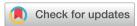
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Auto tandem triple cascade organocatalysis: access to bis-lactone and butenolide derivatives†

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The synthesis of bis-lactone and butenolide derivatives was described using alkylidene Meldrum's acid as nucleophiles. The process operates in a triple cascade through an auto tandem catalysis promoted by DBU.

Dimeric butenolides and bis-lactones are found in many natural products and possess interesting biological properties. (-)-Lindenanolide F is a dimeric butenolide, which was isolated by Fujiwara and co-workers in 2002 from the root of Lindera chunii, a plant mostly found in Asia. It is frequently used in traditional Chinese medicine to improve the wind-cold-dampness arthralgia syndrome. (-)-Salprzelactone is a seco-norabietane diterpenoid containing a bislactone motif, which was isolated by Wu and co-workers in 2013 from the root of Salvia przewalskii, a plant native to China.² It showed stronger antibacterial activity against A. aerogenes than streptomycin, acheomycin and ampicillin. Its total synthesis was first described by Zhai and co-workers in 2017.3 Bielschowskysin is a diterpene whose structure was reported by Rodriguez and coworkers in 2004.4 It was isolated from the Caribbean gorgonian octocoral Pseudopterogorgia kallos and was reported to exhibit antiplasmodial activity and selective in vitro cytotoxicity against small-cell lung and renal cancer cell lines (Fig. 1).

Few approaches towards the synthesis of dimeric butenolides and bis-lactone units have been described in the literature.^{5,6} In 2013, Sulikowski and co-workers reported the total synthesis of bielschowskysin in which the formation of the saturated and unsaturated bis-lactone unit was created by means of an intramolecular [2+2]-photocycloaddition upon irradiation of a substrate containing two butenolide moieties. More recently, Shenvi et al. described a stereo and heteroselective butenolide coupling leading to the formation of expected product in good to excellent yields.8 It

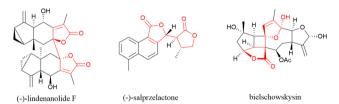


Fig. 1 Natural products possessing a butenolide and saturated and unsaturated bis-lactone motif.

should be noted that most of these methods required the coupling of two lactones or butanolide moieties that were constructed beforehand (Scheme 1).

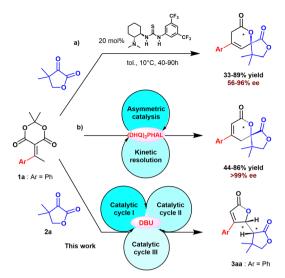
Although access to saturated and unsaturated lactones has been developed, the discovery of new strategies is still of great interest. Producing more complex structures while conserving energy, resources and minimizing waste requires new solutions. However, these solutions must remain simple, elegant and efficient in order to remain viable. With this in mind. tandem and multi-catalysis strategies remain one of the best solutions to access rapidly to complex organic structures from simple building blocks while minimizing steps. 9 Among these strategies, auto tandem catalysis (ATC) appears to be a very

Scheme 1 Approaches towards the synthesis of saturated and unsaturated bis-lactone motif.

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Scheme 2 Previous and present works

Scheme 3 Base catalysed formation of bis-lactone 3aa

interesting option, since the same catalyst promotes at least several different chemical transformations in one-pot. The main advantages of ATC consist in both the multiple role of a single catalyst, while overcoming the challenging compatibility between reagents in order to achieve an economical stepeconomy domino process. 10 We have recently discovered a new reactivity of ketone-derived alkylidene Meldrum's acid derivatives for the enantioselective synthesis of 5,6- or 3, 6-dihydropyrane-2-one with very high ee (Scheme 2). 10a,11 The selectivity for the conjugated (path a) or non-conjugated dihydropyrane-2-one (path b), is controlled by the nature of the catalyst. The more basic cinchona catalyst induced a second catalytic cycle resulting in an auto tandem process to form preferentially conjugated-dihydropyrane-2-one, starting from the non-conjugated one.

We report herein a triple cascade ATC process leading to the formation of bis-lactone 3aa from alkylidene derived from Meldrum's acid 1a and furandione 2a as electrophiles.

In the course of our study, besides the formation of unprecedented 5,6-dihydropyranone 4aa from benzylidene Meldrum's acid 1a and dihydro-4,4-dimethyl-2,3-furandione 2a, we were surprised to also observe the formation of bis-lactone byproduct 3aa (33% isolated yield) when the reaction was carried out in the presence of DBU as catalyst in THF (Scheme 3). The structure of the bis-lactone 3aa was carefully characterized by NMR, MS analysis methods and confirmed by X-ray diffraction analysis. It is noteworthy to mention that this

Table 1 Substrate scope with various alkylidene Meldrum's acid derivatives^a

30 mol% DBU Toluene, 70 °C, 17 h c = 0.1 M 3aa-3wa										
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	- ZAV		742,	\	-0	-s	Me ₂ N			
3aa	3ba	3ca	3da	[/] 3ea	3fa	3ga	3ha			
d.r. = 86/14 77%	d.r. = 86/14 85%	d.r. = 83/17 63%	d.r. > 95/5 45%	d.r. = 82/18 95%	d.r. = 90/10 77%	d.r. = 77/23 61%	d.r. > 95/5 42%			
AcO		MeO ₂ C	CI	CI	Br Zzc	NC You	O ₂ N			
3ia	3ja	3ka	3la	3ma	3na	3oa	3ра			
d.r. = 77/23 58%	d.r. = 83/17 81%	d.r. = 92/8 78%	d.r. = 85/15 48%	d.r. > 95/5 27%	d.r. = 90/10 53% ^b	d.r. = 93/7 63%	d.r. = 94/6 48%			
O ₂ N	Ach!	F ₃ C	Zyk.		S	7				
3	qa	3ra	3sa	3ta	3ua	3va	3wa			
d.r. > 9 49%	70,0		= 87/13 d. 51% ^b	r. = 77/23 53%	d.r. = 78/22 53%	d.r. = 93/7 68%	d.r. = 82/18 75%			

^a Reaction was performed with 0.5mmol of benzylidene 1, 0.525 mmol of dihydro-4,4-dimethyl-2,3-furandione 2a and 0.15 mmol of DBU in 5 mL of dry toluene at 70 °C for 17 h. b Reaction at 60 °C. c Reaction in DMF.

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finding is extremely excited not only because structure of 3aa is found within bioactive molecules, but also its synthesis has not been well developed in literature as previously mentioned.

In the first investigation, various parameters were screened, such as solvent, catalyst loading, base, temperature and concentration (see ESI† for details). It was determined that a total conversion and the best yields of bis-lactone 3aa (77%) as a major product (3aa/4aa = 98/2) were obtained in toluene at 70 °C and at 0.1 M concentration. This relatively low concentration and moderate temperature is necessary to limit the formation of oligomers promoting selectivity for the bis-lactone 3aa.12

With the optimal conditions in hand, we then investigated the substrate scope by varying the aryl group R on the alkylidene derivatives 1a (Table 1).

In most cases, a complete formation of the bis-lactone 3aa-3wa versus the conjugated spiro-compound 4aa-4wa was

Table 2 Scope of electrophiles^a

			Yield ^b (%)			
Entry	Substrate		Products	3° (d.r.)	4	
1	2b	NC OEt	3ab	32 (67/33)	41	
2	2 <b>c</b>		3ac	18 (71/29)	31	
3	2d		3ad	59 (nd)	0	
4	2e	CF ₃	3ae	52 (87/13)	24	
5	2f	Br CF ₃	3af	60 (82/18)	12	
6	2 <b>g</b>	CF ₃	3ag	43 (82/18)	22	
7	2h	CF ₃	3ah	25 (80/20)	30	
8	2i	CF ₃	3ai	58 (89/11)	12	

^a Reaction was performed with 0.5 mmol of benzylidene 1a, 0.525 mmol of electrophile and 0.15 mmol of DBU in 5 mL of dry toluene at 70 °C for 17 h. b Isolated yields. C Determined by H NMR on crude product.

observed. Only in the case of 3ha, the spiro-compound was obtained alongside the bis-lactone in a 1:1 ratio. Various substituents on the aromatic ring seemed to be tolerated for this transformation. Substrates with alkyl substituents and electron-donating substituents led to the corresponding bis-lactones in good to excellent yields (3ba, 3ca, 3ea, 3fa, 3ga and 3ja). Acetate, ester, cyano and amino substituents were also well tolerated (3ia, 3ka, 3oa, 3ha and 3ra). However, moderate yields were obtained with substrates containing halogens, nitro and CF₃ substituents (3la, 3na, 3pa, 3qa and 3sa). In these cases, the lower yields might be due to the lower reactivity of the alkylidene Meldrum's acid derivatives or the intermediates formed might be more prone to polymerization (vide infra). In other cases, the low yields obtained could be explained by the low solubility of the starting materials in the solvent. Substrates containing ortho-substituted aryl groups (3da and 3ma) proved to be more challenging as the bis-lactones were obtained in 45% and 27% respectively. Finally, naphthyl groups as well as furan and thiophene moieties were also well tolerated (3ta-3wa), albeit with moderate yields. Generally speaking, all the products were obtained in moderate to good diastereoselectivity with ratios ranging from 71/29 to 95/5, but in the majority of cases, the diastereoselectivity was greater than 82/18. We assume that the diastereo-determining step is the ring closure of intermediate 6aa (Scheme 6). It is controlled by both steric (see 3ba, 3ca, 3da and 3va, 3wa) and electronic effects (see 3ha, 3ma, 3na, 3oa, 3pa, 3qp) where ortho-substitution or electronwithdrawing group increase the diastereoselectivity up to 95/5 d.r. Moreover, the relative configuration of the major diastereomer 3aa was (R, S) determined by X-ray diffraction analysis of its single crystal, which was obtained by recrystallization (see ESI†). Next, the scope of electrophiles was investigated under standard reaction conditions (Table 2).

Finding suitable electrophiles for this transformation proved to be challenging due to the presence of spirolactone 4.13 Thus, 4cyanobenzoylformate led to the formation of the bis-lactone 3ab in 32% yield (entry 1). Using N-methylisatin as an electrophile gave the desired product 3ac in only 18% yield (entry 2). In a course of our studies, a new furandione was synthesized serving as an electrophile for this reaction, and the expected bis-lactone 3ad was isolated in 59% yield without any trace of spiro-compound 4ad (entry 3). Interestingly, fluorinated ketones offer interesting fluorinated butenolides. Thus, trifluoroacetophenone led to the formation of butenolide 3ae in 52% yield (d.r. 87/13), alongside the spiro-compound 4ae in 24% yield. Surprisingly, we were unable to increase the 3ae/4ae ratio despite a longer reaction time and/or the addition of more catalyst. This phenomenon was observed for all trifluoromethyl derivatives. It seems that electron-withdrawing substituent favors the formation of butenolide 3, whereas electron-donating group offers lower yields (compare 3ah-3ag vs. 3af-3ai). In any case, the diastereoselectivity still remains

Mechanistical study from enantiopure dihydrolactone 4aa.

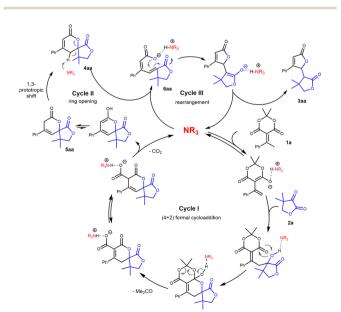
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Scheme 5 Triple auto tandem cascade

stable for 3. In order to get insight into the mechanism, the reaction was carried out starting from enantioenriched dihydropyranone 4aa (99%ee) with DBU under the standard reaction conditions. The expected bis-lactone 3aa was isolated as the sole product (61% yield with 84/16 d.r.) as a racemic form (Scheme 4). This result clearly proves that dihydropyranone 4aa is an intermediate leading to the formation of the bis-lactone 3aa. Unfortunately, this transformation is not stereoselective. Therefore, we assume a possible rearrangement involving the achiral transition state intermediate 6aa (Scheme 6).

Based on our previous works on the synthesis of dihydropyranones, 10a,11 we postulated a base-catalyzed mechanism for the formation of bis-lactones from alkylidene Meldrum's acid derivatives. This sequence proceeds through a triple cascade auto tandem catalysis promoted by the Brønsted base. Dihydropyranone 4aa was obtained following two catalytic cycles in accordance with our previous work for the asymmetric synthesis of dihydropyranones (Scheme 5).10a

The first cycle leads to the non-conjugated dihydropyranone 5aa from 1a. The 1,3-prototropic shift process gives the conjugated spirolactone 4aa in a second catalytic cycle promoted by the same base. The last catalytic cycle consists in the deprotonation of the conjugated dihydropyranone 4aa, leading to the ring opening and the formation of the achiral diene intermediate 6aa (Scheme 6). The diene would undergo intramolecular 1,4-addition ring closure to form the desired bis-lactone 3aa. The presence of significant amount of oligomer in the case of electron-withdrawing substituted alkylidene 1l, 1n, 1p, 1q or 1s is probably enhanced by the presence of this highly reactive intermediate 6.



Scheme 6 Proposed mechanism in a triple cascade auto-tandem process.

In conclusion, we have developed a new method for the synthesis of bis-lactones and fluorinated butenolides from alkylidene Meldrum's acid derivatives with satisfactory overall yields through the one-pot triple steps. We have also demonstrated that this transformation occurs through a DBU-catalyzed auto tandem catalysis in a triple-cascade process, including a rearrangement of a dihydropyranone intermediate via a ring-opening step.

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### Data availability

The data supporting this article have been included as part of the ESI.†

### Conflicts of interest

There are no conflicts to declare.

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