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## Evaluating emissions and meteorological contributions to air quality trends in northern China based on measurements at a regional background station†

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The contributions of meteorology and emissions to air pollutant trends are critical for air quality management, but they have not been fully analyzed, especially in the background area of northern China. Here, we used a machine learning technique to quantify the impacts of meteorological conditions and emissions on PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO pollution during 2013–2021 and evaluated their contributions to Clean Air Action policies. The annual effect of the meteorology on PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO levels was dominated by the meteorological conditions during the cold season, while that of the O<sub>3</sub> level largely depended on the meteorological conditions during the warm season. Meteorology-driven anomalies contributed –14.8 to 10.3%, –8.5 to 7.3%, –11 to 7.1%, –7.9 to 6.0%, and –7.4 to 7.3% to the annual mean concentrations of PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO during the study period, respectively. The Clean Air Actions have led to a major improvement in the air quality at regional scale, with the reduction of 1.7  $\mu\text{g m}^{-3} \text{ year}^{-1}$ , 0.2  $\mu\text{g m}^{-3} \text{ year}^{-1}$ , 1.5  $\mu\text{g m}^{-3} \text{ year}^{-1}$ , 0.7  $\mu\text{g m}^{-3} \text{ year}^{-1}$ , and 0.03 mg  $\text{m}^{-3} \text{ year}^{-1}$  for PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO at background area, respectively, after meteorological correction. Although emissions dominated the long-term variations in pollutants, the meteorological conditions obviously played a positive role during the action periods for pollutants except for O<sub>3</sub>. Considering the notable effects of the meteorological conditions on air pollution and the interreaction between pollutants, a more comprehensive control strategy should be considered on a broader regional scale.

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### Environmental significance

The contribution of meteorology and emissions to long-term trends of air pollutants is critical for air quality management. During the past decade, China has implemented two national clean air actions. In order to examine the effectiveness of such emission reductions and assess the resulting changes in air quality, we applied the random forest machine learning technique to decouple meteorological influences from emissions changes during 2013–2021. The deweathered trends of air pollutants at a regional background station in Northern China were examined. Our results emphasize that a more comprehensive control strategy is needed in this region.

## 1 Introduction

Air pollution has aroused much public concern due to its adverse impacts on the environment, human health, and climate.<sup>1,2</sup> PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO are defined as criteria pollutants worldwide for quantifying air pollution levels.<sup>3</sup> In recent decades, along with rapid industrialization and urbanization, China has experienced severe air pollution

problems, especially in the Beijing–Tianjin–Hebei (BTH) region. In January 2013, the BTH region suffered severe and persistent haze pollution, with the monthly average concentration of PM<sub>2.5</sub> reaching almost 160  $\mu\text{g m}^{-3}$ , affecting approximately 1.3 million km<sup>2</sup> and 800 million people in northern China.<sup>4</sup>

In recent years, China has experienced growing public awareness of air pollution. To alleviate air pollution, the State Council of China launched the “Air Pollution Prevention and Control Action Plan” (2013–2017) on September 10, 2013, also known as the first Clean Air Action. Although the stringent control measures were implemented, heavy pollution episodes still frequently occurred in the winter.<sup>5</sup> To fulfill the action target, the “Action Plan for Comprehensive Control of Atmospheric Pollution in Autumn and Winter in the BTH region from 2017 to 2018” (the Comprehensive Action) was subsequently

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implemented in autumn 2017. To continue to reduce the heavy air pollution, the State Council issued the “Blue Sky Protection Campaign” (2018–2020) on July 3, 2018, which is also known as the second Clean Air Action. In addition to these air pollution control actions, the 13<sup>th</sup> (2016–2020) and 14<sup>th</sup> (2021–2025) Five-Year Plans (FYPs) outlined goals for reductions in air pollutants. After these actions and FYPs were implemented, the air quality in BTH was obviously improved. However, the air quality in this region still does not meet the guidelines of the WMO (AQG, 2021), highlighting the urgent need for a better understanding of how pollution could be addressed effectively.

Anthropogenic emissions have been widely accepted as the dominant factor of air pollution.<sup>6–10</sup> However, meteorological conditions also exert a notable influence on pollutant variations, not only through transport but also by affecting natural emissions and chemical rates.<sup>11–13</sup> For example, the probability of severe O<sub>3</sub> pollution when there are heat waves could be up to seven times of the average probability during summertime, while temperature inversions in wintertime could enhance the probability of severe particulate matter pollution by more than a factor.<sup>14</sup> Yousefian *et al.*<sup>15</sup> pointed out that temperature was the key influencing factor for PM<sub>2.5</sub> and PM<sub>10</sub> concentrations, while nebulosity and solar radiation exerted major influences on ambient SO<sub>2</sub> and O<sub>3</sub> concentrations. Additionally, there is a moderate coupling between wind speed and NO<sub>2</sub> and CO concentrations. Therefore, distinguishing the contributions of emissions and meteorology is essential for the evaluation of clean air policies, projection of future air quality, and formulation of control air pollution methods.

Various studies have been conducted to separate the contributions of emissions and meteorology. Chemical transport models are widely used to evaluate the response of the air quality to emission control measures.<sup>5,16</sup> Nevertheless, due to the uncertainties in emission inventories and models, simulations may not fully reflect real-world conditions. Statistical analysis is another common method to assess meteorology-associated changes and the contribution of emissions, such as the Kolmogorov-Zurbenko filter model, multiple linear regression method, difference-in-difference approach, and deep neural networks.<sup>17–21</sup>

Among these models, machine learning models based on regression decision trees always achieve a better performance than chemical transport models and traditional statistical methods by reducing the variance, bias, and error in high-dimension datasets.<sup>22</sup> Decision trees make no assumptions on the input data structure, allow for interaction and collinearity among variables, and will ignore variables that are irrelevant to the dependent variable.<sup>23</sup> However, decision trees could suffer heavily from overfitting, which would result in the model being contaminated with noise, and unreliable predictions would impede analyses. Random forest (RF) is an algorithm that controls for the tendency of decision trees to overfit and has the advantage of not being a “black box” method where the learning process can be explained, investigated, and interpreted. Recently, RF has been widely adopted to identify air quality improvements in response to control strategies.<sup>20,24–29</sup>

Previous studies have mainly focused on assessing the changes in air quality using data of one or several city observation

sites; however, background measurements that could represent regional air pollution trends in key regions remain relatively limited for investigation. Thus, to improve the understanding of the contributions of meteorology and emissions to air quality trends at the regional scale in northern China, RF machine learning techniques and long-term background measurements were applied in this study. After decoupling the effects of meteorological conditions on the observed concentrations, trend analysis of the contributions of meteorology and emissions could be achieved. Our results could be useful for supporting air quality management strategies in the future.

## 2 Data and methods

### 2.1 Station and data for modeling

The background station, Shangdianzi (SDZ; 40°39'N, 117°07'E; 293.9 m a.s.l.), is situated in the transitional region between the NCP and the Yanshan Mountain area and serves as one of the regional background stations in China as well as a regional Global Atmosphere Watch station (Fig. 1). It is approximately 100 and 55 km northeast of the urban area and the Miyun Township of Beijing, respectively. There are only small villages within 30 km of the station in the mountainous area; these villages have sparse populations and thus represent insignificant anthropogenic emission sources. Therefore, the atmospheric pollution level at SDZ was used to represent the background concentrations of atmospheric pollutants in the economically developed regions of North China.

PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO concentrations have been continuously measured at SDZ since 2005. To evaluate the effectiveness of emission reduction and the contribution of meteorological conditions to the air quality during clean air actions, background concentrations of the above pollutants from 2013 to 2021 were considered in this study. A tapered element oscillating microbalance (TEOM) (R&P Model 1400a) was employed for the PM<sub>2.5</sub> measurements. ThermoFisher 42ITL, 43ITL, 49I, and 48I analyzers were utilized for the NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO observations, respectively. The measurements, calibration methods, and quality assurance/quality control procedures have been described by Pu *et al.*<sup>30</sup>

Hourly meteorological data, including wind speed (WS), wind direction (WD), temperature (T), relative humidity (RH), and atmospheric pressure (P), were obtained from the China Meteorological Data Service Center (<https://data.cma.cn/en>). Planetary boundary layer height (PBLH) data were obtained from the ERA5 reanalysis dataset. Hourly O<sub>3</sub> and CO data for Beijing (BJ), Tianjin (TJ), and Shijiazhuang (SJZ) were downloaded from <https://quotsoft.net/air/> and <https://www.mee.gov.cn> and then averaged into yearly mean values. Annual average PM<sub>2.5</sub>, NO<sub>2</sub>, and SO<sub>2</sub> data were obtained from the Ecology and Environment Bureaus of BJ, TJ, and SJZ.

### 2.2 Weather normalization using the RF model

A machine-learning-based RF model was applied to decouple the effects of meteorological conditions. The RF algorithm-based weather normalization technique was first proposed by



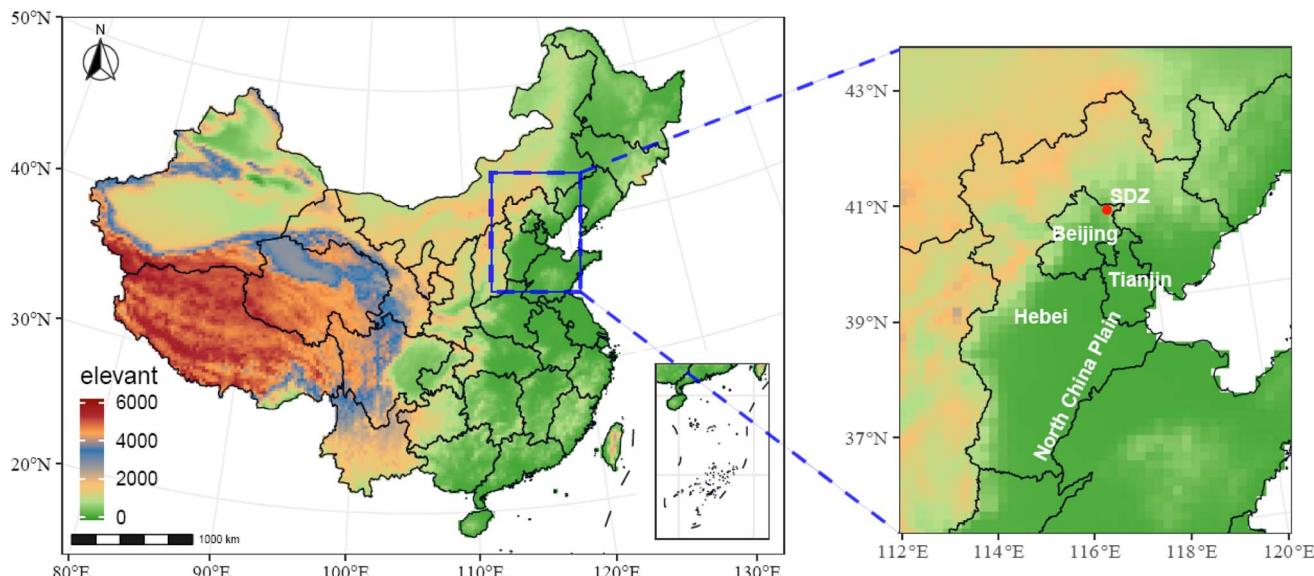


Fig. 1 Location of the SDZ background station (red dot).

Grange *et al.*<sup>22</sup> A RF model was built for each pollutant independently. The original datasets include hourly concentrations of air pollutants and their predictor variables, including time variables such as Unix time, Julian day, day of the week, and hour of the day, and meteorological parameters (WS, WD, P, T, RH, and PBLH). The variables were chosen based on Grange *et al.*<sup>24</sup> and Guo *et al.*<sup>20</sup> The Unix time represents the emission trend term of a given pollutant, while the Julian day is a seasonal term that represents emissions or atmospheric chemistry, which varies seasonally. The weekday term represents the difference in pollution between weekdays and weekend days. The hour of the day (hour) explains emissions with a diurnal cycle. These processes are generally strong drivers of the concentrations of most atmospheric pollutants.<sup>31</sup> 70% of the original datasets were randomly inputted as training datasets to construct the RF model using the “rmweather” package in R, available at <https://cran.r-project.org/web/packages/rmweather/index.html>. The remaining original data were adopted as a test dataset to validate the performance of the constructed model. To eliminate the impacts of meteorological factors on air pollutants while preserving their seasonal and diurnal variations, the algorithm of Vu *et al.*<sup>26</sup> was applied. The meteorological dataset from 2013 to 2021 was randomly resampled and then fed into the RF model to predict the atmospheric concentrations of the above pollutants. The resampling and calculation processes were repeated 1000 times, and the results were averaged to obtain the final weather-normalized concentration. Vu *et al.*<sup>26</sup> compared RF modelling results with those from the CMAQ-WRF model.<sup>32</sup> Their results were similar; however, the correlation coefficients between observation and prediction of pollutants by the RF model were higher than those of the CMAQ-WRF model. In addition, the concentration of pollutants after decoupling meteorology effects matched the energy consumption trend and the emission inventory, indicating the results obtained by the RF model were reliable.

### 3 Results and discussion

#### 3.1 Evaluation of the RF model

The RF model achieved favorable performance based on the correlation coefficient ( $R^2$ ) between the hourly predicted and observed data on both the testing and training datasets (Fig. 2 and S1†). Before employing the model, statistical metrics were calculated to evaluate the model performance (Table S1†), including fraction of predictions with a factor of two (FAC2), mean bias (MB), mean gross error (MGE), normalized mean bias (NMB), normalized mean gross error (NMGE), root-mean-square error (RMSE), Pearson correlation coefficient ( $r$ ), COE (coefficient of efficiency), and index of agreement (IOA). The results confirmed that the model performs well and can be employed for weather normalization analysis. The relative importance of the predictor variables in the final random forest model is shown in Fig. 3. The seasonal variable (Julian day) is the most important variable controlling the pollutant concentration, except for  $O_3$ , and  $T$  mainly controls the  $O_3$  predicted values. The trend variable (Unix time) plays a very important role in the  $SO_2$  and CO models, suggesting that emission control shows effectiveness on the variation of both of them. Regarding the meteorological variables, RH and  $T$  also play more important roles in the models.

#### 3.2 Observed levels at the background station and surrounding areas

To obtain a preliminary understanding of the characteristics of air pollutants at SDZ, the time series of the annual average concentrations of  $PM_{2.5}$ ,  $NO_2$ ,  $SO_2$ ,  $O_3$ , and CO at SDZ and surrounding areas are shown in Fig. 4. During the study period, the overall air quality in northern China obviously improved, with the annual mean concentrations of air pollutants at SDZ generally declining year by year. After the first Clean Air Action was implemented in 2013, the concentrations of  $PM_{2.5}$ ,  $NO_2$ ,



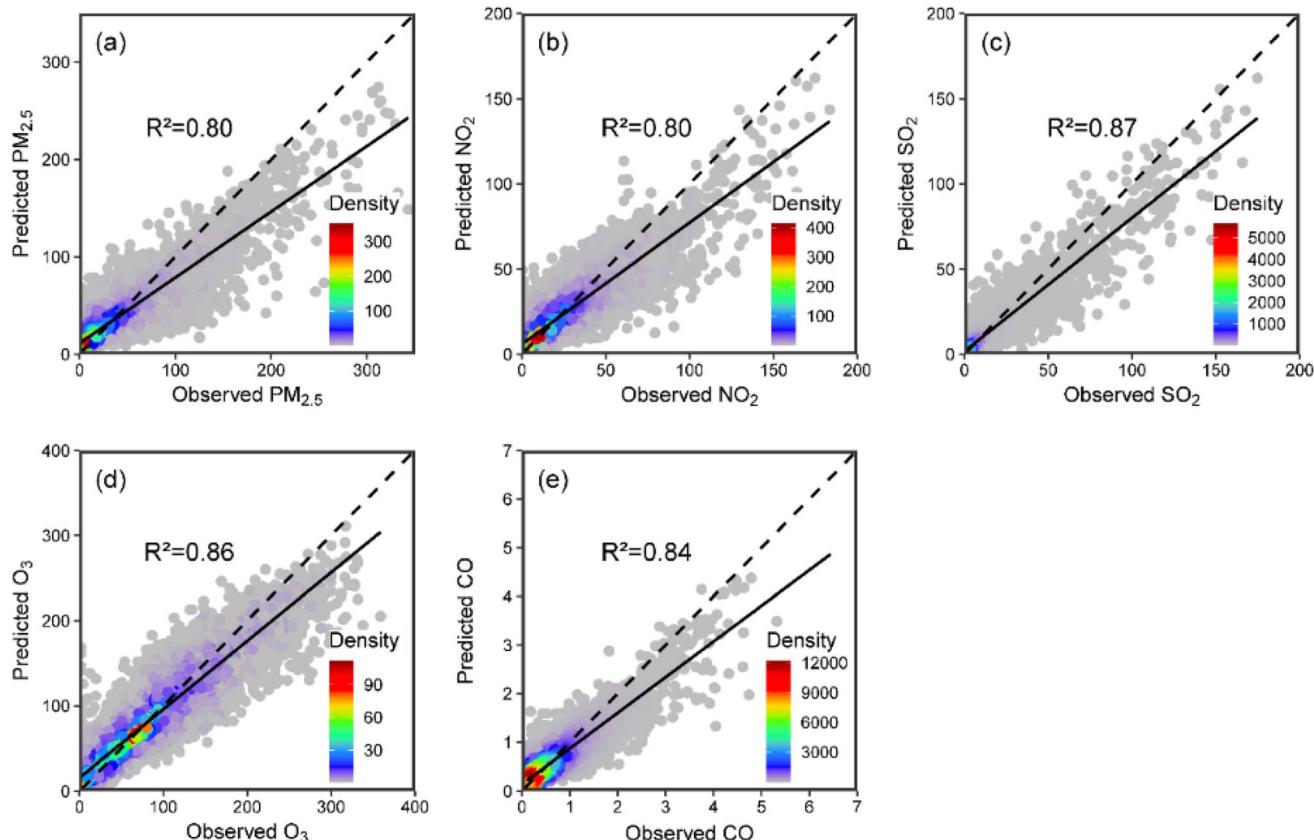


Fig. 2 Correlations between the hourly observed and predicted data on the testing datasets of PM<sub>2.5</sub> (a), NO<sub>2</sub> (b), SO<sub>2</sub> (c), O<sub>3</sub> (d), and CO (e). The units of PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and O<sub>3</sub> are  $\mu\text{g m}^{-3}$ , while that of CO is mg  $\text{m}^{-3}$ .

SO<sub>2</sub>, and CO at BJ, TJ, and SJZ also showed dramatic downward trends, and their concentrations in 2021 were very close to those at SDZ. These results confirm the significant improvement in the air quality in the BTH region during 2013–2021. Notably, the annual mean concentration of PM<sub>2.5</sub> in BJ declined from 89.5  $\mu\text{g m}^{-3}$  in 2013 to 34.4  $\mu\text{g m}^{-3}$  in 2021, which is the first time it matched China's national ambient air quality standard (NAAQS-II) of 35  $\mu\text{g m}^{-3}$ . However, the concentrations of PM<sub>2.5</sub> at both BJ and SDZ were still substantially higher than the WHO guideline of 5  $\mu\text{g m}^{-3}$  (AQG, 2021). Although the NO<sub>2</sub> concentrations at BJ, TJ, SJZ, and SDZ matched or were lower than the NAAQS-II in 2021, the concentrations also greatly deviated from the AQG standard, with an annual concentration of 10  $\mu\text{g m}^{-3}$ , which suggests that stricter policies should be continuously implemented in northern China.

Contrary to the decreasing trend of O<sub>3</sub> at SDZ, those at BJ, TJ, and SJZ showed the opposite variation with a lower level and a generally upward trend during 2015–2021. The lower levels of O<sub>3</sub> in urban areas than that at the background station might be attributed to vehicle emissions. High concentrations of NO promote the reaction of O<sub>3</sub> with NO that frequently occurs in urban areas in summer, which largely consumes O<sub>3</sub>.<sup>33</sup> Similar to the other pollutants, the gap between the O<sub>3</sub> concentrations at the background station and urban areas was relatively small in 2021, which might suggest that the O<sub>3</sub> concentrations were uniform on a large regional scale. Recent research also

indicated that the differences in urban and nonurban surface O<sub>3</sub> were narrowing in the Northern Hemisphere.<sup>34,35</sup>

### 3.3 Meteorology-associated pollutant variabilities

Weather conditions change rapidly, resulting in variations in the concentration of air pollutants even when emissions do not change. Beneficial weather conditions with higher WS and PBLH levels may favor pollutant diffusion, whereas unfavorable weather conditions with higher RH and lower WS levels and more inversions may aggravate air pollution. After the weather normalization method was applied, the trends of monthly averaged pollutants before and after normalization (meteorology-adjusted) were achieved (Fig. S2†). Based on the observational and meteorology-adjusted data, the meteorology-associated value could be calculated. Fig. 5 shows the estimated temporal trend in the meteorology-associated pollutant levels at SDZ. The fluctuating annual variation ranged from -14.8 to 10.3%, -8.5 to 7.3%, -11 to 7.1%, -7.9 to 6.0%, and -7.4 to 7.3% for PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO, respectively. The long-term trend of the annual meteorology-associated pollutants fluctuated at approximately 0, with decreasing trends (the meteorological conditions improved) in PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO and a slightly increasing (the meteorological conditions worsened) trend in O<sub>3</sub>. SO<sub>2</sub> and CO exhibited the same inter-annual pattern with unfavorable meteorological conditions



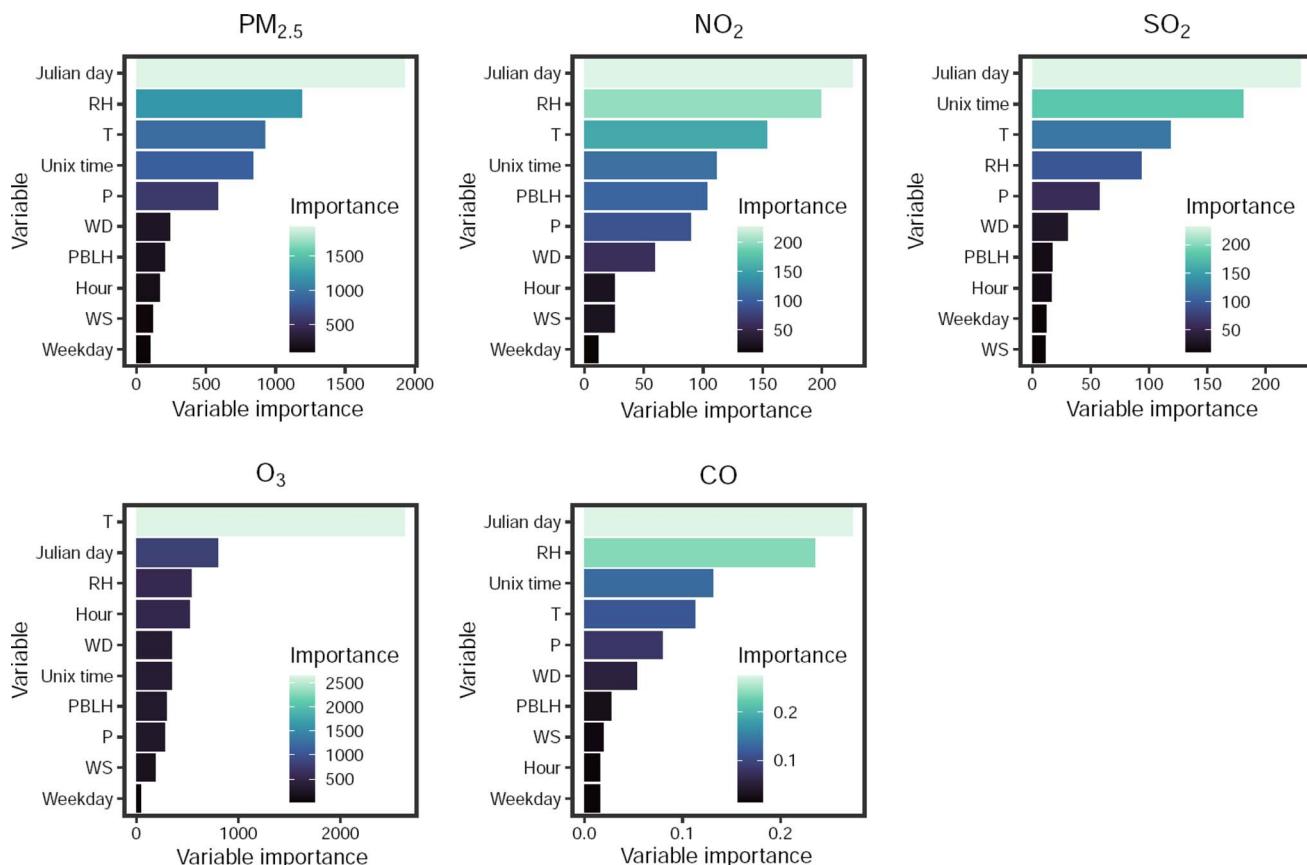


Fig. 3 Relative importance of the predictor variables in the final RF model.

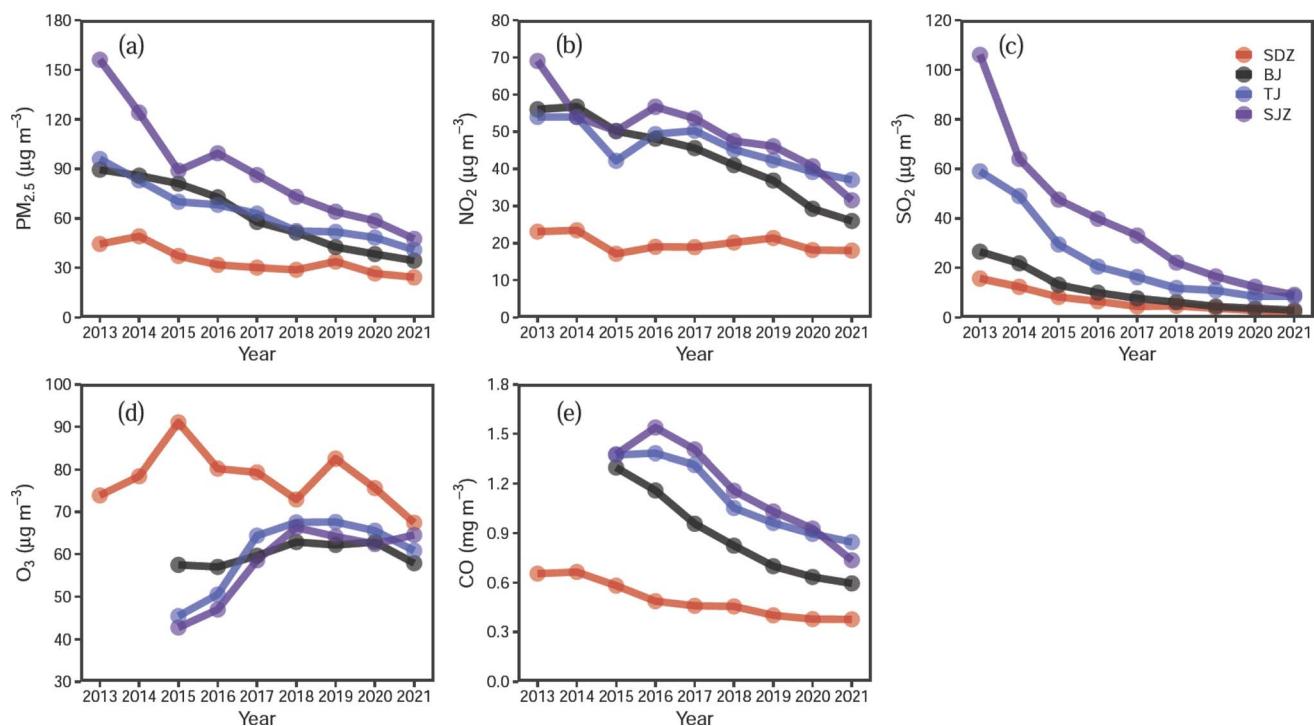


Fig. 4 Annual averages of PM<sub>2.5</sub> (a), NO<sub>2</sub> (b), SO<sub>2</sub> (c), O<sub>3</sub> (d), and CO (e) at SDZ, BJ, TJ and SJZ during 2013–2021, respectively.

during 2013–2015, whereas opposite conditions occurred from 2016 to 2021. The variation patterns of  $\text{PM}_{2.5}$  and  $\text{NO}_2$  were slightly similar but not consistent with those of  $\text{SO}_2$  and  $\text{CO}$ . Moreover, 2018 and 2020 were two typical years during which the meteorological conditions were favorable for the air quality, with annual meteorology-associated pollutant anomalies of

−10.1%, −3.8%, −5.0%, −1.1%, and −2.3% for  $\text{PM}_{2.5}$ ,  $\text{NO}_2$ ,  $\text{SO}_2$ ,  $\text{O}_3$ , and  $\text{CO}$ , respectively, in 2018 and anomalies of −14.8%, −8.5%, −4.0%, −0.4%, and −5.3%, respectively, in 2020.

The effects of the meteorological conditions during the warm and cold seasons were also analyzed. Here, the warm

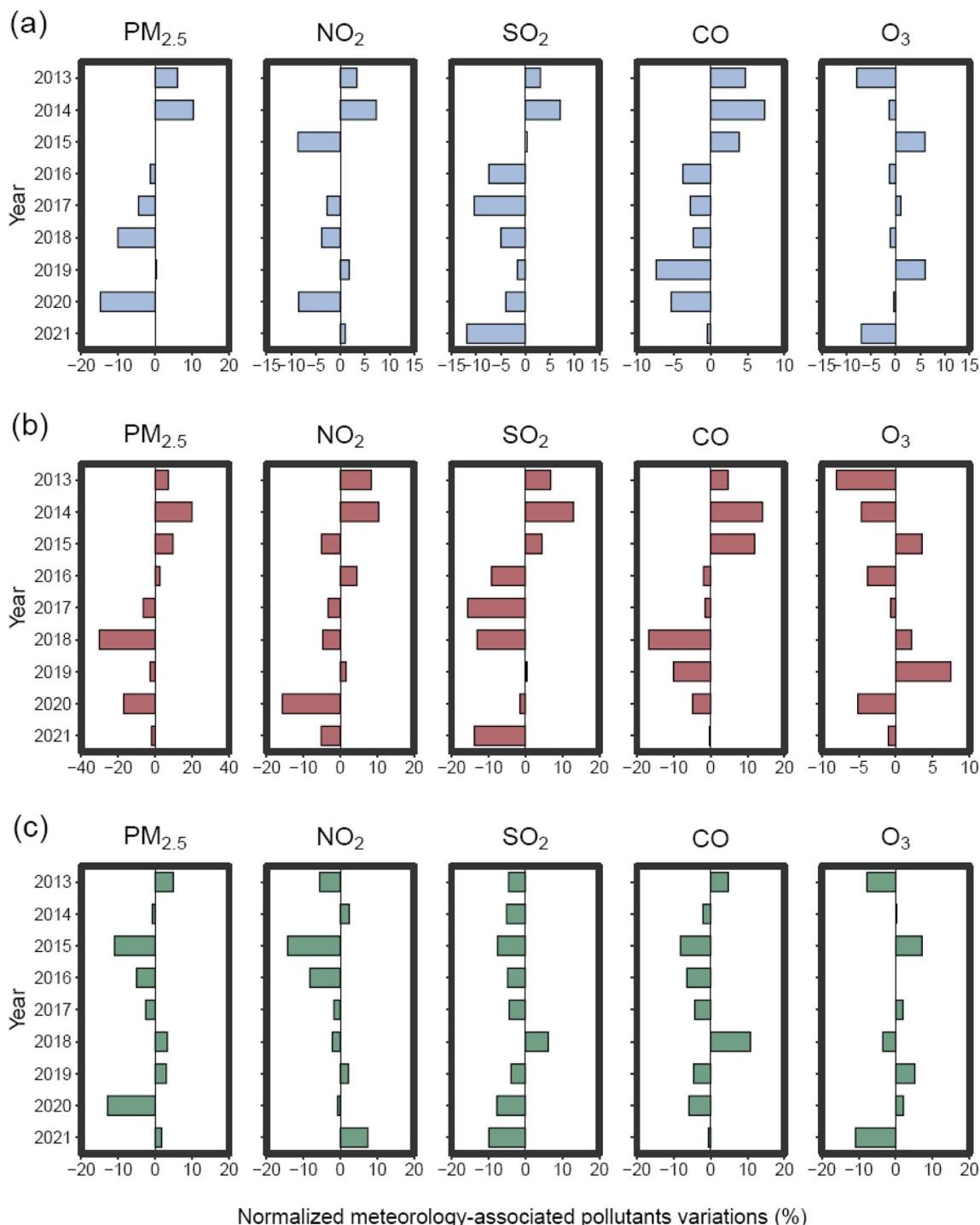


Fig. 5 Relative impact of the meteorology on the annual average concentration of pollutants (a), the relative impact of meteorology on the average concentration of pollutants during the cold season (b), and the relative impact of meteorology on the average concentration of pollutants during the warm season (c). The meteorology-associated pollutants lower than 0 represent the meteorological conditions favorable to the pollutants reduction, and vice versa.

season included March to August, while the cold season comprised January, February, and September to December for each year. As shown in Fig. 5, the annual effect of the meteorology on  $\text{PM}_{2.5}$ ,  $\text{NO}_2$ ,  $\text{SO}_2$ , and  $\text{CO}$  was mainly dominated by the meteorological conditions during the cold season, when regional haze events frequently occurred. However, the effect on  $\text{O}_3$  largely depended on the meteorological conditions during the warm season, when photochemical pollution was common. Typical beneficial meteorological conditions during the cold season occurred in 2020, with effects on all pollutants less than 0. In a certain year (*i.e.*,  $\text{PM}_{2.5}$  in 2016), the weather conditions during the cold season were unfavorable for pollution management, but because the weather conditions during the warm season were beneficial, the overall weather effect was still favorable. Similarly, the meteorological conditions during the warm season were unfavorable for pollution control, but since the meteorological conditions during the cold season were favorable, the annual meteorological effect was favorable for pollution control (*i.e.*,  $\text{PM}_{2.5}$ ,  $\text{SO}_2$ , and  $\text{CO}$  in 2018).

During the cold season, compared to those at the stage of the first Clean Air Action, the effects of the meteorological conditions were favorable for  $\text{PM}_{2.5}$  control at the stage of the second Clean Air Action. We calculated the East Asian winter monsoon (EAWM) index values from 2013 to 2021 (Fig. S3†). A positive/negative index value indicates a strong/weak EAWM. A strong EAWM could significantly control air pollution levels to benefit the air quality since pollutants are transported southward from north to south.<sup>36</sup> The EAWM was weak from 2013 to 2016 and relatively strong from 2017 to 2020, which included the period of the second Clean Air Action. The highest index value appeared in 2018, especially in January and December, corresponding to the best weather conditions during the cold period from 2013 to 2021, leading to a  $7.0 \mu\text{g m}^{-3}$  decrease in the meteorology-associated  $\text{PM}_{2.5}$  during the cold season of 2018. Additionally, the EAWM was strong in December 2020 with a relatively high index value, resulting in a  $6.0 \mu\text{g m}^{-3}$  decrease relative to the model. On the other hand, large-scale atmospheric circulations could also influence pollutant concentration variations. The fall-winter meteorology in the northern part of eastern China was significantly unfavorable for pollution control in 2015 and 2016 with a strong El Niño phenomenon,<sup>21</sup> and the meteorological effects were unfavorable for concentration decline until 2017. In the winter of 2017, the last implementation year of the first Clean Air Action, cold air activities were more frequent than before, which was conducive to pollutant diffusion,<sup>37</sup> thereby contributing to the fulfillment of the Air Action targets in BTH.

Regarding  $\text{O}_3$  during the warm season, the meteorological conditions in 2013 and 2021 were beneficial for pollution control. Although many meteorological variables could influence the photochemical formation of  $\text{O}_3$ , the prevailing variable should be  $T$ . This variable is highly related to the intensity of solar radiation, which plays a critical role in the photochemical formation of  $\text{O}_3$ . The daily maximum  $T$  was selected and averaged to calculate the annual mean (Fig. S4†).  $T$  was lower in 2013 and 2021, with  $1.3^\circ\text{C}$  and  $1^\circ\text{C}$  lower values, respectively, than the average level during the study period, which is

unfavorable for  $\text{O}_3$  formation. Overall, the favorable meteorological conditions during the warm seasons of 2013 and 2021 contributed  $7.5$  and  $8.7 \mu\text{g m}^{-3}$  to the reductions during these periods, respectively. Apart from  $T$ , the meteorological factors such as WD, WS, and transport from the nocturnal residual layer could also affect  $\text{O}_3$  levels.

The different variations or trends of pollutants were influenced by meteorological factors; however, the different meteorological conditions could not completely explain the difference in the interannual and seasonal patterns of each pollutant since the interactions between pollutants were very complex. Considering only one or two meteorological parameters could hardly explain the fluctuations in all the pollutants at the different time scales. Further studies are needed to improve our knowledge of the mechanisms of the interannual and seasonal differences in the meteorology–pollution relationships.

### 3.4 Pollutant trends after adjusting the meteorological effects

The annual average meteorology-adjusted concentrations of pollutants and emission data from Multi-resolution Emission Inventory model for Climate and air pollution research (MEIC; <https://meicmodel.org.cn>) for the BTH region were normalized to 2013, as shown in Fig. 6. The MEIC is a modeling platform of atmospheric emissions from anthropogenic sources, developed from the Multi-resolution Emission Inventory for China.<sup>38</sup> As shown in Fig. 6 and S5,† the meteorology-adjusted  $\text{SO}_2$  concentration was dramatically reduced and continued its downward trend from 2013, with an annual decline rate of  $-9.6\%$  per year. The meteorology-adjusted  $\text{SO}_2$  clearly showed that the peak monthly concentration in winter decreased from  $30.4$  to  $2.2 \mu\text{g m}^{-3}$  in December 2021, while the minimum monthly concentration in summer also declined during the study period. After adjusting for meteorological effects, the temporal variations in  $\text{SO}_2$  were very consistent with those in the pollutant emissions retrieved from the MEIC data.  $\text{SO}_2$  is mainly emitted by coal-fired sources. The remarkable decrease in  $\text{SO}_2$  was attributed to the employment of clean coal technologies enforced by the “Action Plan for Transformation and Upgrading of Coal Energy Conservation and Emission Reduction (2014–2020)”, in which tighter environmental standards targeting the emission concentrations at power plants prompted substantial  $\text{SO}_2$  reductions,<sup>39</sup> and the replacement of residential coal heating with natural gas was promoted. Similar to  $\text{SO}_2$ ,  $\text{CO}$  continued its downward trend since 2013, leading to a  $-5.1\%$  per year decrease. The declining pattern of the adjusted concentrations of  $\text{CO}$  was similar to that of emissions; however, the decrease rate was lower than the emission reduction rate.  $\text{CO}$  and  $\text{CO}_2$  are both emitted by combustion sources and could be regarded as trace products of inefficient and efficient combustion processes, respectively. By comparing the opposite long-term trends in  $\text{CO}$  and  $\text{CO}_2$  at SDZ, Li *et al.*<sup>40</sup> suggested that improvements in the energy use efficiency and emission control led to the downward trend in  $\text{CO}$ .

In contrast to  $\text{SO}_2$  and  $\text{CO}$ , the meteorology-adjusted  $\text{PM}_{2.5}$ ,  $\text{NO}_2$ , and  $\text{O}_3$  levels did not always maintain a downward trend,



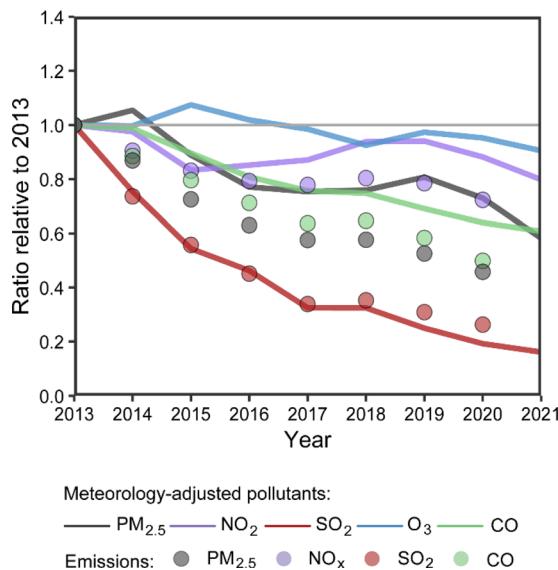


Fig. 6 Normalized trend of the meteorology-adjusted air pollutant concentrations at SDZ and emissions of PM<sub>2.5</sub>, NO<sub>x</sub>, SO<sub>2</sub> and CO. The emission data during 2013–2021 were obtained from <https://meicmodel.org/>.

with average decrease rates of  $-4.7\%$  per year,  $-1.3\%$  per year, and  $-1.4\%$  per year, respectively. As shown in Fig. 6, the fluctuation tendency of NO<sub>2</sub> also differed from that of NO<sub>x</sub> emissions, and the ratio difference has remained larger since 2016. Compared to sulfur emission control, which drastically reduced the ambient SO<sub>2</sub> concentration, NO<sub>2</sub> still lacked effective emission control. Coal combustion is not only a major source of SO<sub>2</sub> but also an important source of NO<sub>x</sub>. The different trends between these compounds indicate that other sources, such as traffic emissions or atmospheric processes, impose a greater effect on NO<sub>2</sub> than coal combustion. For example, chemical depletion of nocturnal O<sub>3</sub> mainly occurs through titration by NO–NO<sub>2</sub>, causing nonlinearity of the NO<sub>2</sub>–NO<sub>x</sub> relationships.<sup>41,42</sup> Regarding vehicle emissions, the number of private gasoline-fueled cars will continue to increase in BTH, and the fuel quality standard and vehicle emission standard will be tightened approximately every 3–5 years. However, these two standards are not always upgraded simultaneously, which could increase NO<sub>x</sub> and other pollutants in this region.<sup>43</sup>

Similar to NO<sub>2</sub>, the variation in PM<sub>2.5</sub> was not consistent with that in the emissions, with a peak in 2014, decreasing until 2018, rising in 2019, and declining again. The gap in the ratio difference between the emissions and meteorology-adjusted values of PM<sub>2.5</sub> has also increased since 2016. Compared to the variations in the meteorology-adjusted concentrations with emissions, PM<sub>2.5</sub> was more likely driven by NO<sub>x</sub> emission reduction after 2016. Due to emission control, the SO<sub>2</sub> concentration decreased significantly, while the NO<sub>2</sub> concentration far exceeded that of SO<sub>2</sub> and continued to increase in Beijing in winter.<sup>44</sup> On the other hand, the ammonia concentrations were much higher in the NCP.<sup>45–47</sup> Due to effective sulfur emission control and abundant ammonia in the atmosphere, which provide a favorable environment for ammonium

nitrate formation, the effectiveness of particle pollution control achieved through SO<sub>2</sub> and NO<sub>x</sub> emission reduction was limited.<sup>48</sup> Therefore, the PM<sub>2.5</sub> concentrations did not show the same continued downward trend in this region as the MEIC emissions.

Regarding O<sub>3</sub>, the highest meteorology-adjusted O<sub>3</sub> occurred in 2015. In the lower atmosphere, O<sub>3</sub> is a secondary pollutant formed by the photochemical reaction of its precursors, which are organics such as volatile organic compounds (VOCs), CO, and NO<sub>x</sub>. In 2016, the 13<sup>th</sup> FYP for ecological and environmental protection and the policy on VOCs control technology issued by the government demanded 15% and 10% decreases in the NO<sub>x</sub> and VOCs emission amounts, respectively, in key regions of China. Corresponding to both policies, O<sub>3</sub> decreased relative to 2013 after 2015. However, the O<sub>3</sub> level in 2021 did not show an obvious decrease relative to that in 2013. Compared to NO<sub>x</sub>, VOCs control is difficult since non-organizational emissions are involved. Moreover, the relationships between O<sub>3</sub> and its precursors are nonlinear, and aerosols could also impact tropospheric O<sub>3</sub> through changes in atmospheric dynamics and photolysis rates.<sup>49</sup> These factors could make O<sub>3</sub> control more challenging.

### 3.5 Evaluating the effectiveness of the Clean Air Action policies

At the first Clean Air Action stage, a number of policies, control measures, and action plans were proposed, with a specific focus on the BTH, Yangtze River Delta, and Pearl River Delta areas, to reduce PM<sub>2.5</sub> by 25%, 20%, and 15%, respectively. The details of the main mitigation measures implemented in China and key regions during this period are summarized in Zhang *et al.*<sup>7</sup> and Zheng *et al.*<sup>50</sup> The first Clean Air Action period showed significant reductions in PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO, with observed concentrations of SDZ reducing by 24.6%, 13.0%, 67.8%, 1.5, and 24.2%, respectively, after weather normalization (Tables 1 and S2†). The reductions of NO<sub>2</sub>, SO<sub>2</sub>, and CO at SDZ were higher than those of average in 12 megacities in China.<sup>20</sup> To further reduce air pollution, the second Clean Air Action implemented measures to strengthen pollutant emission reductions, such as promoting clean heating in winter in northern China, replacing coal with electricity and gas in more than 3 million households, eliminating all small coal-fired appliances, and controlling vehicle exhaust gas emissions. At the end of the second Clean Air Action stage, the observed concentrations of PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO were dramatically lower than those in 2013, with decreases of 27%, 11.7%, 80.9%, 4.8%, and 35.5% in PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO, respectively, after meteorology correction (Table S2†).

In order to further evaluate the effect of actions, the Theil-Sen regression technique was performed on the observed and normalized data in this study. The advantage of using the Theil-Sen estimator is that it tends to yield accurate confidence intervals even with non-normal data and heteroscedasticity (non-constant error variance). The Theil-Sen function is provided by “openair” R packages. The normalized annual levels of air pollutants decreased obviously with median slopes

**Table 1** Comparison of the concentrations of pollutants before and after weather normalization<sup>a</sup>

Year	Observed concentration					Meteorology-adjusted concentration				
	PM <sub>2.5</sub>	NO <sub>2</sub>	SO <sub>2</sub>	O <sub>3</sub>	CO	PM <sub>2.5</sub>	NO <sub>2</sub>	SO <sub>2</sub>	O <sub>3</sub>	CO
2013	44.4	23.1	15.7	73.9	0.65	41.8	22.3	15.2	79.7	0.62
2014	49.1	23.4	12.4	78.4	0.66	44.0	21.7	11.5	79.5	0.62
2015	37.2	17.1	8.31	91.0	0.58	37.1	18.6	8.3	85.6	0.56
2016	31.8	19.0	6.56	80.2	0.49	32.2	19.0	7.0	81.2	0.5
2017	30.1	18.9	4.48	79.3	0.46	31.5	19.4	4.9	78.5	0.47
2018	28.8	20.2	4.71	72.9	0.46	31.7	20.9	4.9	73.7	0.47
2019	33.8	21.4	3.74	82.5	0.4	33.7	21	3.8	77.6	0.43
2020	26.5	18.1	2.81	75.6	0.38	30.5	19.7	2.9	75.9	0.40

<sup>a</sup> Unit:  $\mu\text{g m}^{-3}$  for all pollutants, except CO ( $\text{mg m}^{-3}$ ).

of  $1.7 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $0.2 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $1.5 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $0.7 \mu\text{g m}^{-3} \text{ year}^{-1}$ , and  $0.03 \text{ mg m}^{-3} \text{ year}^{-1}$  for PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO, respectively (Fig. S6†). It is worth noting that the decreasing trends were significant except for NO<sub>2</sub>, indicating more attention should be paid to the emission reduction of it. Additionally, the annual observed concentrations of PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO decreased by  $2.7 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $0.3 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $1.7 \mu\text{g m}^{-3} \text{ year}^{-1}$ , and  $0.04 \text{ mg m}^{-3} \text{ year}^{-1}$ , respectively, whereas the concentration of O<sub>3</sub> increased by  $0.03 \mu\text{g m}^{-3} \text{ year}^{-1}$  (Fig. S6†). The decreasing trends of the meteorology-adjusted pollutant concentrations were weaker than those of the observed concentrations, suggesting that the meteorological conditions were favorable for pollution control, except for O<sub>3</sub>. That is to say, the contribution of meteorological conditions to the improvement of PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and CO were approximately  $1.0 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $0.1 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $0.2 \mu\text{g m}^{-3} \text{ year}^{-1}$ , and  $0.01 \text{ mg m}^{-3} \text{ year}^{-1}$ . In contrast, the meteorological conditions negatively contributed to O<sub>3</sub> reductions, as the magnitudes of the reductions decreased after meteorology adjustment, with  $0.76 \mu\text{g m}^{-3} \text{ year}^{-1}$ . Li *et al.*<sup>51</sup> fitted O<sub>3</sub> to meteorological variables with a multiple linear regression model showed that meteorology played a significant role in the 2013–2019 O<sub>3</sub> trend, contributing approximately  $2.7 \mu\text{g m}^{-3} \text{ year}^{-1}$  over NCP, which was much higher than that at SDZ. These results suggested that the impact of meteorological conditions should be considered in the control of pollutant level. In addition, previous studies demonstrated that the concentrations of pollutants at SDZ were not only affected by the emissions in BTH but also by those of south of the NCP.<sup>30,45</sup> Therefore, the emission control strategies should be considered on a broader regional scale.

## 4 Conclusions and implications

In this study, we applied a machine-learning-based model to analyze the meteorology- and emission-driven variations in pollutant concentrations based on long-term data of a background station in northern China. The overall air quality significantly improved in BTH, with the annual mean

concentrations of air pollutants at SDZ generally descending year by year during the study period.

The annual variations in the effects of the meteorological conditions on the annual mean concentrations of PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO ranged from  $-14.8$  to  $10.3\%$ ,  $-8.5$  to  $7.3\%$ ,  $-11$  to  $7.1\%$ ,  $-7.9$  to  $6.0\%$ , and  $-7.4$  to  $7.3\%$ , respectively, during 2013–2021. The meteorology-adjusted SO<sub>2</sub> and CO levels slashed dramatically and continued their downward trend from 2013, with annual decline rates of  $-9.6\%$  per year and  $-5.1\%$  per year during 2013–2021, respectively. Different from SO<sub>2</sub> and CO, the meteorology-adjusted PM<sub>2.5</sub>, NO<sub>2</sub>, and O<sub>3</sub> levels did not always maintain a downward trend, with average decrease rates of  $-4.7\%$  per year,  $-1.3\%$  per year, and  $-1.4\%$  per year, respectively.

We further assessed the effectiveness of the two Clean Air Actions by removing the meteorological influences. Our results confirm that actions have led to a major improvement in the air quality at regional scale, with the reduction of  $1.7 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $0.2 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $1.5 \mu\text{g m}^{-3} \text{ year}^{-1}$ ,  $0.7 \mu\text{g m}^{-3} \text{ year}^{-1}$ , and  $0.03 \text{ mg m}^{-3} \text{ year}^{-1}$  for PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and CO, respectively. Although emissions dominated the long-term variations in pollutants, the meteorological conditions obviously played a positive role during the two action periods for pollutants except for O<sub>3</sub>. This suggested that future target setting should take meteorology conditions into account. Since the reducing variation in PM<sub>2.5</sub> had a large gap between that in the emission and the lower decrease rate of NO<sub>2</sub> and O<sub>3</sub> relative to that in 2013, a more comprehensive control strategy should be considered in Northern China.

## Data availability

Data available on request.

## Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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