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# The reduction behavior of sulfurized polyacrylonitrile (SPAN) in lithium–sulfur batteries using a carbonate electrolyte: a computational study†

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Lithium–sulfur batteries (LSBs) have attracted attention due to their high theoretical energy density. This and various other advantages, such as the availability and non-toxicity of sulfur, raise interest in LSBs against the background of the energy revolution. However, a polysulfide shuttle mechanism can adversely affect the electrochemical performance of the cell. The sulfur redox properties are influenced, for example, by the electrolyte and the cathode material. Here, a computational study of the discharge process of an LSB with sulfurized poly(acrylonitrile) (SPAN) as the cathode material in combination with a carbonate electrolyte is presented. The nucleation of produced solid  $\text{Li}_2\text{S}$  is compared to soluble  $\text{Li}_2\text{S}$ . Dominating species are determined by comparing the Gibbs free energy of several species. We found that multiple lithiation steps occur before each  $\text{Li}_2\text{S}$  detachment, preventing longer-chain polysulfide cleavage and a polysulfide shuttle. Through nucleating on the nitrogen-rich backbone of SPAN,  $\text{Li}_2\text{S}$  units are stabilized by interactions with each other and with the nitrogen atoms. Experimental data show a potential drop and plateau during discharge, which is consistent with the calculated discharge profiles of SPAN with both soluble and nucleated  $\text{Li}_2\text{S}$ , and hints at a direct solid–solid transition in the Li–SPAN cell during discharge when using carbonate-based electrolytes.

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## I. Introduction

By pledging the Paris Agreement as the *European Green Deal*, parties of the EU-27 agreed to reduce their total greenhouse gas (GHG) emissions by at least 40% of below 1990 levels by 2030 and to achieve net zero emissions by 2050.<sup>1</sup> Amongst all sectors, the transport sector, including international aviation, accounts for nearly 30% of the total  $\text{CO}_2$  footprint in the EU.<sup>2</sup> With the proposed target to decarbonize the transportation sector, there is a growing need for lightweight, cost-efficient, and environmentally benign batteries. In particular in the aviation sector, the main technical challenge in implementing battery-powered aircraft is the gravimetric energy density of state-of-the-art batteries.<sup>3</sup>

Lithium–sulfur-batteries (LSBs) utilize elemental lithium (3860 mA h g<sup>-1</sup>) and sulfur ( $\alpha\text{-S}_8$ ; 1672 mA h g<sup>-1</sup>) as active

materials, which results in high theoretical gravimetric energy densities of up to 2567 W h kg<sup>-1</sup>.<sup>4,5</sup> In addition to its favorable electrochemical properties, elemental sulfur is non-toxic and broadly available on the raw material market due to the high production volume out of the hydrodesulfurization process (HDS, oil refinery) in contrast to Ni, Co, and Mn, which are commonly used in state-of-the-art cathodes in LIBs.<sup>6</sup> Despite the multitude of the aforementioned favorable properties of this battery type, they have only been used rarely in technical applications to date. Major drawbacks of this battery chemistry are related to sulfur's redox properties. As carbonate electrolytes are prone to decomposition *via* a nucleophilic attack of intermediary polysulfides as described by Yim *et al.*,<sup>7</sup> ether-based electrolytes are commonly used in LSBs.<sup>8,9</sup> However, intermediary lithium polysulfides dissolve in these electrolytes and can migrate to the Li anode and reduce the concentration gradient. As a result, a continuous sulfur loss occurs, commonly referred to as the polysulfide shuttle mechanism.<sup>10</sup> The density difference of  $\alpha\text{-S}_8$  ( $\rho = \sim 2.07 \text{ g cm}^{-3}$ ) and  $\text{Li}_2\text{S}$  ( $\rho = \sim 1.66 \text{ g cm}^{-3}$ ) creates an internal mechanical stress in the cathode upon cycling due to volume contraction/expansion, ultimately leading to electrode delamination, particle cracking, and loss of electrical contact.<sup>11</sup>

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Sulfurized poly(acrylonitrile) (SPAN) is a prominent cathode material with the potential to overcome a variety of the above mentioned drawbacks of conventional sulfur/S<sub>8</sub>-cathodes.<sup>12</sup> Its high cycle stability and compatibility with various chemically different electrolytes and anodes (e.g., K, Na, Mg, Al, Li) make this cathode material an outstanding candidate for post-Li-ion cell chemistries.<sup>13</sup> Furthermore, the carbonaceous backbone of SPAN allows the buffering of volume expansion and contraction due to the sheering of the graphitic planes in response to mechanical stress upon cycling.<sup>14</sup> In particular, the stability of SPAN in carbonate electrolytes is a distinctive feature, as conventional metal-sulfur-batteries using a carbonate-based electrolyte only generate a stable cell behavior if combined with a cathode in which sulfur is addressed as allotropes with short chain length ( $\gamma$ -sulfur; S<sub>2</sub>–S<sub>4</sub>).<sup>7,15–17</sup>

Gathering insight into the redox behavior of SPAN and conventional S-cathodes by means of *in situ* or *operando* techniques is challenging due to the amorphicity of the material and its structural complexity, as well as the experimental and technical complexity of *in situ* measurements in general.<sup>18</sup> Moreover, the high self-absorption of sulfur when applying X-ray absorption spectroscopy near the absorption edge (XANES), which is sensitive to the oxidation state or atomic surrounding of S, impedes precise quantitative analysis.<sup>19</sup> These limitations motivate a theoretical approach to elucidate the lithiation behavior of SPAN on an atomic scale.

In recent years, SPAN has become the focus of theoretical research. Besides investigating the structural motifs in SPAN involving preferred conformers with inter- and intramolecular sulfur chains,<sup>20</sup> the intercalation properties were also investigated. Theoretical studies are showing that SPAN can capture polysulfides<sup>21,22</sup> and is not only a host material for lithium storage but also an active material exhibiting chemisorption of polysulfides in ether-based electrolytes. Apart from the elucidation of the discharge mechanism of SPAN in ether-based electrolytes,<sup>23,24</sup> further theoretical work investigated the formation of a passivating solid–electrolyte interphase on the SPAN surface.<sup>25</sup>

Although the lithiation behavior of SPAN is crucial for the charge and discharge process, to our knowledge, no theoretical study has so far investigated this process in carbonate-based electrolytes, which is what we aim at here. For this purpose, a representative structural model for SPAN, which covers all chemical motifs and has an appropriate size for DFT calculations, is selected. The step-wise cleavage of a sulfur side-chain of SPAN in connection with the resulting redox potentials is investigated. Two approaches are pursued, with and without the nucleation of the nascent Li<sub>2</sub>S molecules at the backbone of SPAN.

## II. Computational methods

All DFT calculations are carried out in ChemShell<sup>26,27</sup> via DL-FIND.<sup>28</sup> Turbomole V7.4.1<sup>29</sup> is used for density functional theory (DFT) and the conductor-like screening model (COSMO)<sup>30</sup>

with a dielectric constant of  $\epsilon = 89.8^{31}$  for ethylene carbonate. All local coupled-cluster computations were performed using the MOLPRO program package (2022.1).<sup>32–34</sup> These computations were run without COSMO, instead a correction for the solvation energy was computed at the HF level as  $\Delta_{\text{COSMO}} = E_{\text{HF,COSMO}} - E_{\text{HF}}$ .

### A. Benchmark studies

DFT functionals for comparison comprise BP86-D3(BJ),<sup>35–38</sup> cam-B3LYP,<sup>39</sup> M06,<sup>40</sup> PBE0-D3(BJ),<sup>41,42</sup> TPSSh-D3(BJ),<sup>43</sup> and B3LYP-D3(BJ)<sup>35,44</sup> with the def2-SVP<sup>45</sup> basis set, as well as PBEh-3c<sup>46</sup> with the def2-mSVP<sup>46</sup> basis set for geometry optimizations. At the optimized geometries, single-point energy calculations with the def2-TZVPD basis set<sup>47</sup> were performed. For comparison of the optimized geometries, calculations with the second-order Møller-Plesset perturbation theory (MP2)<sup>48</sup> with def2-SVP basis set were carried out using COSMO. Single point energies were compared to a high-level explicitly correlated local coupled-cluster (LCC) method (PNO-LCCSD(T)-F12)<sup>49–52</sup> using the cc-pVTZ-F12 basis.<sup>53</sup> Atomic charges based on the natural bond orbitals (NBO)<sup>54</sup> scheme were calculated.

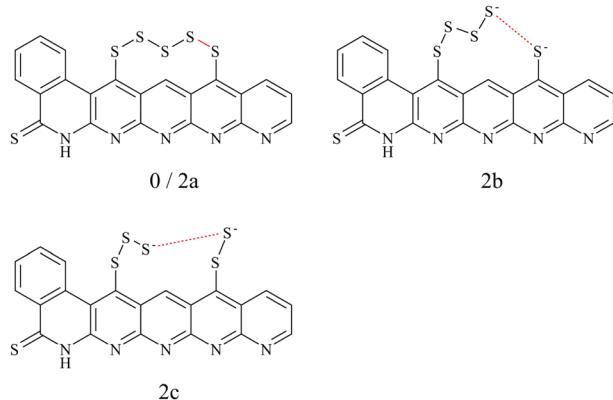
### B. Mechanistic investigations

As a result of the benchmark studies, see below, geometries were optimized at the PBEh-3c/def2-mSVP level to obtain the structures, the thermal correction, and free energy contributions at 300 K. On these optimized geometries, single-point energies were calculated at the cam-B3LYP/def2-TZVPD level of theory. Reaction paths were obtained by performing nudged-elastic band (NEB)<sup>55,56</sup> calculations at the PBEh-3c/def2-mSVP level.

Experimentally, the redox potential is usually measured against Li/Li<sup>+</sup>. In our computations, we model it through the Nernst equation:

$$E^\circ(V \text{ vs. Li/Li}^+) = -\frac{\Delta G_{\text{redox}}^\circ}{nF} - E_{\text{Li/Li}^+}^{\text{abs}} \quad (1)$$

where  $\Delta G_{\text{redox}}^\circ$  is the Gibbs free energy difference (in eV) for a reaction with implicit solvation ( $\epsilon = 89.8$ ) involving the addition of  $n$  electrons,  $F$  is the Faraday constant ( $F = 1 \text{ eV V}^{-1}$ ), and  $E_{\text{Li/Li}^+}^{\text{abs}}$  is the estimated absolute redox potential of Li/Li<sup>+</sup>. The latter cannot be calculated easily with our computational setup. Instead, we used secondary reference electrodes, namely Fe<sup>2+</sup>/Fe<sup>3+</sup> and Cu<sup>2+</sup>/Cu<sup>3+</sup>. We calculated their absolute redox potentials with a computational setting as described previously,<sup>57</sup> *i.e.*, with an explicit solvation sphere of 18 water molecules in addition to implicit solvation, but with the same level of theory used in all our further simulations. This resulted in  $E_{\text{Fe}^{2+}/\text{Fe}^{3+}}^{\text{abs}} = 5.681 \text{ V}$  and  $E_{\text{Cu}^{2+}/\text{Cu}^{3+}}^{\text{abs}} = 7.660 \text{ V}$ . From the difference between the experimental redox potentials of Fe<sup>2+</sup>/Fe<sup>3+</sup> ( $E_{\text{Fe}^{2+}/\text{Fe}^{3+}}^{\text{exp. vs. SHE}} = 0.771 \text{ V}^{58}$ ) and Li/Li<sup>+</sup> ( $E_{\text{Li/Li}^+}^{\text{exp. vs. SHE}} = -3.040 \text{ V}^{59}$ ) we can estimate  $E_{\text{Li/Li}^+}^{\text{abs}}$ . With Fe<sup>2+</sup>/Fe<sup>3+</sup> as a secondary reference, we obtain  $E_{\text{Li/Li}^+}^{\text{abs}} = 1.871 \text{ V}$ , while with Cu<sup>2+</sup>/Cu<sup>3+</sup>, we obtain  $E_{\text{Li/Li}^+}^{\text{abs}} = 2.220 \text{ V}$ . For all results reported in this work, we use the average of these two values, namely  $E_{\text{Li/Li}^+}^{\text{abs}} = 2.045 \text{ V}$ .



**Fig. 1** Schematic representation of the structures **0** (charge = 0e), **2a** (charge = -2e) with intact  $S_5$  chain (both top left) **2b** (charge = -2e) with the  $S_4-S_1$  cleaved  $S_5$  chain (top right) and **2c** with  $S_3-S_2$  cleaved sulfur chain (bottom). The split S-S bond is marked in red.

The model structure for SPAN, see Fig. 1, was chosen according to the structure proposal by Buchmeiser *et al.*,<sup>60–62</sup> containing a thioamide group and polysulfides bound in a vinylogous motif.<sup>63,64</sup> Derived from the typical elemental composition (C, H, N, S) of SPAN, a chain length of  $S_5$  represents the statistically most probable length. The model chosen here represents the structural properties, considering only intramolecular sulfur chains.

A variety of possible isomers was considered to obtain the minimum-energy structure for each redox state. This involved investigating various factors such as different  $Li^+$  placements, electron and  $Li^+$  addition, and detachment of  $Li_2S$ . The objective is to identify the most suitable structure for each reduction step.

The naming convention of the states in this study follows the following scheme:  $x\_Li_y$ , where  $x$  denotes the number of electrons transferred to the initial, neutral SPAN model and  $y$  the number of bound lithium cations.

### III. Results and discussion

#### A. Choice of the level of theory

To choose a suitable DFT functional for the following mechanistic investigations, we compared a series of functionals

(see Section II A) to reference methods. Thereby, we distinguished between geometry optimizations and electronic energy calculations.

**1. Level of theory for geometry optimizations.** To identify a suitable level of an electronic structure method, we compared the sulfur-sulfur bond lengths and the NBO charge distribution of the negatively charged complex to those obtained with MP2 as a reference method. MP2 had been found suitable for describing sulfur bonds in sulfur-rich compounds.<sup>65</sup>

We compared the uncharged structure **0** and the structures **2a** and **2b** with charge -2e (see Fig. 1). Structures **0** and **2a** contain an intact  $S_5$  chain while it is cleaved in **2b**. The goal of the comparison was to answer two questions: (i) How is the charge of the additional two electrons distributed? (ii) Does the negative charge result in a spontaneous breaking of the bond to **2b**, or does the chain remain intact (**2a**) in the optimization?

The charges are compared in Table 1. We report the individual charge differences of the sulfur atoms S1 to S5, which make up the  $S_5$  chain and provide the sums of charges for other areas of the model. The exact division and detailed charges can be found in the ESI,<sup>†</sup> in Fig. S1 and Tables S1–S3. Carbons S refers to six carbon atoms at the side of the backbone close to the  $S_5$  chain, Carbons N refers to four carbon atoms between the nitrogen atoms of the backbone.

It is particularly important for the investigation of SPAN reduction that the S-S bonds are well-described. Indications for that are a proper structure and a proper charge distribution.

After geometry optimization of the charged structures, MP2 and four of the functionals result in metastable structure **2a**, in which the  $S_5$  chain remains intact. Here, most of the additional charge is located in the thione moiety and the carbon atoms close to the  $S_5$  chain. In contrast, three of the tested functionals lead to the spontaneous breaking of the bond between S1 and S2 and, thus, to structure **2b**. Here, the majority of the charge localizes in the  $S_5$  chain, especially in the atoms S1 and S2. Where comparable, **2b** has a lower energy than **2a** as can be seen in the ESI,<sup>†</sup> Table S4.

The  $S_4-S_5$  sulfur bond lengths, on which most of the charge difference is located, are compared in Table 2. Here, it is obvious that all the functionals lead to longer bond lengths than MP2 (which results in 2.09 Å). The closest to the MP2

**Table 1** Differences in the NBO charges (in e) between structure **0** and structures **2a** or **2b** for different levels of theory

Opt. structure	<b>2a</b>			<b>2b</b>				<b>2a</b>			
	Atom area	MP2	BP86-D3(BJ)	TPSSh-D3(BJ)	B3LYP-D3(BJ)	M06	cam-B3LYP	PBE0-D3(BJ)	PBEh-3c		
S5		-0.030	-0.385	-0.416	-0.396	-0.054	-0.040	-0.044	-0.040		
S4		-0.090	-0.477	-0.571	-0.586	-0.117	-0.115	-0.103	-0.098		
S3		-0.024	0.082	0.044	0.020	-0.059	-0.048	-0.056	0.047		
S2		-0.064	-0.177	-0.147	-0.145	-0.082	-0.067	-0.073	-0.073		
S1		-0.033	-0.209	-0.166	-0.160	-0.038	-0.033	-0.035	-0.032		
$\Sigma S_5$ chain		-0.241	-1.166	-1.256	-1.266	-0.350	-0.302	-0.311	-0.291		
S (thione)		-0.202	-0.096	-0.087	-0.089	-0.193	-0.188	-0.209	-0.211		
Carbons S		-0.645	-0.188	-0.165	-0.164	-0.531	-0.531	-0.532	-0.567		
Carbons N		-0.165	-0.075	-0.059	-0.055	-0.116	-0.116	-0.108	-0.111		
$\Sigma$ Nitrogen		-0.263	-0.227	-0.223	-0.223	-0.305	-0.344	-0.328	-0.324		

Table 2 S4–S5 bond length after optimization for **2a** for different levels of theory

	MP2	BP86-D3(BJ)	TPSSh-D3(BJ)	B3LYP-D3(BJ)	M06	cam-B3LYP	PBE0-D3(BJ)	PBEh-3c
Structure	<b>2a</b>	<b>2b</b>	<b>2b</b>	<b>2b</b>	<b>2a</b>	<b>2a</b>	<b>2a</b>	<b>2a</b>
S4–S5 Bond length/Å	2.09	—	—	—	2.20	2.19	2.16	2.13

reference is PBEh-3c (2.13 Å), which also shows reasonable charges. Thus, we found PBEh-3c/def2-mSVP to be the most suitable method for optimizing the geometries.

**2. Level of theory for the energy.** In order to get improved estimates of reaction energies, single point (SP) calculations with more accurate methods were carried out for the PBEh-3c optimized structures. We choose the three functionals that gave metastable structure **2a** in the geometry optimization (M06, cam-B3LYP, PBE0-D3(BJ) and PBEh-3c) and a larger basis set (def2-TZVPD) and benchmarked the predicted relative energies for the structure **0**, **2a**, **2b**, and **2c** against high-level local coupled-cluster (LCC) calculations.

The resulting energies are listed in Table 3. The hybrid DFT functional PBE0-D3(BJ) results in a significant endothermic process with a mean difference value to the LCC energies of 0.139 eV. The smallest mean deviation of −0.055 eV from the coupled-cluster values is achieved with the range-separated cam-B3LYP functional. Consequently, we calculated energy differences with cam-B3LYP in the following investigations of the discharge mechanism.

## B. The discharge mechanism

One of the main challenges of LSBs is the low capacity and the degradation of the cells during charging and discharging. The main reason for this is the solubility of the resulting long-chain polysulfide intermediates in ether-based electrolytes. These can migrate through the electrolyte to the anode, which represents an irreversible loss of active material (polysulfide shuttle).<sup>63,64</sup> By employing SPAN as a cathode material, the use of carbonate-based electrolytes, in which polysulfides are insoluble, is possible. Experiments indicate that during the discharge process in carbonate-based electrolytes, the formation of long-chain polysulfides is suppressed, and insoluble Li<sub>2</sub>S is formed directly.<sup>66</sup> This direct solid–solid transformation results in a higher cyclability and higher cell capacity because nucleation at the backbone during the discharge process allows reversible storage of Li<sub>2</sub>S. The redox chemistry of the SPAN cathode in carbonate-based electrolytes is part of our investigations because, despite being crucial for the understanding of lithium storage during discharge, to the best of our knowledge, it has

not been investigated theoretically so far. In the following, we will discuss our results of the discharge mechanism first under the assumption that Li<sub>2</sub>S is dissolved in ethylene carbonate (EC) and then assuming that Li<sub>2</sub>S nucleates at the SPAN backbone to clarify the differences that result in the mechanism energetically and consequently electrochemically.

**1 Discharge mechanism with soluble Li<sub>2</sub>S.** An overview of the geometries and energies along a simplified discharge mechanism, *i.e.*, one in which we assume that Li<sub>2</sub>S is dissolved in EC rather than nucleated at the SPAN backbone, is shown in Fig. 2. Energies in Fig. 2 are reported relative to structure **0**, the neutral SPAN model, solvated Li<sup>+</sup>, solvated Li<sub>2</sub>S and electrons obtained from vacuum, *i.e.*, absolute reduction potentials. Minima along the discharge path are considered, while possible energy barriers are ignored.

The addition of the first electron to our SPAN model **0** leads to **1\_Li0** and is strongly exergonic by 4.143 eV, corresponding to an absolute redox potential of 4.143 V. During the discharge process, Li<sup>+</sup> ions are produced at the anode. These are mobile and are also available at the cathode. Furthermore, Li<sup>+</sup> is required for the electrochemical formation of Li<sub>2</sub>S during the discharge process. Thus, we have to take the association of Li<sup>+</sup> to our SPAN model into account. Attaching one Li<sup>+</sup> ion from the solution to structure **0** is exergonic by 0.523 eV. However, attaching one Li<sup>+</sup> ion to the anionic reduced form **1\_Li0** is already exergonic by 0.992 eV. After a further reduction step of **1\_Li0** to **2\_Li0**, attaching one Li<sup>+</sup> ion is even more exergonic, by 1.752 eV. The geometries of **1\_Li0** and **2\_Li0** are very similar to that of **0** and, therefore, not shown. Details are provided in Table S6 of the ESI.† Thus, under standard concentrations, one Li<sup>+</sup> ion is expected to attach to our model in a concerted manner already at the first reduction step. Qualitatively, the same holds for all subsequent reduction steps. The first exergonic Li<sup>+</sup> addition is possible for concerted transfers of electrons and Li<sup>+</sup> ions, which is what we focus on in the following discussion. To test where the Li<sup>+</sup> ions are likely to attach at each step, different placements were considered and compared based on their energy differences. One indication for likely binding sites was the spin density of open-shell reduced intermediates. We found that spin density, which is often better localized, is more appropriate than the charge density to find

Table 3 Energy differences and their deviation from the coupled-cluster reference for structures **0** to **2a**, **2b** and **2c** in eV

Structure	LCC	M06	cam-B3LYP	PBE0-D3(BJ)	PBEh-3c
<b>2a</b>	−7.528	−7.575	−0.047	−7.547	−0.019
<b>2b</b>	−8.511	−8.348	0.163	−8.536	−0.024
<b>2c</b>	−8.090	−7.911	0.178	−8.123	−0.123
Mean $\Delta$ to LCC	—	0.098	—	−0.055	0.139
					−0.179

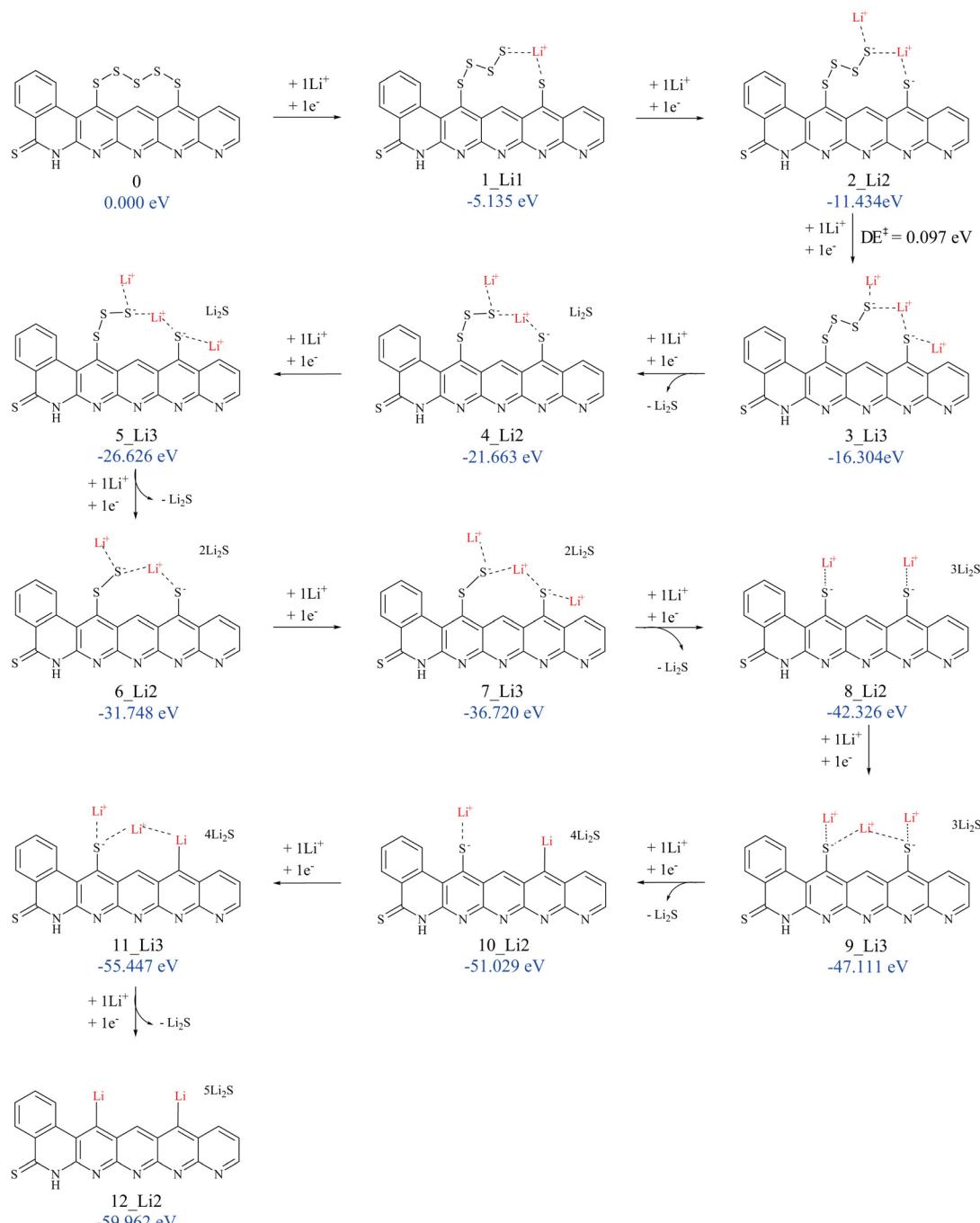


Fig. 2 Schematic representation of the proposed reduction mechanism of SPAN assuming soluble  $\text{Li}_2\text{S}$ .

out where the electron of the last reduction is preferentially located. Four different possible sites for  $\text{Li}^+$  were tried in each of the first three reduction steps, fewer for the subsequent steps. The one with the lowest Gibbs energy for each step was considered for the continuation of the discharge cycle. Details and exemplary images of the spin densities are given in the ESI.<sup>†</sup>

The binding of  $\text{Li}^+$  ions was found to possess only low barriers. As an example, we discuss the barrier of the third  $\text{Li}^+$  addition, *i.e.*, the step from  $2\text{-Li}2$  to  $3\text{-Li}3$  based on

electronic energies at the PBEh-3c/def2-mSVP level of theory. Reducing  $2\text{-Li}2$  by one electron and placing a  $\text{Li}^+$  ion  $10.35\text{ \AA}$  away from the nearest sulfur atom lowers the energy by  $4.579\text{ eV}$  compared to  $2\text{-Li}2$ . Starting from that, the further approach of  $\text{Li}^+$  lowers the energy by  $0.029\text{ eV}$ . The actual attachment of  $\text{Li}^+$  requires overcoming a barrier of merely  $0.097\text{ eV}$ , which is small compared to the thermal energy at ambient conditions of ( $0.025\text{ eV}$ ). Thus, we expect kinetic effects to play only a negligible role in the discharge process and focus the following discussion on equilibrium states.



**Table 4** Gibbs free energies for the first  $\text{Li}_2\text{S}$  detachment step and the subsequent reduction step relative to **3\_Li3**

System	$\Delta G^\circ/\text{eV}$	Step
3_Li3	0.000	
3_Li1 + $\text{Li}_2\text{S}$	0.891	Detachment
4_Li2 + $\text{Li}_2\text{S}$	-5.359	Detachment + $\text{Li}^+/\text{e}^-$
4_Li4	-4.296	$\text{Li}^+/\text{e}^-$
4_Li0 + 2 $\text{Li}_2\text{S}$	-1.938	Detachment
5_Li1 + 2 $\text{Li}_2\text{S}$	-9.472	Detachment + $\text{Li}^+/\text{e}^-$
5_Li3 + 1 $\text{Li}_2\text{S}$	-10.322	$\text{Li}^+/\text{e}^-$

Overall, the combination of two elementary processes is observed during the discharge: (i) lithiation of the sulfur chain by the addition of a  $\text{Li}^+$  cation with the concerted transfer of an electron, and (ii) the detachment of a  $\text{Li}_2\text{S}$  molecule from the cleaved sulfur chain. We found step (ii) to be exergonic always in conjunction with (i). This conjunction can be explained by the low lithiation barrier found and the expected rapid accessibility of the lithium cations due to their assumed uniform distribution in the cathode material. As a consequence, this allows simultaneous lithiation during  $\text{Li}_2\text{S}$  detachment with an accompanying electron transfer, which is also energetically favored.

Already the first addition of an electron/ $\text{Li}^+$  pair leads to the breaking of the  $\text{S}_5$  chain into an  $\text{S}_1$  and an  $\text{S}_4$  part. As discussed in the Computational methods section, we find that the  $\text{S}_4\text{--S}_5$  bond breaks preferentially. Our lowest-energy solutions consistently contain a bridging lithium cation between the two residues of the cleaved sulfur chain. The lowest-energy sites for further  $\text{Li}^+$  placements are at the free end of the longer sulfur chain, where a large part of the charge is localized according to the population analysis (see also Section 3.1.1).

Upon reduction of **3\_Li3**, we find that the electron and  $\text{Li}^+$  transfer triggers the first detachment of  $\text{Li}_2\text{S}$ , resulting in **4\_Li2**, as can be seen in Table 4. Direct addition of an electron and  $\text{Li}^+$  to **3\_Li3** would result in **4\_Li4** with an energy of 1.063 eV higher than **4\_Li2 + Li<sub>2</sub>S** in solution. Alternatives, like another abstraction of  $\text{Li}_2\text{S}$  yielding **4\_Li1**, are higher in energy, 1.102 eV above **4\_Li2**. One could also envisage that  $\text{Li}_2\text{S}$  is split off **3\_Li3** upon its formation in the third reduction step. However, we found the resulting structure **3Li\_1** to be 0.881 eV higher in energy than **3\_Li3**. The resulting structure **4\_Li2** has a bridging lithium cation and a lithium cation at the free end of the remaining  $\text{S}_3$  chain.

The attachment of a further  $\text{Li}^+$  in the subsequent reduction step results in **5\_Li3**, where one  $\text{Li}^+$  ion bridges the remains of the sulfur chain. The next  $\text{Li}_2\text{S}$  detachment is found after one more reduction step with another lithium cation/electron addition, resulting in the structure **6\_Li2**. After the next two reduction steps, resulting in a further  $\text{Li}_2\text{S}$  detachment with a further  $\text{Li}^+$  attachment, the resulting structure **8\_Li2** has two remaining sulfur atoms, which are now coordinated to  $\text{Li}^+$ . This state may well be the end of the regular discharge process. Further addition of a lithium cation and an electron causes one of the remaining sulfur atoms, which are covalently bound to the carbon backbone *via* a C–S bond, to be detached as  $\text{Li}_2\text{S}$ . The vacant site is saturated by a lithium cation. While these

processes are still exergonic, the associated cell potentials show a noticeable drop, see below.

In **12\_Li2**, where the two remaining sulfur atoms are detached from the SPAN backbone, all theoretically available sulfur was transformed into  $\text{Li}_2\text{S}$  by solid-state transitions.

**2. Discharge mechanism with  $\text{Li}_2\text{S}$  nucleation.**  $\text{Li}_2\text{S}$  can, rather than being dissolved in EC, nucleate at the nitrogen backbone of SPAN, since it is a non-soluble end-product of the reduction mechanism of SPAN. To investigate that mechanism, we followed the same procedure as for the mechanism with  $\text{Li}_2\text{S}$  in solution, see above. However, after each release of  $\text{Li}_2\text{S}$ , we attached it to the nitrogen backbone. Since the latter accumulates a negative charge, the partially positively charged lithium of  $\text{Li}_2\text{S}$  can provide strong interactions, as shown in our previous work.<sup>66</sup> Due to the limitations of our structural model, we have to attach  $\text{Li}_2\text{S}$  to the opposite side of our molecular model than that of the sulfur chain from which  $\text{Li}_2\text{S}$  was released. In the realistic condensed phase, we assume  $\text{Li}_2\text{S}$  to attach to the nitrogen backbone of a different SPAN chain in close proximity to the position of its release. Consequently, our structural model can only be seen as one possible configuration out of many. More structural and electronic variability is expected for the real system.

The first four reaction steps are the same as in the mechanism with soluble  $\text{Li}_2\text{S}$ , since no  $\text{Li}_2\text{S}$  is formed yet. Attaching  $\text{Li}_2\text{S}$  to the backbone is exergonic by 0.750 eV in **4\_Li2**. Almost all subsequent intermediates are also lower in energy when  $\text{Li}_2\text{S}$  nucleation is considered, see Table 5. One example of a resulting geometry is depicted in Fig. 3, where **6\_Li2** is shown with its two nucleated  $\text{Li}_2\text{S}$  units. It can be seen that the nucleated  $\text{Li}_2\text{S}$  units interact with each other in addition to the nitrogen atoms. This ensures the reversible accessibility of lithium. Due to the internal  $\text{Li}_2\text{S}$  interaction, proximity to the SPAN backbone can be facilitated even with increased  $\text{Li}_2\text{S}$  formation, preventing the loss of active material to the electrolytes.

**3. Redox properties.** Fig. 2 shows the sequence of reaction steps along a path of minimal energy for the discharge process. While these species are likely to be formed in the order presented, at any particular equilibrium condition, only one of the species of Fig. 2 is expected to be present in high

**Table 5** Calculated relative Gibbs free energies in eV of the stepwise discharge mechanism of SPAN with soluble and nucleated  $\text{Li}_2\text{S}$ 

<i>n</i>	Soluble	Nucleation	$\Delta$
0	0.000	0.000	0.000
1	-5.135	-5.135	0.000
2	-11.434	-11.434	0.000
3	-16.304	-16.304	0.000
4	-21.663	-21.977	-0.314
5	-26.626	-26.193	0.432
6	-31.784	-32.615	-0.831
7	-36.720	-37.697	-0.977
8	-42.326	-42.296	0.030
9	-47.111	-47.283	-0.172
10	-51.029	-50.572	0.457
11	-55.447	-54.390	1.058
12	-59.962	-61.320	-1.358



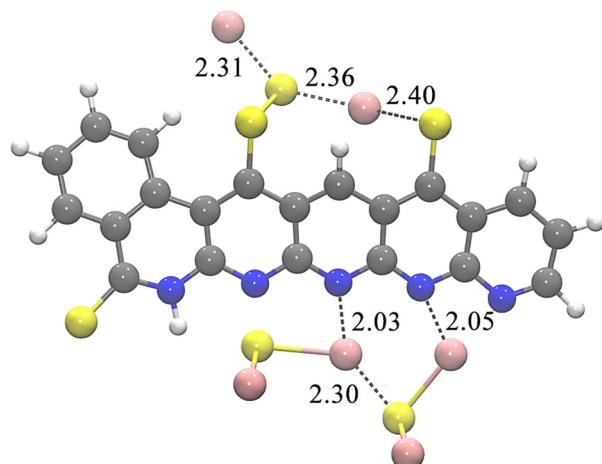


Fig. 3 Structure **6\_Li2** with two Li<sub>2</sub>S units nucleated at the SPAN backbone. Distances in Å. Color coding: grey = carbon, blue = nitrogen, yellow = sulfur, pink = lithium, white = hydrogen.

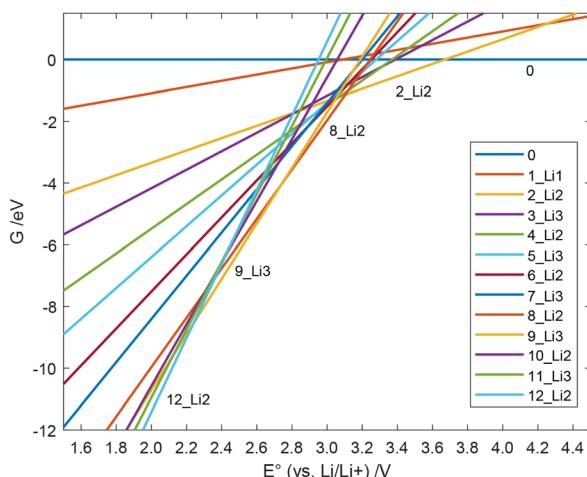


Fig. 4 Free energy  $G(E^\circ)$  of the individual species during the discharge with soluble Li<sub>2</sub>S vs. the cell potential.

concentration. The external conditions determining the equilibrium are the electrode potential  $E^\circ$  and the chemical potential of Li<sup>+</sup>. We assume the latter to be constant, as discussed above. The electrode potential  $E^\circ$ , however, changes during the discharge.

For a given  $E^\circ$ , the Gibbs free energy of each species can be calculated according to

$$G(E^\circ) = \Delta G^\circ + nFE^{\text{abs}} = \Delta G^\circ + nF(E^\circ + E_{\text{Li/Li}^+}^{\text{abs}}). \quad (2)$$

Here,  $G(E^\circ)$  is the Gibbs free energy of a particular species relative to that of structure **0**,  $E^\circ$  is the cell potential *vs.* Li/Li<sup>+</sup>, and  $\Delta G^\circ$  is the standard Gibbs free energy of that species as reported in Fig. 2 and Table 5. To compare  $G(E^\circ)$  of the different species, we set  $G(E^\circ) = 0$  eV for structure **0** as reference. For structure **1\_Li1**, as an example,  $n = 1$  and eqn (2) becomes

$$G(E^\circ) = -5.135 \text{ eV} + 1 \cdot F(E^\circ + 2.045 \text{ V}). \quad (3)$$

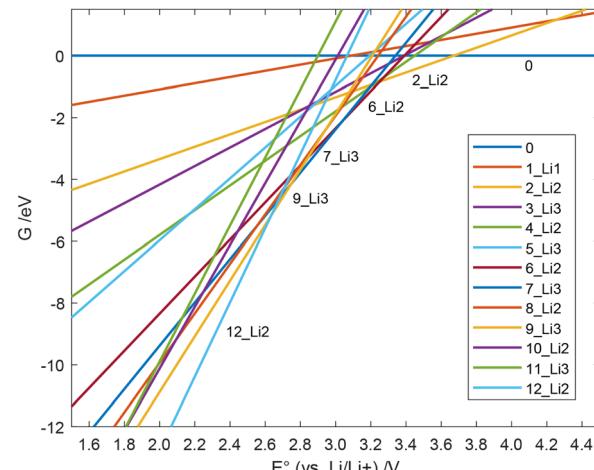


Fig. 5 Free energy  $G(E^\circ)$  of the individual species during the discharge process with Li<sub>2</sub>S nucleation *vs.* the cell potential.

The results, however, are independent of the choice of reference.

Using eqn (2), we can compare the Gibbs free energies of all species. The ratio of the concentrations of two species in equilibrium can be calculated by

$$\frac{c_1}{c_2} = \exp\left(-\frac{\Delta G_{1,2}}{RT}\right) \quad (4)$$

Correspondingly, at room temperature, a difference in Gibbs free energy of 0.1 eV corresponds to a factor of 55 between the concentrations.

All Gibbs free energies of the mechanism with soluble Li<sub>2</sub>S are depicted in Fig. 4, those for nucleated Li<sub>2</sub>S in Fig. 5. At large  $E^\circ$ , structure **0** has the lowest free energy. This means that at the end of the charging process, *i.e.*, when the externally applied voltage is high, structure **0** dominates among those states that we considered. According to our results in Fig. 4, the fully charged cell shows a potential  $E^\circ = 3.672$  V *vs.* Li/Li<sup>+</sup>. At that cell potential, the lines  $G(E^\circ)$  for structures **0** and **2\_Li2** intersect, **0** is converted to **2\_Li2** taking up two electrons in the discharge process. It is also obvious from Fig. 4 that the Gibbs free energy of species **1\_Li1** is always higher than that of **0** or **2\_Li2**. This means that whenever **1\_Li1** is formed, it disproportionates and never dominates any equilibrium. The same holds for species **3\_Li3**, **4\_Li2**, **5\_Li3**, **6\_Li2**, **7\_Li3**, **10\_Li2** and **11\_Li3** (see Fig. 2). In contrast, the species **0**, **2\_Li2**, **8\_Li2**, **9\_Li3**, and **12\_Li2** dominate along the discharge process assuming soluble Li<sub>2</sub>S. They form the convex hull in Fig. 4. The values of  $E^\circ$  of their intersection points are the cell potentials that can be found during the discharge process.

Using eqn (2) and (4), we can calculate the concentrations of all species at each value of the potential. From their changes, we can derive the number of consumed electrons, which leads to the discharge curves shown in Fig. 6.

It can be seen that the S<sub>5</sub> chain receives the first two electrons paired with lithium cations starting at a cell voltage of 3.672 V *vs.* Li/Li<sup>+</sup>, which is also where the splitting of the

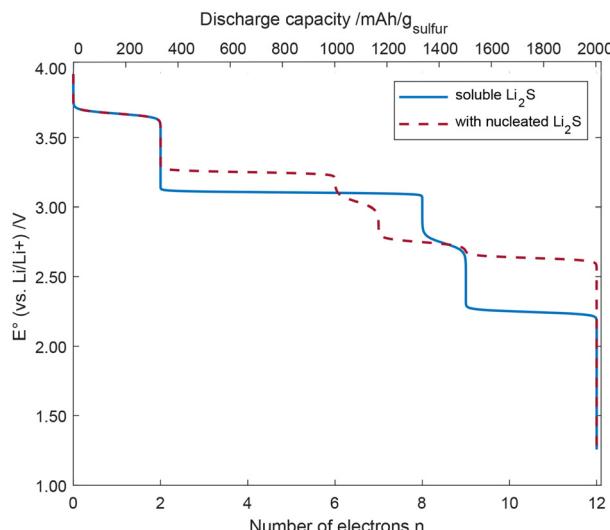


Fig. 6 Discharge curve of the cell potential vs. the number of electrons for soluble  $\text{Li}_2\text{S}$  and nucleated  $\text{Li}_2\text{S}$ .

chain occurs. The next 6 electrons, which also involve the detachment of  $3\text{Li}_2\text{S}$  units are obtained at a cell voltage around 3.104 V for soluble  $\text{Li}_2\text{S}$ . Taking  $\text{Li}_2\text{S}$  nucleation into account (see Fig. 5, 2 of the 6 electrons are transferred at a cell voltage of around 3.672 V). At around 2.741 V, 9 electrons per  $\text{S}_5$  chain have been transferred. At lower cell voltage (2.238 V) for soluble  $\text{Li}_2\text{S}$  and 2.748 V for nucleated  $\text{Li}_2\text{S}$ , the remaining two sulfur atoms at the SPAN backbone are gradually removed, cleaved off as  $\text{Li}_2\text{S}$ , C-Li bonds are formed, and another three electrons are transferred. The transfer of these last 3 electrons may be seen as deep discharge.

The two discharge processes we discuss here can only be interpreted as representatives of the real system. Structural variations in the SPAN backbone and additional species in the battery material are expected to lead to a smearing of the discrete potential steps depicted in Fig. 6. Moreover, we derive the discharge curve purely from equilibrium properties and assume fast redox reactions compared to the speed of the discharge. Kinetic effects may further change the discharge curve.

### C. Discussion and relation to the experiment

Our investigations of the discharge mechanism show step-wise detachment of sulfur as  $\text{Li}_2\text{S}$  by the coupled accumulation of lithium cations and electrons. The lithium is found to be incorporated at the nitrogen-rich backbone of the SPAN matrix by nucleation.

The steps of the discharge mechanism, as found here, show multiple lithiation before each detachment of  $\text{Li}_2\text{S}$ . This may be due to the stabilizing effect of the lithium cations, which were found to preferentially reside between the two negatively charged ends of the sulfur chain. Through such bridging lithium cations and other cations surrounding the free chain ends, the negative charge delivered by the transferred electrons to the entire SPAN matrix and the free sulfur ends can be stabilized. Cleavage of longer-chain polysulfide intermediates is suppressed.

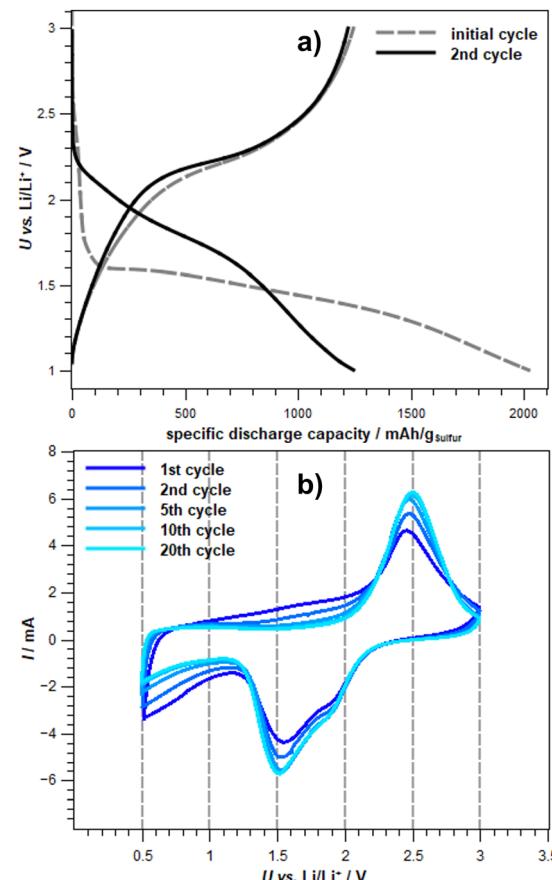


Fig. 7 Experimental (a) potential profile (0.2C) of the initial and second cycle and (b) cyclic voltammograms of exemplary cycles of Li-SPAN cells in a carbonate electrolyte. Electrolyte: 1 M  $\text{LiPF}_6$  in DMC:EC (V:V – 1:1) + 10 wt% – FEC vs.  $\text{Li}/\text{Li}^+$ .<sup>66</sup>

The DFT studies suggest a mechanism how  $\text{Li}_2\text{S}$  nucleates at the nitrogen-rich SPAN backbone. We particularly point out the interactions between the  $\text{Li}_2\text{S}$  units, which, in addition to their interaction with the nitrogen atom of the SPAN backbone, have a stabilizing effect and may facilitate the direct solid-solid transition, ensuring the reversible availability of lithium.

Comparison of the free energies of the different species along the discharge process provides information on the dominant species at each cell potential. Obtaining the transferred charge from the change in concentration, we are able to simulate an idealized discharge curve. Such a curve can directly be compared to experimental discharge curves like the one shown in Fig. 7. However, our DFT result is idealized in several ways. First, we assume the instantaneous formation of an equilibrium. In a real cell, the diffusion of  $\text{Li}^+$  will limit the equilibration, smoothing the discharge curve. Moreover, we take only one structural model of SPAN into account. In the real cell, different sulfur chain lengths and different structural features and environments of the sulfur chains will be present. While we consider our model to be representative, the real variability will lead to a further smoothing of the discharge curve. It should also be noted that the cell potential vs.  $\text{Li}/\text{Li}^+$  that we obtain is somewhat overestimated compared to

experimental data from Fig. 7. This can also be caused by our assumption of instantaneous equilibration or, *e.g.*, by inaccuracies in our estimation of the Li/Li<sup>+</sup> reference.

In order to substantiate this postulate, experimental data (see Fig. 7) can be used. Fig. 7a on the top shows the potential profile of an exemplary Li-SPAN cell in a carbonate-based electrolyte. The initial discharge of the cell exhibits a sharp potential drop at the beginning and forms a plateau at about 1.6 V. This is consistent with the potential curve shown in Fig. 6 as a function of electrons transferred. It should be mentioned that the calculated redox potentials show only the decomposition potentials of the S<sub>5</sub> chain chosen as representative. In the cyclovoltammogram (CV) of the same exemplary Li-SPAN cell, reduction potentials at 1.8 V and 1.5 V are visible, which speak for a direct solid-solid transition, since a reduced reduction range is recognizable in comparison to liquid-solid transitions.

## IV. Conclusion

The discharge mechanism of a Li-SPAN cell with a carbonate-based electrolyte was investigated based on DFT simulations. We found that the discharge occurs in a step-wise reduction of the sulfur chain forming Li<sub>2</sub>S in a solid-solid transition. We found the nucleation of Li<sub>2</sub>S at the SPAN backbone to be energetically favored. It facilitates the re-use of sulfur in the subsequent charging process and avoids material loss. Our resulting discharge curve, while showing several discrete potential drops, generally agrees with the available experimental data.

## Conflicts of interest

There are no conflicts to declare.

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