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## Electrical field and biaxial strain tunable electronic properties of the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure†

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The structure and electronic properties of two-dimensional vertical van der Waals PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure have been investigated based on first-principles calculations. The results show that the PtSe<sub>2</sub> and Hf<sub>2</sub>CO<sub>2</sub> monolayers form a type-I heterostructure with both the conduction band minimum (CBM) and valence band maximum (VBM) located at the Hf<sub>2</sub>CO<sub>2</sub> layer. The electronic properties of PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure can be effectively adjusted by applying external electric field or biaxial strain. The transition in band alignment from type-I to type-II can be manipulated by controlling the strength and direction of the electric field. Additionally, the transition from type-I to type-II have also taken place under the strains, and the band gap of the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure decreases with increasing the compressive or tensile strain. Under a strong strain of -8%, the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure can transform from semiconductor to metal. These findings provide a promising method to tune the electronic properties of PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure and design a new vdW heterostructure in the applications for electronic and optoelectronic devices.

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### 1. Introduction

Two-dimensional (2D) van der Waals (vdW) materials with atomic layer thickness and tunable band gap have attracted great attention.<sup>1,2</sup> van der Waals heterostructures, fabricated by vertically stacking two different 2D materials together,<sup>3–5</sup> not only maintain the individual electronic properties of each material because of the weak interaction between layers, but also have some new physics at the interface,<sup>6</sup> which offer a novel platform for innovative devices and applications, such as solar cells,<sup>7</sup> tunneling transistor memory devices,<sup>8</sup> etc. According to the band alignment, the vdW heterostructures can be classified as three types: type-I (straddling gap), type-II (staggered gap), and type-III (broken gap).<sup>9</sup> In type-I heterostructures, both the conduction band minimum (CBM) and the valence band maximum (VBM) are located in the same material with the narrower bandgap. The quantum confinement of electrons and holes in the same region facilitates their radiative recombination, which is desirable in light-emitting-diodes (LEDs).<sup>10</sup> For type-II heterostructure, the CBM and VBM exist in different layers, where the electrons and holes are spatially separated, thereby greatly decreasing the probability of recombination and facilitating efficient electron–hole separation for light detection and harvesting, which are highly desirable for photocatalytic and photovoltaic devices.<sup>11,12</sup> Different from type-I and type-II

heterostructures, for type-III heterostructures, the VBM and CBM of one layer are both above the CBM of the other one,<sup>13</sup> enabling a nonoverlapping band offset between two semiconductors that facilitates the transport of charge carriers from one energy band to another *via* a quantum tunneling process.<sup>14,15</sup> This band-to-band tunneling (BTBT) makes type-III vdW heterostructures well-suited for tunnel devices with high-speed operation and low-power consumption, such as TFETs or Esaki diodes.<sup>16</sup> Notably, the types and the electronic properties of vdW heterostructure can be modulated by various methods, such as coupling interlayer distances,<sup>17</sup> applying external electric fields,<sup>18</sup> and applying biaxial strain,<sup>19</sup> to obtain unique structures and performances. These charming findings suggest that stacking 2D materials into vdW heterostructures provides an effective way to design novel artificial materials with special characteristics.

Since Yury Gogotsi *et al.* successfully synthesized MXenes in 2011,<sup>20</sup> the 2D MXene have attracted much interest from researchers, owing to its unique physicochemical characteristics and wide range of applications in supercapacitors,<sup>21</sup> batteries,<sup>22</sup> sensors,<sup>23</sup> catalysis,<sup>24</sup> optoelectronics.<sup>25</sup> Hf<sub>2</sub>CO<sub>2</sub> is a classical MXene with a band gap of 1.0 eV (PBE) and 1.75 eV (HSE06).<sup>26</sup> It has a low thermal expansion coefficient, high carrier mobility, and high thermal conductivity,<sup>27</sup> which make it have promising applications in optoelectronics,<sup>28</sup> solar cells,<sup>29</sup> and gas sensors.<sup>30</sup> Inheriting the properties of isolated Hf<sub>2</sub>CO<sub>2</sub>, the Hf<sub>2</sub>CO<sub>2</sub>-based vdW heterostructures for opto-electronic device applications have also been investigated extensively. For example the Hf<sub>2</sub>CO<sub>2</sub>/MoS<sub>2</sub>,<sup>31</sup> Hf<sub>2</sub>CO<sub>2</sub>/WS<sub>2</sub>,<sup>32</sup> and Hf<sub>2</sub>CO<sub>2</sub>/GaN<sup>33</sup> vdW heterostructures have been

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suggested as potential photocatalysts for overall water splitting with appropriate band structure and high carrier mobility.

PtSe<sub>2</sub>, as one kind of transition-metal dichalcogenide (TMDC), has attracted intense interest. It has a graphene-like structure with high stability<sup>34</sup> semimetallic electronic structures, and excellent photoelectric properties.<sup>35</sup> Additionally, external electric field<sup>36</sup> and strain<sup>37</sup> can effectively regulate its electronic properties, and make the indirect–direct bandgap transition and semiconductor–semimetal transition happen. These excellent characteristics of PtSe<sub>2</sub> monolayer have enabled its potential applications in various devices, including field effect transistors, gas sensors, and photocatalysts.<sup>38–41</sup>

Although the preliminary researches on the electronic properties of the Hf<sub>2</sub>CO<sub>2</sub>-based and PtSe<sub>2</sub>-based vdW heterostructures have made great progresses, the study on the properties of PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure is very scarce. Therefore, in this work, the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure has been investigated *via* first-principles calculations. The effects of stacking pattern, external electric field, and biaxial strain on the electronic properties of the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure were discussed. Our research results show that the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure exhibits a type-I band alignment (straddling gap), which can offer the application for LEDs. We have also found that the applied external electric fields and biaxial strains are efficient means for adjusting its electronic properties, which can broaden the application of PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure. Our results are expected to provide theoretical guidance for the design of flexible nano-electronic or optoelectronic devices based on the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure.

## 2. Computational method

All structure relaxations and electronic property calculations in our work were performed using density functional theory (DFT)<sup>42</sup> in conjunction with plane-wave ultrasoft pseudopotential, as implemented in the Vienna *ab initio* simulation package (VASP).<sup>43</sup> The generalized gradient approximation (GGA) of the Perdew–Burke–Ernzerhof (PBE) function was employed to express the exchange–correlation energy.<sup>44</sup> The cut-off energy was set to 500 eV, and the first Brillouin zone sampling was carried out by using  $4 \times 4 \times 1$  for structure optimization and using  $6 \times 6 \times 1$  for electronic properties calculations, respectively. The lattice parameters and ionic positions were fully relaxed until the total energies and forces were less than  $10^{-6}$  eV and 0.02 eV Å<sup>-1</sup>, respectively. To avoid spurious interactions between periodic neighbour structures, a vacuum thickness of 20 Å was chosen. Besides, a dispersion-corrected DFT method of Grimme (DFT-D3) was employed to correctly describe the effect of a vdW interaction.<sup>45</sup> As the PBE functional usually underestimates the band gap value, the Heyd–Scuseria–Ernzerhof (HSE06) hybrid functional was adopted for comparison.

## 3. Results and discussions

### 3.1. The pristine PtSe<sub>2</sub> and Hf<sub>2</sub>CO<sub>2</sub> monolayers

Fig. 1a and b display the optimized structures of individual PtSe<sub>2</sub> and Hf<sub>2</sub>CO<sub>2</sub> single layers. The relaxed lattice parameters of the pristine PtSe<sub>2</sub> and Hf<sub>2</sub>CO<sub>2</sub> monolayers are 3.745 and 3.265 Å, respectively, which very well agree with the values in earlier theoretical and experimental findings.<sup>32,37</sup> The energy

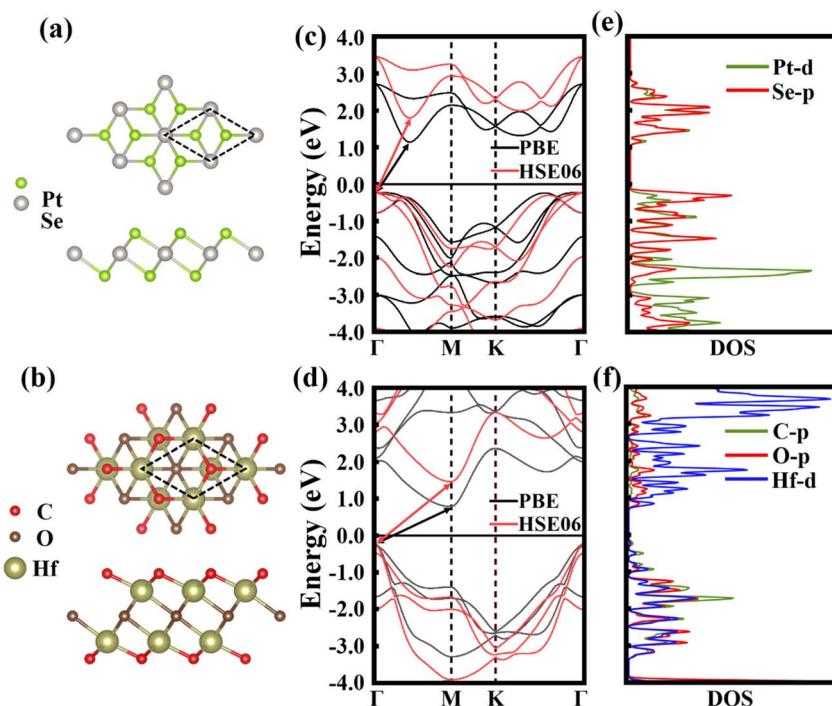


Fig. 1 (a) and (b) Top and side views of PtSe<sub>2</sub> and Hf<sub>2</sub>CO<sub>2</sub> monolayers. (c) and (d) The band structures calculated by the PBE and HSE06 methods, respectively. (e) and (f) Corresponding partial densities of states (PDOS) of the primitive cells of PtSe<sub>2</sub> and Hf<sub>2</sub>CO<sub>2</sub> monolayers, calculated by PBE method.



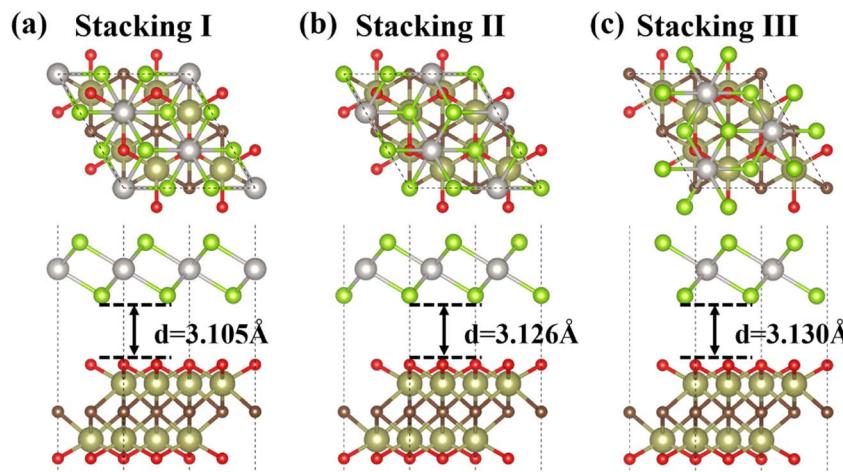


Fig. 2 Top and side views of three different stacking configurations of the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure: (a) stacking I, (b) stacking II, (c) stacking III.

Table 1 Lattice parameter ( $a$ ), bond length ( $L_{x-x}$ ), band energy ( $E_g$ ), interlayer distance ( $d$ ) and binding energy ( $E_b$ )

System	Type	$a$ (Å)	$L_{\text{Pt-Se}}$ (Å)	$L_{\text{Hf-O}}$ (Å)	$L_{\text{Hf-C}}$ (Å)	PBE/HSE06 $E_g$ (eV)	$d$ (Å)	$E_b$ (meV Å $^{-2}$ )
$\text{PtSe}_2$	—	3.748	2.528	—	—	1.398/1.990	—	—
$\text{Hf}_2\text{CO}_2$	—	3.265	—	2.100	2.335	0.988/1.749	—	—
$\text{PtSe}_2/\text{Hf}_2\text{CO}_2$	I	6.492	2.529	2.096	2.324	0.965/1.788	3.105	-23.082
	II	6.491	2.529	2.094	2.328	0.964/—	3.126	-22.959
	III	6.491	2.530	2.094	2.327	0.957/—	3.130	-22.887

band structures of pristine  $\text{PtSe}_2$  and  $\text{Hf}_2\text{CO}_2$  monolayers are shown in Fig. 1c and d. Both  $\text{PtSe}_2$  and  $\text{Hf}_2\text{CO}_2$  monolayers exhibit indirect band gaps of 1.398/1.990 eV and 0.988/1.749 eV calculated by PBE/HSE06 functionals, respectively. The valence band maximum (VBM) and conduction band minimum (CBM) of  $\text{PtSe}_2$  are located at  $\Gamma$  and  $\Gamma$ -M, respectively, while the VBM and CBM of  $\text{Hf}_2\text{CO}_2$  are located at  $\Gamma$  and M, respectively. Fig. 1e demonstrates that in the  $\text{PtSe}_2$  monolayer, the CBM is mainly contributed by the Se-p and Pt-d states, while the VBM is largely contributed by the Se-p state. Fig. 1f shows that in the  $\text{Hf}_2\text{CO}_2$

monolayer, the VBM is contributed by Hf-d state, and the CBM is primarily contributed by the C-p state. These calculated outcomes are consistent with previous studies.<sup>32,33,46,47</sup>

### 3.2. Structural and electronic properties of $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$ vdW heterostructures

The  $\sqrt{3} \times \sqrt{3}$  supercell of  $\text{PtSe}_2$  and  $2 \times 2$  supercell of  $\text{Hf}_2\text{CO}_2$  are used to construct the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure. The lattice mismatch is only 0.29%, making it more possible to

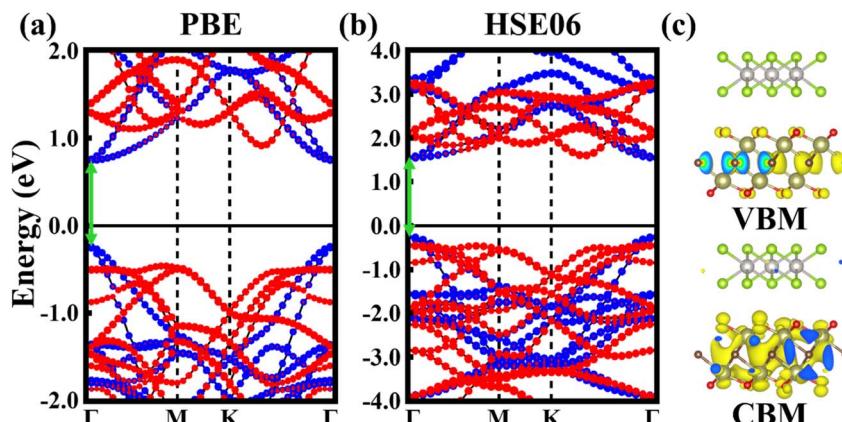


Fig. 3 (a) and (b) The projected band structures of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure calculated by PBE and HSE06 method, respectively, the Fermi level is set as zero, the red and blue lines represent  $\text{PtSe}_2$  and  $\text{Hf}_2\text{CO}_2$ , respectively. (c) The partial charge densities corresponding to the VBM and CBM of the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure.



Table 2 Edges of VBM and CBM and work function for materials

System		VBM (eV)	CBM (eV)	Work function (eV)
Isolated	PtSe <sub>2</sub>	-4.25	-6.24	6.03
	Hf <sub>2</sub> CO <sub>2</sub>	-4.28	-0.68	5.67
PtSe <sub>2</sub> /Hf <sub>2</sub> CO <sub>2</sub>	PtSe <sub>2</sub>	-4.28	-6.08	5.83
	Hf <sub>2</sub> CO <sub>2</sub>	-4.23	-6.29	

achieve experimental synthesis of the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure. In Fig. 2, three distinct stacking configurations of PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure were studied. These configurations were created by shifting the relative positions of two layers in the horizontal direction. The binding energy  $E_b$  were calculated to estimate the thermodynamic stability of the system. It is defined as follows:

$$E_b = [(E_{\text{total}} - E_{\text{PtSe}_2} - E_{\text{Hf}_2\text{CO}_2})/S] \quad (1)$$

where  $E_{\text{total}}$ ,  $E_{\text{PtSe}_2}$  and  $E_{\text{Hf}_2\text{CO}_2}$  represent the total energy of the heterostructure, PtSe<sub>2</sub> monolayer, and Hf<sub>2</sub>CO<sub>2</sub> monolayer, respectively, and  $S$  is the interface area of the heterostructure. The optimized lattice parameters, interlayer distances, bond lengths, and calculated binding energies are listed in Table 1. The results demonstrated no significant variation in the bond length of these three structures, and the interlayer distances are approximately 3.1 Å. The calculated binding energies  $E_b$  are -23.082, -22.959 and -22.887 meV Å<sup>-2</sup> for stacking I, II, and III patterns, respectively, which are lower than PtSe<sub>2</sub>/GaN, PtSe<sub>2</sub>/Arsenene, and *etc.*,<sup>48-53</sup> confirming that PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> bilayer forms a stable vdW heterostructure. In addition, the band gaps of stacking I to III are 0.965, 0.964, and 0.957 eV respectively, calculated by the PBE method, as shown in Fig. S2.† Therefore, it can be concluded that the structural and electronic properties of PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure are not sensitive to diverse stacking patterns. For the ease of analysis, stacking I has been selected for subsequent calculations due to its relatively small binding energy.

Fig. 3a and b show the band structures of the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure with stacking I configuration, calculated by the PBE/HSE06 functional. Both the CBM and the VBM are

contributed by Hf<sub>2</sub>CO<sub>2</sub> layer. The band gap of the heterostructure is respectively 0.965/1.788 eV using PBE/HSE06 functional. Fig. 3c displays the band-resolved charge densities of the CBM and VBM for the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure. The results indicate that the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure exhibits a type-I band alignment. Besides, we found that the PBE functional has indeed underestimated the band gap value, but the band structure obtained from PBE has almost exhibited the same trend to that obtained from HSE06 functional.

Next, the work function is employed to deeply understand the band alignment of the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure. The definition of work function ( $\Phi$ ) is

$$\Phi = E_{\text{vac}} - E_F \quad (2)$$

where  $E_{\text{vac}}$  and  $E_F$  represent the vacuum level and Fermi level, respectively. The calculated work function of the PtSe<sub>2</sub> layer and the Hf<sub>2</sub>CO<sub>2</sub> layer are 6.03 and 5.67 eV, respectively, shown in Table 2. The electrons move from Hf<sub>2</sub>CO<sub>2</sub> layer to the PtSe<sub>2</sub> layer due to the smaller work function of Hf<sub>2</sub>CO<sub>2</sub> layer, resulting in an electric field ( $E_{\text{in}}$ ) in the interlayer, which, in turn, impedes the transfer of charge and ultimately establishes an electrostatic equilibrium, as shown in Fig. 4a. As a result of charge transfer, the Fermi level of the PtSe<sub>2</sub> layer moves up while the Fermi level of the Hf<sub>2</sub>CO<sub>2</sub> layer goes down for equilibrium Fermi level. Consequently, the work function of the heterostructure is calculated to be 5.83 eV.

To visualize the charge transfer and charge redistribution, the differential charge density ( $\Delta\rho$ ) have been studied. The  $\Delta\rho$  was determined as:

$$\Delta\rho = \rho_{\text{PtSe}_2/\text{Hf}_2\text{CO}_2} - \rho_{\text{PtSe}_2} - \rho_{\text{Hf}_2\text{CO}_2} \quad (3)$$

where  $\rho_{\text{PtSe}_2/\text{Hf}_2\text{CO}_2}$ ,  $\rho_{\text{PtSe}_2}$ , and  $\rho_{\text{Hf}_2\text{CO}_2}$  are the charge densities of the PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure and isolated PtSe<sub>2</sub> and Hf<sub>2</sub>CO<sub>2</sub> monolayers, respectively. The 3D charge density difference is depicted in Fig. 4b, with the cyan area signifying electron gain and the yellow area signifying electron loss. The electrons and holes are located on the PtSe<sub>2</sub> layer and Hf<sub>2</sub>CO<sub>2</sub> layer, respectively. The planar-averaged differential charge density is described in Fig. 4c. Positive and negative values reflect charge accumulation and depletion, respectively. At the

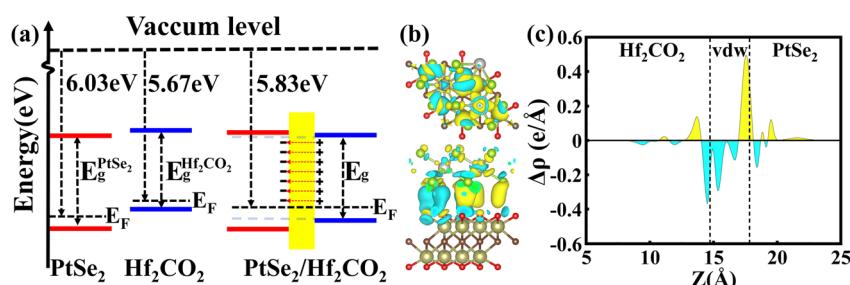


Fig. 4 (a) The band alignment of PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> vdW heterostructure.  $E_g^{\text{PtSe}_2}$ ,  $E_g^{\text{Hf}_2\text{CO}_2}$  and  $E_g$  are the band gaps of PtSe<sub>2</sub> layer, Hf<sub>2</sub>CO<sub>2</sub> layer and PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure, respectively. (b) The side and top views of the 3D isosurface with charge density difference for PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure. The cyan and yellow regions represent the electrons depletion and accumulation, respectively. The charge density value corresponding to the isosurfaces drawn is 0.805 e Å<sup>-3</sup>. (c) The planar-averaged charge density difference for PtSe<sub>2</sub>/Hf<sub>2</sub>CO<sub>2</sub> heterostructure.



surface of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure, electrons accumulated on the  $\text{PtSe}_2$  side and depleted on the  $\text{Hf}_2\text{CO}_2$  side, indicating electron transfer from the  $\text{Hf}_2\text{CO}_2$  layer to the  $\text{PtSe}_2$  layer after the two layers contact.

### 3.3. Effect of external electric field

In order to explore the possible application of the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  vdW heterostructure, we have modulated the electronic properties by applying external electric field. Considering that

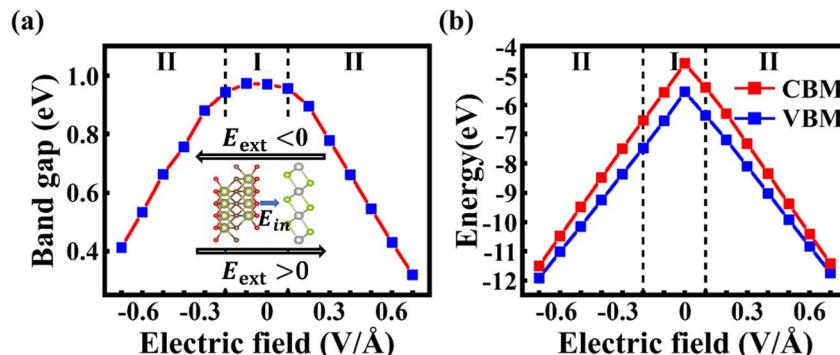


Fig. 5 (a) The band gap evolution of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure as a function of  $E_{\text{ext}}$ . The inset shows directions of build-in electric field, negative and positive external electric field. (b) The band edge evolution of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure as a function of  $E_{\text{ext}}$ .

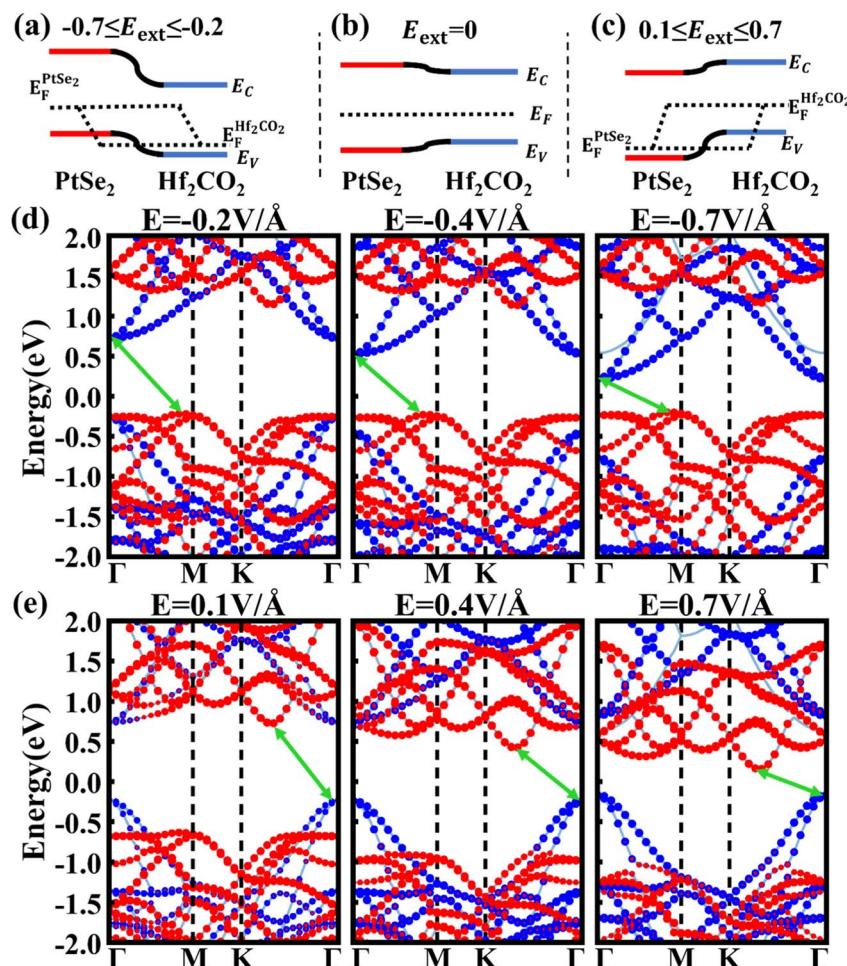


Fig. 6 (a) Type-II band alignment under a negative external electric field. (b) Type-I band alignment without an external electric field, and (c) Type-II band alignment under a positive external electric field.  $E_F^{\text{PtSe}_2}$  and  $E_F^{\text{Hf}_2\text{CO}_2}$  are the quasi-Fermi levels of  $\text{PtSe}_2$  and  $\text{Hf}_2\text{CO}_2$  layers in the heterostructure, respectively. (d), (e) The band structures of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure under the negative of  $-0.2, -0.4$  and  $-0.7 \text{ V } \text{\AA}^{-1}$ , and positive electric fields of  $0.1, 0.4$  and  $0.7 \text{ V } \text{\AA}^{-1}$ , respectively, where the red and blue dots represent  $\text{PtSe}_2$  and  $\text{Hf}_2\text{CO}_2$ , respectively. The green arrow lines denote the CBM and VBM.



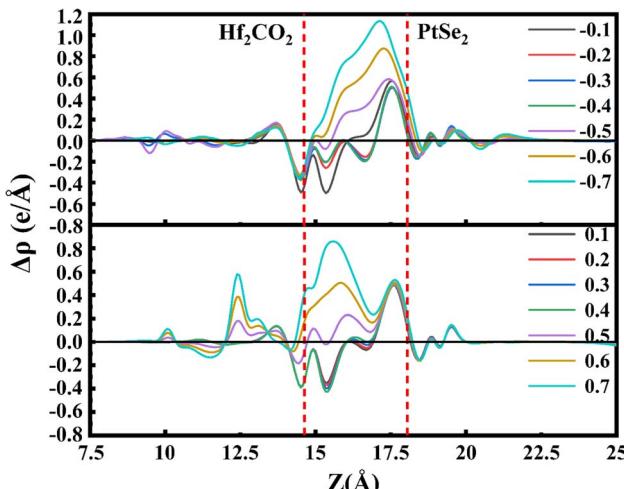


Fig. 7 The planar-averaged difference charge density of the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure with various  $E_{\text{ext}}$ .

although the PBE functional underestimates the bandgap, it is still able to predict the correct trend in bandgap variation and to properly demonstrate the physical mechanisms. Therefore, we adopted the PBE functional in the following calculations due to the high computational cost of HSE06.

The direction of  $E_{\text{ext}}$  from the  $\text{Hf}_2\text{CO}_2$  layer to the  $\text{PtSe}_2$  layer is taken as the positive direction (shown in Fig. 5a) and the  $E_{\text{ext}}$  ranging from  $-0.7$  to  $0.7 \text{ V}\text{\AA}^{-1}$  is applied. Fig. 5a and b show the band gap and band edges (VBM, CBM) as a function of the applied electric field. When the negative  $E_{\text{ext}}$  is applied, a transition from type-I to type-II occurs at  $-0.2 \text{ V}\text{\AA}^{-1}$ , and the band gap value decreases linearly with increasing the negative electric field. In the type-II heterostructure induced by negative electric field, the CBM and VBM are dominated by  $\text{PtSe}_2$  and  $\text{Hf}_2\text{CO}_2$  layers, respectively, as shown in Fig. 6d. Under the influence of the positive  $E_{\text{ext}}$ , the transition from type-I to type-II takes place at  $0.1 \text{ V}\text{\AA}^{-1}$ . However, in contrast to the case of the negative electric field, the CBM and VBM of the type-II heterostructure are dominated by  $\text{Hf}_2\text{CO}_2$  and  $\text{PtSe}_2$ , respectively, as shown in Fig. 6e. And the band gap value decreases linearly with increasing the positive electric field. The linear variations of band gaps with  $E_{\text{ext}}$  can be attributed to the Stark effect.<sup>55</sup>

When applying a negative  $E_{\text{ext}}$ , the total electric field is weakened due to the opposite direction of the built-in and external electric field. In this case, the drift of electrons from the  $\text{Hf}_2\text{CO}_2$  layer to the  $\text{PtSe}_2$  layer is further strengthened, resulting in a downward shift of the quasi-Fermi level of the  $\text{Hf}_2\text{CO}_2$  layer and an upward shift of the quasi-Fermi level of the  $\text{PtSe}_2$  layer, shown in Fig. 6a. As shown in Fig. 6c, when the positive  $E_{\text{ext}}$  is applied, the built-in electric field and external electric field have the same direction, and the total electric field is enhanced, causing an upward of  $E_F^{\text{Hf}_2\text{CO}_2}$  and a downward of  $E_F^{\text{PtSe}_2}$ . The response of the electrical properties of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure to  $E_{\text{ext}}$  makes the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure exhibit potential applications in FETs and MEMS.

The distinct responses of the electronic properties of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure to positive and negative electric fields

can be attributed to the charge transfer and redistribution between the  $\text{PtSe}_2$  and  $\text{Hf}_2\text{CO}_2$  layers. Therefore, the planar-average charge density difference of heterostructure under different  $E_{\text{ext}}$  is plotted in Fig. 7. The positive and negative values reflect electrons accumulation and depletion, respectively. It can be obviously seen that the number of accumulated electrons at the  $\text{PtSe}_2$  side increases with the enhanced strength of the negative  $E_{\text{ext}}$ , while the number of depleted electrons near the  $\text{Hf}_2\text{CO}_2$  surface decreases with the positive  $E_{\text{ext}}$  increasing from  $0.1$  to  $0.4 \text{ V}\text{\AA}^{-1}$ , then the electrons accumulation occurs at  $E_{\text{ext}} = 0.5 \text{ V}\text{\AA}^{-1}$ , and the number of accumulated electrons increases with the enhanced strength of the positive  $E_{\text{ext}}$ .

### 3.4. Effect of biaxial strain

Biaxial strain has also been regarded as a powerful tool for tuning the electronic properties of 2D materials and vdW heterostructures. In this work, the electronic properties of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructures have been investigated under a series of biaxial strains ranging from  $-8\%$  to  $8\%$ , with a step of  $2\%$ . Fig. 8a depicts the strain energy ( $E_S$ ), checked by  $E_S = E_1 - E_0$ , in which  $E_1$  and  $E_0$  are its total energies of strained and original structures with no strain applied, respectively. The  $E_S$  increases quadratically with strain, indicating that all strains studied are below the elastic limit and are therefore fully reversible.<sup>54,55</sup>

Fig. 8b and c illustrate the evolution of the bandgap and the band edges (CBM and VBM) of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure as a function of the strain. The two inset plots illustrate the two different type-II band alignments. One can see that the band gap of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure decreases with increasing the compressive strain. When the compressive strain is increased to  $-4\%$ , a type-I to type-II band alignment transition takes place, and the CBM and VBM are contributed by  $\text{Hf}_2\text{CO}_2$  layer and  $\text{PtSe}_2$  layer, respectively, then a semiconductor-to-metal transition occurs when the compressive strain is increased to  $-8\%$ . On the contrary, the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure is transformed to a type-II heterostructure when the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure is increased to  $2\%$ , in which the CBM and VBM are located in  $\text{PtSe}_2$  layer and  $\text{Hf}_2\text{CO}_2$  layer, respectively. To further unravel the modulation mechanism of strain effects on electronic properties of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure, the electronic band structures under different strains are plotted in Fig. 9. After application of  $-2\%$  compressive strain, the VBM of  $\text{PtSe}_2$  layer increases, closing to the VBM of  $\text{Hf}_2\text{CO}_2$  layer. When the compressive strain is increased to  $-4\%$ , the VBM of  $\text{PtSe}_2$  layer continues to increase, higher than the VBM of  $\text{Hf}_2\text{CO}_2$  layer, resulting in a type-I to type-II transition. With the compressive strain increased to  $-8\%$ , the VBM of  $\text{PtSe}_2$  layer is higher than the CBM of  $\text{Hf}_2\text{CO}_2$  layer, a semiconductor-to-metal occurs. Besides, we found that the band gap of  $\text{PtSe}_2$  layer increases then decreases while the band gap of  $\text{Hf}_2\text{CO}_2$  layers decreases with increasing the compressive strain. For the tensile strain, the CBM of  $\text{Hf}_2\text{CO}_2$  layer greatly increases at the strain of  $-2\%$ , thus changes the CBM of the heterostructure from  $\text{Hf}_2\text{CO}_2$  to  $\text{PtSe}_2$  layer. As a result, a type-I to type-II transition takes place. With the increase of the tensile strain, the CBM of  $\text{PtSe}_2$  layer decreases, inducing the decrease of the



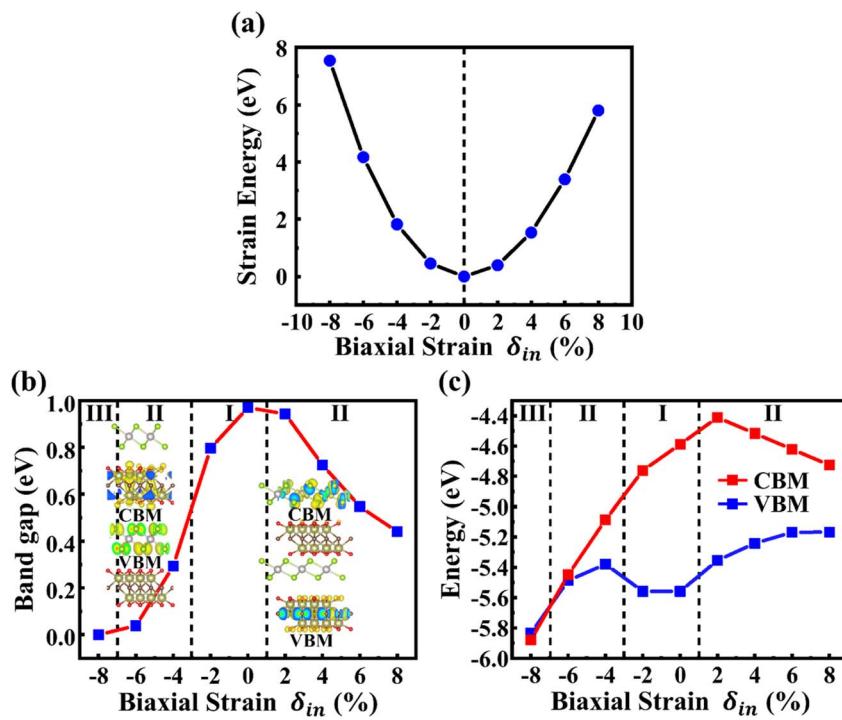


Fig. 8 (a) Strain energies at various biaxial strains ranging from  $-8\%$  to  $8\%$ . (b) and (c) The band gap and band edge evolution of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure as a function of biaxial strains.

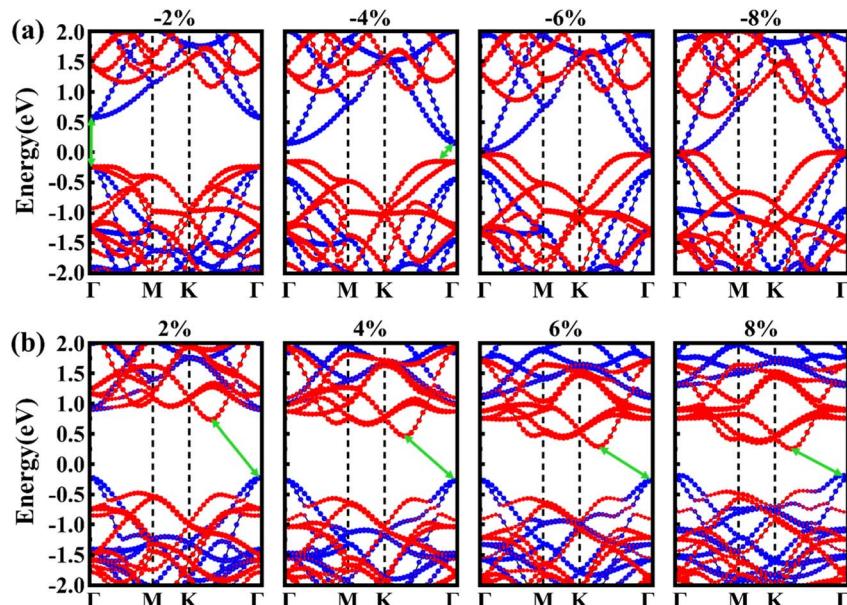


Fig. 9 (a) The electronic band structures of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure under compressive strains in the range of  $-2\%$  to  $8\%$ . (b) The electronic band structures of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure under tensile strains in the range of  $2\%$  to  $8\%$ . The red circles represent the band of  $\text{PtSe}_2$  layer, and the blue circles represent the band of  $\text{Hf}_2\text{CO}_2$  layer.

bandgap of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure. In addition, we found that the bandgap of  $\text{Hf}_2\text{CO}_2$  layers increases while the bandgap of  $\text{PtSe}_2$  layers decreases with increasing the tensile strain.

## 4. Conclusions

In this work, the structural and electronic properties of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructures have been systematically investigated



using first principles calculations. The  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  bilayer forms a stable vdW heterostructure. In equilibrium  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructures, both the CBM and VBM are donated by the  $\text{Hf}_2\text{CO}_2$  layer, resulting in a type-I band alignment, which can be utilized in LEDs or other optoelectronic devices. It has been also demonstrated that the electronic properties of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  vdW heterostructure are insensitive to diverse stacking patterns whereas external electric field and biaxial strain have been proved to be efficient methods for modulating the electrical properties of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure. Both the external electric field and biaxial strain can induce type-I to type-II band alignment transition, which facilitate the separation of photoexcited electrons and holes, enabling high efficiency optoelectronics and solar energy conversion. Furthermore, the band gap of  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure decreases with increasing the strength of external electric field or biaxial strain after the occurrence of type-I to type-II transition. In addition, a semiconductor-to-metal transition takes place under the strong compressive strain of  $-8\%$ . According to our results, the  $\text{PtSe}_2/\text{Hf}_2\text{CO}_2$  heterostructure will present abundant opportunities for the application of flexible electronic and optoelectronic nano-devices.

## Conflicts of interest

There are no conflicts to declare.

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