

## PAPER

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View Journal | View IssueCite this: *J. Mater. Chem. A*, 2023, 11, 5135In-depth structural characterization of the influence of  $\text{Li}^+$  excess on spherical, Co-free layered  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  cathode material using correlative Raman–SEM microscopy†Florian Klein,<sup>a</sup> Claudia Pfeifer,<sup>a</sup> Philipp Scheitenberger,<sup>b</sup> Lukas Pfeiffer,<sup>a</sup> Dominik Zimmer,<sup>c</sup> Margret Wohlfahrt-Mehrens,<sup>a</sup> Mika Linden<sup>b\*</sup> and Peter Axmann<sup>b\*</sup>

A series of different spherical Co-free  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$  powders with  $0 \leq x \leq 0.16$  was synthesized to investigate the effect of excess  $\text{Li}^+$  on the host structure of  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$ . Structural investigation with XRD shows less  $\text{Li}^+/\text{Ni}^{2+}$  disordering and strong broadening of the pattern indicating phase separation with increasing  $x$ . A deeper understanding of the structural changes was gained from a combined approach of SEM, EDX and Raman microscopy. A phase separation into Ni-rich and Li-/Mn-rich layered oxide domains could be proven. In the investigated series, the best electrochemical performance was obtained for  $\text{Li}_{1.07}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{0.93}\text{O}_2$  with a stable specific discharge capacity of  $173 \text{ mAh g}^{-1}$  ( $658 \text{ Wh kg}^{-1}$ ,  $12 \text{ mA g}^{-1}$ ) after 40 cycles. The presented results strongly highlight the strength of correlating chemical (EDX), structural (Raman) and morphological (SEM) information with sub-micrometer lateral resolution for the development and understanding of future battery materials.

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## Introduction

State-of-the-art layered lithium transition metal oxides, *e.g.*  $\text{Li}(\text{Ni}_{1-x-y}\text{Mn}_x\text{Co}_y)\text{O}_2$  (NMC) with high Ni content, are the most popular cathode material class for lithium ion battery (LIB) application.<sup>1</sup> In 2001, several important papers were published by Dahn and Lu on  $\text{Li}(\text{Ni}_x\text{Co}_{1-x}\text{Mn}_x)\text{O}_2$  ( $x = 1/4, 3/8$ ) as well as by Ohzuku on  $\text{LiNi}_{1/3}\text{Mn}_{1/3}\text{Co}_{1/3}\text{O}_2$  and  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  with promising cycling performance.<sup>2–5</sup> Particularly, the work of Ohzuku demonstrated the possibility to reduce the Ni compared to nowadays state-of-the-art Ni-rich compounds and to remove Co from the structure with stable discharge capacities of approximately  $200 \text{ mAh g}^{-1}$  for  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$ , which will both reduce cost and critical raw material demand.<sup>3,6–9</sup> Therefore, it is very important to further investigate and understand the structural diversity of the materials, represented in the Li–Ni–Mn–O phase diagram.<sup>10</sup> In the past, many groups have intensively studied different regions of the phase diagram.

Besides the spinel material with a general formula of  $\text{Li}(\text{Ni}_x\text{Mn}_{2-x})\text{O}_4$  ( $0 \leq x \leq 0.5$ ),<sup>11,12</sup> there are two further noteworthy cathode material classes in the ternary phase diagram with layered structures (Fig. 1):  $\text{Li}(\text{Ni}_{1-y}\text{Mn}_y)\text{O}_2$  ( $0 \leq y \leq 0.5$ , red line)<sup>13–16</sup> and  $z \text{ Li}_2\text{MnO}_3 \cdot (1-z) \text{ LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  ( $0 \leq z \leq 1.0$ , LRLO, green line).<sup>17–19</sup> Obviously, the material with a composition of  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  seems to be highly important for both layered systems and is from a structural point of view the end

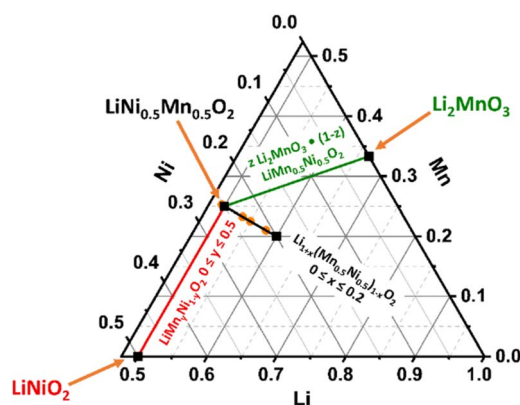


Fig. 1 Schematic compositional diagram of the Li–Mn–Ni–O ternary system ( $\text{Li} + \text{Mn} + \text{Ni} = 1$ ) with selected structures:  $\text{Li}(\text{Ni}_{1-x}\text{Mn}_x)\text{O}_2$  ( $0 \leq x \leq 0.5$ , red),  $z \text{ Li}_2\text{MnO}_3 \cdot (1-z) \text{ LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  ( $0 \leq z \leq 0.5$ , green) and  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$  ( $0 \leq x \leq 0.2$ , black). The elemental compositions of the powders investigated in this study are dotted in orange.

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† Electronic supplementary information (ESI) available: Further SEM images, XRD overview and more detailed pattern, analysis of  $\text{Li}^+/\text{Ni}^{2+}$  disorder, specific capacity analysis of the first cycle, EBSD data and further Raman evaluation. See DOI: <https://doi.org/10.1039/d2ta05957f>



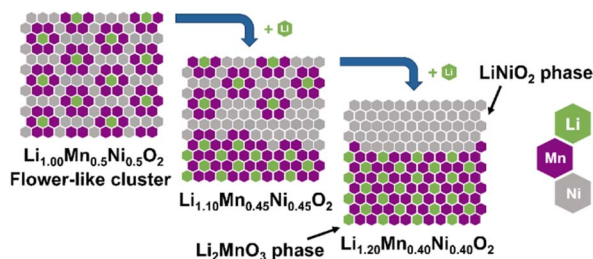
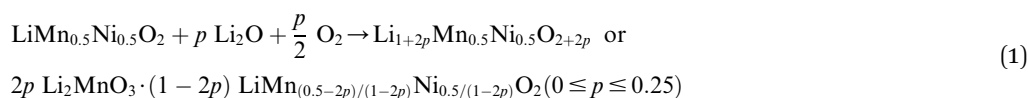


Fig. 2 Schematic illustration of a hypothetical complete phase separation in the transition metal layer with increased  $\text{Li}^+$  content in  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$ . The proposed flower-like structure is based on first-principle calculations by the group of Ceder.<sup>23</sup>

member of the solid solution of  $\text{Li}(\text{Ni}_{1-y}\text{Mn}_y)\text{O}_2$  ( $0 \leq y \leq 0.5$ , red line), which is isostructural to the  $\alpha\text{-NaFeO}_2$  type with the space group  $R\bar{3}m$ .<sup>13</sup> Up to 50% of the Ni sites of  $\text{LiNiO}_2$  can be replaced by redox inactive  $\text{Mn}^{4+}$  ions without changing the structure.<sup>13,14,16</sup> For charge compensation each  $\text{Mn}^{4+}$  ion requires an equivalent amount of  $\text{Ni}^{2+}$ , thereby stabilizing the host structure.<sup>20</sup> Consequently,  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  is the most stable phase containing only  $\text{Ni}^{2+}$  and  $\text{Mn}^{4+}$  ions in its solid solution.<sup>16</sup> Unfortunately, the  $\text{Li}^+/\text{Ni}^{2+}$  cation disorder is particularly pronounced for  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  ( $\approx 10\%$ ).<sup>21</sup> In the literature, the combination of the high amount of  $\text{Ni}^{2+}$  ions in the structure and the high affinity of Mn and Li to each other is reported to be the reason for the formation of flower-like clusters in the TM layer (Fig. 2, left scheme).<sup>22–25</sup> As a consequence of the

Nevertheless, a critical view on the diffraction patterns of Bian *et al.*<sup>32</sup> shows a broadening of the reflections with increasing  $\text{Li}^+$  excess, which likely could indicate a phase separation into related crystallographic phases with similar lattice parameters, such as  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  related layered stoichiometric (red line) and layered Li–Mn-rich oxides (green line). It is debatable whether the excess  $\text{Li}^+$  ions are incorporated in the TM layer in the form of a solid solution of Li, Mn and Ni ions or if there is an excess  $\text{Li}^+$  induced phase separation. Unfortunately, the SEM images of powders with high  $\text{Li}^+$  excess are rare to prove a possible macroscopic phase separation.<sup>35,40</sup> However, the images of Kurilenko *et al.* indicate homogeneous crystallite morphology for  $x \approx 0.13\text{--}0.16$  after calcination at  $900^\circ\text{C}$  using pelletized samples.<sup>35</sup>

Overall, the discussion shows the structural complexity of the  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$  system and the strong influence of the synthesis route. Therefore, the possibility of a  $\text{Li}^+$  induced phase separation needs to be considered from a structural point of view: increasing the excess  $\text{Li}^+$   $x$  in the stoichiometric formula  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$  leads to a higher  $\text{Li}^+$  content in the TM layer and thereby theoretically to more  $\text{Li}_2\text{MnO}_3$  clusters.<sup>25</sup> The cluster formation concentrates the Mn ions locally and consequently creates a Mn depletion in the directly surrounding area, forming Ni-enriched areas.<sup>32</sup> This hypothesis is described by eqn (1) below with the end members  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  ( $p = 0$ , equates to  $x = 0$ ) and  $0.5 \text{Li}_2\text{MnO}_3 \cdot 0.5 \text{LiNiO}_2$  ( $p = 0.25$ , equates to  $x = 0.2$ ) (Fig. 2):



clustering,  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  shows a lower electrochemical performance due to the resulting kinetic limitations.<sup>16,19,26,27</sup> Nevertheless, high specific discharge capacities are reported in a broad range from 150 to 200  $\text{mAh g}^{-1}$ .<sup>3,18,21,26,28–32</sup>

In general, the synthesis route for  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$ , including the calcination procedure, temperature<sup>26,28,33,34</sup> and the used  $\text{Li}^+/\text{TM}$  ratio during the synthesis,<sup>28,32,34–36</sup> significantly influences the electrochemical performance. The  $\text{Li}^+/\text{TM}$  ratio is reported to be a prominent key factor for this material.<sup>37,38</sup> Therefore, it is important to understand the reason for the increased specific capacity of  $\text{LiMn}_{0.5}\text{Ni}_{0.5}\text{O}_2$  with  $\text{Li}^+$  excess in the structure, which is represented by the chemical formula of  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$  ( $0 \leq x \leq 0.2$ , Fig. 1, black line).<sup>32,35,38,39</sup> Myung *et al.* showed with neutron diffraction that the excess  $\text{Li}^+$  was completely incorporated into the structure ( $0 \leq x \leq 0.06$ ).<sup>38</sup> In the following years, it was shown by XRD that the  $\text{Li}^+/\text{Ni}^{2+}$  cation disordering decreases with increasing  $\text{Li}^+$  excess and that  $\text{Li}^+$  excess can suppress layer-layer composite formation during synthesis ( $0.06 \leq x \leq 0.16$ ), which increases the specific discharge capacity.<sup>10,37</sup>

To the best of our knowledge the length scale of this possible phase separation, whether it is on atomic scale within the lattice, on the microstructure level or on macroscopic scale, has not been fully investigated yet. In this work, the influence of excess  $\text{Li}^+$  on the structural homogeneity of  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$  for a spherical shaped precursor was investigated, using a combination of different microscopy techniques to analyze the local structure and particle morphology with respect to a possible phase separation. The structural characterization was combined with electrochemical measurements to fully interpret the results.

## Experimental

### Synthesis of the powders

The  $\text{Mn}_{0.5}\text{Ni}_{0.5}(\text{OH})_2$  precursor powder was synthesized *via* a scalable coprecipitation route. In a continuous stirred tank reactor (CSTR,  $V = 500 \text{ mL}$ ) a solution of  $\text{Ni}(\text{NO}_3)_2 \cdot 6 \text{H}_2\text{O}$  and  $\text{Mn}(\text{NO}_3)_2 \cdot 4 \text{H}_2\text{O}$  (both Roth) was mixed under vigorous stirring with a  $\text{NH}_4\text{OH}$  (Roth) and  $\text{NaOH}$  (Roth) solution. The pH of the suspension was kept constant at  $\approx 10$ . The excess suspension



**Table 1** Results of the elemental analysis by ICP-OES given as molar ratios (Li + Mn + Ni = 2), mean oxidation state of the transition metals (TM = Mn + Ni) by cerimetric redox titration, lattice parameters and the Li<sup>+</sup>/Ni<sup>2+</sup> displacement of the materials as well as the theoretical Li-TM stoichiometry after impregnation of the precursor

	Theoretical Li content	ICP-OES			Mean TM OS	Lattice parameters		Li <sup>+</sup> /Ni <sup>2+</sup> /%
		Li	Mn	Ni		<i>a</i> /Å	<i>c</i> /Å	
Li <sub>1.00</sub>	1.00	<b>0.99</b>	<b>0.50</b>	<b>0.50</b>	3.02	2.890	14.306	10
Li <sub>1.07</sub>	1.06	<b>1.07</b>	<b>0.46</b>	<b>0.46</b>	3.17	2.880	14.289	8
Li <sub>1.10</sub>	1.11	<b>1.10</b>	<b>0.45</b>	<b>0.45</b>	3.27	2.874	14.272	7
Li <sub>1.16</sub>	1.20	<b>1.16</b>	<b>0.42</b>	<b>0.42</b>	3.33	2.867	14.255	6

was removed from the reactor and filtered continuously. The obtained spherical precursor powder (Mn/Ni = 1.00 : 1.00) was washed extensively with deionized water and dried. Subsequently, the precursor material was mixed with various stoichiometric amounts of LiOH·H<sub>2</sub>O (Roth, for the Li/M ratio see the theoretical values given in Table 1). After a pre-calcination step at 600 °C (4 h), the final calcination step was conducted at 1000 °C (12 h) under air.

### Chemical and structural characterization

The chemical characterization of the powders was conducted *via* the inductively coupled plasma optical emission spectroscopy (ICP-OES) of a diluted aqua regia digestion (Spectro Arcos SOP) and cerimetric redox titration. The latter was divided into two steps: (a) determination of the amount of transition metal in the powders *via* complexometric back titration of Na-EDTA with a Cu(II) solution, buffering the pH with NH<sub>4</sub><sup>+</sup>/NH<sub>3</sub> buffer and (b) digestion of the powders in an acidic (NH<sub>4</sub>)<sub>2</sub>Fe(SO<sub>4</sub>)<sub>2</sub> solution under nitrogen. The remaining Fe(II) was back titrated with acidic Ce(SO<sub>4</sub>)<sub>2</sub> solution. Powder X-ray diffraction (XRD) measurements were performed using a D8 Advance diffractometer (Bruker AXS, Bragg-Brentano geometry in reflection mode, Cu-K<sub>α</sub> radiation, LYNXEYE\_XE detector). The diffraction patterns of the materials were refined using TOPAS V6 (Bruker) based on the structural model of LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> of Bréger *et al.* and the model of Stephens.<sup>41,42</sup> Scanning electron microscopy (SEM) was carried out using a Leo 1530VP (Zeiss) microscope equipped with a Schottky thermal emitter field. Images were taken at an acceleration voltage of 5 kV with an Everhart-Thornley detector. The elemental distribution was analyzed with energy dispersive X-ray spectroscopy (EDX, X-Max50, Oxford Instruments). The particle cross-sections were prepared by mixing the powders with an epoxy resin, depositing the mixture on a support substrate and polishing the surface with a broad Ar<sup>+</sup> ion beam milling system (IM4000Plus, Hitachi). Raman investigations of the powders and the cross-sections were carried out with an alpha300 R confocal Raman microscope (WITec) over a spectral range between -70 and 1108 rel. cm<sup>-1</sup>. An Nd:YAG laser with an excitation wavelength of 532 nm was used. The laser power was adjusted to 1.5 mW after microscope transit. The spectrometer was equipped with a grating of 1800 lines mm<sup>-1</sup> and a CCD-camera (1600 × 200 pixels). An objective with a magnification of 100× (Zeiss) was used. The particle mappings were evaluated with the software

Project FIVE (WITec). For the evaluation of the peak positions, all spectra were fitted with up to three Lorentzian peak profiles.

More detailed chemical and crystallographic investigation of the Li<sub>1.16</sub> microstructure was performed *via* SEM in combination with EDX and electron backscatter diffraction (EBSD). The measurements were conducted on a Zeiss GeminiSEM 450 field-emission (FE) SEM (Zeiss), equipped with an Ultim Max 170 (Oxford Instruments) large area silicon drift detector (SDD) for EDX and a fiber optic-based CMOS EBSD camera Symmetry S2 (Oxford Instruments).

Simultaneous EBSD/EDX mappings were performed at 15 kV accelerating voltage, a beam current of 4 nA, a step size of 30 nm, a total pixel count of about 1.5 million pixels, an electron backscatter diffraction pattern (EBSP) resolution of 622 × 512 pixels and an illumination time per pattern of 1.4 ms (electron dose 5.6 nAms). For indexation, 11 bands were taken into account using Hough-space-based indexation with a resolution of 1.06° per pixel in the theta direction. The dataset was indexed based on the crystal structure of LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> (SG 166, *R*3̄*m*, *a* = 2.8879 Å, *c* = 14.269 Å).<sup>41</sup> The EBSD results were further improved using a pattern matching method, as implemented in the AztecCrystal MapSweeper Software (Oxford Instruments). Here each experimental EBSD pattern is matched to simulated pattern templates based on full dynamical pattern simulations in order to improve the angular precision of the orientation measurement and to correct for systematic indexing errors.

### Electrochemical characterization

For each powder, a homogeneous slurry of active material, conductive carbon SuperP (Timcal) and polyvinylidene difluoride (PVdF, Solef 5130, Solvay) (dry ratio of 91 : 5 : 4), dispersed in an adequate amount of *N*-methyl-2-pyrrolidinone, (NMP, Sigma Aldrich) was coated on an aluminum foil using the doctor blade technique. After drying under vacuum, the electrodes were calendered to a thickness of approximately 70 μm, disk electrodes with a diameter of 12 mm were punched, dried overnight under dynamic vacuum (120 °C) and were transferred directly without contact to air into an argon-filled glovebox (MBraun, O<sub>2</sub> and H<sub>2</sub>O < 0.1 ppm). Coin cells (CR2032, Hohsen) were assembled with a disk electrode, two glass fiber separators (16 mm diameter, Whatman), a lithium metal foil (450 μm, 12 mm diameter) and ethylene carbonate (EC) and dimethyl carbonate (DMC) containing electrolyte (150 μL, 1 M LiPF<sub>6</sub> in EC : DMC = 1 : 1 by wt). The electrodes have a typical active





material loading between 9 and 10 mg. The cells were cycled between 2.5 and 4.7 V at room temperature with an applied current of 12 mA g<sup>-1</sup> using a BaSyTec galvanostat. The specific capacities are mean values of at least three cells. The optimization of the electrolyte was conducted using two further mixtures containing ethyl methyl carbonate (EMC) and vinylene carbonate (VC) (both 150  $\mu$ L, 1 M LiPF<sub>6</sub>): EC : EMC (3 : 7 by wt) and EC : EMC (3 : 7 by wt) + 2 wt% VC. Long-term cycling performance was investigated with an applied current of 32 mA g<sup>-1</sup> in combination with repetitive cycles at 12 mA g<sup>-1</sup>. After constant current delithiation up to the upper cut-off voltage, a constant voltage step at 4.7 V was conducted with a current limit of 12 mA g<sup>-1</sup> and 8 mA g<sup>-1</sup>, respectively.

## Results and discussion

### Chemical and structural characterization

The investigated powders were synthesized *via* calcination of a dense, coprecipitated (Mn<sub>0.5</sub>Ni<sub>0.5</sub>)(OH)<sub>2</sub> precursor with spherical morphology (Fig. S1, ESI<sup>†</sup>), using different amounts of Li<sup>+</sup> (Li/TM = 1, 9/8, 5/4, 3/2). ICP-OES measurements were used to analyze the Li<sup>+</sup>-TM ratio and to quantify the Li<sup>+</sup> loss during synthesis (Table 1). The as-prepared samples are abbreviated following their Li<sup>+</sup> stoichiometry after synthesis, *e.g.*, Li<sub>1.10</sub> corresponds to Li<sub>1.10</sub>(Mn<sub>0.5</sub>Ni<sub>0.5</sub>)<sub>0.9</sub>O<sub>2</sub>. Up to Li<sub>1.10</sub> the Li<sup>+</sup> content of the synthesized powders matches closely with the lithium content during synthesis, while for Li<sub>1.16</sub> the Li<sup>+</sup> content strongly decreased during the heat-treatment from 1.20 to 1.16. The Mn/Ni ratio remained constant for all materials. The reason for the loss of Li<sup>+</sup> during calcination is well known and can be assigned to the evaporation of surface Li<sub>2</sub>O.<sup>43,44</sup> Interestingly, only the sample with the highest Li<sup>+</sup> content showed a significant Li<sup>+</sup> loss. This indicates that the incorporation of extra Li<sup>+</sup> is more hindered with increased Li<sup>+</sup> content. Possible impurities on the particle surface, originating from structurally not incorporated Li<sup>+</sup> and the preservation of the spherical particle morphology, were investigated *via* SEM (Fig. 3). Generally, the spherical particle shape of the hydroxide precursors is well

preserved after thermal lithiation. Nevertheless, there are morphological differences between the different powders, dependent on their Li<sup>+</sup> content. The samples with stoichiometric Li<sup>+</sup> content or a small excess, Li<sub>1.00</sub> and Li<sub>1.07</sub>, exhibited relatively smooth surfaces with defined primary particles of a few-hundred nanometers in size. The primary particles themselves did not have specific crystal facets, but have kind of a molten appearance. The samples with a higher Li<sup>+</sup> excess, Li<sub>1.10</sub> and Li<sub>1.16</sub>, show more heterogeneous surfaces and partially strong agglomeration of the secondary particles. This is in accordance with the mechanical characteristics of the freshly calcined samples: While Li<sub>1.00</sub> and Li<sub>1.06</sub> were received as powders, Li<sub>1.10</sub> and especially Li<sub>1.16</sub> sintered strongly and had to be ground intensively before further characterization.

In addition, some of the particles of Li<sub>1.16</sub> indicate areas with strong crystal growth and primary particles in the micrometer-size range. These observations suggest that Li<sup>+</sup> excess plays an important role during the conversion of the precursor into the lithiated layered oxides.

The incorporation of excess Li<sup>+</sup> into the structure was investigated by XRD and cerimetric redox back-titration. The latter method was used to analyze the mean TM oxidation state (OS) of the powders (Fig. 4a, Table 1). Theoretically, the average TM OS has to increase with the amount of incorporated Li<sup>+</sup> ions into the lattice, in order to maintain charge neutrality. Plotting the mean OS of the TM against the amount of Li<sup>+</sup> per formula (ICP-OES), an increase of the OS in the range of the theoretically calculated ones (red line) is observable. Further structural analysis of the materials was carried out by XRD (Fig. 4b and c). The complete patterns are presented in the ESI (Fig. S2a<sup>†</sup>). Generally, the powders show a typical layered oxide pattern of the  $\alpha$ -NaFeO<sub>2</sub> type. Consequently, most of the reflections can be assigned to a hexagonal crystal structure with the space group *R*3*m*. Impurities like Li<sub>2</sub>CO<sub>3</sub> were not observable. Additional reflections were visible in the 2 $\theta$  range between 20 and 25°. The presence of these additional reflections is indicative of LiMn<sub>6</sub> clustering in the transition metal (TM) layer, *e.g.*, in Li<sub>2</sub>MnO<sub>3</sub> type materials, and the flower-like clustering of Li<sub>1.00</sub>, as already reported by the group of Ceder.<sup>23</sup> The intensity of the honeycomb superstructure reflections increased and all reflections shifted towards higher 2 $\theta$  angles with increased amount of excess Li<sup>+</sup> in the lattice. This indicates an increased amount of Li<sup>+</sup> in the TM layer as well as a decrease of at least one lattice parameter. The lattice parameters were determined by Rietveld refinement using TOPAS V6 and a LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> structure model, which allows incorporating Ni<sup>2+</sup> ions on Li sites and *vice versa* (Fig. S3, Table S1<sup>†</sup>).<sup>41,46,47</sup> All excess Li<sup>+</sup> was assumed to be incorporated into the TM layer (3b) and its occupancy (3b) was fixed to a value of one. Both lattice parameters decrease with a higher Li<sup>+</sup> content in the structure (Fig. 4c, Table 1). This is in accordance with trends reported in the literature.<sup>32,38</sup> A comparison of the single lattice parameters of LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> (*a* = 2.891 Å, *c* = 14.301 Å),<sup>21</sup> Li<sub>2</sub>MnO<sub>3</sub> (*a* = 2.843 Å, *c* = 14.220 Å)<sup>‡48</sup> and LiNiO<sub>2</sub> (*a* = 2.875 Å, *c* = 14.18 Å)<sup>49</sup> supports these shifts.

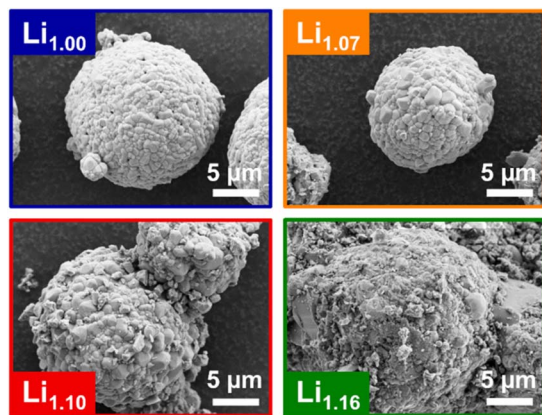


Fig. 3 SEM images of the synthesized powders Li<sub>1.00</sub> (blue), Li<sub>1.07</sub> (orange), Li<sub>1.10</sub> (red) and Li<sub>1.16</sub> (green) showing the agglomeration behavior and the increase of surface heterogeneities.

‡ Lattice parameters were approximately converted to the hexagonal lattice, using the formula of Ohzuku *et al.*<sup>48</sup>



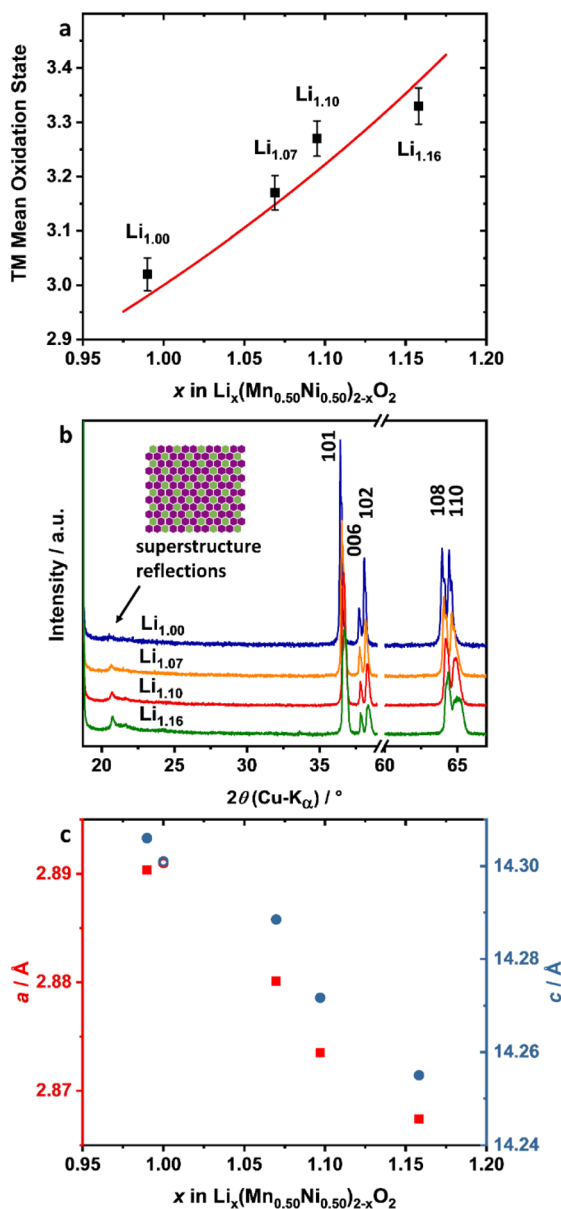


Fig. 4 Analysis of the incorporation of excess Li<sup>+</sup>: (a) experimental (black dots) and theoretical calculated (red line) mean TM OS as a function of the Li<sup>+</sup> content of Li<sub>x</sub>(Mn<sub>0.50</sub>Ni<sub>0.50</sub>)<sub>2-x</sub>O<sub>2</sub>. (b) detailed XRD pattern of Li<sub>1.00</sub> (blue), Li<sub>1.07</sub> (orange), Li<sub>1.10</sub> (red) and Li<sub>1.16</sub> (green) and (c) lattice parameters *a* (red squares) and *c* (blue circles) as a function of the Li<sup>+</sup> content. As a comparison, lattice parameters of LiMn<sub>0.50</sub>-Ni<sub>0.50</sub>O<sub>2</sub> reported by Makimura *et al.* are presented in (c) (hollow circles).<sup>21</sup>

With the incorporation of excess Li<sup>+</sup> into the crystal lattice, the decrease of the *a* parameter is dominated by the increase of the Li<sup>+</sup> content in the TM layer, while the decrease of the *c* parameter can be influenced by both the increase of Li<sub>2</sub>MnO<sub>3</sub> domains and a possible enrichment of Ni in the layered domains. The decrease of the lattice parameters in combination with the increase of the superstructure reflections and the mean TM OS strongly indicates that excess Li<sup>+</sup> is structurally incorporated into the material.

Li<sup>+</sup>/Ni<sup>2+</sup> displacement is a crucial material issue for layered oxides.<sup>47,50</sup> Due to the similar ion radii of Ni<sup>2+</sup> and Li<sup>+</sup>,<sup>46</sup> some of the Ni<sup>2+</sup> ions are incorporated into the Li<sup>+</sup> layer of the hexagonal crystal lattice.<sup>47</sup> For LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> this is more pronounced compared to, *e.g.*, NMC, because Li–Mn-clustering in the TM layer is thermodynamically favored.<sup>23,51</sup> In general, a high amount of Ni<sup>2+</sup> ions in the Li<sup>+</sup> layer leads to slower kinetics of Li<sup>+</sup> diffusion, strongly affected by the lower Li slab space (Ni<sup>2+</sup>: stronger O–metal–O interactions) and the blocking of Li<sup>+</sup> diffusion pathways.<sup>47,52,53</sup> A Li<sup>+</sup>/Ni<sup>2+</sup> displacement of 9.5% was obtained for Li<sub>1.00</sub> from Rietveld refinement, which is comparable to reported values for LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub>.<sup>41</sup> With increasing excess Li<sup>+</sup>, the displacement shows a nearly linear decrease (Table 1, Fig. S4†). The main reason for the decreasing Li<sup>+</sup>/Ni<sup>2+</sup> disordering is most probably the increase of the Ni<sup>3+</sup> content in the structure. X-ray absorption spectroscopy (XAS) measurements of Yoon *et al.* showed that in LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> most of the Ni ions are in the divalent state, whereas the Mn ions are tetravalent.<sup>54</sup> Increasing the mean TM OS with excess Li<sup>+</sup>, which was shown to be the case by cerimetry, leads to an increase of the Ni OS, while the Mn OS can be assumed to be constant at +IV in an octahedral environment. The decreasing Li<sup>+</sup>/Ni<sup>2+</sup> displacement is therefore consistent with both higher mean TM OS and higher lithium content.

For layered type oxides, the separation of the (006) and (102) as well as the (108) and (110) reflections can be used to evaluate the quality of the structure.<sup>55</sup> Both pairs are well defined and separated for the Li<sub>1.00</sub> sample (Fig. 4b, Fig. S2b†). With increasing Li<sup>+</sup> excess, the reflections become broader and smoother. This effect is mostly pronounced for Li<sub>1.16</sub>. For instance, a shoulder on the low-angle side of the (108) reflection and a strong broadening of the (110) reflection is observable. A comparable behavior for most of the other reflections of Li<sub>1.16</sub> is evident, which suggests a phase separation into regions with different Ni, Mn and Li stoichiometries. Due to the similar symmetry and comparable lattice parameters, a conclusive assignment of the XRD pattern to the three endmembers LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub>, LiNiO<sub>2</sub> and Li<sub>2</sub>MnO<sub>3</sub> in terms of domains or mixtures thereof is not possible with the applied XRD measurement even for Li<sub>1.16</sub>.

### Investigation of the microstructure

Based on the XRD results no conclusive statement about the extent of the phase separation or size of the domains can be made. Therefore, the powders were investigated with a combination of SEM, EDX and confocal Raman microscopy, so called correlative SEM–Raman microscopy (Fig. 5).<sup>56</sup> SEM images taken with secondary electron (SE) detectors show crystallographic and material contrast and further contain topographical information.<sup>57</sup> EDX provides additional information about the elemental composition, and Raman microscopy about the local structure of the excited volumes, different coordination geometries and oxidation states.<sup>58,59</sup>

The SEM images of the prepared cross-sections (CS) shown in Fig. 5 clearly depict the particle microstructure, the embedding agent (black background) and a small part of the



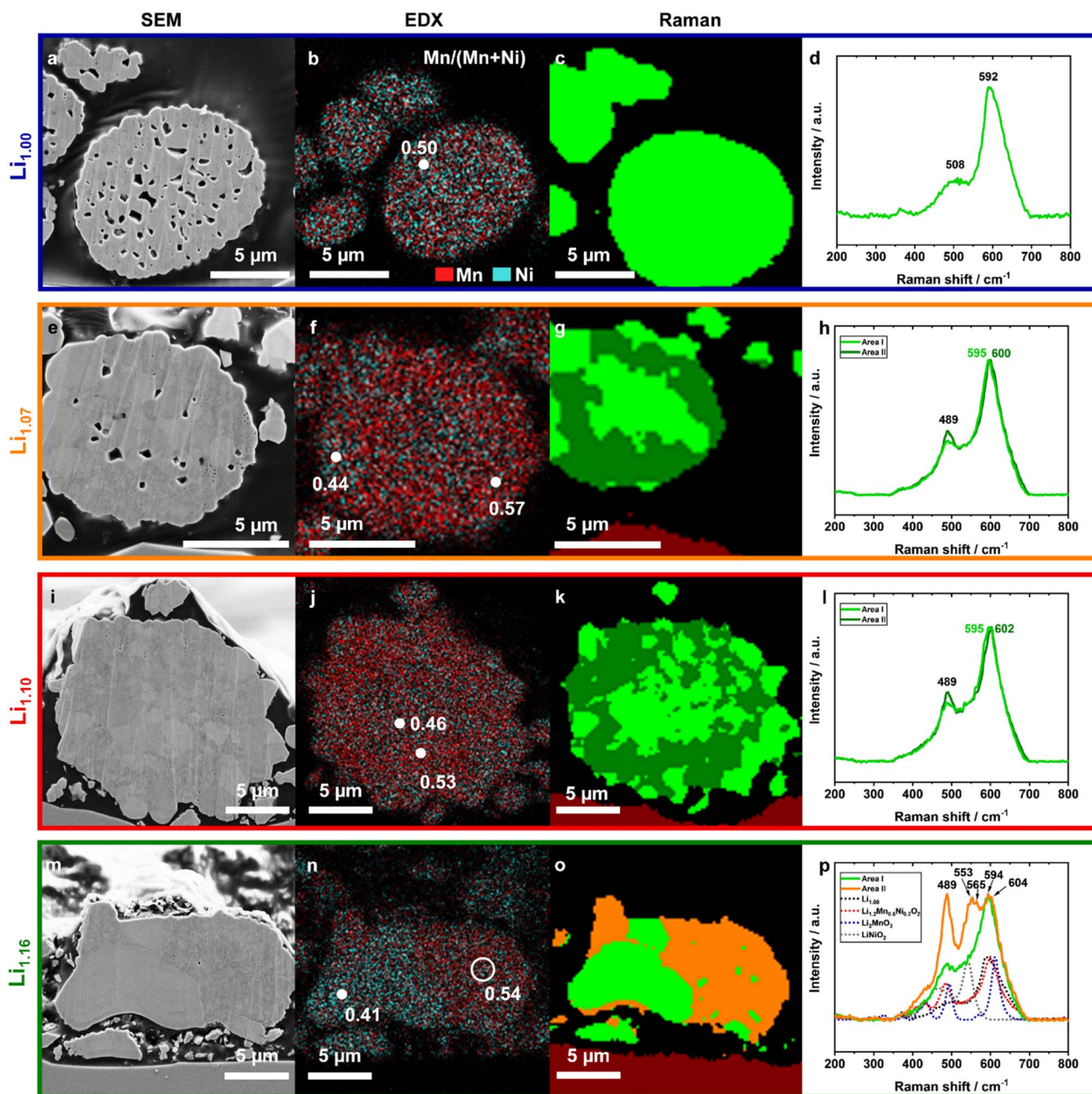


Fig. 5 SEM–Raman imaging of  $\text{Li}_{1.00}$  (blue),  $\text{Li}_{1.07}$  (orange),  $\text{Li}_{1.10}$  (red) and  $\text{Li}_{1.16}$  (green) comparing the results of the different techniques SEM (1<sup>st</sup> column, (a), (e), (i), and (m)), EDX (2<sup>nd</sup> column, (b), (f), (j), and (n)) and Raman (3<sup>rd</sup> & 4<sup>th</sup> column, (c)–(d), (g)–(h), (k)–(l), and (o)–(p)) investigated on the same particle cross-section. In the EDX mappings the distribution of Mn (red) and Ni (turquoise) as well as the ratio of Mn/(Mn + Ni) are presented. The colored Raman maps show areas with similar Raman spectra. The resulting spectra are presented with the corresponding color in the 4<sup>th</sup> column.

supporting substrate (red colored in the Raman mapping). Due to the applied preparation method, particles are not necessarily cut through the particle center – therefore direct particle size information gets lost. The curtaining effect of the surface can be assigned to the ion milling process. This artefact is more pronounced for the powders with a higher number of pores, but the surface was still plain enough for the applied methods.

An increasing excess of  $\text{Li}^+$  led to significant decreases in the pore sizes and in the amount of pores. Compared to  $\text{Li}_{1.10}$  and

$\text{Li}_{1.16}$ ,  $\text{Li}_{1.00}$  exhibited a high internal porosity in the secondary particle architecture, which is in agreement with the  $\text{Li}^+$  content dependent particle morphology changes (Fig. 3). In  $\text{Li}_{1.00}$  the microstructure is homogeneous and single primary particles cannot be identified. For  $\text{Li}_{1.07}$ , primary particles are observable from different shadings, indicating either different orientations of the crystallites or deviations in their composition. With further increased  $\text{Li}^+$  content, the grain boundaries become more pronounced, too. For  $\text{Li}_{1.16}$ , both shape and





microstructure change significantly, as also seen in the SEM top view images of the original particles (Fig. 3). Most of the particles (Fig. S5†) lose their spherical morphology, become more irregular and partially agglomerate with other particles. An additional compositional segregation was observable, as indicated by the different coloring originating from local differences in electron densities,<sup>57,60</sup> being well pronounced in the presented particle (Fig. 5m). The particle can be divided into two areas: one brighter part, which likely consists of two large crystallites and a darker part, which is dominated by smaller crystallites and bright grain boundaries.

In order to quantify the elemental distribution in the specific areas, EDX mappings and point analyses were conducted. The Mn signal (red) was overlaid with the Ni (turquoise) signal in the presented images to illustrate their lateral distribution (Fig. 5b, f, j and n). The distribution strongly differs between the investigated samples. Li<sub>1.00</sub> has a homogeneous distribution of Mn and Ni, while Li<sub>1.16</sub> indicates a Ni enrichment on the left side and a Mn enrichment on the opposite. Furthermore, the Ni-enriched areas have exactly the same shape and location like the bright crystallites in the SEM image. Local quantification of the Mn/(Mn + Ni) ratio shows that there is still a lot of Mn (Mn/(Mn + Ni)  $\approx$  0.41) inside. For the stoichiometries of Li<sub>1.07</sub> and higher, local differences in the Mn/(Mn + Ni) ratios are evident, which were not seen for Li<sub>1.00</sub>.

EBSD measurements were conducted for Li<sub>1.16</sub> in order to quantify the size distribution and the orientation of the crystallites (Fig. 6). The single crystallites can be identified with the band contrast map (Fig. 6a). They were divided into Mn- and Ni-enriched areas for further computational analysis of the data with the help of EDX measurements (Fig. 6b, S6a and b†). Computational analysis confirms the differences in crystallite

size distribution (Fig. 6d). Whereas the Mn-enriched crystallites have an area-weighted mean area of 0.5  $\mu\text{m}^2$  (maximum 1.9  $\mu\text{m}^2$ ), the Ni-enriched ones have a value of 5.2  $\mu\text{m}^2$  (maximum 13.4  $\mu\text{m}^2$ ). Furthermore, the assignment of each single pixel to one of the two groups indicates strong differences in the grey values of the band contrast. The pixels in the Mn-enriched areas are shifted to lower numbers, which can be an indicator of higher defect density (Fig. 6c). However, we should note that due to the larger crystallite size, the ratio of dark grain boundaries with lower grey values is lower for the Ni-enriched crystallites compared to the small ones, influencing the distribution. The orientation of the crystallites was successfully determined using the crystal structure of LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> (space group: *R3m*) for indexing the EBSD patterns. Orientation plots, pole figures and the correlation of the Mackenzie plot with random pair distribution are presented in the ESI (Fig. S6c–h†), indicating a random orientation of the crystallites.

In order to shed more light on the observed local differences, confocal Raman microscopy measurements were performed (lateral resolution  $\approx$  300 nm). Similar Raman spectra were clustered together into groups, using the software Project FIVE (WITec) and plotted as a colored map (Fig. 5c, g, k and o). A first comparison of the Raman maps with the SEM images and the EDX data is self-consistent.

The Raman spectrum of Li<sub>1.00</sub> contains one strong band at 592  $\text{cm}^{-1}$  and a broad shoulder with a plateau between 480  $\text{cm}^{-1}$  and 540  $\text{cm}^{-1}$ . All Raman shifts are similar to reported literature values of Kumar *et al.* and can be assigned to the LiMn<sub>0.5</sub>Ni<sub>0.5</sub>O<sub>2</sub> structure.<sup>61</sup> Based on the factor group analysis, layered Li-TM-oxide materials with the space group *R3m* have two Raman-active vibration modes, A<sub>1g</sub> and E<sub>g</sub>, assuming a perfect crystallographic lattice. The vibration band 592  $\text{cm}^{-1}$  represents the signal of the out-of-plane M–O stretching vibration of the A<sub>1g</sub> mode, which vibrates parallel to the *c*-axis, while the E<sub>g</sub> mode is an in-plane O–M–O bending vibration parallel to the Li/TM layers (480  $\text{cm}^{-1}$ ).<sup>58,62,63</sup>

However, both the asymmetry in the peak at 592  $\text{cm}^{-1}$  and the plateau indicate that the spectrum consists of several signals with various chemical environments. One reason is most probably the high amount of Li<sup>+</sup>/Ni<sup>2+</sup> disordering in the system, already shown in the XRD analysis, which implies the presence of Li<sub>2</sub>MnO<sub>3</sub> domains. Li<sub>2</sub>MnO<sub>3</sub> has a lower symmetry (*C2m*) resulting in a higher amount of active vibration modes as shown in the reference spectrum (Fig. 5p, blue dots). They are located at 612 (A<sub>g</sub>), 568, 493, 438, 413, 369, 332, 308 and 248  $\text{cm}^{-1}$ .<sup>64</sup> But it is important to note that local structural differences, due to the presence of Ni and, *e.g.*, the layered domains in Li-rich compounds, lead to peak broadening of all vibration bands and to lower intensities of the peaks below 440  $\text{cm}^{-1}$ .<sup>65</sup> Therefore, the resulting Li<sub>2</sub>MnO<sub>3</sub> domains of the honeycomb superstructure would explain both broadening of the vibration band at 592  $\text{cm}^{-1}$  and the additional small vibration band at  $\approx$  362  $\text{cm}^{-1}$ .<sup>61</sup>

With increasing amount of excess Li<sup>+</sup> up to Li<sub>1.10</sub>, a second cluster of spectra was detectable and is marked in dark green (Fig. 5h and l). The average spectrum of the second cluster is similar in shape to Li<sub>1.00</sub>, but has slightly higher Raman shifts of

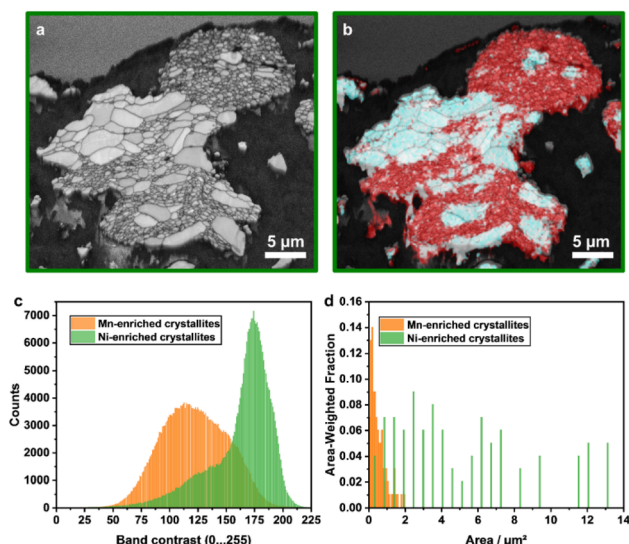


Fig. 6 EBSD results of Li<sub>1.16</sub>: mappings of (a) band contrast and (b) elemental distribution with Ni-enriched (turquoise) and Mn-enriched (red) areas. Both the Mn- and Ni-enriched crystallites were analyzed via a data post-processing procedure in more detail with respect to (c) the frequency distribution of the grey values of the band contrast and (d) their size distribution at the surface of the particle cross-section.



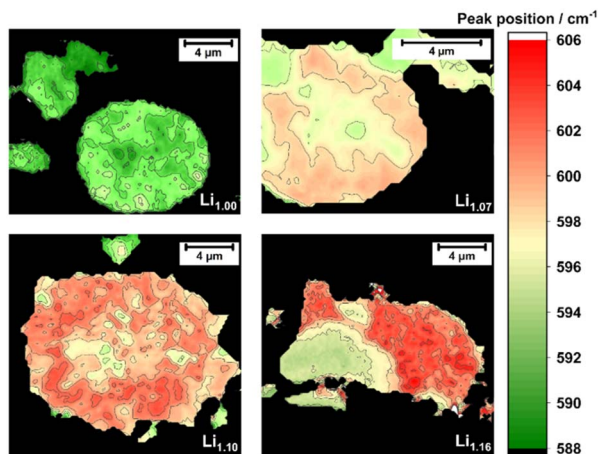


Fig. 7 Fitted peak position of the  $A_{1g}$  vibration band for different amounts of  $\text{Li}^+$  excess:  $\text{Li}_{1.00}$ ,  $\text{Li}_{1.07}$ ,  $\text{Li}_{1.10}$  and  $\text{Li}_{1.16}$ .

the  $A_{1g}$  mode. Additionally, both average spectra (indicated as dark and light green) have a more pronounced peak at  $489\text{ cm}^{-1}$  as compared to the spectra measured for  $\text{Li}_{1.00}$ , which likely indicates a higher structural order in the system. This might be in accordance with the decrease of  $\text{Li}^+/\text{Ni}^{2+}$  disordering in the powders as observed in the XRD. The minor blue-shift of the most intense peak from the light green ( $595\text{ cm}^{-1}$ ) to the dark green area ( $600$  and  $602\text{ cm}^{-1}$ , respectively) indicates contributions of  $\text{Li}_2\text{MnO}_3$  domains from the incorporation of excess  $\text{Li}^+$  into the dark green region of the mappings.<sup>66</sup> Fitting each single spectrum of the Raman mappings with Lorentzian peak profiles allows the determination of the specific peak position and their frequency distribution (Fig. 7). The color change from  $\text{Li}_{1.00}$  to  $\text{Li}_{1.16}$  indicates a systematical shift of the peak maximum with increasing excess  $\text{Li}^+$  as well as the beginning of the phase separation, which is underlined by the frequency distribution provided in the ESI (Fig. S7†). This shows that the peak position of the vibration band at approximately  $600\text{ cm}^{-1}$  can be used as an indicator for the local  $\text{Li}^+$  content in the structure.

Comparing the Raman results with the color changes in the SEM images and EDX mappings, it can be assumed that the Mn-enriched areas overlap with the dark green areas and dark crystallites, whereas the Ni-rich ones overlap with the light green areas and the brighter particles in the SEM images. Together, they clearly indicate the beginning of phase separation into a stoichiometric layered Ni-enriched oxide and a Li-Mn-enriched layered oxide. Furthermore, a closer look at the light green spectrum of  $\text{Li}_{1.10}$  (Fig. 5l) shows that this already contains contributions of the dark green spectrum. This implies that the length-scale of phase separation is smaller than the lateral resolution of the Raman microscope, which is limited by the diffraction limitation of the used laser wavelength.

The selected particle of  $\text{Li}_{1.16}$  can be separated as well into two parts (Fig. 5p). The light green spectrum has two peaks with a Raman shift of  $489$  and  $594\text{ cm}^{-1}$ , but the latter peak is broader compared to the other samples. This is likely related to the higher Ni content of this particle (EDX, Fig. 5n) and

indicates that the larger particles consist of a layered phase with no or only a slight  $\text{Li}^+$  excess. The spectrum of the orange area differs strongly from the others. Besides the maxima at  $489$ ,  $594$  and  $604\text{ cm}^{-1}$ , a further main peak with three local maxima at  $544$ ,  $553$  and  $565\text{ cm}^{-1}$  is visible. Particularly, the vibration band at approx.  $550\text{ cm}^{-1}$  indicates the presence of a  $\text{LiNiO}_2$  species or a comparable compound with slight incorporation of Mn. Further shoulders between  $400$  and  $450\text{ cm}^{-1}$  as well as  $498\text{ cm}^{-1}$  and the relatively large blue-shift of the  $A_{1g}$  vibration band indicate a strong local increase of  $\text{Li}_2\text{MnO}_3$  domains in this area. The multitude of new vibration bands suggest a significant change in the structure.

More differentiated clustering of the spectra in the orange area of  $\text{Li}_{1.16}$  (Fig. 5p) visualizes the observed phase separation in the microstructure in more detail (Fig. 8). For the other samples with lower  $\text{Li}^+$  excess a further similar separation into sub-clusters was not possible. In the Mn-enriched region of the  $\text{Li}_{1.16}$  particle, the intensity ratios of the peaks at approximately  $488$ ,  $552$  and  $604\text{ cm}^{-1}$  differ strongly between the sub-clusters. The spectral overlap of both a Li-rich and a Ni-rich composition leads to the strong increase of intensity ratio between the peak at  $489\text{ cm}^{-1}$  and approximately at  $600\text{ cm}^{-1}$ . The relative increase of the intensity at  $488\text{ cm}^{-1}$  is explainable with the decrease of the band intensity at  $604\text{ cm}^{-1}$ , due to the splitting of the signal into two main peaks. Interestingly, the intensity of the peak at approximately  $552\text{ cm}^{-1}$ , which was already assigned to a Ni-rich layered compound, deviates strongly in the intensity depending on the location of the sub-cluster. The correlation of both SEM image and Raman mapping suggests a higher intensity of the peak at  $552\text{ cm}^{-1}$  at the bright grain boundaries in the Mn-enriched area. The influence of different

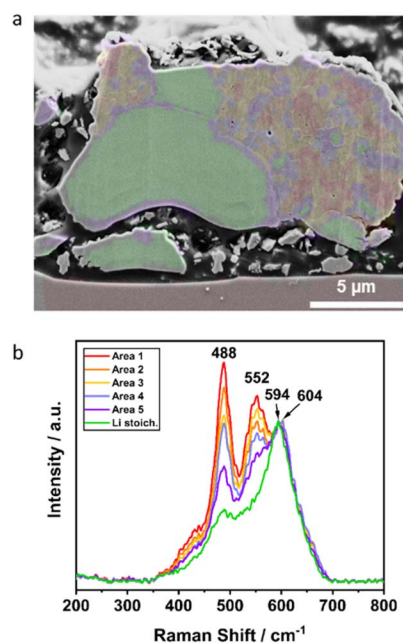


Fig. 8 Detailed investigation of  $\text{Li}_{1.16}$ : (a) correlation of SEM image with Raman mapping and (b) corresponding Raman spectra of the colored, clustered areas with similar Raman spectra.





orientations of the crystallites on the intensity ratios of the bands due to the random orientation as shown by EBSD is very unlikely. Further interpretation of the mapping would need an even higher lateral resolution of the Raman microscope beyond the diffraction limit.

Overall, the specific combination of the three methods, SEM, EDX and Raman, provides a deep insight into the complex structural situation inside the spherical particles, helps to understand the cause of the broadening of the XRD reflections and to prove the phase separation with increasing amount of excess  $\text{Li}^+$  in the particle into a Ni-enriched and a Li-Mn-enriched layered compound, respectively. The phase separation is differently pronounced, while for  $\text{Li}_{1.00}$  only one phase was detected, for  $\text{Li}_{1.07}$  and  $\text{Li}_{1.10}$  local differences in the Mn/Ni ratio and the  $\text{Li}^+$  content in the structure appeared. For  $\text{Li}_{1.16}$  a fundamental rearrangement of the primary particles during the calcination process can be assumed. This might be supported by the larger amount of  $\text{Li}^+$  salts outside of the secondary particles, acting as a flux, most probably due to the limited access into the secondary particle structure. This leads to a separation into layered stoichiometric Ni-enriched particles and a Li-Mn-enriched area, with further phase separation on local scale into Ni-rich and Li-Mn-rich layered oxides. Furthermore, a faster growth of the Ni-enriched crystallites likely leads to a more pronounced phase separation in the spherical material due to the extraction and chemical binding of Ni-ions.

It can be concluded that  $\text{Li}_{1.07}$  has the best balance between structural incorporation of the excess  $\text{Li}^+$  and the phase separation. Compared to the other materials, the incorporation of the excess  $\text{Li}^+$  was more homogeneously distributed as shown in the Raman mappings (Fig. 5 and 6) and the Mn/Ni separation was reduced to a minimum (Fig. 5). Additionally, it was underlined that the interpretation of the powder diffraction data without analyzing the samples by further methods is not sufficient to distinguish between macroscopic segregation (mixture of particles with different compositions), phase separation in the microstructure or defects in the lattice.

## Electrochemical behavior

The influence of the excess  $\text{Li}^+$  on the electrochemical behavior was investigated in a voltage range between 2.5 and 4.7 V to activate possible  $\text{Li}_2\text{MnO}_3$  domains, which become electrochemically accessible at higher potentials (Fig. 9). All powders have a similar cycling characteristic with respect to their capacity loss over cycling. During the first few cycles, a fast capacity fade is observable, which stabilizes after approximately 10 cycles except for  $\text{Li}_{1.00}$  and  $\text{Li}_{1.16}$ . In contrast to the cycling characteristics, the specific discharge capacity differs strongly. While  $\text{Li}_{1.07}$  and  $\text{Li}_{1.10}$  have initial discharge capacities of 173 and 171  $\text{mAh g}^{-1}$ ,  $\text{Li}_{1.00}$  and  $\text{Li}_{1.16}$  have significantly lower capacities of 157 and 150  $\text{mAh g}^{-1}$ . Similar effects are observed in long-term cycling experiments of the better performing materials  $\text{Li}_{1.07}$  and  $\text{Li}_{1.10}$  (Fig. S8†). After a strong capacity fade in the beginning of the cycling experiments, both materials show stable cycling performance between cycles 50 and 200.

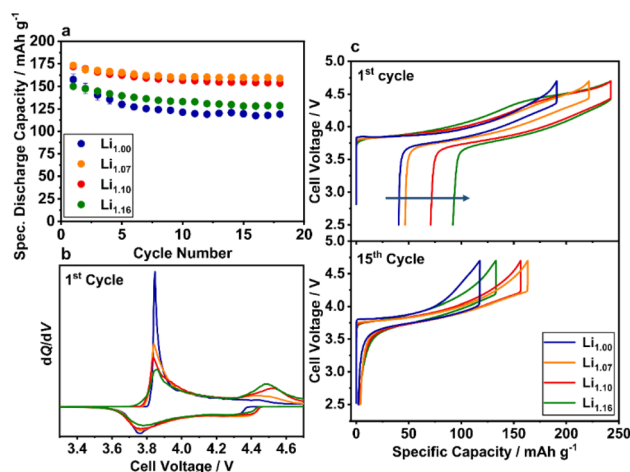


Fig. 9 Electrochemical characterization of  $\text{Li}_{1.00}$  (blue),  $\text{Li}_{1.07}$  (orange),  $\text{Li}_{1.10}$  (red) and  $\text{Li}_{1.16}$  (green): (a) specific discharge capacities of the initial cycles ( $12 \text{ mA g}^{-1}$ ), (b)  $dQ/dV$  plot and (c) voltage profiles of the 1st and 15th cycle.

For a better understanding of the differences in the initial capacities, an in-depth analysis of the voltage curve is necessary (Fig. 9c). The blue curve of  $\text{Li}_{1.00}$  shows a smooth, for solid solutions typical, monotone progression after the voltage jump from the open circuit voltage (OCV) to an initial cell voltage of 3.84 V. A detailed mechanism and the involved Ni oxidation states have been suggested elsewhere.<sup>67,68</sup> Specific plateaus, that would indicate phase transitions or electrochemical activation as in  $\text{Li}_2\text{MnO}_3$ -containing LRLO materials, are not present.<sup>45,69</sup> The voltage profiles of the other electrodes show a slightly different behavior in the voltage region beyond 4.4 V. With higher amount of excess  $\text{Li}^+$ , a plateau region is observable with increasing length, concomitant with decreasing charge capacity below 4.4 V. Furthermore, the first cycle irreversible capacity loss increases. A detailed analysis is presented in the ESI (Fig. S9†). The additional plateau region indicates the electrochemical activation of  $\text{Li}_2\text{MnO}_3$  domains,<sup>70</sup> which were identified during the structural analysis of the materials. Consequently, the initial voltage curves of  $\text{Li}_{1.07}$ ,  $\text{Li}_{1.10}$  and  $\text{Li}_{1.16}$  are most likely influenced by a cationic redox reaction in the lower voltage region (Ni-redox) and an anionic redox reaction at higher voltage (O-redox).<sup>71</sup> Both reactions are visible in the corresponding  $dQ/dV$  plot as well (Fig. 9b). During initial delithiation the peak at 3.8 V can be assigned to the oxidation reaction of the TM and the peak at  $\approx 4.5 \text{ V}$  to the electrochemical activation of the  $\text{Li}_2\text{MnO}_3$  domains.<sup>38</sup> Furthermore, the intensity of the latter peak increases with higher Li/Mn ratios, which is in agreement with the systematical blue-shift of the  $A_{1g}$  Raman vibration band and the other structural investigations, suggesting an increased number of  $\text{Li}_2\text{MnO}_3$  domains. Nevertheless, compared to LRLO materials (Fig. 1, green line) with a strongly distinct plateau at  $\approx 4.5 \text{ V}$ ,<sup>45,72–75,79</sup> the plateau in the voltage profile of the presented powders is less pronounced, likely due to the higher Li/Mn ratio and very dense particle morphology ( $\text{Li}_{1.10}$  and  $\text{Li}_{1.16}$ ) of the investigated materials. Based on the SEM images, we additionally estimate



a low specific surface area, which strongly influences the activation of the  $\text{Li}_2\text{MnO}_3$  domains as well. Similar morphological influences were recently reported by the Gasteiger group for LRLO materials.<sup>76</sup>

At the beginning of lithiation in the 1<sup>st</sup> cycle, significant differences in the size of the voltage drop (IR-drop) can be observed in the order of  $\text{Li}_{1.07} \approx \text{Li}_{1.10} < \text{Li}_{1.16} \ll \text{Li}_{1.00}$ . Particularly, the increased voltage drop of  $\text{Li}_{1.00}$  is likely ascribed to the strong  $\text{Li}^+/\text{Ni}^{2+}$  disordering due to the honeycomb superstructure and the resulting lower  $\text{Li}^+$  diffusion kinetics. For  $\text{Li}_{1.16}$ , most probably the macroscopic phase separation shown by SEM, EDX, EBSD and Raman limits the diffusion. During further lithiation, there is a pronounced peak at approximately 3.75 V, which is mainly attributed to the reduction reaction of the cationic redox system. Based on the microstructural and electrochemical findings, there are probably three main factors influencing the capacity induced by the excess  $\text{Li}^+$  in the structure: (a)  $\text{Li}^+/\text{Ni}^{2+}$  disorder, (b) additional capacity from the oxygen redox and (c) structural inhomogeneity in the lattice. The lower performance of  $\text{Li}_{1.00}$  compared to the other investigated materials with higher Li/Mn ratios was already reported in the literature and ascribed to the high amount of  $\text{Ni}^{2+}$  ions in the  $\text{Li}^+$  layer and the local collapse of the structure during cycling, hindering the (de)lithiation process.<sup>38</sup> The local collapse is likely the reason for the high voltage drop at the beginning of the electrochemical lithiation already after 15 cycles of  $\text{Li}_{1.00}$  (Fig. 9c). Bian *et al.* reported that the capacity fade of  $\text{Li}_{1.00}$  is independent of the high discharge voltage and as well observable at lower cut-off potentials.<sup>32</sup> We observed similar results for  $\text{Li}_{1.00}$ , but with a decreasing extent at lower cut-off voltages most probably due to less side-reactions like electrolyte decomposition or other parasitic side-reactions (Fig. S10†).<sup>77</sup>  $\text{Li}_{1.07}$  and  $\text{Li}_{1.10}$  are most probably in an ideal compositional range, where the  $\text{Li}^+/\text{Ni}^{2+}$  disorder is already reduced due to the higher amount of  $\text{Ni}^{3+}$  and the irreversible capacity loss, originating mainly from the oxygen release during the activation of the  $\text{Li}_2\text{MnO}_3$  domains,<sup>70</sup> is comparatively low. For  $\text{Li}_{1.16}$ , the benefit of the excess  $\text{Li}^+$  is overcompensated likely by the phase segregation in the microstructure and the high irreversible capacity loss, which result in low discharge capacity.

The pronounced capacity loss during the first cycles is accompanied by an increase of the polarization mainly in the high voltage region, which is clearly indicated by the  $dQ/dV$  curves of the initial cycles (Fig. S11†). The increasing overpotential mainly indicates the formation and growth of a cathode electrolyte interface (CEI). In the beginning, the growing process is most probably very fast and slows down during cycling due to the self-passivation of the surface. This effect was also observed by Dupré *et al.* suggesting interfacial effects between the particle surface and the electrolyte as the main reason for this phenomenon.<sup>78</sup> The choice of the electrolyte may influence the CEI formation. Consequently, different electrolytes were screened with respect to their influence on the cycling behavior of  $\text{Li}_{1.07}$  (Fig. 10). For all electrolytes, the principal characteristic of the cycling curve remains unaffected. Reducing the ethylene carbonate (EC) content increases the discharge capacity, but not the cycling stability of the material. However, significant stabilization can be observed for the vinylene carbonate (VC) containing electrolyte with a specific discharge capacity after the first 10 cycles of approximately 175  $\text{mAh g}^{-1}$ . Finally, it was shown that the  $\text{Li}_{1.07}$  material has a stable discharge capacity of 173  $\text{mAh g}^{-1}$  ( $658 \text{ Wh kg}^{-1}$ ) even after 40 cycles using an improved electrolyte composition.

## Conclusions

In this work, different powders with various amounts of  $\text{Li}^+$  excess in the structure  $\text{Li}_{1+x}(\text{Mn}_{0.5}\text{Ni}_{0.5})_{1-x}\text{O}_2$  ( $0 \leq x \leq 0.16$ ) have been investigated with respect to microstructural changes induced by the additional  $\text{Li}^+$ . Increasing the  $\text{Li}^+$  excess in the structure leads to a decrease of both lattice parameters and a broadening of the diffraction patterns. Furthermore, the intensity of superstructure reflections, indicating the presence of  $\text{Li}_2\text{MnO}_3$  domains, increases, too. The study shows that the incorporation of a certain amount of excess  $\text{Li}^+$  helps to improve the structural and electrochemical properties, with lower  $\text{Li}^+/\text{Ni}^{2+}$  disorder and anionic redox activity. Further addition of  $\text{Li}^+$  leads to electrochemical deactivation. The ideal composition in the investigated system was found for  $x = 0.07$ , with a stable discharge capacity of 173  $\text{mAh g}^{-1}$  ( $658 \text{ Wh kg}^{-1}$ ) after 40 cycles. The influence of excess lithium on microstructural features turned out to be very complex. XRD does not give a full view of the structural details and a misinterpretation of the pattern with its broad reflections as a single structure with high amounts of lattice defects is possible if no complementary analysis techniques are employed. Therefore, a powerful combination of SEM imaging (microstructure), EDX (elemental mapping), EBSD and Raman microscopy (structural information) was applied to identify the effect on the particle microstructure driven by excess  $\text{Li}^+$ . While the stoichiometric material ( $x = 0$ ) exhibits a homogeneous particle composition, a strong segregation was observable for  $x = 0.16$ . In the latter, the particle microstructure is dominated by two levels of segregation: (a) large homogeneous crystallites with slightly increased Ni-content and (b) areas composed of small Li-Mn-rich crystallites surrounded by Ni-rich layered compounds along the grain boundaries. Integrating these findings into the

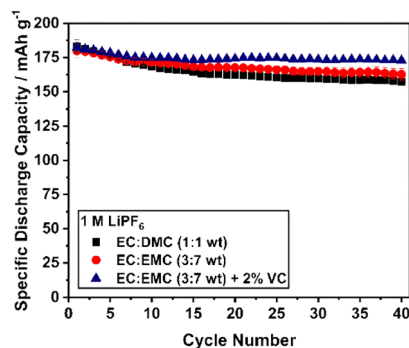


Fig. 10 Cycling performance ( $12 \text{ mA g}^{-1}$ ) of  $\text{Li}_{1.07}$  using different electrolytes ( $1 \text{ M LiPF}_6$ ): EC:DMC (1:1 by wt, black), EC:EMC (3:7 by wt, red) and EC:EMC (3:7 by wt) + 2 wt% VC (blue) at RT.



information obtained from XRD, ICP and cerimetry allows deriving a conclusive understanding of the  $\text{Li}^+$  excess impact on the secondary particle architecture. The results clearly show that  $\text{Li}^+$  excess can induce a complex macroscopic phase segregation on particle level. For the reported materials, we assume that the macroscopic phase separation is driven by a kinetically retarded access of the  $\text{Li}^+$  into the grain structure during calcination, where a part of the  $\text{Li}^+$  can remain unreacted for a certain time span and act as an intermediary fluxing agent. This effect is strongly pronounced for higher  $\text{Li}^+$  excess beyond  $x \approx 0.10$ . In the frame of literature reported results, this study helps as well to interpret methodical differences of calcination procedures on the product quality. Overall, the presented combination of the three analyzing techniques (SEM, EDX, and Raman) will help in the future to generate a deeper understanding of battery materials and their structural and electrochemical behavior.

## Conflicts of interest

There are no conflicts to declare.

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