



Cite this: *J. Mater. Chem. C*, 2023, 11, 12698

## Chip-scale short-wavelength infrared InGaAs microspectrometer based on a linear variable optical filter

Jiyeon Jeon,<sup>†a</sup> Suho Park,<sup>†ab</sup> Yeongho Kim,<sup>ID†c</sup> Phuc Dinh Nguyen,<sup>IDab</sup>  
Byong Sun Chun<sup>ID\*a</sup> and Sang Jun Lee<sup>\*ab</sup>

A miniaturized extended short-wavelength infrared (SWIR) InGaAs microspectrometer is fabricated and characterized. An  $\text{In}_{0.83}\text{Ga}_{0.17}\text{As}$  detector with a cutoff wavelength of 2.6  $\mu\text{m}$  is successfully grown on metamorphic InAsP layers with a low surface roughness of 2.7 nm and a large strain relaxation of 96%. A wedge-shaped  $\text{Si}/\text{SiO}_2$  Fabry–Perot (F–P) linear variable optical filter (LVOF), fabricated using a specially designed deposition process, shows that the transmission peaks of the F–P fundamental mode linearly increase with increasing  $\text{SiO}_2$  cavity thickness. Similarly, a  $256 \times 1$  InGaAs linear array detector integrated with  $\text{Si}/\text{SiO}_2$  F–P LVOF detects the transmission spectra in the 1.6–2.6  $\mu\text{m}$  range that linearly shifts towards longer wavelengths with a thicker cavity thickness. The dark current of the microspectrometer is dominated by the generation-recombination current at temperatures in the range of 180–300 K, caused by Shockley–Read–Hall recombination through mid-gap states in the depletion region. At 300 K, the microspectrometer exhibits a responsivity of  $0.34 \text{ AW}^{-1}$  and a noise voltage of  $76 \text{ nV Hz}^{-1/2}$ , resulting in a specific detectivity of  $6.3 \times 10^8 \text{ cm Hz}^{1/2} \text{ W}^{-1}$ . We expect these single-chip SWIR micro spectrometers to be well-suited for applications in portable, wearable, or unmanned systems because of their compactness, robustness, and high spectral selectivity.

Received 9th April 2023,  
Accepted 24th August 2023

DOI: 10.1039/d3tc01239e

[rsc.li/materials-c](https://rsc.li/materials-c)

## 1. Introduction

Multispectral imaging has the potential to improve the detection, recognition, and identification performance of objects to a much greater extent than traditional optical imaging by acquiring three-dimensional image cubes with spatially resolved spectral information.<sup>1</sup> They have been extensively employed in numerous applications, including remote sensing, precision agriculture, food quality inspection, environmental monitoring, and biomedical imaging.<sup>2–5</sup> Such multi-spectral imaging is achieved using complex, bulky, and high-cost scanning systems operated in four image acquisition modes: whiskbroom (point scanning), pushbroom (line scanning), staring (area scanning), and snapshot.<sup>6</sup> Whiskbroom and pushbroom imagers use dispersive optical elements such as gratings and prisms to transform incident radiation into a spatially spread spectrum,

whereas staring imagers utilize interferometers or tunable filters such as wedge filters, filter wheels, and acousto-optical tunable filters.

Existing multispectral imagers, mostly based on monochromatic silicon charge-coupled devices or complementary metal-oxide semiconductor image sensors equipped with rotating filter wheels, have been developed specifically for ultraviolet-visible-near infrared (NIR) imaging in the wavelength range of 360–1100 nm.<sup>4,5</sup> Multispectral imagers configured with a standard InGaAs focal plane array (FPA) sensor capture single images for each wavelength band, ranging from NIR to short-wave infrared (SWIR, 900–1700 nm). Attempts have been made to develop large-format extended SWIR InGaAs FPA sensors with cutoff wavelengths of 1700 nm or longer, leading to both higher spectral and spatial resolutions for multispectral imaging.<sup>7,8</sup>

Unlike mid-wave (MW, 3–5  $\mu\text{m}$ ) and long-wave (LW, 8–14  $\mu\text{m}$ ) infrared (IR) imaging enabled by sensing emitted thermal radiation from objects, SWIR imaging in the spectral region of 1–3  $\mu\text{m}$  is realized by detecting reflected light from objects illuminated by natural sources, such as sunlight and the night glow of the upper atmosphere, or by artificial sources, such as eye-safe light-emitting diodes and lasers operating beyond 1.4  $\mu\text{m}$ . SWIR imaging offers unique advantages over

<sup>a</sup> Division of Interdisciplinary Materials Measurement Institute, Korea Research Institute of Standards and Science, Daejeon 34113, Republic of Korea.  
E-mail: [mainue@kriss.re.kr](mailto:mainue@kriss.re.kr), [sjlee@kriss.re.kr](mailto:sjlee@kriss.re.kr)

<sup>b</sup> Department of Nano Convergence Measurement, University of Science & Technology, Daejeon 34113, Republic of Korea

<sup>c</sup> School of Materials Science & Engineering, Chonnam National University, Gwangju 61186, Republic of Korea

<sup>†</sup> These authors contributed equally to this work.



visible and MW/LWIR imaging in that it can acquire spectral reflectance from objects under various adverse environments such as haze, smoke, fog, rain, or snow owing to minimal optical scattering effects.<sup>9</sup>

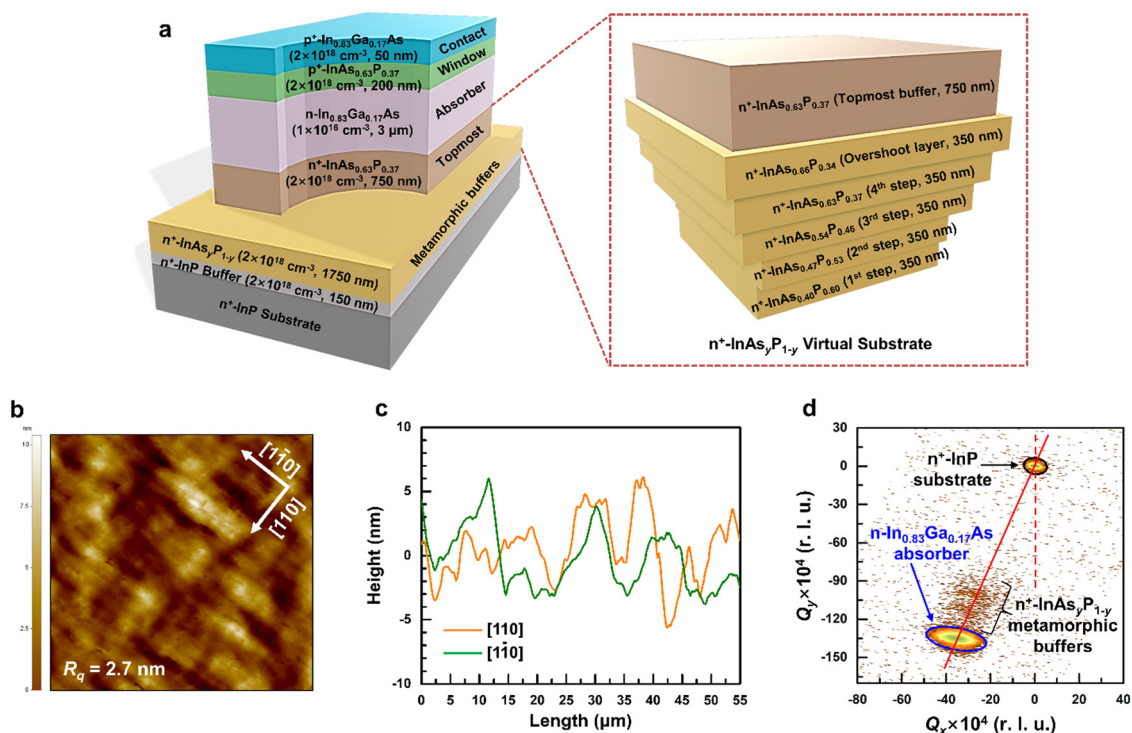
As an alternative to existing multispectral imagers using mechanically or electronically tunable optical filters, advanced multispectral imagers monolithically integrated with pixelated filter arrays have been of great interest because of their miniaturization, light weight, robustness, and cost-effectiveness.<sup>10,11</sup> Furthermore, they are capable of real-time acquisition of rich spatial and spectral information without rigorous optical alignment, which is beneficial for handheld, airborne, and spaceborne remote sensing applications.<sup>2,12</sup>

In this study, we demonstrated a compact, robust, and highly selective SWIR microspectrometer consisting of a  $256 \times 1$  extended InGaAs detector pixel array monolithically integrated with a wedge-shaped Si/SiO<sub>2</sub> Fabry–Perot (F–P) linear variable optical filter (LVOF). Part of this work, the fabrication skill have been published before. They fabricated an InGaAs detector focal plane array<sup>13</sup> and detector<sup>14</sup> with an integrated FP microcavity filter in the short wavelength range from 900 nm to 1700 nm. The response of 20 pixels was detected in the fabricated detector, and a hyperspectrometer was implemented by reconstructing using an algorithm. The our fabricated microspectrometer yielded a wavelength-tunable bandpass filter characteristics with SiO<sub>2</sub> cavity thickness dependence in the spectral range of 1.6–2.6  $\mu\text{m}$  at room temperature. Additionally, the dark current characteristics and electro-optical performance,

such as photoresponsivity and detectivity at different applied voltage biases, were measured and analyzed.

## 2. Results and discussion

The extended InGaAs photodetector structure was grown on top of the  $n^+-\text{InAs}_y\text{P}_{1-y}$  metamorphic buffer layers to achieve a 90% cutoff wavelength of 2.6  $\mu\text{m}$  at room temperature (Fig. 1a). The metamorphic buffer layers consisted of four steps of 350 nm-thick compositionally graded  $n^+-\text{InAs}_y\text{P}_{1-y}$  layers ( $y = 0.40\text{--}0.63$ ), a 350 nm-thick  $n^+-\text{InAs}_{0.66}\text{P}_{0.34}$  overshoot layer, and a 750 nm-thick  $n^+-\text{InAs}_{0.63}\text{P}_{0.37}$  topmost buffer layer. The overshoot and topmost buffer layer (compositional step-back layer) can relax the residual strain within the underlying four step-graded buffer layers and ensure a lattice-matched condition to the  $n\text{-In}_{0.83}\text{Ga}_{0.17}\text{As}$  absorber. Fig. 1b shows the flattened Atomic force microscopy (AFM) image of the  $\text{InAs}_{0.63}\text{P}_{0.37}$  topmost buffer scanned in an area of  $45 \times 45 \mu\text{m}^2$ . The buffer layer possessed a smooth surface morphology with a root-mean-square surface roughness of  $R_q = 2.7 \text{ nm}$ . In addition, the surface height profiles revealed a sinusoidal surface undulation along the  $[110]$  and  $[1\bar{1}0]$  directions (Fig. 1c). The X-ray diffraction (XRD) asymmetric reciprocal space maps of the extended InGaAs photodetector structure were recorded in the vicinity of the (115) InP Bragg reflection (Fig. 1d). Clear reciprocal lattice points (RLPs) corresponding to the InP substrate and  $\text{In}_{0.83}\text{Ga}_{0.17}\text{As}$  absorber were observed while a diffuse intensity



**Fig. 1** (a) Schematic layer structure of the as-grown the extended  $\text{In}_{0.83}\text{Ga}_{0.17}\text{As}$  photodetector structure, (b)  $45 \times 45 \mu\text{m}^2$  AFM image for 750 nm-thick  $n^+-\text{InAs}_{0.63}\text{P}_{0.37}$  topmost buffer, (c) the corresponding height profiles, and (d) asymmetric reciprocal space map of InP (115) reflection. The vertical dashed line and the tilted solid line indicate coherent growth to the InP substrate and full relaxation, respectively.



distribution was found around the RLPs for InAsP metamorphic buffers because of misfit dislocations generated at the InAsP heterointerfaces or in the relaxed metamorphic buffers.<sup>15</sup> The in-plane and out-of-plane strains in the InGaAs absorber are calculated to be  $\varepsilon_{\parallel} = +1.95\%$  and  $\varepsilon_{\perp} = +2.11\%$  from the relative RLP coordinate ( $Q_x$ ,  $Q_y$ ) of the InGaAs with respect to that of the substrate, respectively. The lattice constant ( $a_{\text{InGaAs}}$ ) of the InGaAs absorber was evaluated using the following equation:<sup>16</sup>

$$a_{\text{InGaAs}} = \left[ \frac{1 - \nu_{\text{InGaAs}}}{1 + \nu_{\text{InGaAs}}} (\varepsilon_{\perp} - \varepsilon_{\parallel}) + \varepsilon_{\parallel} + 1 \right] a_{\text{InP}}, \quad (1)$$

where  $a_{\text{InP}}$  denotes the lattice constant of the substrate ( $a_{\text{InP}} = 5.8687 \text{ \AA}$ ) and  $\nu_{\text{InGaAs}}$  is Poisson's ratio ( $\nu_{\text{InGaAs}} = 0.2745$ ) of  $\text{In}_x\text{Ga}_{1-x}\text{As}$  calculated by applying Vegard's law of  $\nu(x) = x\nu_{\text{InAs}} + (1-x)\nu_{\text{GaAs}}$  with  $x = 0.83$ . The calculated lattice constant of the InGaAs absorber was  $5.9890 \text{ \AA}$ , which is  $2.05\%$  larger than that of the substrate. The degree of strain relaxation in the absorber was  $\sim 96\%$ , determined by the relation of  $(a_{\parallel} - a_{\text{InP}})/(a_{\text{InGaAs}} - a_{\text{InP}})$ , where  $a_{\parallel} (= 5.9845 \text{ \AA})$  is the in-plane lattice constant of the InGaAs.<sup>17</sup>

Fig. 2a shows the schematic of a dielectric multilayered LVOF based on an F-P resonator with distributed Bragg reflectors (DBRs). The LVOF consists of Si and  $\text{SiO}_2$  dielectric film stacks with refractive indices  $n_{\text{Si}} = 3.523$  and  $n_{\text{SiO}_2} = 1.455$ , respectively. The two DBRs based on Si/ $\text{SiO}_2$  pairs were considered quarter-wave stacks with a high refractive index contrast of  $\Delta n = 2.068$ . The reflectivity at normal incidence of the Si/ $\text{SiO}_2$  DBR is given by the following relation:<sup>18</sup>

$$R = \left[ \frac{1 - (n_{\text{Si}}/n_{\text{SiO}_2})^{2p} (n_{\text{Si}}^2/n_{\text{sub}})}{1 + (n_{\text{Si}}/n_{\text{SiO}_2})^{2p} (n_{\text{Si}}^2/n_{\text{sub}})} \right]^2, \quad (2)$$

where  $p$  denotes the number of Si/ $\text{SiO}_2$  pairs and  $n_{\text{sub}} (= 1.738)$  is the refractive index of the sapphire substrate. The reflectivity of DBR increased as the number of Si/ $\text{SiO}_2$  pairs increased. A high reflectivity of  $\sim 98.4\%$  for DBR was achieved with only two pairs of Si/ $\text{SiO}_2$  in the spectral range of  $1.4\text{--}2.6 \mu\text{m}$ . A  $\text{SiO}_2$  F-P cavity with varying cavity thicknesses from  $t_c = 517 \text{ nm}$  to  $890 \text{ nm}$  is surrounded by upper and lower Si/ $\text{SiO}_2$  DBRs. The peak wavelength of the LVOF was determined by the resonance

condition of  $\lambda_p = 2n_{\text{SiO}_2} t_c/m$ , where  $m$  denotes the integer resonance order.<sup>19</sup> In addition, transmission through the multilayered F-P filter under normal incidence is associated with the reflectivity of the DBR and the physical parameters of the  $\text{SiO}_2$  cavity.<sup>20</sup>

$$T = \frac{4\sqrt{R_1 R_2}}{(1 - \sqrt{R_1 R_2})^2 (1 + F \sin^2 \theta)}, \quad (3)$$

where  $R_1$  and  $R_2$  denote the reflectivities of the top and bottom DBRs, respectively;  $F$  is the cavity's coefficient of finesse, with a higher value indicating a sharper transmission peak and a wider tuning range; and  $\theta$  is the electric field phase shift after one round trip inside the cavity. The total phase shift is described by  $\theta = \frac{1}{2}(\varphi_1 + \varphi_2 - 2\pi n_{\text{SiO}_2} d/\lambda)$ , where  $\varphi_1$  and  $\varphi_2$  denote the phase shifts related to the reflection off the top and bottom DBRs, respectively, and  $\lambda$  is the incident wavelength. In Fig. 2b, eight sharp F-P resonance peaks associated with the fundamental resonant mode ( $m = 1$ ) appear in the spectral range of  $1.7\text{--}2.4 \mu\text{m}$ . Undesired higher-order F-P harmonics are present in the transmission spectra ranging from  $1.0$  to  $1.4 \mu\text{m}$ . A Si/ $\text{SiO}_2$  blocking filter with an optical density of three was designed to suppress unwanted harmonics. The LVOF shows the fundamental resonance peaks with high transmission greater than  $95\%$  and full-width-at-half maximum (FWHM) less than  $84 \text{ nm}$  in the spectral range of  $1.6\text{--}2.6 \mu\text{m}$  when assembled with the designed blocking filter (Fig. 2c).

A vertically tapered optical filter is typically fabricated using a chemical-thermal photoresist reflow process.<sup>21</sup> A specially patterned photoresist on a dielectric cavity layer was placed in a sealed chamber saturated with solvent vapor, followed by heat treatment at temperatures higher than the glass transition temperature to reshape the resist owing to the surface tension of the melted resist. However, this reflow process suffers from the difficulty of precisely controlling the solvent vapor condensation and cross-linking reactions of the resist at elevated temperatures. To avoid the disadvantages of the chemical-thermal reflow process, we devised and used an electron beam evaporator with a specially designed linear motion shutter

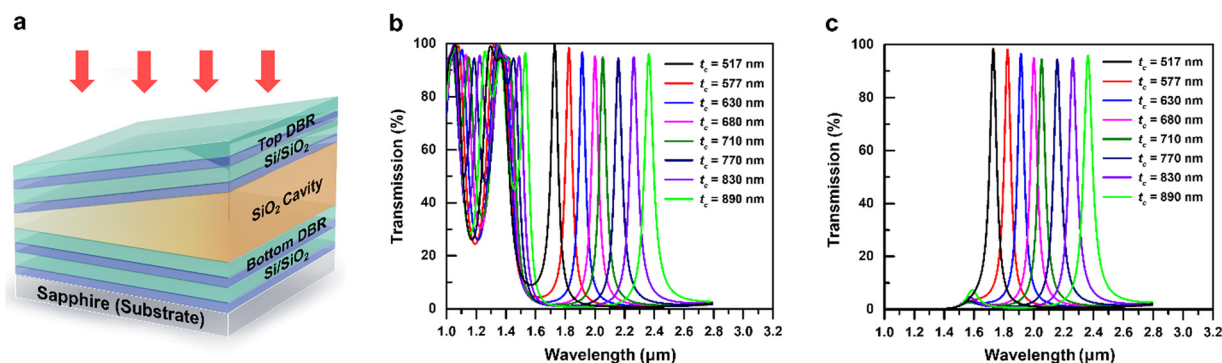


Fig. 2 (a) Schematic structure of the Fabry-Perot type linear variable optical filter and simulated transmission spectra for different  $\text{SiO}_2$  cavity thicknesses ranging from  $517$  to  $890 \text{ nm}$  (b) without and (c) with the Si/ $\text{SiO}_2$  blocking filter.



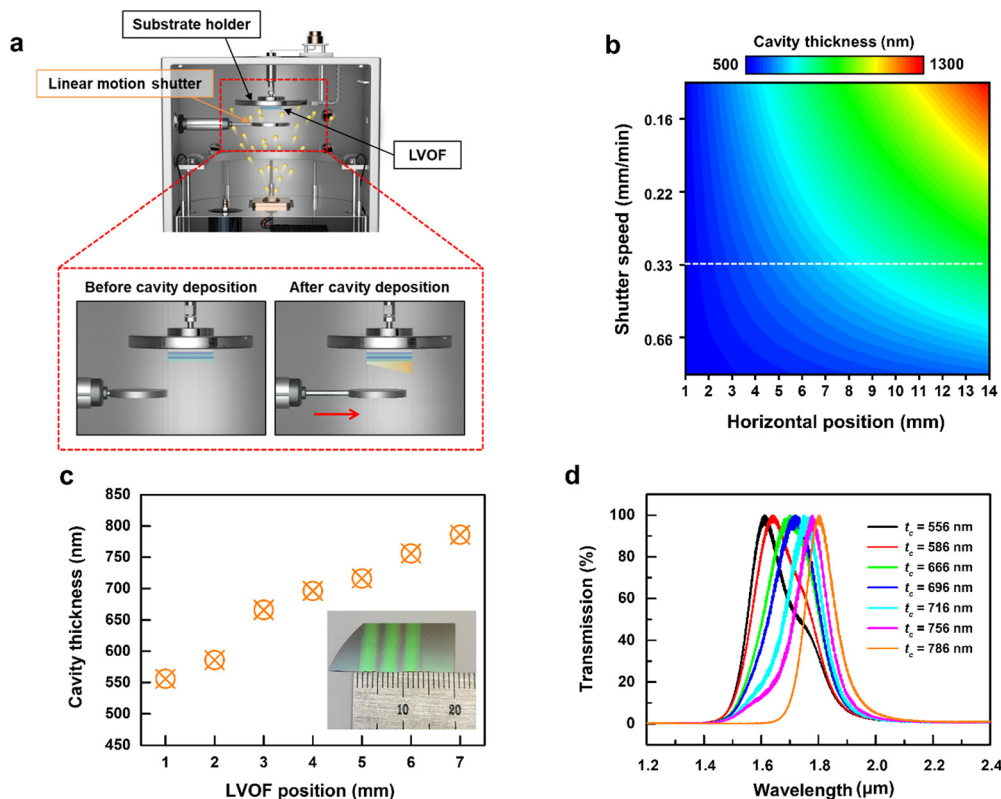


Fig. 3 (a) Schematic representation of electron beam evaporation of a tapered  $\text{SiO}_2$  cavity by using a specially designed linear motion shutter, (b) measured contour plot of the cavity thickness against shutter speed and horizontal position of the cavity, (c) fabricated  $\text{Si}/\text{SiO}_2$  F-P LVOF with a tapered thickness profile, and (d) measured transmission spectra of the fabricated LVOF with varying  $\text{SiO}_2$  cavity thickness.

located 8 cm underneath the substrate holder for the fabrication of a  $\text{Si}/\text{SiO}_2$  F-P LVOF (Fig. 3a). A bottom DBR consisting of two pairs of  $\text{Si}$  (145 nm)/ $\text{SiO}_2$  (380 nm) layers was deposited on a sapphire substrate. Fig. 3b shows a contour plot of the measured  $\text{SiO}_2$  cavity thickness as a function of the shutter speed and the horizontal position of the cavity. A shutter speed of  $0.33 \text{ mm s}^{-1}$  and  $\text{SiO}_2$  deposition rate of  $1.5 \text{ Å s}^{-1}$  were used to obtain a cavity thickness profile linearly increasing from 517 to 890 nm along the horizontal axis of the cavity. After cavity deposition, the linear motion shutter was returned to the initial position, and a  $\text{Si}/\text{SiO}_2$  top DBR was deposited on the tilted  $\text{SiO}_2$  cavity.

Fig. 3c shows the measured  $\text{SiO}_2$  cavity thickness of an LVOF fabricated on a sapphire substrate according to the position on the LVOF. The transmission spectra of the LVOF were measured with a narrow entrance slit of  $50 \text{ μm}$  width located between the LVOF and the IR source beam of the Fourier-transform infrared (FT-IR) spectrometer. As seen in Fig. 3d, the peak wavelength of the LVOF increases with increasing cavity thickness due to the fundamental F-P resonance mode. The FWHM of the transmission spectra was measured to be between 120 and 210 nm, which is at least 1.8 times broader than that expected from the simulation shown in Fig. 2c. The divergence angle at the entrance slit was linearly proportional to the incident wavelength ( $\lambda$ ). Additionally, the beam diameter after passing through the slit increased because the Rayleigh

range decreased with an increase in the incident wavelength.<sup>22</sup> In the case of  $\lambda = 1.9 \text{ μm}$  and the axial distance of 5 mm between the entrance slit and the LVOF, the beam diameter after passing through the slit is estimated to be approximately  $250 \text{ μm}$ . Such a beam divergence effect causes FWHM broadening of the transmission spectra as a result of the superposition of neighboring F-P transmission modes.

The fabrication of a single-chip SWIR microspectrometer began with the monolithic deposition of vertically tapered layers of  $\text{Si}/\text{SiO}_2$  F-P LVOF on an epitaxial wafer of the extended InGaAs photodetectors, as shown in Fig. 4a. A  $6 \text{ μm}$ -thick AZ10XT photoresist layer was spun and patterned to prepare an array of  $256 \times 1$  LVOF pixels on the  $\text{p}^+\text{-InGaAs}$  top contact layer using conventional photolithography. An LVOF pixel pitch of  $50 \text{ μm}$  and pixel area of  $36 \times 470 \text{ μm}^2$  were defined by ICP-RIE etching of the LVOF layers under a gas mixture of  $\text{Ar}/\text{SF}_6 = 50/100 \text{ sccm}$ . An array of  $256 \times 1$  extended InGaAs photodetector pixels with a pitch of  $50 \text{ μm}$  and area of  $40 \times 500 \text{ μm}^2$  was thereafter fabricated underneath the LVOF array using photoresist patterning and ICP-RIE etching with  $\text{BCl}_3$  gas of  $60 \text{ sccm}$  (Fig. 4b). The sidewall surface damage caused by the dry etch was removed using a gentle wet etch in a  $\text{H}_3\text{PO}_4:\text{H}_2\text{O}_2:\text{H}_2\text{O}$  (1:2:20) solution, followed by plasma-enhanced chemical vapor deposition of  $200 \text{ nm}$ -thick  $\text{SiO}_2$  as a surface passivation layer (Fig. 4c). The  $\text{SiO}_2$  opening area was thereafter etched using ICP-RIE with a gas mixture of  $\text{Ar}/\text{CF}_4 = 30/90 \text{ sccm}$  to expose the top and bottom



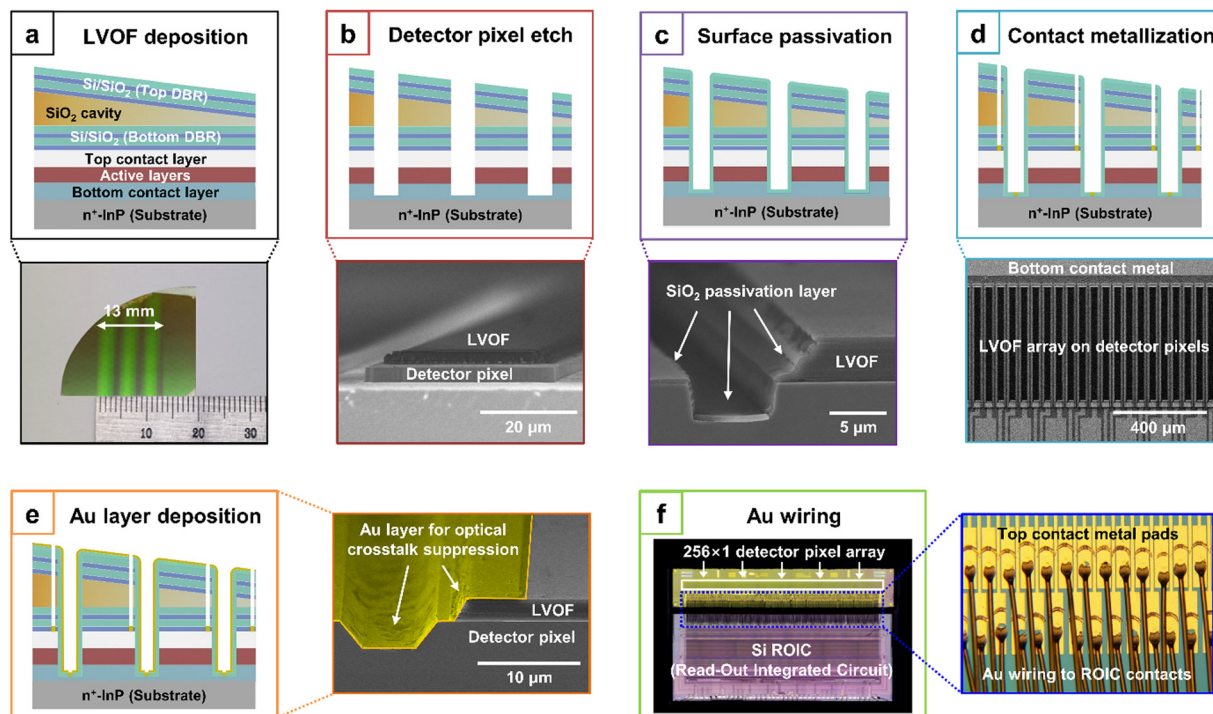


Fig. 4 Fabrication flow of a single-chip SWIR microspectrometer. Digital image of (a) a tapered Si/SiO<sub>2</sub> F-P LVOF deposited on an extended InGaAs photodetector wafer and scanning electron microscopy (SEM) images of (b) detector pixels integrated with LVOF after ICP-RIE etching, (c) sidewalls of the etched detector pixels surface passivated with 200 nm-thick SiO<sub>2</sub>, (d) individual top contact pads and a common bottom contact pad of the detector pixels and (e) deposited Au layer for the suppression of light spreading across the inter-pixel gaps, and digital image of (f) 256 × 1 extended InGaAs detector pixel array interconnected to a silicon readout integrated circuit by Au wiring.

contact layers. The contact metals Pd (6 nm)/Ti (30 nm)/Cr (50 nm)/Au (300 nm) and Pd (25 nm)/Ge (30 nm)/Au (300 nm) were deposited on the top and bottom contact layers by electron beam evaporation, respectively (Fig. 4d). The gaps between the LVOF-integrated detector pixels in the array were filled with a 400 nm-thick Au layer to prevent optical crosstalk between adjacent pixels (Fig. 4e). The top contact metal pads were connected to a silicon readout integrated circuit by Au wiring for the accumulation of photocurrent from each detector pixel and the generation of output signals for the readout (Fig. 4f).

Fig. 5a shows the spectral response of an extended SWIR InGaAs reference photodetector without Si/SiO<sub>2</sub> F-P LVOF measured at a voltage bias of  $V_b = 0$  V and 300 K. The reference detector had a response peak at  $\sim 2.3$   $\mu\text{m}$  with a 90% cutoff wavelength of 2.6  $\mu\text{m}$ . Representative spectral responses of the 256 × 1 extended InGaAs detector pixel array integrated with the LVOF (single-chip SWIR microspectrometer) are measured at  $V_b = 0$  V and 300 K, as shown in Fig. 5b. It is evident that the single-chip SWIR microspectrometer with the Au layer deposited on the inter-pixel gaps has a higher spectral response intensity at the transmission peak wavelength of the LVOF with a SiO<sub>2</sub> cavity thickness of 870 nm than that without it. The LVOF transmission, which is equivalent to a wedge etalon, is obtained as follows:<sup>23</sup>

$$T = (1 - R)^2 \left[ \sum_{N=1}^{\infty} R^{N-1} \exp \left( i - \gamma \frac{\lambda}{2\pi} \right) \delta_N \right]^2, \quad (4)$$

where  $R$  denotes the reflectivity of the cavity surface,  $N$  is the number of interfering waves,  $\gamma$  is the absorption coefficient of the cavity,  $\lambda$  is the incident wavelength, and  $\delta_N$  is the phase difference between the incident and  $N$ -th interfering waves. The phase difference under normal incidence is expressed by  $\delta_N = (4\pi/\lambda)n_{\text{SiO}_2}x \sin(N-1)\alpha \cos(N-1)\alpha$ , where  $x$  denotes the lateral distance between the LVOF pixel and the wedge apex and  $\alpha$  is the wedge angle of  $\sim 2.4^\circ$ . As shown in Fig. 4e, the top surface of the LVOF was partially covered by the Au layer, with a total coverage of  $\sim 42\%$ . Accordingly, the amount of incident radiation falling on the LVOF diminishes, leading to a lower reflective cavity surface. The reduced reflectivity of the cavity surface directly translates into increased transmission intensity because the fraction of the total intensity lost through the multiple-beam interference by beam walk-off becomes smaller. The beam walk-off is lower owing to the reduction in multiple reflections between the unparallel DBRs of the LVOF.<sup>24</sup> Consequently, the peak intensity of the spectral response for the LVOF was higher when the Au layer of the single-chip SWIR microspectrometer was introduced. Fig. 5c shows the measured spectral responses of the single-chip SWIR microspectrometer with the Au layer under zero bias at 300 K. Clearly, the peak wavelength of the spectral response passing through the LVOF increased linearly from 1.665 to 2.065  $\mu\text{m}$  with increasing SiO<sub>2</sub> cavity thickness from  $t_c = 602$  to 997 nm. As shown in Fig. 2, the linear increase in the peak wavelength with cavity thickness is explained by the peak



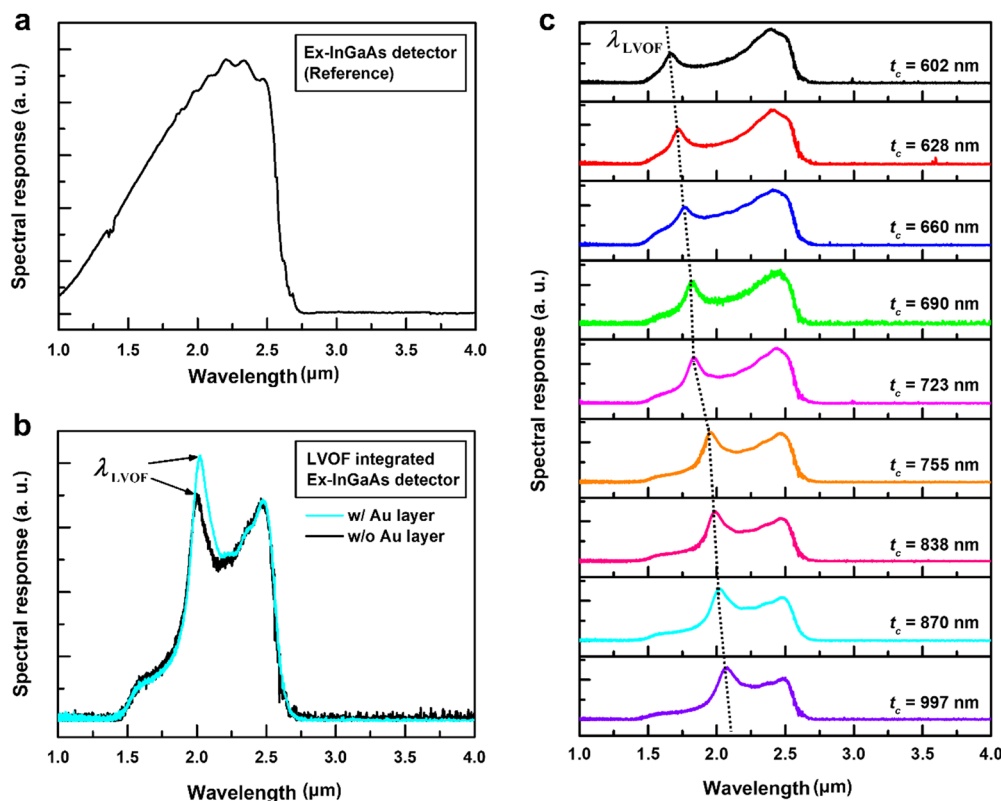


Fig. 5 Measured spectral responses under zero bias at 300 K of (a) an SWIR InGaAs photodetector without Si/SiO<sub>2</sub> F-P LVOF, (b) single-chip SWIR microspectrometer without and with the Au layer deposited on the inter-pixel gaps, and (c) single-chip SWIR microspectrometer with the Au layer with increasing SiO<sub>2</sub> cavity thickness from top to bottom.

wavelength dependence on the optical thickness of the cavity ( $\lambda_p \propto n_{\text{SiO}_2} t_c$ ).

Fig. 6a shows the measured dark current density–voltage ( $J_d - V$ ) characteristics of the single-chip SWIR microspectrometer in the temperature range of 77–300 K. The  $J_d - V$  characteristics are strongly temperature-dependent and represent an exponential increase in the current density with the reverse voltage bias. In Fig. 6b, an Arrhenius plot of the dark current density *versus* the inverse temperature at  $V_b = -20$  mV provides a route to determine the dominant dark current mechanism. The thermal activation energy ( $E_a$ ) for the dark current density was extracted from the slope of the Arrhenius plot using the relation  $J_d(T) = A^* T^2 e^{-E_a/k_B T}$ , where  $A^*$  denotes the Richardson constant,  $T$  is the temperature, and  $k_B$  is the Boltzmann constant.<sup>25</sup> The activation energy was determined to be  $E_a = 0.214$  eV in the temperature range of  $180 \text{ K} < T < 300 \text{ K}$ . This value is close to half of the bandgap energy (0.47 eV) of the n-In<sub>0.83</sub>Ga<sub>0.17</sub>As absorber, indicating that the reverse dark current is dominated by the generation–recombination (G–R) current mechanism. The Shockley–Read–Hall (SRH) G–R current can be attributed to deep-level traps induced by misfit dislocations at the InGaAs/InAsP heterointerface.<sup>26</sup> Such crystalline defects decrease the minority carrier lifetime in the depletion region, which is inversely proportional to the G–R current.<sup>27</sup> The band structure at the heterojunction interface was calculated at  $T = 300 \text{ K}$  and  $V_b = -20$  mV using a

self-consistent one-dimensional Schrödinger–Poisson solver, as shown in the inset of Fig. 6b.<sup>28</sup> The potential energy barriers for electrons and holes were estimated to be  $\Delta E_c = 155$  meV and  $\Delta E_v = 240$  meV, respectively. The high-energy barriers effectively suppress the diffusion current over a relatively high-temperature range. In Fig. 6c, the peak responsivity ( $R$ ) and noise voltage of the single-chip SWIR microspectrometer are plotted as a function of the voltage bias to evaluate the specific detectivity at 300 K. The peak responsivity was derived from the measured *rms* photocurrent divided by the integral of the product of the spectral photon given wavelength range.<sup>29</sup> The responsivity increased exponentially with increasing reverse bias and reached a saturation level at  $R \approx 2 \text{ AW}^{-1}$  because of the saturated drift velocity of the photogenerated carriers in a high electric field. Fig. 6d shows the specific detectivity ( $D^*$ ) as a function of the voltage bias at 300 K.  $D^*$  is determined by the relation  $D^* = R \sqrt{A_d \Delta f} / \sqrt{\langle i_n^2 \rangle}$ , where  $R$  denotes the responsivity,  $A_d$  is the active area of the detector pixel,  $\Delta f$  is the bandwidth, and  $i_n$  is the noise current given by dividing the noise voltage by the dynamic resistance of the pixel.<sup>30</sup> The highest detectivity of  $D^* = 6.3 \times 10^8 \text{ cm Hz}^{1/2} \text{ W}^{-1}$  is achieved at zero bias, with a corresponding responsivity of  $R = 0.34 \text{ A W}^{-1}$ , as shown in Fig. 6d. This detectivity value is relatively lower than the measured detectivity of  $D^* = 5.2 \times 10^9 \text{ cm Hz}^{1/2} \text{ W}^{-1}$  for the detector pixel without the LVOF owing



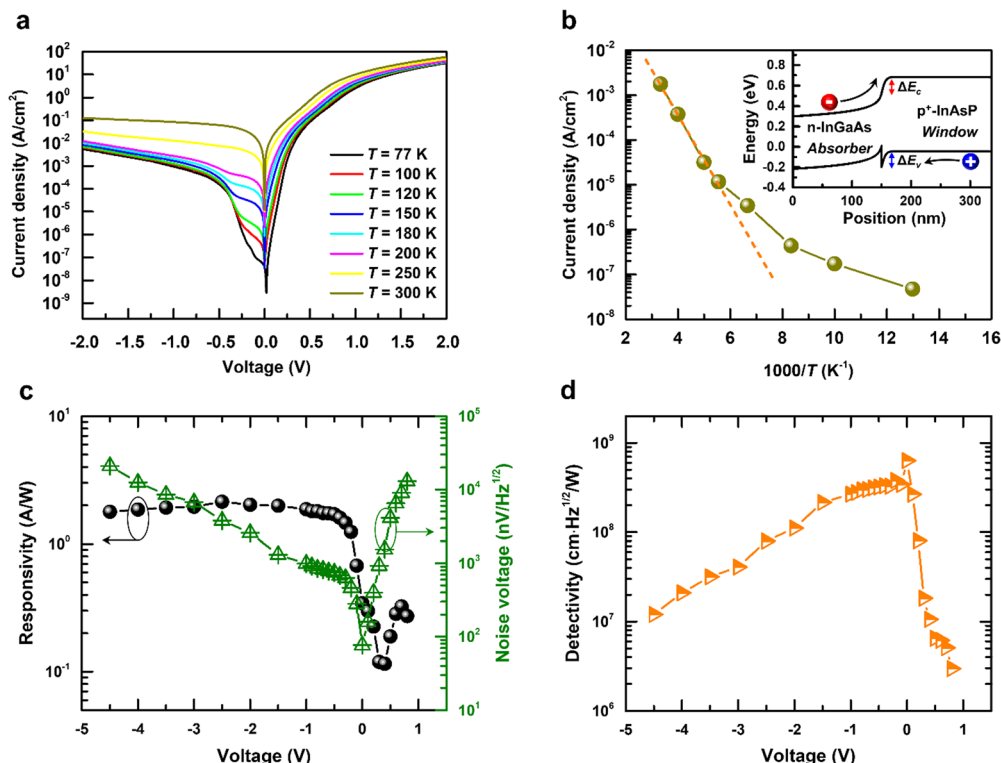


Fig. 6 (a) Dark current density versus voltage curves of the single-chip SWIR microspectrometer measured at 77–300 K, (b) Arrhenius plot for the current density under a voltage bias of  $-20$  mV. The dotted line through the data points is a linear fit to the Arrhenius' equation using a least-squares fitting method. The inset shows the calculated band structure of the InGaAs/InAsP interface at 300 K and  $-20$  mV. (c) responsivity and noise voltage, and (d) specific detectivity measured as a function of voltage bias at 300 K.

to the absorption in the LVOF. In addition, the detectivity decreases with increasing voltage bias because of the highly increased noise voltage induced by the increase in the dark current.

### 3. Conclusion

In conclusion, we have demonstrated an extended SWIR microspectrometer by monolithic integration of a  $256 \times 1$  InGaAs linear array detector with a wedge-shaped Si/SiO<sub>2</sub> F-P LVOF. The step-graded metamorphic layers of InAs<sub>y</sub>P<sub>1-y</sub> enabled the growth of the p<sup>+</sup>-InAs<sub>0.63</sub>P<sub>0.37</sub>/n-In<sub>0.83</sub>Ga<sub>0.17</sub>As heterostructure with a 90% cutoff wavelength of  $2.6 \mu\text{m}$  through a high degree of strain relaxation of  $\sim 96\%$ . The wedge-shaped LVOF fabricated on a sapphire substrate exhibits bandpass filter characteristics, whose transmission peak wavelength increases linearly with the optical thickness of the SiO<sub>2</sub> cavity. Similarly, the single-chip microspectrometer consisting of the wedge-shaped LVOF integrated with the  $256 \times 1$  InGaAs detector pixel array yielded a wavelength-tunable bandpass filtering response in the spectral range of  $1.6$ – $2.6 \mu\text{m}$ . The temperature-dependent dark current characteristics of the microspectrometer indicate that at temperatures of  $180$ – $300$  K, the dominant dark current mechanism is the G–R dark current *via* SRH recombination in the depletion region, whereas the diffusion dark current is suppressed by the high potential barriers at the

p<sup>+</sup>-InAsP/n-InGaAs heterointerface. The measured responsivity and noise voltage of the microspectrometer are  $0.34 \text{ AW}^{-1}$  and  $76 \text{ nV Hz}^{-1/2}$  at  $300$  K under zero bias, respectively, corresponding to a specific detectivity of  $6.3 \times 10^8 \text{ cm Hz}^{1/2} \text{ W}^{-1}$ . This novel microspectrometer-on-chip for the extended SWIR region opens interesting possibilities for in-line and real-time monitoring applications.

### 4. Experimental section

#### Growth of extended InGaAs photodetectors

An extended SWIR InGaAs photodetector structure was grown on a 2-inch (001) n<sup>+</sup>-InP substrate using a metal-organic chemical vapor deposition system (Veeco D180). For the group-III precursors, trimethylindium (TMIn) and trimethylgallium (TMGa) were used with a H<sub>2</sub> carrier gas, whereas arsine (AsH<sub>3</sub>) and phosphine (PH<sub>3</sub>) gases were employed as group-V precursors. The dopant precursors for p- and n-type doping were diethylzinc (DEZn) and disilane (Si<sub>2</sub>H<sub>6</sub>), respectively. As shown in Fig. 1a, compositionally step-graded n<sup>+</sup>-InAs<sub>y</sub>P<sub>1-y</sub> metamorphic buffer structures were designed and grown to gradually increase the lattice constant from n<sup>+</sup>-InP to n<sup>+</sup>-InAs<sub>0.63</sub>P<sub>0.37</sub>, serving as a virtual substrate with the desired lattice constant and a bottom contact layer. A  $3 \mu\text{m}$ -thick n-In<sub>0.83</sub>Ga<sub>0.17</sub>As absorber with bandgap energy of  $0.47 \text{ eV}$  was lattice-matched grown on the n<sup>+</sup>-InAs<sub>0.63</sub>P<sub>0.37</sub> layer, followed by



a growth of a 200 nm-thick  $p^+-\text{InAs}_{0.63}\text{P}_{0.37}$  layer. The  $p^+-n$  heterostructure was capped with a 50 nm-thick  $p^+-\text{In}_{0.83}\text{Ga}_{0.17}\text{As}$  top contact layer.

### Transmission simulation

Essential Macleod software was used to design the F-P type LVOF operating in the SWIR region of 1.6–2.6  $\mu\text{m}$ . The optical transmission characteristics of  $\text{Si}/\text{SiO}_2$  LVOF with various  $\text{SiO}_2$  cavity thicknesses were simulated and analyzed.

### Fabrication of extended InGaAs linear array detector integrated with LVOF

A wedge-shaped LVOF consisting of a  $\text{SiO}_2$  resonant cavity with linearly varying thickness sandwiched between two  $\text{Si}/\text{SiO}_2$  reflectors was fabricated on a sapphire substrate using a modified electron beam evaporator. In addition, for the fabrication of an LVOF-integrated  $256 \times 1$  InGaAs linear array detector, wedge-shaped  $\text{Si}/\text{SiO}_2$  multilayers were first deposited on top of an epitaxial wafer of the extended InGaAs detectors and processed into a linear array of 256 detector pixels with a 50  $\mu\text{m}$  pixel pitch and  $36 \times 470 \mu\text{m}^2$  pixel area using conventional photolithography and lift-off techniques.

### Characterization

AFM was used to characterize the surface morphology and roughness of the InAsP metamorphic buffers using non-contact mode AFM (XE-150, Park Systems). High-resolution XRD measurements were performed on the as-grown structure using a Philips X'Pert diffractometer equipped with a  $\text{CuK}\alpha_1$  X-ray source ( $\lambda_{\text{CuK}\alpha_1} = 1.5406 \text{ \AA}$ ) and a four-bounce (220) Ge monochromator. The thickness profiles of the LVOF were measured using a Veeco Dektak 150 surface profiler. Field-emission SEM (FEI Quanta 650) was used to image the fabricated InGaAs photodetector array integrated with the LVOF. The dark current density–voltage characteristics of the photodetector array were measured at temperatures from 77 to 300 K using a Keithley 236 source measure unit. The spectral responses of the photodetector array were measured at 300 K using the FT-IR spectrometer (Nicolet 5700). The responsivity and detectivity of the detector were measured at 300 K by illuminating the detector with infrared light from a 900 K blackbody source chopped at 400 Hz. The photocurrent and signal noise were measured using a Keithley 428 current amplifier and an SR770 fast Fourier transform network analyzer, respectively.

### Conflicts of interest

There are no conflicts to declare.

### Acknowledgements

This research was supported by Nano-Material Technology Development Program through the National Research Foundation of Korea (NRF) funded by Ministry of Science and ICT

(NRF-2018M3A7B4069994). This research was supported by National R&D Program through the National Research Foundation of Korea (NRF) funded by Ministry of Science and ICT (2022M3I8A2079227 and NRF-2022M3H4A1A02076394).

### References

- 1 F. Goetz, G. Vane, J. E. Solomon and B. N. Rock, *Science*, 1985, **228**, 1147.
- 2 S. K. von Bueren, A. Burkart, A. Hueni, U. Rascher, M. P. Tuohy and I. J. Yule, *Biogeosciences*, 2015, **12**, 163.
- 3 H. S. Choi and H. K. Kim, *Nat. Biomed. Eng.*, 2020, **4**, 245.
- 4 D. Krupnik and S. Khan, *Earth-Sci. Rev.*, 2019, **198**, 102952.
- 5 H. L. Shen, Z. H. Zheng, W. Wang, X. Du, S. J. Shao and J. H. Xin, *Appl. Opt.*, 2012, **51**, 2616.
- 6 Q. Li, X. He, Y. Wang, H. Liu, D. Xu and F. Guo, *J. Biomed. Opt.*, 2013, **18**, 100901.
- 7 J. F. Cheng, X. Li, X. M. Shao, T. Li, Y. J. Ma, Y. Gu, S. Y. Deng, Y. G. Zhang and H. M. Gong, *IEEE Photonics Technol. Lett.*, 2022, **34**, 231.
- 8 M. M. P. Arnob, H. Nguyen, Z. Han and W. C. Shih, *Appl. Opt.*, 2018, **18**, 5019.
- 9 H. Cong, C. Xue, J. Zheng, F. Yang, K. Yu, Z. Liu, X. Zhang, B. Cheng and Q. Wang, *IEEE Photonics J.*, 2016, **8**, 1.
- 10 C. Williams, G. S. Gordon, T. D. Wilkinson and S. E. Bohndiek, *ACS Photonics*, 2019, **6**, 3132.
- 11 A. Emadi, H. Wu, S. Grabarnik, G. De Graaf, K. Hedsten, P. Enoksson, J. H. Correia and R. F. Wolffenbuttel, *Sens. Actuators, A*, 2010, **162**, 400.
- 12 V. E. Brando and A. G. Dekker, *IEEE Trans. Geosci. Remote Sens.*, 2003, **41**, 1378.
- 13 Z. Xuan, Q. Liu, Z. Cui, S. Huang, B. Yang, C. Li, S. Wang and W. Lu, *Chin. Opt. Lett.*, 2022, **20**, 061302.
- 14 Z. Xuan, Z. Wang, Q. Liu, S. Huang, B. Yang, L. Yang, Z. Yin, M. Xie, C. Li, J. Yu, S. Wang and W. Lu, *Opt. Mater.*, 2022, **10**, 2200284.
- 15 V. Holý, J. H. Li, G. Bauer, F. Schäffler and H. J. Herzog, *J. Appl. Phys.*, 1995, **78**, 5013.
- 16 V. Swaminathan and A. T. Macrander, *Materials aspects of GaAs and InP based structures*, Prentice Hall, Inc., 1991.
- 17 M. K. Hudait, Y. Lin and S. A. Ringel, *J. Appl. Phys.*, 2009, **105**, 061643.
- 18 E. F. Schubert, J. K. Kim and J. Q. Xi, *Phys. Status Solidi B*, 2007, **244**, 3002.
- 19 H. Zhu, J. J. He, L. Shao and M. Li, *Sens. Actuators, B*, 2018, **277**, 152.
- 20 S. Zhang, W. Bin, B. Xu, X. Zheng, B. Chen, X. Lv and W. Hofmann, *Nanoscale Res. Lett.*, 2019, **14**, 1.
- 21 A. Emadi, H. Wu, S. Grabarnik, G. De Graaf and R. F. Wolffenbuttel, *J. Micromech. Microeng.*, 2009, **19**, 074014.
- 22 D. Meschede, *Optics, light and lasers: the practical approach to modern aspects of photonics and laser physics*, Wiley-VCH, 2007.
- 23 M. A. Rob, *Opt. Lett.*, 1990, **15**, 604.



- 24 N. P. Ayerden, G. de Graaf and R. F. Wolffenbuttel, *Opt. Express*, 2016, **24**, 2981.
- 25 J. H. Lee, Z. M. Wu, Y. M. Liao, Y. R. Wu, S. Y. Lin and S. C. Lee, *J. Appl. Phys.*, 2013, **114**, 244504.
- 26 X. Ji, B. Liu, Y. Xu, H. Tang, X. Li, H. Gong, B. Shen, X. Yang, P. Han and F. Yan, *J. Appl. Phys.*, 2013, **114**, 224502.
- 27 L. Zimmermann, J. John, S. Degroote, G. Borghs, C. Van Hoof and S. Nemeth, *Appl. Phys. Lett.*, 2003, **82**, 2838.
- 28 *Nextnano3 software v.4.2.7.9*, accessed: April, 2020, <https://www.nextnano.de/nextnano3>.
- 29 T. Le, C. P. Morath, H. E. Norton, D. A. Cardimona, S. Raghavan, P. Rotella, S. A. Stintz, B. Fuchs and S. Krishna, *Infrared Phys. Technol.*, 2003, **44**, 517.
- 30 T. Li, D. J. H. Lambert, M. M. Wong, C. J. Collins, B. Yang, A. L. Beck, U. Chowdhury, R. D. Durpui and A. J. Campbell, *IEEE J. Quantum Electron.*, 2001, **37**, 538.

