

## PAPER

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## Probing the protonation and reduction of heptavalent neptunium with computational guidance†

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Influence of pH on the speciation and stability of heptavalent neptunium is poorly understood although it is frequently invoked in the literature to explain experimental observations. The present study employs Density Functional Theory (DFT) methodology to assess the thermodynamic feasibility of protonation reactions for the Np(vii) anion complex and the impact on its reduction to Np(vi). This theoretical framework is then explored experimentally through the titration and systematic protonation of Np(vii) in solution and solid-state samples while monitoring them spectroscopically. Computational results reveal that protonation reactions with the axial OH<sup>−</sup> ligands of the Np(vii) anionic complex, [NpO<sub>4</sub>(OH)<sub>2</sub>]<sup>3−</sup>, are more thermodynamically favorable than the equatorial oxo ligands. In addition, DFT studies indicated that up to four sequential protonation reactions may be feasible before reduction becomes thermodynamically favorable. Experimental results also uncover that protonation leads to distinct changes in the observable vibrational signals and UV-Vis absorption features. Overall, we observed that the protonation of [NpO<sub>4</sub>(OH)<sub>2</sub>]<sup>3−</sup> in solution and in the solid-state occurs before reduction to the Np(vi)O<sub>2</sub><sup>2+</sup> species.

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## 1. Introduction

The aqueous chemistry of actinide elements, such as Neptunium (Np), presents a complex and intriguing chemical system due to the variable oxidation states these elements can adopt, leading to broad variations in their speciation and chemical behavior.<sup>1</sup> Neptunium, in particular, is known to exist in multiple oxidation states (iv–vii) in aqueous media, with each state exhibiting distinct chemical properties and behaviors.<sup>1,2</sup> Np(iv), Np(v), and Np(vi) are more studied, due in part to their relevance in spent nuclear fuel reprocessing systems, such as the Plutonium–Uranium Extraction (PUREX) process that operates under acidic conditions.<sup>3,4</sup> Under these conditions, Np(iv) forms 8–10 coordinate species, whereas Np(v) and Np(vi) typically occur in a dioxo (Np(v/vi)O<sub>2</sub><sup>+/2+</sup>) form.<sup>5</sup> These species are typically soluble within acidic conditions, but as the pH increases, they commonly precipitate as hydroxide phases.<sup>6–9</sup> In the case of Np

(iv) and Np(vi), many of the resulting complexes in these systems are isostructural to the analogous uranium systems.<sup>10</sup>

In contrast, Np(vii) behaves quite differently and cannot be compared to other early actinides. It is generally considered to be soluble under alkaline conditions and exists as a relatively stable tetragonal bipyramidal anionic complex [NpO<sub>4</sub>(OH)<sub>2</sub>]<sup>3−</sup> under highly alkaline conditions.<sup>11–14</sup> This is different than what is observed for the highest valence state of uranium (U(vi)), which remains in a dioxo configuration even at high pH values.<sup>2</sup> However, our understanding of Np(vii) chemistry and speciation, particularly under acidic or near-neutral conditions, is still underdeveloped and there is ambiguity to the stability field of Np(vii) in the current literature.<sup>15</sup> Some attempts to form Np(vii) complexes under neutral or weakly acidic conditions indicated the formation of amorphous solids with the formula M(NpO<sub>4</sub>)<sub>2</sub>·nH<sub>2</sub>O (M = Mg, Ca, Sr, Ba), which are suggested to form 2-dimensional network structures consisting of Np(vii)O<sub>2</sub><sup>3+</sup> cations that are each bound to four bridging O anions in the equatorial plane.<sup>16</sup> This proposed structural description is largely based on combining the elemental composition (as determined by light absorption and gravimetric analysis) with the assignment of IR bands as the anti-symmetric vibrations of atoms in Np(vii)O<sub>2</sub><sup>3+</sup> groups. Very little is known about the mechanism through which this type of a structure would have formed, but one proposed mechanism involves protonating two oxo groups within the [NpO<sub>4</sub>(OH)<sub>2</sub>]<sup>3−</sup>

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moiety to yield  $[\text{NpO}_2(\text{OH})_4]^-$  as an intermediate.<sup>16</sup> Descriptions from other literature sources suggest that under weakly basic, acidic, or reducing conditions,  $\text{Np}(\text{VII})$  will rapidly undergo reduction to  $\text{Np}(\text{VI})$ .<sup>15,17,18</sup> This reduction would clearly involve significant geometric rearrangement and the formation of multiple intermediate structures to transition from the tetragonal bipyramidal anionic complex of  $[\text{NpO}_4(\text{OH})_2]^{3-}$  with oxo ligands occupying the equatorial plane to the linear neptunyl  $\text{Np}(\text{VI})\text{O}_2^{2+}$  cation with oxo bonds in the axial plane. However, little is known about these possible species, including any spectroscopic signals that could be associated with them or whether they are stable intermediate species.<sup>12</sup>

The present paper aims to address this gap in our understanding of  $\text{Np}(\text{VII})$  chemistry as the system transitions from alkaline to near neutral conditions. Specifically, we assessed the thermodynamic feasibility of protonation reactions of the  $\text{Np}(\text{VII})$  anion complex and investigated whether protonation would affect the redox chemistry of  $\text{Np}(\text{VII})$  in the anionic complexes using Density Functional Theory (DFT) methodology. In addition, we utilize computational methods to identify spectroscopic signals that could be used to characterize the presence of protonated forms of the complex anions and then explore these systems experimentally with UV-Vis-NIR and Raman spectroscopy to confirm the theoretical predictions. In addition, we explored the protonation of  $\text{Np}(\text{VII})$  in the solid-state through the spectral evaluation of  $[(\text{Co}(\text{NH}_3)_6)(\text{NpO}_4(\text{OH})_2)] \cdot n\text{H}_2\text{O}$  ( $n = 2-4$ ) in the presence of acid vapor.

## 2. Experiment

### 2.1. Computational details

Gaussian 16 software package<sup>19</sup> was used to perform all geometry optimizations and vibrational analysis with molecular DFT. To model exchange correlation effects, the B3LYP (Becke, 3-parameter, Lee–Yang–Parr)<sup>20,21</sup> hybrid function was used for the calculations. van der Waals dispersion correction methods DFT-D3 were again utilized with the Becke–Johnson damping term.<sup>22,23</sup> The polarized triple zeta (def2-TZVP)<sup>24</sup> basis set was utilized to represent the O and H, while the ECP60MWB pseudopotentials and ECP60MWB-SEG valence basis set were used for Np.<sup>25,26</sup> Scalar relativistic effects are included by employing small-core Effective Core Potentials (ECPs), and the spin-orbital contribution to relativistic effects has been ignored. Solvation in water was simulated using the Integral Equation Formalism Polarizable Continuum Model (IEFPCM).<sup>27,28</sup> All structures were optimized with no symmetry constrained to a tight convergence criterion. The calculated vibrational frequencies were monitored to ensure that structures were optimized to a true minimum. Structures manifesting imaginary frequencies underwent reoptimization with a finer integration grid and stringent convergence criteria (RMS force criterion of  $1 \times 10^{-6}$  Hartrees/Radians), ensuring their progression towards true minima without imaginary frequencies. Free energy of reactants and products are taken as implemented in Gaussian

16 package. Detailed description on calculation of thermochemical quantities in Gaussian are provided by Ochterski.<sup>29</sup>

Time-dependent DFT (TD-DFT) of optimized structures were performed by ORCA 5.0.4<sup>30</sup> using B3LYP hybrid functional.<sup>20,21</sup> Relativistic effects are included by Douglas–Kroll–Hess (DKH) Hamiltonian in combination with DKH-recontracted versions of the def2 basis sets. O and H atoms are represented by DKH-def2-TZVP basis set while Np atoms are represented by SARC-DKH-TZVP basis sets together with SARC/J coulomb-fitting auxiliary basis sets.<sup>31–34</sup> Spin orbital coupling was as implemented in ORCA TD-DFT calculation.<sup>35</sup> Integration grids (DefGrid3) and tight SCF convergence ( $1 \times 10^{-8}$  Hartrees) were used throughout with spin-orbital coupling included in the calculation. For spectroscopic predictions, 20 excited states were calculated and the resulting UV absorption spectra was plotted with OriginPro 9.60 (OriginLab, Northampton, MA) 64-bit software.<sup>36</sup>

At the conclusion of each DFT calculation, the spin density of the molecule was analyzed to ensure that the oxidation state of the Np center remained consistent with the initial state. In all calculations, no changes in the oxidation state of the Np center were observed. A full table of spin densities is provided in ESI, Section 1.3, Table S54.†

### 2.2. Solution titration studies

**CAUTION:** Neptunium-237 ( $^{237}\text{Np}$ ) is a highly radioactive isotope that should be restricted to specialized laboratories and handled under appropriate regulatory controls.  $\text{Np}(\text{VII})$  stock in  $\sim 0.85$  M LiOH was prepared by ozonation of a suspension of neptunium(V) hydroxide. To prepare the neptunium(V) hydroxide suspension, 0.250  $\mu\text{L}$  of 0.285 M  $\text{Np}(\text{V})$  in  $\sim 0.1$  M HCl was mixed with 1750  $\mu\text{L}$  of 1 M LiOH. A gas mixture, consisting of  $\sim 5\%$   $\text{O}_3$  in  $\text{O}_2$ , was subsequently bubbled through the suspension for 15 minutes with a gas flow rate of one  $\text{L min}^{-1}$ . After the ozonation, the solution was centrifuged for five minutes at 5000 RPM and the supernatant was transferred into a fresh 15 mL centrifuge tube. The pH of the  $\text{Np}(\text{VII})$  stock solution was 12.4.

For the titration studies, the initial pH and spectroscopic measurements were taken in a solution containing 100  $\mu\text{L}$   $\text{Np}(\text{VII})$  stock in 1900  $\mu\text{L}$  of 0.9 M LiOH solution. The pH was measured using a calibrated Mettler Toledo LE422 pH electrode. A separate solution was made for the second titration step by adding 100  $\mu\text{L}$   $\text{Np}(\text{VII})$  stock to 1900  $\mu\text{L}$  of ultrapure Millipore water (18.2 M $\Omega$ ). Remaining titration steps were performed according to the same procedure as the second step where 20, 40, 60, 70, 80, 85, 86, 87, 90 and 150  $\mu\text{L}$  of 1 M HCl was added to the original  $\text{Np}(\text{VII})$  stock solution. Ultrapure Millipore water was added to each to keep the total volume to 2000  $\mu\text{L}$ .

### 2.3. Optical spectroscopy

Absorption spectra of the experimental solutions were recorded using a modular Ocean Optics set-up consisting of a combined deuterium and tungsten halogen light source (DH-2000-BAL) with a Flame series UV (Flame-S-XR1-ES)



spectrometer for UV measurements, and a krypton light source (ECOVIS) with Flame series VIS (Flame-S-UV-VIS-ES) and NIR (Flame-NIR-INTSMA25) spectrometers for Vis-NIR measurements. A quartz cuvette with 1 cm path length was used during all optical spectroscopic measurements. Ultrapure Millipore water (18.2 MΩ) was used as a blank to confirm the spectral background between the runs. Due to the high molar absorptivity of Np(VII) solutions in the 200–650 nm region, all UV measurements were collected on samples that were diluted to 20% of their original Np concentration where the [OH<sup>−</sup>] was kept constant during the dilution.

## 2.4. Raman spectroscopy

Raman spectra were collected using a SnRI High-Resolution Sierra 2.0 Raman spectrometer outfitted with a 786 nm laser and a 2048 pixel TE Cooled-CCD. For solution samples, the laser intensity was set to 100 mW and spectra were obtained with a 50–60 s integration period. Solid-state spectra were obtained with a 60 s integration period. Normalization, background subtraction, and spectral fitting of both solution and solid-state Raman measurements was performed using the Origin Pro software.<sup>36</sup>

## 2.5. Synthesis and reactivity of [(Co(NH<sub>3</sub>)<sub>6</sub>)(NpO<sub>4</sub>(OH)<sub>2</sub>)]·nH<sub>2</sub>O (n = 2–4)

[(Co(NH<sub>3</sub>)<sub>6</sub>)(NpO<sub>4</sub>(OH)<sub>2</sub>)]·nH<sub>2</sub>O (n = 2–4) was previously reported by Charushnikova *et al.*<sup>37</sup> and crystals were synthesized in the present study using a modified procedure. Briefly, 75 μL of Np(VII) stock was diluted by adding 600 μL of 1 M LiOH, followed by the subsequent addition of 50 μL of 0.1 M (Co(NH<sub>3</sub>)<sub>6</sub>)Cl<sub>3</sub> to the solution. Dark green, needles that were ~50 μm in length were observed in solution within one minute of combining all reagents. Crystals were allowed to grow undisturbed for 20 minutes before being harvested by centrifuging the final suspension for 5 minutes at 5000 RPM and decanting off the supernatant. This procedure was repeated six times to grow a sufficient quantity of crystalline material for characterization by powder X-ray diffraction (PXRD) and subsequent solid-state Raman experiments.

Samples for PXRD were prepared by mixing small samples of powder with a minimal amount of high viscosity oil to create a thick crystallite-oil slurry and a small clump of this slurry was mounted on a 50 μm Mitigen® MicroMount™. To minimize the risk of powder dispersal, a clear polyester capillary, sealed at one end, is placed over the MicroMount™ and attached to the narrowed neck of the goniometer base. X-ray diffraction data was collected at room temperature on a Bruker® D8 Quest single X-ray diffractometer (λ<sub>MoKα</sub> = 0.71073 Å) with a PHOTON detector. Crystallographic data of this phase has been reported by Charushnikova *et al.*;<sup>37</sup> thus, the resulting diffractogram was compared to the predicted powder pattern using the Mercury software.

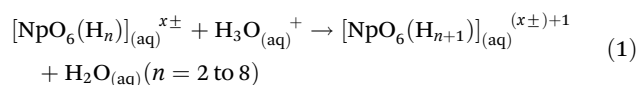
To test reactivity and protonation of the solid form, approximately 10 mg of the [(Co(NH<sub>3</sub>)<sub>6</sub>)(NpO<sub>4</sub>(OH)<sub>2</sub>)]·nH<sub>2</sub>O (n = 2–4) crystals were placed on a glass slide. This slide was then placed in a glass Petri dish along with a glass vial filled with

1 mL of 5 M HCl solution, covered, and solid-state Raman spectra were collected for 24 h. Solid-state Raman measurements were done using orbital raster scanning (resulting in collection of data across a 5 mm<sup>2</sup> area rather than a single focused point). The laser intensity was also reduced to 53.3 mW to prevent heat related sample degradation.

## 3. Results and Discussion

### 3.1. Computational insight into Np(VII) protonation

**3.1.1. Np(VII) protonation reactions and their energetics.** The anion [NpO<sub>4</sub>(OH)<sub>2</sub>]<sub>(aq)</sub><sup>3−</sup> possesses multiple sites that can undergo protonation, specifically, the axial OH<sup>−</sup> and the equatorial oxo groups. To ascertain which of these sites is more thermodynamically favorable for protonation and to identify the most desirable protonation pathway, we have computed the free energy change (ΔG) associated with all possible protonation reactions. These calculations are based on the generalized reaction presented in eqn (1). (The full list of reactions used in ΔG calculation are given in ESI, Section 1.2, Tables S51 and S52.†)



In the first step, the axial OH<sup>−</sup> of the [NpO<sub>4</sub>(OH)<sub>2</sub>]<sub>(aq)</sub><sup>3−</sup> anion is protonated to form the [NpO<sub>4</sub>(OH)(H<sub>2</sub>O)]<sub>(aq)</sub><sup>2−</sup> complex. This process is approximately 12% more thermodynamically favorable compared to the protonation of the equatorial oxo group, which results in the formation of the [NpO<sub>3</sub>(OH)<sub>3</sub>]<sub>(aq)</sub><sup>2−</sup> complex (Fig. 1, and ESI, Section 1.2, Table S51†). This observation is in good agreement with the calculated Mulliken charges<sup>38</sup> of [NpO<sub>4</sub>(OH)<sub>2</sub>]<sub>(aq)</sub><sup>3−</sup> because the axial OH<sup>−</sup> group has a Mulliken charge of −0.98, whereas the equatorial oxo group has Mulliken charge of −0.73. Calculation of the Mulliken charge provides information on the protonation step as the more negative the value, the greater its ability to interact with and stabilize protons. This suggests that the axial OH<sup>−</sup> group, with its more negative charge, is more likely to undergo protonation.<sup>39,40</sup>

To facilitate understanding, we have classified the subsequent protonation into six potential pathways. The [NpO<sub>4</sub>(OH)(H<sub>2</sub>O)]<sub>(aq)</sub><sup>2−</sup> complex participates in protonation paths 1 through 4, while the [NpO<sub>3</sub>(OH)<sub>3</sub>]<sub>(aq)</sub><sup>2−</sup> complex is involved in protonation paths 5 and 6 (Fig. 1).

In *Path 1*, the second protonation occurs at the remaining axial OH<sup>−</sup> group, resulting in the formation of the [NpO<sub>4</sub>(H<sub>2</sub>O)<sub>2</sub>]<sub>(aq)</sub><sup>−</sup> complex. This complex can then undergo a third and fourth protonation at the equatorial oxo groups, ultimately forming the *trans*-[NpO<sub>2</sub>(OH)<sub>2</sub>(H<sub>2</sub>O)<sub>2</sub>]<sub>(aq)</sub><sup>+</sup> complex. Interestingly, this complex has a NpO<sub>2</sub><sup>3+</sup> cation which bears resemblance to the typical Np(VI)O<sub>2</sub><sup>2+</sup>. However, it has a significantly shorter Np=O bond length of 1.741 Å (ESI, Section 1.1, Fig. S1†), compared to the typical Np(VI)=O bond length of 1.79–1.76 Å in Np(VI)O<sub>2</sub><sup>2+</sup> complexes,<sup>10,41–43</sup> which can be



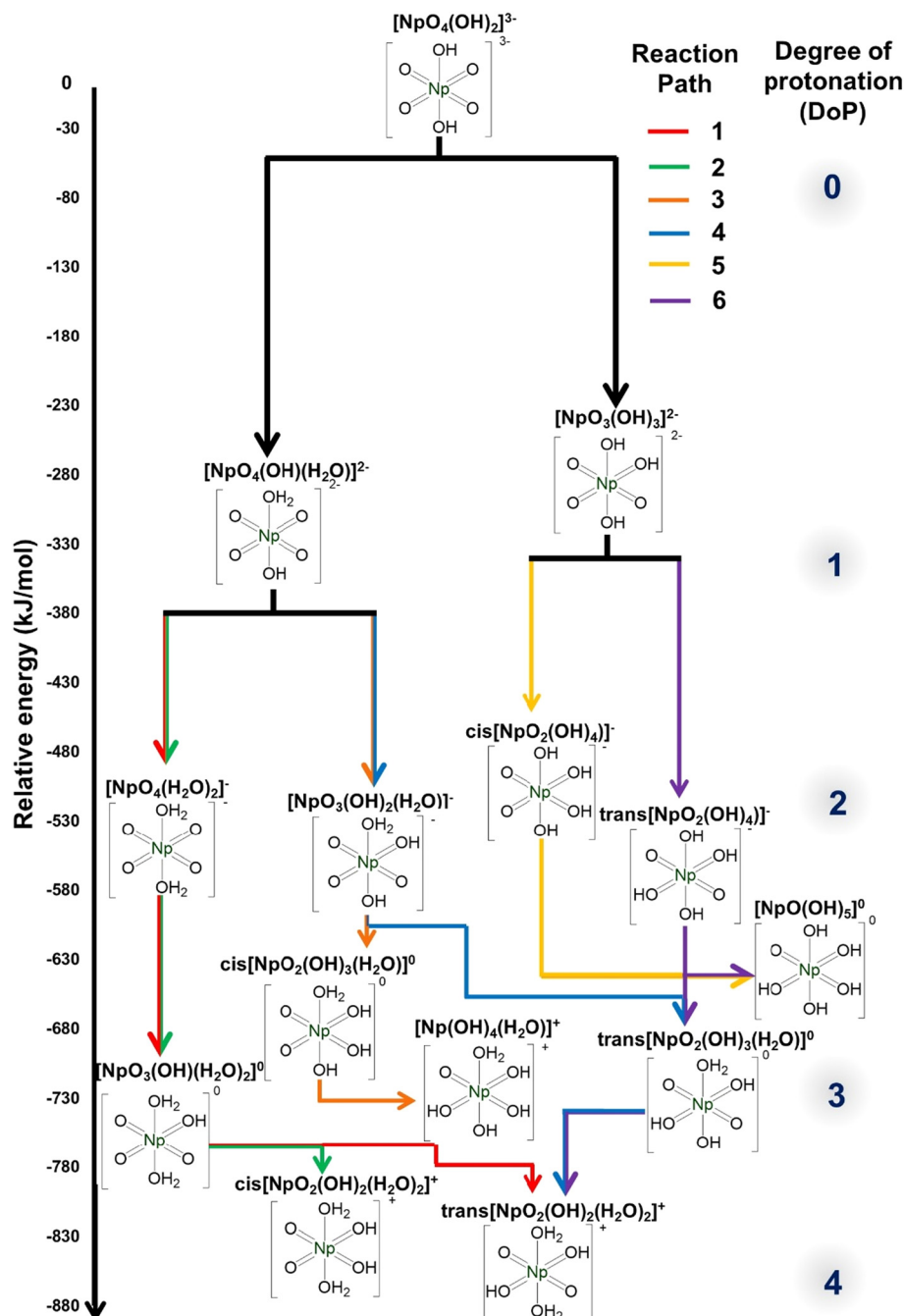


Fig. 1 The relative free energies of  $\text{Np(VII)}$  complexes upon protonation where free energies values of are calculated compared to the starting reactants. Full equations and free energy values are provided in ESI, Section 1.2, Table S51.†

attributed to the higher partial positive charge at the Np metal center.<sup>44,45</sup> Experimentally, the  $\text{NpO}_2^{3+}$  cation is described by Hobart *et al.*<sup>46</sup> and Spitsyn *et al.*<sup>17</sup> but limited experimental evidence is available to support the formation of a heptavalent neptunyl species.

*Path 2* is like *Path 1*, but it differs in that its final product is the  $\text{cis-[NpO}_2(\text{OH})_2(\text{H}_2\text{O})_2]_{(\text{aq})}^+$  complex with a  $\Delta G$  that is 4.75% less exothermic compared to the *trans*-species (Fig. 1). Interconversion is possible if the energy barrier is low enough,

as the *cis* product can transform into the more thermodynamically stable *trans* complex. This isomeric transformation could proceed either through sequential deprotonation and re-protonation in a two-step reaction, or through a transition state between the *cis* and *trans* isomers in a one-step intramolecular proton transfer.

*Path 3* and *Path 4* commence with the second protonation occurring at the equatorial oxo group of the  $[\text{NpO}_4(\text{OH})(\text{H}_2\text{O})]_{(\text{aq})}^{2-}$  complex. At the third protonation, *Path 3* forms



the *cis*-[NpO<sub>2</sub>(OH)<sub>3</sub>(H<sub>2</sub>O)]<sub>(aq)</sub> moiety and it can further protonate to form [NpO(OH)<sub>4</sub>(H<sub>2</sub>O)]<sub>(aq)</sub><sup>+</sup>. In contrast, the third protonation of *Path 4* forms the *trans*-[NpO<sub>2</sub>(OH)<sub>3</sub>(H<sub>2</sub>O)]<sub>(aq)</sub> complex with a 7.95% more exothermic  $\Delta G$  than the *cis* product. This complex contains a neptunyl dioxo cation NpO<sub>2</sub><sup>3+</sup> with Np=O bond length of 1.759 Å, which is shorter than the Np=O bond length for the corresponding NpO<sub>2</sub><sup>2+</sup> complex at 1.794 Å. The final stage of protonation in *Path 4* results in the formation of *trans*-[NpO<sub>2</sub>(OH)<sub>2</sub>(H<sub>2</sub>O)<sub>2</sub>]<sub>(aq)</sub><sup>+</sup> complexes.

*Path 5* and *Path 6* start with the [NpO<sub>3</sub>(OH)<sub>3</sub>]<sub>(aq)</sub><sup>2-</sup> complex. Second protonation of *Path 5* results in *cis*-[NpO<sub>2</sub>(OH)<sub>4</sub>]<sub>(aq)</sub><sup>-</sup> while in *Path 6* it forms the corresponding *trans* product. Like the previously discussed instances, the *trans*-[NpO<sub>2</sub>(OH)<sub>4</sub>]<sub>(aq)</sub><sup>-</sup> has a more exothermic  $\Delta G$  (14.26%) than the *cis* product. Here the Np=O bond length within the NpO<sub>2</sub><sup>3+</sup> moiety is calculated at 1.776 Å, whereas the Np=O length of the corresponding NpO<sub>2</sub><sup>2+</sup> complex is experimentally determined to be 1.790 Å by Clark *et al.*<sup>43</sup> In *Path 5*, a total of only three protonations can occur, while in *Path 6*, a total of four protonations can occur. *Path 6* ultimately leads to the formation of the *trans*-[NpO<sub>2</sub>(OH)<sub>2</sub>(H<sub>2</sub>O)<sub>2</sub>]<sub>(aq)</sub><sup>+</sup> complex.

Overall, the most thermodynamically stable species within each Degree of Protonation (DoP) either possess: (1) a neptunyl tetraoxo anion (NpO<sub>4</sub><sup>-</sup>), as exemplified by the greater stability of [NpO<sub>4</sub>(OH)(H<sub>2</sub>O)]<sub>(aq)</sub><sup>2-</sup> compared to [NpO<sub>3</sub>(OH)<sub>3</sub>]<sub>(aq)</sub><sup>2-</sup> complex, or (2) a neptunyl dioxo (NpO<sub>2</sub><sup>3+</sup>) center, as demonstrated by the stability of the *trans*-species over the corresponding *cis*-complex. Previous literature has demonstrated that the stability of *trans*-over *cis*-products is due to the bonding stability of linear *versus* bent neptunyl cation.<sup>44,47,48</sup> The calculations indicated that beyond a DoP of four, protonation is not thermodynamically favorable when the Np metal maintains an oxidation state of VII, and full protonation (*i.e.* achieving a DoP of six) leads to Np(VI) as the preferential form (Table S52<sup>†</sup>). This highlights the significant role that the oxidation state plays in determining the thermodynamic feasibility of protonation pathways, where Np(VII) to Np(VI) reduction aids in further protonation.

**3.1.2. Influence of protonation on reduction of Np(VII).** Upon exposure to acidic conditions it has been reported that Np(VII) will readily reduce to Np(VI) in aqueous media.<sup>17,49,50</sup> To determine the effect of protonation of Np(VII) on reduction, we have predicted the free energy change ( $\Delta G$ ) associated with reduction of Np(VII) to Np(VI) with different reductants at each stage of protonation (Fig. 2). The reactions used in these calculations are given in Table 1 (numerical values of  $\Delta G$  are provided in ESI, Section 1.3, Table S53<sup>†</sup>).

Reactive oxygen species, specifically peroxides (O<sub>2(aq)</sub><sup>2-</sup>), have been experimentally shown to reduce Np(VII) to Np(VI).<sup>17</sup> Our calculations confirm that peroxide (O<sub>2(aq)</sub><sup>2-</sup>), hydroperoxide (HO<sub>2(aq)</sub><sup>-</sup>), and superoxide (O<sub>2(aq)</sub><sup>\*-</sup>) can reduce Np(VII) to Np(VI) across all protonation states. As the DoP increases from zero to four, the average  $\Delta G$  for the reaction involving these reactive oxygen species becomes 300% more exothermic (see Fig. 2). At the DoP of two, we can uniquely compare the stability of the NpO<sub>4</sub><sup>-</sup> anion and the NpO<sub>2</sub><sup>3+</sup> cation in terms of reduction. On average, the reduction of NpO<sub>2</sub><sup>3+</sup> by reactive oxygen species is ~32% more exothermic than the reduction of NpO<sub>4</sub><sup>-</sup>. This suggests that species containing NpO<sub>4</sub><sup>-</sup> are more resistant to reduction than those containing NpO<sub>2</sub><sup>3+</sup>. This could explain why there is limited experimental evidence for the neptunyl dioxo compared to the neptunyl tetraoxo for heptavalent neptunium.<sup>17,51</sup> We also tested CO<sub>3(aq)</sub><sup>2-</sup>, Cl<sub>(aq)</sub><sup>-</sup> and NO<sub>3(aq)</sub><sup>-</sup> as they are commonly present in the reaction solution. However, none of these anions can reduce Np(VII) until the DoP reaches four. Overall, our calculations highlight that protonation plays a key role in causing reduction of Np(VII) to Np(VI) during the acidification of the solution.

**3.1.3. Influence of protonation on Raman spectroscopic signals of Np(VII).** The calculated Raman spectra of [NpO<sub>4</sub>(OH)<sub>2</sub>]<sub>(aq)</sub><sup>3-</sup> has two primary features at 736 and 498 cm<sup>-1</sup> (Fig. 4), corresponding to the in-phase and out-of-phase symmetrical stretch of the NpO<sub>4</sub><sup>-</sup> unit (Fig. 3). Theoretical values align well with experimental data, with the high intensity peak for the in-phase symmetrical stretch observed at 735 cm<sup>-1</sup>.<sup>52,53</sup> Predicted Raman spectra show drastic changes as a function of

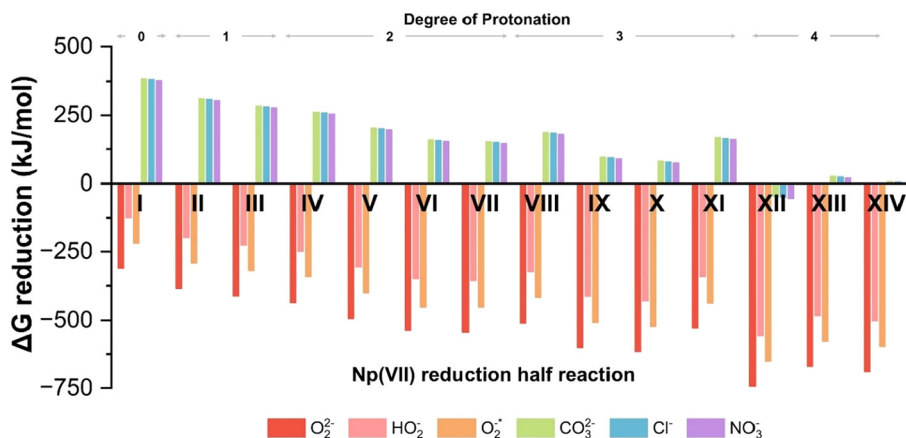


Fig. 2 Free energy ( $\Delta G$ ) changes for reduction of Np(VII) complex with different reducing agents. The Roman numerals indicate the reduction half reaction listed in Table 1.



**Table 1** Reduction and oxidation half reactions used in the analysis

Reduction		
#	DoP	Half reactions
I	0	$[\text{NpO}_4(\text{OH})_2]_{(\text{aq})}^{3-} + \text{e}^- \rightarrow [\text{NpO}_4(\text{OH})_2]_{(\text{aq})}^{4-}$
II	1	$[\text{NpO}_4(\text{OH})(\text{H}_2\text{O})]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow [\text{NpO}_4(\text{OH})(\text{H}_2\text{O})]_{(\text{aq})}^{3-}$
III	1	$[\text{NpO}_3(\text{OH})_3]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow [\text{NpO}_3(\text{OH})_3]_{(\text{aq})}^{3-}$
IV	2	$[\text{NpO}_4(\text{H}_2\text{O})_2]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow [\text{NpO}_4(\text{H}_2\text{O})_2]_{(\text{aq})}^{3-}$
V	2	$[\text{NpO}_3(\text{OH})_2(\text{H}_2\text{O})]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow [\text{NpO}_3(\text{OH})_2(\text{H}_2\text{O})]_{(\text{aq})}^{3-}$
VI	2	$\text{cis}[\text{NpO}_2(\text{OH})_4]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow \text{cis}[\text{NpO}_2(\text{OH})_4]_{(\text{aq})}^{3-}$
VII	2	$\text{trans}[\text{NpO}_2(\text{OH})_4]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow \text{trans}[\text{NpO}_2(\text{OH})_4]_{(\text{aq})}^{3-}$
VIII	3	$[\text{NpO}_3(\text{OH})(\text{H}_2\text{O})_2]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow [\text{NpO}_3(\text{OH})(\text{H}_2\text{O})_2]_{(\text{aq})}^{3-}$
IX	3	$\text{cis}[\text{NpO}_2(\text{OH})_3(\text{H}_2\text{O})]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow \text{cis}[\text{NpO}_2(\text{OH})_3(\text{H}_2\text{O})]_{(\text{aq})}^{3-}$
X	3	$\text{trans}[\text{NpO}_2(\text{OH})_3(\text{H}_2\text{O})]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow \text{trans}[\text{NpO}_2(\text{OH})_3(\text{H}_2\text{O})]_{(\text{aq})}^{3-}$
XI	3	$[\text{NpO}(\text{OH})_5]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow [\text{NpO}(\text{OH})_5]_{(\text{aq})}^{3-}$
XII	4	$[\text{NpO}(\text{OH})_4(\text{H}_2\text{O})]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow [\text{NpO}(\text{OH})_4(\text{H}_2\text{O})]_{(\text{aq})}^{3-}$
XIII	4	$\text{cis}[\text{NpO}_2(\text{OH})_2(\text{H}_2\text{O})_2]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow \text{cis}[\text{NpO}_2(\text{OH})_2(\text{H}_2\text{O})_2]_{(\text{aq})}^{3-}$
XIV	4	$\text{trans}[\text{NpO}_2(\text{OH})_2(\text{H}_2\text{O})_2]_{(\text{aq})}^{2-} + \text{e}^- \rightarrow \text{trans}[\text{NpO}_2(\text{OH})_2(\text{H}_2\text{O})_2]_{(\text{aq})}^{3-}$
Oxidation		
DoP	Half reactions	
0	$\text{O}_{2(\text{aq})}^{2-} \rightarrow \text{O}_{2(\text{g})} + 2\text{e}^-$	
1	$\text{HO}_{2(\text{aq})}^- + \text{OH}_{(\text{aq})}^- \rightarrow \text{O}_{2(\text{g})} + \text{H}_2\text{O}_{(\text{aq})} + 2\text{e}^-$	
0	$\text{O}_{2(\text{aq})}^{*-} \rightarrow \text{O}_{2(\text{g})} + \text{e}^-$	
—	$\text{CO}_{3(\text{aq})}^{2-} \rightarrow \text{CO}_{3(\text{aq})}^{*-} + \text{e}^-$	
—	$2\text{Cl}_{(\text{aq})}^- \rightarrow \text{Cl}_{2(\text{aq})} + 2\text{e}^-$	
—	$\text{NO}_{3(\text{aq})}^- \rightarrow \text{NO}_{3(\text{aq})}^{*-} + \text{e}^-$	

both the degree of protonation and the site of protonation. These spectral changes will be described in detail in the following paragraphs.

Along *Path 1*, the changes in predicted Raman spectra remain minimal from DoP 0 to 2. The only significant change observed is the blue-shifting of both in-phase and out-of-phase symmetrical stretches. The in-phase stretch (Fig. 3a) shifts from 736 to 763  $\text{cm}^{-1}$  and finally to 793  $\text{cm}^{-1}$  (Fig. 4), while the out-of-phase stretch (Fig. 3b) shifts from 498 to 530  $\text{cm}^{-1}$  and then to 571  $\text{cm}^{-1}$  (Fig. 4). This blue-shift in the spectral feature can be rationalized by strengthening equatorial bonding within the  $\text{Np}(\text{VII})$  complex. This occurs as axial interactions become weaker when the  $\text{OH}^-$  converts to a water because of protonation.<sup>44,48,54</sup> At DoP 3, the spectra become more complicated due to the lowering of symmetry as the equatorial oxo protonates. Peaks at 850–700  $\text{cm}^{-1}$  (Fig. 4) correspond to combination modes between  $\text{NpO}_4^-$  stretches and equatorial O–H wagging modes (Fig. 3d). Displacement vectors indicate that the  $\text{NpO}_3^+$  symmetrical stretch (Fig. 3c) is the key contributor of the main Raman peak at 838  $\text{cm}^{-1}$  (Fig. 4). At DoP 4, the 886  $\text{cm}^{-1}$  corresponds to the symmetrical stretch ( $\nu_1$ ) of  $\text{NpO}_2^{3+}$  (Fig. 3e) while other bands correspond to combination modes of Np–OH stretches and H–O–H wagging modes (Fig. 3f). In *Path 2*, the final *cis* product has multiple peaks due to lowered symmetry. Three peaks between

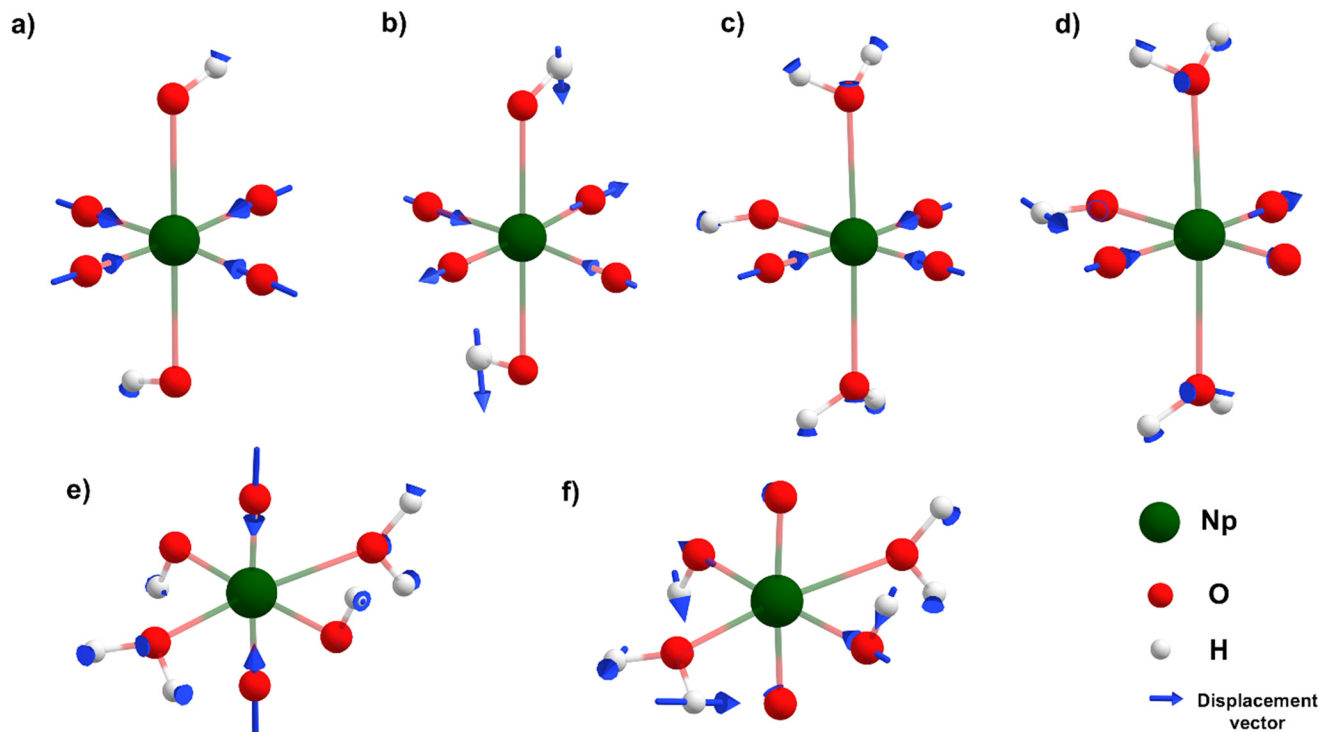
900–800  $\text{cm}^{-1}$  correspond to combination modes between  $\text{NpO}_4^-$  stretches and equatorial O–H wagging modes, while the peaks at 600–500  $\text{cm}^{-1}$  correspond to combination modes between Np–OH stretches and H–O–H wagging modes.

Along *Path 3–6*, Raman spectral variations are comparable to the other cases (Fig. 4), where a major peak shows a blue-shift along the protonation path and spectral complexity increases as molecular symmetry decreases with equatorial oxo protonation. Like *Path 1 and 2*, Raman spectra of *trans*-complexes remain relatively simple as the  $\text{NpO}_2^{3+}$  moiety remained unprotonated. Most intense peaks in these paths corresponded to either the  $\text{NpO}_3^+$  symmetrical or  $\text{NpO}_2^{3+}$  symmetrical stretch as the main contributor for a particular vibrational mode (Fig. 3). The 773  $\text{cm}^{-1}$  ( $[\text{NpO}_3(\text{OH})_3]_{(\text{aq})}^{2-}$ ) and 805  $\text{cm}^{-1}$  ( $[\text{NpO}_3(\text{OH})_2(\text{H}_2\text{O})]_{(\text{aq})}^{2-}$ ) spectral features are examples of the first scenario, while the 853  $\text{cm}^{-1}$  (*trans*- $[\text{NpO}_2(\text{OH})_3(\text{H}_2\text{O})]_{(\text{aq})}$ ) and 818  $\text{cm}^{-1}$  (*trans*- $[\text{NpO}_2(\text{OH})_4]_{(\text{aq})}^{2-}$ ) peaks are examples for the second case. Other peaks between 900–600  $\text{cm}^{-1}$  are typically due to combination modes between  $\text{NpO}_4^-$  stretches and equatorial O–H wagging modes. Remaining peaks at 600–500  $\text{cm}^{-1}$  are a result of combination modes between Np–OH stretches and H–O–H wagging modes.

**3.1.4. Influence of protonation on UV-Vis absorption spectroscopic signals of  $\text{Np}(\text{VII})$ .** Optical spectroscopy has been a primary tool used to characterize  $\text{Np}(\text{VII})$  in solution and  $[\text{NpO}_4(\text{OH})_2]_{(\text{aq})}^{3-}$  has previously been reported with two characteristic UV-Vis absorption features at 410 nm and 625 nm.<sup>17,46,52</sup> Accurate prediction of optical spectroscopic features of actinides is challenging due to high relativistic effects.<sup>55–58</sup> Thus, we have benchmarked several functionals and found that TD-DFT calculations with the DHK Hamiltonian (spin–orbital coupling included), and the B3LYP hybrid functional result in a predicted spectrum that most closely resembles the experimental spectrum (ESI, Section 1.5, Fig. S5†). The predicted  $[\text{NpO}_4(\text{OH})_2]_{(\text{aq})}^{3-}$  spectrum has two features centered at 350 nm and 529 nm. Energies are overestimated by 14.6% and 15.3% compared to corresponding experimental values, which is a known phenomenon of TD-DFT calculation.<sup>59–61</sup> Despite this systematic overestimation, these calculations still provide valuable qualitative insights into the expected changes in UV-Vis absorption spectra resulting from protonation.

At DoP 1, the overall profile is similar to the spectrum at DoP 0, but there is a notable blue-shift of the spectral features (Fig. 5). Positive charge is added to the molecule due to protonation, likely drawing electron density away from the  $\text{Np}(\text{VII})\text{O}_4^-$  center, which results in a blue-shift of the electronic transition. Similar observations have been reported for uranyl compounds when the uranyl oxo participates in strong hydrogen bonding.<sup>62</sup> When comparing the axial  $\text{OH}^-$  protonation in  $[\text{NpO}_4(\text{OH})(\text{H}_2\text{O})]_{(\text{aq})}^{2-}$  to the equatorial oxo in  $[\text{NpO}_3(\text{OH})_3]_{(\text{aq})}^{2-}$ , we note that the presence of the equatorial oxo results in a greater degree of the resultant blue-shift (Fig. 5). This is likely due to the increased impact of electron withdrawal from the  $\text{Np}(\text{VII})\text{O}_4^-$  center during equatorial protonation, as the electron is directly added to one of the tetra-oxo groups.





**Fig. 3** Selected vibrational modes for the Np(VII) protonation process with (a) in-phase and (b) out-of-phase symmetrical stretch of  $[\text{NpO}_4(\text{OH})_2]_{(\text{aq})}^{3-}$ , (c)  $\text{NpO}_3^+$  symmetrical stretch and (d) combination mode between  $\text{NpO}_4^-$  stretches and equatorial O–H wagging modes of  $[\text{NpO}_3(\text{OH})(\text{H}_2\text{O})_2]_{(\text{aq})}^{2+}$ , (e)  $\text{NpO}_2^{3+}$  symmetrical stretch and (f) combination modes of Np–OH stretches and H–O–H wagging modes of  $\text{trans}[\text{NpO}_2(\text{OH})_2(\text{H}_2\text{O})_2]_{(\text{aq})}^+$  depicted using ball-and-stick models and displacement vectors.

When DoP changes from 1 to 4 for *Paths 1–4*, the predicted changes are similar to the previously discussed case, where the peaks consistently shift to higher energy with protonation and a more pronounced blue-shift occurs with equatorial oxo protonation (Fig. 5). Interestingly, the spectral changes along *Path 5–6* were not consistent, where the absorption features do not constantly blue-shift with protonation (Fig. 5). This inconsistency is primarily seen in *cis*- $[\text{NpO}_2(\text{OH})_4]_{(\text{aq})}^-$ , *trans*- $[\text{NpO}_2(\text{OH})_4]_{(\text{aq})}^-$  and  $[\text{NpO}(\text{OH})_5]_{(\text{aq})}$  complexes, where all the protonation occurred at the equatorial oxo. Reasoning for this anomaly is not entirely clear, but we speculate that these molecular geometries offer unique molecular orbital arrangements and orbital energies that do not align with the generally observed trend.

Overall, the calculations indicate that protonation leads to the blue-shifting of the UV-Vis absorption features (Fig. 5). In quantitative terms, the major absorption feature of the most thermodynamically stable structure blue-shifted by 1, –1, 15, and 40 nm when transitioning from DoP 1 to 4. Conversely, the minor absorption feature blue-shifted by 17, –22, 100, and 144 nm, respectively, clearly demonstrating that protonation has a more significant influence on this feature. The overall spectral profile remains relatively constant until the Degree of Protonation (DoP) reaches 2. Beyond this point, major alterations to the spectral profile can be observed throughout the different paths (Fig. 5).

### 3.2. Experimental evidence of Np(VII) protonation

**3.2.1. Titration of Np(VII) solutions.** Determination of the Np oxidation states (v–VII) in solution is predominantly accomplished through the application of optical spectroscopy with additional information in speciation arising from Raman spectroscopy. In solution, the optical spectroscopy of Np(v) has a characteristic sharp peak at 980 nm (Vis), Np(vi) has a broad feature at 1225 nm (NIR), while Np(VII) has two features at 410 nm and 620 nm (UV-Vis).<sup>46,52,63–65</sup> In contrast, the Raman features of Np in the oxidation states v–VII exhibit a high degree of dependence on both the oxidation state and the coordination environment. As a result, these Raman features span a relatively broad range.<sup>10,41,42,54</sup> In solution, the Np(v)  $\text{O}_2^+$  and Np(vi)  $\text{O}_2^{2+}$  symmetrical stretch bands are reported in the ranges of  $740\text{--}770\text{ cm}^{-1}$  and  $770\text{--}860\text{ cm}^{-1}$ , respectively.<sup>43,66–69</sup> Whereas symmetrical stretch bands for solid-state Np(v)  $\text{O}_2^+$  and Np(vi)  $\text{O}_2^{2+}$  species have been reported as low as 635 and 693  $\text{cm}^{-1}$ , respectively.<sup>7,8</sup> The primary Raman feature of  $[\text{Np(VII)}\text{O}_4(\text{OH})_2]_{(\text{aq})}^{3-}$  in solution is reported to be centered at 735  $\text{cm}^{-1}$ .<sup>52,53</sup>

During the titration experiments, the pH of the solution was varied from an initial pH of 12.84 to a final value of 1.95. For the first five steps, (pH = 12.84 to pH = 11.86) optical spectra and the color of the solution remain constant. Here, the solution had the characteristic dark green color of Np(VII)



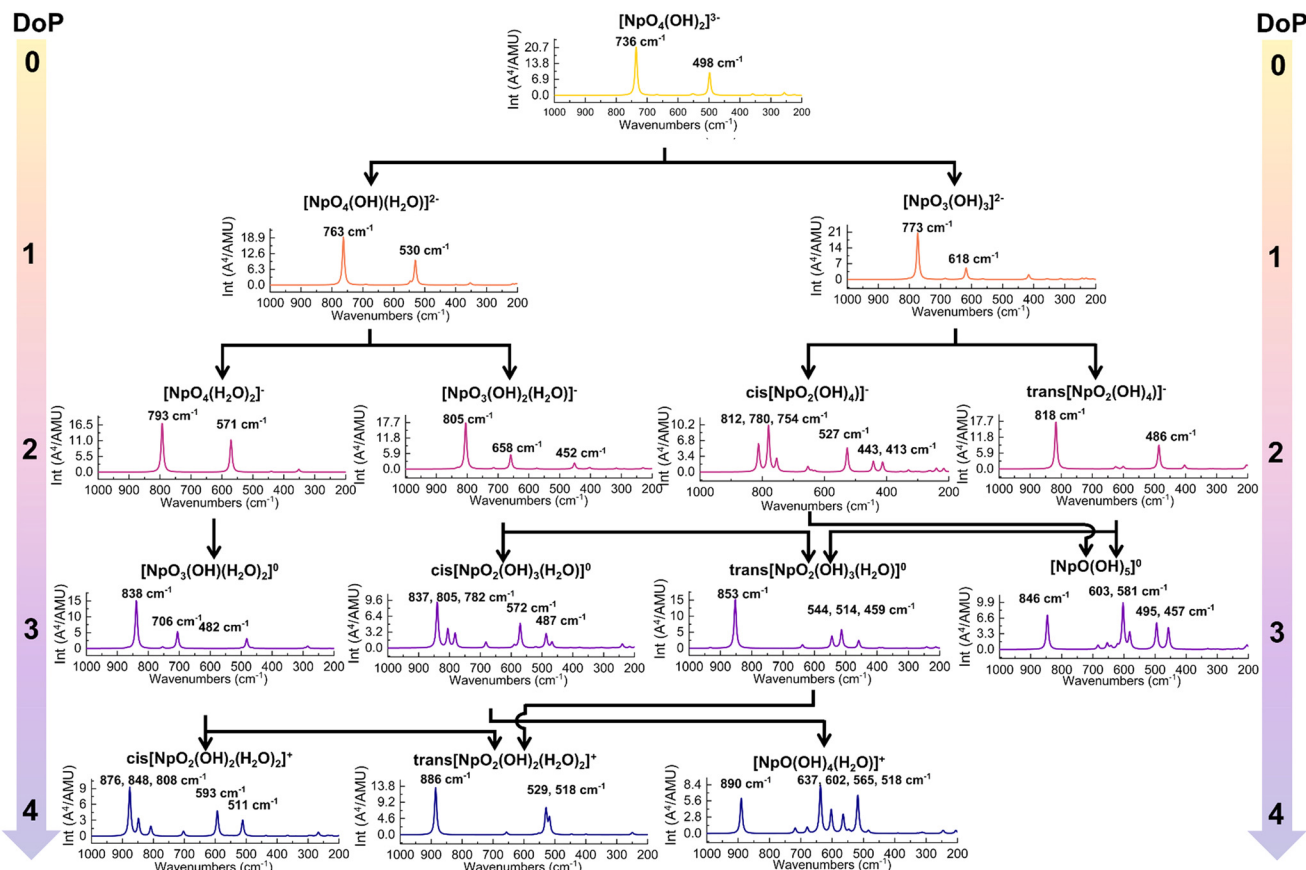


Fig. 4 The predicted Raman spectra of Np(VII) complexes along the protonation path. The DoP abbreviates for Degree of Protonation.

and the only detectable species was Np(VII) (Fig. 6). The Raman spectrum of the initial solution contained the characteristic peak at  $735\text{ cm}^{-1}$  which corresponds to  $[\text{NpO}_4(\text{OH})_2]_{\text{aq}}^{3-}$  in-phase symmetrical stretch (Fig. 3a). When the pH was lowered to 12.24, the main Raman feature was shifted to  $747\text{ cm}^{-1}$  with a shoulder at  $737\text{ cm}^{-1}$  (Fig. 7). This  $10\text{ cm}^{-1}$  blue-shift is too low to be a result of any protonation, as calculations predict a blue-shift of at least  $27\text{ cm}^{-1}$  for the first protonation (see section 3.1.3). Since Raman-active  $\text{Np}=\text{O}$  features are highly sensitive to non-covalent interactions and matrix of the solution, this shift may be caused by the changes in the matrix during the titration.<sup>41,42,67</sup> At a pH of 12.06, a new shoulder feature in the range of  $755\text{--}758\text{ cm}^{-1}$  begins to grow and becomes a dominant feature when the pH decreases to 11.86. This feature falls within the typical range for the  $\nu_1$  mode of  $\text{Np}(\text{v})\text{O}_2^+$ . However, since there are no detectable optical spectral features for Np(v), this feature is unlikely to be the result of  $\text{Np}(\text{v})\text{O}_2^+$ . Instead, it could potentially be attributed to the protonation of the axial  $\text{OH}^-$  of  $[\text{Np}(\text{VII})\text{O}_4(\text{OH})_2]_{\text{aq}}^{3-}$  which is predicted to occur at  $763\text{ cm}^{-1}$  (refer to Fig. 4). Further acidification of the solution to a pH of 11.65 resulted in a brownish green color. Optical spectroscopy identified Np(VII) as the sole detectable oxidation state even at this pH value. The Raman spectrum here resembled that collected at pH 11.86, albeit with a more diminished feature at  $736\text{ cm}^{-1}$ .

As the pH of the solution decreases from 11.05 to 9.18, the solution appears to turn black. Upon dilution, it becomes apparent that the solution's color changes sequentially from brownish-green to nutmeg, and finally to brown. Despite this pH change, no detectable optical signals for Np(v) and Np(vi) were observed in the spectra. The UV-Vis absorption profile resembles the typical profile for Np(VII), with the major Np(VII) feature remaining centered at  $410\text{ nm}$ . However, the  $620\text{ nm}$  peak exhibits a blue-shift of  $5\text{--}15\text{ nm}$  as the pH decreases. This observation aligns with computational predictions, which suggest that the effect of protonation on the minor ( $625\text{ nm}$ ) feature is significantly greater than on the major ( $410\text{ nm}$ ) feature (refer section 1.3.4). With acidification, an additional peak at  $\sim 490\text{ nm}$  also appears (Fig. 6, and ESI, Section 2.1, Fig. S6†), which could be due to the formation of a protonated species. Raman spectra in this pH range exhibit three peaks centered at (a)  $756\text{--}757\text{ cm}^{-1}$ , (b)  $774\text{--}775\text{ cm}^{-1}$ , and (c)  $790\text{--}795\text{ cm}^{-1}$  (Fig. 7). The (a) feature can be attributed to the protonation of the axial  $\text{OH}^-$  of  $[\text{Np}(\text{VII})\text{O}_4(\text{OH})_2]_{\text{aq}}^{3-}$  and the (b) peak falls within a similar energy range ( $769\text{ cm}^{-1}$ ) as measured for  $[\text{Np}(\text{vi})\text{O}_2(\text{OH})_4]_{\text{aq}}^{2-}$  by Clark *et al.*, yet the absence of detectable Np(vi) suggests that this feature originates from Np(VII) species. According to computational predictions, the  $\text{NpO}_3^+$  symmetrical stretch of  $[\text{NpO}_3(\text{OH})_3]_{\text{aq}}^{2-}$  is predicted to occur at  $773\text{ cm}^{-1}$ .  $[\text{NpO}_3(\text{OH})_3]_{\text{aq}}^{2-}$  is formed by the equatorial protonation of



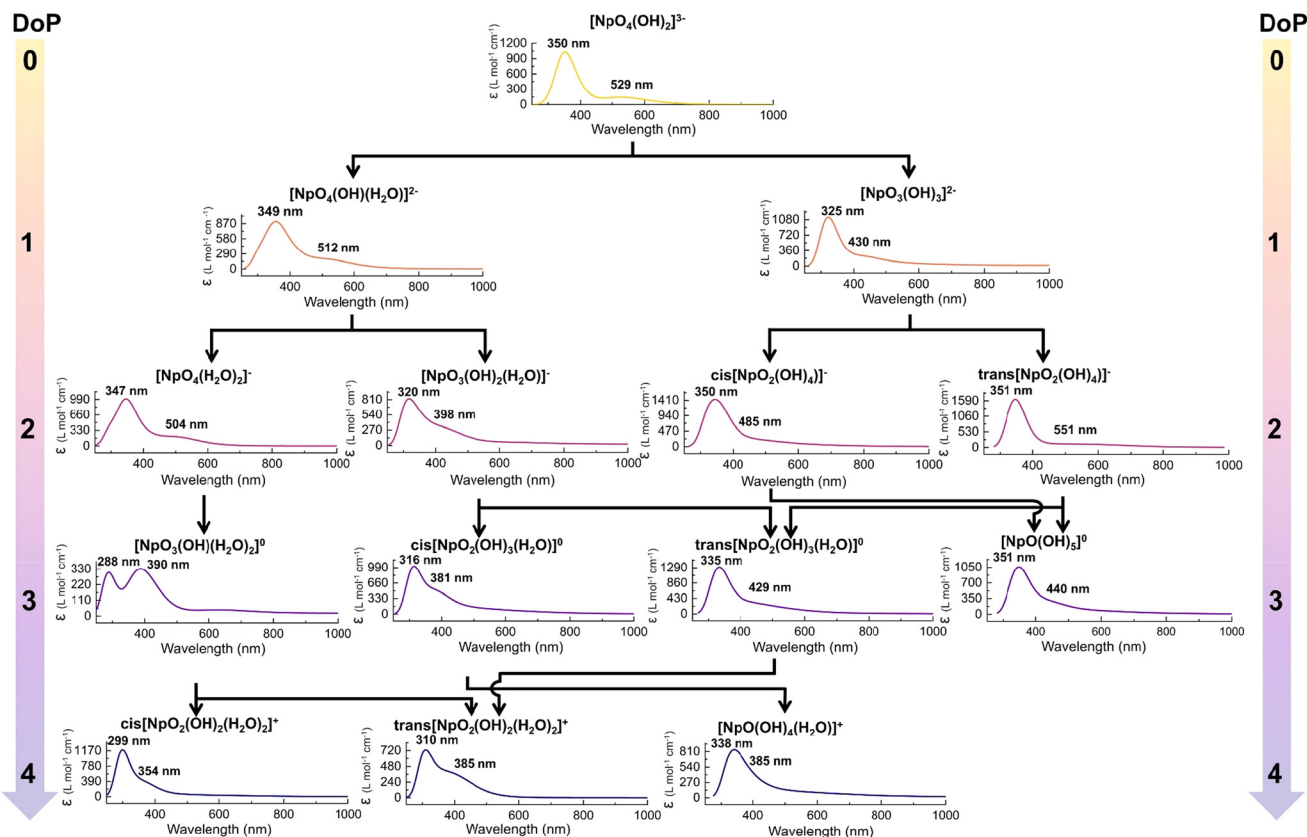


Fig. 5 The predicted UV-Vis absorption spectra of Np(VII) along the protonation pathways. Here the simulated spectra were generated by adding Gaussian broadening to TD-DFT predicted absorption lines.

$[\text{NpO}_4(\text{OH})_2]_{\text{aq}}^{3-}$ , suggesting that the (b) feature could result from this protonated product. Finally, the (c) feature aligns well with the calculated in-phase symmetrical stretch of  $[\text{NpO}_4(\text{H}_2\text{O})_2]_{\text{aq}}^-$  ( $793\text{ cm}^{-1}$ ), which is formed when both axial  $\text{OH}^-$  of  $[\text{NpO}_4(\text{OH})_2]_{\text{aq}}^{3-}$  are protonated.

Even as the pH decreases to 6.50, the only detectable peaks correspond to Np(VII). At this point, a feature at 490 nm becomes apparent (Fig. 6 and ESI, Section 2.1, Fig. S6†). Computational predictions indicate that  $[\text{NpO}_3(\text{OH})(\text{H}_2\text{O})_2]_{\text{aq}}$  should exhibit a second intense UV-Vis feature that could correspond to this new feature at 490 nm in the experimental spectrum. Calculations show that this feature is expected to be blue-shifted compared to the unprotonated species by approximately 140 nm (Fig. 5). Since this new feature in the experimental spectrum (Fig. 6) is approximately 130 nm shifted from the typical 620 nm band, this absorption band may be a result of the growth of  $[\text{NpO}_3(\text{OH})(\text{H}_2\text{O})_2]_{\text{aq}}$ . The Raman peaks at 790 and  $776\text{ cm}^{-1}$  (Fig. 7) align well with calculated Raman active modes of  $[\text{NpO}_4(\text{H}_2\text{O})_2]_{\text{aq}}^-$  ( $793\text{ cm}^{-1}$ ) and  $[\text{NpO}_3(\text{OH})_3]_{\text{aq}}^{2-}$  ( $773\text{ cm}^{-1}$ ), respectively (Fig. 4). The absence of  $[\text{NpO}_3(\text{OH})(\text{H}_2\text{O})_2]_{\text{aq}}$  peaks in the Raman may be due to this species only being present at a low concentration that is below the detection limit of the Raman instrument.

At this stage, a black suspension forms and precipitates over a period of 12 hours, resulting in a clear solution. PXRD

of the precipitate shows that it is crystalline and the diffractogram partially matches to  $\text{LiNp(VII)}\text{O}_4 \cdot 2.5\text{H}_2\text{O}$  (PDF ID 00-032-0580) (Fig. 8). Solid-state Raman spectrum of this solid phase indicates peaks centered at 783, 769, 745, 587, and  $554\text{ cm}^{-1}$ . None of these features match with  $\text{NpO}_2^{2+} \nu_1$  symmetric stretch associated with lithium neptunates ( $\text{Li}_2\text{Np(VI)}\text{O}_4$ :  $672$  and  $693\text{ cm}^{-1}$ ,  $\text{Li}_4\text{Np(VI)}\text{O}_5$ :  $700\text{ cm}^{-1}$ ),<sup>8</sup> but the 769 and  $745\text{ cm}^{-1}$  peaks closely match with the  $\text{NpO}_2^{2+} \nu_1$  symmetric stretch of  $[\text{Co}(\text{NH}_3)_6]_2[\text{Np(VI)}\text{O}_2(\text{OH})_4]_3 \cdot \text{H}_2\text{O}$  reported by Clark *et al.*<sup>43</sup> To gain more evidence of the Np oxidation state in the solid state, we centrifuged the precipitate, decanted the liquid, and resuspended the residual solid in 1 M LiOH solution ( $\sim 2\text{ mL}$ ). The precipitate spontaneously dissolved and formed a green solution that was confirmed by optical spectroscopy to be Np(VII) (ESI, Section 2.1, Fig. S17†). Oxidation of Np(VI) to Np(VII) in aqueous media typically requires a strong oxidizing agent, such as  $\text{O}_3(\text{aq})$  or  $\text{KBrO}(\text{aq})$ ,<sup>17,52</sup> that was absent in this case. The spontaneous dissolution and generation of a Np(VII) solution suggest a majority of the precipitate is more likely a protonated Np(VII) species than a  $[\text{Np(VI)}\text{O}_2(\text{OH})_4]_{\text{aq}}^{2-}$  phase.

As the final step of titration, we decreased the pH of the solution to 1.95. The primary motivation for this is to ensure our optical spectroscopy setup can clearly detect reduction of Np(VII) to Np(VI) at these concentrations. We confirmed this to be the case, as the spectral signature for the Np(VI) NIR feature



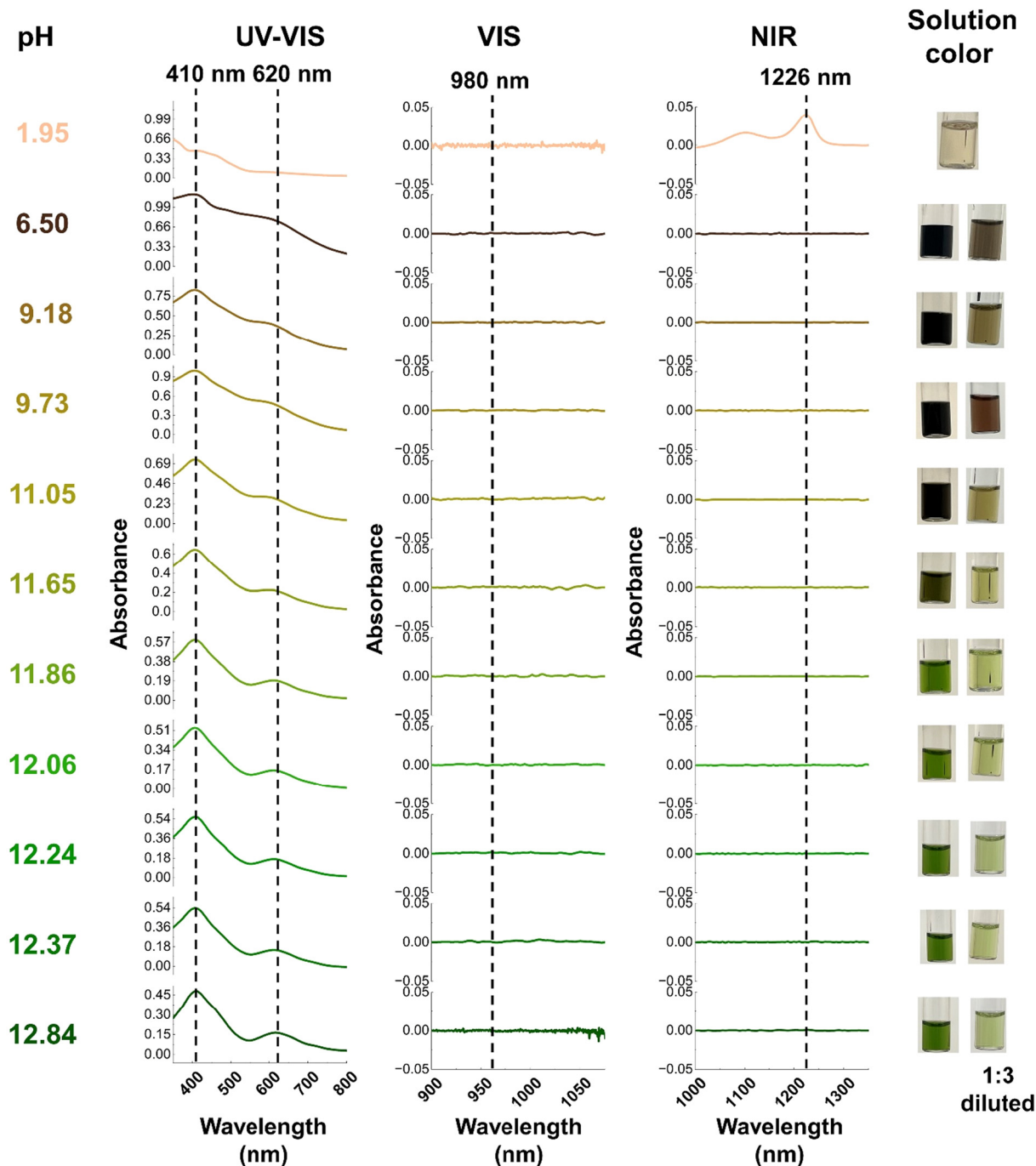


Fig. 6 Optical spectroscopy of solution at each stage of the titration. Here the dotted line represents the typical region for observing  $\text{Np}(\text{v-vii})$  peak. The 410 nm and 620 nm are indicative of  $\text{Np}(\text{vii})$  while 980 nm and 1226 nm are indicative of  $\text{Np}(\text{v})$  and  $\text{Np}(\text{vi})$ , respectively.<sup>46,52,63–65</sup>

can be clearly seen at 1226 nm (Fig. 6). This observation lends further support to our assertion that the primary oxidation state of Np remained in the heptavalent state until the final titration step.

**3.2.2. Acidification of solid-state  $\text{Np}(\text{vi})$  compounds.** To further substantiate our claim that the observed blue-shift in the Raman peak is attributable to protonation rather than a reduction to  $\text{Np}(\text{vi})$ , it should be possible to observe the blue-

shift of the out-of-phase symmetrical stretch of  $[\text{NpO}_4(\text{OH})_2]^{3-}$  in addition to the already observed changes for the in-phase symmetrical stretches. The square bipyramidal geometry of the tetraoxo neptunium complex results in two Raman active symmetrical stretching modes for the equatorial oxo ligands of  $[\text{NpO}_4(\text{OH})_2]^{3-}$  moiety. When the axial hydroxide ligands are protonated, as we observed in the titration study of  $\text{Np}(\text{vii})$  solutions, the symmetry is maintained for the complex. Thus,



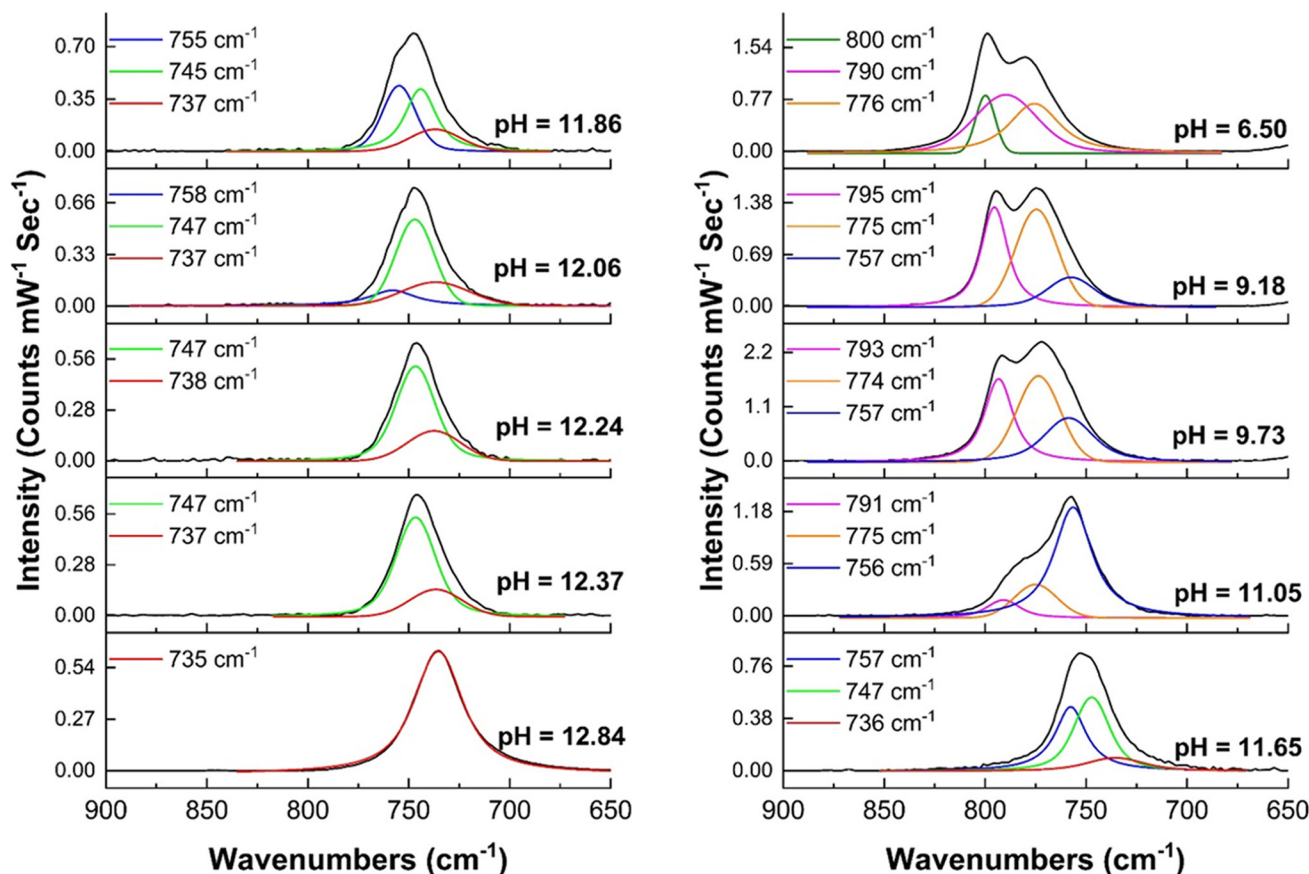


Fig. 7 Raman spectroscopy of solution at each stage of the titration. The peak centroids of fitted peaks are shown here. Spectra with fitting parameters are given in ESI, Section 2.1, Fig. S7–S16.†

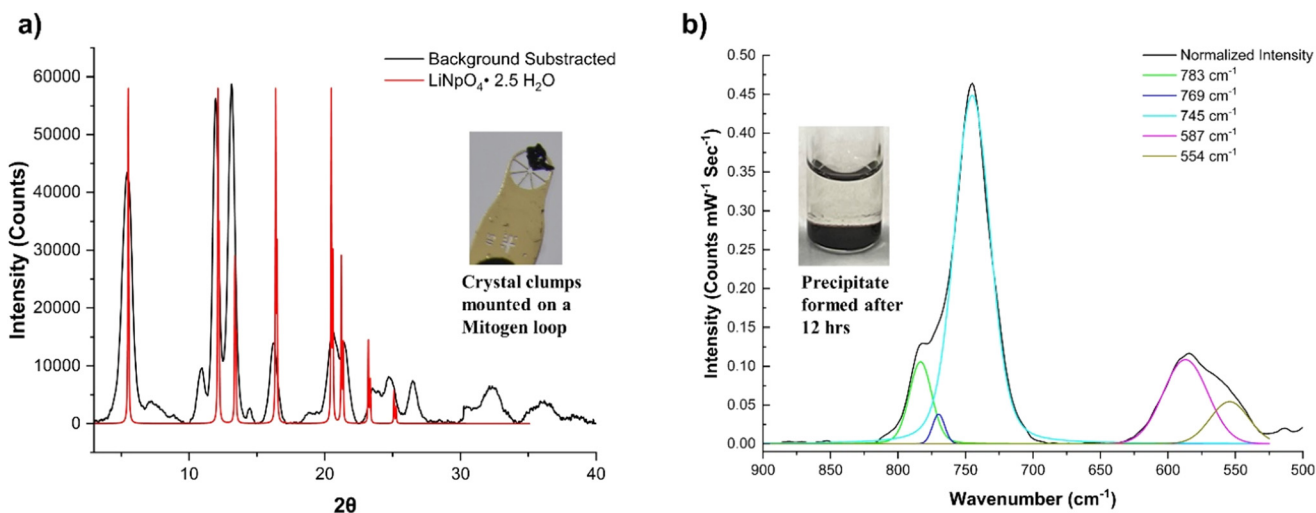


Fig. 8 (a) The background subtracted PXRD diffractogram of the precipitate formed at pH 6.5 and the calculated PXRD pattern of  $\text{LiNP}_4 \cdot 2.5\text{H}_2\text{O}$  (PDF ID 00-032-0580). (b) The solid-state Raman spectrum of the precipitate formed at pH 6.5.

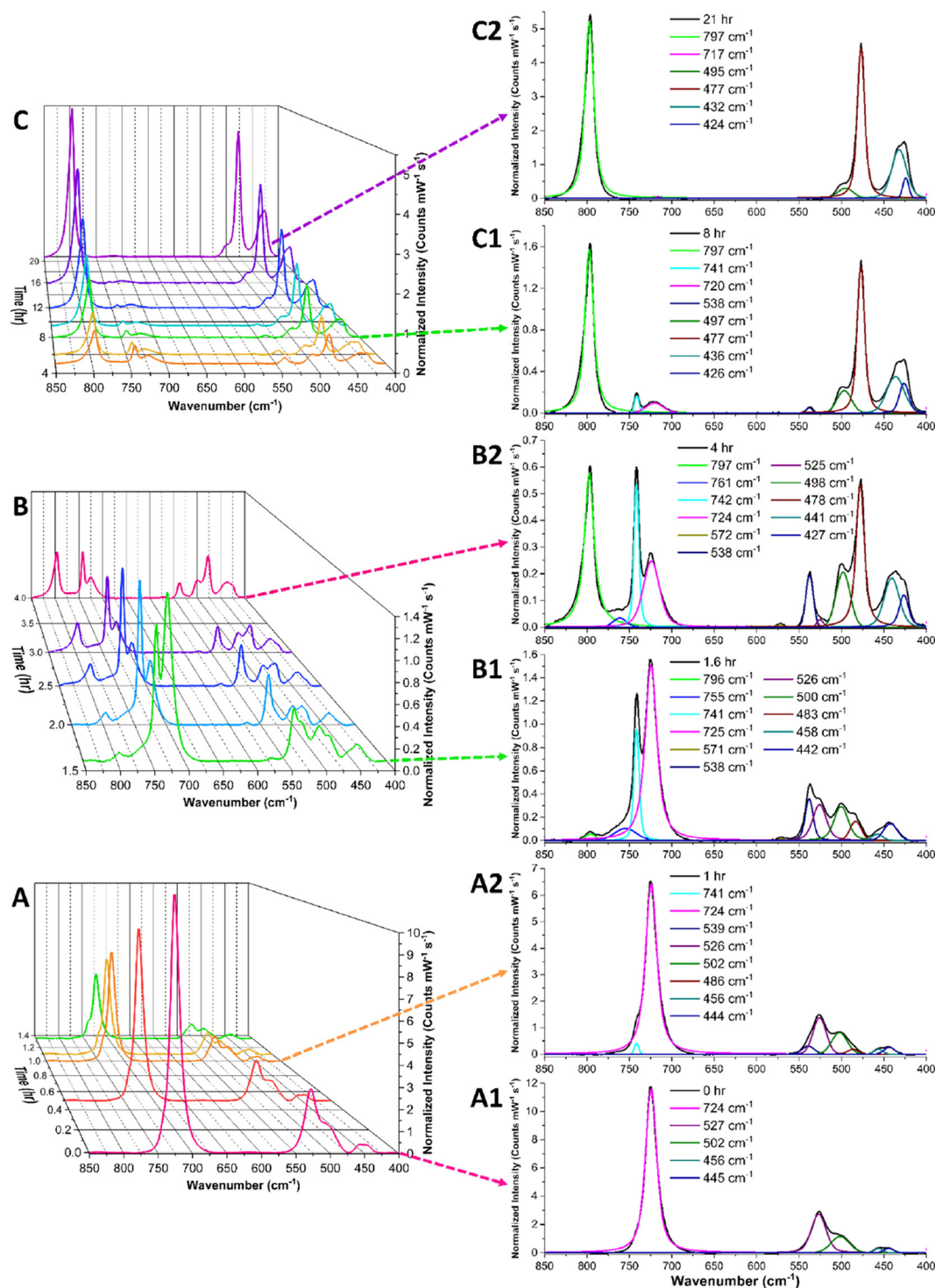
the two Raman active modes should be present, and they should both be blue shifted due to the expected increase in bond strength of the equatorial oxo ligands. However, if our

interpretation of the solution state titration studies is incorrect and the observed blue shifting was the result of reduction occurring in concert with conversion of the tetraoxo structure



to a dioxo  $\text{Np(VI)}$  structure, there would not be a second Raman active symmetric stretching mode of the oxo ligands. Unfortunately, in our solution state titration experiments, the lower intensity of the out-of-phase symmetrical stretch pre-

cluded its measurement; however, in the solid state, this feature should be more intense and measurable. Therefore, we identified a solid-state compound that we could use to further explore the spectral features during protonation.



**Fig. 9** Normalized solid-state Raman spectra collected from a sample of  $[(\text{Co}(\text{NH}_3)_6)(\text{NpO}_4(\text{OH})_2)] \cdot n\text{H}_2\text{O}$  ( $n = 2-4$ ) crystals that was continually exposed to the vapor from 5 M HCl. The 3D overlays (A–C) visually depict the spectral transitions during the (A) initial, (B) middle, and (C) final stages of the acidification experiment. Notably, the fitted Raman spectra at six specific time points (A1: 0 h, A2: 1 h, B1: 1.6 h, B2: 4 h, C1: 8 h, C2: 21 h) highlight the emergence and transformation of specific peaks.





Initially, the sample of  $[(\text{Co}(\text{NH}_3)_6)(\text{NpO}_4(\text{OH})_2)] \cdot n\text{H}_2\text{O}$  ( $n = 2-4$ ) was prepared and placed in the Petri dish in the absence of acid to determine the stability of the phase under ambient conditions. Raman spectra were collected every 5 minutes for 24 hours and showed no signs of chemical changes. Fig. 9A1 reveals signals at  $724\text{ cm}^{-1}$  and  $525\text{ cm}^{-1}$ , which we attribute to the in-phase and out-of-phase symmetrical stretch of  $[\text{NpO}_4(\text{OH})_2]^{3-}$ , respectively. The  $13\text{ cm}^{-1}$  deviation of the in-phase symmetric stretch frequency from that of the solution state sample ( $737\text{ cm}^{-1}$ ) is unsurprising, as matrix effects have been documented causing shifts of this general magnitude in other neptunium species.<sup>42</sup> Additional vibrational signals present in the solid-state spectra of the pristine material are located at  $500$ ,  $456$ , and  $445\text{ cm}^{-1}$  and can be attributed to the cobalt hexamine cation.<sup>70</sup>

To drive protonation, the  $[(\text{Co}(\text{NH}_3)_6)(\text{NpO}_4(\text{OH})_2)] \cdot n\text{H}_2\text{O}$  ( $n = 2-4$ ) was exposed to an acidic environment by placing it in a covered glass Petri dish along with  $1\text{ mL}$  of  $5\text{ M HCl}$  in an open small glass vial. This setup allowed the  $\text{HCl}$  vapor pressure to slowly fill the Petri dish with acidic vapor, gradually exposing the solid sample to an acidic environment with up to  $0.0333\text{ mmHg}$  of  $\text{HCl}_{(\text{g})}$ .<sup>71</sup> Initially, no major changes are observed in the Raman spectra (Fig. 9A), which may be expected because the acid concentration in the gas phase should initially be very low as the  $\text{HCl}$  evaporates and begins to equilibrate in the Petri dish environment. After approximately one hour, additional vibrational modes begin to appear in the spectrum ( $741$  and  $538\text{ cm}^{-1}$ ) and there is some loss in intensity of the original signals at  $724$  and  $525\text{ cm}^{-1}$ .

After approximately 1.6 hours, the spectra in Fig. 9B1 shows a third pair of peaks appearing in the window of interest, with features located at  $755$  and  $571\text{ cm}^{-1}$ . From 1.6 hours to 4 hours of exposure to acid vapor, the changes in the Raman spectra are dominated primarily by the ingrowth of the  $741/538\text{ cm}^{-1}$  and  $755/571\text{ cm}^{-1}$  peak pairs, with the gradual loss of intensity of the original pair of signals at  $724$  and  $525\text{ cm}^{-1}$ . These observed changes are consistent with the protonation of the first and second axial hydroxide ligands in the  $\text{Np}(\text{VII})$  tetraoxo moiety. This suggests these signals are associated with  $[\text{Np}(\text{VII})\text{O}_4(\text{OH})_2]^{3-}$  species and not a reduced  $[\text{Np}(\text{VI})\text{O}_2]^{2+}$  complex.

After approximately four hours, the spectral changes are dominated by a gradual decrease in peak intensities of the identified  $724$ ,  $525$ ,  $741$ ,  $538$ ,  $755$ , and  $571\text{ cm}^{-1}$  peaks (Fig. 9C) and an ingrowth of a large peak at  $797\text{ cm}^{-1}$ . It's notable that the ingrowth of this signal at  $797\text{ cm}^{-1}$  is not accompanied by an additional feature in the  $500$  to  $700\text{ cm}^{-1}$  region, as would be expected if this peak was associated with a tetraoxo symmetrical stretch. This strongly suggests that the new signal at  $797\text{ cm}^{-1}$  is associated with a  $\text{Np}(\text{VI})$  dioxo species, which fits well with previously reported literature.<sup>43,66,72</sup>

Overall, this solid-state acidification experiment demonstrates that the  $[\text{Np}(\text{VII})\text{O}_4(\text{OH})_2]^{3-}$  moiety in  $[(\text{Co}(\text{NH}_3)_6)(\text{NpO}_4(\text{OH})_2)] \cdot n\text{H}_2\text{O}$  ( $n = 2-4$ ) is relatively stable under ambient conditions, but exposure to an acidic environment results in multiple sequential protonation reactions before the heptavalent species reduces to form a  $[\text{Np}(\text{VI})\text{O}_2]^{2+}$  complex. It is also

important to note that this solid-state Raman data yields the first experimental evidence of the asymmetric tetraoxo-ligand stretching mode that has previously gone undetected. In particular, the absence of this vibrational mode in the 2004 paper by Clark *et al.* was a notable gap in evidence that  $\text{Np}(\text{VII})$  primarily exists as  $[\text{NpO}_4(\text{OH})_2]^{3-}$ .<sup>11,13</sup> The observation of a Raman band attributed to this vibrational mode adds confirmation for  $\text{Np}(\text{VII})$  predominately existing as  $[\text{NpO}_4(\text{OH})_2]^{3-}$ .

## 4. Conclusions

In this work, protonation of the tetraoxo  $\text{Np}(\text{VII})$  anion,  $[\text{NpO}_4(\text{OH})_2]^{3-}$ , was explored through computational and experimental techniques. Thermodynamic assessment of possible protonation reactions using computational techniques indicate that protonation reactions with the axial  $\text{OH}^-$  groups of the  $[\text{NpO}_4(\text{OH})_2]^{3-}$  anion are more thermodynamically favorable than those of the equatorial oxo groups. It was found that as many as four sequential protonation reactions of the  $[\text{NpO}_4(\text{OH})_2]^{3-}$  anion (to DoP = 4) may be thermodynamically favorable. As the DoP increases, the predicted free energy change ( $\Delta G$ ) for the  $\text{Np}(\text{VII})$  reduction to  $\text{Np}(\text{VI})$  tends to increase, with the favorable reduction reactions involving reactive oxygen species (specifically peroxides, hydroperoxide, and superoxide). This reduction becomes  $3\times$  more exothermic as the DoP increases from 0 to 4. Predictions indicate that until DoP = 4,  $\text{CO}_{3(\text{aq})}^{2-}$ ,  $\text{Cl}_{(\text{aq})}^-$  and  $\text{NO}_{3(\text{aq})}^-$  are unable to reduce  $\text{Np}(\text{VII})$ . In addition, protonation of the  $[\text{NpO}_4(\text{OH})_2]^{3-}$  anion would be expected to cause distinct changes to dominant vibrational modes and would also lead to some blue-shifting of the UV-Vis absorption features.

Subsequent experimental investigation provides compelling evidence for the protonation of  $\text{Np}(\text{VII})$  in solution, as indicated by the blue-shift in Raman features in the spectral window between  $700-850\text{ cm}^{-1}$  and the persistence of  $\text{Np}(\text{VII})$  optical spectroscopic signals across a wide pH range. Observed spectral changes align well with computational predictions, further supporting the protonation hypothesis. Additional evidence suggesting the presence of protonated  $\text{Np}(\text{VII})$  species rather than a  $\text{Np}(\text{VI})$  phase came from the observation that a crystalline precipitate, which formed as the solution approached pH = 7, spontaneously dissolved in fresh  $\text{LiOH}$  to yield a  $\text{Np}(\text{VII})$  solution. Experiments involving the exposure of a solid-state  $\text{Np}(\text{VII})$  sample to acidic vapor revealed the appearance of pairs of Raman peaks consistent with the expected behavior of the in-phase and out-of-phase symmetric stretching modes of protonated  $\text{Np}(\text{VII})$  tetraoxo moieties, providing additional evidence for protonation. However, the appearance of a signal likely associated with a reduced  $\text{Np}(\text{VI})$  species after prolonged exposure to acid vapor indicates the potential for reduction under these conditions. These findings significantly advance our understanding of heptavalent neptunium chemistry and underscore the need for further studies to fully elucidate the complex behavior and spectroscopic signals associated with neptunium species in complex environments.



## Data availability

The data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflict to declare.

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