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# $\alpha$ -Lipoic acid chemistry: the past 70 years

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 $\alpha$ -Lipoic acid (ALA) is a naturally occurring sulfur-containing fatty acid with high antioxidant activity. It is also used to treat diabetes, nerve pain, weight loss, heart disease, and primary mitochondrial disorders. Moreover, numerous therapeutic agents have been studied for managing other clinical conditions, including for anticancer, anti-HIV, anti-inflammatory, and anti-AD treatments. The medicinal importance of ALA, especially its biologically active form (R-ALA), has attracted considerable attention from synthetic chemists in industrial and academic fields. In this review, we discuss synthetic approaches to ALA and R-ALA over the past 70 years (1952 to the present), which will help medicinal chemists further develop novel routes for their synthesis.

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### 1. Introduction

Nature is extremely generous in offering life-saving therapies using diverse natural products.  $\alpha$ -Lipoic acid, also known as thioctic acid or **ALA**, is a naturally occurring, sulfur-containing fatty acid of great pharmacological importance. **ALA** was first isolated as an amphipathic molecule from liver tissue in 1951 by Reed *et al.*<sup>1</sup> and was originally identified as an enzymatic

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cofactor of dihydrolipoate acyltransferase in the mitochondrial tricarboxylic acid cycle that manages gene transcription. Since then, various biological activities of **ALA** have been demonstrated. It is commonly known to function as a powerful antioxidant and is manufactured as a medicine or supplement to prevent aging.<sup>2</sup> In addition, **ALA** is used in antidiabetic treatments because of its excellent glycemic control. It has been approved for the treatment of diabetic polyneuropathy in Germany.<sup>3</sup> Moreover, it has great therapeutic potential in managing numerous clinical conditions, including anti-cancer, anti-HIV, anti-inflammatory, and anti-AD treatments. Some reviews have summarized the biological activities and therapeutic potentials of **ALA**.<sup>4</sup>

ALA is composed of three main structural units (Fig. 1): a disulfide five-membered ring (red), a C2-C5 linkage (black),



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Disulfide Carboxylic acid five-membered ring Reduction Oxidation ŚН ŚН C2-C5 Linkage dihydrolipoic acid, DHLA α-lipoic acid, ALA

Fig. 1 The chemical structure of racemic ALA, optical isomers of ALA, and its active metabolite DHIA

and carboxylic acid (blue). ALA has a single chiral center at C6, resulting in two enantiomeric forms: R- and S-ALA.5 Notably, **ALA** exists in nature as the R enantiomer, which is the biologically active form. However, ALA is often marketed in its racemic form because S-ALA shows no significant biological side effects. The reduced form of ALA is an active metabolite, called dihydrolipoic acid (DHLA), which can be converted to ALA under various oxidative conditions such as FeCl<sub>3</sub>/O<sub>2</sub> and I<sub>2</sub>/KI.

The high medicinal importance of ALA, especially R-ALA,6 has attracted great attention from synthetic chemists in industrial and academic fields. The global ALA market size was valued at USD 106.89 million in 2022 and is expected to expand at a CAGR of 3.86 percent during the forecast period, reaching USD 134.15 million by 2028. The extensive demand for ALA in global markets 3000-3500 tons per year necessitates the development of efficient synthetic approaches. Over the past 70 years, numerous synthetic approaches have been patented and reported. To the best of our knowledge, only a few reviews have summarized ALA's synthesis approaches. In 1990, Yadav et al. published an excellent review of synthetic studies on ALA.7

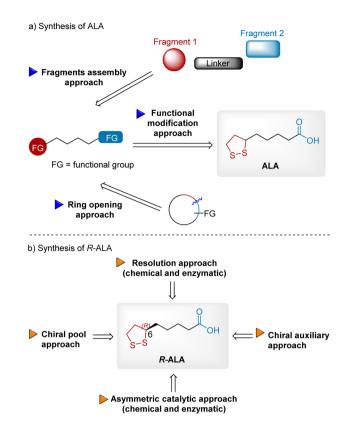


Fig. 2 Synthetic strategies for synthesizing ALA and R-ALA. (a) Synthesis of ALA; (b) synthesis of R-ALA

Schaefer et al. published another review in 2019 but it was relatively brief.8 In this review, we provide comprehensive advances in the fields of ALA and R-ALA synthesis to inspire the scientific community to develop innovations (Fig. 2). First, the synthesis of ALA is summarized and discussed according to three different approaches: functional modification, fragment



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assembly, and ring opening (Fig. 2a). In the functional modification approach, ALA can be readily synthesized from precursors bearing inherent C2-C5 linkage and two terminal functional groups. Some of these precursors are commercially available, but some must be synthesized from simple building blocks or cyclic compounds, resulting in fragment assembly and ring-opening approaches. In the second part, stereoselective approaches to R-ALA are summarized and classified into four sections: chiral resolution (chemical and enzymatic), chiral pool, chiral auxiliary, and asymmetric catalysts (chemical and enzymatic) to introduce chirality into the molecule (Fig. 2b).

# Synthesis of racemic ALA

#### **Functional modification**

In 1952, Bullock et al. briefly reported the first total synthesis of racemic ALA.9 Later, they published the full article describing their synthetic approach which confirmed the structure of ALA.,10 Dicarbonyl compounds have great potential to be transformed into five-membered disulfide rings and carboxylic acids, which are key moieties in ALA. As shown in Scheme 1, compound 1, ethyl 6-chloro-6-oxohexanoate was selected as the starting material, because its inherent structure could be transformed into that of ALA through functional modifications. Initially, the Lewis acid-catalyzed insertion of ethene, followed by the spontaneous elimination of hydrogen chloride, afforded unsaturated ketone 2. Treatment of 2 with thiolacetic acid afforded compound 3, whose ketone group was reduced to a tertiary alcohol group. Subsequent hydrolysis delivered compound 4, which reacted with excess thiourea and hydriodic acid to yield DHLA. Finally, DHLA was oxidized smoothly in the presence of oxygen and ferric chloride to deliver ALA. The yields of most of these steps have not been previously reported.

Inspired by this pioneering work, Soper et al. expanded the first generation of synthetic approaches, especially enriching the paths from keto acids, such as compound 6, to ALA (Scheme 2).11 Keto acid 6 was synthesized from 1 according to Bullock's protocol. Having synthesized keto acid 6, three divided paths were developed, providing fundamental benefits for the subsequent synthesis. Path I began with the NaBH<sub>4</sub>-mediated reduction of 6 to yield hydroxy acid 7 with

Scheme 1 Bullock's synthesis of ALA.

Scheme 2 Soper's synthesis of ALA

a 95% yield. The esterification of 7 with tosyl, followed by treatment with benzyl mercaptan and a sodium hydroxide solution gave 6,8-dibenzylmercaptoöctanoic acid 8 in 17.5% yield over two steps. After deprotection, the DHLA was obtained. The subsequent oxidation of DHLA directly delivered ALA. Path II was developed using tribenzyl mercaptocaprylic acid 9 as the key intermediate, obtained from 6 in the presence of benzyl mercaptan and anhydrous zinc chloride. The treatment of 9 with liquid ammonia and solid sodium in anhydrous ether afforded DHLA, which was then oxidized to ALA. Alternatively, they developed a more efficient two-step synthetic route to ALA from 6 (path III). Hydrogenation of 6 using a sulfur/cobalt sulfide catalyst in the presence of H2 followed by the oxidation of **DHLA** afforded **ALA** in 12% yield over two steps.

Since 6,8-dibenzylmercaptoöctanoic acid 8 and DHLA are useful intermediates for synthesizing ALA, an improved synthesis of 8 and DHLA was further developed by Reed et al. in 1955.12 As shown in Scheme 3, the synthesis also commenced with acid chloride 1, which was transformed into 8-chloro-6oxoctanoöate 10. Without purification, compound 10 was transformed into dibromo esters 12 and dichloro esters 13 as key intermediates. The former paths IV and V started from heating 10 to give  $\alpha,\beta$ -unsaturated ketone 11. The treatment of ketone 11 with anhydrous hydrogen bromide, followed by reduction and bromination, generated dibromo ester 12, which was readily transformed into DHLA and 8. In path IV, ALA was synthesized in 60-68% yields based on DHLA via thiolation and oxidation. In path V, dibromo ester 12 was converted to 8 in 82% yield by treatment with sodium benzyl mercaptide, followed by alkaline hydrolysis. ALA was synthesized in high yield according to Soper's protocol. In addition, path VI readily converted dichloro ester 13 to ALA. Later, these paths were widely used to synthesize ALA and were published in many patents<sup>13</sup> and in the literature.14

Walton et al. reported the synthesis of ALA starting from 7carbethoxy-2-heptenoic acid 14 by introducing a thiol group at

Scheme 3 Reed's synthesis of ALA.

C6, as shown in Scheme 4.<sup>15</sup> The addition of thiolacetic acid to 14 yielded carboxylic acid 15 in 93% yield, which was then converted into its acid chloride 16. The following two-step reactions involving NaBH<sub>4</sub>-mediated reduction and alkaline hydrolysis proceeded smoothly to generate 8-hydroxy-6-thioloctanoic acid 17. The replacement of 17 followed by oxidation gave rise to ALA. *R*-ALA and *S*-ALA were synthesized using the corresponding chiral isomers of 15.

In 1987, Rao *et al.* developed a highly regioselective allylic oxidation of olefins using *tert*-butyl hydroperoxide (TBHP) and selenium dioxide (SeO<sub>2</sub>) to construct a secondary allylic alcohol, followed by the hydroboration–oxidation of the terminal double bond to construct a 1,3-diol system. By using this method, as illustrated in Scheme 5.<sup>16</sup> Olefin methyl 7-octenoate **19** was synthesized from azelaic acid **18** *via* decarboxylative elimination using Pb(OAc)<sub>4</sub>/Cu(OAc)<sub>2</sub>/pyridine. In the presence of selenium dioxide and *tert*-butyl hydroperoxide, the regioselective allylic

Scheme 4 Walton's synthesis of ALA.

Scheme 5 Rao's synthesis of ALA

oxidation of **19** afforded secondary allylic alcohol **20** in 60% yield, which was treated with a borane–tetrahydrofuran complex to yield **21**. Finally, the conversion of **21** to dimesylate **22**, reaction with  $Na_2S/S$ , and hydrolysis of the ester completed the synthesis of **ALA**.

The Prins reaction is an acid-catalyzed condensation of olefins with aldehydes to produce 1,3-diols, 1,3-dioxanes, or unsaturated alcohols. Among them, 1,3-diols and 1,3-dioxanes can be readily transformed into five-membered disulfide rings. In 1956, Braude *et al.* reported a route to **ALA** from hept-6-enoic acid **23** using the Prins reaction as the key step (Scheme 6).<sup>17</sup> The Prins reaction of **23** generated a mixture of 1,3-dioxane **24** and acyl-protected 1,3-diol **25** in a ratio of 5:1. The conversions of Prins reaction products **24** and **25** into methyl esters **26** and **27** were performed to avoid lactonization during the synthesis of diol **28**. Diol **28** was transformed into **ALA** as previously described. The authors reported that the overall **ALA** yield was 20–30%.

#### 2.2 Fragments assembly

In 1978, Tsuji *et al.* reported a synthetic route to **ALA** using butadiene telomer **1** as the starting material (Scheme 7). Telomer **30**, bearing the eight-carbon chain necessary for **ALA** synthesis, was synthesized from **29** using PdCl<sub>2</sub>(PPh<sub>3</sub>)<sub>2</sub>/AcOH/KOH. Hydroboration of the two terminal double bonds and subsequent protection yielded **31** in 64% yield over two steps. Oxidation of the unprotected terminal alcohol was carried out using the Jones reagent, giving carboxylic acid. Next, it was methylated with diazomethane to prevent lactone formation. After deprotection, **1**,3-diol **21** was obtained in 67% yield in

Scheme 6 Braude's synthesis of ALA.

21

67% yield over three steps

Scheme 7 Tsuji's synthesis of ALA.

three steps. 1,3-Diol 21 can be transformed into ALA, as described in a previously published protocol.

In 1992, Chandrasekaran *et al.* developed a methodology for the construction of cyclic disulfides from alkyl halides using tetrathiometalates (MS<sub>4</sub><sup>2</sup>) as sulfur transfer reagents. Subsequently, by employing this reaction as the key step, **ALA** was synthesized (Scheme 8).<sup>19</sup> The synthesis began with the alkylation of ethylacetoacetate 32 and 5-bromopent-1-ene 33. The obtained intermediate 34 was reduced stepwise to 1,3-diol 35, whose alcohol groups were brominated to yield 6,8-dibromooctanoic acid 36. Oxidative cleavage of the terminal double bond in the presence of KMnO<sub>4</sub> afforded compound 37 as a precursor to cyclic disulfides. Subsequently, the MS<sub>4</sub><sup>2-</sup>mediated sulfur transfer reaction of 37 occurred, generating **ALA** in 12% overall yield.

In 2005, Chavan *et al.* developed the regioselective decomposition of tosylhydrazones to form olefins and utilized this method to accomplish the total synthesis of **ALA**. <sup>20</sup> As illustrated in Scheme 9, the synthesis began with acetonide protection of methyl thioglycolate 38 to give 88% yield of diester 39, which was subjected to Dieckmann condensation to afford cyclic compound 40 in 86% yield. The *C*-alkylation of 40 was carried out using  $K_2CO_3$  in the presence of  $Bu_4NHSO_4$  as a phase transfer catalyst, leading to the formation of *C*-alkylated product 42 in 70% yield. A two-step sequence involving decarboxylation and tosylhydrazone formation resulted in tosylhydrazone 43. Using NaOH in isopropyl alcohol, tosylhydrazone 43 was decomposed to olefins 44a and 44b with a regioselectivity of 96:4 in 84% yield. After the reduction of the mixture of olefins 44a and 44b, dithiane acid

Scheme 8 Chandrasekaran's synthesis of ALA.

Scheme 9 Chavan's synthesis of ALA

45 was obtained. Subsequent oxidation and treatment with aqueous HCl in benzene culminated in the production of ALA in 47% yield.

In 2015, Purude *et al.* reported their efforts to synthesize either racemic **ALA** or its chiral isomers, *R*- and *S*-**ALA** (Scheme 10).<sup>21</sup> Selective benzyl protection of commercially available diol **46** and subsequent oxidation afforded aldehyde **47**, which upon treatment with allyl bromide in the presence of Zn, followed by TBDPS protection, yielded terminal alkene **48**. Oxidation and the Wittig reaction gave unsaturated ester **50**, which possesses fundamental functional groups to afford **ALA**. Ester **50** was converted into diol **51** through a three-step sequence, including selective hydrogenation of the double bond and deprotection. Racemic **ALA** was obtained under the known protocol from diol **51**. Using this strategy, the synthesis of *R*-**ALA** and *S*-**ALA** was also accomplished using this strategy.

Scheme 10 Purude's synthesis of ALA.

#### 2.3 Ring opening

Some cyclic compounds are readily transformed into 1,3-diol, which is a precursor for the formation of five-membered disulfide rings. Thus, efforts were made to synthesize **ALA** from cyclic compounds, followed by introducing the C2–C5 linkage and the carboxylic acid group at any stage of the synthesis.

In 1955, Wagner *et al.* reported a synthesis of **ALA** by adopting  $\gamma$ -butyrolactone 52 as the starting material (Scheme 11). The first sulfur-containing intermediate 54 was synthesized from lactone 52, which was then converted into its acid chloride 55. Acylation, elimination of the *t*-butyl group, and decarboxylation in the presence of a catalytic amount of TsOH transformed 55 to 57, followed by condensation with methyl acrylate. Hydrolysis and decarboxylation of 57 under acidic conditions generated keto acid 59. The reduction of 59 to 61 was accomplished in two steps. Finally, demethylation and subsequent oxidation occurred, leading to **ALA**. The yields of most of these steps have not been reported in the literature.

Another synthetic work was reported by Rao *et al.* in 1995 using Birch reduction as the key step (Scheme 12).<sup>23</sup> The synthesis commenced with a Wittig reaction of terephthalaldehyde **62** and the ylide obtained from (3-carbomethoxy) propyl triphenylphosphonium bromide to yield an olefinic intermediate. Subsequent Pd–C-mediated hydrogenation, LAH-mediated reduction, and protection of the alcohols with tetrahydropyran gave diTHP ether **63** in 62% yield. The birch reduction of **63** afforded 1,4-diene **64** in 85% yield. Ozonolysis of **64** and quenching of the ozonide with LAH afforded **65** in 48% yield over two steps. The conversion of **65** to its dimesylate **66** was accomplished using triethylamine and mesyl chloride.

Scheme 11 Wagner's synthesis of ALA.

Scheme 12 Rao's synthesis of ALA

Deprotection of the THP group and oxidation by Jones resulted in the formation of a carboxylic acid group in **ALA**, yielding **67** in 52% yield. In the last stage, **ALA** was synthesized according to a known procedure.

In 1957, Segre *et al.* selected ethyl cyclohexanone-2-acetate **68** as a precursor for **ALA** synthesis (Scheme 13).<sup>24</sup> The synthesis featured the Baeyer–Villiger oxidation as the key step. A series of protection, reduction, and deprotection transformed **68** into ketone **70**, which was then treated with a solution of peracetic acid (containing active oxygen) to produce seven-membered cyclolactone **71** in 65% yield. The treatment of lactone **71** with thiourea in a strong mineral acid *via* alkaline hydrolysis of the isothiuronium salt easily yielded **DHLA**, which afforded the desired **ALA** after oxidation.

In 1999, Paust *et al.* followed the Baeyer–Villiger strategy to develop an optimized synthesis.<sup>25</sup> As shown in Scheme 14, initially, the key intermediate 74 was synthesized in one stage from the base chemicals, cyclohexanone 72 and vinyl ethyl ether 73, catalyzed by di-*tert*-butyl peroxide. The key Baeyer–Villiger oxidation was performed using performic acid generated *in situ* from formic acid and 30% aqueous hydrogen peroxide. 8-

Scheme 13 Segre's synthesis of ALA.

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Scheme 14 Paust's synthesis of ALA.

Ethoxy-6-formyloxyoctanoic acid 75 was obtained as the main product in 87% yield. To introduce sulfur at C6 and C8, 75 was heated with 62% hydrohalic acid in the presence of thiourea and hydrolyzed to form **DHLA**, which was oxidized to **ALA** according to an established protocol. This route delivered **ALA** from cyclohexanone 72 at an overall yield of 40%.

Chavan *et al.* have reported the synthesis of **ALA** *via* a modified Reformatsky reaction (Scheme 15).<sup>26</sup> The synthesis commenced with the Reformatsky reaction of cyclohexanone 72 with ethyl chloroacetate 76 in the presence of activated Zn powder, followed by the elimination of the hydroxyl group to give alkene 78. DIBAL-H-mediated reduction and subsequent protection yielded compound 79, which was bubbled through O<sub>3</sub> gas, followed by Jones oxidation to generate carboxylic acid 80. Under NaBH<sub>4</sub>-mediated reduction conditions, the tertiary alcohol 81 was obtained, which was esterified and treated with sodium methoxide to produce diol 21. Racemic ALA was obtained from diol 21 based on commonly used procedures.

# 3. Synthesis of *R*-ALA

### 3.1 Chemical resolution

Currently, the synthesis of *R*-ALA on an industrial scale depends on the direct chemical resolution of its racemic mixture, ALA, using *R*-(+)-methylbenzylamine (RAMBA) as the chiral resolution reagent (Scheme 16).<sup>27</sup> In the initial resolution step, RAMBA was added to the racemic ALA solution to form 82, which was separated by crystallization. After acid hydrolysis, *R*-ALA was obtained.

Scheme 15 Chavan's synthesis of ALA.

Scheme 16 RAMBA-mediated resolution of ALA to synthesize R-ALA.

Alternatively, the resolution of racemic intermediates *via* a synthetic route is another method for synthesizing *R*-ALA. As shown in Scheme 17, *S*-83, a key intermediate for synthesizing *R*-ALA can be obtained by the optical resolution of racemic 6,8-dichlorooctanoic acid (DCA) 83 with (—)-ephedrine.<sup>14,28</sup>

The aforementioned studies mainly involved esterification of the carboxylic group located four carbon atoms away from the stereogenic center, which remains a difficult task. In 2010, Kaku *et al.* developed the thermodynamically controlled deracemization of racemic monosubstituted cycloalkanones and utilized this methodology to accomplish the synthesis of *R*-ALA (Scheme 18).<sup>29</sup> Using chiral 1,3-dioxolane as the chiral host molecule with sodium hydroxide in aqueous methanol, cycloalkanone 84 was converted into its *R*-isomers *R*-84 with 99% ee and 90% yield. *R*-84 was subjected to Baeyer–Villiger oxidation using 3-chloroperoxybenzoic acid and anhydrous sodium dihydrogen phosphate to afford lactone 85 in 93% yield. Ringopening iodination of 85 and subsequent mesylation afforded 86, which was transformed into *R*-ALA in the presence of sodium sulfide and sulfur in DMF.

Kinetic resolution is a widely used method that provides easy access to catalysts, separation and recovery of catalysts, high enantiomeric excess, and high practical feasibility. Jacobsen's hydrolytic kinetic resolution (HKR) method uses a readily accessible Co-based chiral salen complex as the catalyst and is an efficient approach for the preparation of highly enantioselective chiral epoxides and diols with high optical purity in excellent yields. In 2006, Bose utilized the HKR of 1 in the synthesis of R-ALA.30 As shown in Scheme 19, racemic epoxide 87 was treated with (R,R)-salen-Co(III)-OAc complex and H<sub>2</sub>O at ambient temperature to afford a mixture of 1,2-diol 88 in 43% yield and epoxide R-87 in 47% yield and 98% ee. The regiospecific opening of epoxide R-87 with a Grignard reagent delivered chiral alcohol 89 in 90% yield. Subsequent benzyl protection and borohydride oxidation transformed 89 to 90, which was used to synthesize R-ALA by conventionally reported

Scheme 17 (-)-Ephedrine-mediated resolution of DCA to synthesize R-ALA.

Scheme 18 Kaku's synthesis of R-ALA

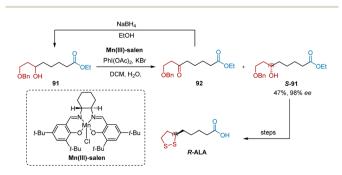
Scheme 19 Bose's synthesis of R-ALA

transformations including oxidation, deprotection, and mesylation.

Mn(III)-salen is the most widely used chiral catalyst in the oxidative resolution of secondary alcohols. In 2015, Kalkote and Chavan reported the use of Mn(III)-salen-catalyzed oxidative kinetic resolution as the key step in obtaining enantiomerically pure *R*- and *S*-ALA (Scheme 20).<sup>21</sup> The oxidative kinetic resolution of racemic 91 was performed on Mn(III)-salen to produce *S*-91 in 98% ee and 47% yield. The construction of *R*-ALA was achieved under the aforementioned conditions.

#### 3.2 Enzymatic resolution

Enzyme-catalyzed organic reactions have provided great impetus for organic synthesis owing to several advantages, including mild and environmentally friendly reaction conditions and remarkable chemo-, regio-, and stereoselectivity.



Scheme 20 Kalkote and Chavan's synthesis of R-ALA.

Lipases are routinely used for the treatment of racemic alcohols and carboxylic acids. R-ALA was synthesized through the resolution of racemic ALA by enzymatic esterification of the carboxylic group, which consists of four carbon atoms away from the stereogenic center (Scheme 21). In 1997, Fadnavis et al. used the lipase from Candida rugosa (E.C.3.1.1.3) to catalyze the enantioselective esterification of racemic ALA with aliphatic alcohols in hexane.31 The enzyme shows enantioselectivity towards the S-ALA (72% ee). In 2009, Yan et al. reported that the lipase from Aspergillus oryzae WZ007 is another potential candidate for enzymatic resolution of ALA.32 In 2015, Liu et al. combined an ionic liquid co-lyophilized lipase with microwave irradiation to improve enzyme performance in the enantioselective esterification of ALA.33 Under optimal reaction conditions, the ionic liquid co-lyophilized lipase exhibited satisfactory enzyme performance (E value, 41.2; enzyme activity, 178.1 μmol h<sup>-1</sup> mg<sup>-1</sup>).

Enzymatic resolution involving a remote chiral center is a difficult task. The enzymatic resolution of racemic precursors for *R*-ALA is an alternative route. In 1996, Iyengar *et al.* reported a synthetic sequence for the preparation of 21, the precursor of *R*-ALA, using the kinetic resolution of 94 as the key step (Scheme 22).<sup>34</sup> Kinetic resolution of racemic compound 94 with lipase from *Candida cylindracea* afforded *S*-94 with good enantiomeric purity and good yield (84% ee, 80% yield). Lithium aluminum hydride-mediated reduction and regioselective opening with iodotrimethylsilane gave rise to 95, the desired six-carbon synthon with a protected diol system. The alkylation of benzylmethyl malonate with 95 and subsequent debenzylation generated 96, which was converted into a diol 21 precursor *via* decarboxylation, and deprotection.

In 2014, Zhou *et al.* developed a lipase-catalyzed transacylation process to resolve ethyl 8-chloro-6-hydroxy octanoate **97** and produced two important chiral precursors, *R*-**97** and **99**, for the synthesis of *R*-**ALA** (Scheme 23).<sup>35</sup> After systematically screening, the optimized condition of optical resolution was

Scheme 21 Lipase-mediated resolution of ALA.

Scheme 22 lyengar's synthesis of R-ALA.

SOCI<sub>2</sub>, pyridine

SOCI<sub>2</sub>, pyridine

S-13

S-13

Steps

S

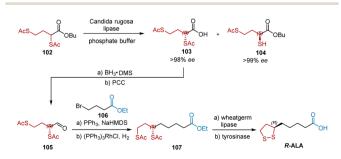
Scheme 23 Zhou's synthesis of R-ALA.

determined, resulting in Novozym 435 as catalyst, vinyl acetate as acyl donor, DIPE as solvent. The space-time yield of **99** was 38 g  $L^{-1}$  d<sup>-1</sup> with 94% ee. According to a known procedure, *R*-ALA can be synthesized from *R*-97 or **99**.

In 1998, Fadnavis *et al.* developed the enantioselective synthesis of pure *R*-2,4-dithioacetyl butyric acid **103** (ee > 98%) and *S*-butyl 2-thio-4-thioacetyl butyrate **104** (ee > 99%) by hydrolysis of the *n*-butyl ester of 2,4-dithioacetyl butanoic acid **102** using the native lipase of *Candida rugosa* (Scheme 24).<sup>36</sup> *R*-ALA was synthesized using **103** as the chiral building block. The reduction of **103** with BH<sub>3</sub>·DMS provided an alcohol intermediate, which was oxidized by PCC to aldehyde **105**. The subsequent Wittig reaction and hydrogenation afforded ethyl ester **107**, whose ethyl and thioacetyl groups were removed by hydrolysis with the non-enantiospecific enzyme wheat germ lipase in phosphate buffer. *R*-ALA was obtained by treating the hydrolyzed product with the oxidative enzyme, mushroom tyrosinase, in the same reaction vessel. The yield of each step is not shown in this study.

#### 3.3 Chiral pool

In 1983, Golding *et al.* selected *S*-2-hydroxysuccinic acid **108** as the starting material to synthesize *S*-ALA (Scheme 25).<sup>5</sup> Epoxide **109** was obtained according to a previously published procedure. Treatment of epoxide **109** with 3-butenylmagnesium bromide and Li<sub>2</sub>CuCl<sub>4</sub> gave the terminal alkene **110** after protection. Carboxylic acid **111** was generated by double-bond cleavage and PDC-mediated oxidation and was then converted into methyl ester *R*-21 by esterification and deprotection. The



Scheme 24 Fadnavi's synthesis of R-ALA

Scheme 25 Golding's synthesis of S-ALA

treatment of R-21 with MsCl and  $Et_3N$  afforded dimethanesulfonate R-22. The final two-step transformation of R-22 completed the synthesis of S-ALA. The yield of each step is not shown in this study.

Rao et al. reported an enantiospecific synthesis of natural R-ALA in 13 steps (Scheme 26).37 3,4,6-Tri-O-acetyl-p-glucal 112, a chiral building block, was selected as the starting material and converted into compound 113, which was smoothly hydrogenated by treatment with RANEY® to give compound 114. The two acyl groups of 114 were replaced with Bn groups, yielding compound 115. The treatment of 115 with propanedithiolboron trifluoride etherate-dichloromethane at room temperature afforded 80% of the dithiane compound 116. Barton-McCombie deoxygenation of 116 was performed to remove the hydroxyl group. With the compound 117 in hand, hydrolysis of 117 with mercuric oxide-boron trifluoride etherate in aqueous acetone yielded the desired aldehyde, which was converted into unsaturated ester 118 via the Wittig reaction. Hydrogenation of 118 over excess RANEY® reduced the double bond and debenzylation gave 90% diol S-51, which was transformed into R-ALA following a known strategy.

In 1988, Golding et al. revealed their synthesis of **R-ALA** and **S-ALA** from S-malic acid **88**, a member of the "chiral pool"

Scheme 26 Rao's asymmetric synthesis of *R*-ALA.

Scheme 27 Golding' synthesis of R-ALA

(Scheme 27).38 Notably, R-ALA could be directly synthesized from R-malic acid 119. They chose 88 as the starting material because 119 is relatively expensive. The total synthesis commenced with the stereospecific conversion of 88 to 119 using Takano's methodology. Compound 119 was converted into epoxide R-87, which was allowed to react with the Grignard reagent to give secondary alcohol 89. The following reaction sequences, including the benzylation of the secondary hydroxy group, hydroboration of the vinyl group, oxidation of the primary hydroxy group, esterification, and hydrogenolysis of the benzyl groups, transformed 89 into S-21 successively. R-ALA was obtained via the known transformations of S-21. S-ALA was synthesized using a similar route.

In 1989, Yadav et al. reported the synthesis of R-ALA from Dmannitol 120 and its derivatives 121, with diene 122 serving as a common intermediate (Scheme 28).39 With diene 122 in hand, the Claisen ester rearrangement with excess triethyl orthoacetate and a catalytic amount of propionic acid afforded diene ester 123 in 81% yield. Selective hydroboration-oxidation of the terminal double bond in 123 was accomplished with 9-BBN to afford an intermediate, whose hydrogenation proceeded smoothly to give diol S-51. Finally, the transformation of S-51 into R-ALA was performed using a previously described procedure.

Tricarbonyl(diene)iron complexes are chiral and have various applications in the asymmetric synthesis of organic molecules. In 1998, Grée et al. utilized tricarbonyl(diene)iron

Scheme 28 Yadav's synthesis of R-ALA.

Scheme 29 Grée's synthesis of R-ALA.

complex 125b for the formal synthesis of R-ALA, as shown in Scheme 29.40 Complex 125b was obtained in an optically active form after Grignard addition-mediated resolution of 124. Complex 125b was used for the subsequent transformation. Subsequent protection of the hydroxyl group and hydroboration-oxidation afforded alcohol 126. A two-step transformation was performed to obtain compound 127 in high yield. Finally, oxidative decomplexation with ceric ammonium nitrate produced diene 128 in 87% yield, which could be used to deliver R-ALA.

In 2009, Huang et al. developed a synthetic approach to R-ALA starting from 108 (Scheme 30).41 The synthesis began with the known compound 129, which is easily available from 108. In the presence of trifluoroacetic acid, treatment of 129 with benzaldehyde, followed by Ts-protection, afforded compounds 130 and 131, which could be readily separated by recrystallization. The subsequent coupling of tosylate 130 with phenylpropyl magnesium bromide in the presence of a catalytic amount of CuI afforded chain elongation product 132. The acetal groups of dioxane 132 were removed using I2/MeOH. Bismesylation yielded bismesylate 133. The oxidative cleavage of the phenyl group into a carboxylic acid group using the developed Sharpless conditions (RuCl<sub>3</sub>/NaIO<sub>4</sub>/EtOAc/MeCN/H<sub>2</sub>O) furnished S-67 in

Scheme 30 Huang' synthesis of R-ALA

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67% yield. Finally, R-ALA was synthesized using the known transformations.

In 2015, Chavan et al. reported the synthesis of S-ALA from Dglucose (Scheme 31).42 The key reactions involved in this synthesis were splitting, iodination, and hydrogenation The synthesis commenced with the production of hydroxy aldehyde 135 from D-glucose 134, according to an established protocol. The following 4-carbon Wittig reaction of hydroxy aldehyde 135 took place to yield an inseparable mixture of cis and trans isomers, whose double bonds were reduced under the conditions of Pd/C/H<sub>2</sub>/MeOH to give hydroxyl ester 136 in 84% yield over two steps. The chiral hydroxyl group in 136 was removed to provide 137 via a two-step transformation involving iodination and reductive deiodination. Subsequently, the acetal group in ester 137 was deprotected using PTSA in methanol to obtain 1,3diol S-21, which was then transformed into S-ALA according to previously reported steps.

#### Chiral auxiliary

In 1984, Johnson and Elliott reported the use of R,R-2,4-pentanediol (PTSA) as a chiral auxiliary in a TiCl4-catalyzed aldoltype reaction to synthesize aldol esters in excellent yields and high diastereoselectivities.43 One year later, they extended this work by employing ketene acetal 141 as the nucleophile, and utilized the developed methodology as the key step in the synthesis R-ALA (Scheme 32).44 The initial transformation began with the synthesis of aldehyde 139 from cyclohexene 138. Acetalization of aldehyde 139 with PTSA as the chiral

Scheme 31 Chavan' synthesis of S-ALA.

Scheme 32 Johnson and Elliott' synthesis of R-ALA.

auxiliary proceeded smoothly to give 140 in 84% yield. TiCl<sub>4</sub> mediated coupling of 140 with ketene acetal 141 afforded 142 in excellent yield and diastereoselectivity (93% yield, 98:2 dr). The following Jone's oxidation and β-elimination afforded chiral alcohol 143 in 95% yield over two steps, which was reduced to diol 144 by BH<sub>3</sub>·THF complex. Following the known procedure, R-ALA was harvested.

In 1987, a short and highly stereospecific synthesis of S-ALA using menthone as a recyclable chiral auxiliary was presented by Menon et al. (Scheme 33).45 Dithiane 145, the starting material, served as the chiral template that controlled the regiochemistry of the subsequent oxidation to provide 146 as a single regioisomer in 80% yield. The stereoselective alkylation of 146 with 5-bromovaleric acid 147 in the presence of lithium diisopropylamide as a base in THF resulted in the carboxylic acid derivative 148, which was hydrolyzed in aqueous HCl to vield S-ALA.

#### Chemical asymmetric catalysis

In 1986, Sutherland et al. reported the enantioselective synthesis of R-ALA from achiral precursor 150 using Sharpless asymmetric epoxidation as the key step (Scheme 34).46 Allylic alcohol 150 was synthesized by alkylation of the lithio-dianion of propargyl alcohol by dissolving the metal reduction of the resultant disubstituted acetylene in situ. The sharpless asymmetric epoxidation of 150 was carried out smoothly using Ti(Oi- $Pr)_4/(+)$ -DIPT/tBuOOH, giving epoxy alcohol 151 in 82% yield and 96% ee. The reduction of 151 with Red-Al resulted in the selective formation of diol S-35 in 89% yield. Under conditions using MsCl/Et<sub>3</sub>N, S-35 was sulfonated. Ruthenium tetroxide oxidation of the terminal double bond formed carboxylic acid S-67 in 75% yield over two steps. Finally, the disulfide displacement of the methanesulfonate groups of the potassium salt of S-67 afforded R-ALA in 52% yield.

Scheme 33 Menon's synthesis of S-ALA

Scheme 34 Sutherland's asymmetric synthesis of R-ALA.

Chiral binaphthol-derived (BINOL) metal species have been widely used as catalysts for enantioselective allylation reactions. In 2000, Zimmer et al. used enantioselective allylation as the key step in the formal synthesis of R-ALA (Scheme 35).47 Starting with the readily accessible methoxycarbonyl aldehyde 152, (S)-BINOL/Ti(OiPr)<sub>4</sub> catalyzed enantioselective allylation was performed with commercially available allyltributylstannane 153, giving 154 in 73% yield and 98% ee. According to a previously reported protocol, R-ALA was targeted from 154.

In 2001, Sudalai et al. developed two asymmetric catalytic methods to synthesize R-ALA, as shown in (Schemes 36 and 37).48 First, asymmetric dihydroxylation was adopted as the key step in the construction of the chiral center of R-ALA. Olefinic diester 1 was subjected to OsO4-catalyzed asymmetric dihydroxylation using hydroquinidine 1,4-phthalazinediyl diether [(DHQD)<sub>2</sub>-PHAL] as the chiral ligand, affording chiral diol 156 in 95% yield and 96% ee. Diol 156 was then converted into cyclic sulfate 157, which was reduced to give chiral alcohol 158 in 63% yield. Further selective reduction of one of the ester groups in 158 afforded S-21 in 85% yield, which was converted to R-ALA, according to a previously reported protocol.

Sudalai developed another synthesis commencing with the Swern oxidation of alcohol 159, affording aldehyde 160 in 75% yield (Scheme 37).48 The C-H insertion of ethyl diazoacetate with 160 in the presence of a catalytic amount of anhydrous SnCl<sub>2</sub> or the Reformatsky reaction of 160 followed by PCCmediated oxidation gave keto ester 161 in 65% yield. Under the atmosphere of H<sub>2</sub> (400 psi), S-BINAP-Ru-catalyzed asymmetric hydrogenation of 161 proceeded smoothly to generate 162 in 90% yield and 96% ee. The reduction of the ester functional group in 162 using NaBH<sub>4</sub>-CuSO<sub>4</sub> in EtOH yielded the diol intermediate, which was subsequently mesylated under standard conditions to yield 163. Carboxylic acid S-67 was obtained from 163 in three steps of deprotection and oxidation. Accordingly, the disulfide displacement of S-67 delivered R-ALA.

Scheme 35 Zimmer's asymmetric synthesis of R-ALA

Scheme 36 Sudalai's first asymmetric synthesis of R-ALA

Scheme 37 Sudalai's second asymmetric synthesis of R-ALA.

In 2004, Chavan et al. reported the synthesis of R-ALA by employing Claisen orthoester rearrangement and Sharpless asymmetric dihydroxylation as key steps (Scheme 38).49 Initially, Claisen rearrangement of monoprotected allylic alcohol 159 with triethyl orthoacetate in the presence of catalytic propionic acid afforded unsaturated ester 164 in 94% yield. Sharpless asymmetric dihydroxylation using AD-mixα and the in situ cyclization of 165 furnished hydroxy lactone 166 in 95% yield and 93% ee. Lactone 166 was treated with triphenylphosphine, iodine, and imidazole to give iodolactone **167**, whose reduction was followed by an *in situ* two-carbon Wittig reaction to give unsaturated ester 168. Under the conditions of W2 RANEY® and hydrogen, the benzyl protection and iodine were removed, and the double bond was reduced in one pot, giving diol S-51 as a known intermediate for the synthesis of R-ALA. R-ALA was synthesized according to the established protocol.

In 2002, Zimmer et al. developed the asymmetric synthesis of R-ALA using an enantioselective aldol reaction as the key transformation.<sup>50</sup> As shown in Scheme 39, starting with the easily accessible substrate 169, enantioselective aldol reactions were performed with S-ketene silvl acetal 170 in the presence of R-BINOL, Ti(Oi-Pr)<sub>4</sub> and phenol, affording chiral alcohol 171 in 76% yield and ≥97% ee. The subsequent NaBH<sub>4</sub>-mediated reduction of 171 generated the key intermediate 172 in 61% yield, which was converted into enantiopure R-ALA according to a previously reported protocol.

Chavan's asymmetric synthesis of R-ALA. Scheme 38

Scheme 39 Zimmer's asymmetric synthesis of R-ALA.

In 2008, Wang *et al.* unveiled an efficient, highly stereocontrolled formal synthesis of *R*-ALA utilizing L-proline-catalyzed, highly enantio- and diastereoselective cross-aldol reaction as the key step (Scheme 40).<sup>51</sup> The L-proline-promoted aldol reaction between ketone 72 and aldehyde 173 afforded chiral aldol adduct 174 in 65% yield with 95% ee and dr of 29:1. The regioselective Baeyer–Villiger oxidation of ketone 174 gave lactone intermediate 175, which could be reduced to chiral compound 176. Finally, treatment of 176 with sodium methoxide in methanol afforded chiral diol *S*-21 in 91% yield. The target *R*-ALA was obtained using known synthetic sequences.

In 2010, Kalkote et al. reported an organocatalytic enantioselective approach for the synthesis of *R*-ALA using an L-prolinecatalyzed sequential aminoxylation-HWE olefination reaction of aldehydes as the key step.52 The synthesis began with commercially available diol 46 as shown in Scheme 41. The mono-hydroxyl protection of diol 46 and subsequent oxidation provided aldehyde 177 in 74% yield in two steps. Aldehyde 177 following L-proline-catalyzed subjected to the aminoxylation/HWE olefination/hydrogenation sequence to yield chiral hydroxyester 178 in 58% yield and 97% ee. After the protection of 178, ester 179 was then reduced to aldehyde 180 with DIBAL-H and subjected to HWE olefination to obtain  $\alpha,\beta$ unsaturated ester 181, which was hydrogenated to afford saturated ester 182. Finally, R-ALA was synthesized from 182 according to a previously reported protocol.

### 3.6 Enzymatic asymmetric catalysis

The reduction of functionalized  $\beta$ -keto esters by baker's yeast may be a valuable procedure for the preparation of a variety of

Scheme 40 Wang and Duan's asymmetric synthesis of R-ALA.

Scheme 41 Kalkote's asymmetric synthesis of R-ALA

chiral trifunctional synthons. In 1989, Gopalan *et al.* utilized baker's yeast reduction to obtain a valuable synthetic intermediate that could be converted to *R*-ALA in a short sequence of steps.<sup>53</sup> As shown in Scheme 42, substrate 185 for baker's yeast was prepared by the alkylation of the dianion from alkyl acetoacetate 183 with 4-iodobutyronitrile 184 The Baker's yeast-catalyzed asymmetric reduction of 185 was performed to yield chiral alcohol 186 in 77% yield and 82% ee, which could be transformed into *R*-ALA *via* a published route.

In 1990, Gopalan *et al.* also reported their studies on the baker's yeast reduction of readily prepared β-keto esters **187** and applied it to accomplish the total synthesis of *R*-ALA (Scheme 43).<sup>54</sup> The keto ester **187** was reduced to the chiral alcohol **188** in 55% yield 85% ee using baker's yeast. Subsequent reduction, acetal protection, alkylation and deprotection generated the diol *S*-51, which was in turn converted into *R*-ALA following published procedures.

In 1990, Dasaradhi *et al.* reported the stereo-controlled reduction of keto acetals by baker's yeast immobilized on calcium alginate beads to synthesize *R*-ALA (Scheme 44).<sup>55</sup> The Cu-catalyzed bromoform addition of **190** followed by treatment with potassium acetate and 18-crown-6 initiated the synthesis of *R*-ALA, yielding compound **191** in 68% yield over two steps. The subsequent hydrolysis of **191** in the presence of K<sub>2</sub>CO<sub>3</sub> in

Scheme 42 Gopalan's asymmetric synthesis of R-ALA.

Scheme 43 Gopalan's asymmetric synthesis of R-ALA.

Scheme 44 Dasaradhi's asymmetric synthesis of R-ALA

methanol and oxidation with pyridinium chlorochromate afforded ketovinyl bromide, which was then transformed into ester **192** using Triton B in methanol. Ester **192** was enantio-specifically reduced using a glucose solution containing Baker's yeast (*Saccharomyces cerevisiae* NCIM 3044) immobilized on calcium alginate beads to generate crude product **193** in 60% yield and 99% ee. *R*-ALA was obtained after deprotection, NaBH<sub>4</sub> reduction, mesylation, and hydrolysis *R*-ALA was achieved.

In 1996, Bezbarua *et al.* reported the synthesis of *R*-ALA by employing the baker's yeast reduction of ketones as a key step. <sup>56</sup> As shown in Scheme 45, the substrate of baker's yeast reduction, ketone 195, was obtained from 2-nitrocyclohexanone 194. Ketone 195 was enantiospecifically reduced using Baker's yeast to yield chiral alcohol 196. The selective hydrolysis of 196 with tetrabutylammonium iodide in CHCl<sub>3</sub> afforded chiral diol *S*-21 in 80% yield, which was converted to *R*-ALA according to a previously reported approach.

In 1999, Bringmann *et al.* reported an approach to gain *R*-ALA by exploiting 3-oxodiesters 197 as a starting material (Scheme 46).<sup>57</sup> The reduction of 197 took place using baker's

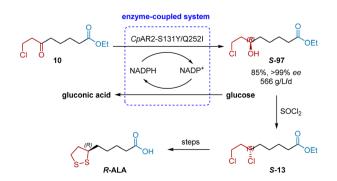
Scheme 45 Bezbarua's asymmetric synthesis of R-ALA.

Scheme 46 Bringmann's asymmetric synthesis of R-ALA.

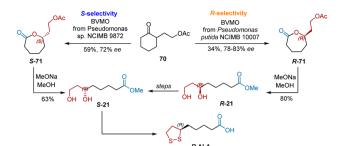
yeast, giving chiral alcohol **198** in 75% yield and 94% ee. *R*-ALA was achieved from **198** by using previously reported methods.

In 2015, Xu and Zheng et al. discovered a ketoreductase CpAR2 from Candida parapsilosis that was able to highly stereoselective reduce ethyl 8-chloro-6-oxooctanoate 1 to (R)-8chloro-6-hydroxyoctanoate 2 (in 85% yield with >99% ee), an advanced chiral intermediate to R-ALA, with a space-time yield of 530 g L<sup>-1</sup> d<sup>-1</sup> (Scheme 47).<sup>27a</sup> However, high biocatalyst loading (10 g L<sup>-1</sup> of dry E. coli cells coexpressing CpAR2 and a glucose dehydrogenase BmGDH) is required. Generally, the biocatalyst loading should be less than 5 g L<sup>-1</sup> for a green and economical chemical process. Thus, a directed evolution approach was adopted to increase the enzyme activity and thermal stability simultaneously.58 By using error-prone PCR, the combined mutant S131Y/Q252I was obtained, resulting improved activity (214 U mg<sup>-1</sup> versus 120 U mg<sup>-1</sup> for wild-type enzyme) and the half-deactivating temperature (T50, for 15 min incubation, increased by 2.3 °C). Gratifyingly, as low as 2 g L<sup>-1</sup> of the lyophilized *E. coli* cells coexpressing *Cp*AR2S131Y/ Q252I and GDH was sufficient to completely reduce 110 g  $L^{-1}$  of 1 and achieve the higher space-time yield (566 g  $L^{-1}$  d<sup>-1</sup>), affording the desired 2 in 85% yield with >99% ee.

Enzymatic Baeyer–Villiger Oxidations of ketone provide chiral lactones which are precursors to readily construct chiral alcohol and carbonyl groups *via* ring-opening. Two Baeyer–Villiger monooxygenases were used in the synthesis of *R*-ALA, resulting in different stereoselectivities. As shown in Scheme 48, Adger *et al.* used Baeyer–Villiger monooxygenase from *Pseudomonas putida* NCIMB 10007 to catalyze the oxidation of 2-substituted cycloalkanone 70, yielding *R*-71 with good enantioselectivities (78–83% ee). <sup>59</sup> Reductive ring opening was performed to yield *R*-21. Inversion by the Mitsunobu reaction



Scheme 47 Xu and Zheng's asymmetric synthesis of R-ALA.



Scheme 48 Adger and Willetts's asymmetric synthesis of R-ALA.

afforded *S*-21, which was transformed into *R*-ALA according to a published procedure. Willetts *et al.* found that the Baeyer–Villiger oxidation of **70** was preferentially carried out to yield *S*-21 by monooxygenases from *Pseudomonas* sp. NCIMB 9872.<sup>60</sup> Likewise, the reductive ring opening of *S*-71 yielded *S*-21 directly, which was the precursor for the synthesis *R*-ALA.

## 4. Conclusions

This study demonstrates significant progress made over the past 70 years in the field of total **ALA** synthesis. Diverse routes and methods for synthesizing **ALA** have been described in the literature, including racemic synthesis, chemical and enzymatic resolution synthesis, asymmetric chiral pool synthesis, asymmetric chiral auxiliary synthesis, enzyme-catalyzed asymmetric synthesis, chemical-catalyzed asymmetric synthesis. While these methods generally focus on basic scientific research, few of them have proposed synthetic pathways for industrial production.

Accordingly, with the ever-growing demand for ALA, practical, concise, and general synthetic strategies and advanced technologies will continue to be explored and exploited. For example, continuous-flow chemistry, enzyme engineering, and other new technologies could have broadly applications in the total synthesis of ALA to achieve efficient, economical, and energy-saving industrial production. The ability to combine new technologies advances numerous possibilities for creating cheaper and higher quality products to fulfill the needs of the population. The development of these new technologies will undoubtedly benefit future applications. Furthermore, new technologies and strategies will be implemented in the synthesis of ALA to provide ideas and insights for scientific researchers and peers, associated with these realms and aspects in the long run.

### Conflicts of interest

There are no conflicts to declare.

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