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## 1 Introduction

Based on the possibility of harnessing renewable energy to form chemical products and to reduce the environmental impact of the current petrochemical-based industry, extensive research has accelerated the development of better catalysts for the efficient  $CO_2$  conversion into valuable products.<sup>1</sup> Copper based catalysts are well known for their high activity.<sup>2-4</sup> Besides copper, oxygen plays an important role in these catalysts, *e.g.*, at the copper metal-oxide interface in thermal catalysis<sup>5,6</sup> or in electrocatalysis using oxide derived copper,<sup>7–9</sup> where the subsurface oxygen helps to stabilize the activated (chemisorbed) bent  $CO_2$  on the catalyst surface<sup>10</sup> and can enhance the formation of

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The interaction of CO<sub>2</sub> with copper oxide clusters of different size, composition, and charge is investigated *via* infrared multiple-photon dissociation (IR-MPD) spectroscopy and density functional theory (DFT) calculations. Laser ablation of a copper target in the presence of an O<sub>2</sub>/He mixture leads to the preferred formation of oxygen-rich copper oxide cluster cations,  $Cu_xO_y^+$  (y > x;  $x \le 8$ ), while the anionic cluster distribution is dominated by stoichiometric (x = y) and oxygen-deficient (y < x;  $x \le 8$ ) species. Subsequent reaction of the clusters with CO<sub>2</sub> in a flow tube reactor results in the preferred formation of near-stoichiometric  $Cu_xO_y(CO_2)^{+/-}$  complexes. IR-MPD spectroscopy of the formed complexes reveals the non-activated binding of CO<sub>2</sub> to all cations while CO<sub>2</sub> is activated by all anions. The great resemblance of spectra for all sizes investigated demonstrates that CO<sub>2</sub> activation is largely independent of cluster size and Cu/O ratio but mainly determined by the cluster charge state. Comparison of the IR-MPD spectra with DFT calculations of the model systems Cu<sub>2</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup> and Cu<sub>3</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup> shows that CO<sub>2</sub> activation exclusively results in the formation of a CO<sub>3</sub> unit. Subsequent CO<sub>2</sub> dissociation to CO appears to be unfavorable due to the instability of CO on the copper oxide clusters indicating that potential hydrogenation reactions will most likely proceed *via* formate or bicarbonate intermediates.

 $C_{2+}$  products. It is worth noting that copper-oxide itself is also catalytically active, *e.g.*, in oxidative dehydrogenation of alcohols,<sup>11</sup> propylene partial oxidation,<sup>12</sup> or in electrocatalysis for oxygen evolution reaction.<sup>13</sup> Copper-oxygen intermediates often play an important role in different oxygenation and oxidation reactions, including biologically important cases.<sup>14</sup>

Nanoparticles or clusters are promising catalyst candidates on their own, as well as model compounds whose investigation provides invaluable information to understand the influence of the active site composition and charge in bulk catalysts.<sup>15</sup> Accordingly, (sub-)nanometer copper oxide clusters have become popular in heterogeneous catalysis because of their catalytic activities in selective oxidation reactions, e.g., in the reduction of NO and CO2,16 or the methane-to-methanol conversion.<sup>17</sup> It has also been shown that copper oxide nanoparticles effectively catalyze the formation of environmentally hazardous molecules, such as polychlorinated dibenzo-pdioxins and dibenzofurans.<sup>18</sup> Amorphous Cu(II) oxide nanoclusters are reported to be highly active for producing CO both in photo- and electrocatalysis.<sup>19</sup> Alumina-supported Cu<sub>4</sub> clusters were found to catalyze the CO<sub>2</sub> hydrogenation to methanol with an exceptionally high turn-over frequency.<sup>20</sup>

Rooted in the central role that metal nanoparticles have in heterogeneous catalysis, fundamental research in physical chemistry has provided a set of tools to study simplified

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systems such as metal nanoparticles and an important subclass constituted by metal clusters. These model systems are characterized by their exact elementary composition and charge state.<sup>21</sup> Isolated copper oxide clusters were investigated experimentally by negative ion photoelectron spectroscopy,<sup>22</sup> ionmobility<sup>23</sup> and thermal desorption mass spectrometry.<sup>24</sup> Quantum chemical calculations were carried out for certain charged and neutral copper oxide clusters.<sup>23,25–29</sup>

Gas-phase vibrational spectroscopy in conjunction with theoretical first-principles calculations is a useful tool to reveal the structure of metal clusters and their reaction products and thus provides insight into the binding and activation of small molecules.<sup>15,30</sup> In the present work, we have employed these techniques to study the potential activation of CO<sub>2</sub> by free copper oxide clusters as a function of charge state (+1, -1) as well as cluster size and composition (Cu<sub>x</sub>O<sub>y</sub><sup>+</sup> with x = 1-6 and Cu<sub>x</sub>O<sub>y</sub><sup>-</sup> with x = 1-3).

### 2 Methods

### 2.1 Experimental methods

Copper oxide clusters were produced *via* laser ablation of an isotopically enriched <sup>65</sup>Cu foil in the presence of a 1% O<sub>2</sub>/He mixture and subsequently reacted with 1.7% CO<sub>2</sub>/He mixture in an adjacent flow tube reactor, which was held at room temperature throughout the experiments. The reaction mixture was then expanded into vacuum forming a molecular beam before entering the intracavity region where it was irradiated by the IR laser beam of the Free-Electron Laser for Intra Cavity Experiments (FELICE, 240–1800 cm<sup>-1</sup>; 10 µs pulse duration, spectral bandwidth 0.5% FWHM of the central wavenumber) crossing it at an angle of 35°. A few µs after the interaction with FELICE, all clusters were extracted into a reflectron time-of-flight mass spectrometer by a set of pulsed high voltage plates and detected with a microchannel plate detector.<sup>31,32</sup>

To correct for long-term source fluctuations, the experiment was operated at twice the FELICE repetition rate, allowing for the recording of reference mass spectra in between successive FELICE pulses. Whenever FELICE was in resonance with an IR active vibrational mode of a given cluster, multiple IR photons were absorbed sequentially, leading to heating of the complex and finally to its fragmentation. The IR-MPD spectra shown in this contribution represent the depletion yield  $Y(\tilde{\nu})$  at wavenumber  $\tilde{\nu}$ , calculated as  $Y(\tilde{\nu}) = -\ln[I(\tilde{\nu})/I_0]$ , where  $I(\tilde{\nu})$  and  $I_0$  are the mass peak intensities with and without laser light, respectively. To reduce the IR fluence with which the complexes are irradiated, the whole instrument can be translated up to 300 mm from the focus position leading to a 30-fold reduction in intensity but increased overlap between the laser and molecular beam and thus an increased signal to noise ratio. All spectra presented in this work were recorded between 300 and 290 mm from the focus. To further reduce the IR fluence and increase the spectral resolution, the overlap between laser and molecular beams can be purposely misaligned such that the molecular beam only observes the lower intensity part of the laser beam. Information on this position is given in the caption of Fig. 3 below.

For comparison between the experimental and theoretical spectra, the intensity of the latter were divided by the wavenumber, which we find leads to a better match of relative intensities. We rationalize this as due to the inverse proportionality of the laser's spectral brightness to wavenumber, mirroring the proportionality of the spectral bandwidth to wavenumber (*cf.* Section S1 of the ESI†).

#### 2.2 Theoretical methods

The search for the global minimum bare cluster geometries of  $Cu_2O_4^-$  and  $Cu_3O_4^-$  (which were chosen as representatives for the experimentally investigated clusters) was performed using the CALYPSO program,<sup>33</sup> a swarm-intelligence based structure prediction method, interfaced with the Gaussian 16<sup>34</sup> package for local structure optimization in different spin states. For the structure relaxation, the geometry optimizations were carried out using the LANL2DZ basis set and BP86 functional without any symmetry constraint. Further optimization and analytical second derivatives of the molecular energy with respect to the nuclear coordinates were computed on the optimized structures obtained from CALYPSO to confirm that each located structure had no imaginary vibrational frequency, *i.e.* true minima are located. For the post-optimization of the CALYPSO found bare clusters, the def2-TZVPD basis set and the TPSSh functional were used, implemented in the Q-Chem<sup>35</sup> software. While the polarized triple-ζ quality def2-TZVP basis set has been found to yield accurate CO<sub>2</sub> binding energies for different (doped) copper clusters,<sup>36,37</sup> here we also included diffuse functions to ensure the adequate description of the anion electronic structures.

To systematically investigate carbon dioxide adsorption in different binding modes to low-energy bare copper-oxide clusters, we used an in-house code as *e.g.* in ref. 36. In the binding modes considered, CO<sub>2</sub> was either non-activated (in  $\eta_1(O)$  binding mode), activated (in  $\eta_2(C,O)$  binding mode; activation is evidenced from a bending of the CO<sub>2</sub> moiety and an increased CO distance), or dissociated – decomposing into CO and O. The ESI,† specifically section S6, provides comprehensive data on the energies, spin multiplicities and XYZ coordinates.

### 3 Results and discussion

### 3.1 Formation and reactivity of copper oxide clusters

Fig. 1 displays typical copper oxide cluster distributions for cationic (Fig. 1a) and anionic (Fig. 1b) species as color map representations. Under these cluster production conditions,  $Cu_xO_y^+$  cations with up to eight copper and nine oxygen atoms are formed and the distribution is dominated by highly oxidized (y > x) clusters. In contrast, the size distribution of anionic  $Cu_xO_y^-$  clusters is narrower, and the mass spectrum is dominated by stoichiometric (x = y) and slightly oxygen-rich clusters for  $x \le 4$  as well as oxygen-deficient (y < x) clusters for x > 4. In addition, while  $Cu^+$  and  $CuO_y^+$  (y = 2,4,6) are formed in considerable amounts, the anionic counterparts are hardly produced.

Subsequent reaction of the clusters with  $CO_2$  in a flow tube reactor typically leads to the adsorption of one or two  $CO_2$ 



Fig. 1 (a) and (b) Mass spectral intensities of the (a) cationic and (b) anionic copper oxide cluster distributions produced *via* laser ablation (intensities are given in arbitrary units). The black lines in (a) and (b) indicate stoichiometric clusters  $Cu_x O_y^{+/-} (x = y)$ . (c) and (d) Mass spectra obtained after reaction of (c) cationic and (d) anionic clusters with  $CO_2$  in a flow tube reactor. The colored indicators identify the mass peaks of  $Cu_x O_y^{(CO_2)^{+/-}}$ . (e) and (f) Adsorption efficiency for (e) cationic and (f) anionic clusters calculated with eqn (1).

molecules. Fig. 1c and d display mass spectra obtained for (c) cationic and (d) anionic clusters showing that  $Cu_x O_y (CO_2)^+$ , products are mainly formed for oxygen deficient and near stoichiometric cationic clusters, while products of highly oxidized clusters (y > x + 2) are not observed in notable quantities. This can be either caused by the inherent inactivity of these clusters towards CO<sub>2</sub> or by reaction via O<sub>2</sub>/CO<sub>2</sub> exchange (Cu<sub>x</sub>O<sub>y</sub><sup>+</sup> + CO<sub>2</sub>  $\rightarrow$  $Cu_xO_{\nu-2}(CO_2)^+ + O_2$ . In any case, this shows that  $Cu_xO_{\nu}(CO_2)^+$ complexes with excess oxygen content are rather unstable. In case of anionic clusters only few  $Cu_x O_y (CO_2)^-$  products with sufficient intensity are produced. This is on the one hand caused by the narrower size distribution of the bare  $Cu_xO_y^-$  clusters (Fig. 1b) but on the other hand the mass spectra also show that  $Cu_rO_v(CO_2)^-$  products are mainly formed on a much smaller number of clusters, limited to near-stoichiometric clusters (with  $x \le 3$  and  $y \le x + 1$ ), while larger clusters (with x > 3) appear to be rather unreactive. This is also illustrated in a color map representation (Fig. 1e and f) showing the adsorption efficiency (AE) calculated as

$$AE = \frac{\sum_{z} I(Cu_{x}O_{y}(CO_{2})_{z})}{I(Cu_{x}O_{y}) + \sum_{z} I(Cu_{x}O_{y}(CO_{2})_{z})}$$
(1)

where *I* denotes the intensity of the mass peak as extracted from the mass spectrum.

# 3.2 IR-MPD spectra of cationic and anionic $Cu_xO_y(CO_2)$ complexes

Although mass spectrometry can provide information on the overall reactivity, it does not give insight into the potential activation of  $CO_2$  or bonding motifs. Therefore, we have additionally probed the formed  $Cu_xO_y(CO_2)$  complexes *via* IR-MPD spectroscopy.

Free CO<sub>2</sub> is linear and has four normal modes: the symmetric ( $\nu_1$  = 1333 cm<sup>-1</sup>) and asymmetric ( $\nu_3$  = 2349 cm<sup>-1</sup>)

stretching vibration and the doubly degenerate bending vibration  $(\nu_2 = 667 \text{ cm}^{-1})$ .<sup>38</sup>  $\nu_1$  and the first overtone of  $\nu_2$  couple into a Fermi resonance and instead of a single peak at 1333 cm<sup>-1</sup> typically a pair of peaks is observed at 1388 cm<sup>-1</sup> and 1285 cm<sup>-1</sup> ( $\nu_+$  and  $\nu_-$ ).<sup>39-42</sup> Although IR-inactive for gas-phase CO<sub>2</sub>, the Fermi dyad can become IR-active due to symmetry breaking when CO<sub>2</sub> is weakly adsorbed on extended metal oxide surfaces<sup>43</sup> or clusters.<sup>44,45</sup> In contrast, if CO<sub>2</sub> is activated by partial electron transfer from the (cluster) surface, the C–O bonds weaken and the molecule adopts a bent geometry.<sup>46,47</sup> This change of geometry results in the breakdown of the Fermi dyad and a red-shift of the asymmetric stretching mode – depending on the binding geometry – by several hundreds of wavenumbers.<sup>30,48–50</sup> Thus, weak adsorption of CO<sub>2</sub> on a (cluster) surface can be clearly distinguished from activated adsorption *via* infrared spectroscopy.

Fig. 2 displays the IR-MPD spectra of cationic and anionic  $Cu_rO_v(CO_2)$  complexes in the 1050–1800 cm<sup>-1</sup> spectral region which is most diagnostic for the activation of the attached CO<sub>2</sub>. The left column shows that for all cationic complexes two peaks centered at 1225-1278 cm<sup>-1</sup> and 1372-1393 cm<sup>-1</sup> (the exact position slightly depends on the cluster size) are observed (indicated by blue dashed lines). The spectral position of these bands is close to the frequency of the characteristic Fermi dyad of the unperturbed CO<sub>2</sub>, which indicates a linear CO<sub>2</sub> adsorption geometry and only a small perturbation of the CO<sub>2</sub> molecule upon interaction with the clusters.44 Since metal-oxygen vibrations<sup>51</sup> are typically found below 1100  $\text{cm}^{-1}$  the remaining strong bands shown in the IR-MPD spectra most likely arise from motions of non-activated<sup>52-54</sup> (1400–1550 cm<sup>-1</sup>) or activated<sup>52-57</sup>  $(<1200 \text{ cm}^{-1}) \text{ O}_2$ . Due to the large amount of bare clusters as well as CO<sub>2</sub> complexes in the mass spectrum (with potentially overlapping fragmentation channels) it is not easy to trace fragmentation pathways for all of the clusters. However, for some complexes such as  $(Cu_2O_3(CO_2)^+, Cu_2O_4(CO_2)^+, Cu_3O_4(CO_2)^+)$  we find fragmentation via loss of O2 units indicating the rather weak

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binding of O<sub>2</sub> in agreement with the observation of the bands around 1500 cm<sup>-1</sup> that are indicative for a non-activated O<sub>2</sub> unit. In addition, a low intensity band at around 1190–1200 cm<sup>-1</sup> is present. A similar band was previously also observed for  $Cu_x(CO_2)^+$  and assigned to the antisymmetric CO<sub>2</sub> stretching mode ( $\nu_3 = 2349$  cm<sup>-1</sup> for free CO<sub>2</sub>).<sup>38</sup> This band can potentially become visible at around 1200 cm<sup>-1</sup> due to the likely presence of second harmonic radiation of the free electron laser.<sup>45</sup>

In marked contrast, the IR-MPD spectra of the anionic complexes (Fig. 2, right column) do not show the Fermi dyad (indicated by blue dashed lines) but instead two bands are observed, centered around  $1150 \text{ cm}^{-1}$  and  $1680 \text{ cm}^{-1}$ , respectively. These two bands fall in the range where CO<sub>2</sub> stretching vibrations were observed earlier for bent CO<sub>2</sub> geometries and thus indicate the activation of CO<sub>2</sub> *via* electron transfer.<sup>30,48–50</sup> It should be noted that in case of the anionic complexes, the assignment of fragmentation channels is more difficult, but *e.g.* for Cu<sub>2</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup> we have indications that fragmentation also occurs *via* loss of O<sub>2</sub>. Based on these observations we conclude that in the investigated cluster size (number of Cu atoms) and composition (Cu/O ratio) range, cluster charge is the decisive parameter for CO<sub>2</sub> activation. Although cluster size and composition to some extend affect the

1,2 1,2

2.4 3.3

3,5

55

6,4

1,3 1,3

2,3

2,3 2,4

3.4 3.4

1200

1500

wavenumber / cm<sup>-1</sup>

/ield / a.u.

1800



reactivity towards  $CO_2$  (*cf.* Fig. 1) they seem to play only a minor role in the activation of  $CO_2$ .

To gain more insight into the CO<sub>2</sub> activation and bonding to anionic copper oxide clusters we will in the following discuss the IR-MPD spectra of Cu<sub>2</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup> and Cu<sub>3</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup> in more detail, serving as representatives for all Cu<sub>x</sub>O<sub>y</sub>(CO<sub>2</sub>)<sup>-</sup> species.

### 3.3 $CO_2$ activation by $Cu_2O_4^-$ and $Cu_3O_4^-$ – computations

Fig. 3 displays the IR-MPD spectrum of Cu<sub>2</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup> (gray shaded spectrum) exhibiting six bands (labeled I-VI). As discussed above, bands I (centered at 1681 cm<sup>-1</sup>) and II (1154 cm<sup>-1</sup>) are likely due to vibrations of a bent CO<sub>2</sub> and band III is likely due to the O–O stretch of a superoxide-like  $O_2$  unit (1057 cm<sup>-1</sup>, cf. 1090 cm<sup>-1</sup> for free O<sub>2</sub><sup>-</sup>).<sup>58</sup> An intense structural search, from which we present the detailed product structures below, revealed that  $CO_2$  is activated by  $Cu_2O_4^-$  via exclusive formation of a  $CO_3$ unit (a formal adduct of an oxide ion and  $CO_2$ ), which can bind in different ways to the cluster. It should be noted, that in the structural search also isomers with activated bent CO<sub>2</sub> bound to one of the Cu atoms were considered. However, such a structure was found to be considerably higher in energy (2.36 eV; cf. Fig. S2, ESI<sup>†</sup>). In the structural search CO<sub>2</sub> dissociation into carbon monoxide was also considered, but this appears to be unfavorable: the lowest energy isomer containing a copper bound CO was found 3.55 eV higher in energy than the located lowest energy isomer with an intact CO<sub>2</sub>. Furthermore, for all investigated structures the doublet spin state is lower in energy than the quartet. Accordingly, unless otherwise stated, only the doublet state isomers are discussed below.

The lowest energy isomer for  $Cu_2O_4(CO_2)^-$  (isomer 2,4,1-a in Fig. 3) is based on the bent chain-like O<sub>2</sub>-Cu-O-Cu-O cluster that we identified as the lowest energy isomer of the bare cluster (Fig. S5 (ESI<sup>+</sup>); a similar but more linear structure has previously been reported<sup>59</sup>) with the  $CO_2$  molecule forming a  $CO_3$  unit with the terminal oxygen atom. The resulting  $CO_3$ group is  $\eta^2(O,O)$  bound to one of the Cu atoms leading to a stabilization of 1.54 eV relative to the reactants  $(Cu_2O_4^- + CO_2)$ . However, the properties of this metal-bound CO<sub>3</sub> deviate from those of the unperturbed, highly symmetric  $(D_{3h})$  carbonate ion. Here, the trigonal planar geometry is distorted with an internal O–C–O angle of  $109^{\circ}$  as well as a charge of -0.86 e (cf. Fig. S5, ESI<sup>†</sup>), both significantly affecting the vibrational frequencies. Morgan and Staats, in analyzing the IR spectra of copper carbonate dilute solid solution in potassium-halides,<sup>60</sup> have noted the significant difference between the observed 1785 and 1265  $\text{cm}^{-1}$  frequencies and the 1415  $\text{cm}^{-1}$  frequency of the doubly degenerate asymmetric stretching vibration of the naked carbonate dianion, attributing the splitting to the lowered symmetry. They tentatively attributed their 1785 cm<sup>-1</sup> vibration to a C=O stretch in an O=COO resonance structure (the two oxygens bound to C by a single bond interacting with Cu). In agreement, our calculations show that the degenerate E' pair of  $CO_3^{2-}$  (1292 cm<sup>-1</sup>) is split in two components for 2,4,1-a (1202 cm<sup>-1</sup> and 1676 cm<sup>-1</sup>; see also Fig. S3, ESI<sup>+</sup>). Considering the different charges in the systems (carbonate dianion and 2,4,1-a monoanion) the numerical frequency values are not

Yield / a.u.



**Fig. 3** IR-MPD spectrum (in gray) of  $Cu_2O_4(CO_2)^-$  together with calculated vibrational spectra (in blue) of several isomeric structures (computed relative energies in eV are given between parentheses). The experimental spectrum was measured in two independent runs (1800–660 cm<sup>-1</sup> and 800–240 cm<sup>-1</sup>, with the former range recorded at reduced FELICE fluence). To obtain an intensity match of the peaks in the overlapping spectral region the depletion yield of the 800–240 cm<sup>-1</sup> spectrum was multiplied by a factor 0.6. The intensities of the calculated spectrum were scaled the wavelength (*cf.* methods section). The red dashed peak corresponds to the O–O stretching mode resulting from the scaling with a factor of 0.921, the shift is indicated by a black arrow. Cu, C, and O atoms are depicted as yellow, black, and red spheres, respectively.

directly comparable, but the qualitative similarity is clear. Likewise, the experimental observations of the neutral coppercarbonate in the potassium-halide matrix are not directly comparable with the frequencies of the gas phase anion  $Cu_2O_4(CO_2)^-$ . Nevertheless, qualitatively, it is clear that the observed spectrum is in accordance with the activation of  $CO_2$ , resulting in a distorted  $CO_3$  structure and the observed frequency near 1700 cm<sup>-1</sup> is a clear indication for a C=O double bond.

The calculated vibrational spectrum itself is shown in light and dark blue lines in Fig. 3, where the light blue part of the spectrum is vertically scaled by a factor 0.2 to improve visibility. This calculated spectrum provides a very reasonable match for all experimentally observed bands, except for band III, although the frequencies of bands IV–VI seem somewhat underestimated by the calculations. Analysis of the distortion vectors reveals that the modes calculated at 1676 cm<sup>-1</sup>, 1202 cm<sup>-1</sup>, and 998 cm<sup>-1</sup> correspond to the C–O stretching vibration (matching band I), the asymmetric (band II) and the symmetric (lowfrequency shoulder of band III) OCO stretching vibrations of the CO<sub>3</sub> unit, in accordance with the qualitative discussion above, while the mode at 1152 cm<sup>-1</sup> arises from the O–O stretching vibration of the  $\eta^2$ -bound O<sub>2</sub> unit. All other modes mainly arise from vibrations of the cluster core. Two linear but non planar structures with the same cluster and CO<sub>3</sub> binding motif were found to be only 0.04 eV and 0.24 eV, respectively, higher in energy (isomers 2,4,1-e and 2,4,1-f – multiplicity 4 – in Fig. S2, ESI†). These isomers have similar vibrational spectra but fail to explain bands V and III.

A second more compact structure (isomer 2,4,1-b, +0.39 eV) consists of a rhombic  $Cu_2O_2$  cluster with  $O_2 \eta^2$ -bound to one of the Cu atoms and the CO<sub>2</sub> bridge bound to the Cu–O, forming a CO<sub>3</sub> unit. This isomer has a similarly good match for bands I (C-O stretching vibration predicted at 1696 cm<sup>-1</sup>) and II (two modes predicted at 1162 cm<sup>-1</sup> and 1168 cm<sup>-1</sup> both arising from coupled asymmetric OCO and O-O stretching motions), but fails to reproduce the low-frequency part of the spectrum (mainly vibrations of the cluster core), including band III. We found two more structures with a similar CO<sub>3</sub> binding motif (isomers 2,4,1-g,h in Fig. S2, ESI<sup>†</sup>). Both structures are even higher in energy (0.51 eV and 0.83 eV) and the computed harmonic vibrational frequencies and intensities are not in agreement with the IR-MPD spectrum either. Insertion of the CO2 molecule into a chain-like Cu2O4-core results in isomer 2,4,1-c. Such a structure can, however, be excluded based on a mismatch with bands I and II and a rather intense band predicted at 1343 cm<sup>-1</sup> (asymmetric OCO stretching vibration) which is not observed in the IR-MPD spectrum. Finally, we found an isomer (2,4,1-d; +0.56 eV) containing an ozone-like O<sub>3</sub> and a CO<sub>3</sub> unit, for which the vibrational spectrum is largely in disagreement with the experimental spectrum.

Thus, we conclude that the IR-MPD spectrum is best described by isomer 2,4,1-a, although some of the calculated frequencies are shifted compared to the experimental ones. The largest question mark is for the mismatch for band III. To address this, we take a closer look at the O-O stretch vibration, calculated at 1152 cm<sup>-1</sup>. In previous studies it was shown that DFT calculations tend to overestimate the O-O bond strength of  $\eta^1$  and  $\eta^2$ -bound  $O_2$  units.<sup>52,53,55,61</sup> For manganese oxide clusters with an experimental O-O stretching vibration around 1200 cm<sup>-1</sup> a moderate frequency scaling factor of 0.9806 was required to match the experimental data.<sup>55</sup> For gold oxides experimental O-O stretching vibrations were observed around 1060 cm<sup>-1</sup> (close to frequency of band III) and significantly stronger scaling (0.907-0.936) was required.<sup>52,53,61</sup> If we scale the frequency of the O-O stretching vibration of isomer 2,4,1-a by a factor of 0.921 (the average of 0.907 and 0.936) it matches band III very well, as indicated by the red dashed band and black arrow in Fig. 2. In case of isomer 2,4,1-b such a scaling is difficult since both modes predicted at 1162 cm<sup>-1</sup> and 1168 cm<sup>-1</sup> are not pure O-O stretching vibrations but arise from

coupled asymmetric OCO and O–O stretching motions. For isomer **2,4,1-c** scaling clearly leads to an even poorer match. To conclude, we assign the spectrum recorded for the  $Cu_2O_4(CO_2)^-$  cluster to structure **2,4,1-a**, under the assumption that the frequency of the O–O stretching vibration is significantly overestimated by the calculations.

Next, we have investigated the complex  $Cu_3O_4(CO_2)^-$  in more detail. Fig. 4 shows the IR-MPD spectrum (in gray) and the calculated vibrational spectra of selected isomers (more structures and spectra can be found in Fig. S4, ESI†). The IR-MPD spectrum exhibits eight bands (labeled I-VIII). Similar to  $Cu_2O_4(CO_2)^-$  bands I and II are characteristic  $CO_2$  bands, but band I is somewhat blue shifted (to 1717 cm<sup>-1</sup>) with respect to the corresponding  $Cu_2O_4(CO_2)^-$  band. Based on our analysis for  $Cu_2O_4(CO_2)^-$ , band III can be tentatively assigned to the O– O stretching vibration of a super- or even peroxo-like  $O_2$  unit.

Our structural search revealed thirteen isomeric structures, all differing by an energy of up to about 1 eV and, similar to  $Cu_2O_4(CO_2)^-$ , all containing a  $CO_3$  unit. Once more, as with  $Cu_2O_4(CO_2)^-$ , an isomer with metal-bound activated  $CO_2$  is, at 2.34 eV, considerably higher in energy than the lowest energy isomer (cf. Fig. S4, ESI<sup>†</sup>). Again, like for Cu<sub>2</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup>, CO<sub>2</sub> dissociation into CO is energetically unfavorable and the lowest energy isomer containing CO is 3.19 eV higher in energy than the lowest energy isomer 3,4,1-a. Therefore, we will in the following only discuss selected structures with different structural motifs and reference to the ESI† for further similar structures or structures that are considerably higher in energy. Since band III suggests the presence of a (su)peroxo O<sub>2</sub> we will not discuss any structures here that do not contain such a unit. All structures are found with the triplet spin state lower in energy than the singlet one.

The first class of isomers is based on a planar Cu<sub>3</sub>O<sub>4</sub><sup>-</sup> consisting of a triangular Cu<sub>3</sub> frame with two bridging oxygen atoms and a bridging O2 molecule bound to the third Cu-Cu side (cf. Fig. S5 (ESI<sup>+</sup>) for the structure of the bare cluster, which is largely in agreement with the structure previously determined via photoelectron spectroscopy<sup>62</sup>) to which a  $CO_2$  molecule is  $\eta^2(C,O)$  coordinated forming a  $\mu_2 - \eta^2(O,O)$ -bound CO<sub>3</sub> unit with one of the bridging oxygen atoms. In the lowest energy isomer (3,4,1-a in Fig. 4) one of the CO<sub>3</sub> oxygen atoms bridges two Cu atoms and a second O atom is bound to one of the Cu atoms. The calculated vibrational spectrum of this isomer shows a reasonable agreement with the experimental spectrum. However, besides some spectral shifts in the low frequency region as well as for band II, the right part of the double-band VI is missing in the calculated spectrum and the intensity of band III is underestimated. These three bands are better reproduced by isomer 3,4,1-b (with a different position of the CO<sub>3</sub> group), but now the spectrum in the region of bands V and VII is less favorable. With isomer 3,4,1-c we found a similar structure where the CO<sub>2</sub> has incorporated the oxygen atom, and the resulting CO<sub>3</sub> group symmetrically bridges two Cu atoms. This leads to considerable shifts of three high-frequency modes (the terminal C–O stretch, the OCO asymmetric stretch of CO<sub>3</sub>, and the O-O stretch respectively), which disagrees with the



Fig. 4 IR-MPD spectrum (in gray) of  $Cu_3O_4(CO_2)^-$  together with calculated harmonic vibrational spectra (in blue) of several isomeric structures. For more details, see caption of Fig. 3.

experimental spectrum. In particular isomers **3,4,1-a** and **3,4,1-b** describe band I very well and thus also the blue shift observed with respect to the corresponding  $Cu_2O_4(CO_2)^-$  band. Since this frequency corresponds to the carbon–oxygen stretching (see Fig. S3, ESI†) the blue shift indicates a strengthening of this bond in  $Cu_3O_4(CO_2)^-$ . Indeed, in the calculated structure of **2,4,1-a**, the carbon–oxygen distance is 1.221 Å, against 1.215 Å in **3,4,1-a**, with both values well in the C==O double bond range

(*ca.* 1.20 Å). Thus, the size of the copper-oxide cluster seems to affect the extent of the CO<sub>2</sub> activation, which might potentially also affect any subsequent reactions of this unit, for example in a hydrogen reduction reaction. Finally, it should be noted that for all structures in this class, the O–O stretch vibration of the bridging  $\mu_2$ -bound O<sub>2</sub> appears to be considerably better described than in the case of the  $\mu_1$ -bound O<sub>2</sub> of Cu<sub>2</sub>O<sub>4</sub>(CO<sub>2</sub>)<sup>-</sup>.

Other classes of isomers were also found, but their spectral properties do not provide a much better comparison. In the first of these, the CO<sub>2</sub> binds to the O<sub>2</sub> unit of the reactant cluster, now forming CO<sub>3</sub> that coordinates to Cu and O atoms forming a CuOOC(O)O ring (isomer 3,4,1-d; for more see Fig. S4, ESI<sup>†</sup>). Such a binding motif has a much weaker O-O stretch intensity (very weak mode at  $812 \text{ cm}^{-1}$ ) and a blue-shift of the C-O (1739  $\text{cm}^{-1}$ ) and asymmetric OCO stretching vibrations (1248 cm<sup>-1</sup>) and thus an inferior match with the IR-MPD spectrum. In a third class of isomers (isomer 3,4,1-e; for more see Fig. S4, ESI<sup> $\dagger$ </sup>) the triangular Cu<sub>3</sub> core is strongly distorted with the CO<sub>3</sub> unit bridging two Cu atoms and the  $O_2$  unit  $\eta^2$ -bound. This leads to a weakening and red-shift of the C-O stretching vibration (1574 cm<sup>-1</sup>) and a considerable blue-shift of the O-O stretching vibration (1126 cm<sup>-1</sup>). An attempt to rationalize the mismatch of the O-O stretch on the same grounds as in the case of  $Cu_2O_4(CO_2)^-$  (*i.e.* applying a scaling factor of 0.921) results in an even larger mismatch. Finally, a fourth class of isomers found, contains a  $\eta^3$ -bound CO<sub>3</sub> group (isomer 3,4,1-f, for more see Fig. S4, ESI<sup>+</sup>). The additional coordination of the terminal C-O results in a redshift of its stretching mode by about 300 cm<sup>-1</sup> and a blue-shift of the OCO asymmetric stretching mode of more than 100  $\text{cm}^{-1}$ . Both end up in a spectral region where we do not observe any bands in the IR-MPD spectrum, disqualifying this class.

Overall, we conclude that the IR-MPD spectrum is best described by the structures containing a formal CO<sub>3</sub> group species with a terminal C-O and one oxygen atom bridging two Cu atoms, such as isomers 3,4,1-a or 3,4,1-b. Since none of these isomers provide a perfect match, we hesitate to make a firm assignment but assume that multiple, quite similar species are present in the molecular beam. This assumption is supported by the small energy difference between isomers 3,4,1-a, -b, and -c raising the possibility of a frustrated rotation of the formal CO<sub>3</sub> unit linking the different structures. We finally would like to note that there is no ready explanation for the low frequency satellite of band I (which also appears to be present for other anionic clusters shown in Fig. 2). One possibility would be an overtone of the O-O stretch (found at 930 cm<sup>-1</sup>), but the required anharmonicity exceeding 20% seems too much. Another possibility would be a second isomer with a slightly differently bound CO<sub>2</sub>. However, as discussed above for  $Cu_3O_4(CO_2)^-$  no such isomer was located. Therefore, it can be speculated that the weak satellite bands could be hot bands, originating from vibrationally excited states insufficiently cooled during the expansion of the molecular beam into the vacuum. It is known that vibrational cooling is poor in any molecular beam expansion and especially for those from a flow-tube, where pressures are already lower than stagnation pressures of conventional molecular beams.

## 4 Summary and conclusions

We have produced cationic and anionic copper oxide clusters *via* laser ablation of a  $^{65}$ Cu target in the presence of an O<sub>2</sub>/He gas pulse. Under the given experimental conditions, the cluster distribution of cationic clusters is dominated by highly oxidized clusters, while mainly stoichiometric and oxygendeficient anionic clusters were formed. Subsequent reaction of the formed clusters with CO<sub>2</sub> in a flow tube reactor showed that primarily near-stoichiometric  $Cu_x O_y (CO_2)^{+/-}$  complexes are formed. Furthermore, IR-MPD spectroscopy of the formed products in conjunction with DFT calculations on two selected systems  $(Cu_2O_4(CO_2)^- \text{ and } Cu_3O_4(CO_2)^-)$  revealed that (1)  $CO_2$ binds to cationic clusters as a linear molecule without noteworthy activation, while activation occurs on all anionic clusters. Thus, in the investigated size range, cluster charge is the decisive parameter for CO<sub>2</sub> activation and size or composition (Cu/O ratio) only play a minor role. (2) activation of CO<sub>2</sub> upon binding to anionic copper oxide clusters leads to formation of a  $CO_3$  unit. The activation of  $CO_2$  is fine-tuned by the size of the copper oxide cluster influencing the strength of the C=O bond in the  $CO_3$  group. (3)  $CO_2$  dissociation is highly unlikely due to the relatively weak binding of CO to the copper oxide clusters.

These findings are important for the potential rational design of copper-based CO<sub>2</sub> hydrogenation catalysts. Our study shows that anionic copper oxide clusters are able to activate  $CO_2$ , but that the most dominant factor is the presence of excess negative charge, something which was already found by Weber and co-workers for single-atomic ions,47,50 and later confirmed by others for few-atom metal clusters.<sup>48,49,63,64</sup> Furthermore, we observe the exclusive formation of a CO3 unit while dissociation to CO is energetically unfavorable. This indicates that the presence of oxygen atoms stabilizes CO<sub>3</sub> and prevents CO<sub>2</sub> dissociation. Similar CO<sub>3</sub> intermediates have previously been observed for some anionic mono<sup>65-67</sup>- and few-metal oxide clusters<sup>64</sup> as well as (basic) metal-oxide surfaces<sup>68–71</sup> and even a few cationic species forming a CO<sub>3</sub> intermediate have been reported.<sup>72,73</sup> The presence of CO<sub>3</sub> and the lack of CO<sub>2</sub> dissociation suggest that any further hydrogenation reaction proceeds via hydrogenation of the activated CO<sub>2</sub> (CO<sub>3</sub>), potentially leading to formate or bicarbonate intermediates. Consequently, the formation of carbon monoxide is less likely. As carbon monoxide is a key intermediate in the C<sub>2+</sub> product formation route,  $^{74,75}$  we speculate that C<sub>1</sub> products are more likely to form (via the formate route) which can lead to methane or methanol.76-78

## Data availability

The data for this article are shown in the Figure. Further data supporting this article have been included as part of the ESI.†

## Conflicts of interest

There are no conflicts to declare.

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