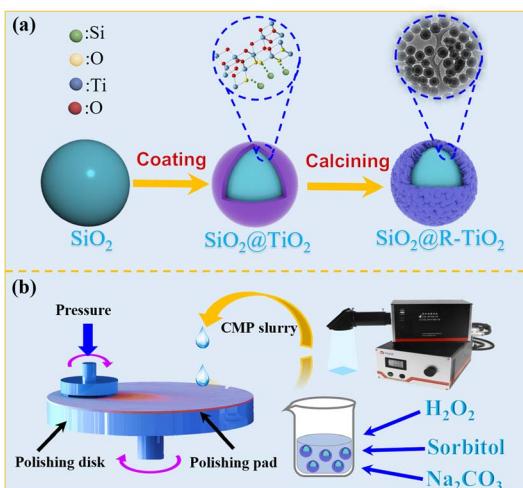


Fig. 1 The diagram of the polishing process.

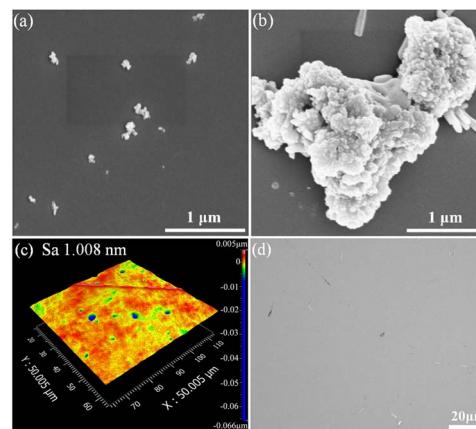


Fig. 2 SEM patterns of the abrasives: (a) commercial  $\text{SiO}_2$ ; (b)  $\text{TiO}_2$ ; (c) the 3D surface profilometer image and (d) optical microscope photo of quartz glasses polished with commercial  $\text{SiO}_2$  abrasive particles.

The light source is positioned at a specific distance from the polishing system. Photocatalysis contributes to the overall polishing process by increasing the quantity of hydroxyl radicals ( $\cdot\text{OH}$ ) generated during the chemical etching process.

#### 3.2. The structural characteristics of $\text{SiO}_2@\text{TiO}_2$ abrasive particles

SEM was utilized to observe the morphology of mixed commercial  $\text{SiO}_2$  and  $\text{TiO}_2$  abrasives, as depicted in Fig. 2(a) and (b). They reveal several drawbacks including non-uniform particle size distribution, a singular spherical morphology, inadequate polishing performance, and a tendency to agglomerate when mixed. These characteristics pose challenges for conducting further experiments on modifying abrasive particles and investigating the mechanisms of abrasive action. After the rough polishing, the surfaces of the quartz glasses were characterized using an optical microscope and a 3D optical surface profiler, as illustrated in Fig. 2(c) and (d). The surface roughness was measured to be 1.008 nm. The 3D surface profilometer image reveals that the surface of the quartz glass is rough and exhibits numerous scratches and protrusions after rough polishing.

SEM, TEM, XRD, and FTIR were employed to analyze the surface appearance, core–shell structure, and the crystal structure of the composite  $\text{SiO}_2@\text{TiO}_2$  abrasive particles. Fig. 3 presents the SEM and TEM images and diameter distribution plots of the  $\text{SiO}_2$  and  $\text{SiO}_2@\text{TiO}_2$  abrasive synthesized by the Stöber method. The SEM images (Fig. 3(a) and (d)) and TEM images (Fig. 3(b) and (e)) depict the surface appearance and internal structure of the  $\text{SiO}_2$  and  $\text{SiO}_2@\text{TiO}_2$  abrasive. Twenty randomly selected particles were measured to determine the diameter distribution, resulting in average diameters of  $301 \pm 34$  nm and  $387 \pm 16$  nm for the  $\text{SiO}_2$  and  $\text{SiO}_2@\text{TiO}_2$  abrasive particles, respectively, as illustrated in Fig. 3(c) and (f). Analysis of the SEM and TEM images and diameter distribution plots reveals that the synthesized  $\text{SiO}_2$  abrasives reveal a smooth spherical morphology and a uniform particle size distribution,



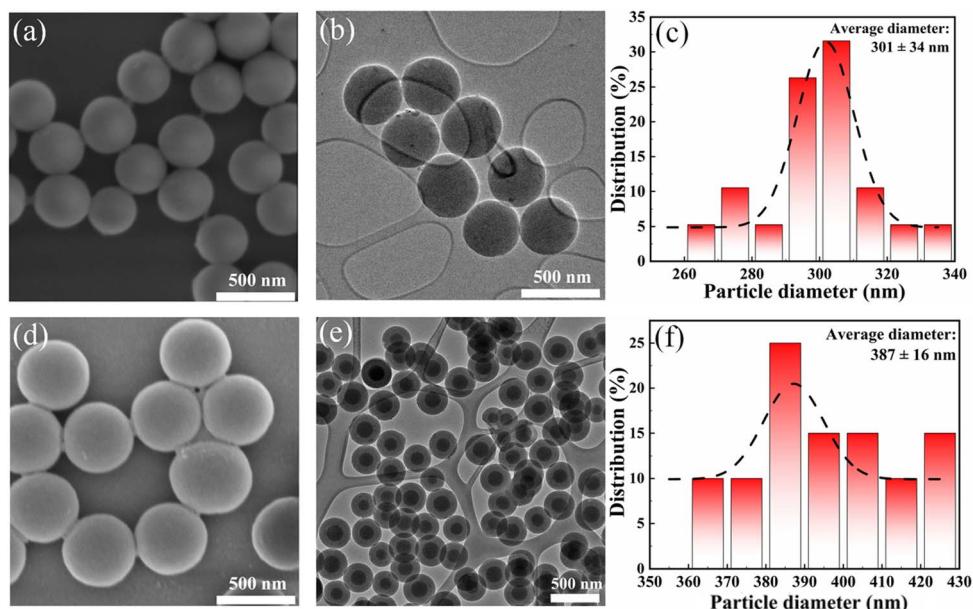


Fig. 3 The SEM and TEM images and diameter distributions of the synthesized abrasive particles: (a)–(c)  $\text{SiO}_2$ ; (d)–(f)  $\text{SiO}_2@\text{TiO}_2$ .

making them well-suited for serving as the core component in the composite  $\text{SiO}_2@\text{TiO}_2$  abrasive. Additionally, the TEM images demonstrate the distinctive core–shell structure of the composite  $\text{SiO}_2@\text{TiO}_2$  abrasive particles.

The XRD pattern of the composite  $\text{SiO}_2@\text{TiO}_2$  abrasive particles is illustrated in Fig. 4(a). An evident characteristic peak is observed at approximately  $23^\circ$  in the  $\text{SiO}_2$  spectrum, indicating the high purity of the synthesized  $\text{SiO}_2$ . Furthermore, distinct diffraction peaks are observed in the spectrum at  $2\theta$  values of  $25.4^\circ$ ,  $37.9^\circ$ ,  $48^\circ$ ,  $54.0^\circ$ ,  $62.1^\circ$ , and  $62.7^\circ$ , corresponding to the crystal planes (101), (004), (200), (105), (211), and (204) of the anatase  $\text{TiO}_2$  phase, respectively.<sup>30</sup> According to the latest literature,<sup>31</sup> anatase  $\text{TiO}_2$  has higher photocatalytic activity than other forms which has been verified. The FTIR image of  $\text{SiO}_2@\text{TiO}_2$  is illustrated in Fig. 4(b).  $\text{SiO}_2@\text{TiO}_2$  abrasive particles exhibit absorption bands at  $1082\text{ cm}^{-1}$ ,  $798\text{ cm}^{-1}$ ,

$779\text{ cm}^{-1}$ , and  $695\text{ cm}^{-1}$ . The absorption band at  $1082\text{ cm}^{-1}$  primarily corresponds to the vibration of the silicon–oxygen (Si–O) bond, while the absorption band at  $798\text{ cm}^{-1}$  represents the bending vibration of the Si–O bond. The absorption bands at  $779\text{ cm}^{-1}$  and  $695\text{ cm}^{-1}$  are associated with the vibration of the titanium–oxygen (Ti–O) bond. The XRD and FTIR characterization studies demonstrate the high purity of the composite  $\text{SiO}_2@\text{TiO}_2$  abrasive particles.

The XPS full-spectrum and fine-spectrum images of  $\text{SiO}_2$  and  $\text{SiO}_2@\text{TiO}_2$  composite particles are shown in Fig. 5. In the full-spectrum scan, characteristic peaks of  $\text{SiO}_2$  can be observed. The peaks at  $533\text{ eV}$ ,  $285\text{ eV}$ , and  $103\text{ eV}$  correspond to O 1s, C 1s, and Si 2p, respectively, as shown in Fig. 5(a). As for the  $\text{SiO}_2@\text{TiO}_2$  composite particles, the peaks at  $532\text{ eV}$  and  $284\text{ eV}$  correspond to O 1s and C 1s,<sup>32</sup> while the peaks at  $458\text{ eV}$  and  $103\text{ eV}$  correspond to Ti 2p and Si 2p.<sup>33</sup> Fig. 5(b)–(d) depict the

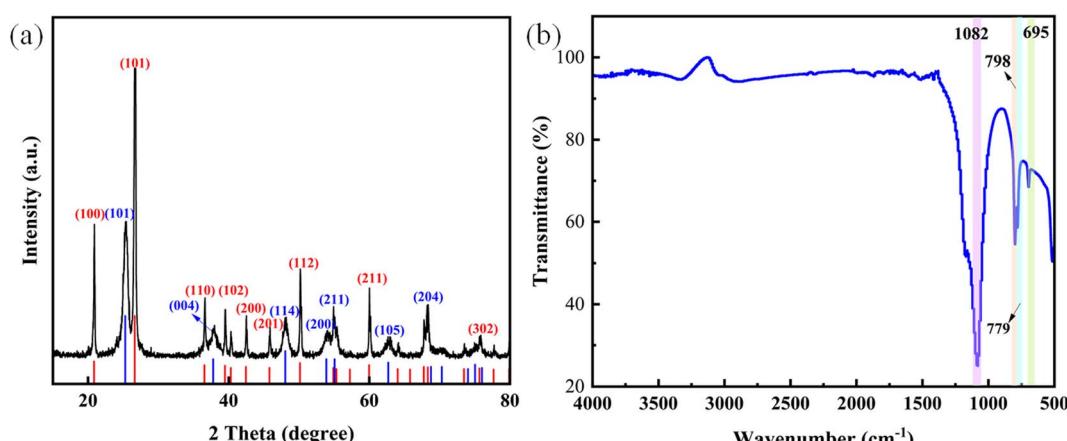


Fig. 4 The XRD and FTIR patterns of  $\text{SiO}_2@\text{TiO}_2$ : (a) the XRD pattern; (b) the FTIR pattern.



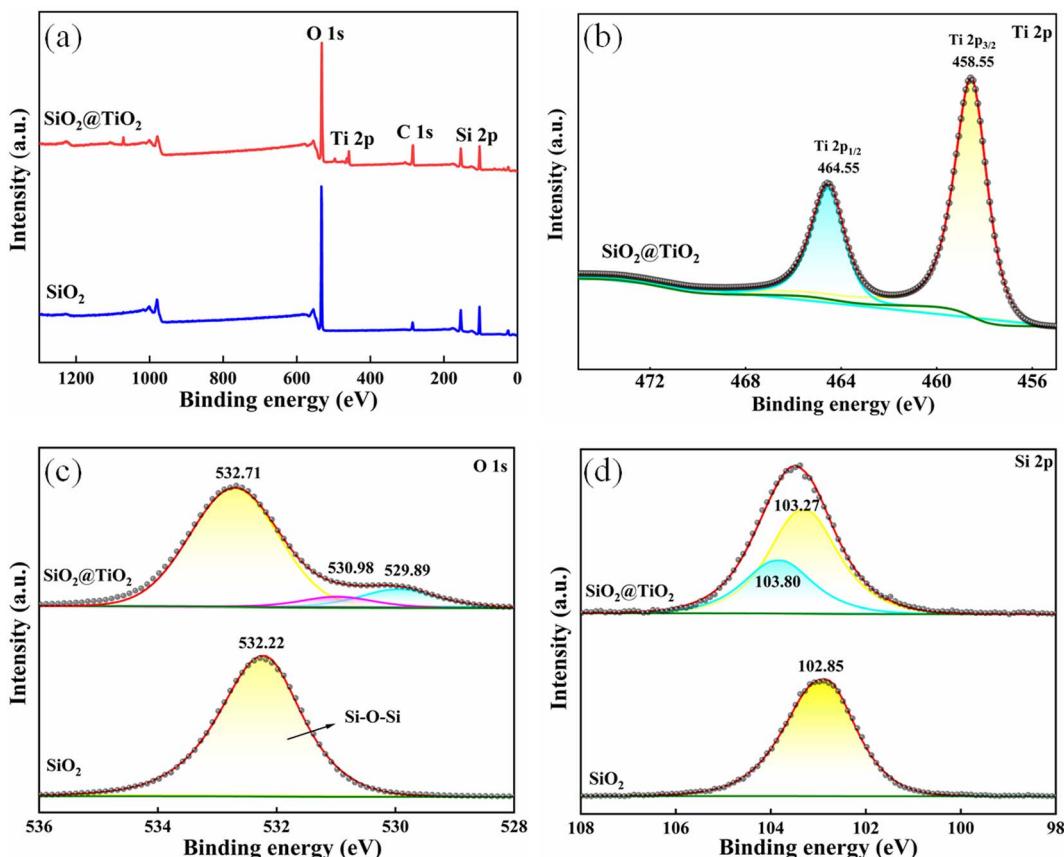


Fig. 5 The XPS full-spectrum and fine-spectrum images of abrasive particles: (a) the XPS full-spectrum images of  $\text{SiO}_2$  and  $\text{SiO}_2@\text{TiO}_2$ ; (b)–(d) the Ti, O, and Si elements in fine-spectrum images of  $\text{SiO}_2@\text{TiO}_2$ .

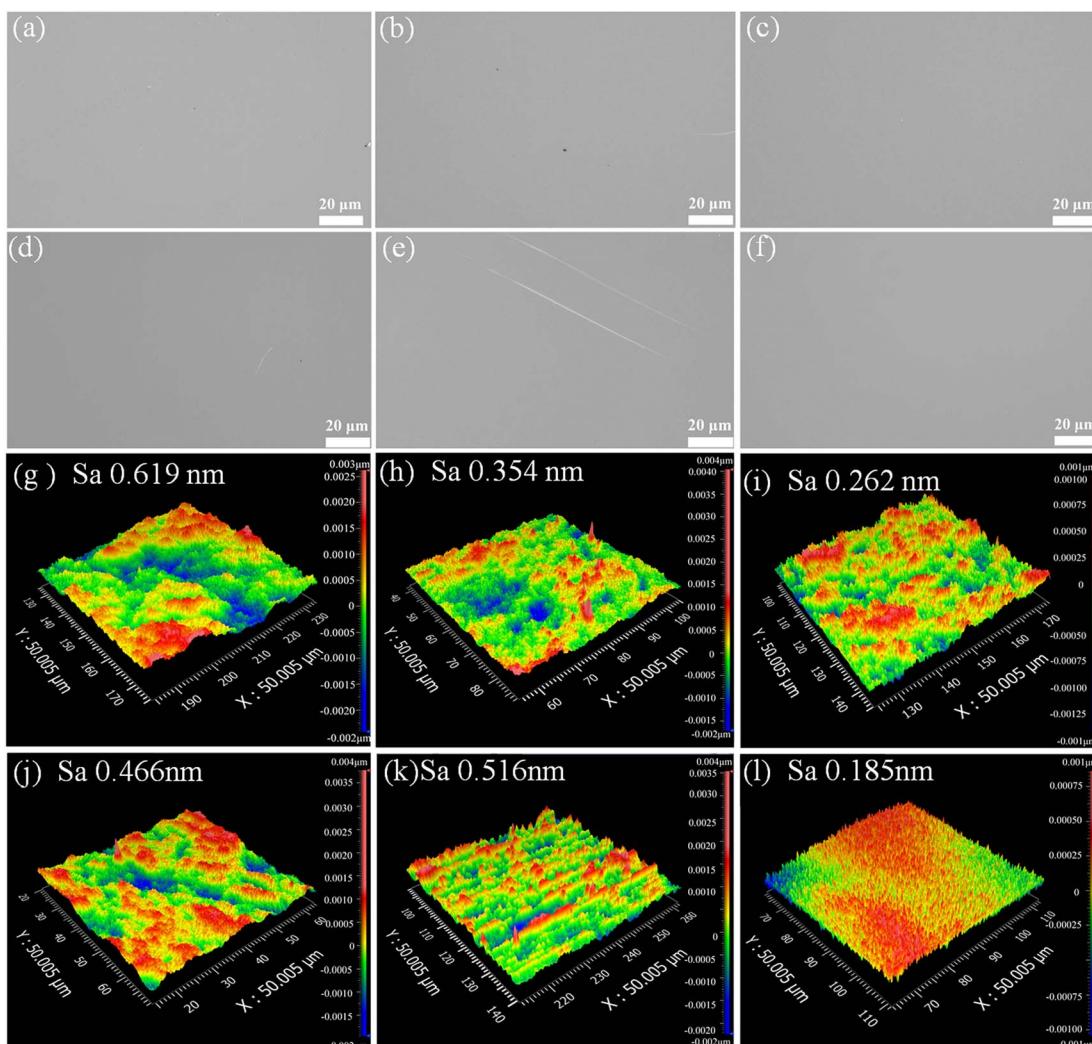
high-resolution scans of the Ti, Si, and O elements, respectively. In Fig. 5(b), the Ti 2p spectrum of  $\text{SiO}_2@\text{TiO}_2$  reveals two peaks at binding energies of 458.55 eV and 464.55 eV, corresponding to the  $\text{Ti} 2p_{3/2}$  and  $\text{Ti} 2p_{1/2}$  of Ti atoms in  $\text{TiO}_2$ , respectively. In Fig. 5(c), the high-resolution scan of the O element in  $\text{SiO}_2$  demonstrates that the O 1s peak is located at 532.33 eV. The high-resolution scan of the O element in  $\text{SiO}_2@\text{TiO}_2$  exhibits three peaks at 529.89 eV, 530.98 eV, and 532.71 eV, which are assigned to the O atoms in  $\text{Ti}-\text{O}-\text{Ti}$  bonds,  $-\text{OH}$  groups, and  $\text{Si}-\text{O}-\text{Si}$  bonds in  $\text{SiO}_2$ , respectively.<sup>34</sup> Additionally, as shown in Fig. 5(c), the O 1s peak in the  $\text{SiO}_2-\text{TiO}_2$  spectrum is slightly shifted to 530.98 eV, compared to 532.22 eV of  $\text{SiO}_2$ . This shift may happen because of the difference in the chemical environment which was produced by the bonding of surface hydroxyl groups. In Fig. 5(d), the high-resolution scan of the Si element in  $\text{SiO}_2$  reveals that the main peak of Si 2p is located at 102.85 eV. Furthermore, the Si 2p spectrum of  $\text{SiO}_2@\text{TiO}_2$  exhibits two peaks at binding energies of 103.27 eV and 103.80 eV, corresponding to the  $\text{Si} 2p_{3/2}$  and  $\text{Si} 2p_{1/2}$  of Si atoms in  $\text{SiO}_2$ , respectively. The peak at 103.27 eV represents the Si atom in the  $\text{Si}-\text{O}-\text{Ti}$  bond.<sup>35</sup> These results indicate a strong bond between  $\text{SiO}_2$  and  $\text{TiO}_2$ , supporting the successful preparation of a large amount of composite abrasive  $\text{SiO}_2@\text{TiO}_2$  for precision polishing in the experiment.

### 3.3. Polishing tests

An optical microscope and a 3D optical surface profiler were used to observe the surface of quartz glasses before and after precision polishing. As illustrated in Fig. 6, the surfaces using different ratios of commercial mixed abrasives reflected different surface qualities. Fig. 6(a) reveals that when only commercial  $\text{TiO}_2$  was used as the abrasive, the quartz glass samples exhibited a higher number of pits. This can be attributed to the dual role of  $\text{TiO}_2$  as a grinding agent and photocatalyst, leading to chemical reactions during the polishing process. Furthermore, the relatively soft  $\text{TiO}_2$  abrasive makes it challenging to timely remove the reaction layer, which may result in embedding and the formation of surface pits. As depicted in Fig. 6(b)–(e), with the proportion of abrasives for  $\text{SiO}_2$  increases, the number of pits caused by titanium dioxide decreases, but the number of scratches increases. This phenomenon can be attributed to the non-uniform size of commercial colloidal silica, which contains numerous small particles that readily adhere to the surface of quartz glass, resulting in the embedding of abrasives and the formation of deep pits, consequently leading to scratches under high-speed relative motion.

The 3D surface profilometer image of the polished surfaces is presented in Fig. 6(g)–(l). To objectively assess the surface quality of quartz glasses after the precision polishing process

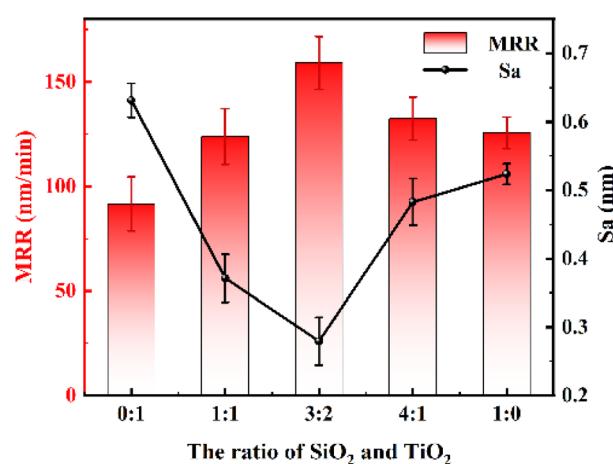




**Fig. 6** The optical microscope photos and 3D surface profilometer image of quartz glasses polished using different abrasives: the commercial  $\text{SiO}_2$  and  $\text{TiO}_2$  abrasives with a ratio of 0 : 1 (a) and (g); the commercial  $\text{SiO}_2$  and  $\text{TiO}_2$  abrasives with a ratio of 1 : 1 (b) and (h); the commercial  $\text{SiO}_2$  and  $\text{TiO}_2$  abrasives with a ratio of 3 : 2 (c) and (i); the commercial  $\text{SiO}_2$  and  $\text{TiO}_2$  abrasives with a ratio of 4 : 1 (d) and (j); the commercial  $\text{SiO}_2$  and  $\text{TiO}_2$  abrasives with a ratio of 1 : 0 (e) and (k); the  $\text{SiO}_2@\text{TiO}_2$  abrasive grains (f) and (l).

under photocatalytic conditions, the surface roughness  $S_a$  was measured by randomly selecting 5 points on the sample surface within a  $50 \mu\text{m} \times 50 \mu\text{m}$  scanning range. The average roughness values of the samples processed with mixed abrasives were  $0.632 \pm 0.025 \text{ nm}$ ,  $0.372 \pm 0.035 \text{ nm}$ ,  $0.279 \pm 0.035 \text{ nm}$ ,  $0.483 \pm 0.034 \text{ nm}$ , and  $0.524 \pm 0.015 \text{ nm}$ , respectively. Additionally, the average MRR values of the five sample groups were  $91.617 \pm 12.910 \text{ nm min}^{-1}$ ,  $123.839 \pm 13.333 \text{ nm min}^{-1}$ ,  $159.091 \pm 12.606 \text{ nm min}^{-1}$ ,  $132.424 \pm 10.303 \text{ nm min}^{-1}$ , and  $125.607 \pm 7.576 \text{ nm min}^{-1}$ , respectively. It can be observed that the polishing effect is the best and the polishing efficiency is the highest when the mixing ratio of commercial  $\text{SiO}_2$  (300 nm) and  $\text{TiO}_2$  (100 nm) is 3 : 2. Fig. 7 shows the  $R_a$  and MRR of the quartz glasses polished with mixed commercial abrasives.

An optical microscope, a 3D optical surface profiler and an AFM were used to observe the surface of quartz glasses after the precision polishing process used the core-shell  $\text{SiO}_2@\text{TiO}_2$



**Fig. 7** The  $R_a$  and MRR of the quartz glasses polished with mixed commercial abrasives.



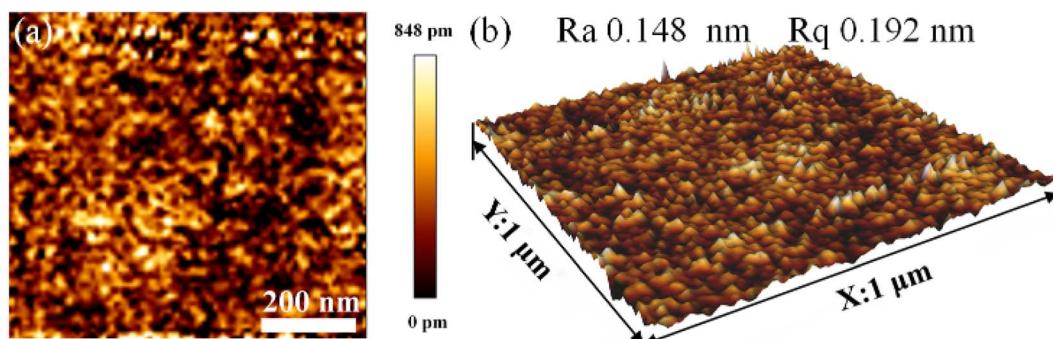


Fig. 8 AFM 2D and 3D morphology patterns of the quartz glass surface after precision polishing using  $\text{SiO}_2@\text{TiO}_2$  composite abrasive grains.

abrasives. The 3D surface profilometer image of the polished surface is shown in Fig. 6(l). Within a scanning range of  $50 \mu\text{m} \times 50 \mu\text{m}$ , five random points on the sample surface were selected to measure the  $S_a$ , which achieved a minimum value of  $0.185 \text{ nm}$ , indicating the smoothest and most uniform surface. Furthermore, the average MRR of the samples was  $144.639 \pm 17.445 \text{ nm min}^{-1}$ . The surface morphology after polishing is depicted in Fig. 6(f), demonstrating a smooth quartz glass surface without scratches or pits. Fig. 8(a) and (b) present the 2D and 3D morphological images of the AFM measurements on the surface of quartz glass polished with  $\text{SiO}_2@\text{TiO}_2$  composite abrasives within a scanning range of  $1 \times 1 \mu\text{m}^2$ . The obtained  $R_a$  value was  $0.148 \text{ nm}$  and  $R_q$  value was  $0.192 \text{ nm}$ . What's more,

the  $R_q$  represents the root mean square average of the longitudinal coordinates of the roughness profile. It can be observed that the surface roughness is the lowest when using the composite  $\text{SiO}_2@\text{TiO}_2$  abrasives, but the MRR is slightly lower compared to the MRR of the commercially mixed abrasives with a ratio of 3 : 2. This indicates that the synthesized core–shell  $\text{SiO}_2@\text{TiO}_2$  abrasive particles exhibit superior surface flatness processing performance compared to the commercially mixed abrasives. Moreover, the core–shell  $\text{SiO}_2@\text{TiO}_2$  abrasive particles offer the highest polishing accuracy and show great potential in the field of ultra-precision manufacturing.

The differences in mechanical friction behavior among single-abrasives, mixed-abrasives, and composite core–shell

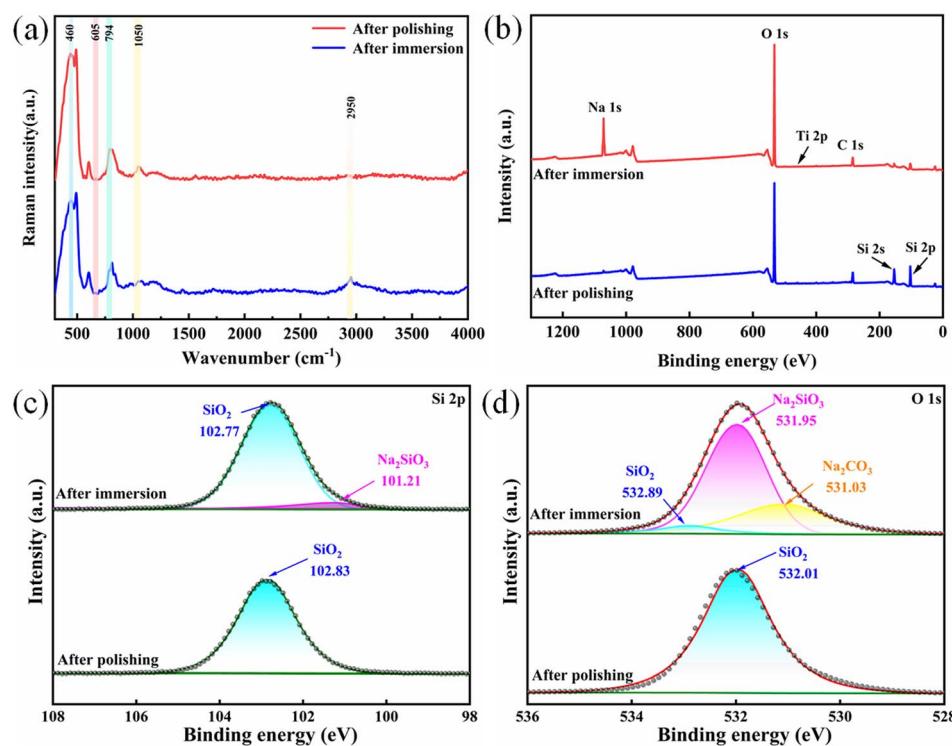
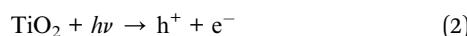


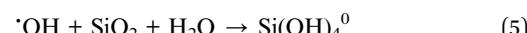
Fig. 9 The Raman spectra of the quartz glass samples before and after precision polishing (a); XPS full-spectrum scans of the quartz glass samples before and after precision polishing (b); XPS high-resolution survey spectra of Si 2p and O 1s on the silicon glass surfaces before and after precision polishing (c) and (d).

abrasives can be explained from a physical perspective by considering variations in hardness. The quartz glass is composed of 99.9%  $\text{SiO}_2$ .<sup>36</sup> In the case of single-abrasives,  $\text{TiO}_2$  exhibits a relatively low hardness of 6–6.5 when used for abrasives. Consequently,  $\text{TiO}_2$  is unable to rapidly remove the reaction layer, resulting in relatively poor mechanical polishing efficiency. During the polishing process,  $\text{TiO}_2$  may become embedded in the reaction layer, resulting in the formation of numerous surface pits.  $\text{SiO}_2$  possess a higher hardness of 7 compared to  $\text{TiO}_2$ , thereby exhibiting stronger mechanical removal capabilities.  $\text{SiO}_2$  abrasives can effectively remove the reaction layer and promote chemical reactions on the newly exposed surface. When  $\text{SiO}_2$  and  $\text{TiO}_2$  are mixed in different proportions as abrasive particles for polishing, under photocatalytic conditions, the combined use of these two abrasives can yield a smoother surface that surpasses the performance achievable with single-grain abrasives alone.

When two different types of abrasive particles are combined, their roles in the polishing process undergo a transformation.  $\text{SiO}_2@\text{TiO}_2$  composite abrasive particles, which are a physical combination of two types of abrasive particles, exhibit distinct functionality during the polishing process. According to ref. 37, the quartz glass undergoes hydrolysis to generate  $\cdot\text{OH}$  radicals, which react with the surface to form silanol groups. Under photocatalytic conditions, the outer shell of nano-sized  $\text{TiO}_2$  acts as a highly active catalyst, demonstrating the highest catalytic activity on fused quartz substrates.<sup>38</sup> When  $\text{TiO}_2$  is used as a catalyst, based on the band theory, photoexcited electron-hole pairs and the corresponding photocatalytic reduction reactions occur when the energy of the incident photons equals or exceeds the band gap. This leads to the excitation of  $\text{TiO}_2$  from the valence band to the conduction band, resulting in the generation of free electrons.<sup>39,40</sup> Simultaneously, positively charged holes are formed in the valence band, leading to the generation of more  $\cdot\text{OH}$  radicals,<sup>36</sup> as shown in eqn (2) and (3).



Hydroxylation under alkaline conditions forms the basis for enhancing the surface quality of quartz glass. Meanwhile,  $\text{H}_2\text{O}_2$  in the polishing solution can promote the dehydroxylation reaction of silanol structures,<sup>41,42</sup> further oxidizing  $\equiv\text{Si}-\text{OH}$  to form  $\equiv\text{Si}-\text{O}-\text{Si}\equiv$  bonds. Furthermore, the positively charged holes generated in the valence band of  $\text{TiO}_2$  can undergo oxidation reactions with  $\text{H}_2\text{O}_2$  molecules and hydroxide ions ( $\text{OH}^-$ ) adsorbed on the surface of catalyst particles in the polishing solution, resulting in the production of more hydroxyl radicals  $\cdot\text{OH}$  with strong oxidative properties. The generated  $\cdot\text{OH}$  radicals react with the surface of quartz glass, leading to the formation of additional silanol structures. This process can be represented by using eqn (4) and (5). Under photocatalytic conditions, and based on eqn (2) and (5), it can be inferred that  $\text{TiO}_2$ , acting as a catalyst, enhances the chemical hydroxylation reaction, thereby resulting in the formation of a thicker reaction layer on the sample.



To investigate the removal mechanism of  $\text{SiO}_2@\text{TiO}_2$  composite abrasive particles, XPS full-spectrum scans and Raman spectra were obtained for quartz glass samples soaked in the polishing solution for 7 days and subjected to precision polishing, as shown in the Fig. 9. The Raman spectra were recorded and are presented in Fig. 9(b). The quartz glass surfaces before and after polishing exhibited clear absorption peaks at  $460\text{ cm}^{-1}$ ,  $605\text{ cm}^{-1}$ ,<sup>36</sup>  $794\text{ cm}^{-1}$ , and  $1050\text{ cm}^{-1}$ . Among them, the band at  $460\text{ cm}^{-1}$  corresponds to the bending vibration peak of the silicon–oxygen bond, while the bands at  $605\text{ cm}^{-1}$  and  $1070\text{ cm}^{-1}$  correspond to the stretching vibration peaks of the silicon–oxygen bond. The band at  $794\text{ cm}^{-1}$  corresponds to the rotational vibration peak of the silicon–oxygen tetrahedron. Additionally, the surface of the quartz glass sample soaked in the polishing solution for 7 days exhibited a notable absorption peak at  $2950\text{ cm}^{-1}$ , corresponding to the stretching vibration peak of the O–H group.

The XPS full-spectrum scans of the quartz glass samples, which were soaked in the polishing solution for 7 days and then subjected to precision polishing, have been recorded and are presented in Fig. 9(c) and (d). Following a 7-day immersion in the polishing solution, the surface of the quartz glass sample displays a prominent peak at  $1071\text{ eV}$  in  $\text{Na 1s}$ ,<sup>32</sup> along with main peaks at  $532\text{ eV}$  and  $284\text{ eV}$ , corresponding to  $\text{O 1s}$  and  $\text{C 1s}$ , respectively. The main peak at  $458\text{ eV}$  corresponds to  $\text{Ti 2p}$ , with a lower peak value, signifying that  $\text{TiO}_2$  plays a role in polishing as both a catalyst and an abrasive, without involvement in other chemical reactions that result in new substances. After precision polishing, the main peak corresponding to  $\text{Na 1s}$  nearly disappeared, suggesting the successful removal of the sodium-containing substance that had formed on the surface of the quartz glass due to the presence of  $\text{SiO}_2@\text{TiO}_2$  composite

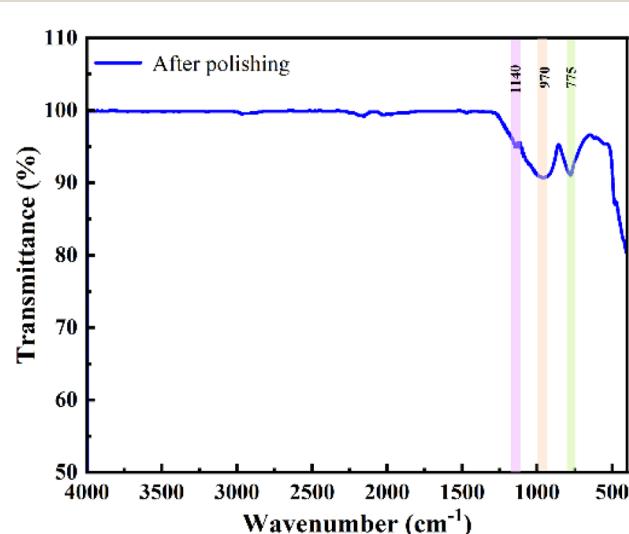
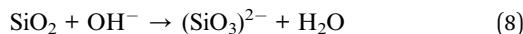
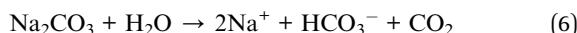


Fig. 10 The FTIR spectra of the quartz glass sample after precision polishing.

abrasives during soaking in the polishing solution. In the XPS full-spectrum scan of the quartz glass sample after fine polishing, the main peaks at 154 eV and 103 eV correspond to Si 2s and Si 2p, respectively. It is known that  $\text{SiO}_2$  is susceptible to corrosion under alkaline conditions, resulting in the formation of soluble  $\text{SiO}_3^{2-}$  ions.<sup>43</sup> After precision polishing, there is a notable increase in the Si content on the surface of the silica sample. The Si 1s XPS spectra of quartz glass samples, both before immersion in the polishing slurry and after precision polishing, are presented in Fig. 9(c). In the polished quartz glass sample, the peaks at 102.77 eV and 101.21 eV were identified as corresponding to  $\text{SiO}_2$  and  $\text{Na}_2\text{SiO}_3$ , respectively. However, after the polishing process, only a single main peak at 102.83 eV, attributed to Si from  $\text{SiO}_2$ , was observed in the fitted curve. The O 1s XPS spectra of quartz glass, both before immersion in the polishing slurry and after polishing, are presented in Fig. 9(d). The peaks at 531.03 eV, 531.95 eV, and 532.89 eV, observed in the XPS spectra of quartz glass immersed in the polishing slurry, are likely associated with  $\text{Na}_2\text{CO}_3$ ,  $\text{Na}_2\text{SiO}_3$ , and  $\text{SiO}_2$ , respectively.<sup>28</sup> After polishing, only a single main peak at 532.01 eV, attributed to  $\text{SiO}_2$ , was observed in the fitted XPS curve. This observation suggests the successful removal of  $\text{Na}_2\text{CO}_3$  and  $\text{Na}_2\text{SiO}_3$  species that were generated on the surface of quartz glass after immersion in the polishing slurry.<sup>19</sup> Based on the XPS analysis, we can infer that the following reaction occurs during the PCMP process,<sup>19,32</sup> leading to the oxidation of  $\equiv\text{Si}-\text{OH}$  to  $\equiv\text{Si}-\text{O}-\text{Si}\equiv$ :



To demonstrate the environmentally friendly nature of the polishing solution, FTIR characterization was performed on the

polished quartz glass samples, as illustrated in Fig. 10. The polished quartz glass surface exhibited absorption bands at  $1140\text{ cm}^{-1}$ ,  $970\text{ cm}^{-1}$ , and  $775\text{ cm}^{-1}$ . The bands observed at  $1140\text{ cm}^{-1}$  and  $970\text{ cm}^{-1}$  correspond to the asymmetric stretching vibrations of silicon–oxygen bonds, while the band at  $970\text{ cm}^{-1}$  corresponds to the asymmetric bending vibration of silicon–oxygen bonds. The characterization results indicate the absence of impurities on the surface of the polished quartz glass after polishing, demonstrating the feasibility of visible light-assisted catalytic chemical mechanical polishing in the field of ultra-precision machining.

Based on the aforementioned analysis, a material removal mechanism for polishing quartz glass under photocatalytic conditions using  $\text{SiO}_2@\text{TiO}_2$  composite abrasives is proposed, as illustrated in Fig. 11. Initially, driven by external load and kinetic energy, the  $\text{SiO}_2@\text{TiO}_2$  abrasives exhibit rapid motion and experience fast friction with the quartz glass surface, leading to impact-induced protrusion collapse and generation of frictional heat. This mechanical effect accelerates the molecular movement of chemical components in the polishing solution, initiating a series of chemical reactions. First, the original fused quartz glass surface reacts with the aqueous solution to form silanol. Subsequently, the silanol reacts with  $\text{OH}^-$  generated in the alkaline environment provided by the  $\text{Na}_2\text{CO}_3$  aqueous solution, resulting in the formation of  $\text{SiO}_3^{2-}$ . Simultaneously, under the photocatalytic conditions, the  $\text{TiO}_2$  shell of the  $\text{SiO}_2@\text{TiO}_2$  composite abrasives is excited by using a xenon lamp light source, leading to the generation of additional hydroxyl radicals on the fused quartz glass surface, thereby accelerating the reaction rate and forming a thicker chemical reaction layer. Moreover,  $\text{H}_2\text{O}_2$  facilitates the dehydrogenation reaction of silanol, further generating  $\equiv\text{Si}-\text{O}-\text{Si}\equiv$ . During the PCMP process, the reaction-generated silicates undergo elastic deformation due to the well-ordered structure of  $\text{SiO}_2@\text{TiO}_2$  nanocomposite abrasives, and they are precisely

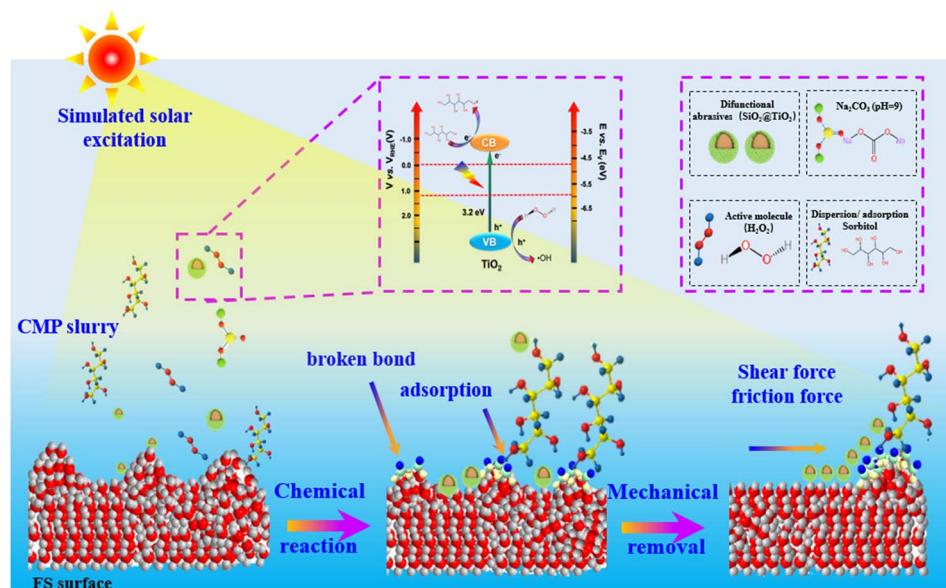


Fig. 11 The material removal mechanism for polishing quartz glasses using  $\text{SiO}_2@\text{TiO}_2$  composite abrasives under photocatalytic conditions.



removed under the compression of the polishing pad and quartz glass. Finally, the resultants are discharged along with the flowing polishing solution. After several iterations of this PCMP cycle, the surface precision of the quartz glass eventually reaches the sub-nanometer level.

## 4. Conclusion

This study introduces a novel green and environmentally friendly PCMP polishing solution consisting of  $\text{H}_2\text{O}_2$ ,  $\text{Na}_2\text{CO}_3$ , and  $\text{DI}\cdot\text{H}_2\text{O}$ . Additionally, bulk-synthesized  $\text{SiO}_2@\text{TiO}_2$  core-shell structure abrasives, with diameters ranging from 350 to 400 nm, were employed. The implementation of the PCMP process yields the achievement of an exceptionally smooth and high-quality surface on fused silica, with a surface roughness  $S_a = 0.185$  nm. In comparison to the samples subjected to the commercial CMP that utilized a mixture of  $\text{TiO}_2$  and  $\text{SiO}_2$  abrasive particles, the surface roughness demonstrates a reduction of 29%. Elucidation of the mechanistic underpinnings of PCMP is provided based on findings from XPS and Raman spectroscopy. The process is initiated with the reaction of the quartz glass surface with the aqueous solution to form the silanol groups. Subsequently, the silanol groups react with  $\text{OH}^-$  ions sourced from the  $\text{Na}_2\text{CO}_3$  aqueous solution, generating  $\text{SiO}_3^{2-}$  species in an alkaline environment. Simultaneously, under the photocatalytic conditions, the  $\text{TiO}_2$  shell of  $\text{SiO}_2@\text{TiO}_2$  composite abrasive particles is excited by using a xenon lamp source, leading to the increased production of hydroxyl radicals on the quartz glass surface. This result contributes to the formation of a thicker chemical reaction layer. Additionally,  $\text{H}_2\text{O}_2$  also promotes the dehydrogenation reaction of silanol, further producing  $\equiv\text{Si}-\text{O}-\text{Si}\equiv$  bonds accelerating the reaction rate. During the PCMP process, the generated silicates are effectively removed by  $\text{SiO}_2@\text{TiO}_2$  abrasives, resulting in the remarkably smooth quartz glass surface.

## Data availability

The authors are unable or have chosen not to specify which data have been used.

## Author contributions

Yuanhang Fan: investigation, formal analysis, data curation. Zhenyu Zhang: funding acquisition, visualization, project administration, conceptualization, supervision. Jiaxin Yu: investigation, formal analysis, data curation. Xingqiao Deng: investigation, formal analysis. Chunjing Shi: formal analysis, data curation.

## Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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