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Automated processing of chromatograms: a comprehensive python package with a GUI for intelligent peak identification and deconvolution in chemical reaction analysis

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Reaction screening and high-throughput experimentation (HTE) coupled with liquid chromatography (HPLC and UHPLC) are becoming more important than ever in synthetic chemistry. With a growing number of experiments, it is increasingly difficult to ensure correct peak identification and integration, especially due to unknown side components which often overlap with the peaks of interest. We developed an improved version of the MOCCA Python package with a web-based graphical user interface (GUI) for automated processing of chromatograms, including baseline correction, intelligent peak picking, peak purity checks, deconvolution of overlapping peaks, and compound tracking. The individual automatic processing steps have been improved compared to the previous version of MOCCA to make the software more dependable and versatile. The algorithm accuracy was benchmarked using three datasets and compared to the previous MOCCA implementation and published results. The processing is fully automated with the possibility to include calibration and internal standards. The software supports chromatograms with photo-diode array detector (DAD) data from most commercial HPLC systems, and the Python package and GUI implementation are open-source to allow addition of new features and further development.

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Introduction

Synthetic organic chemistry is currently witnessing a significant paradigm shift, powered by advancements in automation and digital chemistry. At the same time, the chemical industry faces increasing pressure on timelines and costs in the discovery and development of innovative molecules and their corresponding chemical processes.^{1,2} In the face of these challenging circumstances, automation and digital chemistry are both directly contributing to reducing the costs and accelerating the timelines by increasing the experimental throughput (reaction execution, reaction analytics, design & decision-making) and reducing the number of synthetic experiments required thanks

to modelling and predictive models.³⁻⁶ Initially, academia and industry largely focused on the development and adoption of fully automated platforms for reaction execution, such as high-throughput experimentation (HTE).^{7,8} With the advent of data-science driven reaction designs and decision-making processes, the two areas of automation and digital chemistry are becoming increasingly intertwined.⁹ Until recently, reaction analytics has not received much attention by the community, despite its pivotal role as the interface between chemical experiments and data-driven design & decision-making. Importantly, the quality of this interface determines the efficiency and reliability when generating data.^{10,11} This fact becomes especially evident when going from human-in-the-loop to closed-loop workflows, wherein experiments are autonomously performed. Reliable and high-quality reaction analysis data are required to maintain the self-driving platform operative without human interventions.¹²⁻¹⁴

The most commonly used analytical methods for HTE and chemical reaction analysis in general are high-performance liquid chromatography (HPLC) and ultra-high-performance liquid chromatography (UHPLC).⁷ Commercial solutions for automated liquid chromatography analysis exist,¹⁵⁻¹⁹ but remain locked in vendor-specific proprietary software and

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therefore pose a challenge for user-specific integration into established workflows.^{20,21} When dealing with hundreds or thousands of chromatograms, it is difficult to ensure that all peaks are automatically integrated correctly, especially in the presence of unexpected side components which might overlap with the product or substrate peaks. Although automatic chromatogram processing has been the focus of many publications, most programs do not take advantage of the multidimensionality of the HPLC-DAD (photo-diode array detector) raw data and therefore fail to handle and deconvolute overlapping peaks.^{11,22–24} This can lead to labor-intensive, manual inspection and analysis of the HPLC data, which must be avoided, especially in the context of automated laboratories. Therefore, multivariate deconvolution should be used to reliably predict the number of peak components and deconvolute the components correctly.²⁵

As part of our effort to make automated chromatogram processing more reliable and accessible, we have recently introduced MOCCA (Multivariate Online Contextual Chromatographic Analysis) for chemical reaction analysis of HPLC-DAD raw data and demonstrated its broad applicability to both automated and non-automated use cases.¹⁰ By releasing MOCCA as an open-source Python package, we aim to create a community project that overcomes the limitations of vendor software and enables easy implementation into automated workflows according to the user needs. In this spirit, we have continued working on the MOCCA tool and developed an improved Python package for automated processing of chromatograms, including baseline correction, intelligent peak picking, peak purity checks, deconvolution of overlapping peaks, and compound tracking. The processing is fully automated with the possibility to include calibration and internal standards. The individual automatic processing steps have been improved to make the software more dependable and versatile. The algorithm accuracy was benchmarked on three datasets and compared to previous MOCCA implementation and published results. We have developed a new, web-based graphical user interface (GUI) to make the software accessible to users with limited programming experience and to facilitate routine use in a laboratory environment. The software supports chromatograms with photo-diode array detector (DAD) data from most commercial HPLC systems, and the Python package and GUI implementation are open-source to allow addition of new features and further development.

This paper aims to provide a detailed overview of the improved chromatographic processing steps, the newly developed GUI and the application of MOCCA for chemical reaction analysis.

Methods

Chromatogram processing

The Python package and GUI support fully automated processing of sets of chromatograms; the general outline is shown in algorithm 1 and details are described in the following section:

Algorithm 1 Automatic Processing Overview

- 1: Data Parsing
The raw DAD (photo-diode array) data from files
- 2: Baseline Correction
Blanks are subtracted from samples (if provided)
Baseline is refined using FlatFit algorithm
- 3: Peak Picking
Peaks are picked and their borders estimated
- 4: Peak Deconvolution
All peaks are deconvolved
- 5: Purity Checking
Deconvolved peaks are checked for purity
- 6: Compound Tracking
Peaks corresponding to identical compounds are clustered
- 7: Weighted average of spectra of individual components is calculated and their integrals are refined
- 8: Concentrations are calculated (if standards are provided)

Data parsing. The MOCCA package supports files from most common commercial software, including Empower from Waters, ChemStation from Agilent, and LabSolutions from Shimadzu. Parsers for additional formats can be added easily.²⁶

Baseline correction. A good baseline is crucial for peak picking, integration, deconvolution, and purity checking; thus, it is important to have a reliable baseline correction algorithm. MOCCA's implementation of baseline correction of the DAD data follows algorithm 2.

Algorithm 2 Baseline Correction

```

if blank is provided then
    data ← data – blank
end if
for each wavelength do
    estimate baseline at single wavelength
end for
for each timepoint do
    smooth baseline over all wavelengths (Savitzky-Golay filter)
end for
data ← data – baseline

```

In practice it is recommended to subtract the blank from sample data before estimating the baseline. The baseline $z(t)$ of 1D data $y(t)$ is estimated by minimizing the loss function \mathcal{L} as defined using different algorithms AsLS,^{27–29} arPLS,²⁹ or FlatFit (Table 1), which are described in more detail below.

The derivatives are calculated using finite differences or the Savitzky–Golay filter, and the integrals are calculated numerically by summing the data points.

Asymmetric least squares (AsLS).²⁷ Asymmetric least squares regression with smoothness penalty is a simple and popular algorithm for baseline estimation. The loss function (Table 1) has two terms: an asymmetrically weighted squared difference between baseline and data and smoothness penalty which can be tuned using λ . The drawbacks of AsLS are that the baseline has a tendency to form positive bumps under tall peaks, and it is highly distorted if any negative peaks are present (Fig. 1).

Asymmetrically reweighted penalized least squares (arPLS).²⁹ The arPLS method improves on the AsLS method by making the weighting function $w(t)$ sigmoidal (Table 1), removing bumps under tall peaks. However, the arPLS method relies on a small



Table 1 Comparison of loss functions for baseline estimation algorithms. For AsLS, the asymmetry factor p is usually in the range of 10^{-5} to 0.01.²⁷ For arPLS, the parameter α determines how sensitive the baseline is to negative noise or peaks; this is somewhat analogous to p in AsLS. For FlatFit, the weighting function is based on normalized first and second derivatives of the data; ε prevents division by zero

AsLS	arPLS	FlatFit
$\mathcal{L}(p, \lambda) = \int_0^{t_{\max}} w(t) \cdot [y(t) - z(t)]^2 + \sigma(t) dt$ $w(t) = \begin{cases} p & \text{if } z(t) > y(t) \\ 1 - p & \text{otherwise} \end{cases}$	$\mathcal{L}(\alpha, \lambda) = \int_0^{t_{\max}} w(t) + \sigma(t) dt$ $\frac{1}{w(t)} = 1 + \exp\left[-\frac{2 \cdot d(t) \cdot (\alpha s - m)}{s}\right]$ $d(t) = y(t) - z(t)$ <p>$m = \text{mean of } d(t), \text{ where } d(t) < 0$</p> <p>$s = \text{std dev. of } d(t), \text{ where } d(t) < 0$</p>	$\mathcal{L}(\lambda) = \int_0^{t_{\max}} w(t) \cdot [y(t) - z(t)]^2 + \sigma(t) dt$ $w(t) = \frac{1}{y'(t) + y''(t) + \varepsilon}$ $y'(t) = \left[\frac{dy}{dt}\right]^2 / \int_0^{t_{\max}} \left[\frac{dy}{dt}\right]^2 dt$ $y''(t) = \left[\frac{d^2y}{dt^2}\right]^2 / \int_0^{t_{\max}} \left[\frac{d^2y}{dt^2}\right]^2 dt$ $\varepsilon = 10^{-7}$
<p>The smoothness penalty $\sigma(t)$ is defined as: $\sigma(t) = \lambda \cdot \left[\frac{d^2z}{dt^2}(t)\right]^2$</p>		

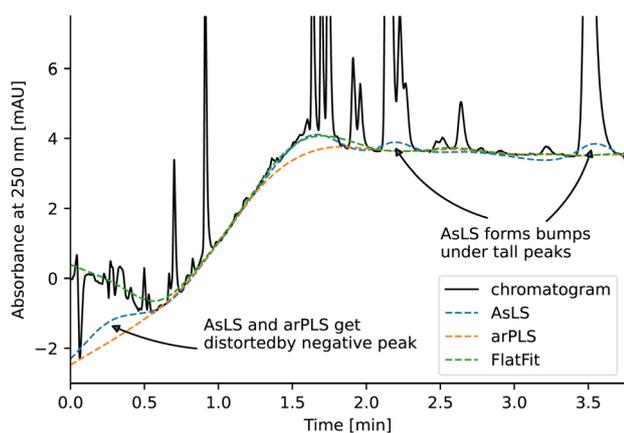


Fig. 1 Comparison of baseline estimation using AsLS, arPLS and FlatFit algorithms on a representative chromatogram of a reaction mixture measured at 250 nm without blank subtraction. Typically, the baseline would be first corrected by subtracting a blank chromatogram and only then refined using a baseline estimation algorithm. The blank was not subtracted in this case to highlight the differences between the different algorithms.

amount of background noise being present, and it can also be highly distorted by negative peaks (Fig. 1).

Flatness weighted fit (FlatFit). The newly developed FlatFit algorithm takes a different approach to AsLS and arPLS. Instead of basing the weighting function $w(t)$ on the difference between the baseline and signal, it uses normalized first and second derivatives to predict which parts of the spectrum are peaks and which are baselines (Table 1).

Unlike AsLS and arPLS which are minimized iteratively, FlatFit has a single closed-form solution. Additional advantages are that the baseline can be estimated for signals with both positive and negative peaks, and there are no additional parameters which need to be tuned.

For typical chromatogram data, FlatFit and arPLS perform similarly well, outperforming AsLS. Both FlatFit and arPLS have their respective weaknesses – FlatFit overestimates the baseline in areas with many peaks, while arPLS cannot handle negative

peaks. By default, we use FlatFit to avoid convergence problems and parameter tuning.

Peak picking. For successful deconvolution and peak integration, it is important to find all significant peaks and correctly determine their borders. The peak picking procedure follows algorithm 3 and the individual steps are visualized in Fig. 2.

The entire peak picking procedure is carried out using 1D data which are obtained by averaging the DAD data over all wavelengths. Once the peak maxima are located and filtered, the peak borders are found using estimated background noise and the signal slope. The peaks are then further refined by merging overlapping peaks and splitting peaks with sufficient

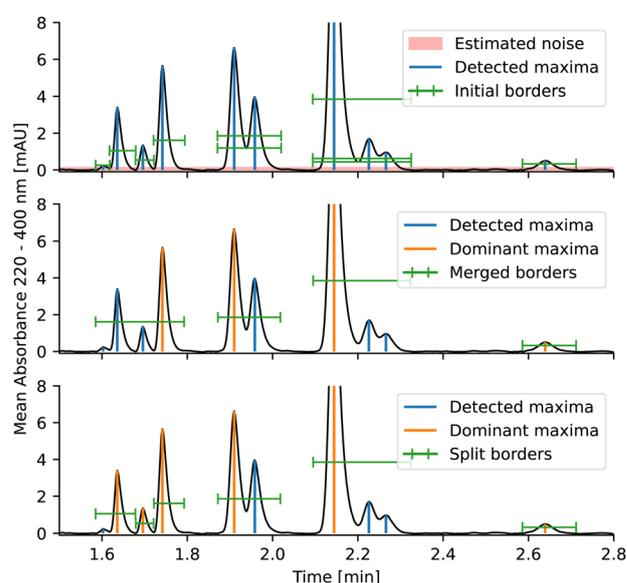


Fig. 2 Visualized peak picking procedure on a representative chromatogram of a reaction mixture. From top: first, maxima are found and filtered, background noise is estimated, and peak borders are expanded to contain the entire peak. Secondly, overlapping borders are merged. Lastly, peaks that have sufficient separation between maxima are split. All resulting peaks are subsequently passed to the deconvolution algorithm to resolve overlapping peaks or confirm purity.



separation. After this procedure, the peaks are ready to be passed to the deconvolution algorithm.

Algorithm 3 Peak Picking

- 1: The DAD data is averaged over all wavelengths
 - 2: Peak maxima are found and filtered by prominence, based on *minimum height* and *minimum relative height*
 - 3: Background noise of the chromatogram is estimated
 - 4: The peak borders (start and stop times) are determined
 - 5: Overlapping peaks are merged
 - 6: Merged peaks with sufficiently separated maxima are split
 - 7: Extracted peaks are deconvolved
 - 8: Deconvolved peaks are filtered using *minimum relative area*
-

The peak significance is determined using three conditions: minimum height, minimum relative height, and minimum relative area.[†]

To determine peak borders, background noise is estimated and the chromatogram is then scanned from the peak maximum until the absorbance is lower than background noise. This ensures that peaks are not cut off prematurely.

Peak deconvolution. Peak deconvolution is a process of explaining experimental chromatogram data $D(t, \lambda)$ by predicting concentration profiles $c_i(t)$ and absorption spectra $s_i(\lambda)$ of pure components $i \in \{1, \dots, N\}$ in order to minimize the sum of squares of the residual error $\varepsilon(t, \lambda)$ (eqn (1)^{††}).

$$D(t, \lambda) = \sum_{i=1}^N c_i(t)s_i(\lambda) + \varepsilon(t, \lambda) \quad (1)$$

If $c_i(t)$ and $s_i(\lambda)$ are not constrained, the problem is under-determined.³⁰ Thus, we constrain the concentration profiles to a peak shape model, and the absorption spectra are strictly non-negative.^{25,30} By default, the new MOCCA version uses the bidirectional exponentially modified Gaussian (BEMG) peak model,³⁰ but the package also implements other popular peak shape models including Fraser-Suzuki and BiGaussian.³¹

The parameters determining the peak shape and their naming conventions and interpretation vary between models. Furthermore, due to the mathematical nature of some of the models, parameters are often correlated with multiple peak properties (for example increasing tailing often slightly shifts the peak maximum to the right). Parameters and their main effects for selected models are:

- BiGaussian
 - h : height of the peak
 - μ : elution time (position of peak maximum)
 - σ_1 : width of the left half of the peak
 - σ_2 : width of the right half of the peak
- Fraser-Suzuki
 - h : height of the peak

- μ : elution time (position of peak maximum)
- σ : width of the peak
- α : peak tailing
- BEMG
 - h : height of the peak
 - μ : elution time (position of peak maximum)
 - σ : width of the peak
 - a : peak tailing
 - b : peak fronting

However, if accurate peak properties that are consistent between models, such as peak height, location of peak maximum or width at 50% height, are required, it is best to measure them from the calculated peak shape.

During peak deconvolution, the number of components is iteratively increased until the residual error is smaller than some user-specified threshold,[‡] similar to the approach published by Erny.²⁵ The deconvolution procedure (algorithm 4) is based on the L-BFGS-B optimizer (memory limited Broyden-Fletcher-Goldfarb-Shanno algorithm with bounds).

Algorithm 4 Peak Deconvolution

D : chromatogram DAD data containing the peak(s) of interest
 C : concentration profiles of individual components
 S : absorption spectra of individual components
 p : peak model parameters for individual components

```

n_components ← minimum number of components – 1
while residual error larger than threshold do
  n_components ← n_components + 1
  C, S ← initial guess for n_components
  p ← guess peak model parameters from C
  while L-BFGS-B did not converge do
    C ← calculated from p using the peak model
    S ← non-negative least squares using D and C
    calculate residual error
    calculate analytical gradient with respect to p
    update p using L-BFGS-B step
  end while
end while
return C, S, and residual error

```

Parallel factor analysis (PARAFAC)³² and independent component analysis (ICA).³³ Parallel factor analysis (PARAFAC)³² and independent component analysis (ICA)³³ are alternative approaches for signal deconvolution that can be used to resolve overlapping peaks in chromatograms,³⁴ PARAFAC was used in the previous MOCCA version.¹⁰ Unlike our new approach which constrains peak shapes, ICA maximizes signal independence measured using neg-entropy, and PARAFAC constrains the concentration profiles and spectra to be the same across multiple chromatograms (eqn (2)^{‡‡}). For ICA or PARAFAC to

[‡] See section Purity checking and the min peak purity parameter in the GUI.

^{‡‡} Eqn (2): the trilinear decomposition problem solved by PARAFAC. The chromatogram data $D(t, \lambda, k)$ at time t and wavelength λ from chromatogram k is decomposed into three independent components: concentration profiles $c_i(t)$, absorption spectra $s_i(\lambda)$ and compound concentrations in individual chromatograms $n_i(k)$. The $\varepsilon(t, \lambda, k)$ represents the residual error which PARAFAC minimizes. For a unique solution to exist, the number of chromatograms must be equal to or larger than the number of compounds, although this condition is not sufficient.

[†] The relative height and relative area are calculated with respect to the tallest peak and the peak with the largest area in the chromatogram respectively.

^{††} Eqn (1): general expression for linear decomposition of the 2D DAD chromatogram data. Symbols represent t time, λ wavelength, $D(t, \lambda)$ raw DAD data, $i \in \{1, \dots, N\}$ indices of pure compounds, $c_i(t)$ concentration profiles, $s_i(\lambda)$ absorption spectra, and $\varepsilon(t, \lambda)$ unexplained residual error.



have a unique solution, the number of chromatograms needs to be equal to or larger than the number of compounds.

$$D(t, \lambda, k) = \sum_i c_i(t) s_i(\lambda) n_i(k) + \varepsilon(t, \lambda, k) \quad (2)$$

Bidirectional exponentially modified Gaussian (BEMG) model.³⁰ Inspired by the exponentially modified Gaussian (EMG) peak shape model for liquid chromatography,³⁵ the BEMG model is defined as convolution of the Gaussian function and two decaying exponentials (eqn (3)).

The BEMG function further extends the EMG model, allowing for peak fronting and symmetric distortions, as shown in Fig. 3. Overall, the BEMG function is very flexible and can describe a wide range of peak shapes.

Purity checking. It is good practice to check all integrated peaks for purity. Unlike with manual analysis or most vendor software, where peak purity is either not checked at all or checked only for selected peaks, MOCCA automatically checks purity of all peaks in all chromatograms, and all impure peaks are deconvoluted to recover the separate components.

$$\begin{aligned} \text{bemg}(t, \mu, \sigma, a, b) &= g(t, \mu, \sigma) \times e_a(t, a) \times e_b(t, b) \\ g(t, \mu, \sigma) &= \exp\left[-\frac{(t - \mu)^2}{2\sigma^2}\right] \\ e_a(t, a) &= \begin{cases} \exp(at) & \text{if } t \leq 0 \\ 0 & \text{otherwise} \end{cases} \\ e_b(t, b) &= \begin{cases} \exp(-bt) & \text{if } t \geq 0 \\ 0 & \text{otherwise} \end{cases} \end{aligned} \quad (3)$$

The accuracy of purity checking and deconvolution strongly depends on how similar the retention times and absorbance spectra of the overlapping peaks are.[§]

For a peak to be considered pure, or successfully deconvoluted, it must satisfy the following conditions.

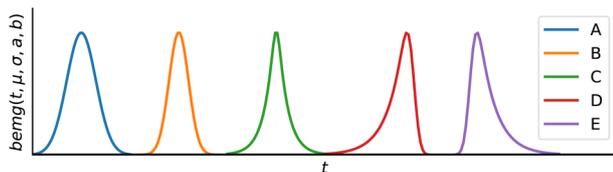


Fig. 3 Examples of possible peak shapes using the BEMG model, including different widths, symmetric distortion, fronting, and tailing. (A) $\sigma = 0.3$, $a = 100$, $b = 100$, (B) $\sigma = 0.2$, $a = 100$, $b = 100$, (C) $\sigma = 0.05$, $a = 5$, $b = 5$, (D) $\sigma = 0.1$, $a = 3$, $b = 100$, and (E) $\sigma = 0.1$, $a = 100$, $b = 3$. All peaks are normalized to have equal height.

§§ Eqn (3): definition of the bidirectional exponentially modified Gaussian peak (BEMG) model function.

§ Depending on these factors, the minimum integral needed to detect an impurity is usually in the range of 0.1% to 30% of the integral of the major component.

- The number of components is equal to or higher than the number of significant maxima in the chromatogram (significant maxima are considered to be any maxima detected by the peak picking algorithm)

- The residual error after deconvolution is sufficiently small.[¶]

Thus, even putatively pure peaks are deconvoluted using a single component to test for purity. The advantage of this approach is that purity checks are consistent for peaks with any number of components.

Whether the residual error after deconvolution is sufficiently small is checked by algorithm 5.

Algorithm 5 Purity Checking

```

threshold: number between 0 and 1 provided by user¶
base_ms ← mean squared absorbance over chromatogram
peak_ms ← mean squared absorbance over current peak
max_mse ← (1 - threshold) · max(base_ms, peak_ms)
residual_mse ← mean squared error after deconvolution
if max_mse < residual_mse then
  the peak is considered pure or successfully deconvoluted
else
  the peak is not considered pure or successfully deconvoluted
end if

```

The algorithm 5 is analogous to setting the R^2 threshold. If the local threshold for a single peak is low, it will be increased to a global threshold shared across the entire chromatogram $base_ms$; this is useful to prevent over-deconvoluting small peaks which contain significant amounts of random noise.

Compound tracking. After deconvolution, peaks across all chromatograms are compared and identical compounds are clustered together. Two peaks are considered to correspond to identical compounds if their retention times are sufficiently close and the Pearson correlation of their spectra is higher than the user-specified threshold.^{||}

Retention times t_1 and t_2 are considered to be sufficiently close if $|t_1 - t_2| < \tau(w_1 + w_2)/2$, where w_i are peak widths and τ is the user-specified threshold. Thanks to the adaptive threshold, τ does not need to be adjusted for peaks with varying widths.

Graphical user interface

The GUI is often overlooked during scientific software development, despite its importance for accessibility and usability, especially for users without excessive programming experience. We designed the GUI for MOCCA to be simple and efficient and to give users direct control over their data, the processing pipeline, and the results. A user-friendly GUI is especially important in laboratory settings where speed and reliability are critical.

The GUI is a web application built in Dash, so that the data can be processed either on a local-host server, or in a more centralised manner. Together with the modular code base, this simplifies addition of new features and allows for many possible deployment options.

¶ See the min peak purity parameter in the GUI.

|| See the parameter min spectrum correl in the GUI.



Mokka Multivariate Online Contextual Chromatographic Analysis

Home Data Process Results

A Upload Campaign: Restore a previous campaign from .mocca2 file

Select Blank: Select the chromatogram with gradient only to use as blank

Upload Sample: Select the chromatogram data for analysis

Currently selected blank: NO BLANK SELECTED - select blank before uploading samples

B Please add information about the uploaded chromatograms

#	Sample Name	Sample File	Blank File	Compound Name	Concentration	ISTD Concentration
1	substrate standard	09072021_educt_88.txt	09072021_gradient_97.txt	substrate	100.5	1
2	tetralin standard	09072021_istd_96.txt	09072021_gradient_97.txt	tetralin		
3	product standard	09072021_product_92.txt	09072021_gradient_97.txt	product	99.3	1
4	1: XPhos + DBU	09072021_sample_4.txt	09072021_gradient_97.txt			1
5	2: tBuXPhos + DBU	09072021_sample_5.txt	09072021_gradient_97.txt			1
6	3: CH-Phos + DBU	09072021_sample_6.txt	09072021_gradient_97.txt			1
7	4: XPhos + TMG	09072021_sample_7.txt	09072021_gradient_97.txt			1
8	5: tBuXPhos + TMG	09072021_sample_8.txt	09072021_gradient_97.txt			1
9	6: CH-Phos + TMG	09072021_sample_9.txt	09072021_gradient_97.txt			1
10	7: XPhos + DMAP	09072021_sample_10.txt	09072021_gradient_97.txt			1
11	8: tBuXPhos + DMAP	09072021_sample_11.txt	09072021_gradient_97.txt			1
12	9: CH-Phos + DMAP	09072021_sample_12.txt	09072021_gradient_97.txt			1
13	10: XPhos + DIPEA	09072021_sample_13.txt	09072021_gradient_97.txt			1
14	11: tBuXPhos + DIPEA	09072021_sample_14.txt	09072021_gradient_97.txt			1
15	12: CH-Phos + DIPEA	09072021_sample_15.txt	09072021_gradient_97.txt			1

Name of ISTD: tetralin

C Download Campaign Discard Changes Confirm Changes

Fig. 4 Data page. (A) Areas for uploading data; it is possible to restore previous data (campaign), or to upload blanks and samples. (B) This table displays information about samples, and the user can rename the samples or specify information about compound standards and internal standards. (C) Buttons for confirming changes, discarding changes, and for downloading the entire dataset (campaign) into a .mocca2 file (JSON compressed using GZIP).

The current GUI guides the user through three major steps: uploading data, data processing, and interpretation of results.

GUI: data page. In the data page (Fig. 4), the user is prompted to upload the raw chromatogram data and blanks and specify names and concentrations of compound standards. The program can work without standards too, but if the information is provided, absolute concentrations and/or

concentrations relative to internal standards are automatically calculated. This is much faster and less error-prone compared to doing the calculations manually in a spreadsheet.

GUI: processing page. After uploading all data, the user can adjust processing settings (Fig. 5). Although the default settings work on most samples, the GUI gives the flexibility to tune all the settings as needed.

Mokka Multivariate Online Contextual Chromatographic Analysis

Home Data Process Results

A Test settings on single sample

Select one of the chromatogram sample files: 1: XPhos + DBU

Process Single Sample

The sample '1: XPhos + DBU' is shown below!

B Process Entire Dataset

Once you are happy with the settings, you can process all files. This might take a while.

Process All

Preview of 1: XPhos + DBU:

Abs. [mAU]

Time [min]

D Spectrum Analysis Options

Cropping Wavelength

Min Wavelength: 210

Max Wavelength: 400

available: 189 nm - 401 nm

Baseline Correction

Baseline Smoothness: 1

Peak Picking

Min Peak Height: 5

Min Relative Peak Height: 0

Min Retention Time: 2

Max Retention Time: 5

Min Relative Peak Area: 0.01

available: 0.00 min - 8.00 min

Determining Peak Borders

Max Peak Cutoff: 0.1

Split Peaks Threshold: 0.05

Deconvolution

Min Peak Purity: 0.98

Assigning Compounds

Max Peak Distance: 1

Min Spectrum Correl: 0.99

Download Upload

Fig. 5 Processing page. (A) Directive to test settings by processing a single selected chromatogram. (B) Directive to process the entire dataset. (C) Processed and deconvoluted chromatogram to check the settings. The individual deconvoluted compounds are plotted in different colors; background color indicates the deconvolution result; green – single pure compound; yellow – successfully deconvoluted overlapping compounds; red – deconvolution failed. (D) Processing settings, with an option to export and import settings. All settings are also explained lower on this page.



Area %	Integrals	rel. Integrals	Concentrations	Concentrations (ISTD)	Chromatograms	Compounds
Absolute absorbance integrals						
Chromatogram	@ 2.008 @ 2.120 @ 2.245 @ 2.333	product @ 2.691 @ 2.731 @ 3.043	3.061 @ 3.136 @ 3.248 @ 3.251	substrate @ 3.608	tetraIn @ 3.883 @ 3.901 @ 3.979 @ 4.056 @ 4.117 @ 4.357	
substrate standard				462.6	569.2	
tetraIn standard				158.4	1370.4	
product standard		1592.6			594.8	
1: XPhos + DBU	100.5	830.0		330.8	2410.6	728.5 95.4
2: tBuXPhos + DBU	94.8		174.2	518.1	2132.1	684.0
3: CH-Phos + DBU	90.0		80.7	533.8	2041.2	697.1 557.0
4: XPhos + TMG		1297.9	385.8	82.0	1973.5	704.5
5: tBuXPhos + TMG		846.5	512.7	78.1	1736.4	634.0
6: CH-Phos + TMG		1675.1	333.4	53.7	2250.2	744.0 370.7 166.3
7: XPhos + DMAP	106.4	109.1 204.3 118.5	454.5	3796.3	532.9	603.8 998.5 195.5
8: tBuXPhos + DMAP	72.1	112.7	137.1	2450.0	430.1	272.2 624.2 95.6
9: CH-Phos + DMAP	80.0		282.8	2584.6	430.2	373.4 664.3 96.1 137.0
10: XPhos + DIPEA	88.4			2986.8	424.4	689.9 165.8
11: tBuXPhos + DIPEA	76.3			2834.2	397.9	647.2 124.0
12: CH-Phos + DIPEA	84.8		2484.5 517.3		425.0	644.6 99.0 119.6 78.8

Fig. 6 Results page, “integrals” tab. (A) Table summarizing the integrals of individual compounds in all chromatograms. (B) This icon copies the raw data into the clipboard and lets the user paste the data into spreadsheet software or a .csv file.

The settings can be tested by processing a single chromatogram, and it is possible to save or reload the settings using a .yaml file. When the user is satisfied with the settings, all chromatograms can be processed with a single click on the “process all” button.

GUI: results page. The results page serves two major purposes: it allows the user to efficiently check the correctness of the processed data and summarizes the results to make them easy to understand and export.

The page is divided into multiple tabs, which let the user view the peak integrals and calculated concentrations (tabs “area%”, “integrals”, “rel. integrals”, “concentrations”, and “rel. concentrations”, Fig. 6), inspect the deconvoluted chromatograms (tab “chromatograms”, Fig. 7), and see a summary of all

compounds found across all chromatograms (tab “compounds”).

Despite the automatic processing, most chemists are still interested in checking the data visually. The GUI provides an option to interactively inspect the DAD data using a heatmap and cross-sections for specified time and wavelength, allowing to zoom into specific regions and adjust the heatmap contrast (Fig. 7).

Results

To highlight MOCCA's improved performance and broad applicability, we studied three different use cases and directly compared them to the previous MOCCA implementation and published results: (i) deconvolution of overlapping peaks with

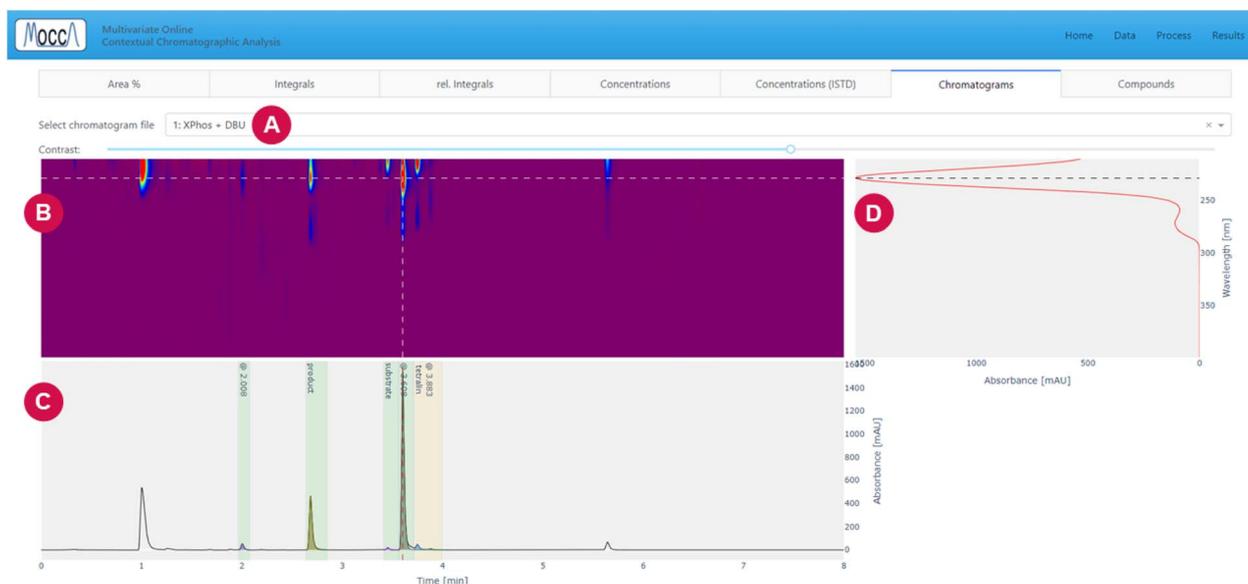


Fig. 7 Results page, “chromatograms” tab. (A) Dropdown for selecting the chromatogram. (B) Heatmap of the DAD data, and the contrast can be adjusted using the slider above. (C) Chromatogram with deconvoluted peaks at a single wavelength; the wavelength can be changed by clicking the heatmap or absorbance plot. (D) Absorbance spectrum at a given time; the time can be changed by clicking the heatmap or chromatogram plot.



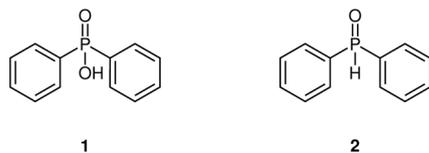


Fig. 8 Structures of diphenylphosphonic acid (1) and diphenylphosphine oxide (2).

similar spectra; (ii) re-evaluation of reaction kinetics study on a Knoevenagel condensation reaction; (iii) benchmarking and peak deconvolution accuracy comparison on a dataset with diterpene esters.

Deconvolution of diphenylphosphonic acid and diphenylphosphine oxide

Diphenyl phosphonic acid and diphenylphosphine oxide (Fig. 8) have nearly identical UV-vis spectra, which allows us to demonstrate the advantage of fitting peak shapes.

The disadvantage of PARAFAC is that multiple chromatograms containing the same compounds are needed for deconvolution and that it assumes identical peak shapes for the same compound across multiple chromatograms. ICA also does not provide a satisfactory solution, as the concentrations and absorption spectra might be negative and the peak shapes are often nonphysical. Moreover, modelling peak shapes seems to be advantageous – the BEMG model is flexible enough to accommodate most possible shapes, it prevents overfitting artifacts, and it also allows to deconvolute compounds with nearly identical absorption spectra using their peak shapes (Fig. 9).

Kinetics of Knoevenagel condensation

To compare the accuracy of the new algorithm to that of the original MOCCA version, we used the Knoevenagel condensation dataset (Fig. 10).¹⁰

The dataset contains standards of benzaldehyde (3a), 4-methoxybenzaldehyde (3b) and 4-(dimethylamino)benzaldehyde (3c) and kinetic data from the condensation reactions. The samples have been run at different gradient lengths (0.5–2.5 min) to obtain different degrees of overlap between the peaks (Fig. 11).

The calibration chromatograms were used to compare the integration accuracy of pure peaks (Table 2) using (A) the previous version of MOCCA with PARAFAC, (B) the new MOCCA version with peaks constrained to the BEMG shape model, and (C) with subsequently relaxed concentration profiles.** The significant improvement of the new MOCCA version (Table 2, B and C compared to A) is caused mostly by more accurate baseline correction. It is also apparent that constraining peak shape decreases the integration accuracy for pure peaks (Table 2, B vs. C), although for overlapping peaks the opposite is often true.

This set the stage to re-evaluate the chromatograms from kinetic experiments where the peaks of benzaldehyde

** The BEMG model cannot represent the peak shape exactly. After the spectra of individual components are estimated, it is possible to relax this constraint and fit the concentration profiles using non-negative least squares.

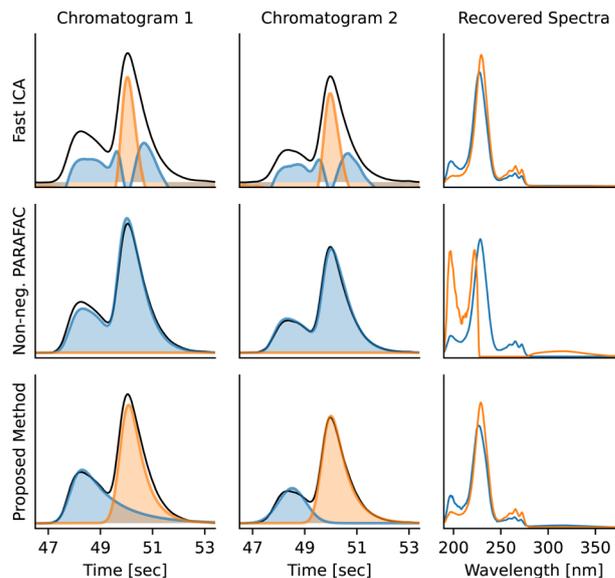


Fig. 9 Deconvolution of overlapping peaks with similar spectra using Fast ICA,³⁶ non-negative PARAFAC, and multivariate peak fitting. Because of the nearly identical spectra, Fast ICA and PARAFAC fail completely to deconvolute the peaks. PARAFAC needs both chromatograms to deconvolute the compounds, while Fast ICA and the multivariate peak fitting implemented by MOCCA process the chromatograms independently. The overlapping peaks are diphenylphosphonic acid (1) and diphenylphosphine oxide (2) respectively.

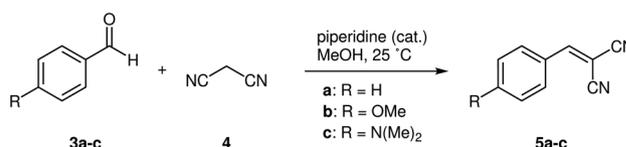


Fig. 10 Kinetic data from Knoevenagel condensation between benzaldehydes 3a–c and malononitrile 4 was used to test deconvolution accuracy of MOCCA for peaks with varying integrals and overlaps.

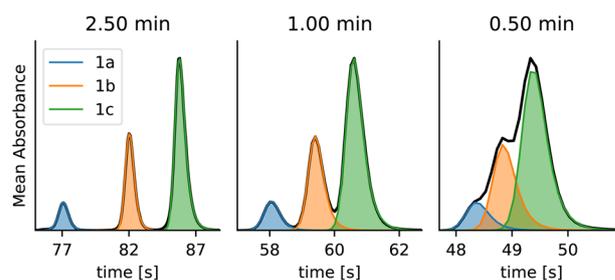


Fig. 11 Different gradient lengths can be used to achieve different degrees of overlap between the peaks of benzaldehyde derivatives 3a, 3b and 3c. The dataset contains chromatograms with gradient lengths of 0.5, 0.75, 1.0, 1.5 and 2.5 minutes, and only selected gradient lengths are shown here.

derivatives overlap. For the kinetic plot of 3a, the obtained R^2 values (0.984 and 0.992) are significantly better compared to values using old MOCCA¹⁰ (0.965 and 0.960). We also managed



Table 2 Comparison of integration accuracy of **3a**, **3b** and **3c** standards. The entries represent R^2 values for linear fit of MOCCA integrals against manual integrals with the intercept fixed to 0. A: previous MOCCA version using PARAFAC, B: new MOCCA version with peak shape constrained to the BEMG model, and C: the absorption spectra were estimated using new MOCCA deconvolution with the BEMG peak model, and the concentration profiles were then recalculated using non-negative least squares, relaxing the peak shape constraint

Gradient length	A ¹⁰	B	C
2.50 min	0.9994	0.99987	0.999996
1.50 min	0.9993	0.99954	0.999997
1.00 min	0.9997	0.99987	0.999996
0.75 min	0.9995	0.99980	0.999997
0.50 min	0.9997	0.99989	0.999989

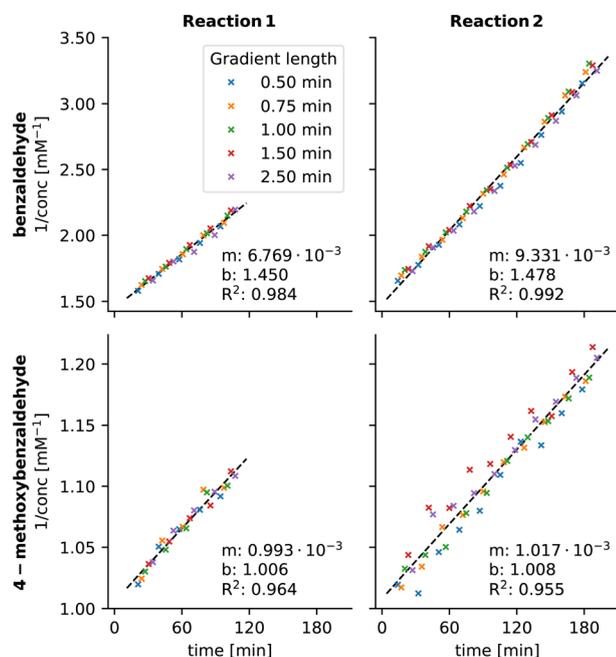


Fig. 12 Pseudo-second order kinetic plots of **3a** and **3b** derived from competition experiments in the Knoevenagel condensation recorded with five different gradient length runs and analyzed with the new MOCCA version. Reaction 1 contains **3a** and **3b** and Reaction 2 contains **3a**, **3b** and **3c**. The data are fitted with straight line $y = mx + b$.

to obtain kinetic plots for **3b**, which were too noisy when using the old MOCCA version and thus were not reported previously (Fig. 12).¹⁰ Benzaldehyde derivative **3c** essentially does not react throughout the measured reaction course.

Accuracy of deconvolution of diterpene esters

Further benchmarking was done on a published dataset with diterpene esters (Fig. 13),³⁷ and the accuracy of peak deconvolution was compared to that of a similar deconvolution method published by Erny.²⁵ The dataset consists of 16 chromatograms, each of which contains a mixture of kahweol oleate (KO, **6**), kahweol palmitate (KP, **7**), cafestol oleate (CO, **8**) and cafestol palmitate (CP, **9**) (Fig. 14) with known concentrations. Similar to Erny,²⁵ we deconvoluted the peaks, constructed linear

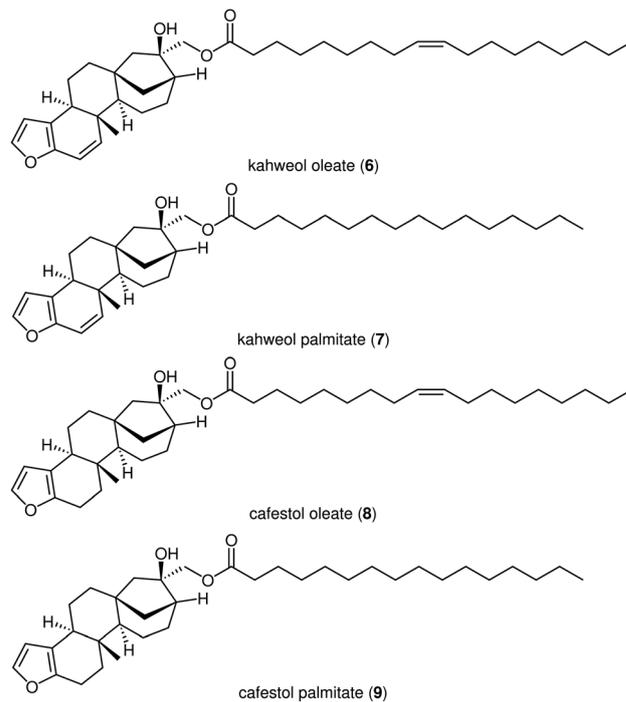


Fig. 13 Structures of diterpene esters in the dataset published by Erny.²⁵

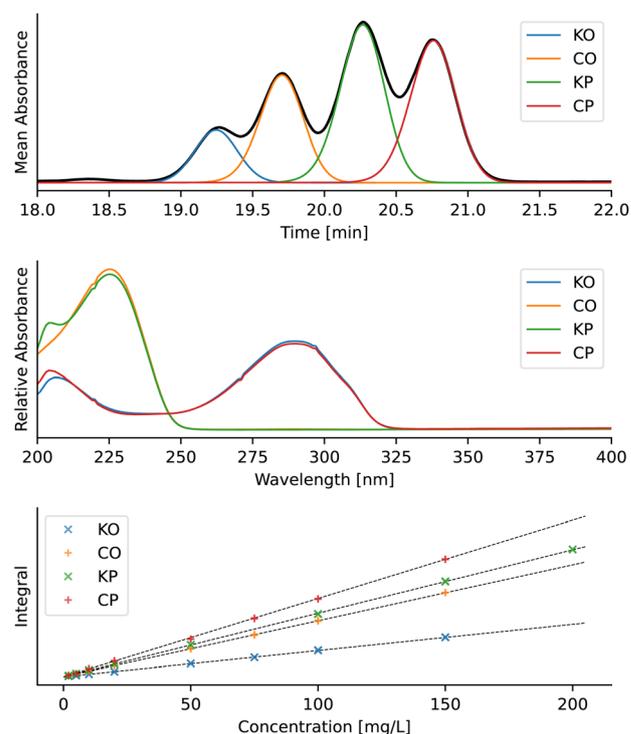


Fig. 14 Deconvolution results of diterpene esters. From top: example of the deconvoluted chromatogram, recovered absorption spectra of individual diterpene esters, and linear calibration curves. The data in the figure are from calibration sample 16,³⁷ the baseline was corrected using FlatFit and the peaks were deconvoluted using the BEMG model. Chromatograms were analysed in the 18–22 minute interval over the entire provided UV-vis spectrum (200–400 nm).



Table 3 Integration accuracy of diterpene esters using different deconvolution methods. The entries represent R^2 values of linear calibration curves

Method	KO	CO	KP	CP
BEMG and FlatFit	0.99980	0.99991	0.99997	0.99976
BEMG and arPLS	0.99971	0.99983	0.99993	0.99975
Fraser-Suzuki and FlatFit	0.99807	0.99827	0.99971	0.99779
itMPF + fminsearch ²⁵	0.99990	0.99991	0.99997	0.99980
itMPF + fmnnunc ²⁵	0.99979	0.99991	0.99996	0.99972

calibration curves for the individual components (Fig. 14), and used the R^2 values to compare the integration accuracy (Table 3). The accuracy of the new MOCCA version using FlatFit baseline correction and the BEMG peak model is comparable to that obtained by Erny.²⁵ Using other models, such as arPLS for baseline correction or the Fraser-Suzuki peak model, decreases the integration accuracy. The peaks were deconvoluted without any standards of the pure components; this would have not been possible using the previous MOCCA version with PARAFAC.

Conclusions

We present an improved MOCCA Python package with a newly developed web-based graphical user interface. Most algorithms in MOCCA, including baseline correction, peak picking, and deconvolution, have been optimized to improve accuracy and reliability across different HPLC and UHPLC systems. The benchmarks show that the accuracy is significantly better than that of the previous MOCCA version and comparable to that of other non-automatic approaches. Importantly, while many tools overlook the reliability of algorithms, which are often sensitive to artifacts and unusual chromatographic effects, MOCCA is specifically built and tested to robustly handle diverse chromatograms, ensuring accurate results out-of-the-box. The web-based GUI makes the program very accessible to chemists with limited programming experience. Its simplicity, reliability and accuracy make it a great tool for routine use in a synthetic lab, and over the past two months we have already successfully used it for more than 10 different reaction screening projects. The reliability and accuracy make MOCCA a great building block to be used for reaction automation and closed-loop approaches. Because MOCCA is open-source, the users can add new features or adapt the code exactly to their needs. For example, we plan to add mass spectrometry (MS) data for automatic identification of components, similar to PyParse.¹¹

We hope that the new GUI and improved algorithms are a leap forward in making peak purity checking and deconvolution a standard part of processing of chromatograms and that the open-source nature of MOCCA will allow the community to further improve it and easily integrate it into their own projects.

Data availability

The MOCCA package is available at <https://github.com/Bayer-Group/mocca> and can be installed from PyPI <https://pypi.org/>

project/mocca2/. Documentation is published at <https://bayer-group.github.io/MOCCA/>. The implementation of the web application with the GUI is available at <https://github.com/Bayer-Group/mocca-frontend>. The previously published MOCCA version can be found here <https://github.com/HaasCP/mocca>.

Author contributions

Conceptualization: JH, JO, ML, CPH, RN, TG, KFJ, and GV; data curation: ML, JO, and CPH; formal analysis: JO; funding acquisition: JH and GV; investigation: JO and ML; methodology: JO and CPH; project administration: JH; resources: JH; software: JO, ML, and CPH; supervision: JH; validation: JO, ML, and JH; visualization: JO and JH; writing – original draft: JO and JH; writing – review and editing: JO, JH, CPH, ML, RH, TG, KFJ, and GV.³⁸

Conflicts of interest

JO, CPH, ML, RN, TG, GV and JH are employees of Bayer AG, a life-science company operating in the healthcare and agriculture sectors.

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