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# Construction of an autocatalytic reaction cycle in neutral medium for synthesis of life-sustaining sugars†

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Autocatalytic mechanisms in carbon metabolism, such as the Calvin cycle, are responsible for the biological assimilation of CO<sub>2</sub> to form organic compounds with complex structures, including sugars. Compounds that form C–C bonds with CO<sub>2</sub> are regenerated in these autocatalytic reaction cycles, and the products are concurrently released. The formose reaction in basic aqueous solution has attracted attention as a nonbiological reaction involving an autocatalytic reaction cycle that non-enzymatically synthesizes sugars from the C1 compound formaldehyde. However, formaldehyde and sugars, which are the substrate and products of the formose reaction, respectively, are consumed in Cannizzaro reactions, particularly under basic aqueous conditions, which makes the formose reaction a fragile sugar-production system. Here, we constructed an autocatalytic reaction cycle for sugar synthesis under neutral conditions. We focused on the weak Brønsted basicity of oxometalate anions such as tungstates and molybdates as catalysts, thereby enabling the aldol reaction, retro-aldol reaction, and aldose–ketose transformation, which collectively constitute the autocatalytic reaction cycle. These bases acted on sugar molecules of substrates together with sodium ions of a Lewis acid to promote deprotonation under neutral conditions, which is the initiation step of the reactions forming an autocatalytic cycle, whereas the Cannizzaro reaction was inhibited. The autocatalytic reaction cycle established using this abiotic approach is a robust sugar production system. Furthermore, we found that the synthesized sugars work as energy storage substances that sustain microbial growth despite their absence in nature.

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## Introduction

Autocatalytic reaction cycles assume an important role in reaction networks that support the central carbon metabolism in living organisms.<sup>1–3</sup> For example, in the Calvin cycle that is the starting point of carbon metabolism in plants, CO<sub>2</sub> reacts with a five-carbon (C5) molecule, ribulose-1,5-bisphosphate

(RuBP), with aldol-like addition by the enzyme of ribulose-1,5-bisphosphate carboxylase (RuBisCO) to generate a six-carbon (C6) reaction intermediate. This C6 intermediate splits into 3-phosphoglyceric acid (3PGA), C3-compound, by C–C bond cleavage (Fig. S1†). Part of the 3PGA is released out of the cycle, while the remaining 3PGAs are used to regenerate the CO<sub>2</sub> acceptor, RuBP. In other words, the CO<sub>2</sub>-accepting RuBP is regenerated in each turnover that the Calvin cycle goes around. Note that the autocatalytic increase in the concentrations of the components of these cycles potentially has the property of self-amplification of the flux of the cycle-forming substances.<sup>1</sup> These properties of the autocatalytic cycle are attractive from the perspective of upgrading lower-value chemicals.

The formose reaction is well-known to comprise an autocatalytic cycle as proposed by Breslow (Fig. 1a)<sup>4</sup> and has attracted much interest as a non-enzymatic sugar synthesis reaction using formaldehyde (HCHO) as a substrate,<sup>5–7</sup> which can be synthesized from fossil fuels<sup>8</sup> and CO<sub>2</sub>.<sup>9</sup> The Breslow cycle is formed by the consecutive steps of the aldol reaction (r-2 and r-4 in Fig. 1a), retro-aldol reaction (r-6 in Fig. 1a), and Lobry de Bruyn–Van Ekenstein (LBVE) transformation (r-3 and r-5 in Fig. 1a). The retro-aldol reaction, which cleaves the C–C bond of aldotetrose (C4a) to

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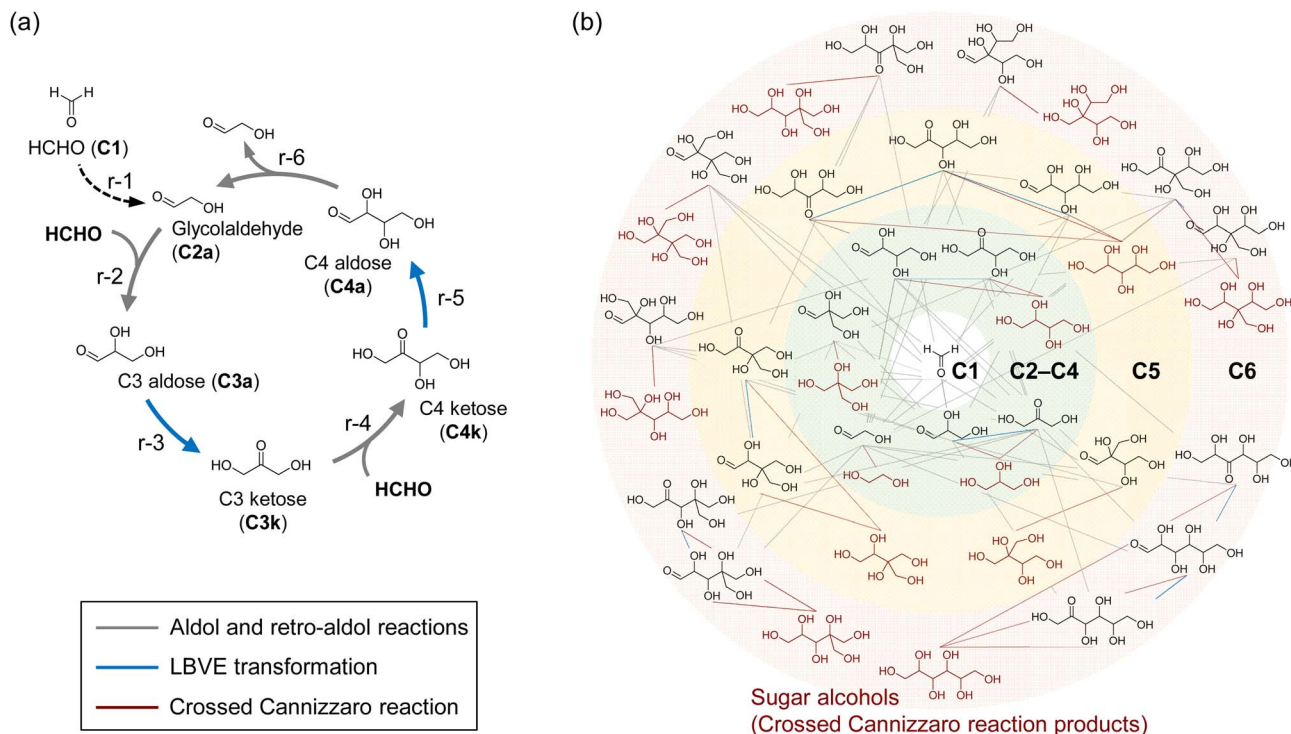


Fig. 1 (a) One of the autocatalytic reaction cycles in the chemical reaction network (CRN) of the formose reaction proposed by Breslow (the Breslow cycle). The dimerization of HCHO (r-1), aldol (r-2, r-4), and retro-aldol reactions (r-6), and LBVE transformation (r-3, r-5) are shown as black dotted line, gray solid lines, and blue solid lines, respectively. (b) The CRN of the formose reaction. Sugar alcohols produced by the crossed Cannizzaro reaction and reaction pathways are shown in brown. The other reaction pathways are also shown as described for (a).

form two molecules of glycolaldehyde (C2a), is the origin of the autocatalytic mechanism in the Breslow cycle. In this reaction, one C2a that can be converted to the enolate of a possible nucleophile for HCHO is regenerated simultaneously with the formation of another C2a molecule as a product. Therefore, nucleophilic addition to HCHO occurs continuously without depletion of nucleophile molecules, using only HCHO as feed.

In the formose reaction, continuous reaction pathways form a chemical reaction network (CRN) consisting of a variety of monosaccharides (Fig. 1b). Once C2a is formed, the CRN of the formose reaction proceeds *via* consecutive reactions, which include C–C bond formation and cleavage through aldol and retro-aldol reactions, respectively, and LBVE transformation, catalyzed by hydroxide ions of a Brønsted base. The dimerization of HCHO (r-1 in Fig. 1a) is thought to be the entry point for the formose reaction,<sup>10,11</sup> whereas the CRN of the formose reaction is also formed by addition of small amounts of C2a as an initiator without activating the dimerization pathway. Notably, the Breslow cycle is only part of the CRN of formose reactions that form under basic conditions.<sup>12</sup> CRNs catalyzed by hydroxide ions produce a variety of monosaccharides, as mentioned above. In addition, various side reactions, such as the crossed Cannizzaro reaction leading to the degradation of monosaccharides and the consumption of HCHO, and the oxidative degradation of sugars by oxygen,<sup>13</sup> comprise a large part of the CRN.<sup>10,14–16</sup> Although several studies of catalysts in the formose reaction have been reported,<sup>17–21</sup> the selective synthesis of sugars remains a challenge due to the large CRN. To avoid the consumption of HCHO and

sugars by these side reactions and form a robust Breslow cycle, it is necessary to proceed with reactions r-2, r-3, r-4, r-5, and r-6 using catalysts other than hydroxide ions.

Here, we applied catalysts in which an acid–base pair act cooperatively, similar to the function of enzymes that activate the formation and cleavage of C–C bonds under neutral conditions.<sup>22,55</sup> A combination of experimental and computational chemistry was used to identify candidate catalysts that promote r-2, r-3, r-4, r-5, and r-6 of the Breslow cycle in neutral aqueous solution. Computational chemistry analyses revealed a reaction mechanism in which the oxometalate anions,  $\text{WO}_4^{2-}$  and  $\text{MoO}_4^{2-}$ , act on sugar molecules as Brønsted bases in the presence of  $\text{Na}^+$  counter ions. These catalysts form an autocatalytic reaction cycle for sugar synthesis, which has been difficult to construct under neutral conditions. Our approach improved the robustness of the sugar synthesis system due to suppression of the side reactions that proceed under basic conditions. Moreover, sugar products synthesized by tungstate were fed to soil microorganisms, and consumption of sugars and microbial growth were clearly observed. These results indicate the value of the non-enzymatically obtained sugars as carbon resources.

## Results and discussion

### Selection of candidate catalysts of C–C bond formation in neutral medium

In selecting catalysts to construct the Breslow cycle under neutral conditions, we first focused on the aldol reaction for



C–C bond formation. The reaction of r-1, that is, dimerization of HCHO to form C2a, does not proceed under neutral conditions except under severe conditions, such as hydrothermal or reflux reactions.<sup>11,23–25</sup> Therefore, we employed C2a as a reaction initiator and selected catalysts based on the following concept.

The aldol reaction of HCHO and C2a (r-2), which is a point of entry for HCHO to the Breslow cycle, was chosen as the reaction to evaluate for catalyst selection (Fig. 2a). In the Breslow cycle, Brønsted bases are candidate catalysts to promote r-2 because the rate-limiting step of the aldol reaction is the elimination of hydrogen at the  $\alpha$ -position of C2a (*i.e.*,  $\alpha$ -H elimination). However, the use of a typical Brønsted base catalyst of hydroxide ions that form pairs with alkali metal and alkaline earth metal cations or ammonium ions, *etc.*, will inevitably increase the pH of the aqueous solution. Therefore, in the present work, we focused on oxometalate anions as Brønsted bases, which are stable under neutral conditions and do not cause an increase in pH.<sup>26</sup> The function of oxometalate anions as base catalysts was recently discovered by Kimura *et al.*,<sup>27</sup> and various reactions catalyzed by this category of materials have been reported.<sup>28–31</sup> Furthermore, molybdate and its condensates was reported to catalyze epimerization reaction, *i.e.*, the Bilik reaction.<sup>32–34</sup> Based on these emerging results, we expected that oxometalate anions such as  $\text{WO}_4^{2-}$  and  $\text{MoO}_4^{2-}$  could also be used to catalyze aldol reactions, even under neutral conditions. The

salts of oxometalate anions and  $\text{Na}^+$  cation were applied in this study because of their high solubility in water.

Here, we discuss the reaction mechanism catalyzed by oxometalate anion. We used density functional theory (DFT) to evaluate catalytic activities of oxometalate anions. All the calculations in this work were performed using Gaussian 09 programs<sup>35</sup> with B3LYP functional.<sup>36–39</sup> The basis set used was 6-31++G (d, p)<sup>40–44</sup> for C, H, O, and Na, whereas the LanL2DZ basis set with the effective core potential<sup>45–47</sup> was used for W and Mo. The integral equation formalism polarizable continuum model<sup>48,49</sup> was used to consider the solvent effect of water. More detailed computational methods are provided in the ESI.†

DFT calculations showed that  $\alpha$ -H elimination can proceed *via* a concerted acid–base action on the sugar molecule, even with a weak base such as oxometalate anion. In the enzymatic reaction mechanism of class II aldolase as shown in Fig. 2b,  $\alpha$ -H elimination is promoted by a zinc ion acting as a Lewis acid on the carbonyl group and the oxygen of the OH group at the  $\alpha$ -position and simultaneous activity on the hydrogen at the  $\alpha$ -position by carboxylate anions, which are weak bases, as in oxometalate anions.<sup>22</sup> Referring to class II aldolase, we set up a reaction mechanism in which the C–C bond is formed *via* transition state (TS1), and the free energy profile of the reaction was evaluated using the DFT calculations (Fig. 2c).  $\text{WO}_4^{2-}$  was selected as the Brønsted base and  $\text{Na}^+$  as the Lewis acid, and the free energy profile of  $\alpha$ -H elimination was calculated (Fig. 2d). The results for the case in which  $\text{OH}^-$  was employed as a Brønsted base are shown as comparative data in the same figure; the activation energy of the pathway involving  $\text{WO}_4^{2-}$  *via* TS1<sub>N</sub> was 18.6 kcal mol<sup>-1</sup>, which was higher than that of the case involving  $\text{OH}^-$  *via* TS1<sub>B</sub>, whereas the reaction can proceed at 80 °C (reaction rates estimated using the Eyring equation are summarized in Table S1†). The optimized structures associated with these calculations are shown in Fig. S2 and S3,† respectively. In addition, the case involving the absence of  $\text{Na}^+$  was also calculated (Fig. S4†). The estimated activation energy was higher than that of the result involving  $\text{Na}^+$  (Fig. 2d), indicating that  $\text{Na}^+$  stabilizes the TS1<sub>N</sub> structure and enolate anions of C2a. Calculations of the process by which the C–C bond is formed following  $\alpha$ -H elimination revealed that the reaction proceeds without a clear TS (Fig. S5†). This series of calculations indicated that C–C bond formation proceeds under neutral conditions due to the simultaneous action of  $\text{WO}_4^{2-}$  and  $\text{Na}^+$ . In subsequent experiments,  $\text{Na}_2\text{WO}_4$ , a salt of  $\text{WO}_4^{2-}$  and  $\text{Na}^+$ , was selected as the catalyst to investigate the sugar synthesis reaction using HCHO as a substrate. The main text of the article should appear here with headings as appropriate.

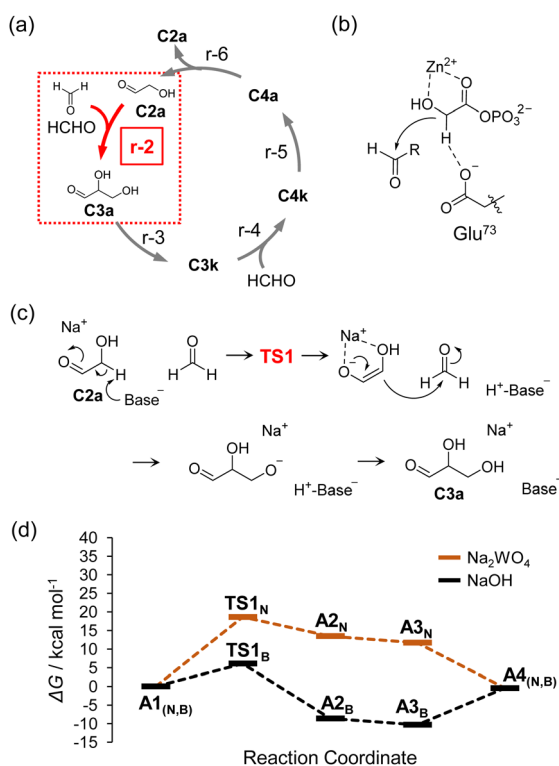
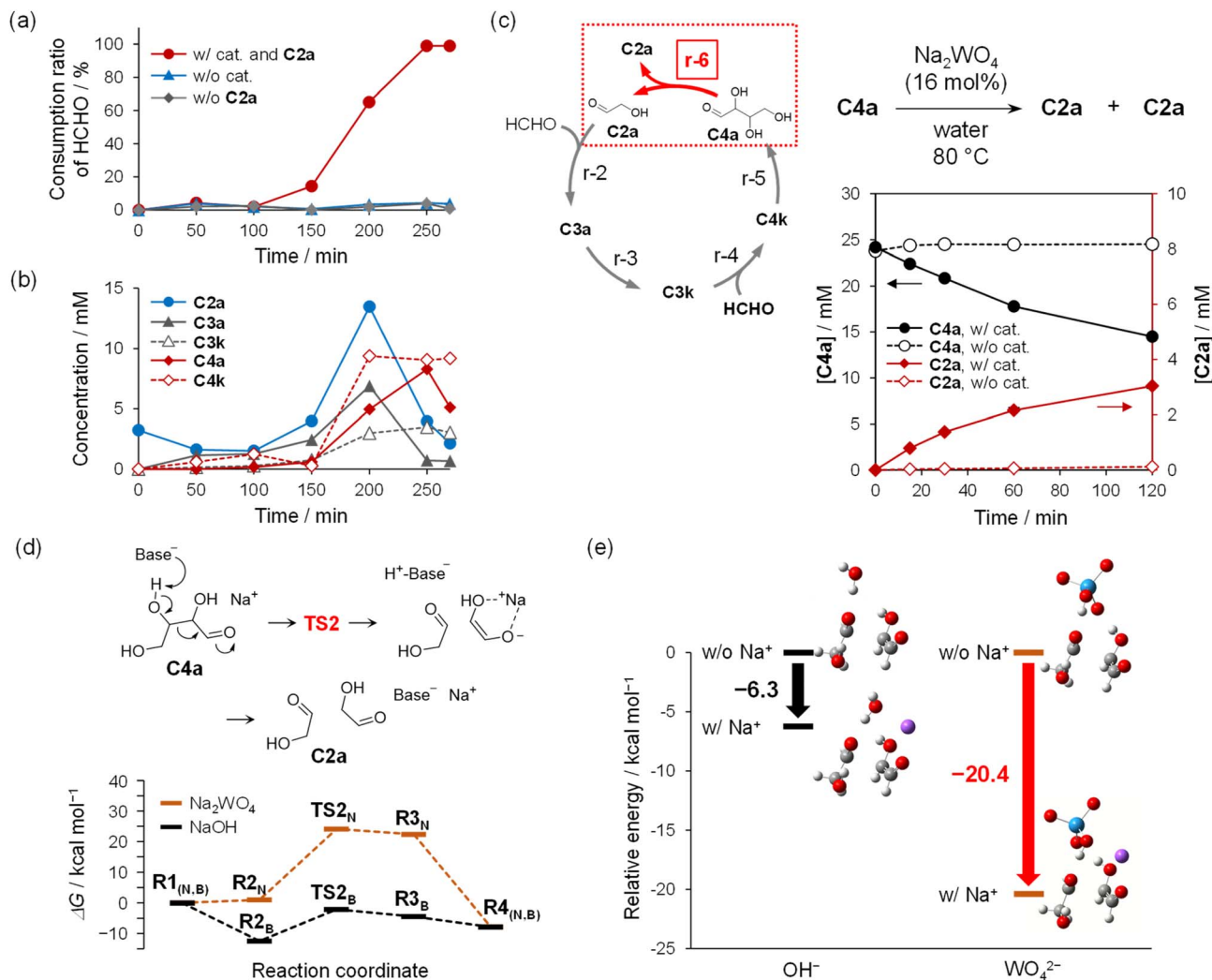


Fig. 2 (a) The aldol reaction of HCHO and C2a (r-2, red lines) as an initiation reaction of the Breslow cycle. (b) Cooperative activation of DHAP in class II aldolase. (c) Proposed reaction scheme for r-2 in which a sodium ion and a Brønsted base work concertedly on HCHO and C2a. (d) Calculated free energy diagram for r-2 catalyzed by NaOH and  $\text{Na}_2\text{WO}_4$  in water.

### Synthesis of sugars under neutral conditions

The reaction using  $\text{Na}_2\text{WO}_4$  catalyst and a small amount of C2a as an initiator with HCHO as feedstock was experimentally found to form a C–C bond under neutral conditions, as suggested by the calculations in Fig. 2d. In this experiment, 0.3 M HCHO solution containing 3 mM C2a, 60 mM  $\text{Na}_2\text{WO}_4$  catalyst, and 10%  $\text{CH}_3\text{OH}$  (The pH value was 7.82) was prepared.  $\text{CH}_3\text{OH}$  was added to inhibit the disproportionation of HCHO (*i.e.*,





**Fig. 3** (a) Time course of the consumption ratio of HCHO. The experiment was performed with catalyst (Na<sub>2</sub>WO<sub>4</sub>) and C2a as an initiator in water at 80 °C (red line). Results obtained without catalyst (blue line) and without initiator (gray line) are also shown for comparison. Gray and blue lines indicate the average of three experiments, respectively, and the error bars indicate standard errors. (b) Time course of change in concentration of intermediate products (number of carbon atoms: 2–4) obtained under the same experimental conditions shown for the red line in (a). (c) Change in C4a (black line) and C2a (red line) concentrations over time when C4a containing Na<sub>2</sub>WO<sub>4</sub> was heated in water at 80 °C. Results without the addition of a catalyst are shown as dotted lines. Experiments were performed in triplicate, and error bars indicate standard errors. (d) Proposed reaction scheme for r-2 in which a sodium ion and a Brønsted base worked concertedly on HCHO and C2a. Calculated free energy diagram for r-6 catalyzed by NaOH (black) or Na<sub>2</sub>WO<sub>4</sub> (brown) in water. (e) Energy of TS2<sub>N</sub> and TS2<sub>B</sub> with Na<sup>+</sup> ion relative to the case without Na<sup>+</sup> ion.

2HCHO + H<sub>2</sub>O → CH<sub>3</sub>OH + HCOOH), known as the Cannizzaro reaction. The solution was kept at 80 °C, and the decrease in HCHO concentration due to consumption over time was analyzed. A quantitative analysis was performed using high-performance liquid chromatography (HPLC) with a reversed-phase column after modification of the generated sugars according to the 2,4-dinitrophenylhydrazine (DNPH) method (see ESI† for details). Fig. 3a shows that almost all of the HCHO was consumed in approximately 5 h, as indicated by the red line in the figure. The formation of all compounds associated with the Breslow cycle was confirmed, indicating that r-2, r-3, r-4, and r-5 proceeded (Fig. 3b). LVBE transformations (r-3, r-5) via  $\alpha$ -H elimination were thought to proceed via Na<sub>2</sub>WO<sub>4</sub> catalysis as well as aldol reactions.

A significant aspect of this experiment should be noted: the consumption curve of HCHO was sigmoidal (red line in Fig. 3a), which indicates that the autocatalytic reaction cycle regenerated compounds with a carbon number  $\geq 2$ , and these compounds can then be turned to enolates. The red line in Fig. 3a, which depicts the sigmoidal shape of the curve, was not assigned error bars due to variations in the induction period resulting from autocatalysis. A similar trend was confirmed for at least three experiments (see Fig. S6†). Note that when either the Na<sub>2</sub>WO<sub>4</sub> catalyst (the pH value was 8.02) or C2a (the pH value was 8.00) as the initiator was omitted, the reaction did not proceed (blue and gray lines in Fig. 3a and S7†).

Notably, the results shown in Fig. 3a and b suggested that the Na<sub>2</sub>WO<sub>4</sub>-catalyzed Breslow cycle was constructed and that C2a



was regenerated *via* the retro-aldol reaction. The concentration of C2a increased from 100 to 200 min (Fig. 3b) relative to the starting concentration, in agreement with the HCHO consumption curve. Simultaneously, the concentrations of the other compounds also tended to increase after 150 min, at which time the rate of HCHO consumption began to rapidly increase. These time-associated trends in concentration were thought to be triggered by the propagation of C2a at r-6 (Fig. 3c).

To verify this hypothesis, the following experiments were performed. An aqueous solution of C4a (24 mM) was heated to 80 °C with 4 mM of Na<sub>2</sub>WO<sub>4</sub> under neutral conditions (pH value was 7.81, Fig. 3c). A decrease in C4a (solid black line) and synchronous increase in C2a (solid red line) were observed with Na<sub>2</sub>WO<sub>4</sub> as the catalyst, but these trends were not observed without a catalyst (pH value was 5.01, dashed lines) and in the solution that is adjusted at pH 7.8. Moreover, the decrease in C4a and the concurrent increase in C2a were more rapid at higher concentrations of the catalyst (Fig. S8†). These results provide clear evidence that Na<sub>2</sub>WO<sub>4</sub> catalyzed r-6. Na<sub>2</sub>MoO<sub>4</sub>, another oxometalate sodium salt, gave the same results (Fig. S9†).

The origin of the catalytic activity of oxometalate anions in regard to the retro-aldol reaction was examined using the DFT calculations. The retro-aldol reaction, the reverse of the aldol reaction, is assumed to be initiated when the Brønsted base abstracts the proton from the hydroxy group at the β position of C4a. Assuming simultaneous activity of WO<sub>4</sub><sup>2-</sup> and Na<sup>+</sup> ions as in the aldol reaction, the change in free energy profile was calculated (Fig. 3d). For comparison, the results calculated for the pair consisting of OH<sup>-</sup> and Na<sup>+</sup> ions are also shown. The optimized structures for these calculations are shown in Fig. S10 and S11,† respectively. The calculated structures suggested that WO<sub>4</sub><sup>2-</sup> and Na<sup>+</sup> ions act in concert, causing deprotonation of the OH group at the β position, which triggers C=O double bond formation and C–C bond cleavage. The activation energy for this reaction process was estimated to be 24.2 kcal mol<sup>-1</sup>, which indicates that the reaction could proceed at 80 °C (see Table S1†). The transition state of the retro-aldol reaction in the absence of Na<sup>+</sup> cation involvement was calculated to estimate the effect of Na<sup>+</sup> cation. The results showed that the Gibbs free energy of the TS was strongly dependent on the presence of Na<sup>+</sup> ions; the activation barrier was lowered by more than 20 kcal mol<sup>-1</sup> due to the presence of Na<sup>+</sup> (Fig. 3e). This result suggested that a Na<sup>+</sup> ion stabilizes the TS cooperatively with the Brønsted base by accepting the negative charge of the enolate anion.

### Identification of sugars produced under Na<sub>2</sub>WO<sub>4</sub>

The structures of the major sugars synthesized *via* the Breslow cycle constructed using Na<sub>2</sub>WO<sub>4</sub> as a catalyst under neutral conditions were identified. Fig. 4b shows an HPLC chromatogram of the sugars in the sample. The sample was collected when approximately 99% of the HCHO had been consumed in a 230 min reaction. Four large peaks (A–D) were observed at retention times of 8–12 min. The results shown in Fig. 3a and b regarding quantification of HCHO and C2–C4 indicated that

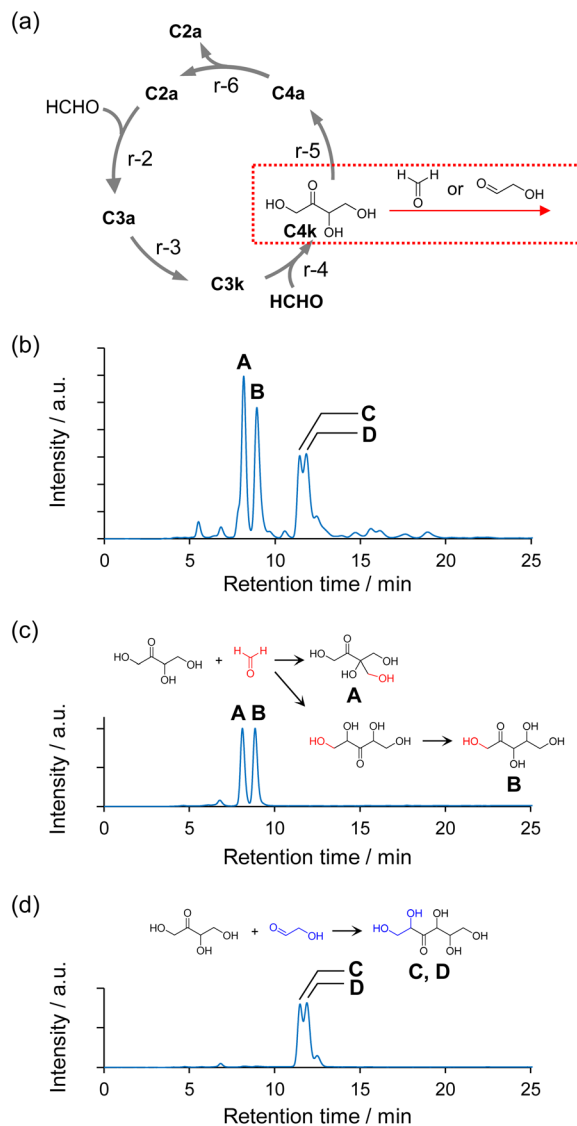


Fig. 4 (a) Possible reaction pathways represented by the red arrow that produce C5 and C6 of the main products. (b) Chromatogram of the products obtained in the reaction of HCHO and C2a (formose reaction) with Na<sub>2</sub>WO<sub>4</sub> catalyst, analyzed on a Chromaster® HPLC system. (c) Chromatogram of A and B, which were produced *via* the reaction of C4k and HCHO, and the chemical structures of A and B, as determined by <sup>1</sup>H-NMR, <sup>13</sup>C-NMR, and mass spectrometry. (d) Chromatogram of C and D obtained by the reaction of C4k and C2a, and the chemical structures of C and D, determined as described above for A and B.

HCHO, C2a, and C4 ketose (C4k) were abundant in the reaction solution at reaction times around 200 min. The intensity of these peaks increased with the onset of the reaction, reached a maximum, and then decreased as HCHO was consumed (Fig. S12†). Therefore, we extrapolated that the compound corresponding to peaks A–D was formed by the aldol reaction of C4k with HCHO or C2a, and we then performed experiments using C4k and HCHO or C4k and C2a as substrates (Fig. 4a, see ESI† for details). As a result, A and B were obtained as the main products from C4k and HCHO (Fig. 4c), respectively, whereas C and D were obtained as the main products from C4k and C2a



(Fig. 4d), respectively. The structures of the products obtained in these experiments were identified by  $^1\text{H-NMR}$ ,  $^{13}\text{C-NMR}$ , and mass spectrometry as 1,3,4-trihydroxy-3-(hydroxymethyl)butan-2-one (A), 1,3,4,5-tetrahydroxypentan-2-one (C5 ketose, C5k; B), and stereoisomers of 1,2,4,5,6-pentahydroxyhexan-3-one (3-hexulose, C and D). The sugar analysis HPLC retention times of peaks A, C, and D differed from those of commercially available monosaccharides but were also consistent with the results of the structural analysis of the compounds (see Fig. S13<sup>†</sup> for the peaks of commercially available sugar samples).

Results similar to those shown in Fig. 4b were obtained when  $\text{Na}_2\text{MoO}_4$  was used as the catalyst (Fig. S14<sup>†</sup>); however, the reaction driven by the  $\text{Na}_2\text{MoO}_4$  catalyst was slower than that driven by  $\text{Na}_2\text{WO}_4$ . This difference in reaction rate could be explained by the Brønsted basicity of the oxometalate anions, which can be estimated from the charge density of the oxygen atoms in the natural bond orbital (NBO) analysis.<sup>50</sup> Fig. S15<sup>†</sup> shows the calculated NBO charge in the optimized structures of  $\text{WO}_4^{2-}$  and  $\text{MoO}_4^{2-}$ . The calculated NBO charge densities of the oxygen atoms of  $\text{WO}_4^{2-}$  and  $\text{MoO}_4^{2-}$  are in the regions  $-0.934$  to  $-0.935$  and  $-0.857$  to  $-0.859$ , respectively, which indicates that  $\text{WO}_4^{2-}$  exhibits stronger basicity.

### Effect of neutral conditions on the robustness of the sugar production system

Under neutral conditions, the robustness of the sugar production system should improve due to suppression of the Cannizzaro reaction and other side reactions that proceed under basic conditions. The robustness of the sugar synthesis system was evaluated by quantifying the amount of sugar produced and the amount of formic acid, a product of the Cannizzaro reaction. For this experiment, 0.3 M HCHO aqueous solution with 3 mM C2a as an initiator and 60 mM catalyst (*i.e.*,  $\text{Na}_2\text{WO}_4$  or NaOH) and containing 10 vol% of  $\text{CH}_3\text{OH}$  was maintained at 80 °C. The reaction rates differed significantly under neutral and basic conditions; therefore, the experiments were terminated when approximately 99% of the HCHO was consumed. The reaction time at termination was 17 and 230 min under basic and neutral conditions, respectively. The corresponding results obtained under basic conditions analyzed by HPLC for sugars are shown in Fig. S16.<sup>†</sup>

Monosaccharides with carbon numbers 2–6 synthesized under both basic and neutral conditions were comparatively quantified. Monosaccharides with carbon numbers 2–4 were quantified by HPLC, and the compounds were classified as C2–C4 according to carbon number, whereas the phenol–sulfuric acid method, a colorimetric method for sugars using furfural derivatization, was employed to quantify C5 and C6 sugars. The results showed that the quantity of sugar produced under neutral conditions was greater than that produced under basic conditions (Fig. 5a). The detailed quantification results for each compound are shown in Table S2.<sup>†</sup> Formic acid generated in the reaction was quantified by reversed-phase HPLC. The addition of  $\text{CH}_3\text{OH}$  inhibits only the disproportionation of HCHO (Cannizzaro reaction) and not decomposition of the sugar (crossed Cannizzaro reaction). Therefore, quantification

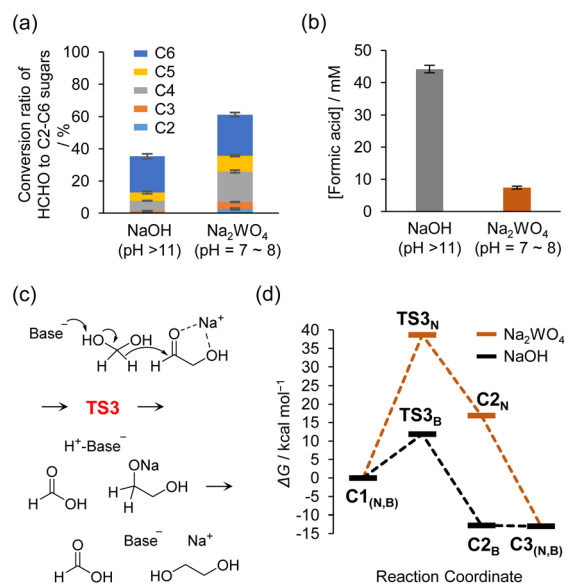


Fig. 5 (a) Conversion ratio of HCHO to C2–C6 monosaccharides formed in the formose reactions at 80 °C with NaOH (60 mM, 17 min) and  $\text{Na}_2\text{WO}_4$  (60 mM, 230 min) as catalysts. C2–C4 and C5–C6 monosaccharides were quantified by HPLC and phenol–sulfuric acid method, respectively. (b) Concentration of formic acid formed via the formose reaction at 80 °C using NaOH (60 mM, 17 min) or  $\text{Na}_2\text{WO}_4$  (60 mM, 230 min) as a catalyst. Formic acid was quantified by HPLC. Experiments shown in (a) and (b) were performed in triplicate, and error bars indicate standard errors. Detailed analytical methods are provided in the ESI.<sup>†</sup> (c) Proposed reaction scheme for the Cannizzaro reaction of HCHO and C2a. (d) Calculated free energy diagrams for the reaction shown in (c) catalyzed by NaOH or  $\text{Na}_2\text{WO}_4$  in water.

of formic acid provides an experimental estimate of the amount of HCHO and sugars consumed in the crossed Cannizzaro reaction. This analysis revealed that the amount of formic acid produced under neutral conditions was much lower than that produced under basic conditions (Fig. 5b), indicating that the crossed Cannizzaro reaction was suppressed, as expected. Notably, the formation of formic acid can cause the pH of the reaction solution to decrease below the range suitable for the formose reaction to proceed. Thus, suppression of the formic acid-producing crossed Cannizzaro reaction is also beneficial from the viewpoint of sustained sugar synthesis.

The improved selectivity of sugar formation is also corroborated using DFT calculations. The ratios of sugar formation under basic and neutral conditions were consistent with the calculated activation energies of the aldol reaction and the competing crossed Cannizzaro reaction. Fig. 5c and d show schematic illustrations of reaction process and energy diagrams of the crossed Cannizzaro reactions under basic and neutral conditions; the substrates were C2a and HCHO (the optimized structures are shown in Fig. S17 and S18<sup>†</sup>). The activation energies of the aldol and crossed Cannizzaro reactions catalyzed by  $\text{OH}^-$  were estimated at 6.1 and 11.9 kcal mol<sup>-1</sup> as shown in Fig. 2d and 5d, respectively, which indicated that sugar synthesis and degradation proceeded rapidly. In contrast, the activation energies of these reactions catalyzed by oxometalate anions under neutral conditions were estimated at 18.6



and  $38.7 \text{ kcal mol}^{-1}$  for the aldol (Fig. 2d) and Cannizzaro reactions (Fig. 5d). In particular, the high activation energy of  $38.7 \text{ kcal mol}^{-1}$  for the latter reaction suggested it is unlikely to proceed at  $80 \text{ }^\circ\text{C}$  (estimated reaction rates and half-lives are shown in Table S1†). The reason why tungstates catalyzed aldol and retro-aldol reactions under neutral conditions, whereas the Cannizzaro reaction was suppressed, is explained by the transition state energy and the interaction between the HOMO of the base and the anti-bonding orbital  $\sigma^*$  of the substrate (acid) H-A being deprotonated. Details are discussed in Table S3 of the ESI† and in the chapter “Reason for the suppression of the crossed Cannizzaro reaction under neutral conditions”.

### Cultivation of microorganisms using chemically synthesized sugars

We verified that the non-enzymatically synthesized sugars could sustain life by using them to cultivate microbes. Soil microbes were collected from forest soil near the National Institute of Advanced Industrial Science and Technology, Hokkaido, Japan. The synthesized sugars fed to the microbial cultures were prepared as follows: 1 M HCHO aqueous solution containing 10 mM C2a and 60 mM  $\text{Na}_2\text{WO}_4$  in the presence of 10%  $\text{CH}_3\text{OH}$

was maintained at  $80 \text{ }^\circ\text{C}$  for 4 h. After the reaction, catalysts were removed from the solution using an anion exchange resin. It was confirmed by Inductively Coupled Plasma Atomic Emission Spectroscopy (ICP-AES) that tungsten could be removed to 0.42 mM or less under cultivation conditions. Then, microbial communities generated in three subcultures were analyzed to verify microbial utilization of the synthesized sugars (Fig. 6). Error bars were not assigned in Fig. 6b and c because the distribution of soil bacteria varied in each experiment, although similar experiments were performed at least once more with different flora (Fig. S19†). Fig. 6a shows the results of HPLC analyses of the supernatants of microbial culture medium at 1, 3, and 8 days after cultivation began. The results confirmed that the peak intensity of the sugar products decreased over time. In particular, peak A, assigned to 1,3,4-trihydroxy-3-(hydroxymethyl)butan-2-one, almost disappeared after 8 days. In contrast, it was confirmed that the sugar solution added to the inorganic medium was stable for 8 days and showed no decrease of any peak without the presence of microbes (Fig. S20†). Quantitative analyses of the concentrations of sugars using the phenol-sulfuric acid method revealed that ca. 40% of the C5 and C6 sugars were metabolized by the 8th day of incubation (Fig. 6b). The  $\text{OD}_{600}$  value increased as the sugar concentration decreased, indicating growth of the microbial cells (Fig. 6c). Note that there was no decrease of sugar concentration without microbes (gray line in Fig. 6b), and also the increase of  $\text{OD}_{600}$  was much smaller without the synthesized sugar (gray line in Fig. 6c). Furthermore, analyses of the microbial consortia indicated that several types of microbes were enriched during incubation with the chemically synthesized sugars (Fig. 6d). Taken together, these data demonstrated that non-enzymatically synthesized sugars can be metabolized and used to sustain microbial life.

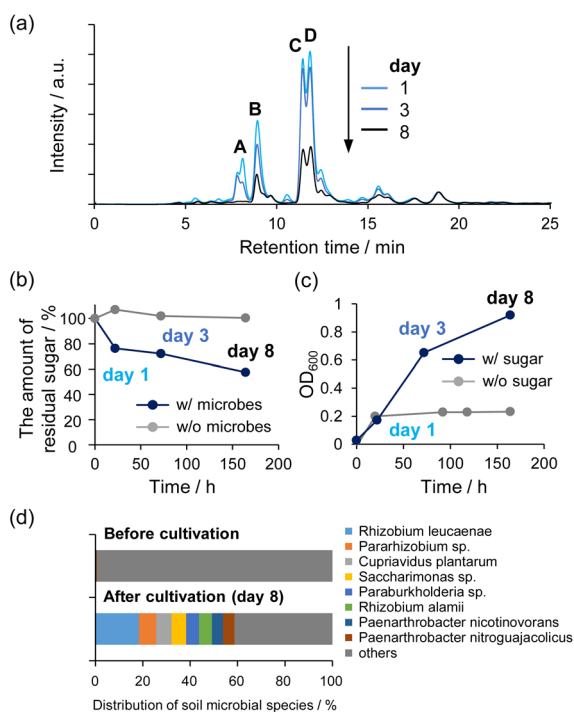


Fig. 6 (a) HPLC chromatograms of the supernatant of microbial culture medium at 1, 3, and 8 days after cultivation was started. (b) and (c) Time course of total residual sugar concentration (C5 and C6) (b) and  $\text{OD}_{600}$  (c) after cultivation under the same conditions described for (a). Results obtained without microbes (b) and without sugar (c) are also shown for comparison (gray lines). (d) Analysis of microbial consortia before and after cultivation. Each color represents the microbial species that were included in the consortia. Error bars were not assigned because the distribution of soil bacteria varied for each experiment, although similar results were obtained at least 2 times using different flora, as shown in Fig. S19†.

## Conclusions

In this study, an autocatalytic reaction cycle for the non-enzymatic production of sugars using HCHO as a substrate under neutral conditions was successfully constructed. In the presence of an oxometalate catalyst and a small amount of initiator, C2a, the time course curve of HCHO consumption exhibited a sigmoidal shape. In addition, the concentration of C2a increased in the middle of the reaction, becoming higher than the concentration in the initial state. These results proved that the oxometalate-catalyzed sugar formation reaction is based on an autocatalytic reaction cycle involving the regeneration of C2a. The consumption of HCHO and degradation of sugar in the products *via* the crossed Cannizzaro reaction were significantly suppressed under neutral conditions. Moreover, maintaining the pH in the neutral region resulted in an increase in the robustness of sugar formation. It was also shown that the chemically synthesized sugars in this neutral solution were metabolized by microbial cells and supported their growth, even though the products included branched sugars that are not produced naturally. Given growing concerns regarding the sustainability of natural sugar production, we anticipate that the system for non-enzymatic production of life-sustaining



sugars shown in this work will lead to innovations in bio-production technology, which to date has used only natural sugars as substrates.

Formose reactions have attracted considerable attention in terms of the abiotic production of sugars. HCHO, the substrate for the formose reaction, can be synthesized reductively by CO<sub>2</sub> electrolysis.<sup>51,52</sup> Therefore, there is a need to design sugar synthesis reactions with a view to achieving one-pot sugar synthesis from CO<sub>2</sub>.<sup>7</sup> Previous studies of the formose reaction have explored the use of various catalysts that function under basic conditions to improve the selectivity of sugar production. However, the inevitable neutralization of the reaction solution by an electrolyte containing CO<sub>2</sub> must be considered when constructing a sugar synthesis reaction cycle applicable to an integrated system of CO<sub>2</sub> electrolysis and sugar synthesis.<sup>53,54</sup> The knowledge generated by this study regarding the reaction mechanism of Lewis acid and weak Brønsted base pairs working cooperatively under neutral conditions will be an important guideline for the design of a general reaction system for sugar synthesis from CO<sub>2</sub>.

## Data availability

Experimental and computational data and detailed procedures are included in the ESI.†

## Author contributions

S. N. and Y. H. conceived the idea and supervised the project. H. T., G. C., H. N., Y. M. and M. W. performed the chemical experiments, analyzed the data. S. N. and H. T. wrote the first draft of the manuscript. T. H. and R. M. performed the HPLC characterization. G. C., S. S. and Y. H. performed the computational studies. S. K. and K. I. performed biological experiments. All the authors contributed to the discussion of the results and preparation of the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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