



Cite this: *Soft Matter*, 2023, 19, 7122

## Fluid–solid transitions in photonic crystals of soft, thermoresponsive microgels†

M. Hildebrandt,<sup>a</sup> D. Pham Thuy,<sup>a</sup> J. Kippenberger,<sup>a</sup> T. L. Wigger,<sup>a</sup> J. E. Houston,<sup>b</sup> A. Scotti<sup>c</sup> and M. Karg<sup>\*,a</sup>

Microgels are often discussed as well-suited model system for soft colloids. In contrast to rigid spheres, the microgel volume and, coupled to this, the volume fraction in dispersion can be manipulated by external stimuli. This behavior is particularly interesting at high packings where phase transitions can be induced by external triggers such as temperature in the case of thermoresponsive microgels. A challenge, however, is the determination of the real volume occupied by these deformable, soft objects and consequently, to determine the boundaries of the phase transitions. Here we propose core–shell microgels with a rigid silica core and a crosslinked, thermoresponsive poly-*N*-isopropylacrylamide (PNIPAM) shell with a carefully chosen shell-to-core size ratio as ideal model colloids to study fluid–solid transitions that are inducible by millikelvin changes in temperature. Specifically, we identify the temperature ranges where crystallization and melting occur using absorbance spectroscopy in a range of concentrations. Slow annealing from the fluid to the crystalline state leads to photonic crystals with Bragg peaks in the visible wavelength range and very narrow linewidths. Small-angle X-ray scattering is then used to confirm the structure of the fluid phase as well as the long-range order, crystal structure and microgel volume fraction in the solid phase. Thanks to the scattering contrasts and volume ratio of the cores with respect to the shells, the scattering data do allow for form factor analysis revealing osmotic deswelling at volume fractions approaching and also exceeding the hard sphere packing limit.

Received 10th August 2023,  
Accepted 1st September 2023

DOI: 10.1039/d3sm01062g

[rsc.li/soft-matter-journal](http://rsc.li/soft-matter-journal)

## 1. Introduction

Microgels and nanogels are composed of chemically and/or physically crosslinked polymer chains and are swollen by large amounts of solvent under good and typically even bad solvent conditions.<sup>1,2</sup> With this large solvent content and their soft polymeric network structure the physical properties of microgels are complex, featuring characteristics that are common for colloids, surfactants and macromolecules.<sup>3–8</sup> Depending on the polymer composition, microgels can react to different external stimuli like temperature, pH or ionic strength.<sup>9–11</sup> The most prominent examples of temperature responsive microgels are based on poly-*N*-isopropylacrylamide (PNIPAM). PNIPAM microgels undergo a pronounced volume phase transition (VPT) at a transition temperature of 32 °C or higher depending on the crosslinker density.<sup>12–14</sup> This behavior is related to the

lower critical solution temperature (LCST) of PNIPAM in water.<sup>15,16</sup> The precipitation of PNIPAM at temperatures above the LCST is also the basis for the rather straightforward synthesis of related microgels by (seeded) precipitation polymerization.<sup>17,18</sup> The resulting microgels typically possess an inhomogeneous internal network structure resembling a gradient in crosslinking and consequently a polymer density that is decreasing in the outer periphery of the microgels. This gradient is the result of the faster consumption of the crosslinker during the precipitation polymerization and leads to a higher crosslinked core and a loose outer shell that also contains dangling chains.<sup>19–21</sup> This structure was also found for the polymeric shell of core–shell microgels that contain rigid nanoparticle cores such as gold<sup>22</sup> and silica.<sup>23</sup> In recent years the structural evolution of microgels in dense packings, either in 2-dimensional confinement or 3-dimensional samples in bulk dispersion, has attracted significant interest.<sup>1,24,25</sup> Despite their softness, microgels can (self-) assemble into highly ordered structures and, for example, crystalline phases similar to hard spheres were observed.<sup>5,12,13,26–31</sup> In contrast to rigid colloids, responsive microgels allow for external control of the microgel volume fraction due to their VPT behavior, *i.e.* for a given number concentration, *N*, for example temperature can be used to alter the volume fraction and thereby induce phase

<sup>a</sup> Institut für Physikalische Chemie I: Kolloide und Nanooptik, Heinrich-Heine-Universität Düsseldorf, Universitätsstraße 1, D-40225 Düsseldorf, Germany. E-mail: [karg@hhu.de](mailto:karg@hhu.de)

<sup>b</sup> European Spallation Source ERIC, Box 176, SE-221 00 Lund, Sweden

<sup>c</sup> Institute of Physical Chemistry, RWTH Aachen University, Landoltweg 2, 52056 Aachen, Germany

† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d3sm01062g>



transitions.<sup>28,32</sup> This behavior renders microgels particularly interesting for fundamental studies on crystallization, crystal melting and jamming. Surprisingly, little is known about the minimal temperature change needed to induce the fluid/solid and solid/fluid transitions.<sup>28,30,33</sup> A challenge related to structural investigations at high volume fractions is the deswelling and faceting that alters the form factor of the microgels in dependence of their concentration.<sup>34–41</sup> This behavior in combination with the inhomogeneous internal network structure and the high solvent contents hamper the determination of the volume fraction. The easier accessible quantity is the generalized volume fraction that considers the microgel volume in the dilute, non-interacting state.<sup>32,42</sup> *In situ* studies on phase transitions consequently require knowledge of the form as well as structure factor in dense packings that typically requires deuteration strategies and complex contrast variation using X-ray and neutron scattering.<sup>20,35</sup>

In this work, we use silica-PNIPAM core-shell (CS) microgels to study fluid–solid transitions in dense packings. Our aims are (i) to determine transition temperatures where fluid–solid transitions occur, (ii) to study the structure and long-range order of both phases and (iii) to determine the microgel size and volume fraction in the concentration range where osmotic deswelling is expected to alter the microgel form factor. To do so, we use a combination of absorbance spectroscopy and synchrotron SAXS measurements for samples of various concentrations in the regime of dense packing. Importantly, the shell-to-core size ratio of the CS microgels was chosen to provide contrast for both, the shell and the core in SAXS investigations. This allows for the direct determination of structure as well as form factors at high volume fractions from single, individual scattering profiles. In the solid regime, we use Bragg peak analysis to study the crystal structure and domain size. Therefore, this work provides not only an ideal, new model system to tackle fundamental challenges related to studying and understanding phase transitions but also provides a direct link between the optical properties and the structure and long-range order of the CS microgel assemblies. This comparison is well justified because of the rather larger probed volumes in non-microfocus SAXS and standard optical spectroscopy giving access to the ensemble behavior rather than only local phenomena.

## 2. Experimental section

### Chemicals

L-Arginine (PanReacAppliChem,  $\geq 99\%$ ), cyclohexane (Fisher Scientific, analytical reagent grade), tetraethyl orthosilicate (TEOS, Sigma Aldrich, 98%), 3-(trimethoxysilyl)propyl methacrylate (MPS, Sigma Aldrich, 98%), *N,N*-methylenebis(acrylamide) (BIS, Sigma-Aldrich, 99%), potassium persulfate (PPS, Sigma-Aldrich, 99,0%) and heavy water ( $D_2O$ , Sigma Aldrich, 99.9%) were used as received without further purification.

*N*-Isopropylacrylamide (NIPAM, TCI,  $> 98\%$ ) was recrystallized from cyclohexane prior to use. Water was always used in

ultra-high purity provided by a Milli-Q system (Merck Millipore) with a resistivity of 18.2 M $\Omega$  cm.

### Synthesis of silica cores

Silicon dioxide seed particles were synthesized by an inter-phase-mediated condensation reaction,<sup>43</sup> where 82 mg (0.47 mmol) of L-arginine were dissolved in 78 mL of water in an 100 mL Erlenmeyer flask and an organic phase was added by the addition of 4.05 mL of cyclohexane. The reaction mixture was equilibrated for 30 minutes at 60 °C in an oil bath and stirred only gently in order to prevent the aqueous and organic phase from mixing. Afterwards, 4.95 mL (22.2 mmol) of TEOS were added to the organic phase and the reaction was allowed to proceed for 72 h. The resulting silica particles were then surface-functionalized with MPS to increase the hydrophobicity as needed for the later precipitation polymerization. To do so, the aqueous phase was used without any purification and 9 mL of cyclohexane were added to the dispersion. After equilibration for 30 minutes at 60 °C, 90  $\mu$ L of MPS were added to the organic phase. After stirring for 24 h, the aqueous phase was separated and the mass content of the dispersion was determined. The obtained MPS-functionalized seeds were used without further purification.

### Synthesis of CS microgels

CS microgels were synthesized by free-radical precipitation polymerization using 4000 mg (35.4 mmol) NIPAM monomer, 817 mg (5.3 mmol) of BIS as crosslinking comonomer and 122 mg MPS-functionalized seeds dispersed in 600 mL of water. The reaction medium was degassed with nitrogen for 45 minutes at a temperature of 70 °C. Then, 50 mg (0.19 mmol) of PPS dissolved in 1 mL of water were added in one shot to initiate the polymerization. The reaction was conducted for 6 h. The CS microgels were purified and concentrated by three centrifugation and redispersion (water) steps at 10 000 rcf for 3 h followed by dialysis against pure water for at least seven days with multiple water exchanges.

### Sample preparation

CS microgel dispersions with defined mass contents used for absorbance spectroscopy and SAXS measurements were prepared by freeze-drying the respective amount of a stock dispersion with known mass content and redispersion of the microgels in the respective volume of  $D_2O$  or  $H_2O$ . CS microgel dispersions with only low mass contents were prepared by dilution of a stock dispersion. Dense samples at high concentrations were prepared in 0.2 mm  $\times$  4.00 mm  $\times$  10 mm rectangular, flat-wall capillaries (VitreTubes). The respective CS microgel dispersions were sucked into the capillaries by applying a small reduced pressure from one side of the capillary. Afterwards, the capillaries were flame-sealed with a hydrogen torch. After sample preparation, the samples were annealed using a temperature cycle. First, the samples were heated from 20 °C to 50 °C with a rate of 1.5 °C h<sup>-1</sup>. Then the temperature was kept constant at 50 °C for 1 h. Finally, the samples were cooled back to 20 °C with a rate of 1.5 °C h<sup>-1</sup>. These samples were used for SAXS and temperature-dependent absorbance



spectroscopy. For SAXS measurements above 20 °C, we prepared CS microgel dispersions in 1 mm round capillaries (WJM Glas) and sealed with epoxy glue. The mass content of dilute CS microgel dispersions prepared for form factor measurements was adjusted to yield a volume fraction of around 0.03, in both swollen and collapsed state. A sample list is given in Table S1 in the ESI.†

### SAXS

Synchrotron SAXS experiments were performed on the CoSAXS beamline at the MAX IV synchrotron in Lund (Sweden). The instrument was equipped with an Eiger2 4M detector exhibiting a sensitive area of  $155.1 \times 162.2 \text{ mm}^2$  with total pixel sizes of  $75 \times 75 \text{ }\mu\text{m}^2$ . SAXS measurements were recorded with acquisition times of 10 ms. The energy of the X-ray beam was 12.4 keV and the sample-to-detector distance was set to 6.85 m resulting in an effective  $q$ -range from  $0.015 \text{ nm}^{-1}$  to  $0.5 \text{ nm}^{-1}$  for the measurements performed at 20 °C. For the experiments performed at 40 °C the sample-to-detector distance was set to 11.04 m resulting in an effective  $q$ -range from  $0.01 \text{ nm}^{-1}$  to  $0.5 \text{ nm}^{-1}$ . Due to limited available beamtime and limitations in precisely controlling the sample temperature with our unusual sample geometry, experiments could only be performed at 20 and 40 °C. Detector images were radially averaged using a mask accounting for the inactive section of the detector boundaries and the beamstop. The resulting SAXS profiles were background corrected for D<sub>2</sub>O.

In addition, SAXS measurements on selected samples were performed on a Xeuss 2.0 (XENOCs) equipped with a Pilatus3R 300K detector exhibiting a sensitive area of  $83.8 \times 106.5 \text{ mm}^2$  with total pixel sizes of  $172 \times 172 \text{ }\mu\text{m}^2$ . The sample-to-detector distance was set to 1.2 m and the energy of the X-ray beam was 8.048 keV leading to an effective  $q$ -range of  $0.03 \text{ nm}^{-1}$  to  $2 \text{ nm}^{-1}$ . All measurements were performed in order to extract scattering intensities in absolute units with 1 mm glassy carbon as reference. Here we note that only the SAXS profiles based on measurements performed with the Xeuss 2.0 and using glassy carbon as reference exhibit absolute units. All data from synchrotron SAXS measurements of CS microgels in dense packings are reported in arbitrary units as the data analysis focusing on the form and structure factor does not require absolute scaling. Scattering profiles were recorded with acquisition times of 3600 s. The resulting SAXS profiles were corrected for the respective solvent as background. Samples were measured in round capillaries with a diameter of 1 mm and a wall thickness of 0.01 mm (WJM Glas). The concentration used for the CS microgels was 10 wt%, while silica particles were investigated in dilute state.

Radial averaged scattering profiles were analyzed with the SasView software.<sup>44</sup> The 2D SAXS patterns were simulated with the software Scatter by Förster and Apostol.<sup>45</sup>

### Absorbance spectroscopy

UV-Vis-NIR absorbance spectroscopy was performed on a SPECORD S600 (Analytik Jena) equipped with a temperature-controlled sample changer. We want to note that we measured

the exact same samples by absorbance spectroscopy as by synchrotron SAXS.

### Angle-dependent reflectance spectroscopy

Reflectance spectroscopy was performed with a home-built setup consisting of a tungsten-lamp as light source and the Flame VIS-NIR Spectrometer (Ocean Insight) as detector. Both, detector and the light source were equipped with visible-NIR fibers to ensure free movement of the detector and light source in a goniometer-like fashion. The sample holder and fiber guides were 3D printed using a Prusa MK3 FDM printer. Measurements were performed in reflectance with the incident angle matching the angle of detection.

### Dynamic light scattering

Temperature-dependent dynamic light scattering (DLS) measurements were performed with the Zetasizer Nano S (Malvern Panalytical) equipped with a laser of 633 nm wavelength and scattered light was detected in an angle of 173°. Measurements were conducted between 17 °C and 60 °C with steps of 0.2 °C. Three measurements with acquisition times of 60 s each were performed at each temperature step. The measurements were recorded in semi-macro cuvettes (polymethylmethacrylate, VWR) with CS microgel mass contents of 0.05 wt% in water. The hydrodynamic radii ( $z$ -average) were determined by cumulant analysis provided by the instrument software.

Angle-dependent DLS measurements were done on a 3D LS Spectrometer (LS Instruments, Switzerland) operated in 2D mode (pseudo cross-correlation) equipped with a HeNe laser (632.8 nm) as light source while the scattered light was detected with two avalanche photodetectors. A CS microgel dispersion, filtered through a 5  $\mu\text{m}$  syringe filter (PTFE, Carl Roth) with a mass content of 0.01 wt% was prepared in a cylindrical glass cuvette (10  $\times$  75 mm, borosilicate, Fisher scientific). Beforehand, the glass cuvette was treated with 2 vol% Hellmanex solution, followed by cleaning in an acetone fountain. Finally, samples were placed in a temperature-controlled decalin bath (JULABO CF31, PT100 close to sample position) and measured in angles between 30° and 140° in 5° steps with acquisition times of 60 s. The recorded data was analyzed by the CONTIN algorithm<sup>46</sup> as implemented in AfterALV v.1.0e (Dullware).

### Electrophoretic mobility determination

The temperature-dependent electrophoretic mobility of the CS microgels dispersed in water was determined using a Litesizer 500 from Anton Paar.

### Transmission electron microscopy

Transmission electron microscopy (TEM) was performed with a JEOL JEM-2100Plus TEM in bright-field mode. The acceleration voltage was set to 80 kV. Aqueous CS microgel dispersions were drop-casted on carbon-coated copper grids (200 mesh, Electron Microscopy Science) and dried for several hours at room temperature before investigation. TEM images were exported with the GMS 3 software from Gatan and the particle size was determined using ImageJ.<sup>47</sup>



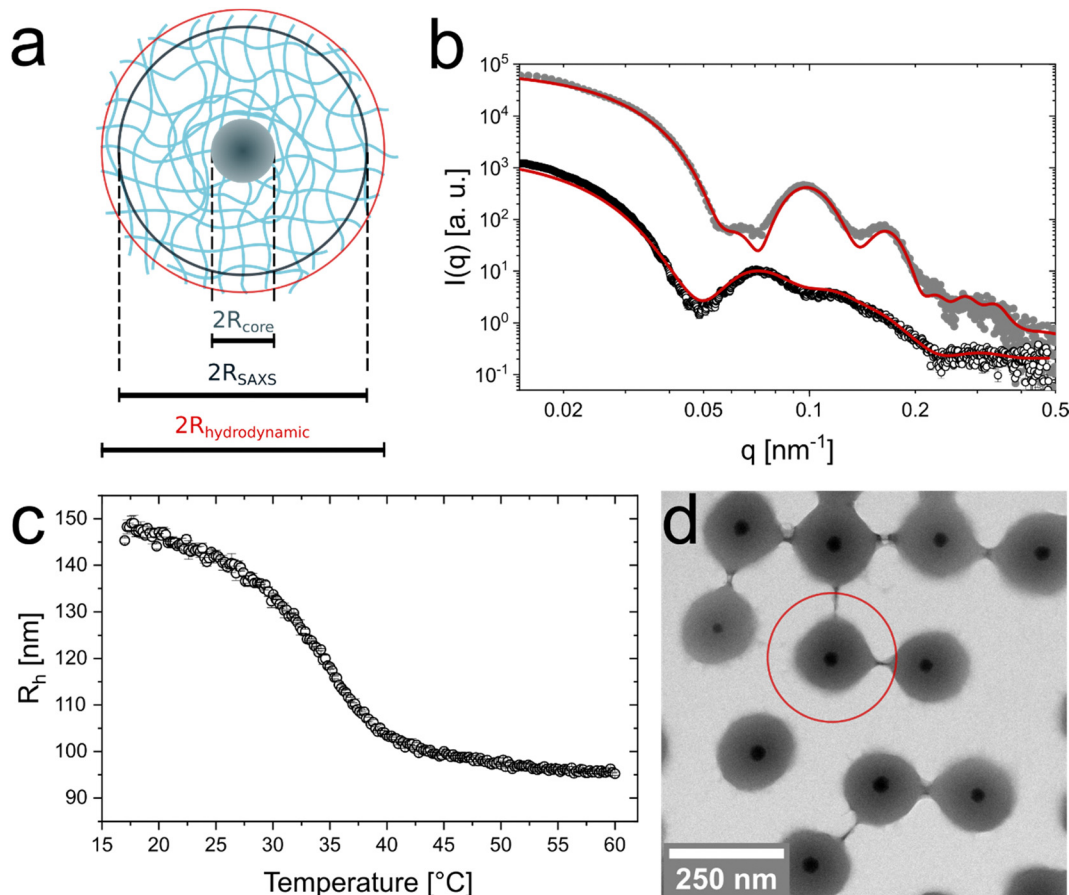
### 3. Results and discussion

#### Characterization in the dilute regime

We synthesized CS microgels that feature spherical silica nanoparticle cores and crosslinked PNIPAM shells. Fig. 1 summarizes the basic characterization in the dilute (non-interacting) regime. A schematic illustration of the microgel structure and the most relevant dimensions for this work are shown in Fig. 1(a). The SAXS profile in Fig. 1(b) reveals the form factors measured at 20 °C and 40 °C with contributions from the core in the high  $q$  range and contributions from the shell in the mid to low  $q$  range. Form factor analysis on the basis of a CS model with a homogeneous core and a shell with an exponentially decaying density profile (red line) provided a core radius of  $R_{\text{core}} = 18 \pm 2$  nm and a total radius of the CS microgels of  $138 \pm 14$  nm at 20 °C. More details on the form factor analysis including the application of other models can be found in the ESI† (Table S3 and Fig. S1). Due to the thermoresponsive behavior of PNIPAM based microgels, the CS microgels collapse above the volume phase transition temperature (VPTT). This is

also reflected by the scattering profile recorded at 40 °C, *i.e.* well above the VPTT. In this state a simple CS model with homogeneous core and shell can be used to describe experimental scattering data. The respective fit to the data yields a total radius of  $90 \pm 8$  nm. For more details on the fitting parameters see Table S2 in the ESI.†

Temperature-dependent DLS measurements reveal the typical VPT behavior of the PNIPAM shell in aqueous dispersion (Fig. 1(c)). In the swollen state (20 °C) the CS microgels possess a hydrodynamic radius of  $R_h = 147 \pm 3$  nm. The radius continuously decreases with increasing temperature reaching a value of  $97 \pm 1$  nm at 50 °C. The VPTT is approximately 35.0 °C. We want to note that we used 15 mol% of BIS in the synthesis (nominal) which explains the slightly higher temperature of the VPT as compared to ‘classical’ PNIPAM microgels. This is in agreement with findings from previous studies on comparable systems.<sup>22,48</sup> Since the precision of the hydrodynamic dimensions in the swollen and collapsed state is of utmost importance for the further analysis in this work, we used angle-dependent DLS in addition to the fixed angle



**Fig. 1** Characterization of the CS microgels in the dilute state. (a) Schematic illustration of a single CS microgel with the most relevant radii.  $R_{\text{core}}$  is the radius of the core,  $R_{\text{SAXS}}$  the total microgel radius from SAXS and  $R_h$  is the hydrodynamic radius. (b) Synchrotron SAXS profile measured from a dilute dispersion (0.45 wt%) at 20 °C (black circles) and (1.25 wt%) at 40 °C (grey dots). In case of the profile recorded at 20 °C, the solid line corresponds to a form factor model containing a homogeneous, isotropic core and a shell with an exponentially decaying density profile. A core-homogeneous-shell model was applied to fit the profile recorded at 40 °C. (c) Hydrodynamic radius as function of temperature (black circles) obtained from temperature-dependent DLS measurements (d) Representative TEM image. The red circle indicates the total dimensions obtained from DLS at 20 °C.



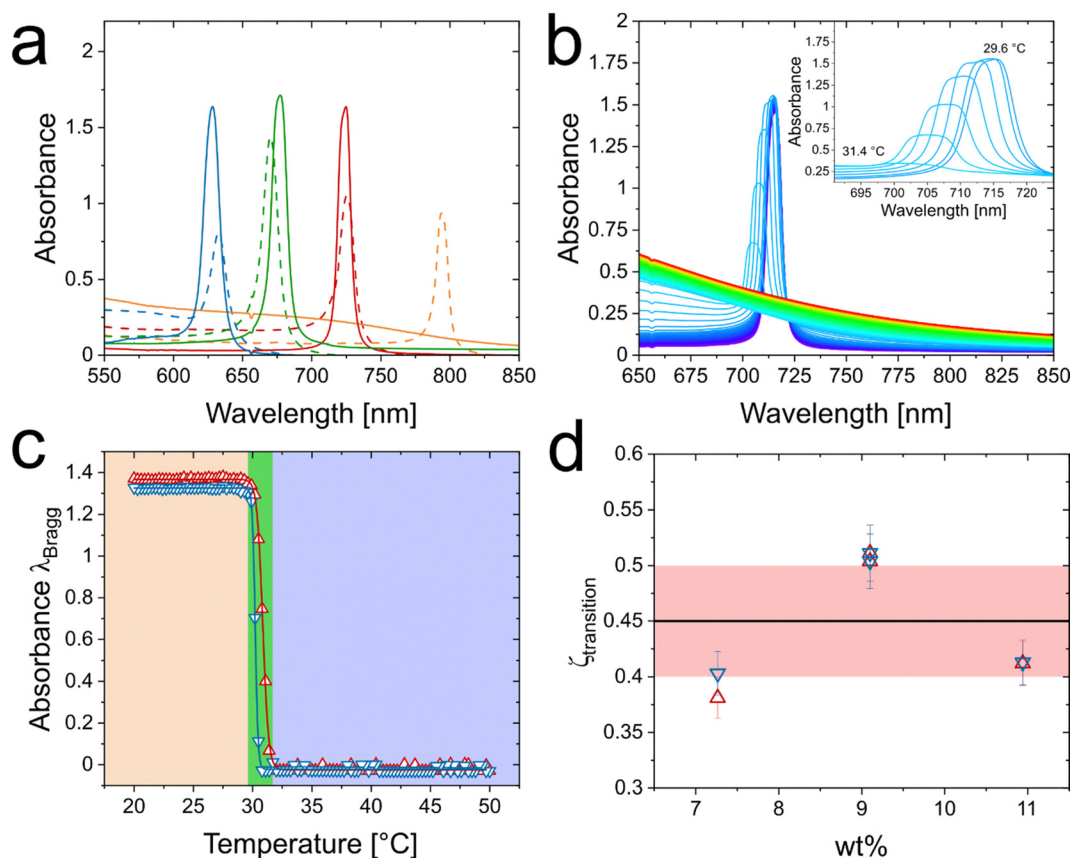
results. The good agreement between both sets of measurements can be seen in Fig. S2 in the ESI†. In addition, we recorded the temperature-dependent electrophoretic mobility of the CS microgels under dilute conditions (Fig. S3, ESI†) revealing the expected behavior with rather low mobilities ( $-1.23 \mu\text{m cm V}^{-1} \text{s}^{-1}$ ,  $20 \text{ }^\circ\text{C}$ ) in the swollen state, followed by a decrease when the particles undergo the VPT ( $-2.23 \mu\text{m cm V}^{-1} \text{s}^{-1}$ ,  $40 \text{ }^\circ\text{C}$ ). Here, the negative electrophoretic mobility is related to the initiator PPS, introducing negative charges and a decrease in negative mobility is associated to an increase in charge density of the microgels.

Fig. 1(d) shows a representative TEM image of the CS microgels where the cores and shells can be clearly distinguished due to their difference in contrast. The image supports that each microgel contains a single nanoparticle core with an average radius of  $R_{\text{core}} = 17.5 \pm 1.6 \text{ nm}$ . SAXS measurements of the bare silica cores (Fig. S4, ESI†) are in good agreement with the results from TEM leading to an average radius of  $17.8 \pm 1.8 \text{ nm}$ . A histogram from size analysis of a large number of microgels along with additional TEM images is provided in the

ESI† (Fig. S5). We found that less than 1% of the microgels feature more than one or no core. As expected, the microgel shells significantly shrink due to the sample preparation and the high vacuum conditions during TEM investigation. This is illustrated by the red circle in Fig. 1(d) that highlights the swollen state dimensions determined by DLS.

### Fluid–solid transitions in dense packings

Similar to hard spheres, microgels including our CS microgels are expected to crystallize at large enough packing fractions. Due to the total size of our CS microgels approaching visible wavelength scale total dimensions, absorbance spectroscopy is a convenient tool to monitor the phase behavior.<sup>30,48</sup> Fig. 2(a) shows Vis-NIR absorbance spectra of samples with 5.4 to 10.9 wt% CS microgels obtained directly after sample preparation (dashed lines) and after the SAXS investigation in the solid regime (solid lines) which is addressed later in this work. Prior to the SAXS investigation of the solid regime, the samples were annealed at  $50 \text{ }^\circ\text{C}$  followed by slow cooling to  $20 \text{ }^\circ\text{C}$ . More details on the annealing procedure and the parameters that we



**Fig. 2** Phase behavior of CS microgels. (a) Vis-NIR absorbance spectra of CS microgel dispersion with mass contents of 5.4 wt% (orange), 7.3 wt% (red), 9.1 wt% (green) and 10.9 wt% (blue) after preparation (dashed lines) and after SAXS experiments (straight lines, crystalline state). (b) Temperature-dependent Vis-NIR absorbance spectra of 7.3 wt% CS microgel dispersion. Spectra were recorded from  $20 \text{ }^\circ\text{C}$  (violet) to  $50 \text{ }^\circ\text{C}$  (red) in  $0.3 \text{ }^\circ\text{C}$  steps. The inset shows the temperature-dependent evolution of the Bragg peak at temperatures between  $29.6 \text{ }^\circ\text{C}$  and  $31.4 \text{ }^\circ\text{C}$ . (c) Temperature-dependent evolution of the intensity of the Bragg peak for the heating (red triangles) and cooling procedure (blue triangles). The green area indicates the temperature range where the Bragg peak disappears/reappears. (d) Threshold value of the generalized volume fraction where the transitions between fluid and crystalline phases occur in dependence of the mass contents of the respective samples. The horizontal, black line indicates the average volume fraction of 0.45 needed for crystallization and the red area is related to the respective standard deviation.



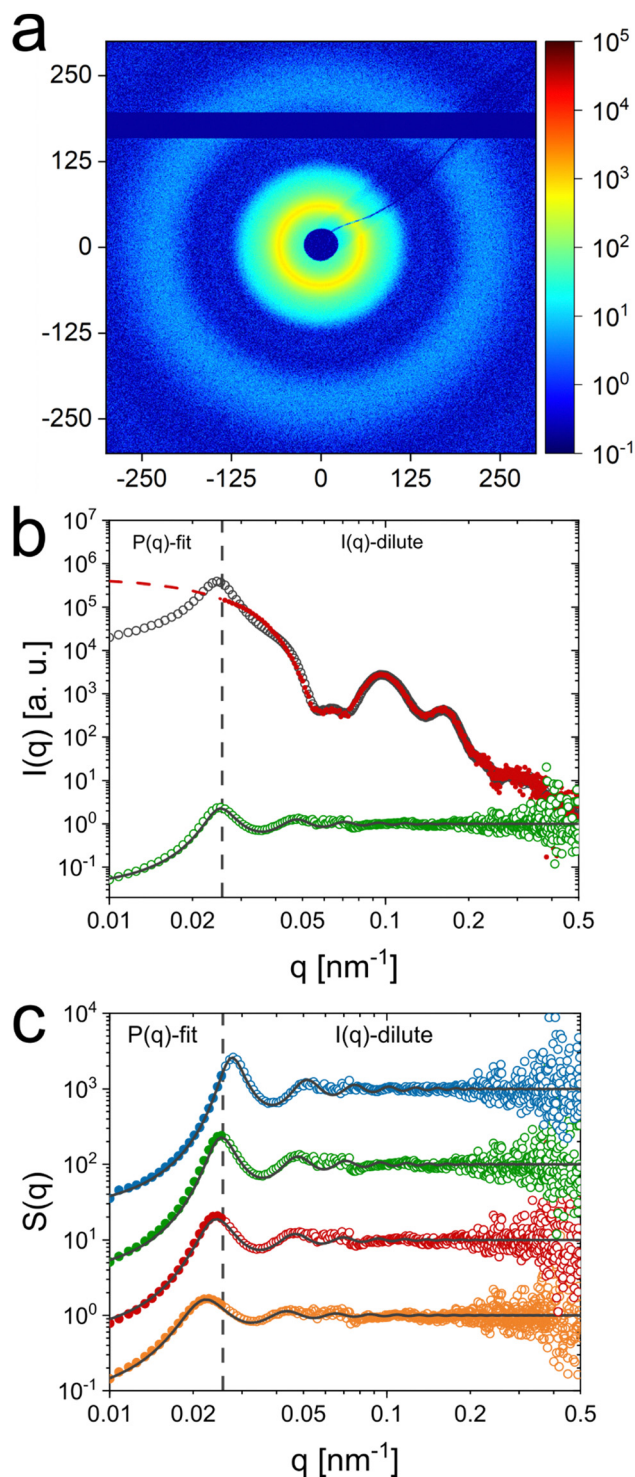
have identified for the best annealing conditions can be found in the ESI† (Fig. S6). The annealing process reduces the line-widths of the narrow Bragg peaks, enhances their maximum intensity indicating a reduction in incoherent scattering. At the same time, only small spectral shifts are observed. For the lowest concentration (5.4 wt%) a Bragg peak is only observed prior to annealing. This can be attributed to a liquid-crystal coexistence phase and potentially local concentration differences right after sample preparation. During the annealing, the crystallites melt and the resulting fluid homogenizes resulting in a fluid phase that even remains after slow cooling to room temperature where the volume fraction is significantly increased. This behavior indicates that the volume fraction of this particular sample in the swollen state is close to the threshold volume fraction where crystallization occurs. In Fig. S7 in the ESI† additional absorbance spectra recorded at different points in time can be found while Fig. S8 (ESI†) shows results from angle-dependent reflectance measurements. The reflectance data show the expected blue shift of the Bragg peak for increasing angle of incidence (with respect to the normal).

What happens during the heating and cooling cycles in our annealing protocol can be nicely followed by absorbance spectroscopy. It is notable that the sample volume probed in Vis-NIR spectroscopy is much larger than the volumes probed by microscopy<sup>33</sup> or microfocus SAXS<sup>28</sup> and, therefore, our approach provides a more representative ensemble picture of the phase behavior of the CS microgels. Detailed information about the temperature cycling can be found in the ESI† (Fig. S9). Fig. 2(b) shows as an example the spectra recorded during the heating in 0.3 °C steps starting at 20 °C for the sample with 7.3 wt% CS microgels. During the first approximately 10 °C of heating the Bragg peak remains nearly unchanged in position, intensity and full width at half maximum (FWHM). When approaching a temperature of 30 °C and higher (inset in Fig. 2(b)), we can see a decrease of the Bragg peak accompanied by a blue shift and broadening. These changes are related to a significant shrinking of the PNIPAM shell that reduces the microgel volume fraction  $\phi$ . This is related to the VPT of the microgels (see Fig. 1(c)). At temperatures of 31.7 °C and higher, the Bragg peak cannot be observed anymore indicating that only a fluid phase is left. To follow this phase transition more closely, Fig. 2(c) shows the evolution of the Bragg peak absorbance with temperature for a heating (red symbols) and cooling (blue symbols) series. The data for both, the heating and cooling cycle, almost perfectly overlap with very little hysteresis and slightly smaller inflection points for the cooling cycle (30.7 °C in contrast to 31.6 °C for the heating cycle). Surprisingly, the temperature window where the solid–fluid (fluid–solid) transition occurs is very narrow as indicated by the green vertical bar. Within approximately 2 °C the crystals completely melt during the heating cycle and reversibly recrystallize during the cooling cycle. In addition, a change in temperature of a few millikelvin is enough for the dispersion of CS microgels to undergo the change from a partially crystalline (green transition area) to a completely fluid phase. It is important to note that data shown were not normalized or

anyhow modified. We simply take in account the maximum absorbance values at the respective position of the Bragg peak with only the incoherent background scattering being subtracted. This background subtraction is the reason why the plotted intensities remain nearly unchanged for temperatures outside the green-colored area. Following only the development of the coherent signal reveals the very sharp and reversible phase transition. The same heating/cooling study was also performed for the samples containing 9.1 and 10.9 wt% CS microgels. The respective data can be found in the ESI† (Fig. S10 and Table S4). In addition, we performed time-dependent Vis-NIR absorbance spectroscopy in the temperature range of the phase transition between the fluid and solid state, to verify that the samples reached equilibrium at the time of the measurement. As presented in Fig. S11 in the ESI† the intensity of the Bragg peak changes within the first 100 s, after reaching the target temperature and from this point on stays constant. Therefore, not only the CS microgels show a fast response towards temperature,<sup>49</sup> but also the phase behavior exhibits a rather fast response towards temperature changes ( $\sim 100$  s), and the suspension quickly reaches equilibrium when temperature steps are sufficiently small. Here, we conclude that the samples are at equilibrium when spectra are recorded after 720 s equilibration time.

Similar to our previous works on gold-PNIPAM CS microgels,<sup>22,50</sup> we can use the SAXS scattering contribution of the nanoparticle cores (here: silica) to determine the microgel number concentration,  $N$ . A detailed explanation for the data treatment and calculations is given in the ESI† (Fig. S12–S14 and Tables S5–S8). Having access to  $N$  allows us to determine the generalized volume fraction  $\zeta$  that is based on the hydrodynamic microgel volume in the dilute, non-interacting regime available from our DLS data. Fig. S15 in the ESI† shows the evolution of  $\zeta$  with temperature. We can now use the transition temperatures determined from the spectroscopic data, listed in Table S4 of the ESI† to determine the values of  $\zeta$  where the solid–fluid (fluid–solid) transitions occur. Fig. 2(d) shows the respective results for the heating and cooling cycles as a function of mass content of the samples. The data for the three different concentrations scatter around the average value of  $\zeta_{\text{transition}} = 0.45 \pm 0.05$  (black line in Fig. 2(d)) without a clear trend. The hysteresis between cooling (blue symbols) and heating (red symbols) is the largest for the sample at a concentration of 7.3 wt% and almost not observable for 9.1 and 10.9 wt%. Here, the 2 °C interval, where the CS microgels undergo the phase separation corresponds to an approximate change in  $\zeta$  of around  $0.05 \pm 0.01$ . As the transition temperatures are close to the VPT, we propose that the microgels are nearly in the collapsed state and thereby can be hardly collapsed further. We want to note that the volume fractions are well below the random close packing and, therefore, the generalized volume fraction can correspond to the real packing fraction.<sup>38,51</sup> Our mean value of 0.45 is slightly smaller than the freezing volume fraction of hard spheres (0.494).<sup>52</sup> We attribute this small deviation to an electrostatic contribution due to the anionic radical initiator used in the precipitation polymerization rendering the CS microgels charged.





**Fig. 3** Synchrotron SAXS analysis of dense CS microgel dispersions, recorded above the VPTT at 40 °C. Samples from 5.4 to 9.1 wt% were prepared in D<sub>2</sub>O and the 12 wt% dispersion in H<sub>2</sub>O. (a) 2D detector image (pixels as units) with a pronounced contribution of a liquid structure factor. The horizontal bar in dark blue corresponds to the inactive area between the panels of the SAXS detector. These areas are masked during data reduction. (b) Structure factor (green circles) extracted from the scattering profile of a 9.1 wt% CS microgel dispersion recorded at 40 °C (black circles). The dashed red line corresponds to form and the solid black line to the structure factor fits (Percus–Yevick) and red dots correspond to the (rescaled) experimental scattering profile of the dilute CS microgel

### Structure in the fluid regime

We performed synchrotron SAXS measurements on the dense samples at 40 °C, *i.e.* where the PNIPAM shells are collapsed. According to the analysis from absorbance spectroscopy, all samples should be in a fluid-like state at this temperature (see Fig. S10 in the ESI†) as Bragg peaks were not observed. Fig. 3(a) shows a 2D detector image of a 9.1 wt% CS microgel dispersion recorded at 40 °C. A distinct fluid structure factor contribution, as well as some oscillations related to the form factor are visible in the isotropic scattering pattern. Additional detector images recorded from samples with different mass contents are provided in the ESI† (Fig. S16). The results agree very well to the observation from absorbance spectroscopy. The radially averaged scattering profile is shown in Fig. 3(b) (black circles). The profile shows a pronounced structure factor contribution in the range of low  $q$  ( $<0.05$  nm<sup>-1</sup>). The mid to high  $q$  regime is dominated by the form factor of the CS microgels (see also Fig. 1(b)). Scattering profiles for samples with 5.4 to 12 wt% CS microgel content are shown in Fig. S17 in the ESI.† The data reveal the expected changes in the structure factor, *i.e.* a shift of the first structure factor maximum to larger  $q$  for increasing concentration. At the same time the form factor contribution remains unchanged. This implies that the microgel do not change due to osmotic deswelling in the collapsed state, at least in the range of concentrations studied. Consequently, the structure factor can be calculated directly from the scattering intensities of the dense samples ( $I_{\text{conc}}(q)$ ) using the known form factor ( $S(q) = I_{\text{conc}}(q)/P(q)$ ). Due to a small structure factor contribution in the experimental scattering profile of the dilute CS microgel dispersion (1.25 wt%) recorded at 40 °C (see Fig. S18, ESI†), we did not use the experimental data in the range of low  $q$  ( $<0.025$  nm<sup>-1</sup>) for this calculation. In the latter case, the theoretical form factor as shown by the fit in Fig. 1(b) was used. Fig. 3(b) shows the resulting structure factor (green circles) for the 9.1 wt% sample. The vertical dashed line highlights the value in  $q$  separating the range in  $q$  where the form factor fit was used for the calculation ( $q < 0.025$  nm<sup>-1</sup>) and the range where the experimental data (1.25 wt%) were used ( $q > 0.025$  nm<sup>-1</sup>). More details on this data treatment are provided in the ESI† (Fig. S19). The Percus–Yevick<sup>53</sup> (PY) hard sphere structure factor fit describes the determined structure factor almost perfectly.

Fig. 3(c) compares the extracted structure factors for the samples in the range of 5.4 to 12 wt% with increasing concentration from bottom to top. All data can be nicely fitted by the PY structure factor model. We also observe the expected shift of the first structure factor maximum to larger  $q$  for increasing concentration. At the same time, we observe a reduction in

dispersion at 40 °C. The dashed vertical line highlights the threshold value where either the form factor fit ( $q < 0.025$  nm<sup>-1</sup>) or the experimental scattering signal of the dilute CS microgel dispersion ( $q > 0.025$  nm<sup>-1</sup>) was used for the structure factor calculation. (c) Extracted structure factors for CS microgel dispersions from scattering profiles recorded at 40 °C of 5.4 wt% (orange circles), 7.3 wt% (red circles), 9.1 wt% (green circles) and 12 wt% (blue circles). The solid black lines correspond to structure factor fits (Percus–Yevick). The structure factor profiles are shifted vertically for the sake of clarity.



structure factor peak width. Results from the structure factor analysis are summarized in Table S9 in the ESI.† The effective hard sphere volume fraction increases from 0.32 for 5.4 wt% to 0.46 for 12 wt%. At the same time, the effective hard sphere radius decreases from 142 to 124 nm – values that are significantly larger than the value of the hydrodynamic radius in the collapsed state (40 °C) as determined from DLS. The significant deviation between  $R_h$  and the effective hard sphere radius obtained from the fit of the data with the PY model indicates a pronounced influence of electrostatic interactions between the CS microgels, which are not considered in the classical hard sphere model. Therefore, the extracted values for the hard sphere volume fractions and for the effective hard sphere radii do not reflect the real packing fraction and size of the CS microgels. For a more realistic description a more complex model would be required, ideally together with support from theoretical calculations or computer simulations. However, the focus of the present work is rather on the fluid–solid transition than on the role of electrostatic interactions. The latter will be carefully addressed in a follow-up work. Noteworthy, when the CS microgels undergo

the VPT, the effective charge density also increases, as reflected by the electrophoretic mobility (Fig. S3, ESI†). This enhances the influence of electrostatics in the phase behavior of the microgels.

We attribute the decrease in hard sphere radius to an increasing electrostatic screening as the microgel and consequently the counterion concentration increases. Fig. S20 in the ESI† shows only the low  $q$  region of the structure factors and reveals the good match between PY structure factor fit and experimental data towards  $q = 0 \text{ nm}^{-1}$ . This underlines the hard sphere-like behavior of the CS microgels in the collapsed state. In swollen state experimental structure factors of microgels were shown to deviate from the hard sphere approximation which was related to the soft character of the interaction potential.<sup>54</sup>

### Structure in the solid regime (crystalline)

The absorbance spectra of Fig. 2(a) indicate that the crystallinity is significantly improved by the applied temperature annealing protocol. To verify this and to determine the crystal structure, we used synchrotron SAXS. Fig. 4(a) shows the 2D detector image recorded for the 9.1 wt% sample at 20 °C, as a

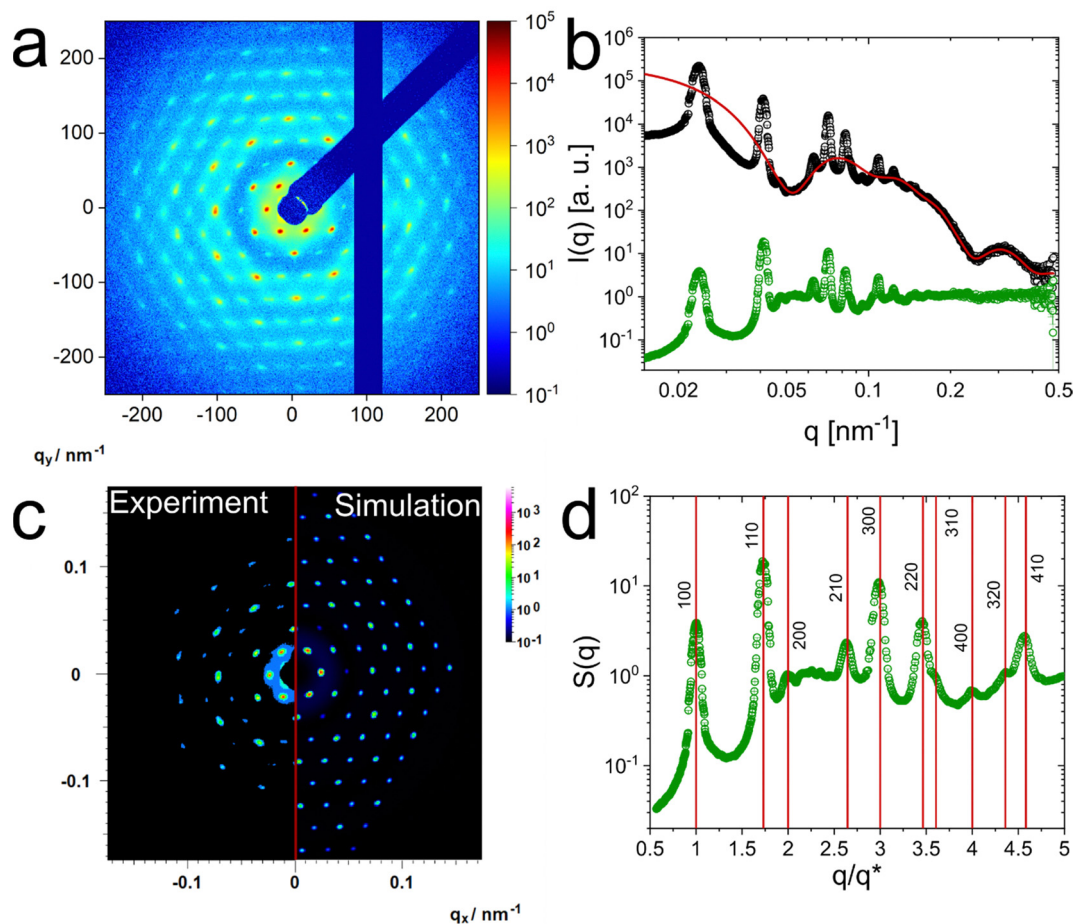


Fig. 4 Synchrotron SAXS analysis of crystalline sample with 9.1 wt% CS microgels. (a) 2D detector image (pixels as units) with pronounced Bragg peaks of several orders. The dark blue bars are the boundaries of the detector panel (vertical) and the arm of the beam stop (diagonal). (b) Corresponding radially averaged data (black circles). The red solid line corresponds to the modelled form factor. The green circles correspond to the structure factor extracted from the 2D radial average of panel (a). (c) Measured scattering data (2D) on the left and the corresponding hcp simulation on the right. (d) Structure factor from experiment with theoretical peak positions (vertical red lines) corresponding to a hcp crystal structure. The x-axis is normalized to the position of the first peak of the structure factor ( $q^* = 0.0238 \text{ nm}^{-1}$ ).



representative example. Pronounced and sharp Bragg peaks of at least 10 orders of diffraction are observed. Furthermore, the six-fold symmetry of the diffraction pattern is clearly visible indicating the alignment of hexagonal close-packed planes parallel to the capillary wall.<sup>28</sup> Apparently, SAXS studies on microgels and CS microgels in dense packings are scarce and from reported 2D diffraction patterns typically only up to four orders of diffraction are observed.<sup>28,50,55</sup> Although not directly comparable due to the different experimental smearing and detector resolution, analysis of colloidal crystals of microgels by small-angle neutron scattering (SANS) revealed diffraction with Bragg peaks of four diffraction orders.<sup>48</sup> The long-range order and superior crystallinity of our system can also be observed for the 7.3 and 10.9 wt% sample as shown in the ESI† (Fig. S21) Here, the combination of the presented SAXS pattern and the Vis-NIR spectra recorded from the same samples (Fig. 2(a)) allow for a direct correlation between the order of the assemblies and the obtained spectra. Previous works on colloidal crystals in microgel suspensions made use of optical spectroscopy to follow and/or identify crystallization but could not provide a direct link between optical properties and crystal structure as well as degree of order. Notably, the synchrotron SAXS (non-microfocus) and Vis-NIR spectroscopy applied here, both illuminate a relatively large volume. Therefore, the scattering data and optical spectra provide a representative ensemble picture of the crystalline assemblies of the CS microgels. Fig. 4(b) shows the radially averaged data (black circles) corresponding to the detector image shown in Fig. 4(a). The structure factor with pronounced and well distinguishable Bragg peaks is clearly visible in the mid to low  $q$  region ( $q < 0.15 \text{ nm}^{-1}$ ). In addition, the form factor minima related to the core ( $q \approx 0.23 \text{ nm}^{-1}$ ) and the microgel shell ( $q < 0.1 \text{ nm}^{-1}$ ) are clearly visible. Due to the distinct intensity minima, we can model the form factor even in the densely packed state where analysis is typically difficult/not possible due to changes in the microgel size and shape (osmotic deswelling and faceting)<sup>41,56,57</sup> and the superposition with the structure factor. The red line in Fig. 4(b) corresponds to our modeled form factor. Here, the CS structure of our microgels simplifies the modeling process, because the core contribution is well resolved and isolated from the shell as well as structure factor contribution. Consequently, also the particle number density,  $N$ , can be precisely determined through the form factor contribution of the core. The contribution of the shell can be modeled based on the distinct form factor minimum at  $q \approx 0.05 \text{ nm}^{-1}$ . With the resulting form factor,  $P(q)$ , in the concentrated regime, we can calculate the structure factor by dividing the measured scattering intensity by  $P(q)$  similar as has been presented before in the fluid regime.

The extracted structure factor is shown in Fig. 4(b) (green circles). In the high  $q$  region  $S(q)$  approaches the expected value of 1. This underlines that the modelled form factor describes the high  $q$  region precisely. In the limit of low  $q$ ,  $S(q)$  approaches very low values close to zero. Extrapolation to  $q = 0 \text{ nm}^{-1}$  reveals a value  $S(0) = 0.03$ .

The large number of Bragg peaks allows us to simulate theoretical scattering patterns in direct comparison to the

recorded 2D detector images. Fig. 4(c) shows the good agreement with a hcp lattice. The Bragg peaks from simulation do not only match the experimental data in terms of peak positions and intensities but also the azimuthal and radial peak widths match closely. Detailed information on the simulation parameters and additional simulations performed on the 7.3 and 10.9 wt% samples are presented in Table S10 and Fig. S22 in the ESI.†

To further support the hcp structure, the agreement between measured peak positions and theoretical ones for a hcp lattice is also seen in Fig. 4(d). Here, the experimental structure factor is plotted with a normalized  $q$  axis. Normalization was done based on the position of the first structure factor maximum,  $q^*$ . The vertical, red lines correspond to the calculated positions for a hcp lattice:

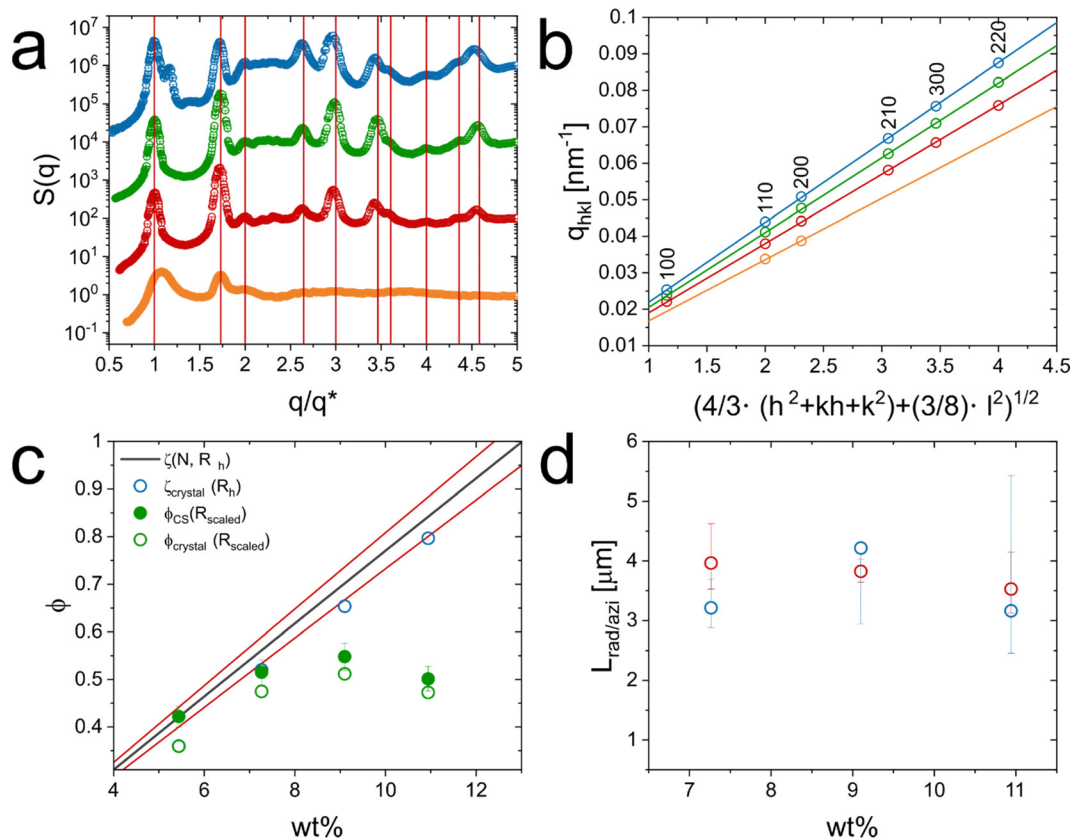
$$\frac{q}{q^*} = \frac{d_{hkl}}{d_{100}} = \frac{\sqrt{\frac{4}{3}(h^2 + hk + k^2) + \frac{a^2}{c^2}l^2}}{\sqrt{\frac{4}{3}}} \quad (1)$$

Here,  $d_{hkl}$  refers to the lattice spacing with Miller indices  $h$ ,  $k$  and  $l$ . In order to describe the dimensions of the unit cell  $a$  refers to the lattice constant and  $c$  to the height of the unit cell. The first Bragg peak to be expected is assigned to the  $d_{100}$  lattice and for a closed packed structure of spheres the ratio between  $c$  and  $a$  is fixed to a value of  $(8/3)^{1/2}$ . The theoretical Bragg peaks which we could not identify in our scattering profile as well as a comparison to an fcc lattice are shown in Fig. S23 in the ESI.† It is known that hard spheres crystallize into close-packed hexagonal layers, e.g. fcc lattices. Because of the small free-energy difference between fcc and hcp, random stacking is often observed, i.e. rhcp structures.<sup>58–60</sup> For microgels a similar behavior has been reported in literature.<sup>28,61,62</sup> These works show the simultaneous presence of fcc and hcp structures which can be considered as rhcp structure. For hard spheres it was found that the nucleation process can influence the proficiency of the system for crystallizing into a fcc or hcp structure.<sup>63</sup> Therefore, we suggest the sole presence of the hcp crystal structure to be attributed to the slow annealing procedure of our samples, which we assume is of high relevance for the nucleation process.

A similar analysis for samples in a range of concentrations from 5.4 to 10.9 wt% is presented in Fig. 5(a). Here the  $q$  axis is again normalized by  $q^*$ . Only in case of the 5.4 wt% sample that revealed a mostly fluid character after long temperature annealing, the data were normalized by the second structure factor maximum (110 plane). The first structure factor maximum located at  $q \approx 0.02 \text{ nm}^{-1}$  is mostly attributed to a fluid like structure factor while the second one at  $q \approx 0.03 \text{ nm}^{-1}$  exhibits a small width and more distinctive shape and therefore is considered as a Bragg peak. We relate the Bragg peak to small residual crystallites. In Fig. S24 (ESI†) we show a fit of the fluid-like structure factor. From this, we conclude that the volume fraction of the CS microgels in the 5.4 wt% dispersion is very close to the freezing concentration. As Fig. 2(c) indicates, only small change in temperature are required to induce the start of the crystallization or, *vice-versa*, melting.

For all samples with mass contents above 5.4 wt%, we find a nearly perfect agreement between the experimental and the





**Fig. 5** Extraction of the lattice constant  $a$  and the volume fraction  $\phi$  of the CS microgels in the colloidal crystals. (a)  $S(q)$  of CS microgel dispersions with mass contents of 5.4 wt% (orange circles,  $q^* = 0.0195 \text{ nm}^{-1}$ ), 7.3 wt% (red circles,  $q^* = 0.0221 \text{ nm}^{-1}$ ), 9.1 wt% (green circles,  $q^* = 0.0238 \text{ nm}^{-1}$ ) and 10.9 wt% (blue circles,  $q^* = 0.0255 \text{ nm}^{-1}$ ). The straight vertical lines correspond to the theoretical Bragg peak positions assigned to the hcp crystal structure. The x-axis is normalized to the position of the respective first structure factor maximum except for the 6 wt% sample (orange circles) where the position was normalized by the position of the second peak. The profiles are offset along the ordinate for clarity. (b) Extraction of the lattice constant  $a$  via the linear dependence of the position of the Bragg peak on the  $d$ -spacing. (c) Volume fraction  $\phi$  of the CS microgel in dependence of the mass content. The black line corresponds to the generalized volume fraction, based on particle number concentration and  $R_h$  of the CS microgel. The red lines indicate the standard deviation. The blue circles and green dots are related to the volume fraction of the particles in the crystal based on the lattice constant and  $R_h$  (blue) respectively  $R_{h,\text{scaled}}$ . Green circles indicate the volume fraction based on particle number concentration and  $R_{h,\text{scaled}}$ . (d) Radial (red) and azimuthal (blue) sizes of the coherently scattering domains.

theoretical positions of the Bragg peaks assigned to the hcp crystal structure. In Fig. 5(b), we show the linear relation of the Bragg peak position,  $q_{hkl}$ , and the  $d$ -spacing between lattice planes for hcp structures. The slopes of the linear fits provide the respective lattice constants,  $a$ , according to:

$$q_{hkl} = \frac{2\pi}{a} \left( \frac{4}{3}(h^2 + hk + k^2) + \frac{3}{8}l^2 \right)^{\frac{1}{2}} \quad (2)$$

Table S11 in the ESI† lists the determined values of  $a$ . In addition, the lattice constant is accessible from the simulations of the 2D detector images like shown in Fig. 4(c) as well from the positions of the Bragg peaks from absorbance spectroscopy,  $\lambda_{\text{diff}}$ :

$$a = \frac{\lambda_{\text{diff}} \sqrt{\frac{4}{3}(h^2 + hk + k^2) + \frac{3}{8}l^2}}{2n_{\text{crystal}}} \quad (3)$$

For the hcp crystal structure, we assign the Bragg peak from the absorbance spectra to the 002 lattice. Fig. S25 in the

ESI† shows the good agreement of the lattice constants,  $a$ , as obtained from analysis of SAXS data and absorbance spectra. The lattice constant decreases from 322 nm (7.3 wt% sample) to 284 nm (10.9 wt% sample). We can now calculate the volume fraction of the crystalline samples,  $\phi_{\text{crystal}}$ , based on the unit cell dimensions:

$$\phi_{\text{crystal}} = \frac{(3+3)\frac{4}{3}\pi(R_h)^3}{3\sqrt{3}\sqrt{\frac{8}{3}a^3}} = \frac{8\pi(R_h)^3}{3\sqrt{2}a^3} \quad (4)$$

Fig. 5(c) compares the determined volume fractions based on the hydrodynamic radius in the dilute state, *i.e.* the generalized volume fraction,  $\zeta$  (blue circles and black line) and using radii that we determined from the form factor analysis of the SAXS data directly in the densely packed state. The latter values allow us to rescale the hydrodynamic radius,  $R_h$ , using the obtained radii from SAXS in the dilute ( $R_{\text{SAXS,dil.}}$ ) and



concentrated state ( $R_{\text{SAXS}}$ ):

$$R_{\text{h,scaled}} = \frac{R_{\text{SAXS}}}{R_{\text{SAXS dil.}}} R_{\text{h}} \quad (5)$$

We see a good agreement between the volume fractions calculated based on  $R_{\text{h}}$  with the number concentration  $N$  (black line) and the volume fraction calculated with  $R_{\text{h}}$  and the unit cell dimensions (blue circles) shown in Fig. 5(c). The red lines indicate the standard deviation of the calculated  $\zeta$  based on the number concentration. The values of  $\zeta$  obtained from the unit cell dimensions lie within the respective standard deviation. This underlines the reliability of the extraction of  $\zeta$  *via* the number concentration obtained from the scattering of the core in SAXS.

The calculated values of the effective volume fractions are presented in Fig. 5(c) as green symbols. The calculation is either based on the particle number concentration from SAXS measurements (filled, green symbols) or based on the lattice constants as obtained from SAXS measurements on crystalline samples (open, green circles). The data follow the same trend with slightly smaller volume fractions obtained from the crystal structure analysis. Again, the difference lies within the standard deviation with exception for the 5.4 wt% sample, where the lattice constant is related to small crystallites not representing the average bulk volume fraction of the dispersion. When reaching the threshold of crystallization (Fig. 2(d)) we observe a significant deviation of the volume fraction from the generalized volume fraction. This is most pronounced for the 9.1 and 10.9 wt% samples where the volume fraction approaches values of approximately 0.52 which is far away from the closed packed volume fraction of 0.74 for hard spheres. This is in agreement to the values reported by Scotti based on comprehensive analysis of microgels in dense packing using SANS.<sup>51</sup>

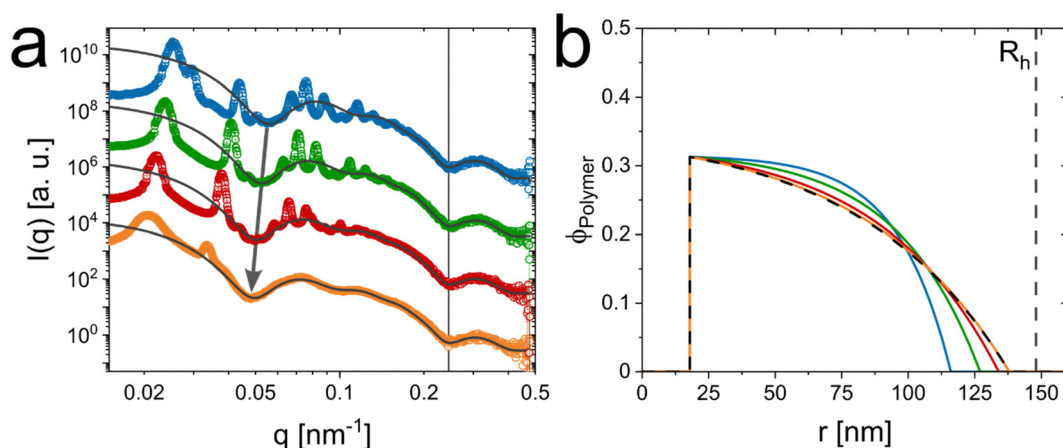
In addition, we show the respective volume fractions based only on  $R_{\text{SAXS}}$  without any normalization to the hydrodynamic

radius  $R_{\text{h}}$  in the ESI† (Fig. S26). Due to the smaller total size of the CS microgels as observed by SAXS, the determined volume fractions are significantly smaller although still following the trend seen in Fig. 5(c).

To analyze the domain sizes of the crystalline samples, we use the Williamson–Hall analysis<sup>64</sup> that is based on the azimuthal and radial widths of the Bragg peaks as shown in Fig. S27 in the ESI.† This analysis revealed very low strains below 1% for our colloidal crystals and coherently scattering domain sizes between 3 and 4  $\mu\text{m}$  (Fig. 5(d)). These values are in good agreement with the slightly smaller domain sizes from analysis of the 2D detector images using Scatter. Based on the total size of our CS microgels we estimate that these domain volumes contain approximately 2000 microgels per single domain.

### Osmotic deswelling in the solid regime (crystalline)

As reported in the literature, the concentration of counterions, which determines the osmotic stress of the suspension, grows with increasing microgel concentration for ionic microgels<sup>37,38,65</sup> and also for microgels that are often considered neutral but typically carry some charges from the ionic initiator.<sup>36,66</sup> As a consequence, when the bulk modulus of the microgels is lower than the external osmotic pressure the microgels start to deswell, even when they are not in direct contact.<sup>36,39,51,67</sup> In our case, the CS microgels carry chargeable groups that are only attributed to the used anionic radical initiator. The electrophoretic mobility measured at 20 °C and dilute conditions is  $-1.23 \mu\text{m cm V}^{-1} \text{s}^{-1}$ . Thus, our microgels can be considered as weakly charged in the swollen state. Due to the unique contrast situation of our CS microgels for SAXS, we can extract the form factor even in the regime of dense packings, *i.e.* where  $S(q) \neq 1$ . The measured SAXS profiles (symbols) and the modeled form factors (solid lines) are presented in Fig. 6(a). The high  $q$  region can be very reliably described with the form factor model due to



**Fig. 6** Osmotic deswelling of the CS microgels in dense packings. (a) Scattering profiles from samples with mass contents of 5.4 wt% (orange circles), 7.3 wt% (red circles), 9.1 wt% (green circles) and 10.9 wt% (blue circles) recorded at a temperature of 20 °C. The grey lines correspond to the respective form factors and the grey arrow illustrates the shift of the form factor minimum related to the shell, while the black, vertical line indicates the fixed position of the form factor minimum related to the core. The profiles are offset along the ordinate for clarity. (b) Radial density profiles of the polymer volume fraction at the respective mass contents (similar color coding to a). The black dashed profile corresponds to the CS microgels in the dilute state and the black dashed, vertical line highlights the hydrodynamic radius.



the contribution of the core. Since the rigid cores do not change in size, shape and its size polydispersity remains constant, the form factor contribution of the cores does not change. This is highlighted by the vertical solid line that marks the position of the form factor minimum due to the core contribution. In the mid and, in particular, low  $q$  region where the structure factor contribution is strong, the fit and experimental data seem to deviate. This however is only caused by the absence of the structure factor in the model. The first minimum of the form factor contribution of the shell is still well-resolved and not hampered by the structure factor. Therefore, we get very good agreement between fit and data around the minimum (approximately  $0.05 \text{ nm}^{-1}$ ). The parameters used for the form factor modeling are listed in Table S12 in the ESI.† As the grey arrow indicates, the minimum associated to the microgel shell shifts towards lower  $q$  with decreasing concentration. This means that the particle size decreases with increasing concentration. In other words, the microgel shells shrink as the particle number density increases.

Fig. 6(b) shows radial polymer density profiles as the result from the form factor analysis. The respective polymer volume fractions are extracted from a radial density profile of the scattering length density shown in Fig. S28 in the ESI.† Here we also present the constant integrals of the radial density profiles. The rigid silica cores have a mean radius of 18 nm. Consequently, in Fig. 6(b) the polymer volume fraction is zero for  $r < 18 \text{ nm}$ . Within the shell region ( $r > 18 \text{ nm}$ ) the polymer volume fraction decreases from very similar values of 0.31, independently on the mass content of the samples, to zero, following the exponential decay of the SLD in the corresponding form factor model. With an increase in mass content, we can detect a decrease of  $R_{\text{SAXS}}$  from 138 nm to 116 nm and a more pronounced decline in the exponential decay, indicating a deswelling process. The fact that we can accurately determine interparticle-distances, based on the lattice constant of the crystalline structure formed by the CS microgels, reveals that deswelling occurs far before interparticle contact. This again indicates the presence of a pronounced electrostatic influence on the microgel behavior, even when the particles are in the swollen state, exhibiting rather low electrophoretic mobilities. The influence of electrostatics on potential deswelling of microgels (here in the dilute state) was also shown by Bergman *et al.* where changes in the ionic strength of the dispersion resulted in a deswelling of charged microgels.<sup>11</sup> Reconsidering the phase behavior of the CS microgels at 40 °C in addition to the deswelling, we conclude that the microgels must exhibit a pronounced electrostatic contribution. Therefore, the deswelling of the CS microgels, most likely, is a result of the overlapping between the individual ion-clouds exhibited by the microgels, increasing osmotic pressure, forcing the microgels to deswell. As we could not detect an increase in the polydispersity of the CS microgels for the deswelling process, in combination with the electrostatic contribution and due to the dense packing of the CS microgels inside of the colloidal crystal we conclude that the microgels undergo an isotropic osmotic deswelling.<sup>41,51,68</sup> This leads to the decrease in radius and the

more pronounced decline of the polymer volume fraction as described above. From literature it is known that the internal microgel architecture including the nominal crosslinker content dictates whether isotropic deswelling or faceting of the microgels is observed.<sup>13</sup> For microgels with high nominal crosslinker contents ( $\geq 5 \text{ mol\% BIS}$ ), like in our case, it is known that isotropic deswelling occurs before faceting.<sup>20,51</sup> A similar behavior was found for hollow microgels that showed isotropic osmotic deswelling when the particle concentration in the dispersion was increased. In this case it was attributed that deswelling is favored over faceting due to the presence of the cavity inside the microgel which allows for rearrangement of the polymer chains within the microgel.<sup>56,69</sup> Ultra low cross-linked microgels, expressing pronounced soft behavior, show faceting when they are dispersed in a crowded environment, rather than undergoing isotropic deswelling before microgels make contacts.<sup>51,70</sup>

## 4. Conclusion

Core-shell microgels with polymeric shells that are significantly larger than the incompressible core are ideal candidates to study fluid-solid transitions by small-angle scattering without the need of selective deuteration and contrast variation.<sup>20,35,51</sup> We have shown that SAXS is particularly powerful given that the core as well as the shell provide sufficient contrast with respect to the solvent environment. In our case this was realized by silica-PNIPAM core-shell microgels with shell-to-core size ratios on the order of 8 (swollen state). The form factor contribution of the silica cores in the range of large  $q$  is well separated from the scattering of the shell and also from structure factor contributions in dense packings. Due to the overall microgel dimensions approaching the wavelength of visible light, crystallization of the microgels can be easily followed optically due to the photonic properties. We used absorbance spectroscopy as an efficient and easily available method to study solid-fluid/fluid-solid transitions induced by heating/cooling of the samples. Analysis of the data revealed very narrow temperature windows where the phase transitions occur with almost no hysteresis between heating and cooling within a change in volume fraction of about 0.05. The freezing point is registered at a volume fraction of  $0.45 \pm 0.05$ . The deviation from the value of hard spheres was explained by electrostatic interactions. The structures of the fluid and solid phases were studied by synchrotron SAXS. Structure factors extracted in the fluid regime indicate the presence of a pronounced electrostatic contribution in the phase behavior of the CS microgels. In addition, we did not observe any osmotic deswelling in dense packings of collapsed microgels. In contrast, the samples in the solid regime showed sharp Bragg peaks and many different diffraction orders upon very slow temperature annealing of the samples. Analysis of the scattering data revealed hcp structures and domain sizes in the micrometer range. Due to the combination of synchrotron SAXS and optical spectroscopy on the very same samples, both methods probing rather large sample



volumes, we were able to provide a representative correlation between the optical properties and ensemble structure of the CS microgel assemblies. From the scattering profiles of the crystalline samples, we could also directly determine the form factor of the CS microgels. Data analysis revealed osmotic deswelling with an extend that increases with increasing concentration.

The unique combination of our silica-PNIPAM core-shell microgels and properly chosen shell-to-core size ratio with synchrotron SAXS is ideally suited to determine form as well as structure factors even in packings exceeding the hard sphere limit. Due to the great resolution of SAXS and the extremely short acquisition times, *in situ* studies on phase transitions over a broad range of packing densities will be possible in future works. A comprehensive follow-up work addressing in more detail the role of electrostatics in the phase behavior of our core-shell microgels using charged and charge-screened conditions is currently underway.

## Conflicts of interest

There are no conflicts of interest to declare.

## Acknowledgements

The authors acknowledge the German Research Foundation (DFG) and the state of NRW for funding the cryo-TEM (INST 208/749-1 FUGG) and Marius Otten from Heinrich-Heine-University Düsseldorf for his assistance with the operation and image recording. The authors thank the Center for Structural Studies (CSS) that is funded by the DFG (grant numbers 417919780 and INST 208/761-1 FUGG) for access to the SAXS instrument. A. S. thanks the DFG for financial support of project A3 (project no. 191948804) within the SFB 985 – Functional Microgels and Microgel Systems. The authors acknowledge Déborah Feller from Heinrich-Heine-University Düsseldorf for her assistance with the operation of the angle-dependent dynamic light scattering setup. A. V. Petrunin, T. Höfken, and P. Mota-Santiago helped with the SAXS measurements. The SAXS measurements were performed on the CoSAXS beamline at the MAX IV laboratory (Lund, Sweden) under the proposals 20200777 and 20220526. The Research conducted at MAX IV, a Swedish national user facility, is supported by the Swedish Research council under contract 2018-07152, the Swedish Governmental Agency for Innovation Systems under contract 2018-04969, and Formas under contract 2019-02496. This work benefited from the use of the SasView application, originally developed under NSF award DMR-0520547. SasView contains code developed with funding from the European Union's Horizon 2020 research and innovation programme under the SINE2020 project, grant agreement no 654000.

## References

- 1 M. Karg, A. Pich, T. Hellweg, T. Hoare, L. A. Lyon, J. J. Crassous, D. Suzuki, R. A. Gumerov, S. Schneider,

- I. I. Potemkin and W. Richtering, *Langmuir*, 2019, **35**, 6231–6255.
- 2 R. Pelton, *Adv. Colloid Interface Sci.*, 2000, **85**, 1–33.
- 3 F. A. Plamper and W. Richtering, *Acc. Chem. Res.*, 2017, **50**, 131–140.
- 4 J. D. Debord and L. A. Lyon, *J. Phys. Chem. B*, 2000, **104**, 6327–6331.
- 5 L. A. Lyon, J. D. Debord, S. B. Debord, C. D. Jones, J. G. McGrath and M. J. Serpe, *J. Phys. Chem. B*, 2004, **108**, 19099–19108.
- 6 M. Destribats, V. Lapeyre, M. Wolfs, E. Sellier, F. Leal-Calderon, V. Ravaine and V. Schmitt, *Soft Matter*, 2011, **7**, 7689–7698.
- 7 A. Scotti, S. Bochenek, M. Brugnioni, M. A. Fernandez-Rodriguez, M. F. Schulte, J. E. Houston, A. P. H. Gelissen, I. I. Potemkin, L. Isa and W. Richtering, *Nat. Commun.*, 2019, **10**, 1418.
- 8 B. Brugger, B. A. Rosen and W. Richtering, *Langmuir*, 2008, **24**, 12202–12208.
- 9 Y. Hertle and T. Hellweg, *J. Mater. Chem. B*, 2013, **1**, 5874–5885.
- 10 I. Berndt, J. S. Pedersen and W. Richtering, *J. Am. Chem. Soc.*, 2005, **127**, 9372–9373.
- 11 M. J. Bergman, J. S. Pedersen, P. Schurtenberger and N. Boon, *Soft Matter*, 2020, **16**, 2786–2794.
- 12 B. Sierra-Martin and A. Fernandez-Nieves, *Soft Matter*, 2012, **8**, 4141–4150.
- 13 A. Scotti, M. F. Schulte, C. G. Lopez, J. J. Crassous, S. Bochenek and W. Richtering, *Chem. Rev.*, 2022, **122**, 11675–11700.
- 14 M. Karg, S. Prévost, A. Brandt, D. Wallacher, R. von Klitzing and T. Hellweg, *Progress in Colloid and Polymer Science*, Springer International Publishing, Switzerland, 2013, vol. 140, pp. 63–76.
- 15 M. Heskins and J. E. Guillet, *J. Macromol. Sci., Part A: Pure Appl. Chem.*, 1968, **2**, 1441–1455.
- 16 A. Halperin, M. Kroger and F. M. Winnik, *Angew. Chem., Int. Ed.*, 2015, **54**, 15342–15367.
- 17 R. H. Pelton and P. Chibante, *Colloids Surf.*, 1986, **20**, 247–256.
- 18 A. Rauh, T. Honold and M. Karg, *Colloid Polym. Sci.*, 2016, **294**, 37–47.
- 19 M. Stieger, W. Richtering, J. S. Pedersen and P. Lindner, *J. Chem. Phys.*, 2004, **120**, 6197–6206.
- 20 S. Nöjd, P. Holmqvist, N. Boon, M. Obiols-Rabasa, P. S. Mohanty, R. Schweins and P. Schurtenberger, *Soft Matter*, 2018, **14**, 4150–4159.
- 21 X. Wu, R. H. Pelton, A. E. Hamielec, D. R. Woods and W. McPhee, *Colloid Polym. Sci.*, 1994, **272**, 467–477.
- 22 E. Ponomareva, B. Tadgell, M. Hildebrandt, M. Krüsmann, S. Prévost, P. Mulvaney and M. Karg, *Soft Matter*, 2022, **18**, 807–825.
- 23 J. Dubbert, T. Honold, J. S. Pedersen, A. Radulescu, M. Drechsler, M. Karg and W. Richtering, *Macromolecules*, 2014, **47**, 8700–8708.
- 24 M. Rey, M. A. Fernandez-Rodriguez, M. Karg, L. Isa and N. Vogel, *Acc. Chem. Res.*, 2020, **53**, 414–424.



- 25 T. Hellweg, *Angew. Chem., Int. Ed.*, 2009, **48**, 6777–6778.
- 26 M. A. Fernández-Rodríguez, R. Elnathan, R. Ditcovski, F. Grillo, G. M. Conley, F. Timpu, A. Rauh, K. Geisel, T. Ellenbogen, R. Grange, F. Scheffold, M. Karg, W. Richtering, N. H. Voelcker and L. Isa, *Nanoscale*, 2018, **10**, 22189–22195.
- 27 K. Volk, F. Deifßenbeck, S. Mandal, H. Löwen and M. Karg, *Phys. Chem. Chem. Phys.*, 2019, **21**, 19153–19162.
- 28 D. Lapkin, N. Mukharamova, D. Assalauova, S. Dubinina, J. Stellhorn, F. Westermeier, S. Lazarev, M. Sprung, M. Karg, I. A. Vartanyants and J. M. Meijer, *Soft Matter*, 2022, **18**, 1591–1602.
- 29 T. Hellweg, C. D. Dewhurst, E. Brückner, K. Kratz and W. Eimer, *Colloid Polym. Sci.*, 2000, **278**, 972–978.
- 30 M. Karg, T. Hellweg and P. Mulvaney, *Adv. Funct. Mater.*, 2011, **21**, 4668–4676.
- 31 J. Bocanegra-Flores, C. Haro-Pérez, D. Reyes-Contreras and L. F. Rojas-Ochoa, *Front. Phys.*, 2022, **10**, 988903.
- 32 H. Senff and W. Richtering, *J. Chem. Phys.*, 1999, **111**, 1705–1711.
- 33 A. M. Alsayed, M. F. Islam, J. Zhang, P. J. Collings and A. G. Yodh, *Science*, 2005, **309**, 1207–1210.
- 34 J. N. Immink, M. J. Bergman, J. J. E. Maris, J. Stenhammar and P. Schurtenberger, *ACS Nano*, 2020, **14**, 14861–14868.
- 35 P. S. Mohanty, S. Nöjd, K. van Gruijthuijsen, J. J. Crassous, M. Obiols-Rabasa, R. Schweins, A. Stradner and P. Schurtenberger, *Sci. Rep.*, 2017, **7**, 1487.
- 36 A. Scotti, U. Gasser, E. S. Herman, M. Pelaez-Fernandez, J. Han, A. Menzel, L. A. Lyon and A. Fernandez-Nieves, *Proc. Natl. Acad. Sci. U. S. A.*, 2016, **113**, 5576–5581.
- 37 M. Pelaez-Fernandez, A. Souslov, L. A. Lyon, P. M. Goldbart and A. Fernandez-Nieves, *Phys. Rev. Lett.*, 2015, **114**, 098303.
- 38 A. Scotti, M. Pelaez-Fernandez, U. Gasser and A. Fernandez-Nieves, *Phys. Rev. E*, 2021, **103**, 012609.
- 39 C. Pellet and M. Cloitre, *Soft Matter*, 2016, **12**, 3710–3720.
- 40 G. M. Conley, P. Aebischer, S. Nöjd, P. Schurtenberger and F. Scheffold, *Sci. Adv.*, 2017, **3**, e1700969.
- 41 T. Höfken, C. Strauch, S. Schneider and A. Scotti, *Nano Lett.*, 2022, **22**, 2412–2418.
- 42 G. K. Batchelor, *J. Fluid Mech.*, 1977, **83**, 97–117.
- 43 K. D. Hartlen, A. P. T. Athanasopoulos and V. Kitaev, *Langmuir*, 2008, **24**, 1714–1720.
- 44 SasView, <https://www.sasview.org/>.
- 45 S. Förster, L. Apostol and W. Bras, *J. Appl. Crystallogr.*, 2010, **43**, 639–646.
- 46 S. W. Provencher, *Comput. Phys. Commun.*, 1982, **27**, 213–227.
- 47 C. A. Schneider, W. S. Rasband and K. W. Eliceiri, *Nat. Methods*, 2012, **9**, 671–675.
- 48 A. Rauh, N. Carl, R. Schweins and M. Karg, *Langmuir*, 2018, **34**, 854–867.
- 49 B. Tadgell, E. Ponomareva, M. Karg and P. Mulvaney, *J. Phys. Chem. C*, 2022, **126**, 4118–4131.
- 50 M. Hildebrandt, S. Lazarev, J. Pérez, I. A. Vartanyants, J. M. Meijer and M. Karg, *Macromolecules*, 2022, **55**, 2959–2969.
- 51 A. Scotti, *Soft Matter*, 2021, **17**, 5548–5559.
- 52 B. J. Alder, W. G. Hoover and D. A. Young, *J. Chem. Phys.*, 1968, **49**, 3688–3696.
- 53 J. K. Percus and G. J. Yevick, *Phys. Rev.*, 1958, **110**, 1–13.
- 54 T. Eckert and W. Richtering, *J. Chem. Phys.*, 2008, **129**, 124902.
- 55 U. Gasser and A. Fernandez-Nieves, *Phys. Rev. E: Stat., Nonlinear, Soft Matter Phys.*, 2010, **81**, 052401.
- 56 A. Scotti, A. R. Denton, M. Brugnioni, J. E. Houston, R. Schweins, I. I. Potemkin and W. Richtering, *Macromolecules*, 2019, **52**, 3995–4007.
- 57 A. Scotti, A. R. Denton, M. Brugnioni, R. Schweins and W. Richtering, *Phys. Rev. E*, 2021, **103**, 022612.
- 58 J. M. Meijer, A. Shabalin, R. Dronyak, O. M. Yefanov, A. Singer, R. P. Kurta, U. Lorenz, O. Gorobstov, D. Dzhigaev, J. Gulden, D. V. Byelov, A. V. Zozulya, M. Sprung, I. A. Vartanyants and A. V. Petukhov, *J. Appl. Crystallogr.*, 2014, **47**, 1199–1204.
- 59 A. V. Petukhov, I. P. Dolbnya, D. G. A. L. Aarts, G. J. Vroege and H. N. W. Lekkerkerker, *Phys. Rev. Lett.*, 2003, **90**, 028304.
- 60 J. M. Meijer, V. W. A. de Villeneuve and A. V. Petukhov, *Langmuir*, 2007, **23**, 3554–3560.
- 61 J. Brijitta, B. V. R. Tata, R. G. Joshi and T. Kaliyappan, *J. Chem. Phys.*, 2009, **131**, 074904.
- 62 U. Gasser, J. J. Liotor-Santos, A. Scotti, O. Bunk, A. Menzel and A. Fernandez-Nieves, *Phys. Rev. E: Stat., Nonlinear, Soft Matter Phys.*, 2013, **88**, 052308.
- 63 I. Sanchez-Burgos, E. Sanz, C. Vega and J. R. Espinosa, *Phys. Chem. Chem. Phys.*, 2021, **23**, 19611–19626.
- 64 E. A. Sulyanova, A. Shabalin, A. V. Zozulya, J. M. Meijer, D. Dzhigaev, O. Gorobstov, R. P. Kurta, S. Lazarev, U. Lorenz, A. Singer, O. Yefanov, I. Zaluzhnyy, I. Besedin, M. Sprung, A. V. Petukhov and I. A. Vartanyants, *Langmuir*, 2015, **31**, 5274–5283.
- 65 R. Borrega, M. Cloitre, I. Betremieux, B. Ernst and L. Leibler, *Europhys. Lett.*, 1999, **47**, 729–735.
- 66 U. Gasser, A. Scotti and A. Fernandez-Nieves, *Phys. Rev. E*, 2019, **99**, 042602.
- 67 A. S. Iyer and L. A. Lyon, *Angew. Chem., Int. Ed.*, 2009, **48**, 4562–4566.
- 68 F. Scheffold, P. Díaz-Leyva, M. Reufer, N. Ben Braham, I. Lynch and J. L. Harden, *Phys. Rev. Lett.*, 2010, **104**, 128304.
- 69 A. Scotti, M. Brugnioni, A. A. Rudov, J. E. Houston, I. I. Potemkin and W. Richtering, *J. Chem. Phys.*, 2018, **148**, 174903.
- 70 A. Scotti, J. E. Houston, M. Brugnioni, M. M. Schmidt, M. F. Schulte, S. Bochenek, R. Schweins, A. Feoktystov, A. Radulescu and W. Richtering, *Phys. Rev. E*, 2020, **102**, 052602.

