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# Photolytic splitting of homodimeric quinone-derived oxetanes studied by ultrafast transient absorption spectroscopy and quantum chemistry<sup>†</sup>

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The photoinduced cycloreversion of oxetane derivatives is of considerable biological interest since these compounds are involved in the photochemical formation and repair of the highly mutagenic pyrimidine (6-4) pyrimidone DNA photoproducts ((6-4)PPs). Previous reports have dealt with the photoreactivity of heterodimeric oxetanes composed mainly of benzophenone (BP) and thymine (Thy) or uracil (Ura) derivatives. However, these models are far from the non-isolable Thy( $\beta$ )Thy dimers, which are the real precursors of (6-4)PPs. Thus, we have synthesized two chemically stable homodimeric oxetanes through the Paternò-Büchi reaction between two identical enone units, *i.e.* 1,4-benzoquinone (BQ) and 1,4-naphthoquinone (NQ), that led to formation of BQ-Ox and NQ-Ox, respectively. Their photoreactivity has been studied by means of steady-state photolysis and transient absorption spectroscopy from the femtosecond to the microsecond time scale. Thus, photolysis of BQ-Ox and NQ-Ox led to formation of the monomeric BQ or NQ, respectively, through ring opening in a “non-adiabatic” process. Accordingly, the transient absorption spectra of the triplet excited quinones ( $^3$ BQ\* and  $^3$ NQ\*) were not observed as a result of direct photolysis of the quinone-derived oxetanes. In the case of NQ-Ox, a minor signal corresponding to  $^3$ NQ\* was detected; its formation was ascribed to minor photodegradation of the oxetane during acquisitions of the spectra during the laser experiments. These results are supported by computational analyses based on density functional theory and multiconfigurational quantum chemistry (CASSCF/CASPT2); here, an accessible conical intersection between the ground and excited singlet states has been characterized as the main structure leading to deactivation of excited BQ-Ox or NQ-Ox. This behavior contrasts with those previously observed for heterodimeric thymine-derived oxetanes, where a certain degree of ring opening into the excited triplet state is observed.

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## Introduction

The photoreactions of carbonyl compounds in solution constitute a key chapter in photochemistry textbooks.<sup>1</sup> They are very broad and encompass a wide variety of reactions such as bond cleavage, hydrogen abstraction and cycloaddition. In this regard,  $\alpha,\beta$ -unsaturated enones are known to undergo cycloaddition, including dimerization, upon irradiation.<sup>2</sup> In the particular case of cyclic enones, the inter- and intramolecular [2+2]-

photoreactions leading to the formation of cyclobutane adducts have been reported and applied to the synthesis of natural products and biologically active organic compounds.<sup>2–4</sup>

In particular, the Paternò-Büchi reaction of  $\alpha,\beta$ -unsaturated carbonyl compounds is of considerable biological interest since it is involved in the formation of the mutagenic pyrimidine (6-4) pyrimidone DNA photoproducts ((6-4)PPs). The precursor of (6-4)PPs is thought to be a thymine-derived oxetane (Thy( $\beta$ )Thy) intermediate that subsequently undergoes ring opening with concomitant rearrangement.<sup>5–8</sup> Formation of these (6-4)PPs photoproducts upon direct DNA exposure to UV light may result in genotoxic, mutagenic and carcinogenic effects.<sup>9–12</sup>

In view of their biological interest, oxetane derivatives have attracted considerable attention, focusing not only on their formation but also on their photolytic splitting, which has been related to the photoenzymatic repair of DNA (6-4)PPs.<sup>13–17</sup> In this regard, since the primary Thy( $\beta$ )Thy dimers are not isolable due to their chemical instability,<sup>18</sup> a variety of model

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oxetane conjugates composed of benzophenone (BP) and thymine (Thy) or uracil (Ura) derivatives have been employed in order to investigate the mechanism of photoinduced ring opening by means of spectroscopic techniques.<sup>19–23</sup> Although these models are far from the real Thy( $\beta$ )Thy dimers, the BP moiety has been found to be convenient to follow the course of the photoreaction by transient absorption spectroscopy, which allows rapid and quantitative detection of the BP triplet excited state ( $^3\text{BP}^*$ ),<sup>24</sup> amongst other transient species. Interestingly, irradiation of BP-Thy and/or BP-Ura oxetanes results in some cases in a rare “adiabatic” ring splitting process involving diradical species and triplet exciplexes, which ultimately evolve leading to the formation of  $^3\text{BP}^*$  and Thy (or Ura) in its ground state.<sup>19,20,22,23,25</sup> Here, “adiabatic” must be understood as the process (in this case, ring splitting) in which the system exclusively remains in the excited state (either singlet or triplet), with no transitions to the ground state occurring during the course of the reaction; in contrast, “non-adiabatic” means decay to the ground state.

Based on this background, the aim of the present work is to investigate the photolytic mechanism of chemically stable oxetane derivatives sharing with Thy( $\beta$ )Thy two structural features, namely (i) their homodimeric nature and (ii) their formation by the Paternò–Büchi reaction between two identical units of  $\alpha,\beta$ -unsaturated carbonyl compounds. Accordingly, 1,4-benzoquinone (BQ) and 1,4-naphthoquinone (NQ) have been selected to synthesize the corresponding stable dimeric oxetanes (BQ-Ox and NQ-Ox, respectively; see Scheme 1). Their photobehavior has been monitored by means of transient absorption spectroscopy, from the femtosecond to the microsecond time scale. In the case of “adiabaticity”, the “repaired” photoproducts BQ and NQ should be conveniently detected in their triplet excited states ( $^3\text{BQ}^*$  and  $^3\text{NQ}^*$ , respectively). Otherwise, the ground state would be obtained (BQ and NQ, respectively). In addition to the experimental characterization, computational analysis based on density functional theory and multiconfigurational quantum chemistry (complete-active-space self-consistent field/complete-active-space second-

order perturbation theory, CASSCF/CASPT2) have been made to provide a rationale for the experimental observations.

## Experimental section

### Chemicals and reagents

1,4-Benzoquinone (BQ) and 1,4-naphthoquinone (NQ) were purchased from Sigma-Aldrich. Acetonitrile (HPLC grade), hexane, ethyl acetate, dichloromethane and methanol were purchased from Scharlab.

### Synthesis of the homodimeric oxetanes

**Synthesis of BQ-Ox.** A solution of 1,4-benzoquinone 1.5 M (11.7 g, 108 mmol) in acetonitrile was prepared, placed into Pyrex tubes, and purged with N<sub>2</sub> for 30 min. The tubes were irradiated ( $\lambda_{\text{max}} \sim 310$  nm) under continuous stirring for 17 hours. The precipitate was filtered off, and the filtrate was evaporated under reduced pressure. Two steps were followed for purification: the crude product was first purified by silica gel chromatography, using a mixture of hexane/ethyl acetate (from 5:1 to 3:2). In the second step, the final product was purified by direct phase HPLC using dichloromethane/ethyl acetate (70:30) as eluent (flow rate 2 mL min<sup>-1</sup>).

<sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  (ppm): 7.20 (d,  $J = 12$  Hz, 1H), 6.97 (q,  $J = 16$  Hz, 2H), 6.75 (d,  $J = 12$  Hz, 1H), 6.18 (t,  $J = 16$  Hz, 2H), 5.10 (d,  $J = 12$  Hz, 1H), 4.01 (d,  $J = 12$  Hz, 1H). <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>):  $\delta$  (ppm): 190.9, 190.3, 182.8, 144.4, 141.6, 140.9, 140.2, 130.1, 127.9, 80.2, 71.6, 50.1. Yield: <2%. HRMS (ESI): *m/z* calcd for [M+H]<sup>+</sup> C<sub>12</sub>H<sub>9</sub>O<sub>4</sub>: 217.0501, found: 217.0498. CCDC 2026990.

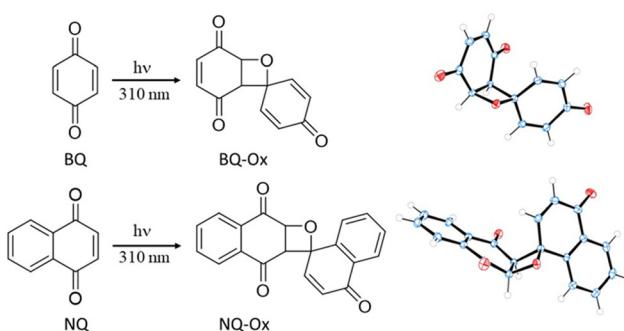
**Synthesis of NQ-Ox.** A solution of 1,4-naphthoquinone 0.1 M (1.14 g, 7.2 mmol) in acetonitrile was prepared, placed in Pyrex tubes, and purged with N<sub>2</sub> for 30 min. The tubes were irradiated ( $\lambda_{\text{max}} \sim 310$  nm) under continuous stirring for 20 hours. The precipitate was filtered off, and the filtrate was evaporated under reduced pressure. Two steps were followed for purification: the crude product was first purified by MPLC silica gel chromatography using dichloromethane as eluent. In the second step, the final product was purified by direct phase HPLC using dichloromethane/ethyl acetate (95:5) as eluent (flow rate 2 mL min<sup>-1</sup>).

<sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  (ppm): 8.31–7.58 (m, 8H), 6.81 (d,  $J = 8$  Hz, 1H), 6.29 (d,  $J = 8$  Hz, 1H), 5.55 (d,  $J = 8$  Hz, 1H), 4.45 (d,  $J = 8$  Hz, 1H). <sup>13</sup>C-NMR (100 MHz, CDCl<sub>3</sub>):  $\delta$  (ppm): 193.4, 191.7, 189.8, 183.0, 143.9, 135.6, 133.9, 130.8, 129.7, 128.5, 127.7, 126.9, 126.0, 83.3, 74.5, 56.8, 47.2, 31.6, 22.7, 14.1. Yield: <3%. HRMS (ESI): *m/z* calcd for [M+H]<sup>+</sup> C<sub>20</sub>H<sub>13</sub>O<sub>4</sub>: 317.0814, found: 317.0811. CCDC 2026991.†

### Steady-state photolysis

Irradiations were performed in deaerated acetonitrile through Pyrex tubes in a multilamp Luzchem photoreactor (10 × 8 W lamps) emitting at  $\lambda_{\text{max}} \sim 310$  nm.

A semipreparative JASCO HPLC system (PU-2080 Plus pump, DG-2080-54-line degasser and LG-2080-04 gradient unit) connected to a JASCO (UV-1575) detector was used to separate and purify the different photoproducts, using an isocratic flux



**Scheme 1** Schematic representation of the photoinduced formation of BQ-Ox and NQ-Ox in deaerated MeCN upon irradiation of the monomeric units BQ and NQ, respectively, through Pyrex tubes in a multilamp photoreactor emitting at  $\lambda_{\text{max}} \sim 310$  nm. The structure of both oxetanes in the crystalline state is also shown: C blue, H white, O red. The thermal ellipsoids are drawn at the 50% probability level.



(2 mL min<sup>-1</sup>) of MeCN as an eluent, and a SEA18 Teknokroma column, 5 µm (25 × 1 cm<sup>2</sup>).

An analytical Waters HPLC system (Waters 1525 Binary HPLC Pump and a Waters 2-channel in-line degasser AF) connected to a Waters 2996 Photodiode Array Detector (wavelength fixed at 252 nm) was used to analyze the photoinduced break-down of the different products, using an isocratic flux (0.8 mL min<sup>-1</sup>) of MeCN as an eluent, and a reversed-phase Mediterranea Teknokroma column Sea18, 5 µm (25 × 0.46 cm<sup>2</sup>).

### Spectroscopic techniques

The <sup>1</sup>H- and <sup>13</sup>C-NMR spectra were recorded at 400 and 100 MHz, respectively, using a Bruker AVANCE III instrument; chemical shifts are reported in ppm.

High-resolution mass spectrometry (HRMS) was performed in an ultra performance liquid chromatography (UPLC) ACQUITY system (Waters Corp.) with a conditioned autosampler at 4 °C. The separation was accomplished on an ACQUITY UPLC BEH C18 column (50 mm × 2.1 mm i.d., 1.7 µm), which was maintained at 40 °C. The analysis was performed using acetonitrile and water (60 : 40 v/v containing 0.01% formic acid) as a mobile phase with a flow rate of 0.5 mL min<sup>-1</sup>, and the injection volume was 5 µL. The Waters ACQUITY™ XevoQToF Spectrometer (Waters Corp.) was connected to the UPLC system *via* an electrospray ionization (ESI) interface. This source was operated in positive ionization mode with the capillary voltage at 1.5 kV at 100 °C and the temperature of the desolvation was 300 °C. The cone and desolvation gas flows were 40 L h<sup>-1</sup> and 800 L h<sup>-1</sup>, respectively. The collision gas flow and collision energy applied were 0.2 mL min<sup>-1</sup> and 12 V, respectively. All data collected in centroid mode were acquired using Masslynx™ software (Waters Corp.). Leucine-enkephalin was used at a concentration of 500 pg µL<sup>-1</sup> as the lock mass generating an [M+H]<sup>+</sup> ion (*m/z* 556.2771) and fragment at *m/z* 120.0813 and a flow rate of 50 µL min<sup>-1</sup> to ensure accuracy during the MS analysis.

Steady-state absorption spectra were recorded using a JASCO V-760 spectrophotometer.

Laser flash photolysis (LFP) measurements were performed using two different systems. One is a pulsed Nd:YAG L52137 V LOTIS TII at the excitation wavelength of 266 nm; this wavelength is achieved after a 1064 nm light beam has passed first through a second harmonic and then through a fourth harmonic crystal properly aligned to generate the 266 nm beam light. The single pulses were *ca.* 10 ns duration, and the energy was ~10 mJ per pulse. All decays were measured upon accumulation of 5 consecutive pulses at the corresponding monitoring wavelength under continuous solution stirring in a deaerated atmosphere. The laser flash photolysis system consisted of the pulsed laser, a 77250 Oriel monochromator, and an oscilloscope DP04054 Tektronix. The output signal from the oscilloscope was transferred to a personal computer. Absorbances of all solutions were adjusted at ~0.20 at 266 nm in acetonitrile (HPLC grade). The other is an LP980 laser system (Edinburgh instruments) consisting of an optical parametric oscillator (OPO, EKSPLA NT342) bombarded with the third harmonic of

an Nd:YAG laser (EKSPLA PS5062), a pulsed Xenon lamp (150 W) as a detecting light source, a monochromator (TMS302-A, grating 150 lines per mm) and a PMT (Hamamatsu photonics). Experiments were carried out at an excitation wavelength of 310 nm (the single pulses were *ca.* 5 ns duration with an energy of ~3 mJ). All components are controlled by the software L900 provided by Edinburgh. In this case, absorbances of all solutions were adjusted at ~0.20 at 310 nm in acetonitrile (HPLC grade). All decays were measured upon accumulation of 20 consecutive pulses at the corresponding monitoring wavelength under continuous solution stirring in a deaerated atmosphere. All UV and LFP measurements were done using 10 × 10 mm<sup>2</sup> quartz cuvettes at room temperature in deaerated acetonitrile (25 min N<sub>2</sub> bubbling). The raw data files were exported to be treated with the OriginLab program.

Femtosecond transient absorption experiments were performed using a pump-probe system. The femtosecond pulses were generated using a mode-locked Ti-Sapphire laser of a compact Libra HE (4 W power at 4 kHz) regenerative amplifier delivering 100 fs pulses at 800 nm (1 mJ per pulse). The output of the laser was split into two parts to generate the pump and the probe beams. Thus, tunable femtosecond pump pulses were obtained by directing the 800 nm light into an optical parametric amplifier. In the present case, the pump was set at 265 nm and passed through a chopper prior to focusing onto a rotating cell (1 mm optical path) containing the samples in organic solution (acetonitrile HPLC grade). The white light used as a probe was produced after part of the 800 nm light from the amplifier travelled through a computer-controlled 8 ns variable optical delay line and impinged on a CaF<sub>2</sub> rotating crystal. This white light was in turn split into two identical portions to generate reference and probe beams, which then were focused on the rotating cell containing the sample. The pump and the probe beams were made to coincide to interrogate the sample. The power of the pump beam was set to 200 µW. A computer-controlled imaging spectrometer was placed after this path to measure the probe and the reference pulses to obtain the transient absorption decays/spectra. The experimental data were treated and compensated by the chirp using the Excipro program. The data files were exported as matrix format from Excipro to be treated with the OriginLab program to obtain the time-resolved spectra and the ultrafast kinetic traces, which were fitted using a multi-exponential function following the Levenberg–Marquardt iteration algorithm:

$$F(t, \lambda) = \sum_{i=1}^n a_i(\lambda) e^{(-t/\tau_i)} + y_0$$

with *n* = 1 or 2.

## Results and discussion

Although cyclic enones can in principle give the Paternò–Büchi reaction to form oxetanes, this is very rare, because other reactions such as cyclobutane formation or photoreductions are generally preferred.<sup>3,26–28</sup> In the particular case of the



parent BQ and NQ, homodimeric oxetanes BQ-Ox and NQ-Ox (Scheme 1) have not yet been reported; however, there is a brief report in the literature where formation of oxetanes upon irradiation of dimethyl-*p*-benzoquinone derivatives is claimed.<sup>29</sup>

Irradiation of BQ and NQ in deaerated acetonitrile through Pyrex tubes in a multilamp photoreactor emitting at  $\lambda_{\text{max}} \sim 310$  nm allowed us to isolate the oxetanes BQ-Ox and NQ-Ox, respectively (Scheme 1), albeit in very low yields (< 3%); they were fully characterized by  $^1\text{H}$ - and  $^{13}\text{C}$ -NMR, HRMS (see the Experimental section and Fig. S1 and S2 in the ESI<sup>†</sup>). More importantly, their structures (including 3D details) were resolved by X-ray crystallography. Remarkably, stereoselectivity to the *exo* dimer was noticed in the case of NQ-Ox.

Once the desired oxetanes BQ-Ox and NQ-Ox were available, their photobehavior was examined. Steady-state irradiation of both compounds resulted in ring splitting with formation of the corresponding quinones as the only photoproducts (Fig. S3 in the ESI<sup>†</sup>).

The photoinduced processes arising from excitation of BQ-Ox were also investigated by means of nanosecond laser flash photolysis (LFP) and compared with those of the reference compound BQ. Pulsed excitation of the latter in deaerated MeCN at different excitation wavelengths (310 and 266 nm) gave rise to its triplet excited state ( $^3\text{BQ}^*$ ) with  $\lambda_{\text{max}} \sim 410$  nm.<sup>30</sup> However, no transient species were detected for BQ-Ox (Fig. 1 and Fig. S4 in the ESI<sup>†</sup>) under the same experimental conditions

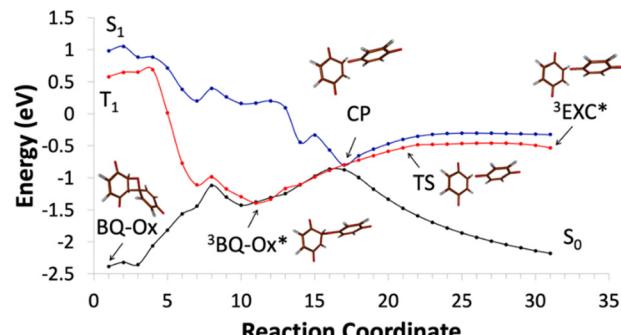


Fig. 2 Chemical mechanism of the photoinduced cycloreversion process for BQ-Ox.

(the results obtained at  $\lambda_{\text{exc}} = 310$  and 266 nm were the same); this revealed that the photocleavage of BQ-Ox decays to the ground state of the photoproducts, in contrast with previous results on BP-Thy or BP-Ura oxetanes, where certain degree of “adiabaticity” in the photoinduced cycloreversion process was observed.<sup>19,22,23,25</sup>

To explain the lack of “adiabaticity” in the oxetane ring opening, theoretical calculations were performed. Thus, density functional theory and multiconfigurational quantum chemistry were efficiently combined and provided valuable insight into the involved mechanism (Fig. 2). The computational details, the active spaces, and the nature of the states at the stationary points can be found in the ESI,<sup>†</sup> (Fig. S5–S10 and Table S1). The photocycloreversion process is characterized by five main singular structures: the ground state ( $S_0$ ) oxetane (BQ-Ox) minimum, the triplet diradical ( $^3\text{BQ-Ox}^*$ ) minimum, the triplet exciplex ( $^3\text{EXC}^*$ ) minimum, the transition state (TS) between  $^3\text{BQ-Ox}^*$  and  $^3\text{EXC}^*$  and the crossing point (CP) involving  $S_0$ ,  $S_1$ , and  $T_1$  states in between the TS and  $^3\text{BQ-Ox}^*$ . From BQ-Ox to  $^3\text{BQ-Ox}^*$ , the main deformation corresponds to the elongation of the oxetane C–C bond that is broken in the diradical structure. Then,  $^3\text{BQ-Ox}^*$  and  $^3\text{EXC}^*$  mainly differ in the C–O bond, which is broken in the latter. The CP region corresponds to an early elongation of the C–O bond from the diradical  $^3\text{BQ-Ox}^*$ .

The mechanism proposed based on the computational determinations proceeds as follows: excitation of BQ-Ox induces the formation of its first singlet excited state  $S_1$  ( $^1\text{BQ-Ox}^*$ ); this evolves towards a crossing point (CP), where  $S_0$ ,  $S_1$  and  $T_1$  are degenerated, corresponding, then, to a conical intersection (CI) and a singlet–triplet crossing (STC) point (Fig. S9 and Table S1 in the ESI<sup>†</sup> for the active space and the nature of the states at this structure, respectively). At this point, spin–orbit couplings (SOC) of  $\text{SOC}_{S_0, T_1} = 35 \text{ cm}^{-1}$  and  $\text{SOC}_{S_1, T_1} = 22 \text{ cm}^{-1}$  were obtained. If this CP region is reached, decay to the ground state  $S_0$  through internal conversion is expected to be highly efficient. Additionally, the obtained SOC values suggest that the population transfer  $S_1 \rightarrow T_1$  is less efficient as compared to  $T_1 \rightarrow S_0$ . Furthermore, if  $T_1$  is populated in the CP region, there is an energy barrier of 0.40 eV that must be overcome to access  $^3\text{EXC}^*$ . Consequently, the decay to  $S_0$  is the most favorable process in the CP region. From there, the energy profiles of Fig. 2 show two possible paths, either

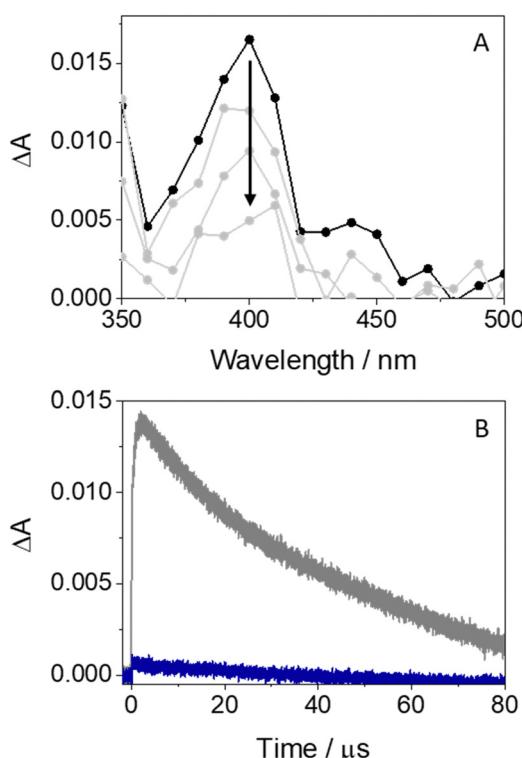


Fig. 1 (A) LFP transient absorption spectra for BQ at different times after the laser pulse (2, 10, 20 and 50  $\mu\text{s}$ ). (B) Decay traces for BQ (gray) and BQ-Ox (dark blue) at 410 nm. All measurements were performed in deaerated MeCN at  $\lambda_{\text{exc}} = 310$  nm.



formation of the starting oxetane in its ground state or evolution towards the fully separated BQ units completing the ring opening through cleavage of the C–O bond in its ground state. Overall, these two routes are energetically more favorable than the “adiabatic” formation of  ${}^3\text{BQ}^*$  through the triplet exciplex pathway. This clarifies the lack of “adiabaticity” observed experimentally in the photoinduced ring opening of BQ-Ox.

In view of the important differences between the photobehavior of the homodimeric oxetane derivative BQ-Ox and those of BP-Thy or BP-Ura, the photoinduced cycloreversion of NQ-Ox was also studied in detail. As in the previous case, the photobehavior was compared with that of its reference compound NQ. Thus, LFP measurements on NQ after excitation at 310 or 266 nm in deaerated MeCN gave rise to the formation of its triplet excited state ( ${}^3\text{NQ}^*$ ) peaking at *ca.* 370 nm (Fig. 3 and Fig. S11, ESI† respectively).<sup>31</sup> Interestingly, excitation of NQ-Ox resulted in the appearance of a much weaker signal with  $\lambda_{\text{max}} \sim 370$  nm (the results obtained at  $\lambda_{\text{exc}} = 310$  and 266 nm were the same).

The weak transient absorptions arising from excitation of NQ-Ox can be originated from either by inefficient “adiabatic” photocleavage of the oxetane or by excitation of a marginal amount of NQ arising from partial degradation of NQ-Ox during the LFP experiment. In order to better understand the origin of this species, femtosecond transient absorption spectroscopy was used with the aim of investigating the

photoinduced processes arising from both NQ and NQ-Ox in the very early stages after excitation. In this regard, this technique has been demonstrated to be very useful to study in detail ultrafast photoinduced processes such as energy or electron transfer, charge separation or intersystem crossing.<sup>32</sup> The photobehavior of NQ was first investigated since its photophysical properties at the ultrafast time-scale have not been studied previously.

As it is shown in Fig. 4A, excitation of NQ at 265 nm in MeCN resulted in the instantaneous formation of a species peaking at *ca.* 415 and 380 nm, which is assigned to the singlet–singlet absorption band ( ${}^1\text{NQ}^*$ ). This species evolved towards the formation of a new transient peaking at *ca.* 370 nm, which matches with the formation of  ${}^3\text{NQ}^*$ , also detected at longer time scales by means of LFP. This process can be explained from the kinetic traces shown in the inset of Fig. 4A; thus, the decay at 415 nm can be properly fitted with a two-component exponential law; the ultrafast one ( $\sim 400$  fs) is associated with vibrational relaxation, while the longest component of *ca.* 13 ps, which coincides in lifetime with the growth at 370 nm, can be undoubtedly associated with the intersystem crossing process to form  ${}^3\text{NQ}^*$ .

The ultrafast photobehavior of NQ-Ox was found to be very similar to that observed for NQ (Fig. 4B), although the intensity of the absorbing species was much lower. Thus, absorption bands peaking at similar wavelengths and with coincident

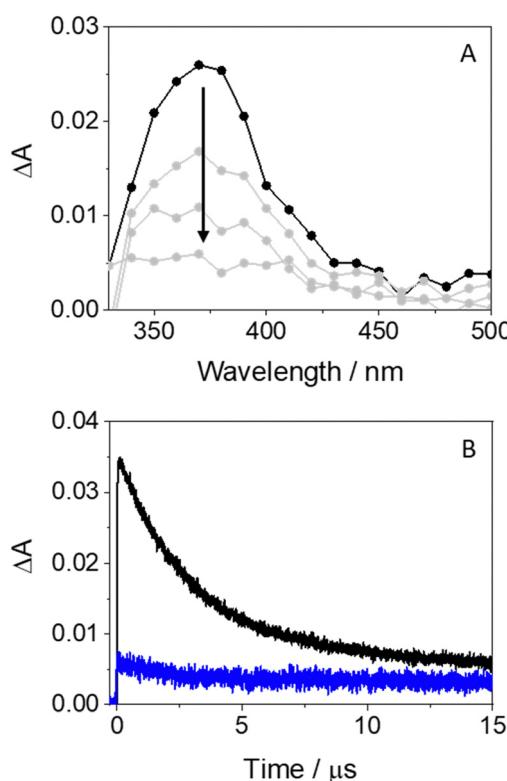


Fig. 3 (A) LFP transient absorption spectra for NQ at different times after the laser pulse (0.5, 2, 5 and 15  $\mu\text{s}$ ). (B) Decay traces for NQ (black) and NQ-Ox (blue) at 370 nm. All measurements were performed in deaerated MeCN at  $\lambda_{\text{exc}} = 310$  nm.

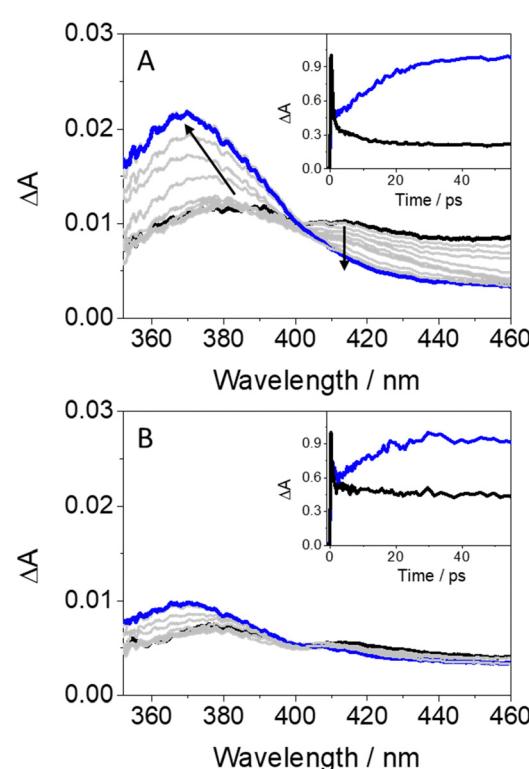


Fig. 4 Femtosecond transient absorption spectra for NQ (A) and for NQ-Ox (B) from 1 (black) to 50 ps (blue). The insets show the normalized kinetic traces at 415 (black) and 370 nm (blue). Measurements were performed in acetonitrile at  $\lambda_{\text{exc}} = 265$  nm.

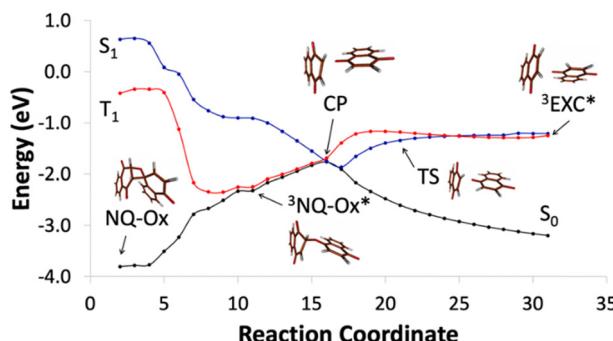


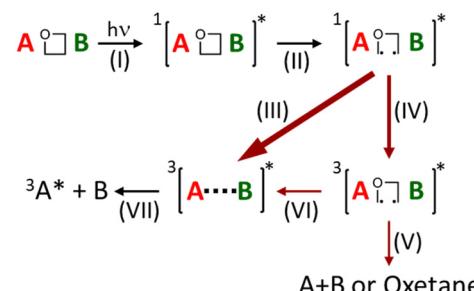
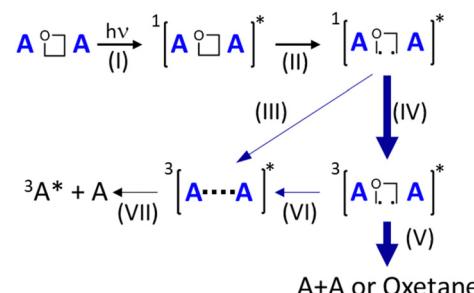
Fig. 5 Chemical mechanism of the photoinduced cycloreversion process for NQ-Ox.

lifetimes, but exhibiting much less intensity than those of NQ, were detected for NQ-Ox. This is in line with the assumption that the small signal detected by LFP on NQ-Ox (see above) arises from  $^3\text{NQ}^*$  generated upon photodegradation of the oxetane by the laser pulse rather than from the “adiabatic” photolysis. Indeed, photodegradation of NQ-Ox to form NQ was found to be detectable at low irradiation times (Fig. S3 and S12 in the ESI<sup>†</sup>).

As in the case of BQ-Ox, theoretical calculations also provide an interpretation of the lack of “adiabaticity” in the photoinduced cycloreversion process in NQ-Ox (Fig. 5). See computational details, active spaces (Fig. S13–S20, ESI<sup>†</sup>) and the nature of the states in each stationary point (Table S2) in the ESI<sup>†</sup>. Five relevant points also characterize the photoinduced cycloreversion process of the naphthoquinone dimer: the ground state ( $S_0$ ) oxetane (NQ-Ox), the triplet diradical ( $^3\text{NQ-Ox}^*$ ), the crossing point between  $S_0$ ,  $S_1$  and  $T_1$  (CP), the triplet exciplex ( $^3\text{EXC}^*$ ) and the transition state (TS) between CP and  $^3\text{EXC}^*$ , involving the same deformations corresponding to the elongation of the oxetane C-C and the C-O bonds.

The mechanism involved in the photocycloreversion of NQ-Ox shows also the same general qualitative trends as that of BQ-Ox, which agrees with the experimental observations regarding the comparison between the two systems. In this case, irradiation of the oxetane induces the population of  $^1\text{NQ-Ox}^*$ , which evolves towards the CP between  $S_0$ ,  $S_1$  and  $T_1$  states. Here, SOC values of approximately  $30\text{ cm}^{-1}$  and  $5\text{ cm}^{-1}$  were found for the  $S_1\text{T}_1$  and  $S_0\text{T}_1$  STCs, respectively. This seems to point to a longer lifetime of the  $T_1$  in this region as compared with the case of BQ-Ox. However, C-O bond cleavage on the triplet state towards  $^3\text{EXC}^*$  is hindered by an energy barrier of  $0.52\text{ eV}$ , which also makes the “adiabatic” photocycloreversion process unfavorable.

Note finally that in both BQ-Ox and NQ-Ox systems, two distinct conformations of the so-called  $^3\text{EXC}^*$  point were identified, one that exhibits a T-shaped arrangement of the monomers and another that shows a parallel orientation. The former is found directly from the minimum energy path on the  $T_1$  manifold from the TS. Further evolution on such a state shall bring the system to the parallel conformation which is the one energetically more stable and responsible for the exciplex properties. Fig. S21 and S22 shown in the ESI<sup>†</sup> display the



Scheme 2 Schematic representation of the photoinduced cycloreversion process for homodimeric (above) and heterodimeric (below) oxetane derivatives. The width of the colored arrows represents the efficiency of the process.

two different orientations for BQ-Ox and NQ-Ox excimers, respectively, and Table S3 (ESI<sup>†</sup>) provides a comparison of the energies.

A comparison of the current theoretical findings from BQ-Ox and NQ-Ox with those previously observed for head-to-head and head-to-tail oxetane regioisomers composed of benzophenone and dimethylthymine (HH and HT, respectively)<sup>21</sup> shows that the state crossing (CP) occurs prior to the TS region and after the  $^3\text{BQ-Ox}^*$  diradical in BQ-Ox, NQ-Ox and HT, whereas it is located at the TS region for HH. This imposes an energetic barrier to reach the  $^3\text{EXC}^*$  structure in BQ-Ox, NQ-Ox and HT, which is not present in HH. This result agrees with a highly efficient “adiabatic” photocycloreversion process only for HH. It is observed that the electronic structure of the excited singlet state in the diradical region of BQ-Ox and NQ-Ox resembles more the properties of HT, with a charge transfer nature between the two units of the dimer. In fact, there is a delocalization of the excitation in the BP unit in HT. The higher SOC values between  $S_0$  and  $T_1$  in the CP region for BQ-Ox and NQ-Ox compared with HT could result in a lack of “adiabaticity” in the quinone-derived oxetanes, whereas for HT, it is present to some extent.

Overall, taking the obtained results on homodimeric oxetanes BQ-Ox and NQ-Ox together with those previously obtained for the heterodimeric BP-Thy and BP-Ura oxetanes, the mechanism of photoinduced cycloreversion can be summarized as follows (Scheme 2): irradiation of the oxetane gives rise to the formation of its singlet excited state, which rapidly evolves towards cleavage of the C-C bond leading to the formation of singlet diradical species. From this point, heterodimeric oxetanes follow route III or IV, depending mainly on the regiochemistry and/or ring substitutions, inducing direct



formation of triplet exciplexes or *via* triplet diradicals, finally resulting in an adiabatic oxetane photocleavage. Conversely, an alternative route (V) from triplet diradicals results in a “non-adiabatic” ring opening or recovery of the oxetane to its ground state. Indeed, route V seems to be the main path followed by the homodimeric oxetanes of the present work, since no “adiabaticity” has been observed in their photoinduced ring opening.

## Conclusions

The synthesis of chemically stable homodimeric oxetanes BQ-Ox and NQ-Ox has been performed for the first time upon irradiation of the monomeric precursors BQ and NQ, respectively. Steady-state photolysis of BQ-Ox or NQ-Ox leads to formation of the starting materials as single products. Irradiation of BQ-Ox by LFP does not result in the formation of detectable transient species, which agrees with a “non-adiabatic” photoinduced cycloreversion process. A similar result has been observed for NQ-Ox. In this case, the very tiny signal detected at 370 nm can be attributed to formation of  ${}^3\text{NQ}^*$  from photodegradation of the oxetane during the laser experiments rather than from the “adiabatic” photocleavage. Indeed, this has been confirmed by means of ultrafast transient absorption measurements. The experimental results can be explained by theoretical calculations, which provide a clear interpretation of the lack of “adiabaticity” in the photoinduced cycloreversion process for BQ-Ox and NQ-Ox. In both cases, a conical intersection between the oxetane’s first singlet excited state and the ground state is reached, instead of triplet exciplex formation, since an uphill energetic barrier has been determined; consequently, for both homodimeric oxetanes, the ring opening occurs in a “non-adiabatic” process or, alternatively, the oxetane is recovered in its ground state. These results contrast with those previously observed for heterodimeric oxetanes, where a certain degree of “adiabaticity” in the ring splitting is observed. These results may be relevant in the framework of the photolytic repair of (6-4)PPs and related DNA photoproducts.

## Author contributions

Research was conceived by all authors. Experiments were performed by A. B.-B., L. T. with the aid of I. V. The computational study was performed by M. N.-M. with the aid of D. R.-S. The research was supervised by I. V. and M. A. M. All authors contributed to the writing of the manuscript and the ESI.<sup>†</sup>

## Conflicts of interest

There are no conflicts to declare.

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