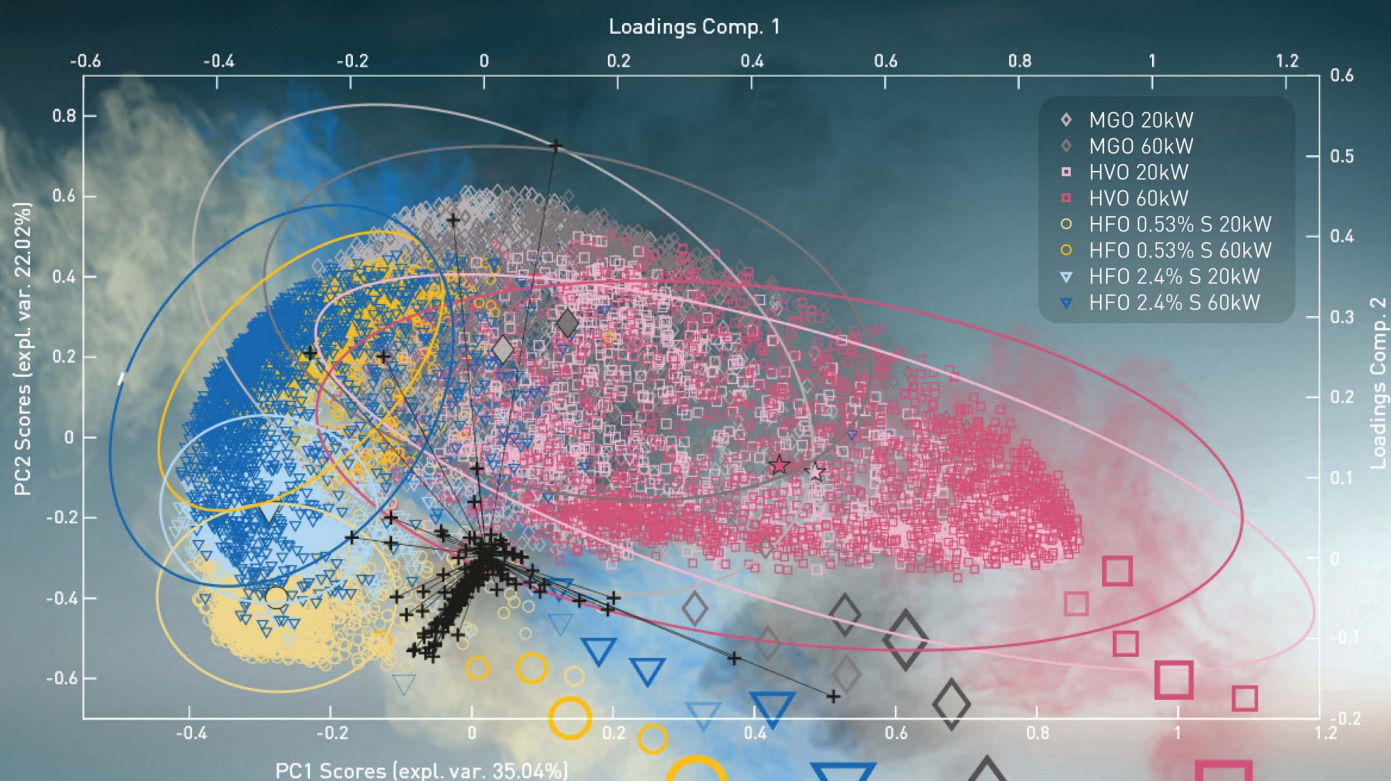


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MOLECULAR FINGERPRINTS OF SHIP EMISSIONS

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Polycyclic aromatic hydrocarbons as fuel-dependent markers in ship engine emissions using single-particle mass spectrometry†

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We investigated the fuel-dependent single-particle mass spectrometric signatures of polycyclic aromatic hydrocarbons (PAHs) from the emissions of a research ship engine operating on marine gas oil (MGO), hydrotreated vegetable oil (HVO) and two heavy fuel oils (HFO), one with compliant and one with non-compliant fuel sulfur content. The PAH patterns are only slightly affected by the engine load and particle size, and contain sufficient dissimilarity to discriminate between the marine fuels used in our laboratory study. Hydrotreated vegetable oil (HVO) produced only weak PAH signals, supporting that fuel residues, rather than combustion conditions, determine the PAH emissions. The imprint of the fuel in the resulting PAH signatures, combined with novel single-particle characterization capabilities for inorganic and organic components, opens up new opportunities for source apportionment and air pollution monitoring. The approach is independent of metals, the traditional markers of ship emissions, which are becoming less important as new emission control policies are implemented and fuels become more diverse.

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Environmental significance

Ship emissions are a major source of air pollution, causing serious impacts on human health, environment and climate. Various sulfur emission regulations have come into force in recent years, leading to a diversification of marine fuels. As a result, there is a need for alternatives to the traditional markers of ship emissions, taking into account the new fuel types. Here we show how the characteristic signatures of polyaromatic hydrocarbons can be used to identify the fuel for the purposes of monitoring and surveillance.

Introduction

Global shipping has a significant impact on human health, ecosystem quality and climate change through the release of

anthropogenic emissions such as carbon dioxide (CO₂), sulfur oxides (SO_x), nitrogen oxides (NO_x) and particulate matter (PM) into the atmosphere.^{1–6} Maritime transport facilitates over 90% of global trade volume,⁷ and trends indicate that demand for shipping will increase by up to 50% by 2030 compared to 2016 levels.⁸ To reduce the environmental and health burden, sulfur emission control areas (SECAs) have been established in many coastal regions (e.g. North America, North Sea, Baltic Sea, United States Caribbean Sea⁹), limiting the fuel sulfur content (FSC). The current EU sulfur directives require ships to use fuels with a maximum FSC of 0.1% m m^{−1} inside SECAs since 2015.⁹ A global sulfur cap of 0.5% m m^{−1} outside SECA zones was put in place in 2020.¹⁰ As a result, the range of fuels available has diversified, including bunker fuels (“Heavy Fuel Oils”, HFOs) with varying sulfur contents. The majority of ships operating in SECAs have transitioned to use distillate fuels such as marine gas oil (MGO).¹¹ This has resulted in a significant reduction in particulate matter (PM) emissions.^{12,13} However, it is important to recognize that the use of low sulfur containing fuels without filter technology may still have a substantial impact on human health.¹⁴

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† Electronic supplementary information (ESI) available: Fig. S1: sum mass spectra without normalization. Fig. S2: sum mass spectra for 25% engine load. See DOI: <https://doi.org/10.1039/d4ea00035h>

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Ships are powered by large diesel engines that do not have the aftertreatment of exhaust gases that is common in road vehicles. Ship emissions aerosols are therefore part of diesel exhaust particles (DEP), which are classified by the International Agency for Research on Cancer (IARC) as a group 1 carcinogen with an increased risk of lung cancer. The particles (*e.g.* soot) also carry carcinogenic PAHs,^{15–17} can cause oxidative stress and are cytotoxic.¹⁸

Even high-sulfur containing fuels can be legally used on ships if exhaust gas cleaning systems such as scrubbers reduce the sulfur emissions to levels similar to those of compliant fuels.¹⁹ While ship emissions from fuels that comply with current regulations are reported to be reduced,^{20–22} the use of wet scrubbers can still have a devastating impact on the environment.^{23,24} One possible way to reduce both greenhouse gas and PM emissions from shipping is to use alternative fuels such as hydrotreated vegetable oil (HVO).²⁵ However, such fuels are costly and available only in limited quantities.^{26,27}

The increased diversity of fuel types and the growing use of distillate fuels pose new challenges for air pollution monitoring, surveillance and source apportionment. The traditional markers of ship emissions are the transition metals V, Ni or Fe – *i.e.* residues from bunker fuels.²⁸ Based on these metals, single-particle mass spectrometry (SPMS) is capable to detect ship emissions in real time,^{29–34} including complex atmospheric environments³³ even if scrubbers are used in SECAs.³¹ However, the metals are not emitted during operation on distillate fuels and therefore new markers beyond the transition metals are needed.²⁸

Characteristic patterns of volatile and semi-volatile aromatic hydrocarbons from ship emissions have been reported.³⁵ Recently, it was shown that ship emission particles from MGO combustion can be identified by their profile of PAHs,³⁶ using a new technology in single-particle mass spectrometry (SPMS).³⁷ Anders *et al.*³⁶ studied emissions from a research ship engine running on MGO and described a consistent PAH pattern with high homogeneity that was also found in marine ambient air. A transient appearance of this pattern could also be attributed to a distant ship passage, emphasizing the potential of single-particle PAH profiling as a novel marker concept for ship emissions from distillate fuels. The novel capability to characterize PAHs along with the inorganic composition of individual particles has sparked new applications and source apportionment capabilities.³⁸ Here we extend the new approach to currently relevant marine fuels and describe the single-particle PAH emissions from a research ship engine running on two HFOs with different sulfur contents, MGO and HVO. Complementary to the particle's inorganic composition, the PAH patterns we observed for our multi-fuel research engine provide the basis for a sophisticated approach to ship emission monitoring and control, covering and discriminating between different compliant and non-compliant fuels in real-time scenarios.^{36,38}

Methods

Research ship engine, fuels and sampling

The experiments were carried out on a 1-cylinder, 4-stroke, 80 kW research marine engine with common rail injection, installed at the “Institute of Piston Machines and Internal

Combustion Engines” in Rostock, Germany. This engine is a well-characterized model for ship emission studies and is suitable for all types of marine fuels.³⁹ The investigated fuels include (I) the SECA-compliant fuels MGO and HVO, (II) HFO with 0.5% FSC as a compliant fuel for waters outside SECAs, and (III) HFO with 2.4% FSC, which can only be used legally with sulfur scrubber technology. Further details on the fuel can be found elsewhere.⁴⁰ In addition, to show an appropriate range of engine operations, four different loads of 80 kW, 60 kW, 40 kW and 20 kW were investigated, corresponding to the relative engine loads of 100%, 75%, 50%, and 25%, respectively. All levels were operated for at least one hour, with a running-in period of 25 minutes for stabilization. The emitted aerosol was sampled and passed at a temperature of 200 °C through a cyclone with a cut-off size of 10 µm. The aerosol was diluted with dried and particle-free air in a two-stage ejector dilution system (eDiluter, Dekati Ltd, Finland). The dilution ratio was 1 : 50 for the experiments with the heavy fuel oils, while a dilution ratio of 1 : 25 was used for the MGO and HVO fuels, respectively. Finally, from a total flow of 1 L min^{−1} that was transported to the SPMS, 0.1 L min^{−1} was directed into the system. In addition to the SPMS' optical sizing unit, particle size distributions were also measured using a scanning mobility particle sizer (TSI; model 3082) downstream of the SPMS after further dilution by a factor of 100. Further detailed information on the sampling setup can be found in Jeong *et al.*⁴⁰

Single-particle mass spectrometer with PAH characterization

The ability to measure chemical profiles of individual particles makes single-particle mass spectrometry (SPMS) a unique tool for source identification in complex atmospheric environments. Here, a novel ionization setup was applied, using spatially and temporally tailored laser pulses to simultaneously induce laser desorption/ionization (LDI) and resonance-enhanced multiphoton ionization (REMPI) of particle components. The technique provides single-particle information on the inorganic composition (*via* LDI) and particle-bound PAHs (*via* REMPI).³⁷ In brief, a narrow particle beam is formed by an aerodynamic lens at the inlet of the instrument. Individual particles in the beam are then optically detected and sized. Subsequently, each particle is exposed to an IR pulse from a CO₂ laser (10.6 µm, 20 mJ pulse energy), which desorbs organic matter and creates a gaseous plume surrounding the refractory particle residue. In the ionization step, an unfocused UV pulse from a KrF excimer laser (248 nm wavelength, 6 mJ pulse energy) ionizes the desorbed PAHs in the plume *via* REMPI.⁴¹ The laser light is then backreflected and focused into the ion source, ionizing the refractory residue of the same particle *via* LDI at much higher laser intensity. PAH ions are measured in the positive arm of the bipolar Time-Of-Flight (TOF) mass spectrometer, while inorganic compounds from LDI are detected in both the positive and negative flight tubes. All ion signals are recorded by a 14 bit digitizer (ADQ14, Teledyne SP Devices AB, Sweden) and a customized software based on LabVIEW (National Instruments Inc.). It should be noted that SPMS data does not produce precise mass concentration values of the substances but yields



chemical information on a single-particle level. Isobaric substances, however, cannot be distinguished (*e.g.* phenanthrene vs. anthracene).

Analysis of SPMS data

Custom MATLAB software (MathWorks Inc.) was used for the conversion of time-of-flight data to mass spectra with nominal mass resolution. The bars reflect the integrated peak area. Because of the different ionization processes, data from LDI and REMPI were individually normalized. The violin plots were created using the “violin plot” tool for matlab.⁴² The principal component analysis (PCA) was performed on the time-of-flight data using the Matlab statistics toolbox with the “pca” command. Therefore, only PAH relevant data from REMPI were considered.

Results and discussion

Mass spectrometric pattern from different ship fuels

Fig. 1 shows the sum mass spectra of particles from engine operation with the different fuels during 60 kW (80%) load. The particle composition derived from LDI (black) reveals carbon clusters from soot as the dominant component of diesel exhaust particles (DEP), organic fragments and signals from lube oil constituents, *i.e.* calcium and phosphate.^{43–46} The heavy fuel oils (Fig. 1(c) and (d)) produce the typical marker metals vanadium, iron and nickel. However, they appear relatively low due to the very strong Na⁺ signal that dominates the normalized spectrum. The MGO and HVO emissions also reveal signals from these metals, however, with much lower

peak intensities. They can originate from carry-over effects, *e.g.* via lube oil, and from redispersed particles from the inner surfaces of the manifold and exhaust.^{44,45} Note that iron is resonantly ionized in our instrument, leading to substantial signal enhancements of the Fe⁺ signal,^{37,47} but also increased Ni⁺ and V⁺ peaks.³¹ Due to their high sulfur content, heavy fuel oils show stronger sulfate signals compared to MGO and HVO. The difference for ⁹⁷HSO₄[−] between the HFO fuels is only moderate due to signal saturation in the SPMS but it is noticeable for ⁸⁰SO₃[−]. Compared to MGO and HVO, the stronger alkali metal signals (Na⁺ and K⁺) are also noteworthy. For MGO, the inorganic particle composition from LDI resembles the results from previous studies on heavy-duty vehicles without exhaust filters.⁴⁵ For the heavy fuel oils, similar compositions have been reported in laboratory-based and field studies on ship emissions.^{29–31,48,49}

The corresponding average PAH mass spectra from REMPI are shown in red in Fig. 1. For all fuels except HVO, these PAH signatures are dominated by series in *m/z* sequences of 14 Da starting at *m/z* = 178. The peak at *m/z* = 178 more likely stems from phenanthrene than from anthracene because the latter is predominantly formed in the combustion process⁵⁰ and associated with fewer alkylated derivatives, whereas alkylated phenanthrenes in the emissions are typical residues of unburned fuel.^{35,36,39,51} For MGO, *m/z* = 178 is the dominant peak and the signals decrease with increasing degree of alkylation. This pattern has been described in detail in our previous study.³⁶ About 50% of the characterized particles from MGO combustion reveal clear PAH signals in their REMPI spectra.

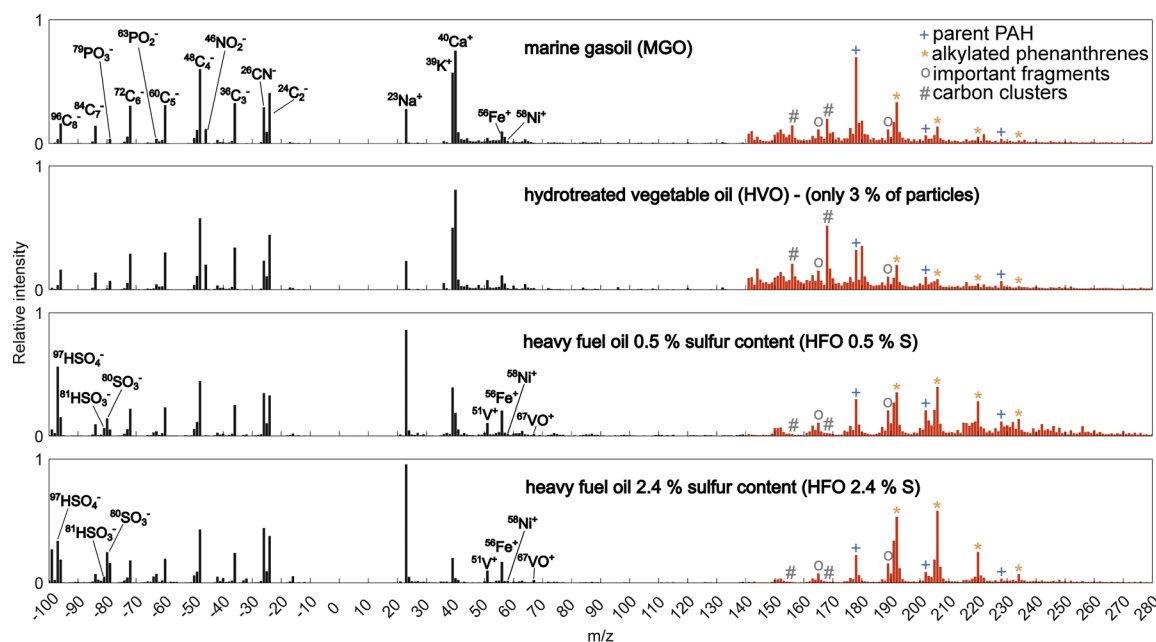


Fig. 1 Sum mass spectra ($n = 10\,000$) from laser desorption/ionization (LDI, black) and resonance-enhanced multiphoton ionization (REMPI, red) of particles from four marine fuels (75% engine load). The inorganic particle composition from the residual fuels exhibits the well-known signals of transition metals and sulfur. The PAH signals from MGO and HVO combustion show comparable pattern, while the total PAH signals for HVO are much smaller (balanced by normalization in the figure, see Fig. S1† for a comparison without normalization). For the HFO fuels, PAHs of higher mass are more pronounced and a higher degree of alkylation is observed, resulting in a shift of the maximum towards the C₂-phenanthrenes. The respective mass spectra for 25% engine load are shown in Fig. S2†.



This value is comparable to the method's hit rate for PAHs³⁷ indicating that most particles contain PAHs, which is reasonable due to uniform condensation of semi-volatile organics on the particles in the exhaust. For the HVO combustion, the signal strength of PAHs and the fraction of PAH-containing particles is much smaller, with only 3% of particles showing a PAH signal well above the noise level. The signature of this small fraction of particles is comparable to that of the MGO particles (Fig. 1(b)), indicating that these signals result probably from resuspended particles from the exhaust rather than from the HVO combustion or fuel residues. This assumption is supported by fuel sample analyses, where PAHs in HVO were below the limits of detection, see Fig. S3.† Due to the HVO particle's low PAH content, high-mass carbon clusters are more pronounced in the normalized mass spectrum in Fig. 1(b). Similar to the MGO emissions, the particles from HFO combustion are characterized by a dominant series of alkylated phenanthrenes, however, the series extends to larger masses and its maximum is shifted to the C₂-phenanthrenes, resulting in a remarkably different pattern. There are also variations among the HFO fuels, *i.e.* stronger contributions from parent PAHs and high mass species for the low-sulfur HFO. However, compared to MGO, both HFO fuels share the distinct shift of the maximum towards the C₂-phenanthrenes.

The homogeneity of PAH signatures over the particle ensemble

For their use as a marker, it is crucial for the PAH signature to be stable across all emitted particles from one fuel. Although the inorganic composition of individual particles in engine emissions can vary significantly,^{44,45} PAHs in ship emission particles from MGO combustion have already shown a higher degree of similarity.³⁶ Fig. 2 illustrates the distribution of congruence

coefficients (r_c) as a measure of the similarity between the individual mass spectra. For each fuel, the congruence coefficient has been calculated for 5000 particles according to

$$r_c = \frac{\sum_{i,j} x_{ij}^2 y_{ij}^2}{\sqrt{\left(\sum_{i,j} x_{ij}^2\right) \left(\sum_{i,j} y_{ij}^2\right)}}$$

with x and y representing the single particle mass spectra. The median r_c value from REMPI signals represents the PAHs and is higher than the r_c from LDI signals that reveal the particle's inorganic composition, as evident from the violin plots in Fig. 2. For a direct comparison between the r_c values of all LDI and REMPI data at different engine loadings see Fig. S5 and S6.† Furthermore, the distribution of REMPI signals is notably denser for all fuels when compared to the signals in the LDI spectra and the interquartile range is small, demonstrating a high level of similarity for the PAH signatures. This supports the marker characteristics of PAHs in particle emissions from marine fuels.

Effect of the particle size

In addition to information on the particle composition, SPMS provides the individual particle size in vacuum aerodynamic diameter. The overall detection efficiency of the SPMS decreases rapidly for particles smaller than 200 nm, mainly due to the Mie scattering limit, which is strongly dependent on the wavelength of the continuous wave lasers in the optical sizing system.⁵² To address this inherent bias of SPMS towards the largest size modes of combustion particles, we conducted additional measurements in the so-called 'free-running mode'. Ultrafine particles can be measured using this mode, which deactivates the optical detection and sizing unit while increasing the repetition rate of the desorption-and ionization lasers to 100 Hz in our experiment. The size limit for measured particles is reduced to approximately 50 nm at the expense of lost size information.^{53,54} Fig. 3 illustrates the particle composition for different particle sizes. The averaged mass spectra of each 5000 particles are compared for both the free-running mode (including ultrafine particles, blue shaded) and the standard sizing mode (≥ 150 nm, red shaded). The respective particle size distributions were measured with a scanning mobility particle sizer (SMPS). For MGO and HVO, the measurements in free-running mode include the maximum of the size distribution and soot signatures are slightly increased relative to the larger particles measured in the sizing mode. Mass spectra obtained in the sizing mode show a phosphate signal that is 2 to 4 times more intense, an indication of a larger contribution from the lube oil. The particle size distributions of the heavy fuel oils are dominated by sulfate and soot particles,⁵⁵ which are too small to produce mass spectra in the instrument. Therefore, carbon clusters are not enhanced in the free-running mode. Consistent with our previous study,³⁶ the PAH patterns are not substantially affected by the particle size and retain their fuel-dependent signatures due to the uniform condensation of PAHs on the particles during cooling in the exhaust pipe. The size distribution data for each fuel under different load conditions can be found in the ESI in Fig. S4.†

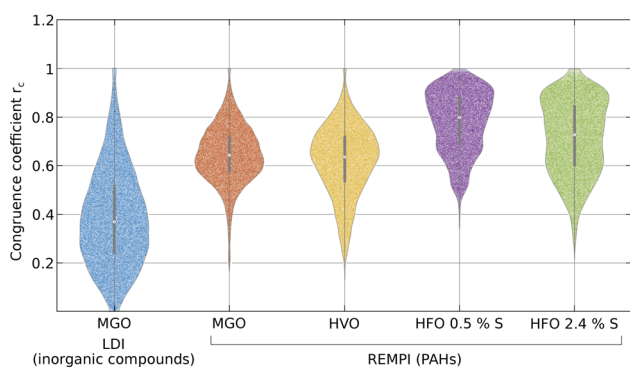
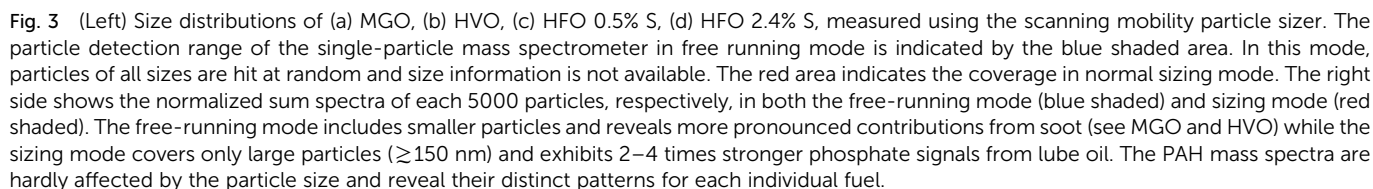


Fig. 2 Distribution of the congruence coefficients r_c between single-particle mass spectra of four different shipping fuels. The violin plot of r_c from the inorganic particle composition (LDI) of MGO particles reflects the heterogeneity of different particle types. In contrast, the REMPI signatures (mainly from PAHs) of the same particles from MGO combustion are more homogeneous. Also, for HVO, HFO with 0.5% sulfur, and HFO with 2.4% sulfur, the respective PAH patterns show a high homogeneity, which is a prerequisite for their use as a ship fuel marker. The width illustrates the number of particles in a bin. The interquartile range ($n = 5000$) that represents half of the particles is shown as a grey bar with its median in white.





To investigate a measure of fuel differentiation and the influence of load conditions, a principal component analysis (PCA)

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57% of the total variance. The biplot (Fig. 4) illustrates the relation between the factors “fuel” and “load” from PC scores and provide information on the variables responsible for grouping from the PC loadings. A separation along the first PC into HFO and the fuels MGO and HVO can be noticed. HFO contains longer alkylated alkyl chains in the homologue series of phenanthrene, which is the driver of the fuel separation because unburned fuel is the main contributor to aromatic compounds in particulate emissions. Peaks of C₂- to C₄-alkylated phenanthrenes appear at m/z = 206, 220 and 234, while the peak at m/z = 189 denotes a common fragment of angled PAH with larger degrees of alkylation.^{56,57} Except for MGO and HVO, the variances within the two fuel types, highlighted by the 95% confident ellipses, were apparently lower than between them, thus supporting the use of this signature for ship fuel identification. Note that the HVO in this study only serves as the “cleanest” possible hydrocarbon fuel. Engine operation at lower loads causes emissions with a higher contribution of unburned fuel than higher loads. In the PCA biplot, the effect of engine load appears in the vertical direction on the second PC for all fuels, although minor in the case of HVO. Emissions at higher engine loads contain more aromatics from high-temperature pyrosynthesis inside the combustion chamber, such as by the hydrogen-abstraction carbon-addition (HACA) mechanism.⁵⁸ Variables pulling in the positive direction of PC2 are m/z = 178 and m/z = 192, *i.e.* phenanthrenes of no or low degree of alkylation, indicating pyrosynthesis and a lower contribution of unburned fuel. Conversely, alkylated phenanthrenes at m/z = 206, 220 and 234 show contributions in the negative direction of

PC2, thus towards low engine loads. Nevertheless, variances added by the actor “load” are lower than for the factor “fuel”, hence the fuel type has a stronger effect on the mass spectral signatures in the particle ensemble, emphasizing the identification of the used ship fuel by our SPMS approach.

Potential and limitations for the PAHs as fuel markers in SPMS

Our study shows that many of the conditions are in place for the use of single-particle PAH signatures as a future marine fuel marker. In particular, the characteristic PAH pattern unique to the fuel is evident for most particles and is consistent with particle size and engine load. The HVO emissions are difficult to identify due to the low number of particles with PAHs and the similarity to the MGO pattern, but the relevance of HVO for the future shipping industry is questionable.^{59,60} The inorganic particle composition remains detectable with our SPMS-method. In a screening approach it can further help to distinguish between *e.g.* high sulfur and low sulfur blends or between metal-containing residual fuels and distillates, which are often named “hybrid” fuels. Higher confidence in identification may be achieved with our complementary PAH analysis. One aspect that is difficult to investigate is the effect of engine type and engine size. However, as fuel residues are a major determinant of the PAH signal, the inevitable emission of unburnt fuel will contribute to signal consistency between different ships. In a previous study, we discussed potential interferences between MGO combustion and terrestrial emissions and concluded that,

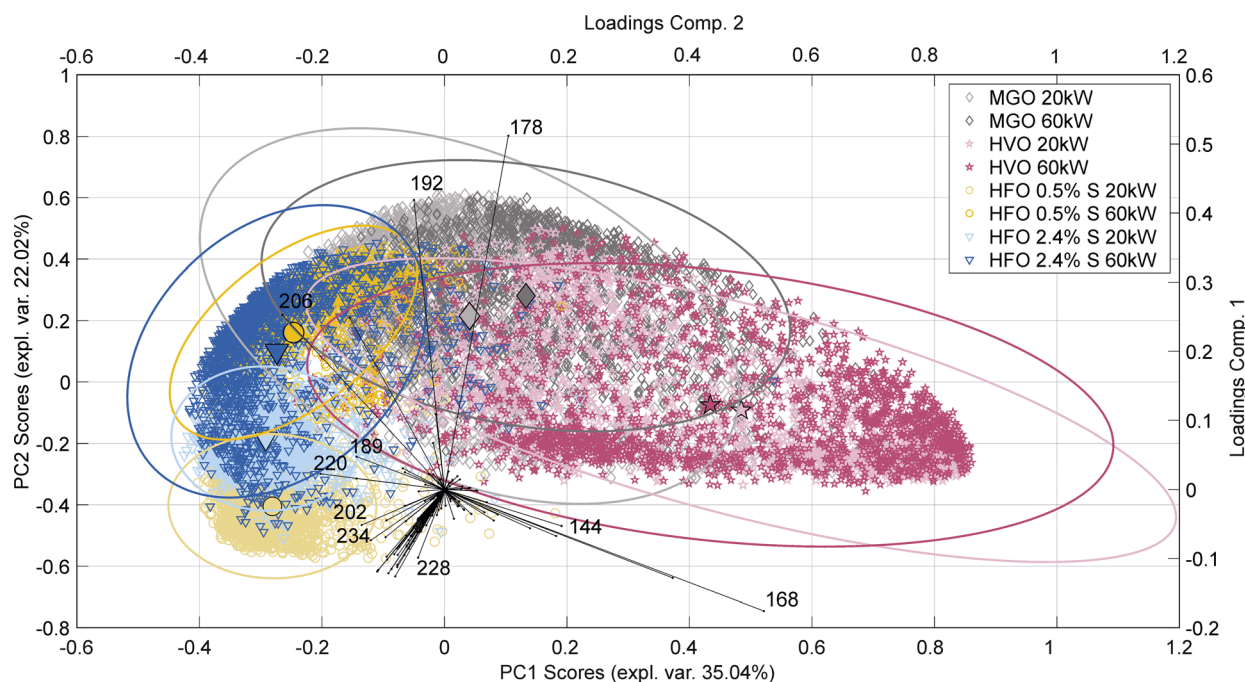


Fig. 4 Principal component analysis of single-particle PAH mass spectra from marine gasoil (grey), hydrotreated vegetable oil (red), heavy fuel oil 0.5% sulfur (blue) and heavy fuel oil 2.4% sulfur (yellow) at 20 kW load and 60 kW load, respectively. The length of the eigenvectors represents the contribution of signals at different mass-to-charge ratios to the mass spectral differences. The ellipses depict the 95% confidence interval for the principal component scores of each cluster. While the clusters are well separated for the low load conditions (light colors), the mass spectral signatures approach each other at higher load (dark colors), but remain distinguishable. $N = 1670$ for each of the fuels and loads.



due to the widespread use of filter technologies, only a few land-based sources with comparable PAH emission patterns remain, namely diesel engines without exhaust filters, *e.g.* at power generators, construction machines, and old vehicles.³⁶ The same interferences can also be expected for the HFO emissions with their alkylated PAHs of higher masses. An important terrestrial PAH source is wood combustion. In comparison to ship emission particles, SPMS mass spectra from wood combustion show much stronger parent PAH signals (see *e.g.* ESI† in Anders *et al.* 2023 (ref. 36)). The series of alkylated phenanthrenes in the PAH spectra from HFO shows some similarities to emissions from the smouldering combustion of biomass material.⁶¹ However, while the decomposition of resin acids in wood burning typically results in a (local) maximum of the phenanthrenes at $m/z = 234$,^{62,63} the HFO produces a smooth distribution with its maximum at lower masses, see Fig. 1. Nevertheless, with the additional information about the particle's inorganic composition, a straightforward differentiation between the fossil residual fuel (strong sulfur and metal signals) and the biomass fuel (dominant potassium signal^{64–66}) is possible. In a field study of PAHs in aerosols using the same method at the Swedish coast, we found no PAH signatures similar to the HFO pattern in >290 000 particles, of which >4000 had detailed PAH mass spectra.³⁸ Given the large distance to the SECA outer boundary (>1000 km) and the high fuel compliance within the Baltic SECA,⁶⁷ this may not be surprising, but it also indicates the absence of other sources with a similar PAH signature.

Another source of ambiguity is related to the degradation of PAHs. Substance-specific rates introduce an important limitation to the diagnostic ratio concept in source apportionment.^{68,69} The degradation of PAHs may not be an important factor in the detection and monitoring of individual ship plumes, as the plumes disintegrate within minutes to a few hours.⁷⁰ For assessments of the contribution of shipping to background air pollution and for studies of long-range transport, PAH degradation may be a major limitation of the approach. However, PAHs have often been shown to persist in the atmosphere for much longer than suggested by laboratory experiments,⁶⁹ *e.g.* because of weather conditions and slower dark ageing⁷¹ or due to shielding effects.^{72,73}

Conclusion and outlook

Having introduced the concept of single-particle PAH pattern as a marker for ship emissions from distillate fuel combustion,³⁶ here we extend this approach to other relevant ship fuels. As unburnt fuel residues contribute significantly to the PAH signatures, the patterns retain their fuel-characteristic profiles under different conditions and are also expected to have a high degree of similarity between different marine engines. The basic field-applicability of the PAH marker approach could already be shown,³⁶ however, field studies should demonstrate the differentiability of the various fuels for a larger number of ship plumes. This is a challenging task due to fuel uniformity and high compliance rates in SECAs,⁶⁷ and it may require open-sea measurements outside the SECA to get data from

a sufficient number of ships with different fuels.⁷⁴ However, previous research and this study demonstrate the potential of PAHs as fuel markers for ships in the area of source apportionment, where it is difficult to find a long-range method to ensure compliance in emission control areas.

Author contributions

L. A., J. S. and I.-E. R. performed the SPMS experiments. L. A. and H. C. analyzed the data. R. I. provided technical assistance. U. K. and T. G. analyzed the fuel samples. U. E., S. J., M. R. S. B. and J. B. performed the aerosol characterization experiments at the engine. U. E. and B. B. provided the research ship engine and operated it. T. S., B. B. and R. Z. developed and managed the project and raised funding. J. S., M. S., H. C., T. A. and R. Z. gave scientific discussions. J. P. conceived the study. L. A. and J. P. wrote the manuscript.

Conflicts of interest

There are no conflicts to declare.

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