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# Changing aromatic properties through stacking: the face-to-face dimer of Ni(II) bis(pentafluorophenyl)norcorrole†

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Nuclear magnetic resonance (NMR) shielding constants have been calculated for Ni(II) bis(pentafluorophenyl)-norcorrole and its face-to-face stacked dimer at the Hartree–Fock (HF), second-order Møller–Plesset perturbation theory (MP2), complete-active-space self-consistent-field (CASSCF) levels as well as at density functional theory (DFT) levels using several functionals. The calculated <sup>1</sup>H NMR shielding constants agree rather well with the experimental ones. The shielding constants of N and Ni calculated at DFT, HF, and MP2 levels differ from those obtained in the CASSCF calculations due to near-degeneracy effects at the Ni atom. The calculated magnetically induced current densities show that the monomer is antiaromatic, sustaining a strong global paratropic ring current, and the dimer is aromatic, sustaining a strong diatropic ring current. Qualitatively the same current density is obtained at the employed levels of theory. The most accurate ring-current strengths are probably obtained at the MP2 level. The aromatic dimer has a short intermolecular distance of less than 3 Å. The intermolecular interaction changes the nature of the frontier orbitals leading to a formal double bond between the norcorrole macrocycles.

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## 1 Introduction

Molecular rings with an even number of orbitals in the cyclic conjugation are antiaromatic, whereas aromatic molecules have odd number of conjugated orbitals in the ring.<sup>1</sup> The generalized aromaticity rule holds for singlet, triplet and high-spin states.<sup>1</sup> For closed-shell planar molecular rings, it leads to the well-known aromaticity rule stating that ring-

shaped molecules with  $4n$   $\pi$  electrons are antiaromatic. Anti-aromatic rings stacked in a parallel fashion can form aromatic cyclophanes, *i.e.*, cyclic aromatic face-to-face-oriented dimers, when the interaction between the monomers is strong enough to alter the occupation of the frontier orbitals.<sup>2–13</sup> It was recently reported that the bonding between antiaromatic Ni(II) bis(pentafluorophenyl)norcorrole macrocycles is a multi-centered double bond that leads to a short intermolecular distance of less than 3.0 Å, where the chemical bond between the two stacked antiaromatic molecules is formed by two binding molecular orbitals involving both molecules.<sup>14</sup> Energy decomposition calculations yielded a stacking energy of 79.5 kJ mol<sup>−1</sup> of which 28.5 kJ mol<sup>−1</sup> is between the Ni atoms, whereas the rest consists of small interaction energies distributed among 14 C–C and N–N pairs of the stacked norcorroles.<sup>14</sup> Weak Ni–Ni interaction has previously been observed for a dibenzotetraaza[14]annulene Ni(II) complex that leads to a molecular splitting of the atomic fine-structure levels of the Ni atoms. Ni(II) dibenzotetraaza[14]annulene is paramagnetic in the solid state at temperatures above 13 K.<sup>15</sup>

Norcorrole with its 12 conjugated orbitals in the macrocycle is the smallest antiaromatic porphyrinoid.<sup>16,17</sup> Nozawa *et al.* have synthesized an antiaromatic Ni(II) norcorrole with phenyl substituents in the *meso* positions that forms a triple-decker

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† Electronic supplementary information (ESI) available: Cartesian coordinates of the optimized molecular structure, picture of the molecular structure of 1<sub>2</sub>, analysis of the magnetically induced current density, isotropic magnetic shielding constants, pictures and energies of the frontier orbitals, and the electron density of the dimer are reported. See DOI: <https://doi.org/10.1039/d4cp00968a>



$\pi$ - $\pi$  stacked structure in the solid state.<sup>4</sup> They have also synthesized and characterized a cyclophane that consists of two antiaromatic Ni(II) norcorrole moieties connected with two bithiophene linkers, which leads to a distance between the face-to-face stacked norcorrole macrocycles that is shorter than the sum of their van der Waals distances.<sup>6</sup> Calculations of the magnetically induced current-density susceptibility (MICD) and the measured <sup>1</sup>H NMR chemical shifts showed that the bithiophene-linked Ni(II) norcorrole dimer is a three-dimensional aromatic molecule with MICD pathways between the two norcorrole moieties.<sup>6</sup> A Ni(II) norcorrole cyclophane has also been synthesized as micellar capsules in water.<sup>18</sup>

In this work, we calculate the MICD and NMR chemical shifts of Ni(II) bis(pentafluorophenyl)norcorrole (**1**) and its stacked dimer (**1**<sub>2</sub>) at the density functional theory (DFT), Hartree-Fock (HF) and *ab initio* correlated levels. The calculated chemical shifts are compared to available experimental data.<sup>14</sup> The stacked Ni(II) bis(pentafluorophenyl)norcorrole dimer has no linkers that force the norcorrole macrocycles close to each other. The short Ni-Ni distance of 2.97 Å in the solid state is most likely due to the aromatic stabilization of the antiaromatic norcorrole rings and the formation of a multicenter chemical bond between the norcorrole macrocycles.

The bonding of **1**<sub>2</sub> is further strengthened by the pentafluorophenyl substituents in the *meso* positions. The fluorine atoms attract electrons and thereby increase the van der Waals attraction between the Ni(II) norcorrole macrocycles and between the phenyl rings. Ni(II) norcorrole with phenyl substituents (**2**) does not form an aligned face-to-face stacked dimer with the phenyl ring pointing in the same direction but the Ni(II) norcorrole rings are rotated relatively to each other,<sup>19</sup> whereas in **1**<sub>2</sub> they have an almost perfect face-to-face stacked structure.<sup>14</sup>

Calculations show that the order and character of the frontier orbitals change when two Ni(II) norcorrole molecules approach each other forming a chemical bond between the two molecules of the dimer.<sup>14,19</sup> The binding combination of the lowest unoccupied molecular orbital (LUMO) of the monomers becomes the highest occupied molecular orbital (HOMO) of the dimer in the face-to-face stacked structure and the binding combination of the HOMO of the monomers becomes the HOMO-1 of the dimer, whereas in the twisted phenyl-substituted Ni(II) norcorrole dimer the character of the frontier orbitals does not change when the two monomers approach each other.<sup>14,19</sup>

The article is organised as follows: The employed computational methods are presented in Section 2. The experimental <sup>1</sup>H NMR spectra of the studied molecules are discussed in Section 3.1 and the corresponding computational studies in Section 3.2. The calculated magnetically induced current densities are reported in Section 3.3. The origin of the aromatic character of the dimer based on the nature of the frontier orbitals is discussed in Section 3.4. The main conclusions are summarized in Section 4.

## 2 Computational methods

The molecular structures of **1** and **1**<sub>2</sub> were optimized with Turbomole<sup>20</sup> at the DFT level using the B3LYP functional<sup>21,22</sup> and triple- $\zeta$  polarization quality basis sets (def2-TZVP).<sup>23</sup> Dispersion interactions were considered by using the D3(BJ) semi-empirical term in the Hamiltonian.<sup>24</sup> The optimized structure of **1** in Fig. 1 belongs to the *C*<sub>s</sub> point group, which was verified by calculating the vibrational frequencies with the aoforce module of Turbomole.<sup>25</sup> The molecular structure of **1**<sub>2</sub> was assumed to belong to the *C*<sub>2h</sub> point group. The dimer consists of two stacked monomers in a face-to-face orientation with a Ni-Ni distance of 2.75 Å, which is slightly shorter than in the X-ray structure. The stacked pentafluorophenyl substituents of the dimer are parallel but they do not completely overlap as shown in the ESI.†

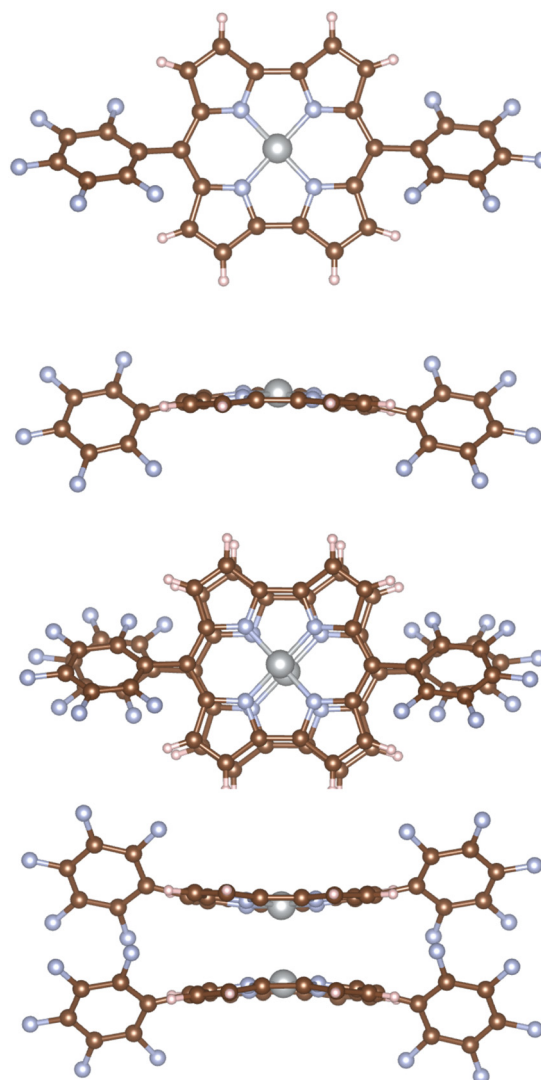


Fig. 1 The molecular structure of **1** (upper half) and **1**<sub>2</sub>. In the upper picture of **1**<sub>2</sub>, the Ni-Ni bond at the center of the molecule pointing towards the readers is parallel to the applied external magnetic field. The pictures have been made with VESTA<sup>26</sup> and PowerPoint.



Model structures of **1'** and **1'<sub>2</sub>** were constructed by replacing the pentafluorophenyl substituents with hydrogen atoms. The position of all atoms except the new hydrogen atoms were kept frozen in the subsequent structure optimization. The Cartesian coordinates of the optimized molecular structure are given in the ESI.†

Nuclear magnetic resonance (NMR) shielding constants were calculated for **1** and **1<sub>2</sub>** as well as for the simplified models **1'** and **1'<sub>2</sub>** at the DFT level with the mpshift module of Turbomole<sup>20,27–29</sup> using the B3LYP,<sup>21,22</sup> ωB97X-D,<sup>30</sup> CAM-B3LYP<sup>31</sup> and BHLYP<sup>32</sup> functionals and the def2-TZVP basis sets.<sup>23</sup> The NMR shielding constants were also calculated with mpshift and CFOUR<sup>33,34</sup> at the HF and second-order Møller–Plesset perturbation theory (MP2) levels.<sup>35–38</sup> Gauge-including atomic orbitals (GIAO) were used in the NMR shielding calculations.<sup>39–41</sup> Since the studied molecules contain Ni(II) with a partially filled d shell, static electron correlation effects might be significant. The NMR shielding constants were therefore also calculated at the complete-active-space self-consistent-field (CASSCF) level<sup>42</sup> using CFOUR.<sup>33,34</sup> The coupled-perturbed CASSCF (CP-CASSCF) equations for the computation of the NMR shielding constants were solved with a direct algorithm using GIAOs and Cholesky decomposed two-electron integrals<sup>43–47</sup> rendering CASSCF calculations of NMR shielding constants for large molecules with more than 1000 basis functions feasible.<sup>46</sup>

The one-electron density matrix and the magnetically perturbed density matrices in the atomic orbital basis obtained in NMR shielding calculations, the Cartesian coordinates of the molecular structure and basis-set information were used as input data in the calculations of the magnetically induced current-density susceptibility (MICD) using the GIMIC program.<sup>48–52</sup> Since GIAOs were used in the calculations of the NMR shielding constants and the MICDs, the calculated MICDs have no dependence to any gauge origin.<sup>40,41</sup>

Molecules sustaining a net diatropic ring current are aromatic, whereas in antiaromatic molecules the ring current flows in the paratropic (opposite) direction.<sup>29–32</sup> The MICD was analyzed by calculating ring-current strengths, which are obtained by integrating the MICD passing through a plane intersecting chemical bonds or cutting through the molecule.<sup>48–50,52</sup> The MICD was also separated into-gauge-independent diatropic and paratropic contributions that were visualized.<sup>53</sup>

The magnetic field was applied perpendicularly to the norcorrole macrocycle. The unit for the ring-current (susceptibility) strengths is nA T<sup>−1</sup>. The MICD was visualized using the Paraview program.<sup>54</sup>

## 3 Results and discussion

### 3.1 Experimental <sup>1</sup>H NMR shielding constants

The measured <sup>1</sup>H NMR chemical shifts for the hydrogen atoms (H<sub>β</sub>) connected to the β-carbon atoms (C<sub>β</sub>) are −0.147 ppm for **1** and 6.14 ppm for **1<sub>2</sub>**. The <sup>1</sup>H NMR signal in solution at low

temperature is 6.5 ppm. The corresponding chemical shifts for **2** and **2<sub>2</sub>** are 1.69 ppm and 4.70 ppm, respectively. The difference between the <sup>1</sup>H NMR chemical shift of the monomer and the dimer increases from 3.0 ppm to 6.3 ppm when perfluorinating the phenyl substituents. Perfluorination of aromatic rings weakens their aromaticity because the fluorine atoms withdraw electrons from the aromatic ring.<sup>55</sup> The electron depletion of aromatic rings also strengthen the van der Waals interaction between them.<sup>56</sup> **2** forms a triple-decker stacking structure in the solid state, whereas the solid-state material of **1** consists of dimers. The short distance between the pentafluorophenyl substituted Ni(II) norcorrole molecules leads to a down-field shift of the <sup>1</sup>H NMR chemical shifts of the H<sub>β</sub> nuclei suggesting that the dimer is aromatic. **1** is antiaromatic with an up-field shift of the <sup>1</sup>H NMR signal of the H<sub>β</sub> nuclei. The measured <sup>1</sup>H NMR chemical shifts suggest that the coupling between the Ni(II) norcorrole rings is stronger in **1<sub>2</sub>** than for **2<sub>2</sub>** and that **1** sustains a stronger paratropic ring current than **2**.

To compare with the calculated values, measured <sup>1</sup>H NMR chemical shifts (δ<sub>exp</sub>) can be converted to experimental nuclear magnetic shielding constants (σ<sub>exp</sub>) by accounting for the nuclear magnetic shielding constant of the reference (σ<sub>ref</sub>).

$$\delta = \frac{(\sigma_{\text{ref}} - \sigma_{\text{exp}}) \times 10^6}{1 - \sigma_{\text{ref}}} \quad (1)$$

The experimental <sup>1</sup>H NMR shielding constant of an isolated tetramethylsilane (TMS) molecule is 30.783(5) ppm and 32.873 ppm for neat TMS at 300 K.<sup>57,58</sup> Using the experimental shielding constant for TMS of 32.87 ppm yields an experimental <sup>1</sup>H NMR shielding constant of 33.02 ppm for the H<sub>β</sub> nuclei of **1** and for **1<sub>2</sub>** it is 26.73 ppm. By using the TMS reference value of 31.89 ppm calculated using the CAM-B3LYP functional, the experimental <sup>1</sup>H NMR shielding constants are about 1 ppm smaller and in better agreement with the calculated values. The remaining discrepancy can be assigned mainly to vibrational and solvent effects. The corresponding <sup>1</sup>H NMR shielding constants of **2** are 30.20 ppm (31.18 ppm) and 27.19 ppm (28.17 ppm) for **2<sub>2</sub>**. The values in parentheses are obtained with the reference value of neat TMS. The calculated isotropic <sup>1</sup>H NMR shielding constant of TMS is rather independent of the employed level of theory. It is 31.93 ppm at the B3LYP/def2-TZVP level and 31.81 ppm at the MP2/def2-TZVP and MP2/def2-SVP levels.

### 3.2 Shielding constants calculated at different levels

The average isotropic nuclear magnetic shielding constants of the H<sub>β</sub> atoms calculated at different levels of theory are compared to experimental values in Table 1. The experimental <sup>1</sup>H NMR shielding constants for **1** and **1<sub>2</sub>** are 32.04 ppm and 25.75 ppm, respectively, while they are 30.20 ppm for **2** and 27.19 ppm **2<sub>2</sub>**. A TMS reference value of 31.89 ppm is used. The <sup>1</sup>H NMR shielding constants of **1** decreases with increasing amount of HF exchange in the functional, whereas for **1<sub>2</sub>** they are less dependent on the amount of HF exchange. The difference in the <sup>1</sup>H NMR shielding constants of **1<sub>2</sub>** and **2<sub>2</sub>** is



**Table 1** The average isotropic  $^1\text{H}$  NMR magnetic shielding constant (in ppm) of the  $\text{H}_\beta$  atoms of **1** and **1**<sub>2</sub> calculated at different levels of theory using the def2-TZVP and def2-SVP basis sets are compared to experimental data

Level	<b>1</b>	<b>1</b> <sub>2</sub>	<b>1'</b> <sup>a</sup>	<b>1'</b> <sub>2</sub> <sup>a</sup>
B3LYP/TZVP	30.97	24.20	30.55	24.16
BHLYP/TZVP	29.84	23.94	29.38	23.91
CAM-B3LYP/TZVP	29.35	23.93	28.98	23.90
ωB97X-D/TZVP	29.00	23.97	28.67	23.93
HF/TZVP	28.27	24.01	27.94	24.16
HF/SVP	28.34	24.22	27.92	24.26
MP2/SVP <sup>b</sup>	31.05			
MP2/SVP <sup>c</sup>	31.11		30.18	24.12
CASSCF(16,15)/SVP <sup>d</sup>	28.12	24.21		
CASSCF(12,12)/SVP <sup>d</sup>			27.09	24.19
Experiment <sup>e</sup>	30.20	27.19		
Experiment <sup>f</sup>	32.04	25.75		

<sup>a</sup> The pentafluorophenyl groups are replaced by hydrogen atoms. <sup>b</sup> The core orbitals were not correlated in the calculations with CFOUR. <sup>c</sup> All electrons were correlated in the mpshift calculations with Turbomole.<sup>37,38</sup> <sup>d</sup> (electrons, active orbitals). <sup>e</sup> The measured  $^1\text{H}$  NMR chemical shifts for the  $\text{H}_\beta$  atoms of **2** and **2**<sub>2</sub> are 1.69 ppm and 4.70 ppm, respectively. <sup>f</sup> A TMS reference value of 31.89 ppm is used. <sup>g</sup> The measured  $^1\text{H}$  NMR chemical shifts for the  $\text{H}_\beta$  atoms of **1** and **1**<sub>2</sub> are −0.147 ppm and 6.14 ppm, respectively. <sup>h</sup> A TMS reference value of 31.89 ppm is used.

due to different relative orientation of the monomers and their distance in the dimer.

The substituents do not significantly influence the  $^1\text{H}$  NMR shielding constants since the calculated values for **1** and **1**<sub>2</sub> are similar to those obtained for **1'** and **1'**<sub>2</sub>, i.e., when the pentafluorophenyl groups are replaced with hydrogen atoms without changing the position of the rest of the atoms.

The magnetic shielding constants for **1** and **1'** calculated at the DFT levels agree well with experimental data. The paratropic ring current is weaker when using functionals containing a large amount of HF exchange.

$^1\text{H}$  NMR shielding constants of 24.2 ppm, 23.9 ppm, 23.9 ppm and 24.0 ppm were obtained for **1**<sub>2</sub> in calculations at the B3LYP, BHLYP, CAM-B3LYP, and ωB97X-D levels, respectively, which suggests that **1**<sub>2</sub> is aromatic. The experimental value is 25.75 ppm. The  $^1\text{H}$  NMR shielding constant of 24.12 ppm calculated at the MP2 level also agrees well with the experimental value. The calculated values are systematically about 1.5 ppm smaller than the experimental one. At the MP2 level, the pentafluorophenyl substituents increase the  $^1\text{H}$  NMR shielding constant of **1** by about 1 ppm, whereas at the DFT levels the pentafluorophenyl substituents have a smaller effect of less than 0.5 ppm on the  $\text{H}_\beta$  shielding constants of **1**. The pentafluorophenyl substituents have almost no effect on the  $\text{H}_\beta$  shielding constants of **1**<sub>2</sub>. Similar trends were obtained in the CASSCF calculations.

We performed CASSCF calculations of NMR shielding constants with very large active spaces (AS). The active spaces were determined using the UNO approach.<sup>59</sup> The largest AS had 16 electrons in 16 orbitals (16,16) yielding about 165 million Slater determinants. The AS consisting of 14 electrons in 14 orbitals is the largest common AS when using the def2-TZVP basis sets. The difference between the  $^1\text{H}$  NMR shielding

constants of the monomer and the dimer in Tables 2 and 3 is 2–3 ppm smaller at the CASSCF level than at the other levels of theory and than the ones obtained experimentally, suggesting that the paratropic ring current of **1** is predicted to be slightly too weak at the CASSCF level. The  $^1\text{H}$  NMR shielding constants of the antiaromatic monomer depend on the size of the AS. The CASSCF calculation with an AS of (16,16) yielded an average  $^1\text{H}$  NMR shielding constant of 27.29 ppm for the monomer, whereas the one obtained with an AS of (16,15) is 28.12 ppm. Thus, converged values for the  $^1\text{H}$  NMR shielding constants of the monomer are not obtained even with the largest AS.

A much smoother convergence with increasing size of the basis set is obtained for the  $^1\text{H}$  NMR shielding constants of the aromatic dimer. The CASSCF calculations show that near-degeneracy effects do not play an important role for the  $^1\text{H}$  NMR shielding constants, most likely because the hydrogen atoms are relatively far from the Ni atom, whereas the NMR shielding constant of Ni and its adjacent nitrogen atoms are more accurate at the CASSCF level than at the DFT levels of theory. The shielding constant of Ni has the opposite sign at the CASSCF and MP2 levels of theory. The shielding constant of Ni calculated at the DFT and HF levels of theory has the same sign as the one obtained in the CASSCF calculations. The values calculated at the DFT levels are generally smaller than the CASSCF reference value and HF calculations yield larger shielding constants for Ni. The BHLYP functional yielded the best

**Table 2** The average isotropic NMR magnetic shielding constant (in ppm) of **1** and **1'** calculated at the CASSCF level using different active spaces (AS) are compared to values obtained at the DFT, HF, and MP2 levels of theory. The shielding constants of  $\text{H}_{\text{meso}}$  are omitted. The average  $^{13}\text{C}$  NMR shielding constants are calculated for the  $\text{C}_\beta$  atoms that are closer to the direct pyrrole–pyrrole link. NMR shielding constants are given in the ESI

Level	AS	H	Ni	C	N
CASSCF <sup>a</sup>	(16,16)	27.29	−12 130	75.2	65.6
CASSCF <sup>a</sup>	(16,15)	28.12	−12 324	74.4	57.6
CASSCF <sup>a</sup>	(8,11)	27.59	−12 131	74.6	66.8
CASSCF <sup>a</sup>	(8,7)	28.12	−12 287	74.3	57.7
CASSCF <sup>a,c</sup>	(14,15)	26.98	−8056	76.6	61.3
CASSCF <sup>a,c</sup>	(14,14)	26.92	−7284	76.8	58.5
CASSCF <sup>a,c</sup>	(14,11)	27.69	−8920	75.8	50.4
CASSCF <sup>a,c</sup>	(12,14)	27.19	−8304	76.2	58.7
CASSCF <sup>a,c</sup>	(12,12)	27.09	−7349	76.5	55.5
CASSCF <sup>a,c</sup>	(8,12)	27.10	−11 935	75.9	67.8
CASSCF <sup>a,c</sup>	(8,8)	27.04	−12 079	75.9	66.5
CASSCF <sup>b,c</sup>	(14,14)	26.89	−7684	64.9	37.2
CASSCF <sup>b,c</sup>	(12,15)	27.19	−9119	64.3	37.3
CASSCF <sup>b,c</sup>	(12,12)	27.07	−7756	64.6	33.4
CASSCF <sup>b,c</sup>	(8,8)	27.05	−14 146	63.8	47.2
B3LYP <sup>b</sup>		30.97	−4353	66.0	−15.7
BHLYP <sup>b</sup>		29.84	−7242	69.3	2.52
CAM-B3LYP <sup>b</sup>		29.35	−4650	67.2	−6.57
ωB97X-D <sup>b</sup>		29.00	−4772	69.6	−2.35
HF <sup>b</sup>		28.27	−14 608	78.0	32.3
HF <sup>b,c</sup>		27.94	−14 556	79.4	32.7
HF <sup>a</sup>		28.34	−14 055	88.7	55.8
MP2 <sup>a</sup>		31.11	2797	93.8	37.2
MP2 <sup>a,c</sup>		30.18	2742	95.2	41.4

<sup>a</sup> The def2-SVP basis set was used. <sup>b</sup> The def2-TZVP basis set was used. <sup>c</sup> For **1'**, i.e., the pentafluorophenyl groups are replaced by hydrogen atoms.





**Table 3** The average isotropic NMR magnetic shielding constant (in ppm) of  $1_2$  and  $1'_2$  calculated at the CASSCF level using different active spaces (AS) are compared to values obtained in DFT, and MP2 calculations. The shielding constants of  $H_{meso}$  are omitted. The average  $^{13}\text{C}$  NMR shielding constants are calculated for the  $\text{C}_\beta$  atoms that are closer to the direct pyrrole–pyrrole link. The experimental  $^{13}\text{C}$  NMR chemical shifts of the  $\text{C}_\beta$  atoms are 118.188 (83.3) ppm and 129.228 (72.3) ppm.<sup>14</sup> The estimated chemical shifts are given in parentheses. NMR shielding constants are given in the ESI

Level	AS	H	Ni	C	N
CASSCF <sup>a,c</sup>	(16,15)	24.21	−8400	72.1	93.3
CASSCF <sup>a,c</sup>	(16,14)	24.23	−8642	72.1	93.8
CASSCF <sup>a,c</sup>	(14,14)	24.19	−9919	71.8	98.2
CASSCF <sup>a,c</sup>	(12,12)	24.19	−11 403	71.7	102.3
B3LYP <sup>b</sup>		24.20	−4189	54.8	34.3
BHLYP <sup>b</sup>		23.94	−6784	56.6	52.5
CAM-B3LYP <sup>b</sup>		23.93	−4455	54.6	40.3
$\omega$ B97X-D <sup>b</sup>		23.97	−4597	57.2	42.8
HF <sup>b</sup>		24.01	−13 498	61.9	84.6
HF <sup>b,c</sup>		24.16	−13 496	56.1	34.3
HF <sup>a,c</sup>		24.26	−12 919	75.1	105.0
MP2 <sup>a,c</sup>		24.12	2886	88.9	79.2

<sup>a</sup> The def2-SVP basis set was used. <sup>b</sup> The def2-TZVP basis set was used.

<sup>c</sup> For  $1'_2$ .

agreement with the CASSCF reference value. Even though the  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR shielding constants calculated at the MP2 level agree well with the experimental ones, the shielding constant of Ni calculated at the MP2 level are inaccurate. The  $^1\text{H}$  NMR shielding constants calculated with def2-SVP and def2-TZVP basis sets largely agree, whereas there are significant basis-set effects for the shielding constants of the other atoms.

The  $^1\text{H}$  NMR shielding constants calculated at the DFT levels show that Ni(II) norcorrole is more antiaromatic than free-base norcorrole, which is also supported by the calculated ring-current strength that is 70% weaker for free-base norcorrole than for Ni(II) norcorrole. However, the CASSCF calculations yield more or less the same  $^1\text{H}$  NMR shielding constants for free-base norcorrole and Ni(II) norcorrole showing that CASSCF calculations with limited description of the dynamic correlation have difficulties to describe the antiaromatic character of norcorroles. The weaker antiaromaticity of free-base norcorrole suggests that the Ni atoms are necessary for formation of a bound dimer. The NMR shielding constants for free-base norcorrole are reported in Table 4.

The calculated  $^{61}\text{Ni}$  NMR shielding constants are large and negative due to the strong paratropic atomic current of the partially filled d shell of the Ni atom. The atomic current is stronger in the antiaromatic monomer than in the dimer leading to a more negative shielding constant of the monomer. The  $^{61}\text{Ni}$  NMR shielding constants obtained in the largest CASSCF calculations are the most reliable ones. However, since dynamic correlation effects are missing, the  $^{61}\text{Ni}$  NMR shielding constants calculated using the largest active space are still only qualitative. The CASSCF calculations show that the  $^{61}\text{Ni}$  NMR shielding constants calculated at the DFT and MP2 levels are not very accurate.  $^{61}\text{Ni}$  NMR chemical shifts can be estimated by using a reference value of −4048 ppm that was calculated for  $\text{Ni}(\text{CO})_4$  at the CASSCF(6,6)/def2-SVP level using

**Table 4** The average isotropic NMR magnetic shielding constant (in ppm) of free-base norcorrole calculated at the CASSCF level using different active spaces (AS) are compared to values obtained at the DFT, HF, and MP2 levels of theory. The shielding constants of  $H_{meso}$  are omitted. The average  $^{13}\text{C}$  NMR shielding constants are calculated for the  $\text{C}_\beta$  atoms that are closer to the direct pyrrole–pyrrole link. NMR shielding constants are given in the ESI

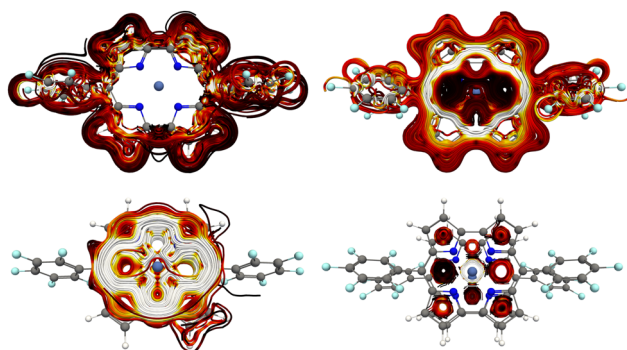
Level	AS	H	C
CASSCF <sup>b</sup>	(16,16)	26.83	78.4
CASSCF <sup>b</sup>	(12,12)	26.65	73.6
CASSCF <sup>b</sup>	(12,11)	26.11	65.7
B3LYP <sup>b</sup>		28.98	67.2
BHLYP <sup>b</sup>		28.98	67.2
CAMB3LYP <sup>b</sup>		27.65	67.5
$\omega$ B97XD <sup>b</sup>		27.44	70.0
HF <sup>b</sup>		26.99	76.1
HF <sup>a</sup>		26.99	87.4
MP2 <sup>a</sup>		28.32	96.6

<sup>a</sup> The def2-SVP basis set was used. <sup>b</sup> The def2-TZVP basis set was used.

a molecular structure optimized at the B3LYP/def2-SVP/D3(BJ) level. Using different active spaces, we obtained  $^{61}\text{Ni}$  NMR chemical shifts of 3236–4872 ppm for the monomer without the  $\text{C}_6\text{F}_5$  substituents. For the monomer with the  $\text{C}_6\text{F}_5$  substituents, the corresponding range is 8082–8276 ppm. The  $^{61}\text{Ni}$  NMR chemical shifts of the molecules with the  $\text{C}_6\text{F}_5$  substituents are less accurate because some  $\text{C}_6\text{F}_5$  orbitals belong to the active space implying that there are fewer correlation orbitals near the Ni atoms.

### 3.3 Current-density calculations

The separated diatropic and paratropic contributions to the current density of the monomer in the left half of Fig. 2 show that there is a weak diatropic ring current of  $2.0 \text{ nA T}^{-1}$  around the edge of monomer and a very strong paratropic ring current of  $-46.2 \text{ nA T}^{-1}$  in the inner part of **1**. The net ring-current strength calculated at the CAM-B3LYP level is  $-44.2 \text{ nA T}^{-1}$  indicating that **1** is strongly antiaromatic. The atomic current



**Fig. 2** The separated current density of **1** (left) and **12** (right) calculated at the CAM-B3LYP/def2-TZVP level. The spaghetti plots of the diatropic contribution are shown in the upper pictures and the paratropic contribution is shown in the lower ones. The strongest current density is shown in white, then yellow and red. The weakest ones are black. The current-density pictures have been made with Paraview.<sup>54</sup>



density around the Ni atom is not included in the reported ring-current strengths.

The diatropic and paratropic contributions to the current density of **1**<sub>2</sub> are shown in the right half of Fig. 2. The integrated ring current strength calculated at the CAM-B3LYP level is 39.6 nA T<sup>-1</sup>, which consists of a global diatropic contribution of 46.9 nA T<sup>-1</sup> around the norcorrole macroring and a local paratropic ring current of -7.3 nA T<sup>-1</sup> inside the direct link between two of the pyrrole rings. The ring-current profiles calculated through different planes are given in the ESI.†

Comparing the ring-current strengths calculated with and without the pentafluorophenyl substituents shows that the ring currents are 2 nA T<sup>-1</sup> stronger for the molecules lacking substituents in the *meso* positions, i.e., the antiaromatic **1'** is slightly more antiaromatic than **1** and **1'**<sub>2</sub> is slightly more aromatic than **1**<sub>2</sub>. However, the substituent effect is only about 5%.

The current-density calculations at the CASSCF level yielded the second strongest diatropic ring current of 47.7 nA T<sup>-1</sup> for the dimer and the weakest paratropic ring current of -16.9 nA T<sup>-1</sup> for the monomer. Comparison of calculated and measured <sup>1</sup>H NMR chemical shifts suggests that the paratropic ring current of the monomer calculated at the CASSCF level is too weak, whereas the strength of the diatropic ring current of the dimer is only slightly stronger than the ones obtained at the other levels of theory that consider electron correlation effects. The strongest diatropic ring current of the dimer of 51.9 nA T<sup>-1</sup> was obtained at the HF level. The HF calculation on the monomer yielded a ring-current strength of -27.5 nA T<sup>-1</sup>, which is about halfway between the strengths obtained at the CASSCF and DFT levels of theory. At the DFT levels, the ring-current strength of the dimer decreases somewhat with increasing amount of HF exchange in the functional. However, the employed functionals yielded ring-current strengths of the dimer in the range of [39.3, 45.5] nA T<sup>-1</sup> (Table 5) which agree well with the ring-current strength of 47.7 nA T<sup>-1</sup> calculated at the CASSCF level. The ring-current strength of 42.3 nA T<sup>-1</sup> calculated for the dimer at the MP2 level agrees well with the ones obtained in the DFT calculations. The weakest diatropic ring current of the dimer was obtained with the B3LYP functional.

Comparison of calculated and measured <sup>1</sup>H NMR chemical shifts suggests that the ring-current strength calculated at the

MP2 level is accurate even though the current density around the Ni atoms is not described very well. The ring-current profile shows that the atomic current density at Ni is diatropic at the MP2 level, whereas at the other employed levels of theory it is paratropic there. The similar ring-current profiles (shown in the ESI†) were obtained for the dimer at the CASSCF, DFT, and HF levels of theory. The only exception is MP2.

The employed DFT functionals yielded ring-current strengths of the monomer in the range of [-55.4, -38.9] nA T<sup>-1</sup> (Table 5). The paratropic ring-current strength of the monomer calculated at the MP2 level is -52.2 nA T<sup>-1</sup>. Thus, the same antiaromatic character is obtained at these levels of theory. The <sup>1</sup>H NMR shielding constants calculated at the MP2 level are in the closest agreement with experimental data,<sup>14</sup> suggesting that the MP2 calculations yield the most accurate ring-current strength. The ring-current strength of the monomer of -16.9 nA T<sup>-1</sup> calculated at the CASSCF level is too weak judged from the ring-current strengths calculated at the other levels of theory and from the comparison to experimental <sup>1</sup>H NMR shielding constants. When the magnetic field is oriented along the Ni-Ni axis, the current density passing from one molecule of the dimer to the other one is very weak as shown in the ESI.†

### 3.4 Discussion

The aromatic nature of **1**<sub>2</sub> can be rationalized by employing the porphyrin-perimeter model, which is based on an approximation of the MOs.<sup>60,61</sup> In the perimeter model, the angular expansion of the MOs of **1** gives rise to σ, π, δ, ... type orbitals with angular quantum numbers of *m* = 0, 1, 2, ... The Aufbau principle of the perimeter model yields for aromatic rings the well-known magic numbers of 4*n*+2. Ni(II) norcorrole has 24 electrons in the conjugated MOs, suggesting that its HOMO shell, according to the perimeter model, is formally half filled leading to antiaromaticity. The perimeter model can be extended by considering the reflection plane between the norcorrole macro rings of the dimer. The angular and parity expansion of the MOs of **1**<sub>2</sub> is then σ<sub>g</sub>, σ<sub>u</sub>, π<sub>u</sub>, π<sub>g</sub>, δ<sub>g</sub>, δ<sub>u</sub>, ... with angular quantum numbers of *m* = 0, 1, 2, ... having even and odd parity. According to the Aufbau principle of the extended perimeter model, all shells are closed when the number of electrons is 4*n*.

In a stacked dimer of weakly interacting antiaromatic macrocycles with a large intermolecular separation, the nature of the two outermost MOs remain unaltered and formally half filled. Consequently, the dimer is then still antiaromatic. In contrast, when the interaction between the two antiaromatic macrocycles is strong, the occupation of the frontier orbitals is reorganized to a closed-shell configuration and the dimer becomes aromatic. Since **1**<sub>2</sub> consists of two strongly interacting norcorrole molecules in a face-to-face orientation, the Aufbau principle of the perimeter model leads to closed shells for the dimer with magic numbers of 4*n*.<sup>62</sup> Thus, the dimer has cylindrical aromaticity like B<sub>20</sub>.<sup>62-64</sup> The 48 electrons in the conjugated MOs of **1**<sub>2</sub> close the outermost HOMO shell with an angular quantum number of *m* = 6 and even parity. The HOMO shell of **1**<sub>2</sub> with even parity containing four electrons according

**Table 5** The net ring-current strengths of **1'**, **1'**<sub>2</sub>, and free-base (FB) norcorrole (in nA T<sup>-1</sup>) calculated at different levels of theory. The pentafluorophenyl substituents are replaced with hydrogen atoms. Ring-current profiles are given in the ESI

Method	Monomer	Dimer	FB-norcorrole
CASSCF(14,14)	-16.9	47.7	—
B3LYP	-55.4	39.3	-40.5
BHLYP	-44.4	45.5	-32.0
CAM-B3LYP	-42.0	41.6	-29.1
ωB97X-D	-38.9	40.9	-26.9
HF	-27.5	51.9	-19.5
MP2	-52.2	42.3	—



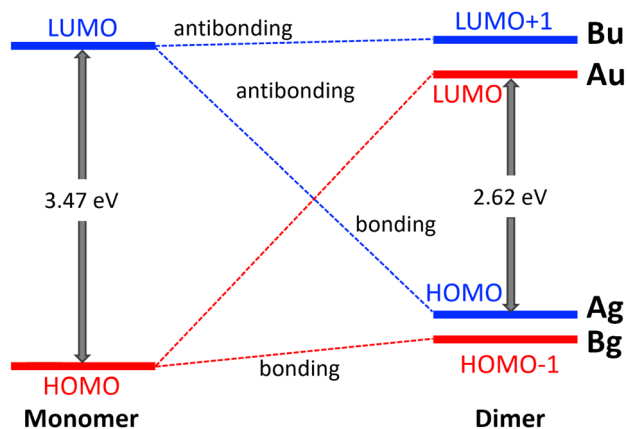


Fig. 3 The orbital diagram of the frontier molecular orbitals of **1** and **1<sub>2</sub>** calculated at the CAM-B3LYP/def2-TZVP level. The irreducible representation of the frontier orbitals of the dimer are reported. The positions of the energy levels reflect the relative energy of the frontier orbitals.

to the perimeter model confirms that a double bond is formed between the norcorrole macrocycles.

Due to the strong interaction between the norcorrole macrocycles the frontier orbitals are reordered. The nature of the occupied frontier orbitals of **1<sub>2</sub>** differs from the ones of weakly interacting monomers. The HOMO orbital of **1<sub>2</sub>** is the binding combination of the LUMOs of **1**, whereas the LUMO of **1<sub>2</sub>** is the antibonding combination of the HOMOs of **1**. The binding combination of the HOMO of **1** forms the HOMO-1 of **1<sub>2</sub>** and the antibonding combination of the LUMO of **1** becomes LUMO+1 of **1<sub>2</sub>**. The orbital diagram is shown in Fig. 3.

The frontier orbitals of **1<sub>2</sub>** can be formally written as

$$\begin{aligned}
 \phi_{\text{LUMO}+1_{\text{dimer}}} &= \frac{1}{\sqrt{2}}(\phi_{\text{LUMO-monomer}_1} - \phi_{\text{LUMO-monomer}_2}) \\
 \phi_{\text{LUMO}_{\text{dimer}}} &= \frac{1}{\sqrt{2}}(\phi_{\text{HOMO-monomer}_1} - \phi_{\text{HOMO-monomer}_2}) \\
 \phi_{\text{HOMO}_{\text{dimer}}} &= \frac{1}{\sqrt{2}}(\phi_{\text{LUMO-monomer}_1} + \phi_{\text{LUMO-monomer}_2}) \\
 \phi_{\text{HOMO}-1_{\text{dimer}}} &= \frac{1}{\sqrt{2}}(\phi_{\text{HOMO-monomer}_1} + \phi_{\text{HOMO-monomer}_2})
 \end{aligned} \quad (2)$$

The aromatic nature of the monomer and dimer can also be understood from the symmetry of the frontier orbitals. The HOMO of the dimer belongs to the  $A_g$  irreducible representation of the  $C_{2h}$  point group and the LUMO belongs to  $A_u$ . The dipole operators belong to  $A_u$  and  $B_u$ , whereas the operators of the magnetic transition belong to  $A_g$  and  $B_g$ . Molecules dominated by magnetically allowed transitions between HOMO and LUMO are antiaromatic, whereas when the HOMO-LUMO transition is dipole allowed the molecule may be aromatic sustaining a diatropic ring current.<sup>65</sup> At long distances between the stacked Ni(II) norcorrole molecules the HOMO belongs to  $A_u$  thus leading to antiaromaticity. The HOMO-LUMO transition of **1<sub>2</sub>** is dipole allowed because the product  $A_g \otimes (A_u \text{ or } B_u) \otimes A_u = A_g$  or  $B_g$  contains the total symmetric irreducible

representation, whereas it is magnetically forbidden because the product  $A_g \otimes (A_g \text{ or } B_g) \otimes A_u = A_u$  or  $B_u$  does not contain  $A_g$ .

Since HOMO and HOMO-1 of **1<sub>2</sub>** are almost degenerate binding orbitals, there is a double bond between the stacked Ni(II) norcorrole rings. HOMO and HOMO-1 are degenerate in the perimeter model. The binding energy is  $79.5 \text{ kJ mol}^{-1}$ .<sup>14</sup> The change in the aromatic nature from antiaromaticity for **1** to aromaticity for **1<sub>2</sub>** also affects bond lengths and stabilizes the dimer. A picture of the electron density of **1<sub>2</sub>** and the frontier orbitals are shown in the ESI.†

## 4 Conclusions

We calculated NMR shielding constants for **1** and **1<sub>2</sub>** at the Hartree-Fock (HF), second-order Møller-Plesset perturbation theory (MP2), complete-active-space self-consistent-field (CASSCF) levels as well as at density functional theory (DFT) levels using several functionals. Comparison of calculated and experimental <sup>1</sup>H NMR shielding constants suggests that the wave function and magnetic response of **1** is not well described at the CASSCF level, whereas the shielding constants calculated at the CASSCF level for **1<sub>2</sub>** are in close agreement with the experimental ones. DFT calculations with the employed functionals and MP2 calculations yield <sup>1</sup>H NMR shielding constants in rather good agreement with the experimental ones for both **1** and **1<sub>2</sub>**. The <sup>1</sup>H NMR shielding constants calculated at the MP2 level are in closest agreement with the experimental ones. Calculations with the B3LYP (20% HF exchange) and B3LYP (50% HF exchange) hybrid functionals yield <sup>1</sup>H NMR shielding constants for the antiaromatic monomer that are in better agreement with experimental data than the ones obtained with the range-separated CAM-B3LYP and ωB97X-D functional, which have 65% and 100% HF exchange at long interelectronic distances, respectively. Due to near-degeneracy effects the shielding constants of Ni calculated at DFT, HF and MP2 levels differ significantly from the more accurate ones obtained in the CASSCF calculations. The shielding constants of N calculated at the DFT level are less accurate than those obtained in the MP2 and CASSCF calculations. Basis-set truncation errors are also significant for the shielding constants of C, N and Ni when using the def2-SVP basis sets.

Calculations at the CASSCF level are crucial for obtaining reliable shielding constants of N and Ni, whereas MP2 calculations yield accurate shielding constants for C and H. Even though the Ni atoms introduce near-degeneracy effects into the wave function, the shielding constants of the C and H nuclei are accurate because they are spatially separated from the Ni atoms. NMR shielding constants are a local property that mainly depends on the quality of the wave function and the current density near the studied nucleus.

DFT functionals with a small amount of HF exchange often overestimate the antiaromatic character of strongly antiaromatic molecules, whereas a large amount of HF exchange may lead to an underestimation of the antiaromaticity. The B3LYP and CAM-B3LYP functionals are often a good



compromise. For aromatic molecules all functionals yield qualitatively the same degree of aromaticity. For larger molecules it may be necessary to use a functional with a larger amount of HF exchange to avoid spurious charge-transfer effects.

Calculations of  $^1\text{H}$  NMR shielding constants for **1**, **1**<sub>2</sub>, **1'**, and **1'**<sub>2</sub> showed that the pentafluorophenyl substituents have a small direct effect on the shielding constants. The ring-current strengths of **1'** and **1'**<sub>2</sub> are 2 nA T<sup>-1</sup> stronger than for **1** and **1**<sub>2</sub>, which have pentafluorophenyl substituents in the *meso* position.

Calculations of the current density show that **1** is antiaromatic sustaining a strong paratropic ring current around the norcorrole macroring and a weak diatropic ring current along the outer edge of the molecule. **1**<sub>2</sub> is aromatic sustaining a strong diatropic ring current. Local paratropic current-density vortices appear only in the inner rings and around the Ni atom. Qualitatively the same current density is obtained at all employed levels of theory. However, the ring current strength of **1** calculated at the CASSCF is significantly weaker than the strengths obtained at the other levels of theory, whereas largely the same ring-current strength was obtained for **1**<sub>2</sub> at all employed levels of theory. The most accurate ring-current strengths are most likely obtained at the MP2 level.

Calculations of the  $^1\text{H}$  NMR shielding constants and the magnetically induced current density show that the antiaromatic **1** forms an aromatic dimer **1**<sub>2</sub> with a short intermolecular distance of less than 3 Å, where the interaction between the norcorrole macrocycles changes the nature of the frontier orbitals leading to a formal double bond between them.

## Author contributions

Q. W., D. S. and T. N. performed electronic structure calculations and calculations of NMR shielding constants. Q. W. calculated the current densities and visualized them. J. G., T. N. and F. L. developed the employed methods for calculating NMR shielding constants at the CASSCF level. S. K., S. U., N. F. and H. S. performed the experimental studies. D. S. and H. S. developed the theoretical model describing the change of the aromatic nature upon dimerization. All authors contributed to writing the article.

## Conflicts of interest

There are no conflicts to declare.

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