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# High-throughput prediction of oxygen vacancy defect migration near misfit dislocations in SrTiO<sub>3</sub>/BaZrO<sub>3</sub> heterostructures†

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Among their numerous technological applications, semi-coherent oxide heterostructures have emerged as promising candidates for applications in intermediate temperature solid oxide fuel cell electrolytes, wherein interfaces influence ionic transport. Since misfit dislocations impact ionic transport in these materials, oxygen vacancy formation and migration at misfit dislocations in oxide heterostructures are central to their performance as an ionic conductor. Herein, we report high-throughput atomistic simulations to predict thousands of activation energy barriers for oxygen vacancy migration at misfit dislocations in SrTiO<sub>3</sub>/BaZrO<sub>3</sub> heterostructures. Dopants display a noticeable effect as higher activation energies are uncovered in their vicinity. Interface layer chemistry has a fundamental influence on the magnitude of activation energy barriers since they are dissimilar at misfit dislocations as compared to coherent terraces. Lower activation energies are uncovered when oxygen vacancies migrate toward misfit dislocations, but higher energies when they hop away, revealing that oxygen vacancies would get trapped at misfit dislocations and impact ionic transport. The results herein offer atomic scale insights into ionic transport at misfit dislocations and fundamental factors governing the ionic conductivity of thin film oxide electrolytes.

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## 1. Introduction

Complex oxide heterostructures,<sup>1–3</sup> an intriguing class of materials fabricated by combining two dissimilar oxides, exhibit superior and emergent properties<sup>4–6</sup> than their individual constituents. They have found promising applications in a wide range of technologies that include solid oxide fuel cells (SOFCs),<sup>7,8</sup> radiation tolerant nuclear materials,<sup>9</sup> information storage,<sup>10</sup> batteries,<sup>11</sup> and catalysts.<sup>12</sup> SOFCs are electrochemical conversion devices that produce electricity directly by oxidizing a fuel.<sup>13–15</sup> They are highly efficient and have the potential to achieve our society's ambition of transitioning from fossil fuels to clean generation of electrical power. Due to the ever-growing miniaturization of advanced nanotechnologies such as SOFCs, the role of surfaces and interfaces has never been more critical.

In SOFCs, solid ceramic oxide electrolyte is one of the most important components since it facilitates the passage of oxide ions from the cathode to the anode. Since high ionic

conductivity is imperative for the development of next-generation SOFCs, significant research efforts have been dedicated toward the discovery and design of electrolytes that allow faster ionic diffusion.<sup>15</sup> Since high operating temperatures lead to issues with stability and durability, widespread deployment of SOFC technology necessitates lowering the operating temperatures to the intermediate-temperature (IT-SOFC) range of 773–973 K.<sup>7,16</sup> One promising option to lower the operating temperature is *via* implementation of thin film oxide electrolytes (oxide heterostructures), which exhibit superior performance at lower temperatures and are poised to take over as the next-generation IT-SOFC electrolytes.<sup>17</sup> However, their widespread use requires the basic understanding of the role of interfaces in shaping enhanced performance.<sup>15,16</sup>

Depending on the lattice mismatch, in semi-coherent oxide heterostructures, misfit dislocations originate at the hetero-interface to mitigate the misfit strain between the two mismatched oxides. Since misfit dislocations are inevitable and responsible for controlling vital material properties,<sup>8,18–20</sup> several studies have focused on investigating their role in semi-coherent oxide heterostructures. Nonetheless, their impact on ionic transport is not well understood since studies focused on elucidating the atomic and electronic structure of misfit dislocations are limited due to challenges in studying and characterizing individual columns of atoms at the heterointerface. Owing to their

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fundamental character and influence on material properties, recent computational and experimental studies have focused on thorough investigation of the structure–property relationships at misfit dislocations in oxides.

Perovskite oxide heterostructures have gained popularity due to their versatility in diverse technologies, including SOFCs. Depending on the desired application, perovskites are often doped to enhance magnetic,<sup>21</sup> ionic,<sup>22</sup> electronic,<sup>23</sup> optical, and catalytic<sup>24</sup> properties. Among various perovskite oxides, SrTiO<sub>3</sub> (STO)<sup>25</sup> and BaZrO<sub>3</sub> (BZO) have been frequently studied, wherein doping is used to tune their functionality. For instance, perovskite oxide heterostructures have been studied as model systems for understanding ionic transport across oxide interfaces. Due to the compositional flexibility of perovskite lattice (ABO<sub>3</sub>), dopants can replace either A-site, B-site, or O-site ions. Since our present work is mainly focused on the fundamental aspects of oxygen vacancy migration in STO/BZO heterostructures, we will limit the discussion to acceptor doping (p-type).<sup>26</sup> Acceptor doping, wherein the B-site cations, either Ti<sup>4+</sup> in STO or Zr<sup>4+</sup> in BZO, could be replaced with trivalent dopants has been extensively studied in STO<sup>27</sup> and BZO<sup>28</sup> since it leads to the formation of oxygen vacancies to maintain the defect equilibria.

Widespread use of the acceptor doping strategy in perovskites is evident from the literature, wherein a multitude of aliovalent dopants have been added to improve the properties of STO<sup>29–36</sup> and BZO.<sup>28,37,38</sup> Unfortunately, the added impurities do not come without challenges since they induce physical and chemical changes in the materials by segregating at surfaces and interfaces. Segregation behavior depends on size mismatch and charge interactions,<sup>39</sup> formation of space-charge layers at interfaces,<sup>40</sup> and on growth conditions and post-deposition annealing procedures.<sup>41</sup> Since segregated additives could lead to deleterious effects on material stability and performance, the role of dopants and associated vacancies in complex oxides have been studied extensively. In fast ion conductors, the influence of dopants is even more critical since they are primarily introduced to increase the oxygen vacancy concentration, but their presence on the cation sublattice alters the oxygen vacancy migration barriers on the anion sublattice. Oxygen vacancy formation<sup>42</sup> and migration<sup>43–49</sup> in various perovskite oxides have been reported, wherein density functional theory (DFT) calculations and simulations based on interatomic potentials have been the method of choice. These results demonstrate how dopants can influence oxygen vacancy migration in bulk perovskites.

From a fundamental perspective, acceptor doping has been extensively used to improve the properties of bulk STO and bulk BZO. Nonetheless, in perovskite heterostructures, especially near misfit dislocations, how dopants influence the migration pathways of these vacancies is not well understood since the majority of reported work is focused on either bulk STO or bulk BZO. At interfaces, it is imperative to understand the basic role of trivalent dopants and associated oxygen vacancies that are responsible for ionic conduction. To the best of our knowledge, there are no studies in the literature that report oxygen vacancy migration near misfit dislocations in STO/BZO heterostructures.

Since STO<sup>50</sup> and BZO<sup>28</sup> have key technological applications that include SOFC electrolytes,<sup>8,51–53</sup> oxide heterostructures synthesized using either of them have garnered significant research interest.<sup>54–56</sup> To understand the ionic transport mechanisms in a model oxide heterostructure used for SOFC electrolytes, basic knowledge of oxygen vacancy migration pathways in the vicinity of misfit dislocations is crucial. One of the main reasons for the lack of understanding of ionic transport mechanisms is the challenges in experimentally visualizing dopant atoms, characterizing oxygen vacancies, and resolving the structure and chemistry of misfit dislocations. The problem is further compounded as even from a theoretical perspective, calculations that utilize DFT are limited to coherent interfaces to keep the supercell size tractable.<sup>57</sup> As a result, DFT calculations are not optimal to study oxygen vacancy migration at misfit dislocations, which necessitate very large supercells. In this regard, atomistic simulations based on empirical interatomic potentials are valuable in offering atomic scale insights into dopants and defects at misfit dislocations in oxide heterostructures.<sup>29</sup>

In perovskite oxides, defects could typically introduce ligand holes, which could further lead to altered magnetic properties as observed in STO.<sup>58</sup> In addition, oxygen vacancy formation and migration in perovskites could be influenced by changes in oxidation states of added impurities having mixed valence, which could offer routes for tailoring mixed ionic electronic conductors in SOFCs.<sup>59</sup> However, we strictly focus on studying the role of defects (oxygen vacancies) that are formed to maintain the defect equilibria after incorporation of trivalent dopants that do not exhibit mixed valence. As the current work is focused on studying the qualitative behavior of the interface governed ionic transport, emphasis on fixed ionic charges of metals (dopant and host) and resulting oxygen vacancies is rational since the oxygen vacancy diffusion mechanism is the primary mechanism for ionic conductivity in SOFC electrolytes.<sup>7,8</sup>

In cases where perovskite oxide heterostructures are implemented as model systems for SOFC electrolytes, knowledge of oxygen vacancy migration pathways in influencing the ionic transport would be beneficial. To achieve this goal, we used atomistic simulations in conjunction with high-throughput computing to predict thousands of activation energy barriers for oxygen vacancy migration in the model system of the STO/BZO heterostructure,<sup>60</sup> with emphasis on misfit dislocations and the interface region. We studied oxygen vacancy migration pathways at SrO–ZrO<sub>2</sub>, BaO–TiO<sub>2</sub>, and ZrO<sub>2</sub>–TiO<sub>2</sub> interfaces having dissimilar misfit dislocation structures.<sup>60</sup> The main goal of this work is to offer atomic scale insights pertaining to the migration behavior of oxygen vacancies near misfit dislocations and shed light on the potential influence of differing misfit dislocation structures on ionic conductivity.

## 2. Methodology

Atomistic simulations with 3D periodic boundary conditions (PBC) were conducted within the framework of large-scale atomic/molecular massively parallel simulator (LAMMPS).<sup>61</sup>



The simulations are based on energy minimization using a classical Born-like description of an ionic solid. Parameterized Buckingham<sup>62</sup> pair potentials given by eqn (1) were utilized to describe the two-body short-range interactions, whereas interactions due to the long-range coulombic (electrostatic) forces were calculated by means of Ewald's method.<sup>63</sup>

$$U(r) = A e^{\left(\frac{\rho}{r}\right)} - \frac{C}{r^6} + \frac{q_1 q_2}{4\pi\epsilon_0 r} \quad (1)$$

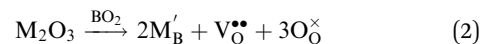
Here,  $A$ ,  $\rho$ , and  $C$  are the potential parameters fitted to match the experimental data for a given pair of atoms. The first two terms model Pauli repulsion and van der Waals attraction, respectively, whereas the last term represents the Coulomb potential between ions. These pair potentials are not polarizable, so charge fluctuations are not allowed within this formalism.

Parameters for the Buckingham pair potential as derived by Busker *et al.*<sup>64</sup> and Zacate *et al.*<sup>65</sup> were used for  $\text{Sr}^{2+}$ ,  $\text{Ti}^{4+}$ ,  $\text{Ba}^{2+}$ ,  $\text{Zr}^{4+}$ ,  $\text{O}^{2-}$ , and  $\text{Gd}^{3+}$ . These interatomic potential parameters were chosen since all the divalent, trivalent, and tetravalent cation interactions were fitted against the same  $\text{O}^{2-}-\text{O}^{2-}$  potential. Though the potential parameters used in this work were derived for bulk perovskites, they are expected to qualitatively capture the interface effects. The methodology used in this work has been successfully used to study a wide variety of oxide heterostructures.<sup>29,54–57,60</sup>

Atomic models of the STO/BZO heterostructure were constructed for the experimentally observed cube-on-cube orientation relationship, wherein  $(001)_{\text{STO}} \parallel (001)_{\text{BZO}}$  interface and  $[010]_{\text{STO}} \parallel [010]_{\text{BZO}}$ .<sup>66</sup> Since lattice parameters are  $a_{\text{STO}} = 3.905 \text{ \AA}$  and  $a_{\text{BZO}} = 4.197 \text{ \AA}$ , the lattice mismatch ( $\sim 7.0\%$ , computed with respect to a BZO substrate) resulting from this orientation relationship is accommodated *via* the formation of misfit dislocations at the heterointerface. In these atomic models, 14 unit cells of STO were matched with 13 unit cells of BZO to ensure a heterostructure with a negligible amount of extrinsic strain. The supercell model for heterostructures comprises of 21 900 atoms. *Via* cleaving STO at either the neutral SrO or the  $\text{TiO}_2$  layer and BZO at either the neutral BaO or the  $\text{ZrO}_2$  layer, there are four combinations of heterointerfaces possible, namely SrO–BaO, SrO– $\text{ZrO}_2$ ,  $\text{TiO}_2$ –BaO, and  $\text{TiO}_2$ – $\text{ZrO}_2$ . For these four fully stoichiometric heterostructures, constant temperature and pressure (*NPT*) simulations were performed using the conjugate gradient method for internal coordinate and stress minimization using the Nosé–Hoover thermostat at 0 K and zero pressure to obtain a relaxed structure free from internal stresses. The 0 K relaxed structures were further annealed at 1000 K.

A wide variety of trivalent dopants can be added to improve the properties of perovskites, as they have a net effective charge that affects the electroneutrality condition, and thereby the defect equilibria.<sup>29–31,37</sup> In perovskites, trivalent dopants can replace either of the cations on the A and B sublattices. For instance, in STO and BZO, donor doping (n-type) can be carried out by replacing  $\text{Sr}^{2+}$  or  $\text{Ba}^{2+}$  with trivalent dopants, whereas replacing  $\text{Ti}^{4+}$  or  $\text{Zr}^{4+}$  with trivalent dopants leads to acceptor doping (p-type).<sup>26</sup> Since the current work is focused on ionic

transport in perovskite heterostructures, we have exclusively studied acceptor doping. The defect reaction governing the addition of trivalent dopants to the  $\text{TiO}_2$  (STO) or  $\text{ZrO}_2$  layer (BZO) can be expressed in Kröger–Vink notation as:



where  $\text{V}_{\text{O}}^{\bullet\bullet}$ , B, and M correspond to the oxygen vacancy, B-site cations (either  $\text{Ti}^{4+}$  or  $\text{Zr}^{4+}$ ) and trivalent dopants ( $\text{Gd}^{3+}$ ), respectively. Owing to ionic compensation, this reaction indicates that the substitution of two B-site cations with trivalent dopants will result in the formation of one oxygen vacancy. The formation and migration of oxygen vacancy are at the heart of our work, so we will strictly focus on its migration in the vicinity of  $\text{Gd}^{3+}$  dopants at various interfaces for diverse nearest neighbor scenarios. In p-type oxides used for catalysis, surface oxygen vacancies become doubly positively charged, which lowers their formation energy and results in a higher defect concentration.<sup>67</sup> In addition, this study reports that space-charge regions impact the concentration of oxygen vacancies at oxide surfaces. The type of vacancy in this study<sup>67</sup> is consistent with the current work. However, studying the fundamental impact of space-charge regions at such complex interfaces is beyond the scope of this work since we study doping scenarios that result in dilute oxygen vacancy concentrations. Furthermore, we explicitly study the role of oxygen vacancies in the neighborhood of trivalent dopants, which is not the case in the study by Richter *et al.*,<sup>67</sup> since it neglects the role of dopant-defect clusters.

It is anticipated that DFT calculations would offer a better description of migration pathways by including electronic effects in perovskites. However, these calculations are beyond the scope of this work primarily due to computational time and system size, both of which enter the realm of the impossible when it comes to employing DFT since the supercell size in this work is  $\sim 9.88 \times 5.47 \times 5.47 \text{ nm}^3$  (21 900 atoms). Furthermore, we recently computed the electronic structure and predicted the atomic scale structure of misfit dislocations at  $\text{CeO}_2/\text{MgO}$  heterostructures using DFT<sup>68</sup> and compared it with atomistic simulations.<sup>69</sup> We found that the atomic structure and qualitative trends for dopant-defect cluster stability predicted using DFT and atomistic simulations agree very well. This agreement not only validates the current approach, but also reveals that the underlying assumptions of the method remain truthful.

A usual critique of the methodology based on interatomic potentials used in this work is that they are unsuitable for chemical environments for which they are not fitted, such as interfaces and transition states for migration barrier calculations. However, it is important to note that we have rigorously tested the methodology implemented in this work and successfully utilized it to understand the thermodynamics and kinetics of defects at interfaces,<sup>29,54,55,60,69,70</sup> surfaces,<sup>71,72</sup> steps,<sup>56,73</sup> and grain boundaries<sup>74–76</sup> in complex oxides. In addition, we have effectively used this methodology to study structure–property relationships at misfit dislocations, as well as computed migration barriers in an intricate chemical environment.<sup>55</sup>



Crucially, we have used classical forcefields to interpret the experimental observations by predicting qualitative structure–property trends and basic mechanisms at oxide interfaces, which includes perovskites.

To tackle the computation of a vast amount of activation energy barriers at asymmetric interfaces, hundreds for a single interface, and hundreds of thousands for an entire structure, we developed a high-throughput framework using both custom software tools and LAMMPS. High-throughput nudged elastic band (NEB) simulations were performed to map out energy barriers for oxygen vacancy jumps in many possible scenarios. Initial effort was focused on mapping out all nearest-neighbor jumps within one doped interface layer at a time. However, later scenarios eventually moved to mapping such barriers across the entire structure. This framework enabled rapid preparation and mapping of entire scenarios with varying interface chemistry and placement of dopants and vacancies. The approach starts by assigning ten dopants and five resulting vacancies. Later, energy minimization was performed before passing the result onto high-throughput NEB simulations, wherein one moving and four static oxygen vacancies were assigned to the structure, and the NEB simulation was allowed to run its course. Each NEB trajectory consisted of seven replicas with energy and force tolerances of  $10^{-6}$  eV and  $10^{-6}$  eV  $\text{\AA}^{-1}$ , respectively.

### 3. Results & discussion

#### 3.1 Atomic scale structure of the interface

In STO/BZO heterostructures having experimentally observed cube-on-cube orientation relationship, four different types of interfaces are possible depending on the interface layer chemistries involved in the formation of the interface. Fig. 1 depicts the minimized and subsequently annealed structures of four possible STO/BZO interfaces, which are SrO–BaO, SrO–ZrO<sub>2</sub>, BaO–TiO<sub>2</sub>, and ZrO<sub>2</sub>–TiO<sub>2</sub> interfaces. In Fig. 1, the heterostructures are shown along the interface normal direction with the relaxed supercells extended in the  $x$  and  $y$  direction ( $2 \times 2 \times 1$ ) to clearly illustrate the misfit dislocation network indicated *via* black lines. We predict that SrO–BaO, SrO–ZrO<sub>2</sub>, and TiO<sub>2</sub>–BaO interfaces have a dislocation structure with Burgers vector of  $\vec{b} = \langle 100 \rangle$ , whereas the TiO<sub>2</sub>–ZrO<sub>2</sub> interface exhibits a misfit dislocation structure with Burgers vector of  $\vec{b} = \langle 110 \rangle$ , which is in agreement with the qualitative prediction reported using a heuristic approach.<sup>60</sup> While experiments have reported perovskite heterostructures with cube-on-cube epitaxy,<sup>18,66,77</sup> dependence of misfit dislocation structure on interface layer chemistry is not well understood. Since these differing misfit dislocation structures as a function of interface chemistry are expected to influence interface-governed properties,<sup>29,54</sup> these results reveal the importance of understanding such fundamental aspects of interface formation.

A closer inspection of the minimized interface atomic arrangement reveals that there are commonalities as well as dissimilarities between the four interfaces. The four STO/BZO

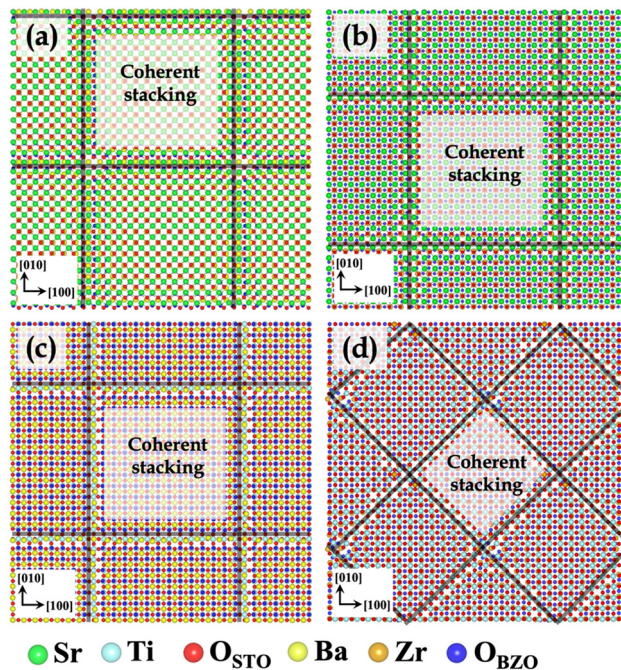


Fig. 1 Minimized and subsequently annealed STO/BZO interfaces. (a) SrO–BaO interface (b) SrO–ZrO<sub>2</sub> interface (c) BaO–TiO<sub>2</sub> interface and (d) TiO<sub>2</sub>–ZrO<sub>2</sub> interface. The view is normal to the interface plane. In (a), (b) and (d), the STO layer is shown on top, whereas in (c), BZO layer is shown on top for a better contrast. Coherent stackings are shown for clarity. Black lines indicate the misfit dislocation network. Atomic colors are given below the figure panel. Oxygen ions in STO and BZO are colored differently for clarity.

heterostructures (Fig. 1) constitute different types of bonding and atomic stacking at the interface. The coherent stackings were distinguished from the misfit dislocations based on disregistry of atoms in a single layer across the interface. In all the cases, coherent stackings were identified roughly within 1–2 lattice constants of the visibly dislocated area. From here on, we will refer to O<sub>STO</sub> (red) and O<sub>BZO</sub> (blue) as oxygen atoms in STO and BZO layers, respectively. To describe the various stackings, atomic pairs across the interface will be denoted by the  $\{/\}$  notation. That is,  $\{\text{Sr}/\text{O}_{\text{BZO}}\}$  indicates that the Sr atom from STO is coordinated (paired) with an oxygen atom in BZO. Notation  $[ ]$  indicates that the particular atomic species does not have any coordinating atom (nearest neighbor bonding species) across the interface.

For the four interfaces (Fig. 1), the coherent terrace comprises of the following nearest neighbor environments: (i) SrO–BaO interface:  $\{\text{Sr}/\text{O}_{\text{BZO}}\}$  and  $\{\text{O}_{\text{STO}}/\text{Ba}\}$  (ii) SrO–ZrO<sub>2</sub> interface:  $\{\text{Sr}/[ ]\}$ ,  $\{\text{O}_{\text{STO}}/\text{Zr}\}$ , and  $\{[ ]/\text{O}_{\text{BZO}}\}$  (iii) TiO<sub>2</sub>–BaO interface:  $\{\text{Ti}/\text{O}_{\text{BZO}}\}$ ,  $\{\text{O}_{\text{STO}}/[ ]\}$ , and  $\{[ ]/\text{Ba}\}$  (iv) TiO<sub>2</sub>–ZrO<sub>2</sub> interface:  $\{\text{Ti}/\text{O}_{\text{BZO}}\}$ ,  $\{\text{O}_{\text{STO}}/\text{Zr}\}$ ,  $\{[ ]/\text{O}_{\text{BZO}}\}$ , and  $\{\text{O}_{\text{STO}}/[ ]\}$ . Evidently, the coherent terraces constitute favorable electrostatic interactions at the four interfaces. The intermediate regions separating the coherent terraces consist of the misfit dislocation lines and intersections. In contrast to the coherent terraces, they exhibit favorable (anion–cation) as well as unfavorable (cation–cation and anion–anion) electrostatic interactions. Repulsive interactions lead to chemical



frustration at the interfaces, which are often conducive to defect formation.<sup>54,56</sup> Such intricate interface chemical bonding due to the existence of misfit dislocations is observed in other semi-coherent oxide interfaces but has not received much attention in semi-coherent perovskite–perovskite interfaces.<sup>60</sup>

### 3.2 Defect migration at interfaces

Oxygen vacancy formation and migration in SOFC electrolytes are fundamental to their performance as an ionic conductor. We have used STO/BZO as a model system to study oxygen vacancy migration at interfaces and elucidate the influence of misfit dislocations on ionic transport in thin film oxide electrolytes. To investigate the fundamental factors influencing the ionic conductivity at interfaces, we have developed a high-throughput strategy to compute thousands of nearest neighbor activation energies for oxygen vacancy migration in the vicinity of  $Gd^{3+}$  dopants at STO/BZO interfaces. The computation of the rate of these events will facilitate the future development of a kinetic lattice Monte Carlo (KLMC) model<sup>78</sup> for vacancy diffusion at oxide heterointerfaces, which will further allow for the determination of oxygen ion conductivity. Although the migration energy barriers are calculated at 0 K, temperature effects will be included in the KLMC simulations to understand the trends in ionic conductivity as a function of temperature. We have used a similar strategy to study and design bulk electrolyte materials as well as compare with experimental observations.<sup>78,79</sup>

As mentioned earlier, we strictly focus on doping the  $TiO_2$  or  $ZrO_2$  layer for ionic conductivity applications. Moreover, since we are primarily interested in the interplay between dopants and oxygen vacancies precisely at the interface layer, the SrO–BaO interface has not been considered for studying oxygen vacancy migration. For SrO– $ZrO_2$ ,  $TiO_2$ –BaO, and  $TiO_2$ – $ZrO_2$  (both sides) interfaces, we studied 4 different configurations for placing 10 dopants and 5 resulting vacancies. Among these configurations, 3 were chosen with dopants placed as nearest neighbors, whereas in the fourth configuration, dopants were isolated. In a large heterostructure supercell with thousands of cations and anions, (21 900 atoms in the current system) 10 dopants indicate a rather dilute doping limit. Since we have deliberately placed all the dopants at the interface layer, the dopant fraction of the interface layer is a bit higher ranging from 1.7–1.9%, which is nevertheless in the dilute limit, but reasonable for comparison with realistic scenarios. Nonetheless, the low dopant and vacancy fraction studied in this work can assist in unravelling the atomic scale mechanisms for vacancy migration at interfaces. *Via* high-throughput simulations, for each of these interface configurations, ~1000–1400 nearest neighbor barriers were computed for a single side of the interface. In two different cases, the full structure was studied, which accounted for ~100 000 nearest neighbor barriers. In total, ~250 000 activation energy barriers were computed to obtain a comprehensive understanding of oxygen vacancy migration.

Given in Fig. 2a are 8 possible nearest neighbor jump directions for the oxygen vacancy starting from  $BO_2$  ( $B = Ti, Zr$ ) layer. In Fig. 2b, the normal view of the  $BO_2$  layer is offered, which depicts the 4 nearest neighbor pathways for in-layer

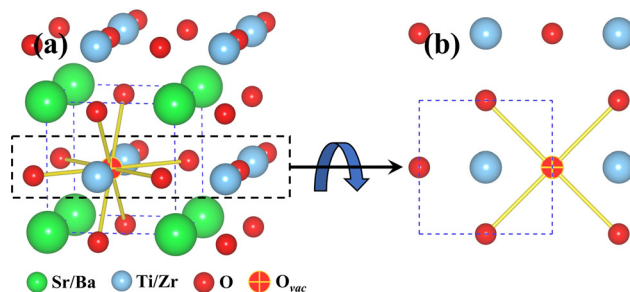
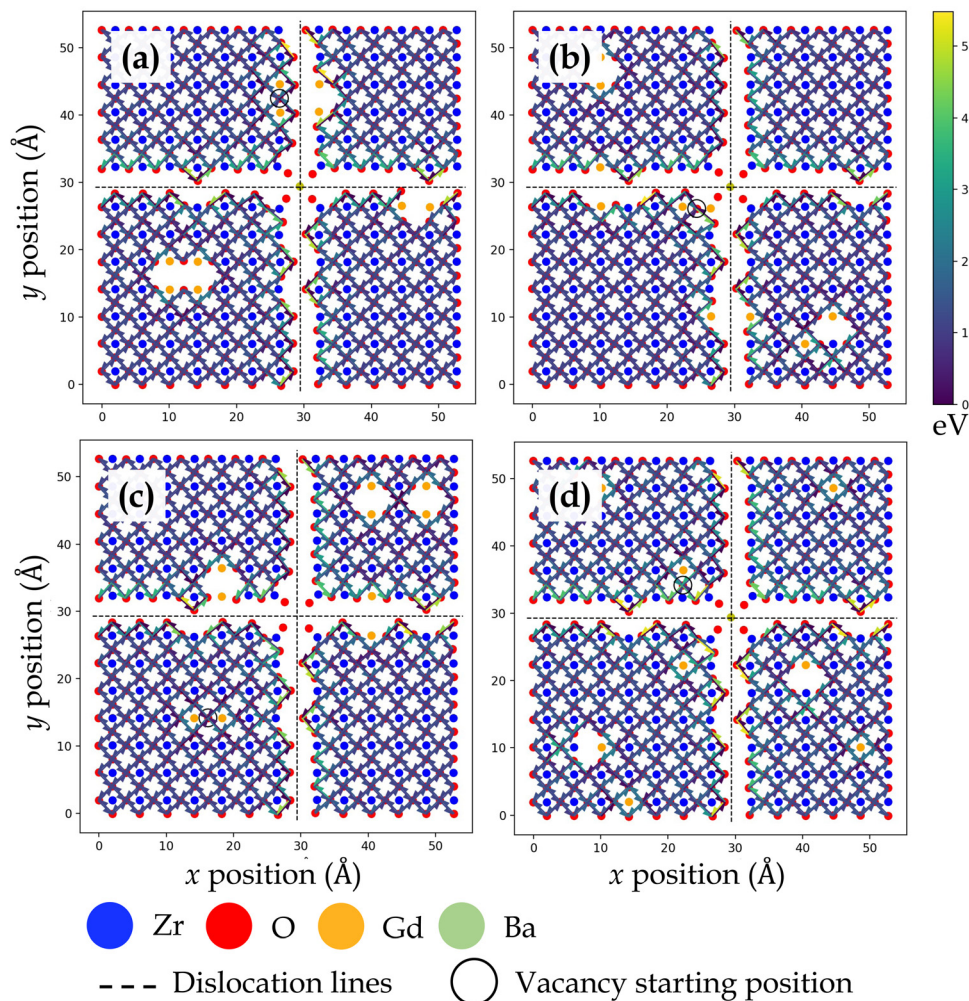


Fig. 2 (a) Possible 8 nearest neighbor jump directions for an oxygen vacancy in either  $TiO_2$  (STO) layer or  $ZrO_2$  (BZO) layer are shown. (b) Normal view for the  $TiO_2$  or  $ZrO_2$  layer depicting the 4 nearest neighbor in-layer jump directions. These 4 directions will be used in the following figures to show the magnitude of activation energy barriers on each side of the heterointerface. The atomic color scheme is given below the figure.

migration of an oxygen vacancy. Since the focus is on understanding  $BO_2$  layer migration pathways specifically at the interface, data showing activation energy barriers for oxygen vacancy migration will be shown for the network consisting of the 4 pathways given in Fig. 2b. It is important to note that though activation energy barriers were computed for vacancy migration throughout the supercell, we limit the discussion to interface layer. This strategy ensures that we capture the effect of misfit dislocations and their interplay with dopants and oxygen vacancies.

Fig. 3, 4, 5 and 6 display the activation energies for nearest neighbor oxygen vacancy migration jumps in the vicinity of different dopant distributions at  $ZrO_2$  side of SrO– $ZrO_2$ ,  $TiO_2$  side of  $TiO_2$ –BaO,  $TiO_2$  side of  $TiO_2$ – $ZrO_2$ , and  $ZrO_2$  side of  $TiO_2$ – $ZrO_2$  interfaces, respectively. Migration barriers connecting longer jumps (longer than the next nearest neighbor vacancy hops) across the misfit dislocations were not computed, which is evident in all figures. In these figures, for respective configurations given in a, b, and c, the gadolinium dopants are placed in nearest neighbor pairs, whereas in d, they are isolated. The primary reason to place dopants in pairs at nearest neighbors to the oxygen vacancy is that this configuration was found to be most favorable energetically at misfit dislocations in STO/BZO heterostructures.<sup>70</sup> Activation energies for oxygen vacancy migration are depicted *via* arrows pointing in a particular jump direction and their colors indicate the absolute magnitude given in the respective energy scale. The activation energies reported in this work are a bit higher in magnitude, especially near misfit dislocations. However, interfaces are likely to induce such high barriers since high activation energy barriers from DFT have also been recently reported for perovskite surfaces.<sup>48</sup> Furthermore, activation energies for oxygen vacancy migration in bulk STO and bulk BZO were found to be 1.20 eV and 1.0 eV, respectively. The computed value for STO is in reasonable agreement with reported DFT,<sup>80</sup> atomistic simulations,<sup>81</sup> and experimental values,<sup>82</sup> which offers confidence in the current method. In addition, it has been shown that the methodology used in this work is effective in predicting transport mechanisms in perovskites.<sup>83</sup> Vitaly, the main aim of





**Fig. 3** Activation energy barriers for oxygen vacancy defect migration on the  $\text{ZrO}_2$  side of the  $\text{SrO-ZrO}_2$  interface. In (a)–(c), two  $\text{Gd}^{3+}$  dopants are placed next to the oxygen vacancy in different locations. In (d), each  $\text{Gd}^{3+}$  dopant ion is isolated, but the total number of dopants and resultant oxygen vacancy concentration is consistent with previous configurations. The atomic color scheme is given below the figure panel and the energy scale is given on the right in Fig. 3–6. The vacancy starting location is indicated with an open circle and misfit dislocations are indicated by dashed lines. The view is normal to the interface, same as in Fig. 1.

this work is to offer physically meaningful qualitative trends to describe the behavior of oxygen vacancies at misfit dislocations, which necessitate large supercells that are not feasible in DFT calculations.<sup>57</sup> In this section, we will strictly focus on qualitative trends and discuss their implications.

$\text{TiO}_2$ – $\text{BaO}$  and  $\text{SrO-ZrO}_2$  interfaces have comparable chemical environments due to  $\text{AO-BO}_2$  interface chemistry. At the  $\text{ZrO}_2$  side of  $\text{SrO-ZrO}_2$  interface (Fig. 3), throughout the coherent (Fig. 1b) terrace (stacking), activation energies are comparable in magnitude. Analogously, at the  $\text{TiO}_2$  side of  $\text{TiO}_2$ – $\text{BaO}$  interface (Fig. 4), activation energies are more or less similar in magnitude throughout the coherent terrace (Fig. 1c). This can be understood in terms of similar nearest neighbor environments in coherent terraces in  $\text{SrO-ZrO}_2$  and  $\text{TiO}_2$ – $\text{BaO}$  interfaces. For respective coherent regions in  $\text{SrO-ZrO}_2$  and  $\text{TiO}_2$ – $\text{BaO}$  interfaces,  $\text{O}_{\text{BZO}}$  and  $\text{O}_{\text{STO}}$  do not have a nearest neighbor cation or anion across the interface, which results in similar barrier heights when oxygen vacancies hop around

in this landscape. That is, oxygen vacancy migration from  $\{[ ]/\text{O}_{\text{BZO}}\} \rightarrow \{[ ]/\text{O}_{\text{BZO}}\}$  and  $\{\text{O}_{\text{STO}}/[ ]\} \rightarrow \{\text{O}_{\text{STO}}/[ ]\}$  exhibit similar migration tendencies due to comparable nearest neighbor environments across the interface. The barrier height for a given vacancy to migrate in one direction and hop back to the same site (forward and backward jumps) is nearly equal except for the case where the vacancy is next to the dopants. Though the interface layers in the coherent terrace have somewhat more open space as compared to the grain interior, the migration barriers are comparable to those computed in the bulk.

Near misfit dislocations in  $\text{SrO-ZrO}_2$  and  $\text{TiO}_2$ – $\text{BaO}$  interfaces, the migration tendencies of oxygen vacancies are different than those observed in the coherent regions. At  $\text{SrO-ZrO}_2$  (Fig. 3) and  $\text{TiO}_2$ – $\text{BaO}$  (Fig. 4) interfaces, oxygen vacancies exhibit lower activation energies migrating toward the misfit dislocations but higher energies migrating away from them. At the  $\text{TiO}_2$ – $\text{BaO}$  interface, a characteristic difference emerges at the column of atoms next to misfit dislocations as oxygen



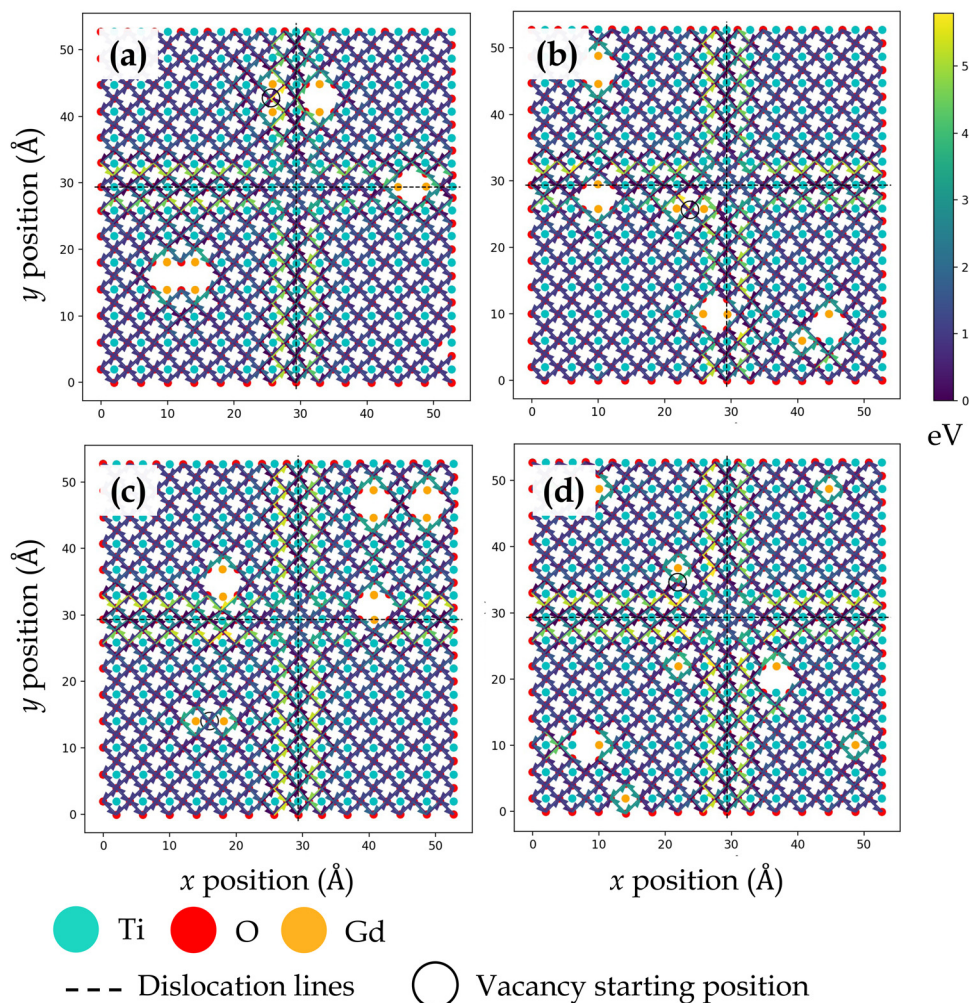


Fig. 4 Activation energy barriers for oxygen vacancy defect migration on the  $\text{TiO}_2$  side of the  $\text{BaO-TiO}_2$  interface. In (a)–(c), two  $\text{Gd}^{3+}$  dopants are placed next to the oxygen vacancy in different locations. In (d), each  $\text{Gd}^{3+}$  dopant ion is placed isolated, but the total number of dopants and resultant oxygen vacancy concentration is consistent with previous configurations.

vacancies reveal higher barriers migrating toward this column, but lower barriers migrating away from it, creating a distinct pattern in the spread of activation energies near misfit dislocations. In general, this trend reveals that oxygen vacancies might get trapped since high thermal energy would be necessitated to overcome these barriers on either side of the column. The barriers for in-layer jumps across the misfit dislocations on the  $\text{ZrO}_2$  side of  $\text{SrO-ZrO}_2$  (Fig. 3) interface were not computed because the jump distances involved are larger than the next neighboring distances.

At  $\text{TiO}_2$  and  $\text{ZrO}_2$  side of the  $\text{TiO}_2\text{-ZrO}_2$  interface, both layers across the interface have comparable chemical environments due to  $\text{BO}_2\text{-BO}_2$  interface chemistry. At  $\text{ZrO}_2$  side (Fig. 5) and  $\text{TiO}_2$  side (Fig. 6), oxygen vacancy migration in coherent terraces reveals a peculiar trait owing to oxygen ions having two types of nearest neighbor environment across the interface (Fig. 1d). At the  $\text{ZrO}_2$  side, the coherent terrace contains  $\{\text{Ti}/\text{O}_{\text{BZO}}\}$  and  $\{[\ ]/\text{O}_{\text{BZO}}\}$  nearest neighbors, whereas at the  $\text{TiO}_2$  side, it involves  $\{\text{O}_{\text{STO}}/\text{Zr}\}$  and  $\{\text{O}_{\text{STO}}/[\ ]\}$ . At the  $\text{ZrO}_2$  side,

when oxygen vacancy migrates from neighborhood  $\{\text{Ti}/\text{O}_{\text{BZO}}\} \rightarrow \{[\ ]/\text{O}_{\text{BZO}}\}$ , the migration barriers are low, but when it migrates from  $\{[\ ]/\text{O}_{\text{BZO}}\} \rightarrow \{\text{Ti}/\text{O}_{\text{BZO}}\}$  or for the reverse jump, the migration barriers are high. An analogous trend is uncovered at the  $\text{TiO}_2$  side, wherein the vacancy migration from neighborhood  $\{\text{O}_{\text{STO}}/\text{Zr}\} \rightarrow \{\text{O}_{\text{STO}}/[\ ]\}$  involves low barriers, but the reverse jump or migration from  $\{\text{O}_{\text{STO}}/[\ ]\} \rightarrow \{\text{O}_{\text{STO}}/\text{Zr}\}$  exhibit high barriers. Because one potential explanation for the observed trend can be offered on the basis of oxygen vacancy formation, we computed relative oxygen vacancy formation energies for a few cases at the interface layers in  $\text{TiO}_2\text{-ZrO}_2$  interface. Oxygen vacancy formation was found to be significantly more favorable at neighborhoods  $\{[\ ]/\text{O}_{\text{BZO}}\}$  and  $\{\text{O}_{\text{STO}}/[\ ]\}$  as compared to  $\{\text{Ti}/\text{O}_{\text{BZO}}\}$  and  $\{\text{O}_{\text{STO}}/\text{Zr}\}$ , respectively. The observed outcome is plausible since it is energetically favorable to remove an oxygen ion from a site where it has no nearest neighbor across the interface ( $\{[\ ]/\text{O}_{\text{BZO}}\}$  or  $\{\text{O}_{\text{STO}}/[\ ]\}$ ) as compared to the location where it has a counterion ( $\{\text{Ti}/\text{O}_{\text{BZO}}\}$  or  $\{\text{O}_{\text{STO}}/\text{Zr}\}$ ) in an electrostatically favorable region. This result



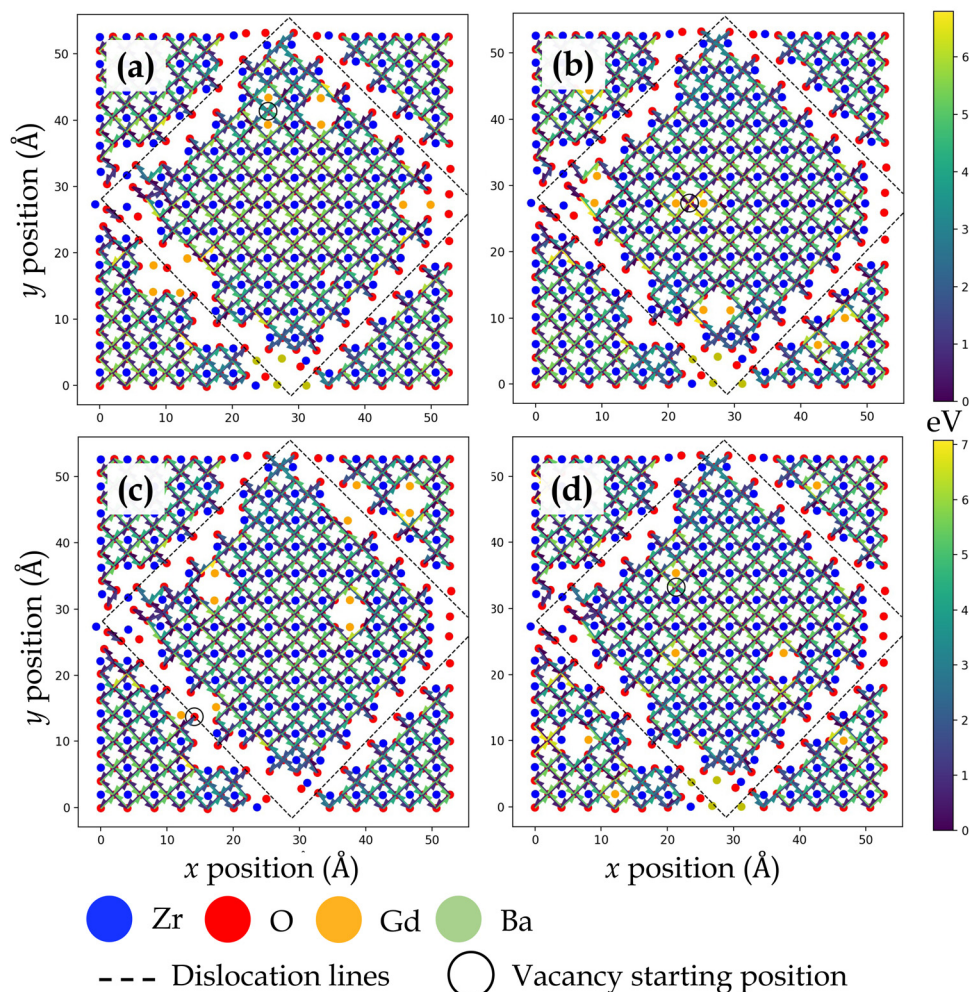


Fig. 5 Activation energy barriers for oxygen vacancy defect migration on the  $\text{ZrO}_2$  side of the  $\text{TiO}_2$ - $\text{ZrO}_2$  interface. In (a)–(c), two  $\text{Gd}^{3+}$  dopants are placed next to the oxygen vacancy in different locations. In (d), each  $\text{Gd}^{3+}$  dopant ion is placed isolated, but the total number of dopants and resultant oxygen vacancy concentration is consistent with previous configurations.

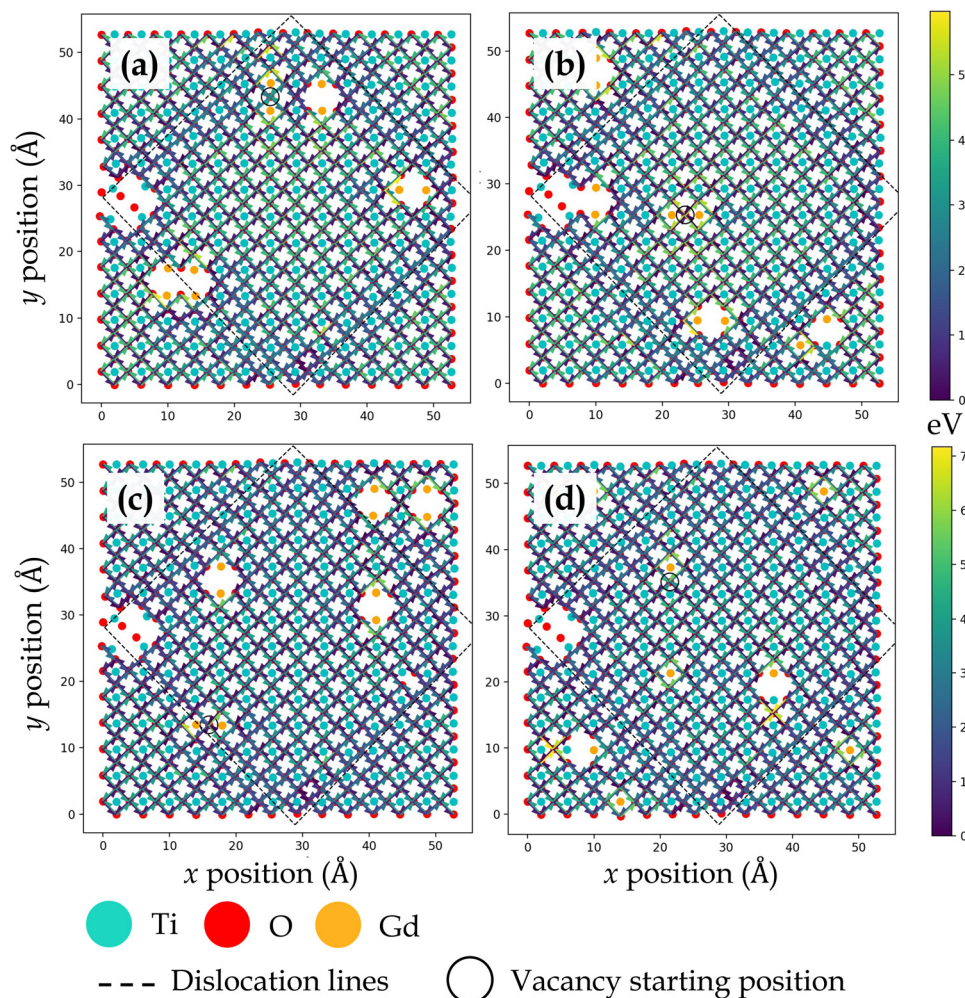
is in reasonable agreement with reported results for oxygen vacancy formation at oxide heterostructures.<sup>54–56,68</sup> The observed trend reveals a fundamental influence of interface layer chemistry on migration barriers since oxygen vacancies exhibit strong preference to migrate at sites that do not have nearest neighbor ions located across the interface.

Oxygen vacancy migration trends in close proximity to misfit dislocations at both sides of the  $\text{TiO}_2$ - $\text{ZrO}_2$  interface are dissimilar to their respective coherent regions. At both  $\text{ZrO}_2$  side (Fig. 5) and  $\text{TiO}_2$  side (Fig. 6), lower activation energies are uncovered when oxygen vacancies hop toward the misfit dislocations, but higher activation energies are uncovered when they migrate away from them. For a few oxygen ions bordering the misfit dislocations (hanging oxygens in Fig. 5) on the  $\text{ZrO}_2$  side, a contrasting behavior is witnessed, wherein the activation energies are higher moving toward the misfit dislocations but lower moving away from them. However, once the vacancies move one jump distance from this location, they reach a site where all the barriers diffusing the vacancy away from it are noticeably higher. Essentially, the oxygen vacancies have a high

likelihood of getting trapped at those sites. As stated earlier, the barriers for in-layer jumps across the misfit dislocations on the  $\text{ZrO}_2$  side were not computed since these jump distances were larger than the next nearest neighbor.

As compared to the coherent terraces, the discernable patterns in activation energy barriers emerging at misfit dislocations across the interfaces can be attributed to the atomic scale structure of misfit dislocations, which in turn depends on the interface layer chemistry as well as the concomitant strain at the interface. For instance, the respective interface layer arrangement of atoms in  $\text{ZrO}_2$  (Fig. S1b, ESI†) and  $\text{TiO}_2$  (Fig. S1c, ESI†) layers in  $\text{SrO}$ - $\text{ZrO}_2$  and  $\text{TiO}_2$ - $\text{BaO}$  interfaces is noticeably different, which ultimately influence the pattern of activation energy barriers displayed in Fig. 3 and 4. Since  $\text{SrO}$ - $\text{ZrO}_2$  and  $\text{TiO}_2$ - $\text{BaO}$  interfaces exhibit misfit dislocations in the  $\langle 100 \rangle$  crystal direction (Fig. 1b and c), the variations in activation energies create a distinct pattern along the  $\langle 100 \rangle$  direction. On the other hand, the respective interface layer arrangement of atoms in  $\text{ZrO}_2$  (Fig. S2d, ESI†) and  $\text{TiO}_2$  (Fig. S2c, ESI†) layers in  $\text{TiO}_2$ - $\text{ZrO}_2$  interface is dissimilar since the misfit





**Fig. 6** Activation energy barriers for oxygen vacancy defect migration on the TiO<sub>2</sub> side of the TiO<sub>2</sub>-ZrO<sub>2</sub> interface. In (a)–(c), two Gd<sup>3+</sup> dopants are placed next to the oxygen vacancy in different locations. In (d), each Gd<sup>3+</sup> dopant ion is placed isolated, but the total number of dopants and resultant oxygen vacancy concentration is consistent with previous configurations.

dislocations are formed in the  $\langle 110 \rangle$  direction (Fig. 1d), which dictates the trend in activation energies depicted in Fig. 5 and 6. The SrO-ZrO<sub>2</sub>, TiO<sub>2</sub>-BaO, and TiO<sub>2</sub>-ZrO<sub>2</sub> interfaces exhibit a common behavior, wherein misfit dislocations push the interface layer atoms away from them, which creates somewhat larger space in their vicinity. This extra room makes it energetically favorable for larger oxygen ions to hop toward the misfit dislocations and less favorable to move away from them.

Since there are fewer atoms on the BZO side (13 unit cells) than the STO side (14 unit cells), there is a bit more room in the vicinity of misfit dislocations on the BZO side (Fig. S1 and S2, ESI<sup>†</sup>), which further influences the observed trends. Select few calculations of relative oxygen vacancy formation at misfit dislocations reveal that they exhibit lower formation energies at misfit dislocations due to the presence of chemically frustrated regions that are conducive to defect formation and availability of open space. The favorability of oxygen vacancy formation at misfit dislocations can also be inferred from activation energies for vacancy migration since these two quantities are

fundamentally correlated.<sup>84</sup> Because oxygen vacancy formation is energetically favorable at misfit dislocations in SrO-ZrO<sub>2</sub>, TiO<sub>2</sub>-BaO, and TiO<sub>2</sub>-ZrO<sub>2</sub> interfaces, coupled with the effect that migration of these species toward misfit dislocations is favorable, a significant fraction of oxygen vacancies is expected in this locality. However, despite their higher concentration, these mobile species would have a lower probability of diffusing away from misfit dislocations owing to high activation barriers, which is likely to impact ionic transport in the vicinity of misfit dislocations.<sup>55</sup>

Dopants display a noticeable influence on activation energies. Coherent regions in SrO-ZrO<sub>2</sub>, TiO<sub>2</sub>-BaO, and TiO<sub>2</sub>-ZrO<sub>2</sub> interfaces that exhibit a change in activation energies are primarily in the neighborhood of dopants, which in general lead to an increase in activation energies. Placing the dopants in nearest neighbor pairs *versus* isolated does not have any significant impact on the migration behavior. Owing to electrostatic interactions and vacancy-dopant association, oxygen vacancies have low activation energy barriers to hop toward or between the two Gd<sup>3+</sup> dopants in the coherent terrace (Fig. 3c, 4c, 5b and 6c) but would



require higher thermal energy to move out of the vacancy–dopant complex. This behavior is uncovered at misfit dislocations as well, where the barriers to hop toward the two  $\text{Gd}^{3+}$  dopants are lower but those hopping away are higher (Fig. 3a, b, 4a, b, 5a, b, 6a and c). To offer a glimpse of the distribution of energy barriers that exhibit the highest, lowest, most accessible, and least accessible values at interface locations, 2D histograms for migration energy barriers at each of the four interfaces are given in Fig. 7. Here, data are aggregated from all four scenarios performed for each particular interface layer and the distance is calculated using the migration pathway's closest point to the nearest dislocation line. Evidently, for the  $\text{SrO-ZrO}_2$  and  $\text{TiO}_2\text{-BaO}$  interfaces, energy barriers remain fairly consistent ( $\sim 1\text{-}1.5$  eV), with some spread closer to the dislocation lines. For the  $\text{TiO}_2\text{-ZrO}_2$  interface, an alternating pattern explained earlier is visible but further from the dislocation lines, with the barrier value converging towards  $\sim 2\text{-}3$  eV closer to misfit dislocations. In general, the  $\text{SrO-ZrO}_2$  and  $\text{TiO}_2\text{-BaO}$  interfaces exhibit lower barriers. Largely, the influence of dopants in increasing the activation energies is more pronounced at misfit dislocations as compared to coherent terraces, a behavior in contrast with SOFC electrolytes, wherein trivalent dopants induce low energy pathways for vacancy migration in the grain interior of these materials.<sup>85–87</sup>

Literature work focusing on examining the role of oxide interfaces for ionic conductivity applications has reported polarizing results. Several experiments have reported superionic

conductivity in oxide heterostructures,<sup>8,19,51–53,88,89</sup> where misfit dislocations are hypothesized as potential pathways for fast ionic diffusion. In contrast, few experiments have demonstrated that misfit dislocations slow down ionic transport in oxides.<sup>90–92</sup> Adding to the mystery are computational studies that report slower ionic diffusion at misfit dislocations<sup>55</sup> and dislocation lines in homophase oxides.<sup>93,94</sup> Nonetheless, the atomistic origin for this enhancement or impediment at misfit dislocations is not well understood. Fundamentally, analogous to the atomic arrangement uncovered in perovskite oxide heterostructures, mismatched oxide heterostructures containing misfit dislocations at the interface are likely to have electrostatically balanced coherent terraces separated by misfit dislocations having chemically frustrated neighborhoods and complex atomic stacking.<sup>54,57,60,68</sup> As a result, atomic level insights obtained herein for oxygen vacancy migration near misfit dislocations are germane to a broader class of mismatched oxide heterostructures. Dependence of activation energies on the atomic scale structure of misfit dislocations, especially as a function of interface layer chemistry, could be one of the fundamental factors responsible for reported inconsistencies in ionic conductivity measurements. For instance, owing to the challenges involved in experimentally characterizing individual columns of misfit dislocations, it is likely that for the same thin film oxide heterostructure,<sup>19,51,52,90–92</sup> measurements performed for differing interface layer chemistry could yield varying ionic conductivity values since the dynamic behavior of

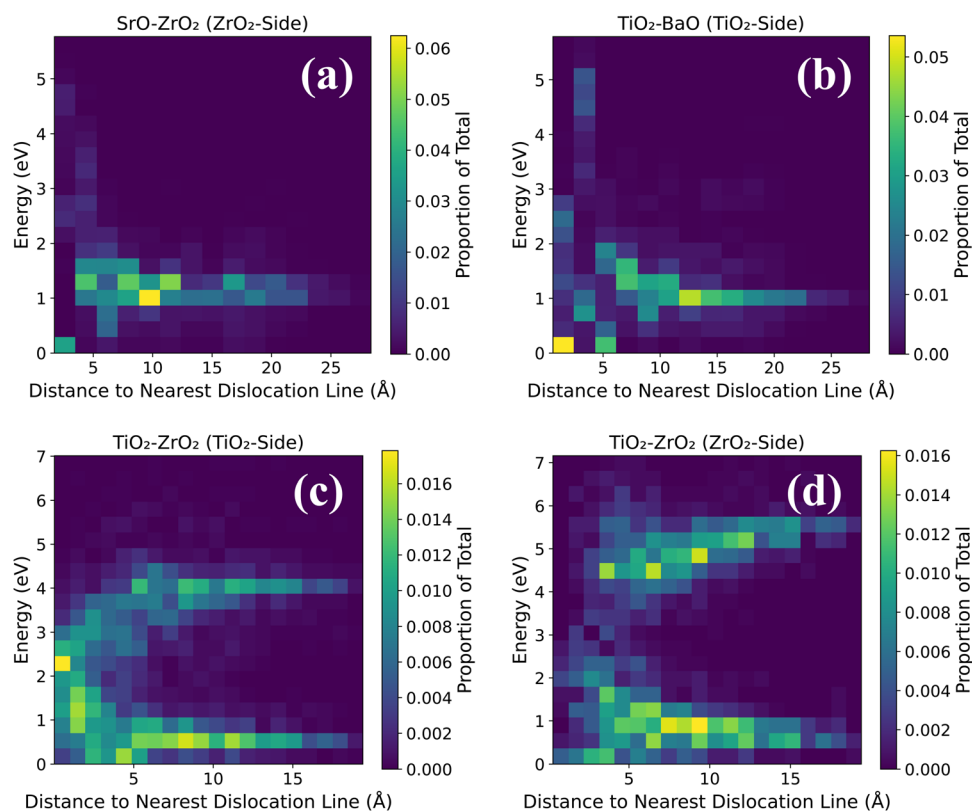


Fig. 7 2D histograms for migration energy barriers at the four interfaces (a)  $\text{SrO-ZrO}_2$  (ZrO<sub>2</sub> side), (b)  $\text{TiO}_2\text{-BaO}$  (TiO<sub>2</sub> side), (c)  $\text{TiO}_2\text{-ZrO}_2$  (TiO<sub>2</sub> side), and (d)  $\text{TiO}_2\text{-ZrO}_2$  (ZrO<sub>2</sub> side). Data are aggregated from all four scenarios performed for each particular interface layer. Distance is calculated using the migration pathway's closest point to the nearest dislocation line.



oxygen vacancies would be altered as a function of the atomic structure of misfit dislocations.

Present findings demonstrate a broad range of activation energies for oxygen vacancy defect migration at oxide interfaces that are contingent on the nearest neighbor environment and interface layer chemistry. This work sheds light on the intricacy associated with disentangling the fundamental aspects of oxide ion migration at mismatched oxide interfaces, which is noticeably different from that observed in the grain interior. Vivally, it suggests that to develop design principles for thin film oxide electrolytes with enhanced ionic conductivity, it is imperative to understand the atomic scale structure of extended defects at interfaces and study their influence on vacancy defect migration since the basic understanding of bulk behavior is not sufficient. Lastly, it is essential to note that these results do not directly provide insights into diffusion kinetics. However, the rate of these events for nearest neighbor migration, that is the activation energies for oxygen vacancy migration at interfaces, can be incorporated into a KLMC model to study diffusion kinetics of oxygen vacancies as a function of temperature. To this end, a KLMC model is currently being developed by our group, which will utilize these input rates to simulate ionic conductivity at misfit dislocations in oxide heterostructures.

## 4. Conclusions

Formation and migration of oxygen vacancy defects at oxide interfaces is the heart of the functioning of thin film oxide electrolytes. To this end, we have developed a high-throughput framework to predict the activation energy barriers for oxygen vacancy defect migration at misfit dislocations in perovskite oxide heterostructures. The present work offers a comprehensive account of activation energy barriers for diverse interface layer chemistries amid two lattice mismatched perovskite oxides. We have demonstrated the dependence of the migrating defect on the nearest neighboring atomic environment across the oxide interface, which in turn is contingent on the atomic scale structure of misfit dislocations. Contrasting migration tendencies of oxygen vacancies were uncovered at coherent terraces as compared to misfit dislocations revealing the fundamental effect of extended defects on ionic transport. Activation energy barriers for vacancies hopping toward misfit dislocations exhibit lower magnitude, whereas moving away from them necessitates higher energy, indicating that oxygen vacancies would get trapped at misfit dislocations impacting ionic conductivity. Atomic scale insights offered herein pertaining to the energy landscape for oxygen vacancy migration at oxide interfaces will be instrumental in designing next-generation SOFC electrolytes, wherein the role of misfit dislocations at interfaces is central, but not well understood.

## Conflicts of interest

There are no conflicts to declare.

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