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## Understanding deNO<sub>x</sub> mechanisms in transition metal exchanged zeolites

Jamal Abdul Nasir, \*<sup>a</sup> Andrew M. Beale <sup>ab</sup> and C. Richard A. Catlow \*<sup>abc</sup>

Transition-metal-containing zeolites have wide-ranging applications in several catalytic processes including the selective catalytic reduction (SCR) of NO<sub>x</sub> species. To understand how transition metal ions (TMIs) can effect NO<sub>x</sub> reduction chemistry, both structural and mechanistic aspects at the atomic level are needed. In this review, we discuss the coordination chemistry of TMIs and their mobility within the zeolite framework, the reactivity of active sites, and the mechanisms and intermediates in the NH<sub>3</sub>-SCR reaction. We emphasise the key relationship between TMI coordination and structure and mechanism and discuss approaches to enhancing catalytic activity *via* structural modifications.

### Introduction

The development of catalysts for removing nitrogen oxides (NO<sub>x</sub>) from the emissions of power plants and internal combustion engines is amongst the most intensively studied fields of environmental catalysis. Zeolites containing transition metal ions (TMIs) are effective for these key catalytic processes, but despite extensive study, there remain uncertainties concerning structural and mechanistic aspects. This review will discuss the

status of the field, emphasising the complementary nature of experiments with computationally based theory and with a strong focus on the ammonia-assisted reduction reaction.

As will be discussed in greater detail below, zeolites are tecto-aluminosilicate nano-porous materials, which possess exceptional physicochemical properties that are useful in a wide range of applications including ion-exchange, gas separation, and catalysis;<sup>1</sup> an analogous class of nano-porous aluminophosphate (ALPO) and related materials can also show useful catalytic functionality. To modify their adsorption properties and to incorporate new functionality into zeolites, ion-exchange with transition metal ions (TMI) is a widely used strategy.<sup>2,3</sup> TMIs, which may also be introduced into framework sites through isomorphous substitution or annealing, play a key role in zeolite catalytic chemistry because of their variable

<sup>a</sup> Department of Chemistry, University College London, 20 Gordon Street, London WC1H 0AJ, UK. E-mail: [c.r.a.catlow@ucl.ac.uk](mailto:c.r.a.catlow@ucl.ac.uk)

<sup>b</sup> UK Catalysis Hub, Research Complex at Harwell, Rutherford Appleton Laboratory, R92 Harwell, Oxfordshire OX11 0FA, UK

<sup>c</sup> Cardiff Catalysis Institute, School of Chemistry, Cardiff University, Cardiff CF10 3AT, UK



**Jamal Abdul Nasir**

*Jamal Abdul Nasir received his MSc and MPhil degree in Inorganic Chemistry from Quaid-i-Azam University Pakistan in 2014 and 2016 respectively. He joined the same institute as a PhD student in September 2016. He then started a 2nd PhD in computational chemistry under Prof. Richard Catlow's group at UCL and is now a postdoctoral research fellow at UCL. He has a strong interest in the both experimental and computational chemistry e.g. MM,*

*DFT and hybrid QM/MM methodologies. He is currently working on Quantum Computing applications for Catalysis; and on Machine learning Interatomic Potentials for inorganic-based materials.*



**Andrew M. Beale**

*Andrew (Andy) Beale was awarded a BSc from the University of Sussex followed by a PhD at the Royal Institution of Great Britain. He then worked as a Postdoctoral researcher, VENI Research Fellow and Assistant Professor in the Department of Inorganic Chemistry and Catalysis, at Utrecht University in the Netherlands. He returned to the UK in 2013 as an EPSRC Early career fellow. He is currently a Professor of Inorganic Chemistry at UCL Group Leader at*

*the Research Complex@Harwell and Chief Scientific Officer of Finden Ltd. Current research interests concern the study of functional materials with *in situ* and *operando* methods.*

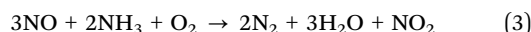
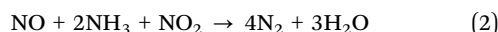
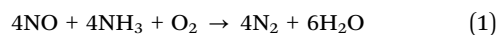


oxidation and coordination states, which are essential in many catalytic reactions. The study of their properties is facilitated by characterisation techniques such as diffraction and spectroscopy including X-ray absorption fine structure (XAFS), electron paramagnetic resonance (EPR), UV-visible, and mid-infrared (MIR) spectroscopy. Computational techniques can also be effectively applied to model structural and mechanistic properties of TMI centres in zeolites.

TMI-zeolites are highly active in a variety of key redox reactions including hydroxylation of benzene<sup>4</sup> and methane<sup>5</sup> to phenol and methanol, respectively. The use of TMI-containing zeolites for the remediation of NO<sub>x</sub> from combustion engines has attracted particular attention and is the focus of this review. The selective catalytic reduction (SCR) of NO<sub>x</sub> to N<sub>2</sub> in the presence of ammonia plays an important role in mitigating the emission of NO<sub>x</sub> from power plants and combustion engines.<sup>6–8</sup> According to the California Air Resources Board (CARB) Emission Factors 2021 inventory model, heavy-duty diesel vehicles (HDDVs) were expected to account for about 70% of on-road mobile source NO<sub>x</sub> emissions in 2021.<sup>9</sup> Industrial processes commonly generate flue gases at relatively low temperatures, often around 300 °C. Consequently, SCR catalysts must exhibit activity within a temperature range of 100–300 °C. Whereas the V<sub>2</sub>O<sub>5</sub>–WO<sub>3</sub>(MoO<sub>3</sub>)/TiO<sub>2</sub> (VTW) catalyst is a well-known and efficient choice for NH<sub>3</sub>-SCR in medium-temperature (100–400 °C) processes,<sup>10</sup> it does possess some inherent limitations including a relatively narrow and high working temperature window (functioning optimally within the range of 300–500 °C), and reduced selectivity for converting NO<sub>x</sub> to N<sub>2</sub> in the high-temperature range.<sup>11,12</sup> SCR on stationary emissions (*i.e.* nitric acid plants) often operates at stable temperatures of 300–400 °C and uses a variety of reductants, including H<sub>2</sub> and hydrocarbons. With mobile emissions, the catalyst temperature varies with the drive cycle and hence the advantage of NH<sub>3</sub>-SCR is that it can work at lower temperatures (onset of activity

is ~130–150 °C with metal-containing zeolites) [178]. VTW catalysts show the same sorts of limitations as Cu-zeolites with ammonia combustion being the main problem at high temperatures. The main reason VTW are not more widely used, outside Europe, concerns the limitations with using V5+ containing formulations in the US as it is classified as a carcinogen. Various transition metal-based zeolite catalysts have been extensively explored for the low-temperature NH<sub>3</sub>-SCR reaction owing to their redox properties, cost-effectiveness, and high hydrothermal stability.<sup>13–15</sup>

The NH<sub>3</sub>-SCR reaction either involves oxygen (standard SCR) (eqn (1) or NO<sub>2</sub> (Fast SCR) (eqn (2) to generate nitrogen and water from NH<sub>3</sub> and NO.<sup>16</sup> In addition, there is the NO-activation pathway (eqn (3), where the rate does not depend on the concentration of NO<sub>2</sub> in contrast to Fast SCR.<sup>17</sup> Several other reactions can occur in this mixture of NO<sub>x</sub>, NH<sub>3</sub>, O<sub>2</sub>, and H<sub>2</sub>O, including the oxidation of ammonia or the formation of N<sub>2</sub>O. These side reactions influence the selectivity to N<sub>2</sub>, and therefore, can affect the overall catalytic performance.



Following the breakthrough discovery of Cu/ZSM-5 as an effective catalyst for nitrogen oxide (NO<sub>x</sub>) removal in 1986 by Iwamoto *et al.*,<sup>18</sup> several zeolite-based catalysts have undergone thorough investigation in the field of NH<sub>3</sub>-SCR. This interest stems, as noted, mainly from their broad operational temperature range (200–600 °C), elevated catalytic efficiency and selectivity towards N<sub>2</sub>, as well as good hydrothermal stability.<sup>19</sup> The stable and ordered framework structure of zeolite catalysts, along with their high specific surface area, not only contributes to their exceptional hydrothermal stability but also facilitates the effective dispersion of active metals. This enhanced dispersion enables the diffusion of both reacting and stable product species, thereby enhancing the overall reactivity. Additionally, the concentration and distribution of active metals in zeolites can be controlled by adjusting the aluminium content (in aluminosilicate zeolites) and matching it with the desired metal loadings, which may be achieved through the electrostatic stabilisation effects,<sup>20,21</sup> leading to improved hydrothermal stability and catalytic activity of the catalysts in NH<sub>3</sub>-SCR.

In this review, we first discuss the industrial importance and applications of these catalysts which we follow by discussing the relevant basics of zeolite science and then describe the key aspects of TMI chemistry: their distribution and location within the pores of the zeolite framework, the possible coordination to the lattice, and especially the formation of amine and metal oxo-complexes. We review in detail the structural complexity of TMI-based-zeolites and their reactivity in activated materials in NH<sub>3</sub>-SCR. We describe the state-of-the-art characterisation practices employed to study the structural and chemical identity of metals in the zeolite framework. We then focus on the role of TMIs in the NH<sub>3</sub>-SCR reaction, where we provide a



C. Richard A. Catlow

*C. Richard A. Catlow obtained his doctorate from the University of Oxford and subsequently held academic appointments at University College London, Keele University, the Daresbury Laboratory, and the Royal Institution. He was a Vice-President and Foreign Secretary of the Royal Society from 2016–2021. He currently has a joint professorial position with UCL and Cardiff University. His research interests are in the field of the chemistry of*

*complex materials, including those for applications in catalytic and energy applications, investigated by a combination of computational and experimental techniques. He has published over 1200 research articles in this field.*



summary of the mobility and restructuring of TMI-active sites and their ultimate effect on the  $\text{NH}_3$ -SCR reactivity. We discuss the chemistry of the intermediate species and their formation. Finally, we briefly consider the stability of TMI-zeolites, in particular the ageing impact of materials in  $\text{NH}_3$ -SCR activity, and the potentially interesting possibilities of designing ideal zeolite-based heterogeneous catalysts for  $\text{NH}_3$ -SCR. We conclude by considering future perspectives.

## $\text{NH}_3$ -SCR process and its industrial importance

SCR technology is primarily employed to reduce  $\text{NO}_x$  emissions, which contribute to air pollution, and smog formation, and have adverse health effects.  $\text{NH}_3$ -SCR, in particular, has gained widespread industrial importance due to its efficiency, versatility, and environmental benefits.<sup>22</sup> One of the key motives for the practical significance of the  $\text{NH}_3$ -SCR is its ability to reduce effectively  $\text{NO}_x$  emissions across a wide range of industrial sectors. Power plants, chemical manufacturing plants, refineries, and other industrial facilities are major sources of  $\text{NO}_x$  emissions,<sup>23</sup> and more than 1000 SCR plants have been implemented in the United States alone to mitigate the emissions of  $\text{NO}_x$  from industrial boilers, steel mills and process heaters.<sup>24</sup> Mobile sources have seen a rapid upsurge in the utilisation of SCR systems in diesel vehicles, owing to the inherent inadequacy of three-way catalysts (TWC) in tackling the reduction of  $\text{NO}_x$  emissions in lean-burning gasoline and diesel engines.<sup>25</sup> TWCs, designed for gasoline engines, struggle with the higher  $\text{NO}_x$  emissions characteristic of the higher temperatures encountered in diesel combustion. SCR systems offer a more effective solution by injecting urea into the exhaust stream to convert  $\text{NO}_x$  into harmless nitrogen and water vapour, thus meeting emissions regulations and improving air quality.<sup>26</sup>

Diesel engines are widely used in worldwide road transport owing to their efficiency and durability. European studies show that 40% of atmospheric  $\text{NO}_x$  comes from road transport, with 75% attributed to diesel engines.<sup>27,28</sup> Stringent measures since 1993 have reduced  $\text{NO}_x$  emissions by approximately 95% from heavy-duty diesel engines.<sup>28</sup> Catalytic materials are now employed to reduce further emissions in line with EU legislation. Upcoming Euro VII standards will require optimising new catalytic technologies, crucial for the automotive industry.<sup>29</sup> By implementing  $\text{NH}_3$ -SCR technology, these industries can achieve further reductions in  $\text{NO}_x$  emissions, thereby complying with these new environmental regulations and improving air quality. Furthermore, the  $\text{NH}_3$ -SCR process offers advantages in terms of its efficiency and cost-effectiveness.<sup>30,31</sup> The use of a catalytic converter ensures high conversion rates of  $\text{NO}_x$ , even at low temperatures, making it appropriate for a wide range of industrial applications.

The  $\text{NH}_3$ -SCR process also offers flexibility in terms of integration into existing infrastructure without requiring major modifications, making it an attractive option for industries seeking to retrofit their facilities for emissions reduction.

For example, it typically requires the addition of a urea dosing unit, the urea decomposing to yield 2 moles of  $\text{NH}_3$  and one mole of  $\text{CO}_2$ .<sup>32</sup> Additionally, the technology can be customised to suit specific industrial needs and accommodate variations in operating conditions, including gas composition and temperature. This adaptability enables the  $\text{NH}_3$ -SCR process to be implemented in several industries.

## Zeolites: fundamentals and challenges

Zeolites are crystalline aluminosilicate or silica nanoporous materials with large specific surface areas (typically 100's of  $\text{m}^2 \text{g}^{-1}$ ); their framework architectures lead to porosity in the form of channels and or cages of molecular dimensions in 1, 2 and 3D. They have adjustable acidity and basicity and are widely used in industrial applications owing to their excellent catalytic activity and product selectivities.<sup>33,34</sup> The materials occur naturally as minerals, but there is also an extensive range of synthetic materials.<sup>35</sup> Their production, topology, and behaviour raise fascinating basic questions, and their extensive industrial usage has stimulated both the improvement of current zeolitic materials and the exploration of new ones with novel functionalities.

More than 200 zeolite topologies have been reported so far. The topology of the channel/cage system is crucially important as are the dimensions of the rings which control access to the cages.<sup>36</sup> Different rings are symbolised with the notation  $n$ -MR (8-MR, 6-MR, and 4-MRs where  $n$  signifies the number of tetrahedral (T) atoms); but zeolites are flexible frameworks and molecules whose size might be considered to preclude their passage through a window may be able to "squeeze" through at higher temperatures. Indeed, the actual window diameter is a dynamic property owing to the breathing motion of the framework.<sup>37</sup>

A key, basic feature of zeolite chemistry is the isomorphous replacement of  $\text{Si}^{4+}$  with  $\text{Al}^{3+}$  which has two consequences: first, as the distance between Al–O bonds is longer than Si–O bonds, the tetrahedrally coordinated  $\text{Al}^{3+}$  with four lattice oxygen influences the shape and free diameter of the rings within the framework. Second, the incorporation of  $\text{Al}^{3+}$  creates a locally negative charge, which must be charge-neutralised by the introduction of cationic species which can include protons, as discussed further below. These cations are exchangeable with the other cations including TMI. The location of these exchanged cations depends on several aspects including the Si/Al ratio, the charge and concentration of the TMI, the preparation method, and the activation conditions.<sup>38</sup>

Related to zeolites are zeotypes: other classes of nano-porous materials possessing often similar but sometimes unique frameworks of which the most extensive are AlPOs (aluminophosphates) and SAPOs (silicoaluminophosphate) which offer distinctive characteristics and properties.<sup>39</sup> MgAlPOs, synthesised using aluminium and phosphorus precursors, exhibit acid-catalytic activity due to the presence of framework magnesium. SAPOs, which incorporate silicon, aluminium,



and phosphorus, have the potential for enhanced structural flexibility, allowing for a broader range of pore sizes and shapes depending on their specific composition and synthesis conditions.<sup>40,41</sup> The range of nano-porous materials has also in recent decades been greatly extended by the development of nano-porous materials including metal-organic frameworks (MOFs) which have been very extensively studied,<sup>42</sup> for example, ZIF-8,<sup>43</sup> MOF-74,<sup>44</sup> Cu-BDC (HKUST-1),<sup>45</sup> but they generally have larger pores than zeolites.

The distribution of Al<sup>3+</sup> over tetrahedral sites has been widely studied and debated. Al<sup>3+</sup> tetrahedra are not located in direct neighbouring sites in the framework (no Al-O-Al linkage) according to Lowenstein's rule,<sup>46,47</sup> which appears to be widely obeyed in zeolites. Computational investigations have shown that small clusters of zeolites have lower energy for "Lowensteinian" aluminium distributions,<sup>46</sup> suggesting that the origin of the rule may be in the energetics of the small clusters from which zeolites nucleate. The rule results in an upper limit of isomorphous substitution of Si by Al, of 1. There are further rules to describe the distribution of Al<sup>3+</sup>: Dempsey's rule proposes that the Al<sup>3+</sup> substitution follows, as far as possible, an evenly dispersed Al distribution.<sup>48</sup> However, deviations from Dempsey's rule occur in synthetic zeolites.<sup>49</sup> The experimental

analysis shows that Al<sup>3+</sup> substituents may be in close proximity rather than in a dispersed distribution, but Lowenstein's rule still holds<sup>50</sup> and the possible aluminium configurations in different ring structures with  $5 > \text{Si}/\text{Al} > 1$  for the 4-, 5-, 6-, 8-, and 10-MR of zeolites are shown in Fig. 1.<sup>51</sup> However, Fletcher *et al.*<sup>47</sup> predict deviations from Löwenstein's rule in both protonated and sodium-containing forms of the zeolite, SSZ-13 which could lead to new catalytic routes and materials, enhancing existing zeolite catalysts. Additional rules include that of Takaishi and Kato<sup>52</sup> which states that within 5-membered ring (5-MR) containing zeolites only one Al<sup>3+</sup> substitution is allowed.

Several experimental findings indicate that the Al distribution in zeolites is non-random and does not adhere to straightforward statistical principles.<sup>53-55</sup> Daniel *et al.* determined quantitatively the spatial distribution of Al using atomic-scale analysis (*e.g.* Atom Probe Tomography).<sup>55</sup> They observed a very non-random distribution of Al within highly crystalline zeolites. Upon steaming, the non-random, Al distribution involves clustering. This pronounced clustering appears to occur at the regions near diffusion barriers supposed to separate the zeolite subunits and hence, behave as a route for the transportation of Al towards the outer surface of zeolites. In addition, Li *et al.*<sup>56</sup>

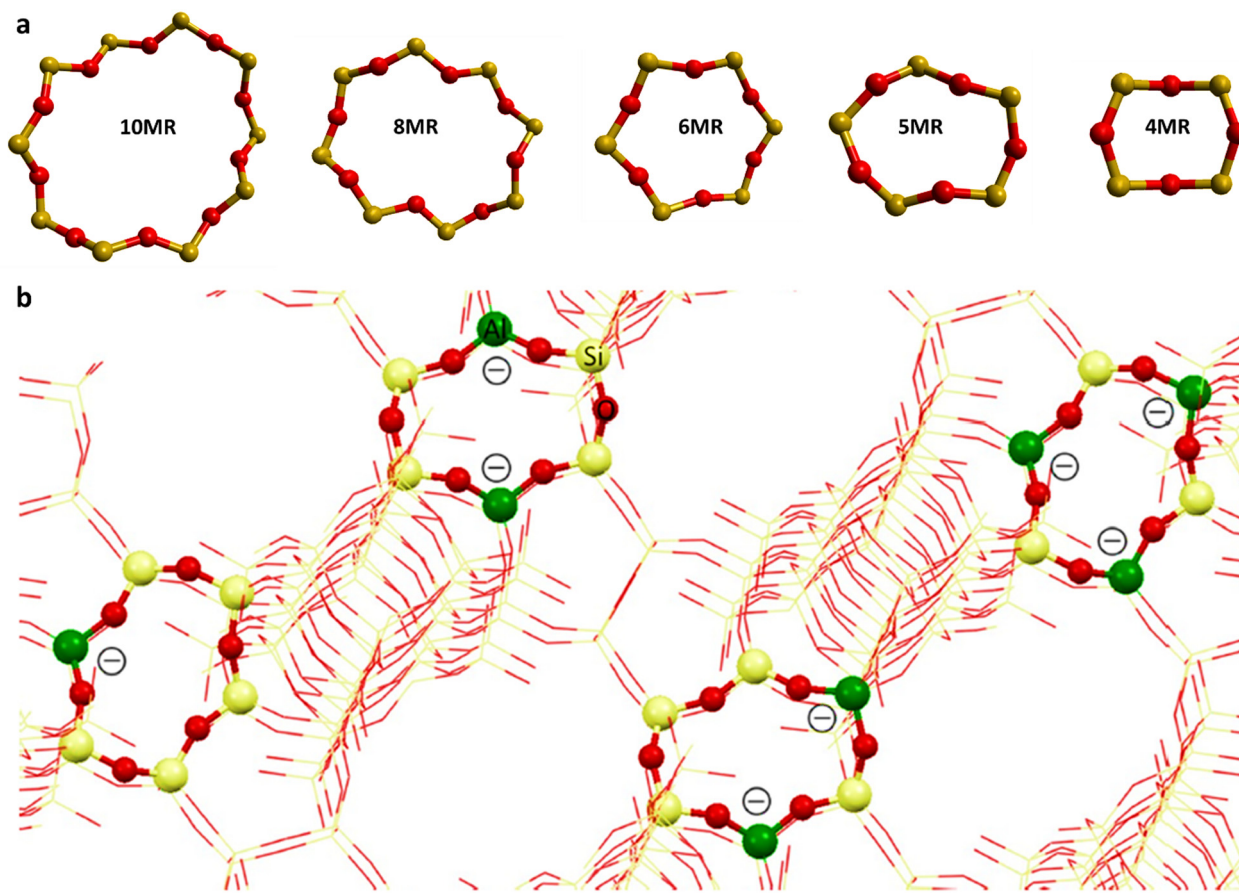


Fig. 1 (a) The representative rings (10-MR, 8-MR, 6-MR, 5-MR, and 4-MR) of CHA and ZSM-5 zeolites, and (b) examples of allowed Al distributions in  $\beta$ -6-MR sites, Al distributions in zeolites allow for one, two, or three Al T-sites as per qualitative rules. Colour code: O, red; Si, grey; Al, green. Reproduced from ref. 51 with permission from American Chemical Society, copyright 2018.



reported a steady transition of Al towards the crystal surface during crystallization. Sometimes, Si enrichment of the crystal surface is also detected.<sup>57</sup> The incorporation of high-aluminium-containing precursor species to existing crystals, especially when occurring in the late synthesis stages, leads to an uneven Al distribution within zeolite ZSM-5 crystals, observed using focused ion beam (FIB), energy dispersive X-ray spectroscopy (EDX), and scanning transmission electron microscopy (STEM).<sup>56</sup> FIB milling enabled the analysis of precise middle sections of crystals, facilitating the quantification of Si/Al ratios in different crystal regions.

The siting and distribution of Al are also closely coupled with the location of charge compensating cations. The Si/Al ratio and the distribution of Al in the zeolite framework are key factors in influencing catalytic reactions, and the nature and siting of the charge-compensating cation have a major impact on zeolite catalytic activity. Zeolites as a host facilitate high levels of cation dispersion across multiple sites and environments. Differences in coordination surrounding the cations in extra framework sites may result in cation-zeolite combinations with distinctive acid-base characteristics and can, therefore, affect redox chemistry.<sup>58,59</sup> The Si/Al ratio and the Al location can influence the nuclearity of the TMI species and in some circumstances, it has been observed that binuclear species similar to those seen in enzymes *i.e.* methane monooxygenase (MMO)<sup>60</sup> have been identified.

Brønsted acid sites are created when protons balance the negative charge in aluminosilicate zeolites,<sup>61</sup> with the protons binding to the lone pairs of the bridging oxygen atoms. The phenomenon of Brønsted acidity is normally used to describe both acid density and acid strength. While acid density is relatively straightforward, acid strength needs more extensive discussion.<sup>62</sup> Intrinsic acid strength is determined by the proton affinity at acid sites, which is affected by charge dispersion within the zeolite framework and correlates with the local electric field. The Brønsted acid sites of different zeolites have significantly different strengths<sup>63</sup> which are mainly linked to the ring structures that make up the zeolite rather than the bulk topology. In addition, the acid strength shows a dependency also on the location of the Al<sup>3+</sup> in particular topologies.<sup>64</sup> Both Brønsted acid sites and TMI play a significant role in SCR catalysis. TMI exhibit the necessary redox functionality and the Brønsted sites seem to be important in terms of NH<sub>3</sub> storage/activation.<sup>65,66</sup>

Lewis acid Al<sup>3+</sup> in zeolites is more versatile,<sup>67</sup> but understanding Lewis acid structures remains uncertain due to diverse potential sites arising from aluminium's structural versatility<sup>68,69</sup> with Al being identified with 3, 4, 5 and 6 coordination.<sup>70</sup> Lewis acidic aluminium can be produced *via* post-synthesis procedures including steaming and calcination, forming extra-framework aluminium species<sup>71,72</sup> which could be considered as a catalytic site for NH<sub>3</sub>-SCR reaction as reported by Lezcano-Gonzalez *et al.*<sup>73</sup> Furthermore, Lewis acidic Al<sup>3+</sup> can also be an inherent characteristic of the zeolite framework, and there are therefore, several proposals for Lewis acid site structures, originating from the framework, framework-associated, and extra-framework aluminium,<sup>74–76</sup> and identification of Lewis acid structures and properties will remain challenging.<sup>77,78</sup>

The influence of the Al distribution within zeolite frameworks has a significant effect on the structure, properties, and catalytic activity of TMIs *e.g.*, Cu, Fe, and Co ion species.<sup>79,80</sup> The local negative framework charge, determined by isolated Al substitutions or pairs, critically influences the structure and activity of metal ion species. Dědeček *et al.*<sup>81</sup> investigated how Al, either paired as Al–O–(Si–O)<sub>2</sub>–Al sequences within a single ring or isolated as Al–O–(Si–O)<sub>n>2</sub>–Al sequences across separate rings, affect the performance of metal ion species in SCR-NO<sub>x</sub>. They selected BEA, MFI, and FER structures, each with a similar overall composition but different Al distributions. The concentrations of Al pairs and isolated Al atoms were determined using <sup>29</sup>Si MAS NMR and visible spectra analysis of dehydrated Co-zeolites with maximally exchanged Co<sup>2+</sup> ions. The structure and reactivity of the metal sites were characterised using UV-Vis spectroscopy, FTIR, and TPR-H<sub>2</sub>. Co<sup>2+</sup>, Cu<sup>2+</sup>, and Fe<sup>2+</sup> ions are stabilised by Al pairs, while Co-oxo and Cu-oxo or Cu<sup>+</sup> species are associated with isolated Al atoms. Cu<sup>+</sup> ions near isolated Al atoms in Cu-MFI zeolites show the highest activity in NO decomposition. Krishna *et al.*<sup>82</sup> studied the influence of Al on NH<sub>3</sub>-SCR of NO<sub>x</sub> activity and showed that higher Al densities enhance the mobility and reactivity of Cu ions, crucial for the formation of active binuclear Cu<sup>2+</sup> complexes necessary for NO<sub>x</sub> reduction. As the Al density increases, the rate of Cu<sup>+</sup> oxidation rises significantly, improving SCR performance, particularly in low-oxygen conditions. However, Cu<sup>2+</sup> reduction rates exhibit a non-linear relationship with Al density, peaking at intermediate levels, suggesting a balance between active site availability and potential inhibition by co-cations like NH<sub>4</sub><sup>+</sup>. These findings highlight the importance of optimizing the Al distribution in CHA zeolites to maximize NO<sub>x</sub> conversion efficiency. More details on zeolites in deNO<sub>x</sub> catalysis including Al distribution effects on metal ion catalysis in zeolites, can be found in other reviews.<sup>12,13,83,84</sup>

Following this introductory account of zeolite's structural and chemical properties, in the next section, we will focus on the NH<sub>3</sub>-SCR of NO<sub>x</sub> reaction on metal-exchanged zeolite.

## NH<sub>3</sub>-SCR of NO<sub>x</sub> in zeolites

In the “NH<sub>3</sub>-SCR” reaction, NO<sub>x</sub> gases, (mainly NO) are treated in the presence of O<sub>2</sub> and NH<sub>3</sub> to generate N<sub>2</sub> and H<sub>2</sub>O.<sup>85</sup> The primary exhaust gases emitted from industrial and diesel engines consist predominantly of NO (>90%), instead of NO<sub>2</sub>, hence the reaction of NH<sub>3</sub>-SCR is generally recognized as the “Standard SCR”.<sup>86</sup> As noted earlier, in the 1980s, Iwamoto *et al.*<sup>87</sup> showed that Cu-exchanged zeolites had high activity and stability for deNO<sub>x</sub> catalysis.<sup>84</sup> Since then, zeolites have been widely investigated for this key catalytic reaction.<sup>88,89</sup> The term de-NO<sub>x</sub> is often used for the remediation of both NO and NO<sub>x</sub>, present in exhaust gases. Considering the availability and activity, a small number of TMIs (Cu, Fe, Ag,) have been developed as viable catalysts for NH<sub>3</sub>-SCR unlike other TMIs (Mn, Cr, Co, Zn, Ni, La).<sup>90–98</sup> Ion-exchanged zeolites including Fe or Cu-exchanged ZSM-5,<sup>99</sup> SSZ-13,<sup>100</sup> SAPO-34,<sup>101</sup> FAU,<sup>102</sup>



Beta,<sup>103</sup> USY,<sup>104</sup> and MOR,<sup>105</sup> offer the potential for NH<sub>3</sub>-SCR applications with broad temperature windows (150–450 °C) and unique active sites. However, challenges remain, including hydrothermal stability, SO<sub>2</sub> tolerance, and hydrocarbon coking.<sup>13,106</sup> It is important to note that the Si/Al for NH<sub>3</sub>-SCR catalysts is generally > 15 since this represents a balance in terms of enabling a sufficient number of metal active sites and hydrothermal stability.<sup>85,107</sup>

Despite the efficacy of TMI-exchanged zeolites, for stationary NH<sub>3</sub>-SCR, the preferred catalyst is still vanadium oxide-based catalysts owing to their low cost, lower oxidation activity for the conversion of SO<sub>2</sub> to SO<sub>3</sub>, and excellent thermal stability.<sup>108</sup> However, mobile applications have highly dynamic reaction conditions, with the gas flow rate of the lean-burned exhaust gases, and varying temperature demands. They, therefore, require catalysts that will not only be able to show high activity at low temperatures (< 300 °C) but also have better hydrothermal stability at high temperatures (> 500–600 °C).<sup>86,109</sup> Several zeolites including mordenite (MOR), zeolite Y (FAU), ZSM-5 (MFI), and BETA (BEA) have received significant interest owing to their applications in catalysis and stability under different temperature conditions.

Some important and widely studied zeolite structures in NH<sub>3</sub>-SCR of NO<sub>x</sub> are shown in Fig. 2. Medium-pore size zeolites including ZSM-5 and mordenite were thought to be more active than larger pore sizes (*e.g.* BETA, USY, and Y); and, in recent years most focus has been on smaller pore size zeolites mainly with the CHA topology.<sup>28,110</sup> Although many other TMIs, as mentioned earlier, have also been explored, to date, Cu and Fe have been the main focus of research.

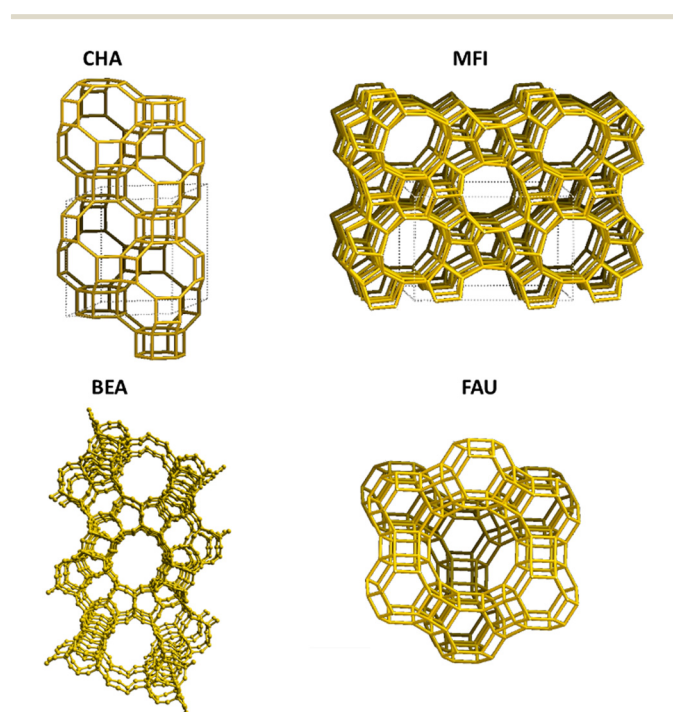


Fig. 2 The important zeolite framework structures used in NH<sub>3</sub>-SCR reaction.

Several studies<sup>111,112</sup> consistently demonstrate that zeolites containing Cu or Fe display enhanced efficiency in NH<sub>3</sub>-SCR compared to catalysts based on other transition metals. This superiority stems from the effective activation of NO over a wide temperature spectrum. The Cu-exchanged zeolites are optimized for SCR within the range of 150–300 °C. Beyond 300 °C, undesirable side reactions such as ammonia oxidation become pronounced over Cu-based zeolites, leading to a decline in NO<sub>x</sub> conversion.<sup>113,114</sup> In contrast, zeolites containing Fe require higher reaction temperatures.

Several highly effective catalysts based on Cu or Fe-exchanged zeolites for NH<sub>3</sub>-SCR have been recognized including Cu/SSZ-13, Cu/LTA, Fe/Beta, Cu/SAPO-34, and Fe/SSZ-13, which, with fine-tuning of metal content and zeolite carrier types, demonstrate promising industrial applications; and as noted, the small pore Cu/SSZ-13 (SSZ indicating a small-pore, siliceous framework zeolites), which has been effectively deployed in the exhaust deNO<sub>x</sub> of diesel vehicles.<sup>110,115</sup> The zeolite, SSZ-13 (CHA) is an exception because of its unique structure and relatively small pore size (0.37 × 0.42 nm).<sup>116</sup> NH<sub>3</sub>-SCR is thought to be effective in SSZ-13 firstly because of the metal speciation, secondly, as the small pore restricts poisons such as carbon-based molecules and thirdly, the small pore zeolites have high hydrothermal stability. In addition, its catalytic efficacy is assisted by the presence of double 6-MRs serving as preferred Cu sites and the inherent stability of its framework structure.<sup>117–119</sup> Indeed, Cu-zeolites were found to provide the highest performance at lower temperatures (*i.e.*, < 200 °C) whereas Fe exhibited the maximum activity above ~ 350 °C.<sup>120</sup>

In MFI the sites which contribute to the catalytic reactions are the 10-MR rings with a diameter of ~ 0.55 nm (*e.g.* in CHA it is 0.38 nm) where the TMI is located either in straight or zigzag channels so that a smaller pore size makes it difficult for the reacting molecules to access the active sites. Noting the importance of the pore size, the same approach can be made for other zeolites. The advantage of larger pore sizes is that they not only allow rapid diffusion of reactants to gain access to the active sites but also make the desorption process more favourable.<sup>61</sup> On the other hand, larger pore zeolites allow a greater number of poisons to enter the pores. Moreover, it is often considered that zeolites, by confinement effects (transition state/product shape), can positively influence product distribution, which will be less effective in large pore zeolites.

Several theoretical and experimental investigations have been performed to elucidate the Standard SCR mechanism. These mechanisms are increasingly well understood, with both mononuclear and dynamic binuclear Cu species identified as the primary active sites.<sup>111,121</sup> NH<sub>3</sub>-bonded to the zeolite was investigated by Giordanino *et al.*<sup>122</sup> using NH<sub>3</sub>-temperature programmed desorption (TPD). They identified three types of adsorbed ammonia species, which can participate in SCR reactions. At low temperatures ( $T < 400$  °C), NH<sub>3</sub> linked to the Cu-site appears to be more stable, whereas, at temperature ( $T > 400$  °C), NH<sub>3</sub> preferentially bonded to Brønsted sites. In addition, NH<sub>3</sub> can bind with ammonium ions giving rise to



$\text{NH}_4^+ \cdot n\text{NH}_3$  associations. Lezcano-Gonzalez *et al.*<sup>73</sup> identified a fourth species, namely ammonia species coordinating to extra-framework Al and that these played a positive role in promoting  $\text{NO}_x$  reduction.

High-temperature SCR activity is an important aspect, particularly owing to the significant heating of  $\text{NO}_x$  emissions during engine acceleration. Above 450 °C, a decrease in  $\text{NO}_x$  conversion is noted on the Cu-SSZ-13 catalyst, ascribed to the strong oxidizing nature of the Cu species, which facilitates the oxidation of  $\text{NH}_3$  by oxygen.<sup>123–125</sup> To address this issue, various transition metal-modified Cu zeolite catalysts have been widely investigated, including Fe–Cu/ZSM-5,<sup>124</sup>  $\text{CeO}_x$ –Cu–SAPO-34,<sup>126</sup> MnCe/Cu-SSZ-13,<sup>127</sup> which demonstrated excellent high-temperature SCR catalytic activity, providing potential solutions to enhance the performance and overcome the limitations of Cu-SSZ-13 catalysts in high-temperature conditions. On the other hand, cold start conditions pose challenges for catalytic converters, where lower temperatures hinder catalyst performance until optimal operating temperatures are reached.

The concentration of  $\text{NO}_2$  present in the gas mixture can affect the performance of Fe-ZSM-5, and therefore, oxidation of NO to  $\text{NO}_2$  is considered the rate-limiting step in  $\text{NH}_3$ -SCR.<sup>120,128,129</sup> It is also found that Fe-based catalysts are more prone to the  $\text{NH}_3$  inhibition effect.<sup>130,131</sup> The experimental data strongly indicate that an excess of  $\text{NH}_3$ , whether in the gas phase or on the catalyst surface, inhibits NO conversion at low temperatures,<sup>132</sup> which suggests an optimal  $\text{NH}_3$  surface concentration for effective conversion. Further comparison between Cu and Fe zeolites showed that Cu is less sensitive to  $\text{NO}_2$ <sup>133,134</sup> and is, therefore, under standard SCR conditions expected to exhibit better activity than Fe at a lower temperature. Recently, the investigation of Fe and Cu has moved one step further combining both metal ions, using monoliths made up of different sequential compositions.<sup>135</sup>

For, Fe-exchanged zeolites, the Fe in pentasil ring zeolites is notable for its stability for  $\text{NO}_x$  conversion in the presence of a high content of water.<sup>136</sup> Fe-ZSM-5 is also active in the SCR of  $\text{NO}_x$  with olefins<sup>137</sup> or paraffins ( $\text{C}_3$ – $\text{C}_4$ )<sup>138</sup> but in the presence of methane, it is not so efficient.<sup>135</sup> Kucherov *et al.* also tested the Fe-Beta catalyst with the introduction of different Cu loadings<sup>128,139</sup> to test their impact on the catalytic activity of  $\text{NO}_x$  reduction. Catalysts with Cu content above 1.25 wt% showed decreased high-temperature activity, indicating the critical role of Cu loading.

Even though, both Cu and Fe are widely investigated systems for  $\text{NH}_3$ -SCR, other TM metals including Ce and Mn have also been investigated owing to their redox properties.<sup>140–144</sup> Ce-modified Cu/SSZ-13 increases the reducibility of the catalyst and increases the  $\text{NH}_3$  and  $\text{NO}_x$  adsorption capacity, which in turn improves the  $\text{NH}_3$ -SCR activity.<sup>145</sup> Furthermore, the presence of Ce promotes the formation of nitrate species, which play an important role as prominent intermediates at low temperatures.<sup>145,146</sup> Ce doping has been found to boost the stability of active Cu ions and avoid the dealumination of the framework during hydrothermal ageing which is a promising strategy to enhance the performance and stability of Cu-SSZ-13 catalysts for  $\text{NH}_3$ -SCR applications.<sup>145</sup>

Mn-doped zeolites have displayed excellent activity as explored by Nam *et al.*<sup>143,144</sup> They developed Mn(20 wt%)/ZSM-5 and Mn(20 wt%)–Fe(10 wt%)/ZSM-5, and tested them for the  $\text{NH}_3$ -SCR de- $\text{NO}_x$  reaction. The presence of 10 wt% of Fe boosts the catalytic activity impressively and surpasses the mono counterpart Fe(10)/ZSM-5 and Mn(20)/ZSM-5 under low-temperature treatment (below 250 °C). Furthermore, it achieved 80% conversion of NO into  $\text{N}_2$  at 160 °C while the Cu/ZSM-5 and Cu/zeolites-based commercial catalysts convert only 40% and 30% at 160 °C ( $\text{NO}/\text{NH}_3 = 500/500$  ppm;  $\text{O}_2 = 5$  vol%). Although at high-temperature treatment (above 350 °C) the de $\text{NO}_x$  ability of Mn-doped zeolite was comparatively lower than that of Cu and Co-doped zeolites, the increased content of Mn enhances the hydrothermal stability.<sup>147</sup>

In addition, the  $\text{N}_2\text{O}$  decomposition over TMI-exchanged zeolites has been also widely studied.<sup>148–151</sup> Like NO,  $\text{N}_2\text{O}$  is also an environmentally undesired product in  $\text{NH}_3$ -SCR, and its conversion is a possible route for the generation of  $\text{N}_2$ . The decomposition of  $\text{N}_2\text{O}$  on a single Fe site and binuclear O bridged Fe site both in the presence and absence of NO and water has been investigated by Heyden *et al.*<sup>152</sup> Their findings indicate that NO-assisted  $\text{N}_2\text{O}$  decomposition occurs more favourably when compared to  $\text{NH}_3$ -SCR owing to the absence of  $\text{H}_2\text{O}$  poisoning effect on the active sites, as opposed to NO. In line with this finding, Bruggemann *et al.*<sup>149</sup> deemed it a crucial aspect of the SCR pathways in exhaust streams abundant in  $\text{NO}_2$ . It is interesting that  $\text{N}_2\text{O}$  is sometimes observed as a by-product of the SCR reaction and that there has been some investigation into the decomposition of this using zeolite-based catalysts.<sup>153–155</sup> Often though  $\text{N}_2\text{O}$  decomposition is performed in the absence of ammonia and oxygen.

Detailed characterisation of TMIs is essential for an understanding of zeolite-based catalytic processes. Next, we will discuss the mode of attachment and coordination of TMI in zeolites. We will focus on the TMI distribution and location within the zeolite framework and their role in de- $\text{NO}_x$  catalysis. We then turn to the key aspect of the activation of  $\text{O}_2$  and oxo complexes of TMI in zeolites and their role in  $\text{NH}_3$ -SCR catalysis.

### TMI location, speciation and coordination state

The location and distribution of TMIs in zeolite are crucial as they directly impact the zeolite's catalytic abilities, influencing its overall reactivity and performance in  $\text{NH}_3$ -SCR of  $\text{NO}_x$  reaction. The role of TMI speciation, in particular, their formation and transformation in the zeolite framework, the activation procedure, and initial chemical composition are of particular interest.<sup>156–158</sup>

### Cu-based zeolitic systems

Early diffraction data from the mineral chabazite identified only one cationic ion-exchange site in the CHA topology although for Cu-SSZ-13 X-ray powder diffraction data (XRPD) has shown that there are two crystallographically distinct sites in the 6-MR and the 8-MR that  $\text{Cu}^{2+}$  occupies when CHA is dehydrated and measurements are made at room temperature.<sup>159</sup> When fully hydrated (*i.e.* forming a hexa-aqua complex) this

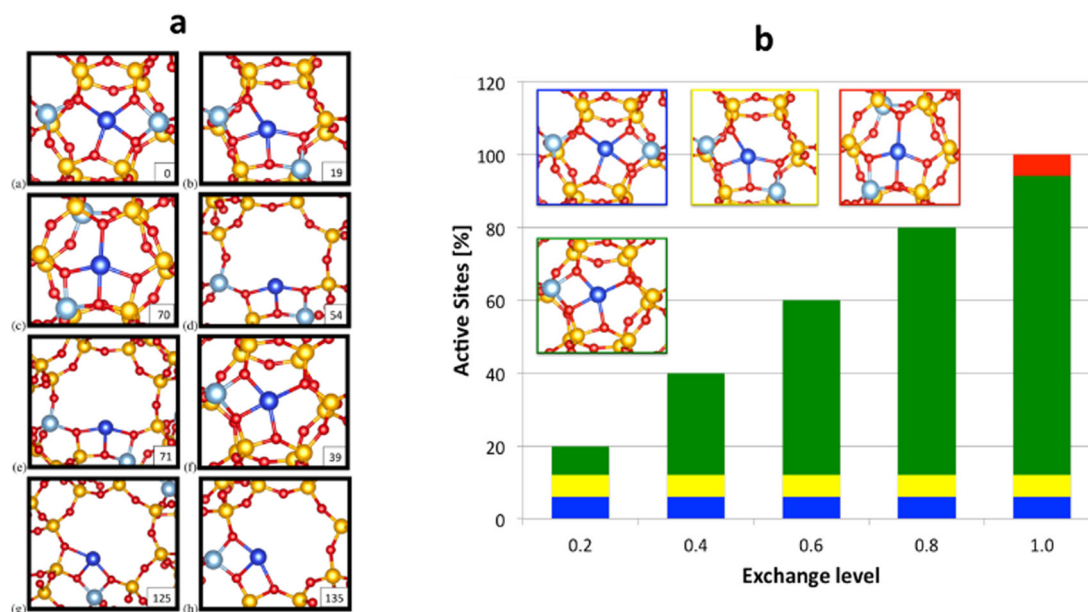


amalgamates to one site in the centre of the cage.<sup>159,160</sup> It has been proposed that Cu in both sites after dehydration is off-centre and in the 8-MR is hydroxylated (Cu–OH) as determined by IR spectroscopy and computational methods whilst the location of Cu<sup>2+</sup> in the 6-MR and its properties show a dependency on whether they are close to 1 or 2 Al<sup>3+</sup> species.<sup>161</sup> Operando spectroscopy and scattering data have shown that Cu is typically in the 2<sup>+</sup> oxidation state at temperatures above 200 °C.<sup>119</sup> A similar finding was reported by Uzunova *et al.*<sup>61</sup> who performed extensive calculations using DFT with periodic models.

The proposal for isolated Cu<sup>2+</sup> species in Cu-CHA during NH<sub>3</sub>-SCR originated from the work of Fickel *et al.*<sup>162</sup> and Korhonen *et al.*<sup>163</sup> who proposed a formation of single Cu<sup>2+</sup> ions within the plane of the 6-MR of Cu-SSZ-13 during dehydration using XRD & X-ray absorption (XAS) spectroscopy. Work followed by Deka *et al.*<sup>164</sup> using XAFS/XRD showed that mononuclear Cu<sup>2+</sup> ions are seen under *operando* NH<sub>3</sub>-SCR conditions, largely reside in the plane of the 6-MR of SSZ-13 at temperatures above 473 K. They also performed PXRD analysis on Cu-SAPO-34 and correlated the excellent SCR activity to the active sites of isolated Cu<sup>2+</sup> species located in the 6-MR of Cu-SAPO-34.<sup>165</sup> Utilizing XAFS/XRD, the study provides insights into the local copper environment under *operando* NH<sub>3</sub>-SCR conditions. Confirming the presence of mononuclear Cu<sup>2+</sup> species in Cu-SSZ-13, the study emphasizes the significance of the local copper environment for the catalyst's catalytic activity. Recent studies suggested that CHA possesses several active sites for both Cu<sup>+</sup> and Cu<sup>2+</sup> ions as discussed before.<sup>85,119,156,166</sup>

McEwen and coworkers<sup>167</sup> examined Cu-SSZ-13 and Cu-SAPO-34 performing periodic DFT calculations confirming that it is the 6-MR of CHA that hosts the isolated Cu<sup>+</sup> species. This finding is similar to that reported in ref. 168. In addition, Göttl *et al.*<sup>169</sup> introduced a new theoretical method that integrates DFT calculations and statistical approaches to predict the placement of Cu<sup>2+</sup> ions within Cu-CHA, adjusting the exchange level of Cu. Furthermore, they show the allocation of the cations amongst the various active sites for a range of exchange levels (ELs) or proportion of charge balancing cations. EL represents the proportion of occupied Cu<sup>2+</sup> sites in the catalyst. At an EL of 1.0, there is a single Cu ion for every two Al ions. When the EL is set at 0.2, configurations (a), (b), and (f) depicted in Fig. 3a will be filled. At this low EL, configurations (a) and (b) are already fully occupied, while configuration (f) rises with increasing EL values. Site (c) is only occupied just before reaching full exchange. Fig. 3b illustrates the graphical representation of active site distribution across EL values ranging from 0.2 to 1.0. By integrating this information with experimental findings provided by Kwak *et al.*,<sup>170</sup> it becomes possible to discern configurations (a) and/or (b) as the active sites involved in the SCR of NO<sub>x</sub> reduction.

Low-temperature (below 250 °C) studies claimed Cu dimer formation in Cu-SSZ-13.<sup>85,111,171,172</sup> For example, through a combination of several experimental modelling techniques such as XAS, steady-state and transient kinetic measurements, and first-principles calculations through DFT and *ab initio* molecular dynamics (AIMD), Paolucci and coworkers<sup>85</sup> have found that



**Fig. 3** (a) shows eight distinct cation locations for Cu<sup>2+</sup> in SSZ-13. Each Cu cation, along with a proton, must be linked with two Al ions. For example, for sites (a)–(e), both Al ions are situated within the same unit cell, whereas sites (f)–(h) represent scenarios with significant Al separation. Si is depicted in yellow, Al in silver, O in red, and cations in blue. The stability energy ( $\Delta E_{\text{exc}}^{\text{Cu(II)}}$  [kJ mol<sup>-1</sup>]) of these sites is presented in the lower right-hand corner, and (b) displays the distribution of metal ions within Cu<sup>2+</sup>-SSZ-13 at various ELs, as determined by total energy calculations. (a) (blue), (b) (yellow), and (f) (green) are the most stable sites occupied at an EL of 0.2. As EL increases, the occupancy of site (f) also increases, and site (c) is only occupied at the highest EL. These findings are then compared with experimental data (see ref. 170) showing the stable sites whereas (a) and (b) are the active centres. Reproduced from ref. 169 with permission from American Chemical Society, copyright 2013.



at low-temperature, mobilized  $\text{Cu}^+$  ions can move through zeolite 'windows' and generate transient ion pairs. These ion pairs are actively involved in an oxygen ( $\text{O}_2$ )-mediated  $\text{Cu}^+ \rightarrow \text{Cu}^{2+}$  redox step crucial to the SCR process. The emergence of these dynamic multinuclear sites, originating from mobilized single atoms, is a distinct and important feature of  $\text{NH}_3$ -SCR zeolite catalysis.

Lomachenko *et al.*,<sup>173</sup> studied the dynamic behaviour of the Cu-SSZ-13 catalyst in  $\text{NH}_3$ -assisted SCR of  $\text{NO}_x$  across different temperatures. They employed advanced *operando* X-ray spectroscopies, including XAS and X-ray emission spectroscopy (XES). The investigation uncovered two distinctive regimes dictating the atomic-scale conduct of Cu active sites. At lower temperatures, up to around 200 °C, a balanced distribution of both  $\text{Cu}^+$  and  $\text{Cu}^{2+}$  active sites is observed, with mobile ammonia-solvated Cu-species predominating. However, with an increase in temperature, specifically from 250 °C onwards, there is a notable shift, showing the prevalence of framework-coordinated  $\text{Cu}^{2+}$  sites. These findings suggest that the framework-coordinated  $\text{Cu}^{2+}$  sites probably represent the active sites for high-temperature SCR which helps to provide a detailed overview of the temperature-dependent evolution of Cu active sites within the Cu-CHA zeolite catalyst.

Izquierdo *et al.*<sup>174</sup> and Morpurgo and coworkers<sup>175</sup> employed DFT- our own *N*-layered integrated molecular orbital and molecular mechanics (ONIOM) and cluster methods to calculate the isolated and the dimer species of Cu in Cu-ZSM-5. Their study showed that both species can catalyse NO decomposition but the process has a higher activation energy for a dimeric  $\text{Cu}^+$  species.<sup>176</sup>

The nuclearity of ion-exchanged Cu is influenced by the structural and compositional characteristics of the zeolite host. Paolucci and coworkers demonstrate differences in Cu species near 1 or 2 Al in SSZ-13's 6-MR and the significance of binuclear species as active sites.<sup>177</sup> The nature of these binuclear species, specifically whether they are bridged by one or two oxygen ions as shown in Fig. 4, is a crucial aspect influencing their catalytic behaviour and electronic structure. Understanding this configuration aids in enhancing heterogeneous catalyst design and elucidating catalytic mechanisms.

Pierloot *et al.*<sup>178</sup> investigated the local environment of  $\text{Cu}^{2+}$  in zeolites Y (FAU), A (LTA), and ZK-4 (LTA) and provided insight into coordination environments in 6-MR. They showed that Cu is coordinated with the four framework oxygen ions. For the low Si/Al ratio, the distance of Cu to the four neighbouring oxygen ions was reported as 1.9, 2, and 2.2 Å for the first two, third, and fourth respectively. However, with increasing Si/Al ratio the interactions of Cu with neighbouring oxygen also changed with three oxygen ions at  $\sim 1.95$ – $2.0$  Å and with the fourth one at  $\sim 2.4$  Å. Their calculations were based on geometry optimisations on non-rigid frameworks, and in experimental studies using EXAFS, three and not four Cu–O bonds are seen. The fourth oxygen is likely to be closer to 2.8 Å, where the Al/Si contributions lie. A single-crystal study conducted on Cu–Y also supported this observation showing that Cu (at site II, see Fig. 5) coordinated with three oxygens at 1.85– $2.0$  Å and the fourth one at 2.5 Å.<sup>179</sup> The resulting geometry of Cu, in this case, corresponds to the distorted square-planar  $\text{Cu}^{2+}$  located at

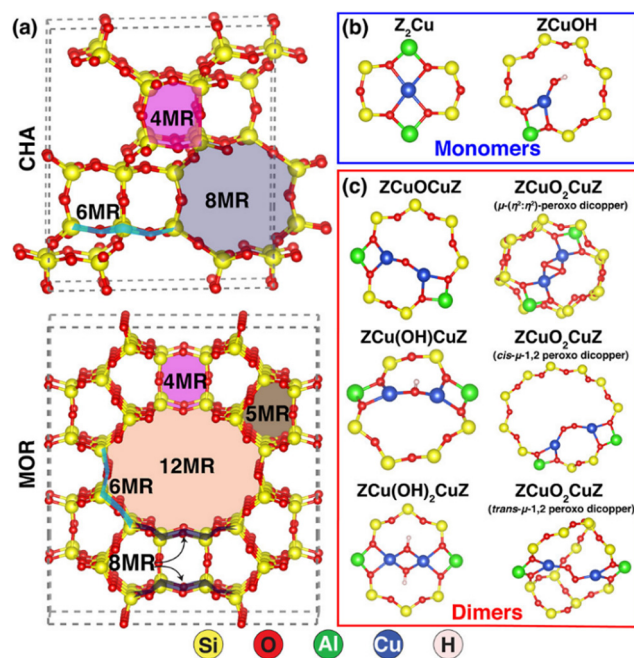


Fig. 4 Depicts various ring structures and additional framework Cu ions in zeolites, showcasing the CHA framework (top) and the MOR framework (bottom), along with Cu-monomer species and Cu-dimer species. Reproduced from ref. 177 with permission from American Chemical Society, copyright 2024.

one side of the 6-MR. In FAU, the TMI preferably resides in the hexagonal prisms after dehydration. At higher dosages, TMI occupies the most accessible sites (sites II and III) as shown in Fig. 5. In this case, sites I and II are important as both belong

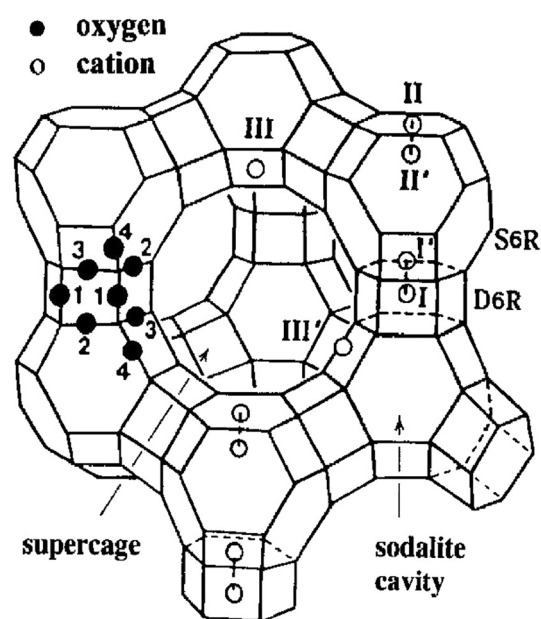


Fig. 5 Stylized drawing of the framework structure of zeolite Y. Near the centre of each line segment is an oxygen atom. Extraframework cation positions are labelled with Roman numerals. Reproduced from ref. 179 with permission from American Chemical Society, copyright 2012.



to 6-MR. The nonequivalent oxygen atoms are labeled as 1 through 4. This study does not provide evidence for any specific ordering of silicon and aluminum ions at the tetrahedral sites, though it is anticipated that Loewenstein's<sup>46,47</sup> and Dempsey's<sup>48</sup> rules would still apply.

UV/Vis., and IR spectroscopies can be employed to gain further insight into the structural features of cations, hydroxyl groups and other extra framework species under *operando* or *in situ* conditions.<sup>180</sup> The presence of isolated  $\text{Cu}^{2+}$  species in Cu-SSZ-13 during  $\text{NH}_3$ -SCR has been demonstrated by Korhonen and coworkers employing the UV/Vis technique, alongside XAFS.<sup>163</sup> Mid-IR vibrational frequencies to detect adsorbed molecules (*e.g.* CO, NO, and  $\text{NH}_3$ ) are used to confirm the chemical environment of cation sites in zeolites.<sup>181–183</sup> Kwak *et al.*<sup>184</sup> utilized  $\text{H}_2$ -temperature-programmed reduction (TPR) and FTIR techniques to characterize the Cu ion composition within Cu-CHA across various ion exchange levels (Fig. 6a). They collected findings from both  $\text{H}_2$ -TPR and FT-IR data to determine the position of  $\text{Cu}^{2+}$  ions under different ion ELs; their data suggest that  $\text{Cu}^{2+}$  ions located in 6-MR of CHA at the low exchange level and occupy the large cage when the level of exchange increases. Further, DRIFTS is

extensively used to explore the reaction mechanism.<sup>185,186</sup> Wang *et al.*<sup>187</sup> performed DRIFTS and showed that under low-temperature  $\text{NH}_3$ -SCR  $\text{NH}_4\text{NO}_3$  intermediates are first generated followed by their subsequent reduction by NO.

EPR is a useful technique for investigating the cation environment and can determine the concentration, location, oxidation state, symmetry, and coordination number of TMI.<sup>180,189,190</sup> Gao *et al.* reported a detailed study quantifying the isolated  $\text{Cu}^{2+}$  ions employing the EPR technique.<sup>189,191</sup> EPR also offers insights into TMI magnetic properties and catalytic potential. The  $\text{Cu}^{2+}$  ion ESR exhibits an axially symmetrical and split signal characterized by parameters of the parallel component, where  $g_{\parallel} = 2.33$  and  $A_{\parallel} = 140\text{--}160$  G.<sup>103,192</sup> It is evident from Fig. 6b that increasing Cu loadings results in increasing signal intensity alongside the broadening of the EPR features. This broadening is attributed to distance-dependent dipole-dipole interactions among  $\text{Cu}^{2+}$  ions. The line width observed in the IE 23% sample closely resembles that of a sample with low Cu loading (approximately 2%), where dipolar interactions are negligible, suggesting that even within the IE 23% sample, Cu–Cu distances are sufficiently large to weaken dipolar interactions, thereby preventing significant line broadening. Following the *in situ* EPR analysis, Yu *et al.*<sup>193</sup> identified the chemical state of Cu species under the SCR operating conditions using Cu-SAPO-34 as a catalyst. They showed using EPR that during  $\text{NH}_3$ -SCR catalysis,  $\text{Cu}^{2+}$  ions are converted to  $\text{Cu}^+$  when exposed to  $\text{NH}_3$ , and then re-oxidised to  $\text{Cu}^{2+}$  when the adsorbed  $\text{NH}_3$  is consumed by the purging  $\text{NO}_x$  environment. TPD and TPR are also helpful techniques. For example,  $\text{NH}_3$ -TPD can determine the poisoning of acidity by metals including Pt, Zn, and the effect of hydrothermal ageing on acidity.<sup>186,194</sup>

Previous findings have demonstrated that  $\text{NH}_3$ -SCR involves the reduction of  $\text{Cu}^{2+}$  to  $\text{Cu}^+$ .<sup>111,180,195</sup> Subsequently,  $\text{Cu}^+$  is re-oxidised by  $\text{O}_2$  (or  $\text{NO} + \text{O}_2$ ) to regenerate  $\text{Cu}^{2+}$  and complete the cycle. If a catalyst containing a transition metal, apart from the widely recognized Fe- and Cu-based catalysts, demonstrates similar characteristics, it could be assessed as a possible alternative catalyst for  $\text{NH}_3$ -SCR.<sup>90,196,197</sup> The existence of multiple sites of Cu may arise from the location of Cu-species depending strongly on the physio-chemical environment, the composition of the sample, and the Si/Al or Cu/Al ratio in the framework.

We now discuss active sites and metal speciation to understand the detailed chemistry of the TMI in zeolite under SCR conditions. Some studies have reported that there are at least two types of Cu species in CHA zeolites, and the presence of such species within the cage depends on the Cu loading; some reports suggested that the  $\text{Cu}^{2+}/\text{Cu}^+$  occurs mainly at lower loading (*i.e.* 0.5–1 wt%), whereas CuO occupies the CHA framework at higher loading (*i.e.* 2–3 wt%),<sup>185,198,199</sup> Cu speciation in Cu-CHA depends on temperature, gas composition, catalyst activation, and composition. Therefore, the structure, coordination, and positions of active Cu sites in SCR catalysts may differ in different catalytic reaction conditions and are likely to change during SCR of  $\text{NO}_x$  reaction.

The activation of zeolites is a key step for both sorption and catalysis because it is necessary to evacuate water and other

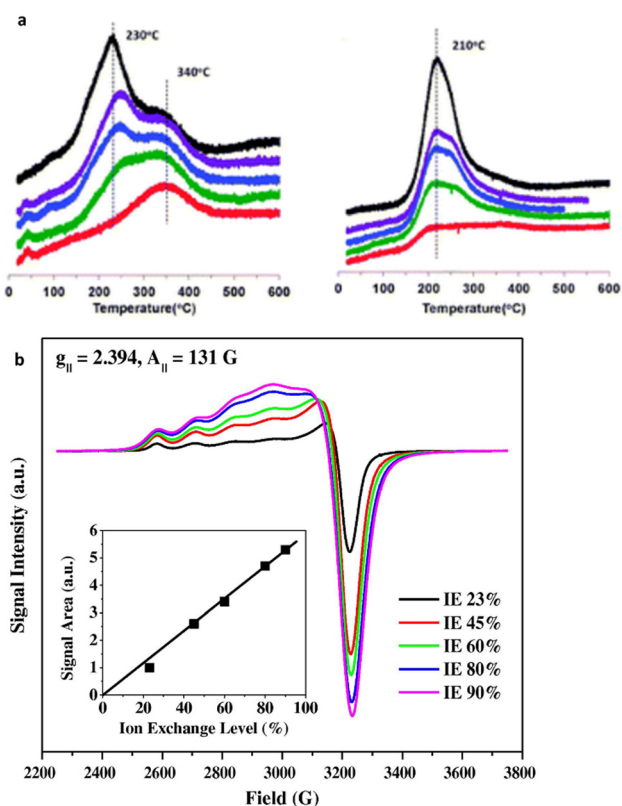


Fig. 6 Characterization techniques for the isolated  $\text{Cu}^{2+}$  active sites (a) the consumption profiles of hydrogen ( $\text{H}_2$ ) during hydrogen temperature-programmed reduction ( $\text{H}_2$ -TPR) on Cu-SSZ-13 are depicted. Reproduced from ref. 184 with permission from The Royal Society of Chemistry, copyright 2012, and (b) hydrated Cu-SSZ-13 EPR spectra at 155 K. The colours indicating different Cu ion exchange levels are as follows: red (20%), green (40%), blue (60%), purple (80%), and black (100%), arranged from bottom to top. Reproduced from ref. 188 with permission from The Royal Society of Chemistry, copyright 2012.



molecules to make active sites accessible for reactant species. Residual H<sub>2</sub>O or hydrocarbons (due to incomplete activation) may block the active sites or modify their activity.<sup>200</sup> In ion-exchanged zeolites under aqueous conditions, copper is present as a hydrated Cu<sup>2+</sup> species. However, upon dehydration, hydrated Cu<sup>2+</sup> species undergo progressive reduction to Cu<sup>+</sup> species under an inert atmosphere and at increasingly elevated temperatures.<sup>157</sup>

Several important species generated during SCR reaction play significant roles in defining the reaction pathway. For example, the activation of NO in the presence of oxygen results in the formation of nitrate intermediates which have been detected and characterized using various analytical techniques, including XAS, EPR, and FTIR;<sup>168,187</sup> and surface bidentate nitrates have been suggested to play a crucial role in Fast SCR.<sup>201</sup> The subsequent reduction of these nitrates by NO to form nitrites is considered the rate-limiting step, whereas the reoxidation of nitrates is fast, even at very low temperatures. However, NH<sub>3</sub> acts as an inhibitor in this redox step, probably by obstructing the surface nitrates.<sup>202,203</sup>

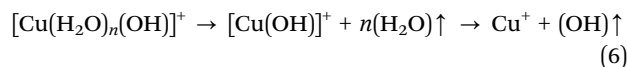
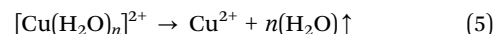
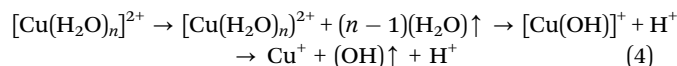
In computational modelling work, discussed in more detail later, we observed that nitrate species tend to be more stable on physisorbed ammonia/water-occupied sites than on bare sites. This suggests that solvents such as ammonia and water could potentially enhance the re-oxidation phase of the NH<sub>3</sub>-SCR cycle.<sup>195,204</sup> This phenomenon is more pronounced in the presence of H<sub>2</sub>O compared to NH<sub>3</sub>. In addition, there have been limited studies indicating that NO<sub>2</sub> can improve the efficiency of NH<sub>3</sub>-SCR over Cu-CHA catalytic systems. In contrast, NO<sub>2</sub> has been also found to inhibit NO conversion over aluminium-rich Cu-SSZ-13 catalysts owing to the production of NH<sub>4</sub>NO<sub>3</sub>, a phenomenon known as the “abnormal fast NH<sub>3</sub>-SCR reaction”.<sup>205</sup> Additionally, previous research demonstrated the reaction between NO and NH<sub>4</sub>NO<sub>3</sub> at Brønsted acid sites over the H-SSZ-13 catalyst.<sup>206</sup>

Gao *et al.* addressed the impact of Si/Al ratios on the redox behaviour of Cu-SSZ-13 catalysts and for this reason, they collected the H<sub>2</sub> TPR data for a set of samples.<sup>107</sup> They analysed the redox mediation of Cu-ions, which can be systematically tuned by changing the composition of the materials. The results showed that an increase in the Si/Al ratio leads to alterations in multiple Cu species, influencing the Cu redox barriers, which in turn, enhances the reaction rates for NO oxidation. Intriguingly, Brønsted acidity was found to be insignificant in influencing this reaction. Moreover, the work demonstrated that residual Brønsted acidity positively influences both low and high-temperature conditions in typical ammonia-assisted SCR. Nevertheless, regarding NH<sub>3</sub> oxidation, no definitive pattern emerged, indicating a complex interaction among the Cu ion centre, redox properties, and Brønsted acidity.

The presence of divalent [Cu(H<sub>2</sub>O)<sub>*n*</sub>]<sup>2+</sup> complexes and their stabilization is favoured where two framework Al ions are in close proximity. In this case, dehydration may dissociate water molecules into [Cu(H<sub>2</sub>O)<sub>*n*</sub>]<sup>+</sup> and H<sup>+</sup> (eqn (4)) and the two adjacent frameworks of Al atoms are balanced by these two

cationic species, as has been confirmed from the DFT-supported XES and XAS studies performed by Borfecchia *et al.*<sup>207</sup> They showed that [Cu(H<sub>2</sub>O)<sub>*n*</sub>]<sup>2+</sup> species can be stabilized at higher temperatures in an oxidative environment; otherwise, as a consequence of extra ligand loss they undergo “self-reduction”. Alternatively, bare Cu<sup>2+</sup> cations can be generated due to the dehydration of [Cu(H<sub>2</sub>O)<sub>*n*</sub>]<sup>2+</sup> complex (eqn (5)). However, in those sites where there is only one Al in the proximity (1Al Z sites), the aqueous ion exchange leads to the formation of monovalent [Cu(H<sub>2</sub>O)<sub>*n*</sub>(OH)]<sup>+</sup> which do not require any water dissociation upon dehydration (eqn (6)). In addition, several Raman vibrational features appeared, after O<sub>2</sub> activation on Cu<sup>2+</sup>, correspond to the O<sub>2</sub> activated Cu<sup>2+</sup><sup>208</sup> species as shown in Fig. 7. [CuO<sub>2</sub>•]<sup>+</sup> end-on uperoxol species, within the 1000–1200 cm<sup>-1</sup> spectral range for Cu–O, with two strong peaks appearing at 1100 and 1155 cm<sup>-1</sup>. These features signify the presence of this species in equilibrium with the side-on species, as can be seen in Fig. 7b. While the [Cu(*trans*-μ-1,2-O<sub>2</sub>)Cu]<sup>2+</sup> vibrational feature appeared at 510, 580, and 830 cm<sup>-1</sup>, species shown in Fig. 7c for a pictorial representation of this complex. These distinctive spectral components represent the spectroscopic fingerprints of these Cu<sup>2+</sup> species following O<sub>2</sub> activation.

Borfecchia *et al.*<sup>207</sup> also investigated the tri-coordinated [CuOH]<sup>+</sup> as a site for O<sub>2</sub>-activation while the bare bi-coordinated Cu<sup>+</sup> ions were found to be the most dominant species in the 8-MR. The presence of dimeric oxo-Cu species appears to be unlikely which shows that monomeric [CuOH]<sup>+</sup> species are mostly found in the Cu-CHA, and may play an important role in the SCR mechanism.



From synchrotron-XRD analysis, cation exchange sites were identified for TMI divalent cations such as Cu<sup>2+</sup><sup>209</sup> and Ni<sup>2+</sup><sup>210</sup> in zeolites. For Cu, it has been proposed that there may be six sites in Cu-MFI (Cu-ZSM-5) as reported by Mentzen *et al.*<sup>211</sup> Cu-ZSM-5 were synthesized *via* the reaction of H-MFI samples with 0.1 M Cu<sup>2+</sup> acetate solutions. Analysis using the Rietveld

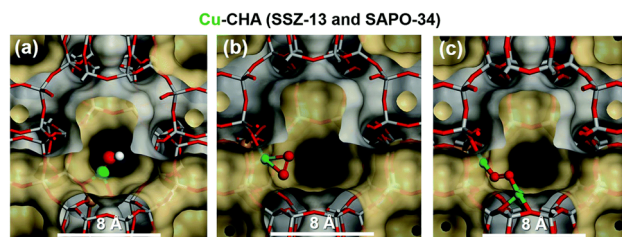


Fig. 7 Proposed local sites of the copper species in the cage of the CHA at high temperature and under oxygen treatment (a) [CuOH]<sup>+</sup> (b) [CuO<sub>2</sub>•]<sup>+</sup> superoxide species (c) [Cu(*trans*-μ-1,2-O<sub>2</sub>)Cu]<sup>2+</sup>. Colour code: Si grey, Al gold, Cu green, O red, H, white. Reproduced from ref. 208 with permission from The Royal Society of Chemistry, copyright 2018.



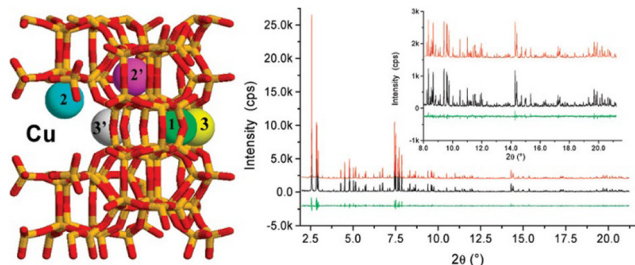


Fig. 8 Rietveld refinement plots illustrating the dehydrated  $H_{1.99}Cu(I)_{2.37}Cu(II)_{0.00}MFI$  phase at room temperature ( $25\text{ }^{\circ}C$ ) are presented. The plots include observed profiles (in the middle, shown in black), calculated profiles (above, depicted in red), and difference profiles (below, represented in green). These plots were generated using X-ray synchrotron radiation with a wavelength of  $\lambda = 0.50013\text{ \AA}$ . The fitting parameters  $R_p/R_{wp}/R_{exp}/R_1 = 7.3/8.4/5.8/6.2\%$ . The populations of  $Cu1/Cu2/Cu2'/Cu3/Cu3'$  within a unit cell are of  $0.0/1.07/0.02/0.17/0.45$  and  $0.18/1.12/0.44/0.20/0.50$ , respectively. Reproduced from ref. 211 with permission from American Chemical Society, copyright 2007.

method of PXRD profiles of dehydrated Cu-MFI found the presence of multiple independent extra framework Cu centres in Fig. 8. In the compositions  $H_{1.04}Cu(I)_{1.19}Cu(II)_{0.51}MFI$  and  $H_{1.99}Cu(I)_{2.37}Cu(II)_{0.00}MFI$ , four and five Cu cations were identified, respectively. Within these phases, the  $Cu1/Cu2/Cu2'/Cu3/Cu3'$  populations per unit cell were determined as  $0.0/1.07/0.02/0.17/0.45$  and  $0.18/1.12/0.44/0.20/0.50$ , respectively. Cu2 was the most prevalent. The shortest distances observed between framework oxygen atoms and copper sites were associated with the Cu1, Cu2, and Cu2' sites, falling within the range of  $1.99\text{--}2.32\text{ \AA}$ . Additionally, Cu1, Cu3, and Cu3' sites were found to be situated within secondary 5 and 6-MR channel sections of the MFI framework. In contrast, Cu2 and Cu2' were close to the 10-MR of the straight channel. Given their immediate accessibility to guest molecules, one or both of these cations, namely Cu2 and Cu2', emerge as the principal contenders for the catalytically active sites within Cu-MFI materials.

Fluorescence spectroscopy of Cu-ZSM-5 suggests that there are two types of Cu species: (i) Cu ions located in close proximity to a single framework Al ion ( $540\text{ nm}$  emission), and (ii) Cu ions neighbouring two framework Al ions with the  $480\text{ nm}$  emission which linked to Cu ions ( $Cu\alpha$  sites) (as can be seen in Fig. 11) adjacent to two framework Al ions.<sup>212</sup> The  $540\text{ nm}$  emission is thought to correspond to Cu ions ( $Cu\beta$  sites) located in a less densely packed environment near one framework Al ion. However, this site has not yet been identified unequivocally. As discussed earlier the  $Cu^{2+}$  ESR spectrum displays axial symmetry, causing a signal split. The parameters for the parallel component are  $g_{II} = 2.33$  and  $A_{II} = 140\text{--}160\text{ G}$ , denoted as  $Cu\alpha$  while for the  $Cu\beta$  sites, they are  $g_{II} = 2.27$  and  $A_{II} = 170\text{--}180\text{ G}$ , and are associated with a monovalent state of copper. The  $Cu\alpha$  sites possess more tightly packed coordination environments, shorter-wavelength emissions, and higher positive charges on the copper cation.

Lobo *et al.*<sup>13,213</sup> conducted nuclear magnetic resonance (NMR) experiments within a  $Li^+$ -exchanged zeolite Beta to

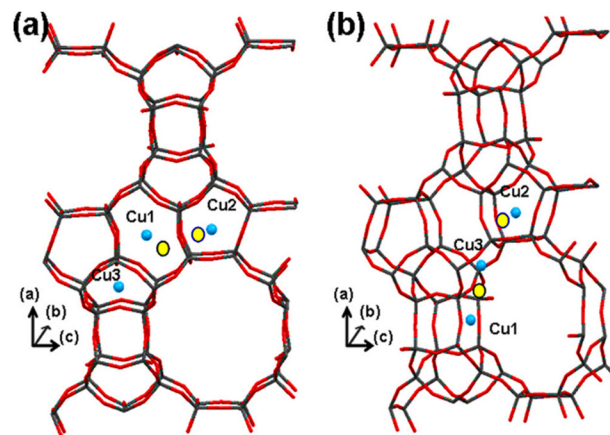


Fig. 9 Illustrates the positioning of Cu cations within Beta zeolite. Structure (a) is derived from NMR experiments conducted with  $Li^+$  as a cation,<sup>213</sup> whereas structure (b) offers an alternative configuration related to structure (a). In the diagram, blue spheres indicate the positions of the Cu cations, and yellow circles represent adjusted positions, allowing for close coordination (approximately  $2\text{ \AA}$ ) with at least three oxygen atoms. Reproduced from ref. 28 with permission from American Chemical Society, copyright 2013.

delineate cation exchange sites, drawing insights from interactions (or their absence) with oxygen molecules. Initially, they postulated sites denoted as Cu1, Cu2, and Cu3, located within pentasil or 6-MR of the structure (refer to Fig. 9a). However, their NMR analysis revealed that only a fraction of these sites were accessible to oxygen. Considering the known activity of Cu-Beta in the  $deNO_x$  reaction, it was inferred that structurally accessible sites must be present. Hence, a revised depiction in Fig. 9b presents Cu cations positioned slightly differently. Cu1 and Cu2 maintain their locations in structurally analogous 6-MR, with Cu1 now facing the 12-MR channel. However, Cu3, close to two framework O ions with a bond distance between Cu and O is  $1.3\text{ \AA}$ , was relocated to an alternate site within a different 5-MR, facing the 12-MR channel. This adjustment ensures the availability of Cu in the most accessible sites conducive to catalytic processes. Notably, both Cu1 and Cu3 in the revised model occupy positions akin to the planar position within 6-MR of the SSZ-13 structure, suggesting their potential activity in the SCR reaction.

### Fe-based zeolitic systems

Fe speciation tends to be more varied than with other TMIs in zeolites, with techniques including UV/Vis and DRIFTS used to identify the presence of single ions (both Oh and Td in nature), clusters and polymeric species.<sup>120,214–217</sup> Generally, it has been shown that all species can perform  $NH_3$ -SCR but the monomeric species<sup>218</sup> are usually active at lower temperatures below  $250\text{ }^{\circ}C$ ,<sup>218</sup> particularly those species located in the 8-MR of MFI zeolites (often termed the Beta site). Li *et al.*<sup>219</sup> employed *ab initio* thermodynamic techniques to assess the stability of various Fe complexes within ZSM-5 with Si/Al ratio of 47, and concluded that mononuclear Fe site-local ( $FeO^+$ ) is unaffected by the local environment, whereas binuclear sites tend to



predominantly occupy the larger eight-membered ring (8-MR)  $\gamma$  site in the sinusoidal channel. In the coordination of Fe ions to the framework under low loading ( $\leq 1.2$  wt% Fe), most of the species are present as a monomer at the ion-exchange positions while at higher loading (2.5–5.1 wt% Fe), oligomers of Fe are formed which mainly reside inside the micro-pores of zeolites.<sup>220</sup> Consequently, at high loading, the lower coordination of the TMI to the lattice O occurs which is difficult to verify as they are masked by other Fe–O species at high loading. The increased loading of TMI creates more heterogeneous sites which need extra-lattice ligands such as H<sub>2</sub>O, and OH. The removal of these ligands under high-temperature pretreatment induces the reduction of TMI.

Considering monomeric species (FeO)<sup>+</sup> as an active site, various reaction pathways were explored and the energy for several species was described by Li *et al.*<sup>221</sup> and Bruggeman *et al.*<sup>149</sup> However, some experimental studies suggest that in the presence of two closely exchanged Fe species, the dimer of Fe (*i.e.* bridging Fe–O–Fe dimers) species is readily generated which is evident from several investigations.<sup>222–224</sup> These dimer species are extensively studied by DFT and are considered to be active species in the NH<sub>3</sub>-SCR reaction and for N<sub>2</sub>O and NO decomposition.<sup>150,216,225,226</sup> In addition, site occupancy of the Fe ions in zeolites varies with the sample preparation method, while the loading of the metal, which is only partially determined by the Al content, can also affect the coordination of TMI to the lattice O.

Brandenberger *et al.*<sup>227</sup> utilised a Poisson distribution, a method employed for the prediction of rare but significant events in a fixed interval to compute the fraction of content of several Fe species in zeolites. The results obtained show the correlation between the degree of Fe-exchanged species and the presence of particular active sites. In particular, they modelled the distribution of several Fe species including Fe–O–Fe bridged and higher nuclear Fe-species within Fe-ZSM-5 zeolite. These findings have sufficient accuracy to show the correlation of the degree of Fe-exchanged species with active sites. Furthermore, the calculated probabilities are compared with experimental data obtained from DR-UV/Vis and DRIFTS which offers insights into the proportions of various iron species and helps validate the analytical findings. Consistent with the observation, there is further agreement that more than one site can be present in the zeolite Fig. 10, and the monomeric Fe ions will predominate only for low levels of exchange.<sup>227</sup> Moreover, their investigation showed that the formation of the monomer represents the rate-limiting stage in the SCR which could stem from the re-oxidation of Fe species, resulting in the subsequent conversion (oxidation) of NO to NO<sub>2</sub>. However, the discussion regarding the nature of Fe species (*e.g.* monomer and dimer) may be an oversimplification since the nature of the monomeric and dimeric species could be more complicated than what is shown in Fig. 8 for example, undercoordinated Fe monomers or else di-oxo bridged dimeric species.

We reported that Fe<sup>3+</sup> species have demonstrated varying levels of activity under different conditions.<sup>228</sup> Isolated octahedral Fe<sup>3+</sup> entities hosted on H-ZSM-5 zeolite surfaces have

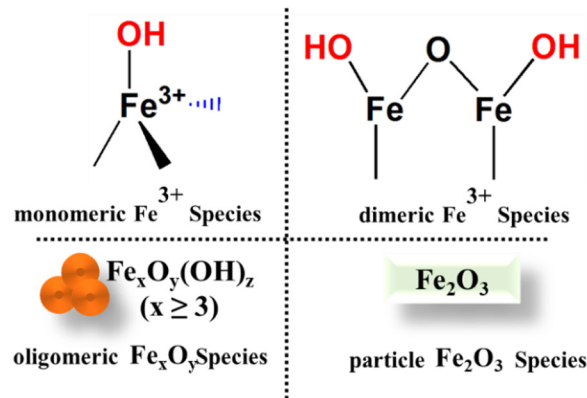


Fig. 10 Schematic illustration of four possible Fe species in Fe-zeolites.

exhibited high reactivity. These octahedral sites displayed a propensity for reduction upon exposure to ammonia under SCR conditions. Conversely, isolated tetrahedral Fe<sup>3+</sup> sites demonstrated comparatively lower redox properties, resulting in diminished NO conversion rates. Furthermore, clusters and Fe<sub>x</sub>O<sub>y</sub> particles observed on H-SSZ-13 zeolite surfaces showed subdued SCR performance although this improves at higher temperatures, all of which suggested a critical role of Fe<sup>3+</sup> speciation.

The self-reduction of metal ions during the reaction has a major impact on the coordination of the metal to the zeolite framework. Under high temperatures and an inert atmosphere, TMI-Zeolites (Co<sup>3+</sup>, Fe<sup>3+</sup>, Cu<sup>2+</sup>) can undergo auto reduction.<sup>180,229,230</sup> The auto reduction of Fe<sup>3+</sup> to Fe<sup>2+</sup> under He treatment has been characterised by *in situ* XAS.<sup>231,232</sup> The switching of Fe<sup>3+</sup> to Fe<sup>2+</sup> is attributed to the desorption and dehydration of Fe<sup>3+</sup>(OH)<sub>2</sub> to liberate O<sub>2</sub> and form two Fe<sup>2+</sup>(OH) species.<sup>233</sup> It is also argued that bare Fe<sup>3+</sup> only coordinates with oxygen and will be unstable in high silica zeolites due to the inadequate negative charge within the zeolite lattice to counterbalance the trivalent ions.<sup>234</sup> The characterisation of such trivalent ions especially Fe<sup>3+</sup> is challenging. The identification and the chemistry of the Fe ions in an aqueous solution are, of course, well defined from the existence of various mono-, di-, and poly- complexes bearing different species including oxygen, water molecules, and OH groups (depending on the reaction media, especially pH).<sup>235,236</sup> Fe ions (Fe<sup>2+</sup> and Fe<sup>3+</sup> ions) can be incorporated into the framework of high silica zeolites; subsequent high-temperature treatment of these ferrisilicates leads to the ejection of Fe from the framework and can be considered another approach for the generation of extra-framework species.<sup>234</sup>

### Other TMI-systems

Besides Cu and Fe, other TMI<sup>196,237</sup> within zeolite frameworks are also studied for their coordination, stability, and catalytic properties, employing techniques like DFT, XAS, and IR spectroscopy. Sklenak *et al.*<sup>238</sup> studied the cationic sites of ferrierite exchanged with Co<sup>2+</sup> and Cu<sup>2+</sup> and the impact of Al placement within six-membered rings on the structure and



binding energies of  $\text{Me}^{2+}$  ions ( $\text{Me} = \text{Co}$  and  $\text{Cu}$ ) using periodic DFT molecular dynamics. They demonstrated that the binding of both cations to these sites prompts substantial rearrangement of the local structure within the zeolite framework, particularly for the  $\alpha$  and  $\beta$ -2 sites, yet minimal for the  $\beta$ -1 site (see Fig. 9).

Rice and co-workers<sup>239,240</sup> examined several divalent transition metal cations including Zn, Pt, Cu, Ni, and Co in ZSM-5, and investigated the coordination, location, stability, and structural properties using the gradient-corrected DFT technique. They modelled the coordination of these ions at isolated charge-exchange sites and pairs at adjacent exchange sites. The data obtained indicate that  $\text{Fe}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Ni}^{2+}$  and  $\text{Co}^{2+}$  are coordinated to 5-MR containing 2Al, whereas  $\text{Rh}^{2+}$ ,  $\text{Pd}^{2+}$ ,  $\text{Pt}^{2+}$ ,  $\text{Zn}^{2+}$  and  $\text{Ru}^{2+}$  are preferentially coordinated to 6-MR of ZSM-5.

The detailed analysis of Co-doped zeolites by Bellman *et al.*<sup>241</sup> prepared Co-ZSM-5 *via* wet ion-exchange (IE) with various Co contents (0.32, 0.34, 1.96, 2.44 and 3.19 wt%) and found that not only  $\text{Co}^{3+}$  but also  $\text{Co}^{2+}$  were present in oxide-like clusters (*i.e.*  $\text{Co}_3\text{O}_4$ ) and at ion exchange position(s). The UV-Vis spectra show isolated  $\text{Co}^{2+}$  species, and the triple band is from both  $\gamma$ -,  $\beta$ -, and  $\alpha$ -type  $\text{Co}^{2+}$  sites in the zeolite and those dispersed on different oxide supports' surfaces.  $\text{Co}^{3+}$  species, present in the oxide layer oxidized NO and itself reduced to  $\text{Co}^{2+}$  which is subsequently reoxidized to  $\text{Co}^{3+}$  upon exposure to  $\text{O}_2$ , therefore, establishing an active  $\text{Co}^{3+}/\text{Co}^{2+}$  redox pair. In contrast, the  $\text{Co}^{2+}$  located at the exchange position assists the chemisorption of NO and hence acts as a storage material for NO. The diffuse reflectance spectra of  $\text{Co}^{2+}$  ions in the Co-zeolites, including BEA and ZSM-5 indicate the presence of three sites denoted as  $\alpha$ ,  $\beta$ , and  $\gamma$ .<sup>242–244</sup> The positions and the structure of these sites in the local framework of ZSM-5 are shown in Fig. 11.

Dooryhee *et al.*<sup>246</sup> studied  $\text{Ni}^{2+}$  exchanged Y zeolite ( $\text{Si}/\text{Al} = 2.25$ ) by X-ray absorption and diffraction at room temperature and suggest that there is a significant distortion in the shape of the d6-MR cavity when occupied by  $\text{Ni}^{2+}$  ions, signifying that the framework of the zeolite adjusts in response to the

migration of Ni ions during the process of dehydration. There are several reasons (i) first, are the electrostatic contacts between the cations and the framework; and second, TMIs where possible expand their coordination number to gain a stable configuration within the zeolite framework.

Similarly, coordination of TMI to specific exchange sites leads to a strong distortion in the framework of zeolites, as shown by detailed *ab initio* quantum-chemical analysis.<sup>247–249</sup> However, other reports indicate that Ni ions reside within the 6-MR, specifically at a corner position. It has been suggested that XRD, when subject to position averaging, may not have the capability to discern any distortion as reported by Pierloot *et al.*,<sup>178</sup> and indeed the work of Dooryhee *et al.*<sup>246</sup> proposed distortion based on the combination of XRD and EXAFS data.

Smeets and coworkers<sup>250</sup> and Vanelderden *et al.*<sup>251</sup> have examined the coordination chemistry of TMI (Cu, Fe, Co) in zeolites in particular, to investigate the coordination chemistry of the activated species and demonstrated their ability to activate  $\text{O}_2$  and oxo-complexes. They explore two aspects of TMIs (Cu, Co, and Fe) in zeolites: their coordination within the lattice and the presence of activated oxygen species. TMIs tend to occupy 6-MR exchange sites, coordinating with Al tetrahedra at low loading. High loading results in diverse TMI species on the zeolite surface. The activated  $\text{O}_2$  species are suggested to oxidize (i) NO into  $\text{NO}_2$  and (ii) reoxidize the TMI to its appropriate oxidation state.<sup>85,252</sup>

### Modelling mobility and restructuring of TMI

One of the key aspects of TMI zeolite catalysts is their location and their migration. According to AIMD and DFT studies, the migration of metal ions is limited by their electrostatic tethering to the zeolite framework.<sup>253</sup> The presence of extra-framework cations and coordinating molecules changes the coordination environment of the TMI sites which ultimately enables their migration.

Paolucci *et al.*<sup>85</sup> conducted both computational and experimental analyses on coordinated Cu speciation under *operando* SCR, *in situ* and *ex situ* conditions as a function of the catalyst composition. They demonstrated that the number, type, and nature of Cu sites depend on the bulk composition of the zeolites as well as on the environmental conditions. In a notable addition, they demonstrated that the Cu speciation, mobility, and siting are strongly influenced by environmental conditions and are not dependent on the initial cation sites and framework of zeolites. Using molecular dynamics, the mobility of  $[\text{Cu}(\text{NH}_3)_2]^+$  inside the CHA framework employing *ab initio* metadynamics was predicted. They calculated the free energy (using Cu–Al coordination distance as a collective variable) (Fig. 12a) in a CHA supercell of 72 sites. The free energy minimum is located at a distance of  $\sim 4.7$  Å which increases to a Cu–Al distance of 8 Å when Cu ions move through 8-MR between two cages as can be seen in Fig. 12b. However, the free energy decreases again as the Cu ion enters the adjacent cage (product state) until the distance is greater than 9 Å.

The window of CHA is sufficiently large for the diffusion of SCR gases and Cu species to lead to a potential interaction

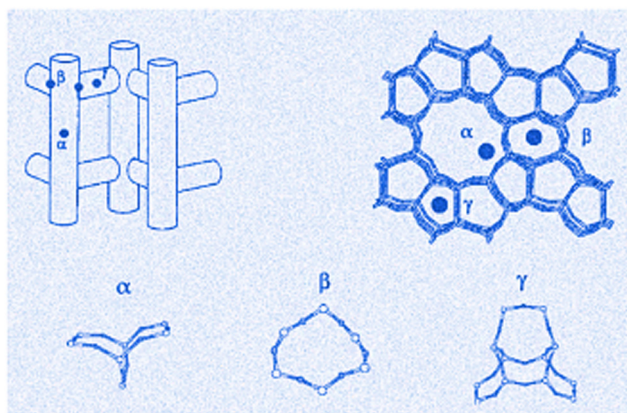
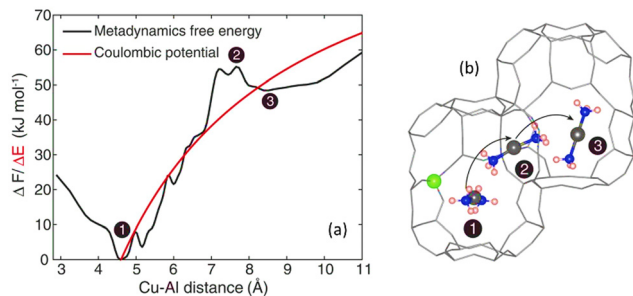


Fig. 11 Illustration showing Co siting in ZSM-5 structure. Local framework structures of the  $\alpha$ -,  $\beta$ -, and  $\gamma$ -sites. Reproduced from ref. 245 with permission from Elsevier, copyright 2000.



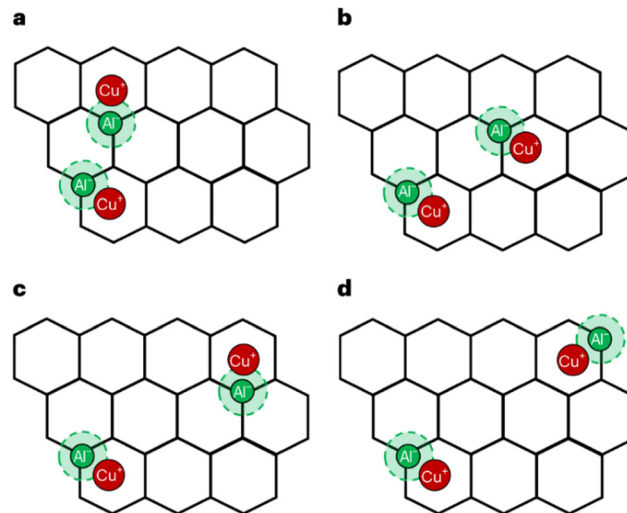


**Fig. 12** (a) The free energy profile – varies with the Cu–Al distance, involving the mobility of  $[\text{Cu}(\text{NH}_3)_2]^+$  species and Al ions within the CHA framework to maintain charge balance. The first stage involves the reactant  $[\text{Cu}(\text{NH}_3)_2]^+$ . (2) Transition state  $[\text{Cu}(\text{NH}_3)_2]^+$  (3) product state  $[\text{Cu}(\text{NH}_3)_2]^+$ . For comparison, the computed point-charge model has also been reported as a red line.<sup>16</sup> (b)  $[\text{Cu}(\text{NH}_3)_2]^+$  configuration. Cu; grey, Al; green N; blue, and H; reddish. Reproduced from ref. 85 with permission from The American Association for the Advancement of Science, copyright 2017.

between species within and cluster or dimer formation.<sup>85</sup> As illustrated previously, the isolated Cu ions are mostly present in the 6-MR and the  $[\text{Cu}-\text{O}-\text{Cu}]^{2+}$  dimer is generated as a result of two proximal Cu species.<sup>254,255</sup> This species was thought to be located inside the cage; however, migration of Cu ions can occur which affects the SCR deactivation reaction.

In zeolite Y the sites SI, SII, and SIII for  $\text{Cu}^{2+}$ , or  $\text{Cu}^+$  ions are considered to be more predominant locations for the Cu species<sup>178,256,257</sup> where site I is located in the hexagonal prisms connecting sodalite cages, site II positioned in front of the 6-MR inside the super-cages, and sites III found in the super-cages near the 12-MR or 4-MR windows.<sup>257,258</sup> In accord with the above argument, site SII, located in the 6-MR is considered to be the active site at low temperatures. Deka *et al.*<sup>28</sup> show, that Cu ions move from SI to SII sites under reaction conditions and the gases can reach these Cu ions *via* the cage. The Cu ions are highly mobile and can easily migrate to the supercages, changing their coordination with the oxygen ions within them. They started in the supercages when the Cu ions were first introduced since the hexa-aqua species will not be stable elsewhere. Similarly, at the SIII site, a dimeric species  $[\text{Cu}-\text{O}-\text{Cu}]$  could be formed as a result of interaction with the Cu of the SII site, demonstrating that mobility leads to the generation of multiple species.<sup>259</sup>

Krishna *et al.*<sup>82</sup> elucidated the complex behaviour of Cu cations within a zeolite framework during the  $\text{NH}_3$ -SCR of  $\text{NO}_x$ . Fig. 13. They proposed that initially,  $\text{NH}_3$  coordinates to Cu cations, which remain connected to charge-balancing framework Al centres through two O ions. This dynamic system involves the conversion between mononuclear  $\text{Cu}^+$  and binuclear  $\text{Cu}^{2+}$  sites during the oxidation half-cycle. This conversion is assisted by the movement of  $\text{NH}_3$ -solvated  $\text{Cu}^+$  complexes within CHA cages and their migration through 8-MR windows into neighbouring cages. Migration into nearby cages is suggested to have lower energy barriers, as shown in Fig. 13a, with an activation energy of  $20 \text{ kJ mol}^{-1}$  at 423 K for empty cages and approximately  $35 \text{ kJ mol}^{-1}$  when the cage is occupied. Fig. 13b



**Fig. 13** The illustrations display the arrangement of  $\text{Cu}^+$  cations, which are linked electrostatically to framework Al and labelled from (a) to (d). Additionally, the illustrations depict SSZ-13 lattices with varying degrees of separation between  $\text{Cu}^+$  pairs. This separation is indicated by the number of required CHA cage migrations:  $n = 0$  (a), 1 (b), 2 (c), or 3 (d). CHA lattice is shown in two dimensions as a hexagonal lattice, where faces correspond to a CHA 8-MR. Furthermore, each SSZ-13 cage is linked to three other SSZ-13 cages *via* an 8-MR. Reproduced from ref. 82 with permission from Springer Nature, copyright 2023.

indicates higher free-energy barriers of  $60\text{--}80 \text{ kJ mol}^{-1}$  at 473 K for migration into CHA cages that are farther from the charge-balancing Al centre. Such migration dynamics affect steady-state SCR rates, which depend on Cu density at two different sites.

The zeolite's compositional and structural properties, particularly the arrangement of framework  $\text{AlO}_4$  anionic charges, play a crucial role in influencing Cu cation proximity and mobility, affecting SCR rates. The theoretical predictions, including DFT calculations, emphasise the relevance of  $\text{Cu}^+(\text{NH}_3)_2$  migration over  $\text{O}_2$  activation in controlling SCR rates. Spatial isolation of  $\text{Cu}^+$  sites is noted to impede reactivity with  $\text{O}_2$ , highlighting the complexity and sensitivity of SCR processes to zeolite properties.

Nucleophiles including  $\text{H}_2\text{O}$ ,  $\text{NH}_3$ , and  $\text{NO}_2$  bind strongly to the cation and can enhance the mobility of the ions at the active sites *e.g.*  $\text{Cu}(\text{NH}_3)_2$ . This active site has an effective area of diffusion which significantly restricts its interaction with other active sites within the overlapping area. Kwak *et al.*<sup>198</sup> compared Cu-Beta, Cu-SSZ-13, and Cu-SSZ-5 and concluded that although very few changes can be observed in the Cu species located in the SSZ-13, the Cu-SSZ-5 and Cu-Beta have CuO or Cu aluminate on the surface. The mobility of TMI ions as well as Al can act as an indicator for the activity of the  $\text{NH}_3$ -SCR reaction as shown by *in situ* impedance- 'modulus' spectroscopy) and theoretical studies, the discussion of which is, however, beyond the scope of this review.<sup>260</sup>

It is argued by Merberger *et al.*<sup>261</sup> that at (high temperatures  $400^\circ\text{C}$ ), the ammoniated-Cu complex including  $(\text{Cu}^+(\text{NH}_3)_2$  and  $\text{Cu}^{2+}(\text{NH}_3)_4$ ) decomposes, and the Cu ion loses an  $\text{NH}_3$



ligand as well as its mobility. Subsequently, Cu ions become attached to the wall of zeolite and hence lose their ability to form dimers dynamically and activate oxygen. It is, therefore, conceivable that the oxygen-activated NO step described by Janssens *et al.*<sup>17</sup> becomes an appropriate step in NH<sub>3</sub>-SCR at high temperatures.

Millan *et al.* conducted extensive studies to investigate the mobility of Cu ions in Cu-SSZ-13 and its implications for the NH<sub>3</sub>-SCR.<sup>262,263</sup> They aimed to elucidate the mobility-dependent SCR reaction and developed a machine-learning interatomic potential capable of correctly reproducing *ab initio* results, enabling simulations of large supercells and various chemical compositions. Employing a combination of biased and unbiased simulations, the researchers explored the mobility of [Cu(NH<sub>3</sub>)<sub>2</sub>]<sup>+</sup> in Cu-CHA catalysts. Their findings indicated that Al pairing in 8-MR facilitated local hopping with the increased concentration of NH<sub>3</sub>, and so enhanced long-range diffusion. Furthermore, AIMD simulations were used to investigate the migration of [Cu(NH<sub>3</sub>)<sub>2</sub>]<sup>+</sup> under NH<sub>3</sub>-SCR-NO<sub>x</sub> reaction conditions. Results indicated that the diffusion of these complexes was hindered by additional NO or NH<sub>3</sub> in the initial cavity, while the presence of O<sub>2</sub> also impacted the NO and Cu<sup>+</sup> interaction. Expanding their analysis to a larger 1 × 2 × 1 unit cell to simulate the catalyst, they examined diffusion towards a third cavity, C (Fig. 14). An activation-free energy barrier of 76 kJ mol<sup>-1</sup> was computed for diffusion through the 8-MR window connecting cavities B and C. The significant destabilization observed during the migration of the [Cu(NH<sub>3</sub>)<sub>2</sub>]<sup>+</sup> to cavities B and C was attributed to the interactions between [Cu(NH<sub>3</sub>)<sub>2</sub>]<sup>+</sup> and the negatively charged framework surrounding the Al ion residing in the 8-MR connecting cavities A and B, as depicted in Fig. 14.

Still, more experiments (especially high temperature-driven reactions) are required to understand the detailed chemistry

behind the NH<sub>3</sub>-SCR mechanism over TMI zeolite especially Cu-zeolite. Further modelling studies are also clearly needed.

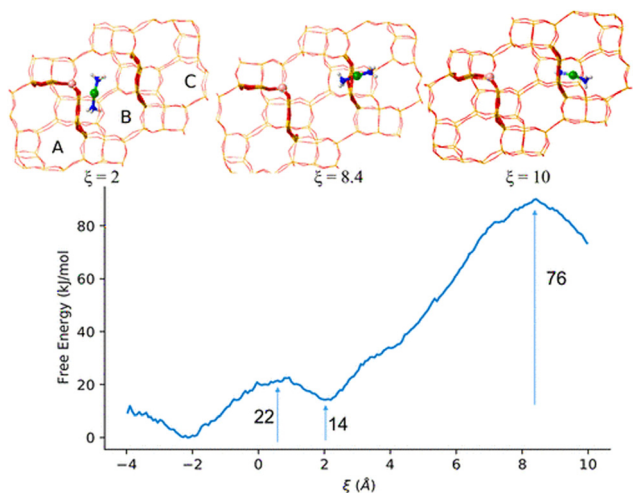
## Reaction mechanism and kinetics of NH<sub>3</sub>-SCR

In the exploration of NH<sub>3</sub>-SCR mechanisms, a synergistic relationship between experimental and theoretical techniques has emerged, each offering unique perspectives on the complex processes at play. While experimental techniques provide insights into reactant adsorption and kinetics on catalyst surfaces, theoretical methods, particularly DFT, offer predictive capabilities for reaction pathways and intermediate states. The synergy between these approaches enables a comprehensive understanding of catalytic processes. Theoretical investigations often afford deeper mechanistic insights, in part to their ability to control variables and predict highly transient species that may evade detection by experimental techniques, which can be limited by time resolution and sensitivity. However, since the detection of intermediates *via* experimental methods is limited to a handful of species, as a result, we focus primarily on the theoretical studies that have yielded very detailed insights into the reaction pathways and intrinsic activity of metal species.

Although the role of TMI has been widely explored, the reaction mechanism is still under debate and its investigation is ongoing.<sup>170,173,188,264</sup> Generally, the reaction is thought to follow a Langmuir–Hinshelwood (L–H) mechanism: the reactant species (NH<sub>3</sub>, NO<sub>x</sub>) simultaneously adsorb on the catalysts and then react to generate H<sub>2</sub>O and N<sub>2</sub>. However, ammonia can react with NO *via* the Eley–Rideal (E–R) route. These reactions lead to the formation of NH<sub>x</sub>–NO<sub>x</sub> intermediates, which afterwards decompose into H<sub>2</sub>O and N<sub>2</sub>. Experimental techniques, including surface analysis and reaction kinetics measurements, offer insights into reactant adsorption and subsequent reactions on catalyst surfaces. Theoretical methods, especially DFT enable the prediction of reaction pathways and intermediate states. By integrating experimental data with theoretical models, a comprehensive understanding of catalytic processes can be achieved.

Both acidic and redox sites have important roles in the NH<sub>3</sub>-SCR reaction. The catalyst's redox chemistry controls its activity at low temperatures, while the acidic properties of the catalyst's surface govern its activity at high temperatures (HT).<sup>265,266</sup>

A catalyst possessing appropriate redox capability can produce active NO<sub>2</sub> and NH<sub>2</sub>, thereby enhancing reaction efficiency *via* the E–R pathway and so can follow “Fast SCR”. Increased acidity is beneficial for establishing adsorbed NH<sub>3</sub>/NH<sub>4</sub><sup>+</sup> species, which are important in generating NH<sub>2</sub> or reacting with active nitrites and nitrates. Both redox and acidic sites are necessary for achieving a wide temperature range of activity. A common reaction pathway exists for the SCR process over both zeolite and metal oxide.<sup>13</sup> In the E–R pathway, ammonia attaches to acidic sites, resulting in the production of NH<sub>3</sub>/NH<sub>4</sub><sup>+</sup>, which are subsequently oxidized by high-oxidation redox sites to produce NH<sub>2</sub> species. Next, NH<sub>3</sub> or NH<sub>2</sub> then can directly



**Fig. 14** The figure illustrates the diffusion pathway of [Cu(NH<sub>3</sub>)<sub>2</sub>]<sup>+</sup> through different 8-MR of CHA, along with the corresponding free energy profile at 423 K. The O and Si ions are depicted as red and yellow sticks, while Al, Cu, N, and H atoms are represented as that, green, blue, and white balls, respectively. Reproduced from ref. 262 with permission from American Chemical Society, copyright 2021.



react with NO, producing  $\text{NH}_2\text{NO}/\text{NH}_3\text{NO}$ , which further decomposes into  $\text{H}_2\text{O}$  and  $\text{N}_2$ . Following the L–H mechanism, ammonia binds to acidic sites to create  $\text{NH}_4^+$  species, while NO undergoes oxidation to produce active nitrate/bridged bidentate nitrate/monodentate nitrite. These active intermediates then react with  $\text{NH}_4^+$  to produce  $\text{NH}_4\text{NO}_2/\text{NH}_4\text{NO}_3$ , which subsequently decompose into  $\text{H}_2\text{O}$  and  $\text{N}_2$ . Concurrently, the high-oxidation redox sites experience reduction to a low-valent and, therefore, re-oxidized by oxygen to complete the redox cycle.

### Cu-based zeolitic systems

The possible mechanisms and reaction pathways for  $\text{NH}_3$ -SCR reaction observed in Cu-based zeolitic systems are studied here, shedding light on their catalytic activity and the formation of intermediate species essential for  $\text{NH}_3$ -SCR. Understanding these processes is crucial for optimizing catalytic performance and reducing harmful  $\text{NO}_x$  emissions. As proposed by Janssens *et al.*<sup>17</sup> in the  $\text{NH}_3$ -SCR over Cu-CHA reaction, the formation of Cu-nitrate species plays an important role in completing the Cu redox cycle. They mainly used theoretical investigations employing DFT, supported by experiments to determine this behaviour. They observed that it is possible to split the redox cycle and separate the oxidation step from the reduction step by switching between the  $\text{NH}_3 + \text{NO}$  and  $\text{NO} + \text{O}_2$  atmospheres to study the individual half-cycles. The  $\text{Cu}^{2+}$ -nitrate species formed as a result of the  $\text{NO} + \text{O}_2$  oxidation process is converted to the solvated  $\text{Cu}^+-\text{NH}_3$  under  $\text{NH}_3 + \text{NO}$  reductive conditions.  $\text{Cu}^{2+}$ -nitrate species are reformed when switching back to  $\text{NO} + \text{O}_2$  oxidation conditions, closing the catalytic cycle. They proposed a simple mechanism for an  $\text{NH}_3$ -SCR cycle on isolated Cu-ions, in which the NO reacts with the nitrate intermediate to generate gaseous  $\text{NO}_2$  and Cu-nitrate species. This observation agrees with the experimental data (*i.e.* detection of nitrate bands using IR) as the transient formation of gaseous  $\text{NO}_2$  was successfully detected during the SCR reaction.

In lower temperature (below 300 °C) processes, a significant puzzle relates to the kinetics of the  $\text{Cu}^+ \rightarrow \text{Cu}^{2+}$  half-cycle as the Cu concentration decreases which corresponds to a transition from second-order to the first-order dependency of SCR rate on Cu density.<sup>264</sup> The reduction in the apparent reaction order is observed for  $\text{O}_2$  from 0.3 to 0.8 with a decrease in Cu density.<sup>85,264</sup> Recently, researchers have investigated these observations using steady-state and transient kinetic measurements, first-principles calculations, and XAS techniques. From the findings of Paolucci *et al.*, the  $\text{NH}_3$ -solvated  $\text{Cu}^+$  ions can form transient ion pairs while migrating through zeolite windows and activating oxygen.<sup>85</sup>

Understanding the mechanistic details of the SCR of  $\text{NO}_x$  with  $\text{NH}_3$  over Cu-exchanged zeolites is crucial for optimising catalyst design and enhancing performance. *Operando* techniques, especially XAS, (both XANES and EXAFS) and UV-Vis have been significant in providing detailed, real-time insights into redox transformations under reaction conditions.

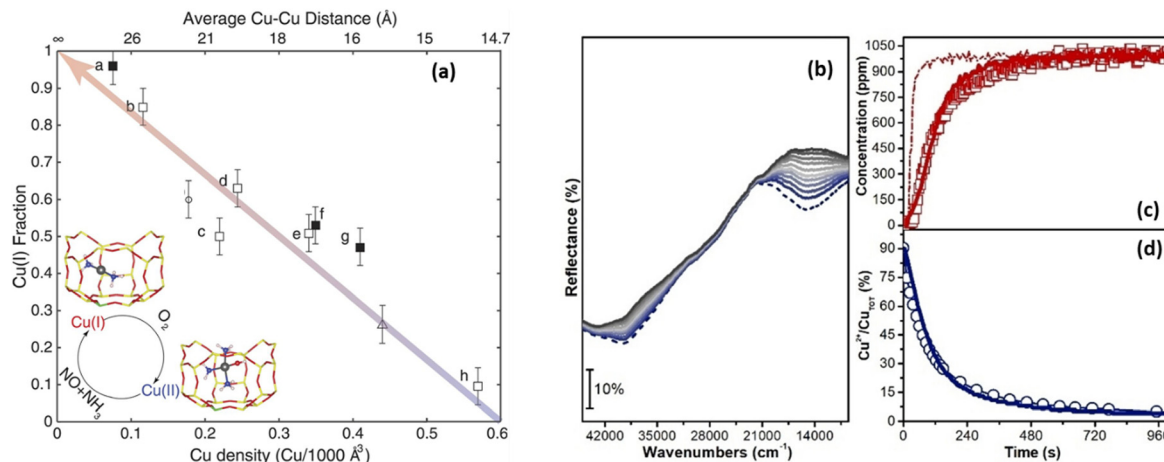
Pappas *et al.*<sup>267</sup> investigated the nature of Cu ions in H-MOR samples with Si/Al ratios of 11 and 7, and varying Cu loadings, using a range of techniques including XAS, high-energy

resolution fluorescence detected (HERFD) XANES coupled with multivariate curve resolution (MCR). MCR is a chemometric technique that deconvolves complex spectroscopic data into pure component spectra. Their study revealed the coexistence of both active and inactive framework-coordinated  $\text{Cu}^{2+}$  species after  $\text{O}_2$  activation, identifying a specific framework-coordinated  $\text{Cu}^{2+}$  moiety as the active site for methane activation.

Paolucci *et al.*<sup>85</sup> utilized XAS to monitor the oxidation state changes of Cu in Cu-SSZ-13 catalysts under working conditions, establishing a direct correlation between the catalytic activity and the Cu redox cycle. To investigate the mechanistic origins of changes in kinetic behaviour during standard SCR, they employed XANES spectroscopy to quantify the Cu oxidation state as shown in Fig. 15a which presents the steady-state  $\text{Cu}^+$  fraction obtained from XANES fitting as a function of Cu density for various Cu-CHA zeolites. As observed, site-isolated  $\text{Cu}^{2+}$  ions evolve into a mixture of  $\text{Cu}^+$  and  $\text{Cu}^{2+}$  during SCR at 473 K catalysis, indicating redox cycling between these oxidation states.<sup>119</sup> The data reveal an inverse relationship between  $\text{Cu}^+$  fraction and Cu ion density, contrary to expectations for a single-site catalyst where the oxidation state should depend solely on reaction conditions. At high Cu densities,  $\text{Cu}^+$  is the minority oxidation state, but it becomes predominant at lower Cu densities.

Negri *et al.*<sup>268</sup> utilised UV/Vis Diffuse Reflectance (DR) spectroscopy in conjunction with a packed bed flow reactor to monitor the kinetics of  $\text{Cu}^{2+}/\text{Cu}^+$  redox dynamics during the  $\text{NH}_3$ -SCR process over Cu-CHA catalysts, as illustrated in Fig. 15b–d. In their detailed analysis, they describe how the spectra in Panel (b) reveal the progressive reduction of  $\text{Cu}^{2+}$  to  $\text{Cu}^+$ . Initially, the UV/Vis DR spectra exhibit a broad band centered around  $15\,100\text{ cm}^{-1}$ , characteristic of  $\text{Cu}^{2+}$  d–d transitions. As the reaction proceeds, the intensity of this band diminishes, indicating the reduction of  $\text{Cu}^{2+}$  to  $\text{Cu}^+$ , which lacks d–d transitions due to its closed-shell  $d^{10}$  configuration. Concurrently, changes in the charge transfer region, particularly around  $39\,700\text{ cm}^{-1}$ , signify the gradual replacement of oxygen ligands by nitrogen from  $\text{NH}_3$  in the coordination sphere of copper as the reduction occurs. In Panel (c), they present the NO concentration transients at the reactor inlet and outlet, alongside model predictions. The observed decrease in NO concentration at the reactor outlet is in line with the reduction of  $\text{Cu}^{2+}$  sites, as NO is consumed in this process. The close match between the experimental NO outlet data (red symbols) and the model predictions (solid red line) underscores the validity of the kinetic model. Panel (d) shows the time-dependent fraction of  $\text{Cu}^{2+}$  ( $\text{Cu}^{2+}/\text{Cu}_{\text{TOT}}$ ) derived from Multivariate Curve Resolution (MCR) analysis of the *operando* UV/Vis data. They highlight that the  $\text{Cu}^{2+}$  fraction starts at about 90% and decreases steadily as  $\text{Cu}^{2+}$  is reduced to  $\text{Cu}^+$ . The model's fit to these data (solid blue line) supports the proposed second-order dependency of the reduction half-cycle rate on the oxidized Cu fraction, providing strong validation for their kinetic parameters. They emphasize that the consistency between the spectroscopic data and gas-phase measurements not only supports the kinetic model but also demonstrates the





**Fig. 15** (a) Dependence of the  $\text{Cu}^+$  fraction on Cu ion volumetric density during steady-state standard SCR at 473 K, measured by XANES (details in SM Section S3). The inset shows  $\text{NH}_3$ -solvated  $\text{Cu}^+$  and  $\text{Cu}^{2+}$  species observed and computed at 473 K.<sup>119</sup> The coloured arrow is a visual guide; error bars reflect a 5% uncertainty from XANES fitting. Reproduced from ref. 85 with permission from The American Association for the Advancement of Science, copyright 2017, and (b) *Operando* UV/Vis. DR spectra during an RHC transient at 175 °C (dark blue to dark grey curves) after  $\text{NH}_3$  saturation (dashed blue line); (c) NO inlet (dashed red line), NO outlet (red symbols), and redox model predictions (red solid line); (d)  $\text{Cu}^{2+}$  reduction dynamics from MCR analysis (blue symbols) and model predictions (blue solid line). Reproduced from ref. 268 with permission from John Wiley and Sons, copyright 2024.

effectiveness of their *operando* spectroscopic approach in capturing the dynamics of the catalyst under reaction conditions.

Pankin *et al.*<sup>269</sup> studied the speciation of Cu and Fe in composite zeolite catalysts under  $\text{NH}_3$ -SCR conditions using *operando* XAS, providing valuable insights into the catalytic behaviour of these metals in both monometallic and mixed catalysts. For iron, the Fe K-edge XANES and EXAFS spectra show that iron in both the monometallic Fe-MOR and the Cu-Fe-mix catalysts primarily forms small  $\text{Fe}_2\text{O}_3$  clusters with a structure similar to hematite ( $\alpha\text{-Fe}_2\text{O}_3$ ). These clusters, probably located on the exterior of the MOR framework, contribute to  $\text{NH}_3$  oxidation and influence Cu-speciation in the mixed catalyst, particularly at high temperatures. The  $\text{Fe}_2\text{O}_3$  clusters enhance  $\text{NH}_3$  oxidation, reducing the formation of  $\text{Cu}^+$  species in the Cu-Fe-mix catalyst at higher temperatures. Additionally, a minor fraction of isolated Fe species, possibly monomeric or dimeric  $\text{Fe}^{3+}$ , may be active at high temperatures during SCR. These findings suggest that the iron sites in both Fe-MOR and Cu-Fe-mix primarily consist of small  $\text{Fe}_2\text{O}_3$  clusters that efficiently catalyze  $\text{NH}_3$  oxidation, with isolated  $\text{Fe}^{3+}$  species contributing to the high-temperature SCR activity. Fig. 16a and b, which displays the Fe K-edge XANES spectra, effectively captures these characteristics.

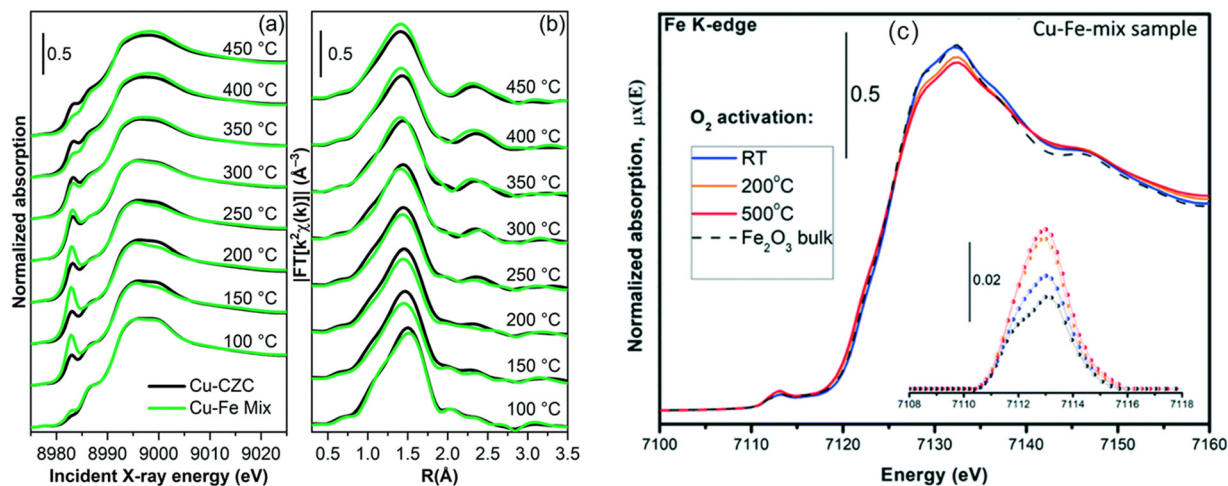
The Cu K-edge XANES and EXAFS analyses shown in Fig. 16c reveal significant differences in the behaviour of Cu species in the pure Cu-CZC and the mixed Cu-Fe-mix catalysts under  $\text{NH}_3$ -SCR conditions. In the monometallic Cu-CZC, mobile linear  $\text{Cu}^+$  di-amino complexes dominate at lower temperatures (below 300 °C), while  $\text{Cu}^{2+}$  species become more prominent as the temperature increases, which indicates a dynamic redox process for Cu during the SCR reaction. In contrast, the Cu-Fe-mix catalyst shows a higher abundance of reduced  $\text{Cu}^+$  species, particularly at lower temperatures (150–300 °C), suggesting that Fe enhances the reduction of Cu sites. This interaction, which

is probably facilitated by the migration of  $\text{NH}_3$ -solvated Cu species between different zeolite frameworks, results in improved  $\text{NO}_x$  reduction performance at lower temperatures, making the mixed catalyst more effective across a broader temperature range.

Yu *et al.*<sup>270</sup> demonstrated the  $\text{NH}_3$ -SCR mechanism on Cu-SAPO-34 using *in situ* EPR and DRIFTS experiments, where,  $\text{NH}_3$  binds to both hydroxyls and  $\text{Cu}^{2+}$  while the NO is oxidized into nitrates or nitrites on  $\text{Cu}^{2+}$  sites. The generated nitrates and nitrites then react with  $\text{NH}_3$  forming ammonium nitrates and  $\text{N}_2\text{O}$  intermediates respectively. The subsequent decomposition of these intermediates ultimately produces  $\text{H}_2\text{O}$  and  $\text{N}_2$ . Similarly, Wang *et al.*<sup>187</sup> suggested a mechanism for Cu-SAPO-34 in  $\text{NH}_3$ -SCR employing *in situ* DRIFTS and explored the mechanism on the Lewis acid sites, which involves first the generation of  $\text{NH}_4\text{NO}_3$  species and then its reaction with NO to generate  $\text{H}_2\text{O}$  and  $\text{N}_2$ . The adsorbed  $\text{NH}_3$  on Brønsted acid sites migrates to Lewis acid sites, providing more  $\text{NH}_3$  for the reaction. Owing to the high reactivity of  $\text{NH}_4\text{NO}_3$ , DRIFTS spectra were unable to detect its presence; however, its involvement in the reaction could not be ruled out. In contrast, Su *et al.*<sup>271</sup> confirmed the consequent formation and decomposition of  $\text{NH}_4\text{NO}_2$  species using temperature-programmed surface reaction and *in situ* DRIFTS which are generated as a result of the sequential reaction between  $\text{NH}_3$  and the generated  $\text{NO}_2$ . To explore the role of  $\text{NH}_3$  in the SCR reaction, Giordanino *et al.*<sup>122</sup> investigated three potentially stable adsorption sites for the  $\text{NH}_3$  molecule *via*  $\text{NH}_3$ -TPD and IR spectroscopy: the  $\text{NH}_3$  coordinated to Cu site and as solvated ammonium ions are more stable for  $T < 400$  °C, whereas, at higher temperatures, the Brønsted acid sites with a bound  $\text{NH}_3$  is found to be more stable.

Chen *et al.*<sup>172</sup> present a comprehensive reaction mechanism elucidating  $\text{NH}_3$ -assisted SCR of  $\text{NO}_x$  over Cu-CHA under low

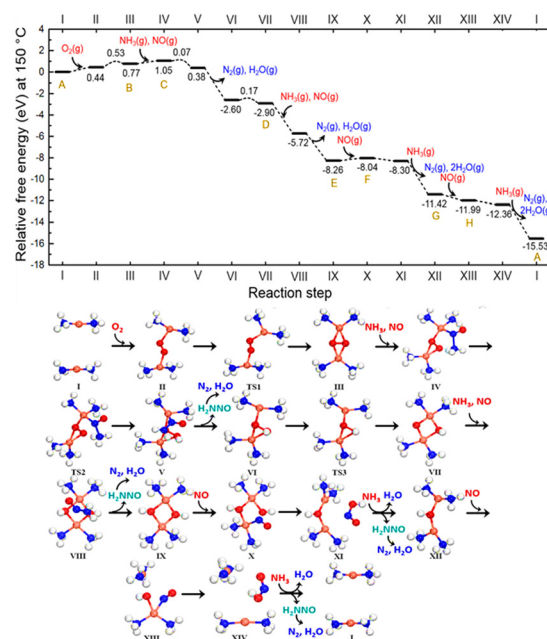




**Fig. 16** Cu and Fe speciation in  $\text{NH}_3$ -SCR catalysts (a) normalized Cu K-edge XANES spectra, (b)  $k^2$ -weighted phase-uncorrected FT of EXAFS spectra at various temperatures under  $\text{NH}_3$ -SCR conditions, comparing the monometallic Cu-CZC (black) and bimetallic Cu-Fe-mix (green) catalysts, and (c) Fe K-edge XANES spectra for the Cu-Fe-mix catalyst at RT, 200 °C, and 500 °C after oxygen activation, compared to bulk  $\alpha\text{-Fe}_2\text{O}_3$  (dashed line). The inset shows a magnified view of the background-subtracted pre-edge peak. Reproduced from ref. 269 with permission from The Royal Society of Chemistry, copyright 2024.

temperatures. The reaction proceeds in a multisite manner, implicating solvated Cu cations by ammonia ( $\text{Cu}^+(\text{NH}_3)_2$ ), and Brønsted acid sites. Cu sites play an essential role in oxygen activation and the generation of important intermediates, including HONO and  $\text{H}_2\text{NNO}$ , while Brønsted acid sites facilitate the subsequent breakdown of these intermediates into  $\text{N}_2$  and  $\text{H}_2\text{O}$ . Oxygen activation necessitates pairs of  $\text{Cu}(\text{NH}_3)_2$  complexes, with the coupling of HONO and  $\text{H}_3\text{NNO}$  potentially occurring on single complexes. They presented the free-energy landscape of the reaction at 150 °C, as illustrated in Fig. 17. According to the diagram,  $\text{O}_2$  undergoes endergonic adsorption over the Cu complex pair, resulting in a loss of 0.44 eV due to changes in rotational and translational entropy. The subsequent adsorption and activation of  $\text{O}_2$  to structure III has an energy barrier of 0.53 eV. The binding of NO leads to an energy change of  $-0.24$  eV, generating nitrosonium ( $\text{NO}^+$ ) species. The measured binding energy of NO is computed as  $-0.90$  eV. Furthermore, the coordination of  $\text{NH}_3$  molecules from the gas phase to  $\text{NO}^+$  yields structure IV (intermediate C) forming an N-N bond length of 1.9 Å. This step results in an overall free-energy change of  $+0.52$  eV, with an adsorption energy of  $-0.11$  eV. The transition from III to structure IV incurs a total energy change of  $+0.28$  eV. Upon coordination with  $\text{NO}^+$ , the  $\text{NH}_3$  molecule activates and extends the N-H bond by 0.03 Å towards oxygen. The transfer of this proton to oxygen, generating an  $\text{OH}^-$  group and a nitrosamide ( $\text{H}_2\text{NNO}$ ) species (structure V), occurs with a free-energy barrier of 0.07 eV.

The breakdown of the  $\text{H}_2\text{NNO}$  intermediate on a Brønsted acid site, when released from the  $\text{Cu}^+$  active site, yields  $\text{N}_2$  and  $\text{H}_2\text{O}$  as products. The desorption of  $\text{H}_2\text{NNO}$  from the Cu site results in a minimal free-energy barrier of 0.2 eV (determined through constrained molecular dynamics), leaving behind an O-OH group on the Cu sites (structure VI). The O-OH bond readily splits with an activation energy of 0.17 eV, generating



**Fig. 17** The free-energy landscape of the complete reaction cycle at 150 °C. In the lower panel, the structures of each intermediate are displayed. Reactants, intermediates, and product species are shown in red, green, and blue, respectively. To enhance clarity, the zeolite framework is not illustrated. A to H letters in the energy diagram correspond to the respective species shown in the cycle. Atom colour codes are as follows: Cu (pink), N (blue), O (red), and H (white). Reproduced from ref. 172 with permission from American Chemical Society, copyright 2020.

VII. In structure VII, the oxygen atom carries a formal charge of  $-2$ , resulting in a multiplicity of doublet (species D). The highly reactive oxygen atom (see structure VII) readily combines with another  $\text{H}_2\text{NNO}$  molecule, resulting in structure IX. To this end, both Cu ions now exhibit a  $+2$  oxidation state (E).



The  $\text{Cu}_2(\text{OH})_2^{2+}$  species commonly observed in enzymatic reactions<sup>272,273</sup> have been experimentally determined in crystal structures.<sup>274,275</sup> NO binds exothermically to the Cu sites in E leading to the Cu–OH bond, and so generating structure X (species F). The binding of  $\text{NO}^+$  is accompanied by the reduction of  $\text{Cu}^{2+}$  to  $\text{Cu}^+$ .

A HONO intermediate forms and is released from the Cu sites (structure XI) without any energy barrier. Subsequently, HONO then can react with gas-phase ammonia, generating an  $\text{NH}_3$ –HONO complex (ammonium nitrite). This complex decomposes into one  $\text{N}_2$  molecule and two  $\text{H}_2\text{O}$  molecules. Adsorption of an additional NO molecule onto one of the Cu sites in Structure XII (belonging to species G) leads to the second  $\text{Cu}^{2+}$  to  $\text{Cu}^+$  reduction. This adsorption process triggers the breaking of a Cu–OH bond, leading to the generation of two distinct Cu complexes (referred to as Structure XIII and species H and). Subsequently, HONO intermediate emerges on the Cu site hosting  $\text{OH}^-$  and  $\text{NO}^+$  groups. Furthermore, the HONO species undergoes a reaction with gas-phase  $\text{NH}_3$ , resulting in  $\text{NH}_3$ –HONO formation, which consequently decomposes into one  $\text{N}_2$  molecule and two  $\text{H}_2\text{O}$  molecules, thereby concluding a standard  $\text{NH}_3$ –SCR cycle. According to the free-energy landscape, the activation of  $\text{O}_2$  represents the highest barrier, with a relative energy of 0.97 eV compared to the gas phase.

Shan *et al.*<sup>206</sup> proposed that the Fast-SCR reaction mechanism over Cu-SSZ-13 involves a stepwise process. In their study, they found that  $\text{ZCu(II)OH}$  initiates the reaction by adsorbing an  $\text{NH}_3$  molecule, reaching a coordinatively saturated state as shown in Fig. 18. This adsorbed  $\text{NH}_3$  then interacts with  $\text{NO}_2$ , forming  $\text{HNO}_3$  without encountering any discernible energy barrier. The species denoted as B was identified as  $\text{NH}_4\text{NO}_3$  adsorbed on Cu sites. Subsequently, gas phase NO then reacts with the adsorbed  $\text{HNO}_3$ , with an associated energy barrier of 0.87 eV. This reaction leads to the generation of adsorbed  $\text{HNO}_2$  and the simultaneous release of a  $\text{NO}_2$  molecule (C  $\rightarrow$  D). Furthermore, the adsorbed  $\text{HNO}_2$  engages in a reaction with the  $\text{NH}_3$  ligand, producing  $\text{NH}_2\text{NO}$  and  $\text{H}_2\text{O}$ . Desorption of  $\text{N}_2$  and  $\text{H}_2\text{O}$  molecules occurs, allowing  $\text{NH}_3$  and  $\text{NO}_2$  to adsorb at the Cu site, leading to subsequent reactions and the generation of  $\text{NH}_2\text{NO}$  and  $-\text{OH}$  groups.  $\text{NH}_2\text{NO}$  decompose into  $\text{N}_2$  and  $\text{H}_2\text{O}$ , and the  $\text{ZCuOH}$  site undergoes regeneration. The rate-limiting step in their Fast SCR cycle corresponds to the reaction between adsorbed  $\text{HNO}_2$  and an  $\text{NH}_3$  ligand over the  $\text{ZCuOH}$  site, resulting in the production of  $\text{NH}_2\text{NO}$  and  $\text{H}_2\text{O}$  (1.58 eV activation energy).

Water and  $\text{NH}_3$  vapours are significant constituents of  $\text{NO}_x$ -containing exhaust gases, and when present they are inevitable and could result in the adsorption on TMI sites, consequently impacting the energetics of intermediate species.<sup>276,277</sup> Liu *et al.*<sup>204</sup> explored the impact of water on the  $\text{NH}_3$ –SCR activity employing DFT calculations and using Cu-LTA as a model catalyst and discovered that water exerts a beneficial influence on low-temperature SCR activity, potentially through the formation of solvated  $[\text{H}_2\text{O}-\text{Cu}-\text{NH}_3]^+$  species. Similarly, Yu *et al.*<sup>278</sup> conducted catalytic performance testing of Cu-SAPO-34, showing a positive effect of water. They observed that water presence improves the reducibility of  $\text{Cu}^{2+}$  species at high

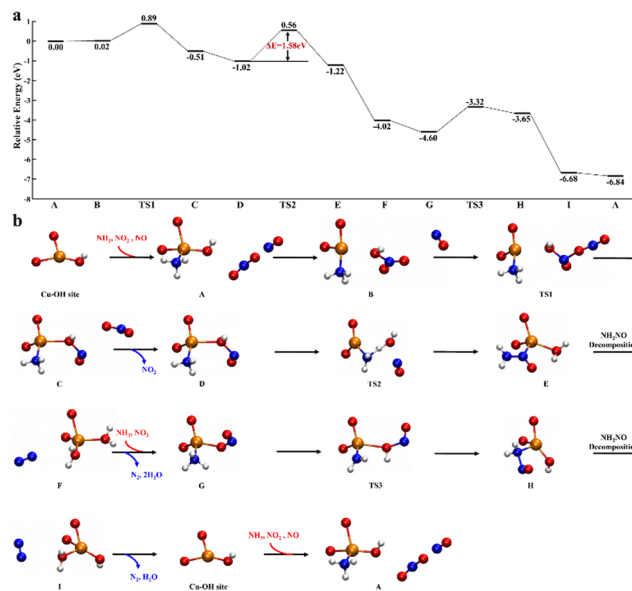


Fig. 18 Fast SCR cycle at  $\text{ZCu}^{2+}\text{OH}$  site (a) the free energy profile is illustrated, showcasing the energetics of the reaction, and (b) the optimized geometries of the reactants, products for each elementary step, and the transition states (TSs) are provided in the lower panel. For clarity, atoms of the zeolite framework, excluding the two O atoms linked to the Cu–OH group, are omitted. Reproduced from ref. 206 with permission from Springer Nature, copyright 2022.

temperatures while inhibiting  $\text{NH}_3$  oxidation. Additionally, Lee *et al.*<sup>279</sup> stated that  $\text{NH}_3$  does not hinder the generation of nitrate species when water is present in the feed. Furthermore, several studies indicate that Cu-ion mobility is facilitated by physisorbed water and ammonia molecule ligation, resulting in improved low-temperature  $\text{NH}_3$ –SCR activity.<sup>85,204,280</sup>

Work by Nasir *et al.*<sup>195</sup> and Greenaway *et al.*<sup>281</sup> reported the study of the  $\text{NH}_3$ –SCR reaction mechanism using DFT techniques employing a quantum mechanical/molecular mechanical (QM/MM) technique together with concentration modulation DRIFTS, with a specific focus on understanding solvent effects on Cu-CHA reactivity and the corresponding reaction mechanism respectively.

Examining the  $\text{NH}_3$ –SCR reaction, the potential energy landscape was first studied, without physisorbed solvent molecules as can be seen in Fig. 19 with black lines, showcasing exothermic  $\text{O}_2$  adsorption, followed by NO adsorption generating Cu– $\text{NO}_3$  species (species B). Gaseous  $\text{NO}_2$  reacts with the  $\text{Cu}^+$ -site, forming bidentate Cu– $\text{NO}_3$  intermediates. The reaction of  $\text{NH}_3$  with nitrite produces an intermediate (species D), and  $\text{NH}_3$  also reacts with the Cu–HONO, generating an  $\text{NH}_3$ –Cu–HONO species, which subsequently decomposes, leading to the generation of the crucial Cu-nitrosamine species, considered important in the  $\text{NH}_3$ –SCR reaction. Next, the influence of water solvation has been examined (Fig. 19 red lines), as water is a primary product of the  $\text{NH}_3$ –SCR reaction, necessitating consideration of hydrated active sites. Water represents a significant product of the  $\text{NH}_3$ –SCR reaction, demonstrating the importance of considering the hydrated state of the active sites.<sup>207</sup> The presence



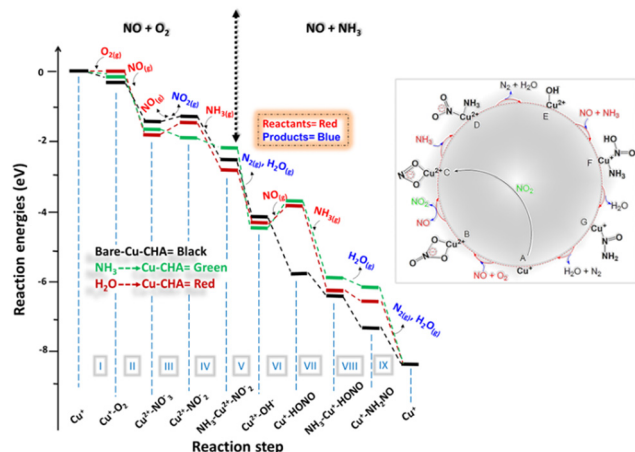


Fig. 19 The  $\text{NH}_3$ -SCR reaction on the Cu-CHA site shows energy landscapes: black represents the bare site, green with physisorbed ammonia, and red indicates water-solvated sites. The inset on the right illustrates the  $\text{NH}_3$ -SCR cycle. Reproduced from ref. 195 with permission from American Chemical Society, copyright 2023.

of water enhances the migration of Cu species, indicating that unanchored Cu ions migrate to create active sites, thereby promoting the SCR reaction.<sup>280</sup> The computed binding energies of  $\text{H}_2\text{O}$  on both  $\text{Cu}^+$  and  $\text{Cu}^{2+}$  sites indicate variations in the  $\text{NH}_3$ -SCR reaction mechanism on solvated Cu-CHA sites. Analysing the impact of water on intermediate species formation, notable differences in the absence and presence of physisorbed water are found. Water promotes the re-oxidation half-cycle, favouring nitrate species formation, while on a water-solvated Cu-CHA site, the generation of Cu-nitrosamine ( $\text{NH}_2\text{NO}$ ) is less favourable, potentially slowing down the reduction half-cycle of the  $\text{NH}_3$ -SCR. The overall influence of  $\text{H}_2\text{O}$  on  $\text{NH}_2\text{NO}$  is more important than on nitrate, suggesting a net negative influence on activity. Additionally, previous reports indicate that hydrocarbons or residual  $\text{H}_2\text{O}$  can alter or block active sites. Regarding the effect of  $\text{NH}_3$  solvation (Fig. 19; green lines), previous experimental evidence supports the improvement of  $\text{NO}_x$  reduction with pre-adsorbed  $\text{NH}_3$  at temperatures 300 °C or 250 °C.<sup>277</sup> However, it was observed that the activity for NO reduction remains low when temperatures fall below 150 °C.<sup>277</sup> The adsorption energies of  $\text{NH}_3$  for  $\text{Cu}^+$ -CHA and  $\text{Cu}^{2+}$ -CHA sites have also been calculated suggesting that reactive sites occupied by  $\text{NH}_3$  molecules can affect the mechanism. Investigating the effect of  $\text{NH}_3$  on intermediate species formation, revealed that Cu bidentate nitrate (species B) formation is more favourable on physisorbed  $\text{NH}_3$  sites compared to bare sites. Moreover, the formation of Cu-nitrosamine (species G) is relatively less favourable on physisorbed  $\text{NH}_3$  active sites than on bare sites, indicating a potential deactivation of active sites for the reduction part of the  $\text{NH}_3 + \text{NO}$  activation half cycle.

Cheng *et al.*<sup>282</sup> suggested the Cu-Mn/zeolite as a potential candidate for the  $\text{NH}_3$ -assisted SCR reaction using *in situ* DRIFTS. They found that the formation of both  $\text{NH}_3$  and  $\text{NO}_x$  on the Cu-zeolite and Cu-Mn/zeolite are similar and suggested that the introduction of Mn only enhanced the concentration of

the intermediate. The bidentate nitrate coordinates with the metal ion followed by the desorption of water after the adsorption of NO from the catalyst.

Marberger *et al.*<sup>261</sup> correlated the rate-limiting step to the redox mediation of  $[\text{Cu}(\text{NH}_3)_2]^+$  to  $[\text{Cu}(\text{NH}_3)_2]^{+2}$  using transient and quick-scanning EXAFS methods. They found that the re-oxidation process is inhibited by the interaction of  $\text{NH}_3$  with the  $\text{Cu}^+$  complex. The analysis of Marberger *et al.*<sup>261</sup> mainly relies on data from low Cu-loading samples. Although in these studies, the formation and chemistry of the redox mediation of  $[\text{Cu}(\text{NH}_3)_2]^+$  and  $[\text{Cu}(\text{NH}_3)_2]^{+2}$  complexes are well documented, the nature and role of these species in relation to other reaction intermediates are less clear.

### Fe-based zeolitic systems

Previous studies<sup>134,284–286</sup> have found several differences between Cu and Fe/zeolite SCR catalysts. Fe/zeolites exhibit low standard SCR activities below 250 °C due to strong  $\text{NH}_3$  inhibition effects. Contrary to this, Cu/zeolites can maintain high activity even around ~175 °C. Cu/zeolites also demonstrate less sensitivity to  $\text{NO}_2/\text{NO}_x$  ratios in the reactant feed. The variance in  $\text{NO}_x$  conversion between standard ( $\text{NO}_2/\text{NO}_x = 0$ ) and fast ( $\text{NO}_2/\text{NO}_x = 0.5$ ) SCR conditions is typically minimal for Cu/zeolite catalysts. While for Fe/zeolites, a  $\text{NO}_2/\text{NO}_x = 0.5$  ratio is preferred for low-temperature reactivity. Furthermore, Fe/zeolites tend to be less selective in  $\text{N}_2\text{O}$  formation, particularly at  $\text{NO}_2/\text{NO}_x \leq 0.5$ .

The  $\text{NH}_3$ -SCR pathway on Fe-based zeolitic systems including ZSM-5 and MOR has been widely investigated. Through experiments and calculations, several steps have been studied including important steps such as NO oxidation and  $\text{NH}_2\text{NO}$  generation, aiming to understand catalytic pathways. Li *et al.* reported a mechanism investigated through DFT calculations for the whole  $\text{NH}_3$ -SCR process for extra framework Fe and Brønsted acid sites of the ZSM-5 zeolite.<sup>221,287</sup> They proposed the catalytic decomposition of NO over the H-form of the zeolite (using a cluster model with 5-T sites), and found the NO oxidation step as the rate-limiting step (with an energy barrier of 15.6 kcal mol<sup>-1</sup>) at 373 K. Subsequently, the  $\text{NH}_2\text{NO}$  species decomposes to  $\text{H}_2\text{O}$  and  $\text{N}_2$  via a hydrogen transfer mechanism. This process can be catalytically driven by the Z-[FeO]<sup>+</sup> species over Fe-exchanged zeolite. Here, NO reacts with  $\text{NH}_3$  on the  $\text{FeO}^+$  to generate  $\text{NH}_2\text{NO}$  and  $[\text{FeOH}]^+$ . The activation barrier is reduced by 3.0 kcal mol<sup>-1</sup> due to the  $\text{FeO}^+$  species.

Yasumara and colleagues<sup>7</sup> explored the reduction half cycle in MOR by investigating the elementary steps involved in  $\text{Fe}^{3+}$  reduction using a mixture of NO +  $\text{NH}_3$ . They utilized *operando* IR measurements to track intermediates and gas-phase products, considering the instability of surface intermediates during catalysis. Upon exposure to NO, they identified  $\text{NO}^+$  species with vibrational signatures at 2190 cm<sup>-1</sup> (ref. 289 and 290) and  $\text{Fe}^{2+}$ -NO with 1880 cm<sup>-1</sup>,<sup>290</sup> accompanied by the detection of adsorbed  $\text{NO}_2$  and  $\text{H}_2\text{O}$  (1620 cm<sup>-1</sup>) in the gas phase. They also observed the depletion of  $\text{Fe}^{3+}$ -OH species which appeared at 3682 cm<sup>-1</sup> (ref. 292) and Brønsted acid sites at 3638 cm<sup>-1</sup>.<sup>292</sup> Their investigation extended to examining the Fe cationic species reactivity in zeolites towards HONO generation through



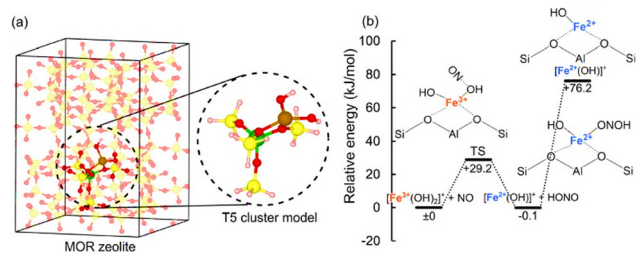


Fig. 20 (a) Illustrates the MOR zeolite framework and the T5 cluster model used in the study, and (b) the energy diagram depicts the formation of HONO from  $[\text{Fe}^{3+}(\text{OH})_2]^+$  and adsorbed NO within MOR zeolites. Brown represents Fe, yellow for Si, green for Al, red for O, and white for H, providing a visual reference for molecular structures and energy transitions. Reproduced from ref. 7 with permission from American Chemical Society, copyright 2022.

transition state calculations employing a T5 (where T indicates tetrahedral site) cluster model as demonstrated in Fig. 20a. The T4 site in the MOR framework<sup>293</sup> is recognized as a replacement site for Al among the T sites. The stability of mononuclear iron species in SSZ-13 zeolite containing isolated Al has also been investigated by Schneider group.<sup>294</sup> According to their calculations, the phase diagram for Fe species in the presence of  $\text{H}_2\text{O}$  revealed that  $[\text{Fe}^{3+}(\text{OH})_2]^+$  species are the most stable at temperatures below 600 °C. Hence, in their study,  $[\text{Fe}^{3+}(\text{OH})_2]^+$  was used as the initial structure for the active iron species. Through theoretical calculations, they considered singlet, triplet, and quintet spin multiplicities, with quintet states exhibiting the lowest energy.<sup>295,296</sup> The energy diagram of the quintet state illustrated in Fig. 20b shows the formation of HONO species, facilitated by NO molecules attaching to an OH group of  $[\text{Fe}^{3+}(\text{OH})_2]^+$  with an energy barrier of 29.2 kJ mol<sup>-1</sup>. Subsequently, the generated HONO molecule desorbed with 76.3 kJ mol<sup>-1</sup> of desorption energy. These findings implied the facile formation of HONO species, with the high desorption energy of  $\text{NH}_3$  from Brønsted acid sites (145–153 kJ mol<sup>-1</sup>)<sup>293</sup> indicating the migration of generated HONO intermediates into Brønsted acid sites for  $\text{N}_2$  generation.

Bruggemann *et al.* also performed a series of tests to probe the  $\text{NH}_3$ -SCR mechanism on ZSM-5 containing 5T atoms using DFT.<sup>297</sup> First, they compared the NO oxidation step and the conversion of *trans-cis*  $\text{N}_2\text{O}_3$  as an intermediate to  $\text{NH}_2\text{NO}$  on Brønsted acid sites of ZSM-5. They subsequently calculated the energy barrier for the formation of nitrosamine ( $\text{NH}_2\text{NO}$ ) in the gas phase and over the ZSM-5 zeolite using DFT. Their results showed that the energy barrier in the case of the zeolite of 20 kcal mol<sup>-1</sup> is more compared to the gas phase (0.16 kcal mol<sup>-1</sup>). Further, they extended the system and investigated Fe-zeolites using the cluster model approach.<sup>149,298</sup> The catalytic cycle in this case only produces two species: nitramide ( $\text{NH}_2\text{NO}_2$ ) and  $\text{NH}_2\text{NO}$ , and consider Fe as a mononuclear species  $\text{Z}[\text{FeO}]^+$ , as mentioned earlier. In addition, several species were found on Fe sites, including  $\text{FeO}_2\text{N}$ ,  $\text{FeONO}$ , and  $\text{FeO}_2\text{NO}$  which are considered the most prominent species in the NO oxidation cycle based on the calculated Gibbs free and activation energies which are calculated by gradient corrected DFT.

Recently, Rudolph and Jacob compared the mechanism of the SCR of  $\text{NO}_x$  over Fe and Cu-doped zeolites.<sup>299</sup> The pathway starts with the neutral models  $[\text{Z}-\text{Fe}^{3+}(\text{OH})_2]$  and  $[\text{Z}-\text{Cu}^{2+}(\text{OH})]$  and proceeds *via* two pathways. In the first  $\text{NH}_3$  is adsorbed to generate the  $[\text{Z}-\text{M}(\text{OH})_2(\text{NH}_3)]$  intermediate. This step is quite exothermic and requires a subsequent shifting of the proton from  $\text{NH}_3$  to the  $\text{OH}^-$  resulting in the formation of  $[\text{Z}-\text{Fe}^{3+}(\text{OH})(\text{H}_2\text{O})(\text{NH}_3)]$  and then release water molecules leading to  $[\text{Z}-\text{Fe}^{3+}(\text{OH})(\text{NH}_2)]$  with overall endothermic energy of 82 kJ mol<sup>-1</sup> in the case of Fe while the same steps for Cu need only 56 kJ mol<sup>-1</sup>. Some previous reports proposed this proton transfer (in the case of Cu) to the zeolite framework rather than to the  $\text{OH}^-$  ligands<sup>85,300,301</sup> which requires 114 kJ mol<sup>-1</sup> energy. The intermediate  $[\text{Z}-\text{Fe}^{3+}(\text{OH})(\text{NH}_2)]$  interacts with NO in two different ways: first, NO coordinates with this intermediate to generate  $[\text{Z}-\text{Fe}^{2+}(\text{OH})(\text{NH}_2)(\text{NO})]$  and reduce the  $\text{Fe}^{3+}$  to  $\text{Fe}^{2+}$  followed by rearrangement that leads to the formation of  $[\text{Z}-\text{Fe}^{2+}(\text{OH})(\text{NONH}_2)]$ .

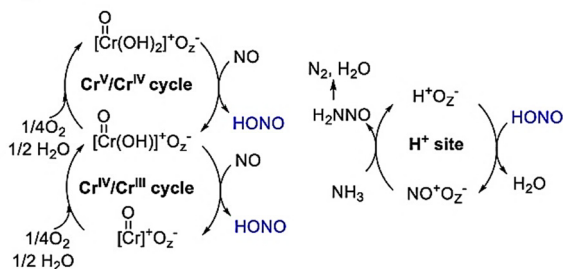
### Other TMI-based zeolitic systems

Employing *operando* IR experiments, Yasumura *et al.*<sup>302</sup> compared the transient reactions of pre-adsorbed  $\text{NH}_3$  with NO and NO +  $\text{O}_2$ . Prior to the experiment, the IR disk of pre-oxidised Cr2.3β5 – beta zeolite (Si/Al = 5) with 2.3 wt% chromium. Cr2.3β5 was subjected to 0.1%  $\text{NH}_3$  for 1200 seconds at 200 °C, resulting in the observation of B- $\text{NH}_3$  (1450 cm<sup>-1</sup>) and L- $\text{NH}_3$  (1628 cm<sup>-1</sup>) species. Upon exposure to NO, more than half of the B- $\text{NH}_3$  species were consumed within 2000 seconds. Concurrently, the generation of  $\text{N}_2$  was detected by mass spectrometry (MS). During TPR of pre-oxidised Cr2.3β5 with NO, *in situ* IR and gas-phase analysis showed the presence of  $\text{NO}^+$  and  $\text{NO}_3^-$  species below 200 °C.<sup>303–305</sup>  $\text{NO}^+$  decreased while  $\text{NO}_3^-$  initially increased (up to 70 °C) before declining.  $\text{NO}_2$  evolved in the low-temperature range (35–300 °C), peaking around 130 °C. NO reduction  $\text{Cr}^{6+}/\text{Cr}^{5+}$  led to  $\text{Cr}^{3+}$  species formation on Cr2.3β5, with  $\text{NO}_2$  possibly originating from surface intermediates:  $\text{NO} \rightarrow \text{NO}^+ \rightarrow \text{NO}_3^- \rightarrow \text{NO}_2$ .

When the same sample was treated with NO +  $\text{O}_2$  gas flow, the complete consumption of B- $\text{NH}_3$  occurred within 2000 seconds, accompanied by a higher total production of  $\text{N}_2$  compared to the NO case. The consumption of B- $\text{NH}_3$  under NO +  $\text{O}_2$  atmosphere was attributed to the persistent reduction/oxidation cycles (pseudo-steady-state SCR). The enhanced reactivity of B- $\text{NH}_3$  in the presence of NO +  $\text{O}_2$  compared to NO has also been reported for  $\text{V}_2\text{O}_5$ -based catalysts and Cu-zeolites.<sup>306</sup> The reaction mechanism that follows steady-state  $\text{NH}_3$ -SCR as shown in Fig. 21, does not follow the  $\text{NH}_3$  adsorption species. However, the reactions between  $\text{NO}_x$  and preadsorbed  $\text{NH}_3$  play a crucial role during transient diesel de $\text{NO}_x$  conditions. IR investigations on the  $\text{NH}_3$ -SCR mechanism have focused on the reaction between adsorbed NO +  $\text{O}_2$  and  $\text{NH}_3$ . However, some reports<sup>306</sup> considered this approach unsuitable for analysing the  $\text{NH}_3$ -SCR process over Cu-CHA under realistic conditions. Particularly, for the species, such as  $\text{Cr}^{3+}$ , which are formed through the reduction of Cr species by  $\text{NH}_3$  and adsorbed NO. These reduced species can be re-oxidised by  $\text{O}_2$ ,



## Steady-state SCR



## Unsteady-state SCR

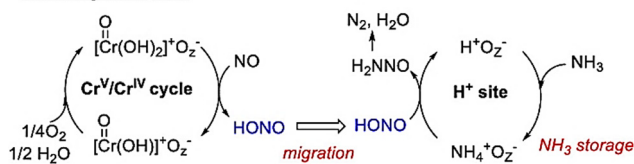


Fig. 21 Mechanism of  $\text{NH}_3$ -SCR Catalyzed with Cr-zeolite catalysts in the steady-state (top) and unsteady-state (bottom) in which the mobile HONO intermediate migrates and reacts with the adsorbed  $\text{NH}_3$ . Reproduced from ref. 17 with permission from American Chemical Society, copyright 2015.

thereby ensuring a continuous formation of  $\text{N}_2$  through the ongoing redox cycles of the reaction between the reduced high-valent Cr species and OCH (pseudo-steady-state SCR).

## Deactivation mechanisms

### TMI stability

SCR catalysts for mobile applications must meet demanding criteria, including stable operation across a wide range of temperatures (from low engine start temperatures  $\sim 150^\circ\text{C}$  to high hydrocarbon contaminant burn-off temperatures  $> 500^\circ\text{C}$ ), long lifespans, and resistance to poisoning.<sup>307</sup> Cu-ZSM-5 was initially introduced for  $\text{NH}_3$ -SCR in 1986<sup>87</sup> but falls short of meeting lifespan conditions owing to deactivation in tailpipe conditions.<sup>90,308,309</sup> Research indicates that zeolite catalysts with larger pores are more susceptible to the influence of hydrocarbon (HC) species, as demonstrated by several studies.<sup>310–312</sup> For instance, medium-pore zeolites like Cu-ZSM-5 and large-pore zeolites such as Cu-Beta tend to deactivate more readily compared to small-pore zeolites like Cu-SSZ-13.<sup>313</sup> This difference arises because the small-pore structure of Cu-SSZ-13 restricts the diffusion of HC species into the zeolites effectively. The unique small-pore configuration of Cu-SSZ-13, with channels approximately  $3.8 \text{ \AA}$  in diameter, minimizes the impact of long-chain hydrocarbons on its performance, as it restricts the entry of these molecules into the zeolite pores.<sup>313</sup>

Generally, small-pore zeolites containing metal ions (Fe, Cu, Co, Mn) are hydrothermally more stable than medium or large-pore zeolites.<sup>314,315</sup> The hydrothermal stability of Cu-CHA has been extensively studied recently and is considered a highly important criterion for effective  $\text{NO}_x$ -SCR<sup>316–319</sup> catalysts. Despite its activity, the evolution of Cu from an active to inactive form during  $\text{NO}_x$ -SCR is seriously problematic.<sup>320–322</sup>

Lee *et al.*<sup>323</sup> studied the  $\text{NH}_3$ -SCR performance and hydrothermal stability of Cu-SSZ-13, Cu-SSZ-5, Cu-Beta, and Cu-UZM-35 after treating at  $750^\circ\text{C}$ , and in the order as follows; Cu-SSZ-13  $>$  Cu-UZM-35  $>$  Cu-SSZ-5  $>$  Cu-Beta. A similar investigation was described by Kwak *et al.*<sup>324</sup>

Ryu *et al.*<sup>325</sup> studied the Cu-exchanged LTA zeolite (high silica polymorph) and demonstrated that LTA is a new contender to substitute for Cu-SSZ-13. They tested the Cu-LTA zeolite after hydrothermal ageing at a high temperature ( $900^\circ\text{C}$ ) under which commercial SSZ-13 deactivates. Also, they found that the local environment of  $\text{Cu}^{2+}$  in LTA is different from that in SSZ-13.  $\text{Cu}^{2+}$  is present at the centre of the 6-MR and not only acts as a catalytically active site but also as a suppressor of dealumination.

To examine the role of Brønsted acid site (BAS) strength after undergoing hydrothermal ageing, Wu *et al.*<sup>326</sup> performed *operando* electron EPR analysis on different Cu-exchanged zeolites. To ensure the catalyst's structural integrity while inducing dealumination, an ageing temperature of  $850^\circ\text{C}$  was chosen. This temperature effectively removes Al from the supports without causing complete structural damage. Upon comparing the levels of isolated  $\text{Cu}^{2+}$  ions in the hydrothermally aged catalysts, referred to as “HTA850,” with the fresh catalysts, it becomes apparent that ageing leads to a partial reduction in isolated  $\text{Cu}^{2+}$  ions due to the generation of EPR-silent Cu species like Cu-aluminates and  $\text{Cu}_x\text{O}_y$  clusters. The most significant reduction in isolated  $\text{Cu}^{2+}$  ions was observed in Cu/LTA, followed by Cu/CHA-b (Si/Al ratio = 10), Cu/CHA-a (Si/Al ratio = 17), and Cu/AEI.

Fig. 22a and b depict a comparison of the  $^{27}\text{Al}$  magic angle spinning (MAS)-NMR spectra between the HTA850 and fresh catalysts. In the fresh catalysts, there is a noticeable  $^{27}\text{Al}$  signal at approximately 50 ppm, indicating the presence of framework tetrahedral Al (AlTd) ions with a signal that appeared around 0 ppm which can be attributed to extra-framework octahedral Al (Al<sub>oh</sub>) species. Conversely, for HTA850 catalysts, all spectra exhibit an additional broad  $^{27}\text{Al}$  signal at around 30 ppm, indicating the presence of penta-coordinated Al (AlP) species. The order Cu/LTA  $>$  Cu/CHA-a  $>$  Cu/AEI  $>$  Cu/CHA-b in terms of hydrothermal stability suggests that hydrothermal stability relies on both the composition of the zeolite supports and the framework topology.

Additionally, Fig. 22c illustrates turnover rates derived from the first-order kinetic analysis of light-off SCR data. When comparing the effectiveness of Cu sites in aged Cu/LTA with those in aged Cu/AEI and Cu/CHA, a notable alignment is observed, indicating the significant role of zeolite Brønsted acid sites in regulating rates. By removing these sites through dealumination, their kinetic effect diminishes. The examination of turnover rates (TOR) values for the fresh and HTA850 catalysts at  $180^\circ\text{C}$  are shown in Fig. 20d, which shows that the aged catalysts maintain an atomic efficiency order: Cu/LTA  $>$  Cu/CHA-a  $>$  Cu/AEI  $>$  Cu/CHA-b, further supporting the importance of Brønsted acid sites in kinetics. Noteworthy is the migration of  $\text{Cu}^+$  ions initially located within the sterically distant sod cages of Cu/LTA to the 6-MRs at  $750\text{--}900^\circ\text{C}$ .



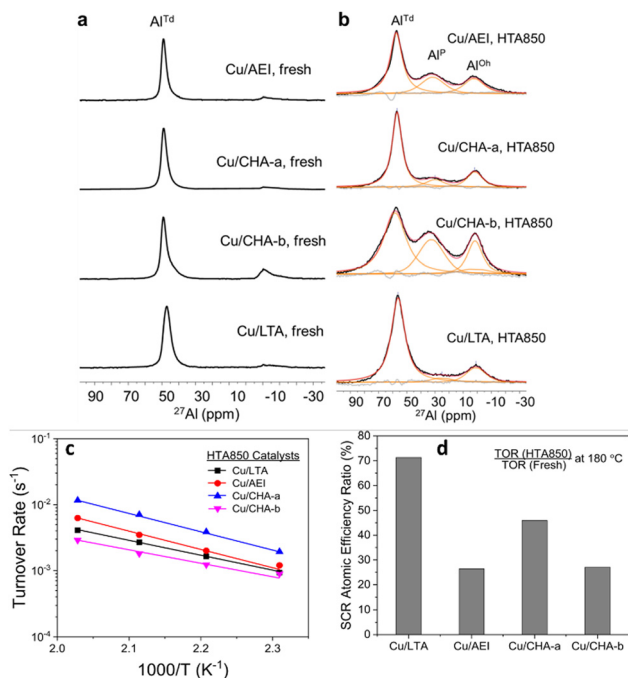


Fig. 22 (a) Spectra of fresh (a) and hydrothermally aged (b) Cu-zeolites. Arrange the turnover rates (TORs) as Arrhenius plots (c), and illustrate turnover rate (TOR) ratios at  $180^\circ\text{C}$  between freshly prepared catalysts and (d) those subjected to hydrothermal ageing. Reproduced from ref. 326 with permission from Springer Nature, copyright 2023.

This migration, coupled with oxidation to  $\text{Cu}^{2+}$  ions, contributes to the increase in low-temperature  $\text{NH}_3$ -SCR activity and Cu atomic efficiency observed in aged Cu/LTA as reported by Nak *et al.*<sup>327</sup> Thus, the zeolite cage topology emerges as a critical factor suggesting the sustained high Cu atomic efficiency in aged Cu/LTA.

Furthermore, Wu *et al.*<sup>326</sup> conducted an *operando* EPR study on fresh catalysts across a temperature range of  $50$  to  $350^\circ\text{C}$ , specifically examining temperatures of  $50$ ,  $150$ ,  $225$ , and  $350^\circ\text{C}$ . At  $50^\circ\text{C}$ , which is below the SCR light-off temperature, Cu ions are found exclusively in the  $+2$  oxidation state and are solvated by  $\text{NH}_3$ . This occurs due to ligand displacement from  $\text{H}_2\text{O}$  to  $\text{NH}_3$ , which binds more strongly to  $\text{Cu}^{2+}$  ions. The study reveals that not all  $\text{Cu}^{2+}$  cations exhibit the same level of mobility.  $\text{Cu}^{2+}$  ions with restricted mobility show anisotropic properties ( $g_{\parallel} = 2.25$ ,  $A_{\parallel} = 170$  G, high-field signals around  $3300$  G), whereas those with unrestricted mobility are isotropic ( $g = 2.18$ , high-field signals around  $3260$  G). The  $\text{Cu}^{2+}$  ions with restricted mobility likely have both  $\text{NH}_3$  and zeolite lattice oxygen ligands, while the freely mobile ions are associated with  $\text{Cu}^{2+}(\text{NH}_3)_4$  and  $\text{Cu}^{2+}(\text{OH})(\text{NH}_3)_3$  complexes.  $\text{NH}_3$ -solvated  $\text{Cu}^{2+}$  ions in Cu/LTA show greater mobility compared to those in Cu/AEI and Cu/CHA, resembling the mobility of  $\text{H}_2\text{O}$ -solvated  $\text{Cu}^{2+}$  ions. At  $50^\circ\text{C}$ , the formation of a mobile  $[\text{Cu}^{2+}(\text{NH}_3)_3(\text{NO}_3)]^+$  complex, as demonstrated by Negri *et al.*,<sup>328</sup> is unlikely under steady-state conditions since  $\text{Cu}^{2+}-(\text{NO}_x)$  y-type species only form when the catalyst is not fully covered by  $\text{NH}_3$ , as noted by Marberger *et al.*<sup>261</sup> Even if small amounts of  $[\text{Cu}^{2+}(\text{NH}_3)_3(\text{NO}_3)]^+$

complex form, its signal would be overwhelmed by the stronger signals of  $[\text{Cu}^{2+}(\text{NH}_3)_4]$  and  $[\text{Cu}^{2+}(\text{OH})(\text{NH}_3)_3]$ .

The hydrothermal ageing of the Cu-CHA catalyst resulted in a notable decline in  $\text{NH}_3$  oxidation at high temperatures.<sup>329</sup> The TPD profiles were primarily resolved into three peaks, centred at  $317^\circ\text{C}$ ,  $456^\circ\text{C}$ , and  $526^\circ\text{C}$ , respectively. Specifically, the intensity of the peak at  $317^\circ\text{C}$  increased with hydrothermal ageing, while the intensities of the peaks at  $456^\circ\text{C}$  and  $526^\circ\text{C}$  witnessed a decrease and, therefore, a reduction in the availability of active sites for  $\text{NH}_3$  oxidation. While the study did not explicitly address the effects of ageing on standard SCR activities, the decrease in  $\text{NH}_3$  oxidation suggests a potential indirect influence on the overall SCR activity of the Cu-CHA catalyst.

As noted, Cu-based zeolites have generally been considered to be the most efficient contender in the SCR of  $\text{NO}_x$ . However, zeolites *e.g.* ERI, AEI, CHA, and AFX have also been explored with Fe ions as an active site.<sup>330</sup> Some comparison regarding reaction kinetics for both Fe and Cu was made by Gao and co-workers.<sup>331</sup> Fresh Fe-zeolites are comparatively less active than Cu-zeolites under wet conditions in SSZ-13 as shown in Fig. 23.<sup>332</sup> However, on comparing the fresh and aged versions of both catalysts, the Fe-version retained more activity than the Cu-version after ageing at high temperatures (above  $500^\circ\text{C}$ ).

In another report, they also studied the effect of Fe on high silica LTA at a hydrothermal ageing temperature of  $900^\circ\text{C}$ ,<sup>333</sup> and investigated the stability and hydrothermal activity of LTA and compared with that of commercial SSZ-13. The hydrothermal stability of both catalysts was found to decrease at  $900^\circ\text{C}$ ; however, the extent of decrease in the case of Fe-LTA was smaller as compared to Fe-SSZ-13. Furthermore, the activity profile of Fe-LTA was much better than that of Fe-SSZ-13. The iron species, including  $\text{Fe}^{3+}$  and small oligomeric species such

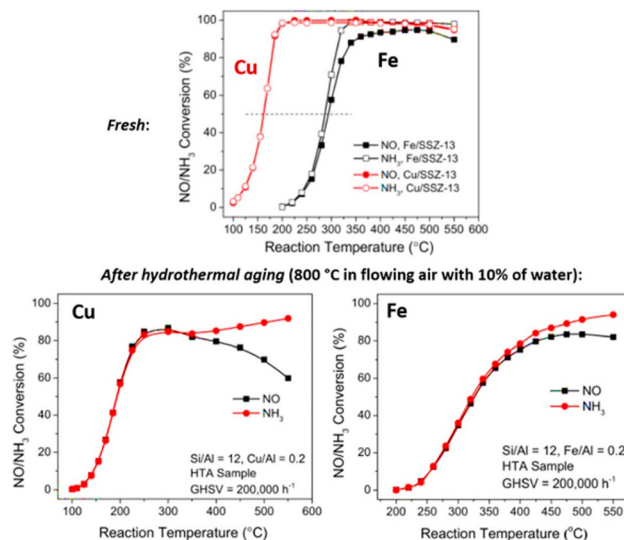


Fig. 23 A comparison between Cu-SSZ-13 and Fe-SSZ-13 was conducted under standard wet SCR conditions, both before (fresh) and after hydrothermal ageing. Details of the conditions are provided in the inset. Reproduced from ref. 332 with permission from American Chemical Society, copyright 2018.



as  $\text{Fe}_x\text{O}_y$ , present in Fe-LTA, exhibit greater stability compared to those in Fe-SSZ-13 owing to variations in the environment surrounding the Fe sites within the framework.

Ruggeri *et al.* examined the temperature dependence of hydrothermal ageing treatment and its influence on the duration of the Fe-zeolite catalyst.<sup>334</sup> They carefully examined the  $\text{NH}_3$  adsorption, oxidation, and its reaction with  $\text{NO}_x$ . The deactivation was very fast and the primary effect of hydrothermal ageing on the SCR reaction was the oxidation of  $\text{NH}_3$ .

The inclusion of Fe into small-pore zeolites leads to a hydrothermally stable  $\text{NH}_3$ -SCR catalyst.<sup>330</sup> However, conventional iron exchanged methodologies appear to be difficult as the size of the  $\text{Fe}^{3+}$  aqueous (hexahydrate) ions (9 Å) is bigger than the typical pore size of the zeolite (~3.8 Å)<sup>335</sup> which is also the case for the Cu hexahydrate. Martin *et al.*<sup>336</sup> investigated the nature of Fe-doped zeolites (prepared by the one-pot method) using different characterization techniques. They discovered that the material (Fe-zeolite) synthesised through a one-pot procedure exhibited better NO conversion and hydrothermal stability (due to the improved dispersion of Fe ions) as compared to the post-synthetic ion-exchange method. In addition, the same group prepared Fe-Beta zeolites using two different reaction media: (i) alkaline conditions and (ii) a fluoride medium for synthesizing iron-containing zeolites. A comprehensive investigation of the direct preparation of the Fe-containing material was conducted to gain insights into how the physicochemical conditions of the synthesized catalyst affect the SCR- $\text{NO}_x$  conversion and the hydrothermal stability under rigorous conditions.<sup>337</sup> The Fe-Beta zeolite, synthesized without alkali cations at a Si/Al ratio of approximately 13, exhibited high NO conversion (>90%) but suffered severe deactivation at high temperatures in steam. Conversely, Fe-CHA zeolites, prepared under alkaline conditions with the same Si/Al ratio using *N,N,N*-trimethyladamantylammonium as a template, demonstrated superior catalytic activity and high hydrothermal stability, particularly after selective removal of sodium cations.

Manganese-based ZSM-5 catalysts have been the subject of detailed investigation. Lou *et al.*<sup>338</sup> explored the impact of calcination on the performance of Mn-ZSM-5 catalyst activity for  $\text{NH}_3$ -SCR. They observed that at lower temperatures (<500 °C),  $\text{Mn}_3\text{O}_4$  and amorphous  $\text{MnO}_2$  were predominant, while at higher temperatures (600 °C),  $\text{Mn}_2\text{O}_3$  formed, which negatively affected the activity. The catalyst treated at 300 °C exhibited excellent performance which can be attributed to the presence of an abundance of amorphous  $\text{MnO}_2$ , and  $\text{Mn}_3\text{O}_4$ . Additionally, Zr-doped Mn-ZSM-5 catalysts achieved over 90%  $\text{NO}_x$  conversion at elevated temperatures, owing to enhanced Mn dispersion, increased Mn species on ZSM-5 surfaces, and the interaction between Mn and Zr.<sup>13</sup>

Sue *et al.*<sup>199</sup> conducted a comparative analysis of SSZ-13 (Si/Al = 10) and SAPO-34 (Si/P/Al = 0.19 : 0.63 : 1) during hydrothermal ageing at 800 °C, noting that SAPO-34 exhibits greater stability compared to SSZ-13. The findings indicated dealumination within the SSZ-13 zeolite, resulting in loss of crystallinity and a significant reduction in Brønsted acid site concentration. However, during hydrothermal ageing, both samples experience desilication (in SAPO-34) and dealumination (in SSZ-13).

## Catalyst poisons

Catalyst deactivation due to poisoning is a significant concern in industrial catalytic processes including  $\text{NH}_3$ -SCR of  $\text{NO}_x$  reduction, as it can lead to reduced efficiency and increased operational costs. Sulfur (S) poisoning is widely recognized and thoroughly investigated, with substantial literature detailing its mechanisms and effects.<sup>339–343</sup> However, other potential poisons, such as phosphorus and zinc, also merit consideration.<sup>8,344,345</sup> These elements, often introduced through contaminants in feedstocks or additives in lubricants, may influence catalyst performance and longevity. Although their impact is sometimes debated, understanding the breadth of catalyst poisons is crucial for developing more resilient catalytic systems. Sulfur oxides present in the exhaust gas can deactivate both metal oxide and metal cation-exchanged zeolite catalysts utilized in  $\text{NH}_3$ -SCR. Enhancing chemical poisoning tolerance, especially against  $\text{SO}_2$ , P, and alkali metals, is crucial for catalyst development. Despite thermal treatment's promise in recovering S-poisoned zeolites, steady-state  $\text{SO}_2$  poisoning remains problematic due to ammonium sulphate and copper bisulphite formation. Incorporating sacrificial metals shows potential for enhancing  $\text{SO}_2$  resistance.

The deposition of  $(\text{NH}_4)_2\text{SO}_4/\text{NH}_4\text{HSO}_4$  and metal sulfate can also block and impair active sites.<sup>346</sup> While  $(\text{NH}_4)_2\text{SO}_4/\text{NH}_4\text{HSO}_4$  deposition may undergo decomposition under specific conditions, the primary concern remains the irreversible chemical poisoning of active sites.

The Cu-CHA catalyst is susceptible to  $\text{SO}_2$  poisoning, leading to the formation of compounds like  $(\text{NH}_4)_2\text{SO}_4$  and  $\text{CuSO}_4$ , as well as the chemisorption of  $\text{SO}_2$  on isolated  $\text{Cu}^{2+}$  ion sites.<sup>347</sup> The main cause of  $\text{Cu}^{2+}$  deactivation is proposed to be the blockage caused by  $(\text{NH}_4)_2\text{SO}_4$  on the  $\text{Cu}^{2+}$  sites.<sup>348</sup> Cu-CHA is known to contain significant quantities of ZCuOH, making it prone to  $\text{SO}_2$  adsorption, resulting in severe poisoning through the reaction between ZCuOH species and  $\text{SO}_2$ .<sup>349</sup> Moreover, the external environment has also an obvious effect. For example, in dry conditions, weakly bound  $\text{SO}_2$  and copper sulfate are observed, while in humid environments, there is a higher content of copper sulfate.<sup>350</sup>

$(\text{NH}_4)_2\text{SO}_4$  formation is not considered the 'death knell' of the SCR catalyst however as it decomposes completely, restoring catalyst activity after treatment at 500 °C. However, the activity of Cu-CHA cannot fully return to its original level due to the high stability of the resultant copper sulfate. In the absence of oxygen, catalyst regeneration to overcome sulfur poisoning proves effective as it avoids the formation of stable sulfates and occurs at lower temperatures. However, in the presence of oxygen, ammonium sulfate converts into stable metal sulfates, posing an obstacle.<sup>351</sup>

In the case of deactivated Cu-ZSM-5 retaining crystallinity, there is a loss of framework Al due to their migration through the 10-MRs, coupled with copper mobility and sintering. However, Cu-SSZ-13 remains unaffected under the same conditions.<sup>186,308,309</sup> Nevertheless, there are substantial gaps in recognizing the precise deactivation process. The reason might be due to the small size of deactivating species that renders them difficult to determine by experimental techniques,



such as X-ray diffraction, while the presence of paramagnetic copper can disrupt locally sensitive methods like NMR. Hence, reconstructing the distribution of single ions in three dimensions is essential for a comprehensive understanding of deactivation processes.

Phosphorus poisoning in SCR catalysts, caused by engine oils like zinc dialkyldithiophosphate (ZDDP), is commonly considered irreversible and affects catalytic activity through physical and chemical mechanisms.<sup>352,353</sup> Studies on Cu-exchanged CHA catalysts<sup>345,354,355</sup> reveal phosphorus' dual role as a promoter and inhibitor, impacting both high- and low-temperature selectivity/conversion and hydrothermal stability. Phosphorus affects Cu ions differently, with P<sub>2</sub>O<sub>5</sub> and PO<sub>3</sub><sup>-</sup>/PO<sub>4</sub><sup>3-</sup> responsible for poisoning Z2Cu and ZCuOH, respectively.<sup>354</sup> Recent research<sup>356</sup> suggests that controlled phosphate addition can preserve zeolite frameworks and enhance SCR activity. Low phosphorus content may improve SCR performance.<sup>352</sup> While phosphorus has minimal impact on Cu/Fe catalysts, its co-addition with sodium significantly deactivates them.<sup>353</sup>

Addressing the challenge of maintaining catalyst activity in alkaline dust-containing conditions prevalent in industrial exhaust is essential. Such conditions, loaded with compounds including calcium, potassium, and sodium, can poison SCR catalysts, particularly V-based ones.<sup>357-359</sup> Mitigation strategies include increasing active phase content, periodic washing of poisoned catalysts, and designing optimized catalysts.<sup>360</sup>

### Understanding NH<sub>3</sub>-SCR mechanisms from experiments and theory

To construct a more unified understanding of the NH<sub>3</sub>-SCR mechanisms, it is essential to integrate insights from both experimental and theoretical studies, highlighting areas of agreement and pinpointing discrepancies that necessitate further investigation. The reviewed literature presents a complex picture of the SCR process, with multiple mechanisms proposed, particularly concerning the roles of Cu and Fe ions in zeolite frameworks. A key point of contention lies in the comparison between the L-H and E-R mechanisms. The NH<sub>3</sub>-SCR reaction is often described as following the L-H mechanism, where both NH<sub>3</sub> and NO<sub>x</sub> adsorb onto the catalyst surface before reacting. However, an alternative E-R mechanism suggests that pre-adsorbed NH<sub>3</sub> can react directly with gas-phase NO.

The coexistence of these mechanisms and their relative contributions under different conditions (*e.g.*, temperature, gas composition) remain areas of active research. Theoretical studies support the occurrence of both mechanisms, but the experimental evidence is sometimes conflicting, particularly regarding the dominance of one pathway over the other under specific reaction conditions. In Cu-based zeolites, the redox cycling between Cu<sup>+</sup> and Cu<sup>2+</sup> is critical for SCR activity. Experimental evidence, including XANES and operando UV-Vis spectroscopy, confirms the dynamic nature of Cu species under reaction conditions, with the Cu<sup>+</sup> to Cu<sup>2+</sup> transition being rate-limiting at lower temperatures. However, discrepancies arise regarding the exact nature of intermediate species, such as the

role of Cu-nitrate complexes and whether these intermediates are essential or merely spectators in the reaction. Some studies emphasize the importance of these complexes in the SCR cycle, while others argue that their role may be overstated, pointing to a need for more targeted experiments to clarify these points.

The behavior of Fe-based systems in NH<sub>3</sub>-SCR also presents unique challenges. The reaction mechanisms in Fe-zeolites differ significantly from those in Cu-zeolites, with Fe species showing a preference for higher temperature operations and different sensitivities to NO<sub>2</sub>/NO<sub>x</sub> ratios. Theoretical models suggest that the presence of Fe<sup>3+</sup> and FeO<sup>+</sup> species is crucial, yet the exact mechanistic details, such as the formation and decomposition of intermediates like NH<sub>2</sub>NO, remain under debate. The complexity of Fe species' behaviour, particularly in mixed Fe-Cu systems, adds another layer of uncertainty, with experimental data sometimes contradicting theoretical predictions.

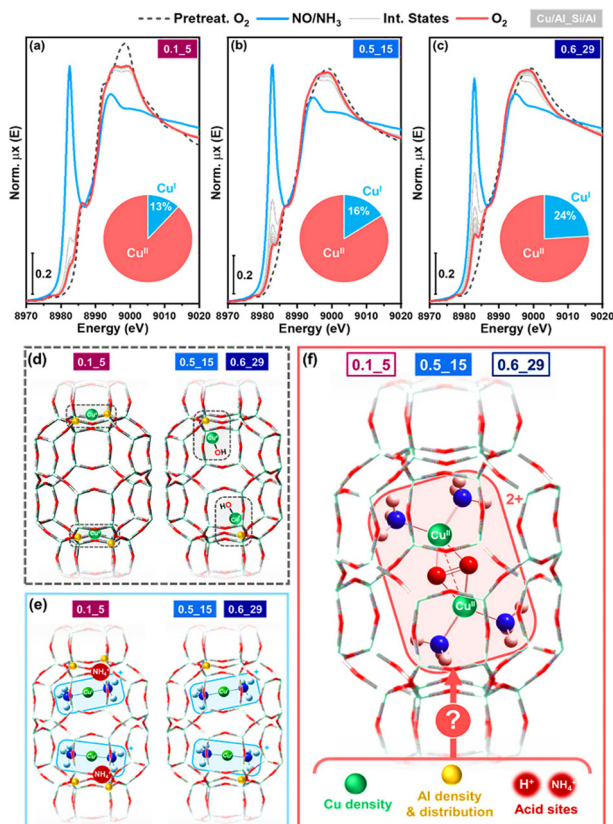
Water and sulfur poisoning are additional factors that complicate the NH<sub>3</sub>-SCR reaction. Water generally enhances low-temperature SCR activity by facilitating Cu ion mobility, while sulfur tends to poison active sites, leading to catalyst deactivation. The literature presents a unified understanding of the detrimental effects of sulfur, yet there are gaps as to how different poisoning mechanisms affect various zeolite frameworks and active sites. For example, the regeneration of sulfur-poisoned catalysts under different conditions remains an area where experimental results are inconsistent, indicating a need for more systematic studies.

### Machine learning in catalysis

The integration of machine learning (ML) techniques into the field of catalysis, particularly for the NH<sub>3</sub>-SCR, is assisting in the optimisation of catalytic processes. Martini *et al.*<sup>361</sup> utilise *in situ* XAS combined with wavelet transform (WT) analysis and ML-assisted fitting to investigate the effects of zeolite composition on the structure and reactivity of Cu-CHA catalysts. This approach enables a detailed assessment of the local coordination environment of copper ions during the catalytic cycle. The Si/Al ratio significantly influences the formation and stability of dicopper(II) complexes during the oxidation phase. Al-rich zeolites (low Si/Al ratio) promote a planar coordination motif with longer Cu-Cu distances, enhancing NO<sub>x</sub> conversion efficiency at low temperatures (200 °C). During the NH<sub>3</sub>-SCR process, Cu ions dynamically switch between framework-coordinated and mobile configurations.

The Cu density and its distribution within the zeolite framework are critical for effective O<sub>2</sub> activation, which is essential for the oxidation of Cu<sup>+</sup> to Cu<sup>2+</sup>. Fig. 24 illustrates the *in situ* Cu K-edge XANES for various Cu-CHA catalysts collected at 200 °C after pretreatment in O<sub>2</sub>, at the end of the reduction step, during and after the oxidation step. The Pi charts included show the Cu<sup>+</sup>/Cu<sup>2+</sup> percentages evaluated by XANES linear combination fitting (LCF) at the end of the oxidation step for each catalyst. This figure depicts the influence of zeolite composition on the structure of the Cu species during the NH<sub>3</sub>-SCR process, underscoring the role of the Si/Al ratio in dictating the catalytic efficiency.





**Fig. 24** *In situ* Cu K-edge XANES spectra for Cu-CHA catalysts: (a) 0.1\_5, (b) 0.5\_15, and (c) 0.6\_29. These spectra were collected at 200 °C following pretreatment in O<sub>2</sub>, after the reduction step, and after the oxidation step. The accompanying pie charts represent the relative percentages of Cu<sup>+</sup> and Cu<sup>2+</sup> species, as determined by XANES linear combination fitting (LCF) at the end of the oxidation step for each catalyst. Under the experimental conditions used, Cu<sup>+</sup> and Cu<sup>2+</sup> correspond to the complexes [Cu(NH<sub>3</sub>)<sub>2</sub>]<sup>+</sup> and [Cu<sub>2</sub>(NH<sub>3</sub>)<sub>4</sub>O<sub>2</sub>]<sup>2+</sup>, respectively. Panels (d)–(f) depict schematic representations of the predominant Cu species at each stage, highlighting the impact of catalyst composition and raising questions about the factors that influence the coordination environment in [Cu<sub>2</sub>(NH<sub>3</sub>)<sub>4</sub>O<sub>2</sub>]<sup>2+</sup> complexes, particularly in part (f). Reproduced from ref. 361 with permission from American Chemical Society, copyright 2022.

## Conclusions and outlook

This review has highlighted the complexity of the chemistry of transition metal exchanged zeolites used in the burgeoning field of NH<sub>3</sub>-assisted SCR of NO<sub>x</sub> reactions. Developing a comprehensive picture of the structure and mechanism in TMI exchanged zeolites is an essential step in understanding these catalytic reactions if it is to become possible to design the next generation of catalysts with improved performance metrics. To this end the identification of the most active TMI species, differentiating between the various monomer, dimer, and oligomers and their properties is clearly necessary although will be difficult to control synthetically.

Simulations reveal the dynamic nature of metal-ion active species at the molecular level, with the diffusion of the Cu ions being determined by the electrostatic binding to the zeolite framework, which shows how the zeolite framework controls

the movement of cationic species. Modelling studies, including the use of QM/MM techniques, can also give detailed information on mechanisms. QM/MM modelling of TMI zeolites for SCR is promising, offering detailed insights into catalytic mechanisms. In addition, quantum computing holds the potential to screen more pathways and consider realistic scenarios including temperature and solvent effects, advancing catalyst optimization for SCR. Conventional spectroscopic techniques including *in situ* and *operando* DRIFTS, XANES, EPR, and DR-UV-vis, can provide direct evidence regarding, for example, NH<sub>3</sub> solvation on isolated metal sites by detecting the change in the metal coordination environment, but currently lack the resolution or sensitivity to unravel detailed mechanistic steps. However, modern interrogation and analysis methods utilising modulated excitation (ME) or MCR have become increasingly popular and available ‘seeing’ more of the transient metal ion species present at various stages of reaction.

MCR is useful in catalytic studies where multiple transient species coexist. However, ME improves transient species detection by modulating external parameters and analysing system responses with phase-sensitive detection. For example, the ME technique can detect changes in metal ion coordination during NH<sub>3</sub> solvation, offering detailed information on solvation effects in catalysis. The combination of experiment and theory appears to be critical for detailed mechanistic insight.

The main obstacle for zeolite-based SCR reactions is their durability under hydrothermal conditions, although metal ions containing small-pore zeolites are consistently more hydrothermally stable than medium or large-pore materials. Alas, there are few small pore zeolites and none whose performance matches that of CHA, AEI and LTA for NH<sub>3</sub>-SCR. The salient structural characteristics of these active topologies comprise 6-MR and 8-MR leading to large cages and hence future zeolite topology synthesis strategies could consider using synthesis conditions (*i.e.* templates and Si/Al > 15) known to favour the formation of small pore topologies. However, the design of reaction-specific zeolites is still a long way from being a reality and even if new topologies could be obtained there is little assurance that they will fulfil all the diverse operation requirements *i.e.* exhibiting high activity at low temperatures with stability at high (hydrothermal) temperatures. It is interesting to consider the need for further evolution and understanding of zeolite-based NH<sub>3</sub>-SCR catalysts considering the transition away from liquid fuels particularly in Europe by 2035. Here we note that less developed countries will find it harder to decarbonise and the use of liquid fuels is expected to continue as the population grows and the quality of life improves hence there remains a need to continue researching this field. Furthermore, static NO<sub>x</sub> emissions from *e.g.* nitric acid plants will also continue to grow in order to support population growth again requiring mitigation using SCR technologies. Heavy-duty vehicles requiring more torque than what electrical powertrains typically provide are also being investigated to run off hydrogen internal combustion engines (H<sub>2</sub>-ICE) which will also require NO<sub>x</sub> emission mitigation.



A detailed understanding of the structures and dynamics of transition metal cationic species through the combination of experimental and computational modelling will be essential in understanding TMI as catalytic sites in zeolites and in gaining further mechanistic insight into the NH<sub>3</sub>-SCR reaction.

## Author contributions

The manuscript was drafted by JAN and was reviewed, edited and modified by CRAC and AB.

## Data availability

No primary research results, software or code have been included and no new data were generated or analysed as part of this review.

## Conflicts of interest

There are no conflicts to declare.

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