


 Cite this: *RSC Adv.*, 2023, **13**, 23716

## First-principle computation of some physical properties of half-Heusler compounds for possible thermoelectric applications

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Using the density functional theory (DFT) method, we investigate the properties of  $\text{LaXSi}$  ( $\text{X} = \text{Pt, Pd}$ ) half-Heusler compounds. To ensure the stability of both compounds, we employed two criteria: the Birch-Murnaghan equation of state and the negative formation energy. The evaluation of elastic constants (ECs) plays a crucial role in determining the mechanical stability of both compounds. Specifically, we ensure that the conditions  $C_{11} - C_{12} > 0$ ,  $C_{11} > 0$ ,  $C_{11} + 2C_{12} > 0$ , and  $B > 0$  are satisfied and exhibit mechanical anisotropy and ductility. The analysis of electronic properties clearly indicates that  $\text{LaPtSi}$  displays metallic behavior in both the spin-up and spin-down states. In the spin-up state of  $\text{LaPdSi}$ , a band gap is observed, which indicates its characteristic of being a half-metal. A comprehensive investigation of optical properties revealed that these compounds display notable absorption and optical conductivity at higher energy levels. Conversely, they exhibit transparency to incident photons at lower energy levels. Based on the findings, it can be concluded that these compounds are highly suitable for application in high-frequency UV devices. The thermoelectric properties clearly indicate that both materials exhibit high power factors, electrical conductivity, and figures of merit ( $ZT$ ), suggesting their potential as exceptional thermoelectric materials. The simulations conducted in this study consider the effect of on-site Coulomb interactions by incorporating the Hubbard  $U$  term within the GGA +  $U$ . Our findings contribute valuable insights that can facilitate further experimental investigations and provide comprehensive validation.

 Received 22nd June 2023  
 Accepted 2nd August 2023

DOI: 10.1039/d3ra04192a

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### 1. Introduction

De Groot *et al.*, were the pioneers behind the groundbreaking identification of half-metallicity in half-Heusler compounds. Their influential research revealed  $\text{NiMnSb}$  as the initial compound to possess this remarkable property.<sup>1</sup> Consequently, this discovery sparked an extensive and widespread exploration of similar compounds, driven by their potential applications in the exciting field of spintronics.<sup>2–5</sup> Subsequent investigations have led to the discovery of various other compound types exhibiting half-metallicity, including oxides,<sup>6–9</sup> magnetic oxides,<sup>10–12</sup> transition-metal, chalcogenides containing transition metals and pnictides,<sup>13</sup> as well as half-metallic ferromagnetic materials.<sup>14,15</sup> Additionally, the distinctive characteristic of half-metallicity has also been observed in spin gapless semiconductors.<sup>16–19</sup> The remarkable phenomenon of electronic

material structure is of utmost importance in the manifestation of half-metallicity. Half-metallic compounds display distinct behavior for electrons with different spins. Specifically, these compounds demonstrate metallic characteristics specifically for electrons possessing a distinct spin.<sup>20–22</sup> Literature shows that half-Heusler compounds exhibit several characteristics that make them promising for thermoelectric applications.<sup>23–25</sup> Researchers have been actively studying various compositions and doping strategies to optimize their thermoelectric properties further. The use of half-Heusler compounds in thermoelectric devices shows promise for applications such as waste heat recovery, power generation, and solid-state cooling.<sup>26,27</sup> Kahiu J. N. *et al.* reported asymmetric thermoelectric performance tuning in low-cost  $\text{ZrFe}_x\text{Ni}_{1-x}\text{Sb}$  double half-Heusler and the ability to intrinsically tune this system to optimized p- or n-type materials by varying the  $\text{Fe}/\text{Ni}$  ratio.<sup>28,29</sup> In the realm of nano-scale electronics, the growing field of spintronics harnesses the spin of electrons, rather than their electrical charge, to encode and retain information. This groundbreaking approach allows for the manipulation of electron spins, leading to compounds that exhibit insulating or semiconducting properties for electrons with the opposite spin.<sup>30–32</sup> Alloys that display total spin polarization at  $E_F$  hold significant value for

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applications in spintronics, owing to their exceptional high-spin polarization characteristics.<sup>33–36</sup> The wide range of materials mentioned above has attracted significant attention and captivated scientific researchers, primarily because of their potential applications in information technology. Notable examples of such applications include magnetic sensors and non-volatile magnetic random access memory (MRAM).<sup>33,37–39</sup> Heusler compounds can be classified into three distinct groups, namely full, half, and inverse compounds, based on the arrangement and quantity of atoms within their structures. Ternary Heusler compounds are identified as  $X_2YZ$ ,  $XYZ$ , and  $XYXZ$  for full, half, and inverse compounds respectively. In this context,  $X$  denotes a high-valence transition metal,  $Y$  represents a low-valence transition metal, and  $Z$  signifies an atom characterized by sp electrons. Half-Heusler compounds adopt C1b crystal structures, wherein one of the sub-lattices remains unoccupied. Recent advancements in research have unveiled numerous novel half-Heusler compounds.<sup>40–43</sup> Experimental synthesis of single-phase LaPtBi thin films has been conducted, aiming to explore their potential applications in topological insulating devices utilizing Heusler alloys.<sup>44</sup> The existence of half-metallic weak ferromagnetic half-Heusler compounds has been observed across a diverse range of materials. Nasir *et al.*,<sup>41</sup> conducted a comprehensive study on HH compounds  $RhCrZ$  ( $Z = Si, Ge$ ), unveiling various physical characteristics associated with them. Focusing on investigating the structural, electronic, elastic, and magnetic properties, Nasir *et al.*,<sup>45</sup> explored half-Heusler compounds  $ScTiX$  ( $X = Si, In, Ge, Pb, Tl$ , and  $Sb$ ), with the aim of exposing their potential applications in spintronics and memory storage devices. This research paper centers around an in-depth analysis of the structural, elastic, electronic, and thermoelectric features of half-Heusler alloys  $LaXSi$  ( $X = Pt, Pd$ ) utilizing DFT implemented in the WIEN2K simulation package. The study aims to unravel various characteristics of these compounds, providing a deeper understanding of their behavior and potential applications.

## 2. Computational details

In this study, we employed the FP-LAPW method<sup>46</sup> integrated into the WIEN2K package.<sup>47</sup> For simulating the compounds. To accurately capture the system's behavior, the simulations incorporated the Hubbard  $U$  term (GGA +  $U$ ) within the framework of the GGA.<sup>48</sup> Accounting for on-site Coulomb interactions. Within the framework of spin-polarized density functional theory (SPDFT), the electronic structure evaluation and geometry optimization were carried out using the FP-LAPW method. This approach facilitated a thorough examination of the electronic properties and geometric optimization of the system. To accurately calculate the densities, the Kohn–Sham equations are solved iteratively in a self-consistent manner, accounting for both spin-up ( $\uparrow$ ) and spin-down ( $\downarrow$ ) state channels.<sup>49,50</sup> A cut-off energy difference of  $-6$  Ry has been employed for the core and valence states in the calculations. Within the muffin-tin spheres, spherical harmonic functions with a maximum cut-off value of  $l_{max} = 10$  are utilized to ensure an appropriate representation of the electronic states.

Additionally, a value of  $RK_{max} = 2.5$  is set, and a total of 2000 K-points are used to enhance the accuracy and reliability of the results.  $G$ -Max was chosen as 12 to include higher reciprocal lattice vectors for a comprehensive analysis. For the analysis of structural parameters, Murnaghan's equation of state (EOS) is employed to adapt the energy *vs.* volume curve.<sup>51</sup> The WIEN2K framework incorporates the IRElast package, which was developed by M. Jamal,<sup>52</sup> to perform calculations of ECs for crystals with diverse symmetries, including cubic structures. Subsequently, these ECs were employed to analyze the elastic and mechanical properties using the relationship between second-order energy derivatives and zero strain. For the examination of thermoelectric properties, the BoltzTrap2 software<sup>53</sup> has been utilized to compute the semi-classical transport coefficients. This analysis enabled a comprehensive exploration of the thermoelectric behavior of the system.

Overall, this combination of methods and software tools provided a robust framework for analyzing the electronic, structural, elastic, mechanical, and thermoelectric characteristics of the studied system.

## 3. Results and discussion

### 3.1. Structural and mechanical properties

The investigation of the  $LaXSi$  ( $X = Pt, Pd$ ) material revealed its crystalline nature, adopting a cubic structure resembling  $MgAgAs$  and exhibiting compatibility with the  $F-43m$  (216) space group. In this arrangement, the "La" atom is positioned at coordinates  $(0, 0, 0)$ , "X" occupies  $(1/2, 1/2, 1/2)$ , and "Si" is located at  $(1/4, 1/4, 1/4)$  Wyckoff positions. Fig. 1 illustrates the prototype atomic structural arrangement of the  $LaXSi$ .

The computations produce estimations for various characteristics of the structure, including the energy of the lowest energy state ( $E_0$ ), the volume of the lowest energy state ( $V_0$ ), the modulus of elasticity ( $B_0$ ), and the derivative of pressure  $B'_0$ . Fig. 2 illustrates the process of minimizing the energy of the unit cell in relation to its volume. The figure demonstrates that as the volume of the unit cell increases up to a specific value ( $V_0$ ), there is a corresponding decrease in energy. Once the

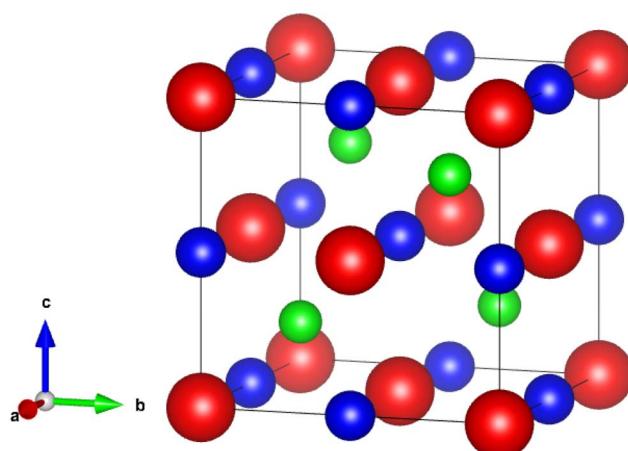


Fig. 1 Half-Heusler  $LaXSi$  ( $X = Pt, Pd$ ), prototype crystal structure.



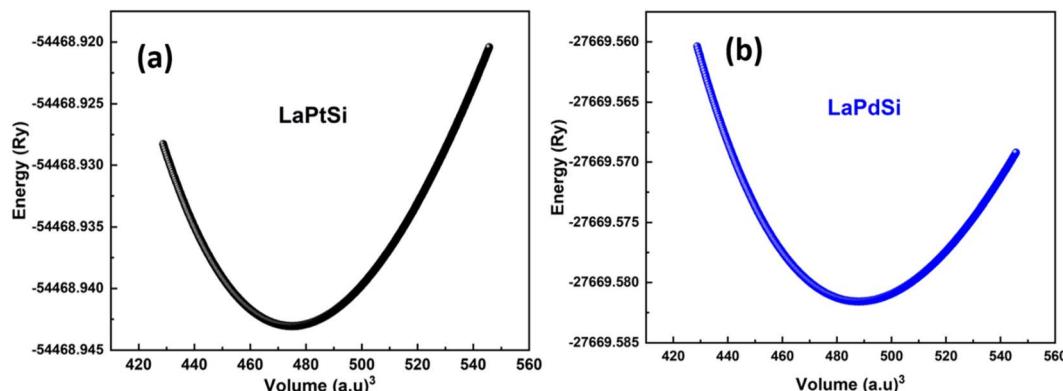


Fig. 2 Total energy variation vs. volume for LaXSi (X = Pt, Pd).

volume surpasses a particular threshold, further enlargement results in an increase in the system's energy, indicating instability. In first-principle calculations, the structural parameters are determined by evaluating the unit cell's most stable state, which corresponds to the state with the minimum energy. The accuracy and reliability of the optimization plots were verified by fitting them to the Birch–Murnaghan EOS.<sup>51</sup> This fitting process incorporates specific variables to ensure precise alignment.

Table 1 presents the structural parameters. The information in the table indicates that replacing the X cation from Pd to Pt in LaXSi (X = Pt, Pd) results in an enlargement of the parameter  $a_0$ . The observed increase in parameter  $a_0$  could possibly be attributed to the larger atomic size of Pt. Likewise, the decrease in the bulk modulus ( $B$ ) value from Pd to Pt in LaXSi can be ascribed to the inverse correlation between the lattice constant and the  $B$ .

The elastic constant (EC) plays a crucial role in predicting the mechanical properties of materials, as it governs the response of crystals to external stimuli. Assessing the elastic constant (EC) of a material under zero pressure involves calculating tensor and stress properties for infinitesimally small strains. This measurement offers valuable insights into the mechanical stability and resilience of the materials. Furthermore, the energy is employed to monitor the lattice strains while ensuring a constant volume.<sup>54</sup> In order to determine the ECs, we employed the IRelast software package, which is designed specifically for cubic crystalline symmetry. This software is smoothly integrated into the WIEN2K computational

framework. In cubic structures, there are only three independent ECs namely  $C_{11}$ ,  $C_{12}$ , and  $C_{44}$ . The elastic parameters for the materials, calculated using the equations outlined in the study conducted by researchers,<sup>55,56</sup> are presented in Table 2. For cubic crystal structures, the mechanical stability necessitates specific interrelationships among the ECs, which can be summarized as follows:  $C_{11} - C_{12}$  must be greater than zero,  $C_{11}$  must be greater than zero,  $C_{11} + 2C_{12}$  must be greater than zero, and  $B$  must be greater than zero.<sup>57</sup> The fulfillment of these requirements by the analyzed materials, as indicated in Table 2, implies their mechanical stability. The elastic parameters for LaPtSi and LaPdSi including the ECs ( $C_{11}$ ,  $C_{12}$ , and  $C_{44}$ ),  $B$ , and Zener's constant ( $A$ ), are presented in Table 2.

LaPtSi exhibits a higher  $C_{11}$  value of 61.68 GPa compared to LaPdSi, which has a  $C_{11}$  value of 44.85 GPa. This indicates that LaPtSi is relatively harder than LaPdSi. The engineering research highlights the paramount significance of the crystal's elastic anisotropy, denoted as "A", as it is closely linked to the material's tendency to develop micro-cracks. In fully isotropic materials, the value of "A" is precisely 1, whereas any value below or above "1" indicates the presence of anisotropy. The confirmation of anisotropic behavior is obtained by observing that the value of "A" is not equal to 1 for both materials. The extent of anisotropy is determined by the degree of deviation from "1". These findings indicate that both materials exhibit a significant level of anisotropy, as the value deviates

Table 1 The Structural parameters for LaXSi (X = Pt, Pd)

Optimized structural parameters	LaPtSi	LaPdSi
$a_0$ in Å	6.63	6.61
$E_0$ in Ry	−54468.94	−27669
$V_0$ in (a.u.) <sup>3</sup>	474.96	488.02
$B$ in GPa	80.90	66.98
$B'$ in GPa	4.27	5.03
$\Delta H_f$ (eV)	−0.693	−0.581

Table 2 Computed mechanical parameters of ternary LaPtSi and LaPdSi half-Heusler compounds

Elastic parameters	LaPtSi	LaPdSi
$C_{11}$ (GPa)	61.68	44.85
$C_{12}$ (GPa)	33.35	26.43
$B$ (GPa)	72.93	58.32
$A$	1.10	2.03
$E$ (GPa)	42.18	41.29
$\nu$	0.40	0.38
$B/G$	4.85	4.13
$C_{11} - C_{12}$ (GPa)	28.33	22.42
$G$ (GPa)	15	14.10
$C_{11} - C_{44}$ (GPa)	46.08	26.09



significantly from 1. Various properties can be utilized to ascertain whether a material demonstrates ductile or brittle behavior. Cauchy's pressure is one such property, which can be calculated as the difference between  $C_{11}$  and  $C_{44}$ .<sup>58</sup> The utilization of Cauchy's pressure allows for the classification of a material as either ductile or brittle. Ductility is indicated by a positive difference between  $C_{11}$  and  $C_{44}$ , while a negative difference suggests brittleness. In this study, both materials exhibit positive Cauchy's pressure: 46.08 GPa for LaPtSi and 26.09 GPa for LaPdSi. These positive values indicate that the materials are ductile, as there is a positive difference between  $C_{11}$  and  $C_{44}$ . The Pugh ratio ( $B/G$ ) can be employed to assess the material's ductility or brittleness. A critical value of 1.75 is associated with this ratio, and materials with a higher  $B/G$  ratio are regarded as having greater ductility.<sup>59</sup> In the current scenario, both compounds deviate from the critical point, with LaPtSi having a value of 4.85 and LaPdSi having a value of 4.13, indicating their ductility. Poisson's ratio " $\nu$ " serves as an additional criterion for differentiating between ductile and brittle materials. A widely accepted critical value of 0.26 is utilized, where values below this threshold indicate brittleness and values above it indicate ductility.<sup>60</sup> Based on the data presented in Table 2, it can be observed that both compounds have Poisson's ratio values surpassing the critical threshold of 0.26. LaPtSi exhibits a value of 0.40, while LaPdSi has a value of 0.38. This confirms the ductile nature of both materials. The examined compounds demonstrate mechanical stability, anisotropy, ductility, strength, and high resistance to cracking.

### 3.2. Electronic properties

The electronic properties of materials encompass their inherent characteristics and behaviors pertaining to the movement and behavior of electrons within the material. Understanding these properties is crucial for comprehending the material's performance in various electronic applications. Analyzing the band structure provides valuable insights into the material's conductivity characteristics and the interplay between its crystal structure, electronic properties, and optical properties. In order to analyze the electronic properties of the LaXSi compounds

(where X can be either Pt or Pd), computational simulations are performed to investigate the arrangement of energy bands and the DoS. These simulations take into consideration both spin-up and spin-down configurations. The spin-polarized electronic channel is evaluated to determine the band gap and DoS, while also accounting for the impact of on-site Coulomb interactions. To ensure accurate characterization of the electronic properties, the simulations employ the GGA + U method.

Fig. 3 provides a visual representation of the electronic DOS structures for both compounds in both spin-up and spin-down cases. The figures clearly illustrate that the Pt-d state significantly contributes to the DOS and intersects the Fermi level ( $E_F$ ) in both spin-up and spin-down configurations, resulting in a metallic behavior for the LaPtSi compound. On the other hand, in LaPdSi, the primary contributions to the electronic DOS arise from the p state of Pd. In the spin-down configuration, these contributions intersect the  $E_F$ , indicating a metallic behavior. However, in the spin-up configuration, these contributions give rise to a band gap, suggesting a half-metallic behavior.

Fig. 4 depicts the spin-polarized band structures of LaXSi compounds (with X being either Pt or Pd), encompassing both the majority spin (spin-up ( $\uparrow$ )) and minority spin (spin-down ( $\downarrow$ )) electrons across the Brillouin zone (BZ). The figure provides clear evidence that the LaPtSi compounds exhibit a metallic behavior in both the majority spin (spin-up) and minority spin (spin-down) channels due to the overlapping bands. In contrast, LaPdSi displays a different behavior, with a metallic nature observed in the spin-down channel and a band gap present in the spin-up case. This characteristic indicates the half-metallic nature of LaPdSi, as illustrated in Fig. 4. In the spin-up channel of LaPdSi, a narrow direct band gap of 0.81 eV is observed from  $\Gamma$ - $\Gamma$ . This arises because the VB maxima and CB minima are both positioned at the  $\Gamma$  point.

Based on our analysis, we have determined that the compound LaPdSi displays a half-metallic behavior. This is evident from the presence of a band gap, which results in 100% spin polarization at  $E_F$ , thereby confirming its half-metallic nature. The magnitude of the band gap is observed to be

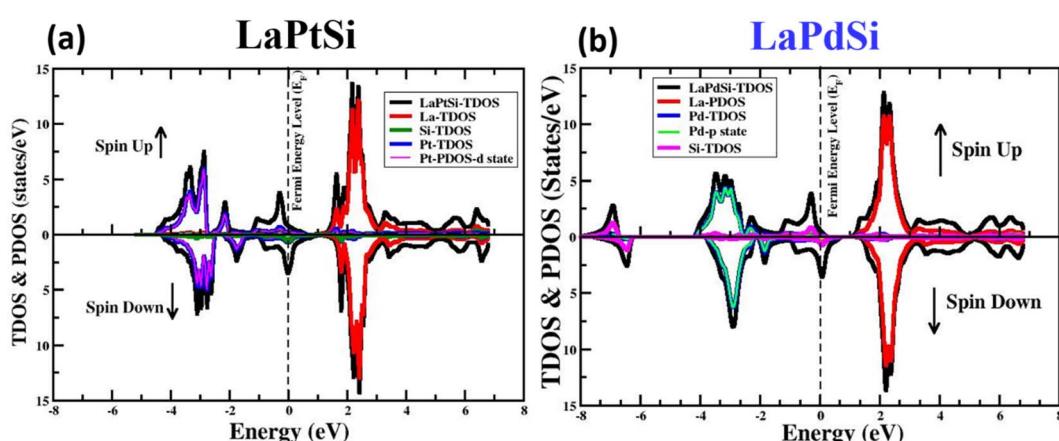


Fig. 3 The computed total and partial spin-polarized DOS for LaXSi (X = Pt, Pd) at their equilibrium LCs.



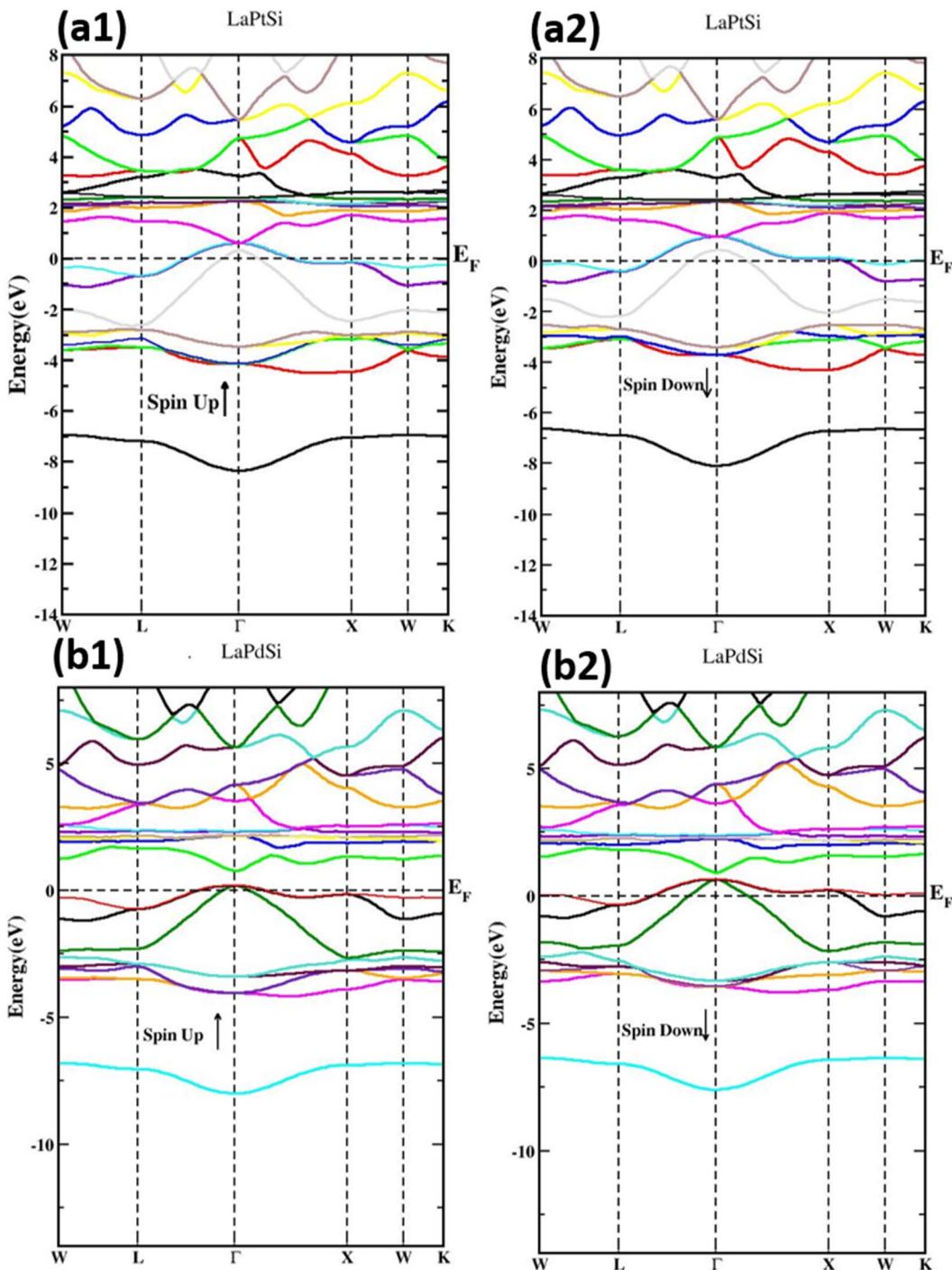


Fig. 4 Spin-polarized band structure of  $\text{LaXSi}$  ( $\text{X} = \text{Pt, Pd}$ ) with half-Heusler structure.

influenced by the lattice parameters, indicating its sensitivity to structural variations. We attribute the small band gap to the comparatively lower Coulomb repulsive forces associated with the lightweight elements present in the compound.

### 3.3. Optical properties

The FP-LAPW method serves as a valuable theoretical approach for calculating the optical characteristics of compounds. In this

study, the optical characteristics of  $\text{LaPtSi}$  and  $\text{LaPdSi}$  compounds are examined by analyzing the complex dielectric function, represented as  $[\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega)]$ . This function provides an accurate description of the material's optical response across the entire photon energy range, from 0 to 40 eV. Specifically,  $\epsilon_1(\omega)$  represents the real component of the dielectric function, while  $\epsilon_2(\omega)$  corresponds to the imaginary component, which directly correlates with the electronic band structure and characterizes the material's absorptive behavior.



Utilizing the aforementioned dielectric function, various optical properties such as  $I(\omega)$ ,  $\sigma(\omega)$ ,  $n(\omega)$ , and  $R(\omega)$  can be computed. The spectrum of the calculated  $\varepsilon_1(\omega)$  obtained through the Kramers-Kronig dispersion relation,<sup>61</sup> is illustrated in Fig. 5.

The quantity  $\varepsilon_1(\omega)$  provides insights into the electronic polarizability of a substance. The static dielectric constant at zero frequency, denoted as  $\varepsilon_1(0)$ , is determined by the limit of  $\varepsilon_1(\omega)$  as the frequency approaches zero. In Fig. 5, the value of  $\varepsilon_1(0)$  for LaPtSi is approximately 14, while it is observed to be around 7.5 for LaPdSi. Due to the inverse relationship between  $\varepsilon_1(0)$  and the band gap energy, it is evident that LaPdSi, with a lower zero frequency limit of 7.5, possesses a band gap compared to LaPtSi. In contrast, LaPtSi has a zero frequency limit of 14, indicating overlapping of the bands being metallic. The relationship described above can be elucidated by the Penn model,<sup>62</sup> which provides a theoretical framework for understanding such connections. In Fig. 5a, both compounds exhibit a notable rise following  $\varepsilon_1(0)$  (zero frequency limit), reaching their respective highest peaks. For LaPtSi, the peak occurs at 0.31 eV with a value of 20.1, while for LaPdSi, the peak is observed at 0.24 eV with a value of 18.7. The plots of  $\varepsilon_1(\omega)$  for both compounds demonstrate a sharp decline followed by alternating increasing and decreasing patterns in the low energy range, eventually achieving a flat in the high energy range. It should be noted that the negative values observed for LaPtSi beyond zero are indicative of the compound's metallic nature. The  $\varepsilon_2(\omega)$  is directly linked to the electronic band structure of a material and characterizes its absorptive behavior. Regarding Fig. 5, it represents the cumulative contributions of transitions from the VBs to the CBs in the material. The threshold energy value for  $\varepsilon_2(\omega)$  is approximately 0 eV for LaPtSi and 0.8 eV for LaPdSi compounds. The threshold energy points in the spectra represent the basic absorption edge, which corresponds to the equilibrium fundamental gap of the materials. In the case of LaPtSi, the  $\varepsilon_2(\omega)$  curve indicates an indirect optical transition from the VB maximum ( $M_v$ ) to the CB

minimum ( $X_c$ ). On the other hand, for LaPdSi, it signifies a direct optical transition from the VB maximum ( $M_v$ ) to the CB minimum ( $M_c$ ). Beyond these threshold points, the  $\varepsilon_2(\omega)$  curve exhibits significant fluctuations caused by inter-band transitions of electrons between the VB and CB regions. These transitions give rise to peaks in the  $\varepsilon_2(\omega)$  curve, which contribute to the overall optical properties of the compounds.

The reflectivity spectrum  $R(\omega)$  is generated by the involvement of Pt-d states and Pd-p states, as depicted and plotted in Fig. 3a for LaPtSi and Fig. 3b for LaPdSi. The zero frequency reflectivity,  $R(0)$ , is 0.62 for LaPtSi and 0.66 for LaPdSi. In the energy range of 0–40 eV, the reflectivity,  $R(\omega)$ , remains below 10% for both compounds. This indicates that the compounds under study exhibit transparency to incident photons within this range. Consequently, these types of compounds can be utilized in the development of lenses and anti-reflection coatings. The findings from the investigations are evident in Fig. 6, where it can be observed that the reflectivity approaches its maximum value of 0.55 at 0.42 eV for LaPtSi, and 0.48 at 0.26 eV for LaPdSi.

The extent of light refraction is determined by the refractive index  $n(\omega)$ , which is an essential parameter, particularly in photoelectric applications. It offers valuable insights into the optically isotropic behavior of the compound at lower energy levels. The  $n(\omega)$  consists of two components: the real part, denoted as  $n(\omega)$ , which represents the  $n(\omega)$ 's imaginary part, typically referred to as  $\kappa(\omega)$ , which represents the extinction coefficient. Fig. 7a and b illustrate the components  $n(\omega)$  and  $\kappa(\omega)$  that contribute to  $n(\omega)$ . The LaPtSi compound exhibits a static  $n(\omega)$  of approximately 4.3, while the static  $n(\omega)$  for LaPdSi is around 4.5. In the case of LaPtSi, the  $n(\omega)$  reaches its peak value of 4, whereas for LaPdSi, it achieves a peak value of 4.2 at 1.8 eV. The refractive index value  $n(\omega)$  exceeds one, where photons traverse through a material, their speed is reduced due to interactions with electrons. The degree to which photons undergo a reduction in speed while traversing a material determines the magnitude of the  $n(\omega)$ . Generally, any process

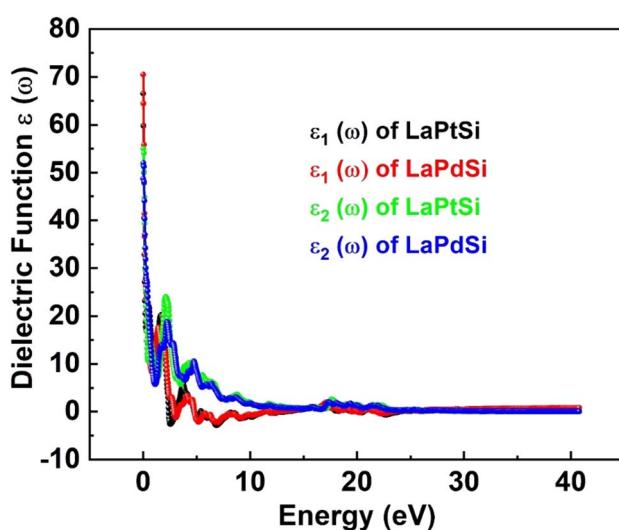


Fig. 5 Calculated  $\varepsilon_1(\omega)$  and  $\varepsilon_2(\omega)$  of LaXSi (X = Pt, Pd).

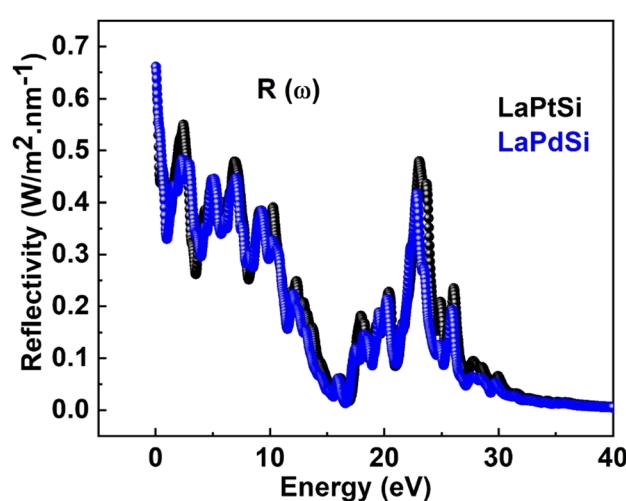


Fig. 6 Reflective  $R(\omega)$  of LaXSi (X = Pt, Pd).



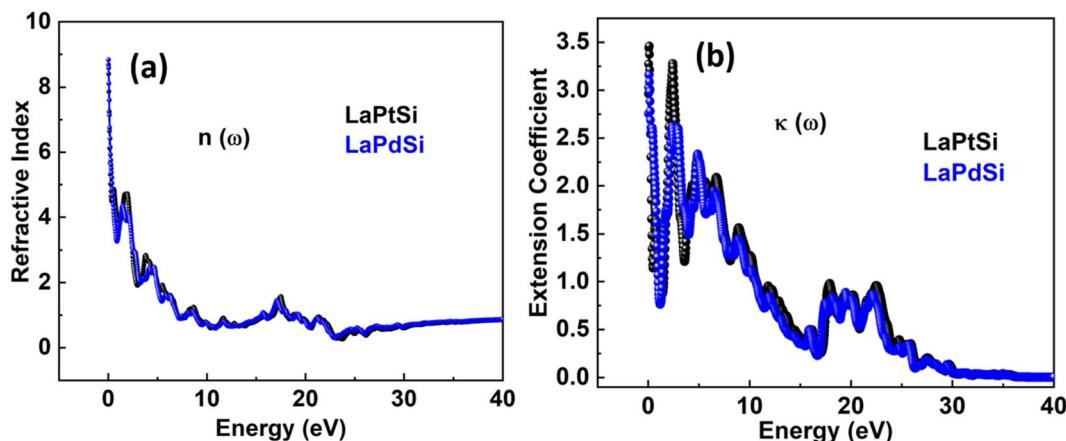


Fig. 7 The refractive index  $n(\omega)$  and extension coefficient  $\kappa(\omega)$  of LaXSi (X = Pt, Pd).

that increases the electron density in a material also leads to an increase in the  $n(\omega)$ . However, the  $n(\omega)$  is also closely related to the type of bonding present in the compound. Ionic compounds typically have lower  $n(\omega)$  values compared to covalent compounds. This phenomenon can be attributed to the nature of covalent bonding, where electrons are shared more extensively between atoms, leading to a broader distribution of electrons across the structure. These distributed electrons interact with incident photons, causing them to slow down. By referring to Fig. 7c we can observe  $\kappa(\omega)$  and gain insight into the maximum absorption of the studied compounds. From these figures, it is evident that LaPtSi exhibits a maximum absorption of 3.25, while LaPdSi shows a maximum absorption of 2.6 at 0.25 eV for both compounds.

The optical conductivity  $\sigma(\omega)$  describes the behavior of electron conduction when subjected to an externally applied electromagnetic field. Fig. 8a present the analyzed  $\sigma(\omega)$  for our LaXSi (X = Pt, Pd) compounds. In  $\sigma(\omega)$ , a distinct sharp increase in electron conduction is observed at critical points. For LaPtSi, this critical point occurs at approximately 2.8 eV, while for LaPdSi, it is observed at around 5.9 eV. The  $\sigma(\omega)$  spectrum exhibits variations as it rises and falls. In the case of LaPtSi, the

maximum  $\sigma(\omega)$  reaches approximately  $7000 \Omega^{-1} \text{cm}^{-1}$  at 2.8 eV. Conversely, for LaPdSi, the highest  $\sigma(\omega)$  is found to be  $6780 \Omega^{-1} \text{cm}^{-1}$  at 5.9 eV. These values represent the magnitude of electron conduction in response to the applied electromagnetic field.

The absorption coefficient  $\alpha(\omega)$  has been examined for both compounds, and its representation can be seen in Fig. 8b. The figures clearly indicate that the investigated compounds exhibit notable absorption characteristics. The threshold points, where the compounds start rapidly absorbing electromagnetic radiation, have been determined to be 0.15 eV for LaPtSi and LaPdSi. Both compounds demonstrate a maximum absorption of  $225 (10^4/\text{cm})$  for LaPtSi at 23.3 eV, and  $198 (10^4/\text{cm})$  at 22.7 eV for LaPdSi. As an electron moves rapidly through a substance, it undergoes energy dissipation, leading to several observable phenomena. These phenomena include the excitation of phonons and plasmons, inter-band transitions, and the ionization of inner shells.<sup>63</sup> The plasma frequency is achieved when the energy loss function (ELF) reaches its maximum value.<sup>64</sup> By examining the energy loss function (ELF) spectra illustrated in Fig. 9, upon careful observation, it is evident that all compounds demonstrate a static energy level with no visible

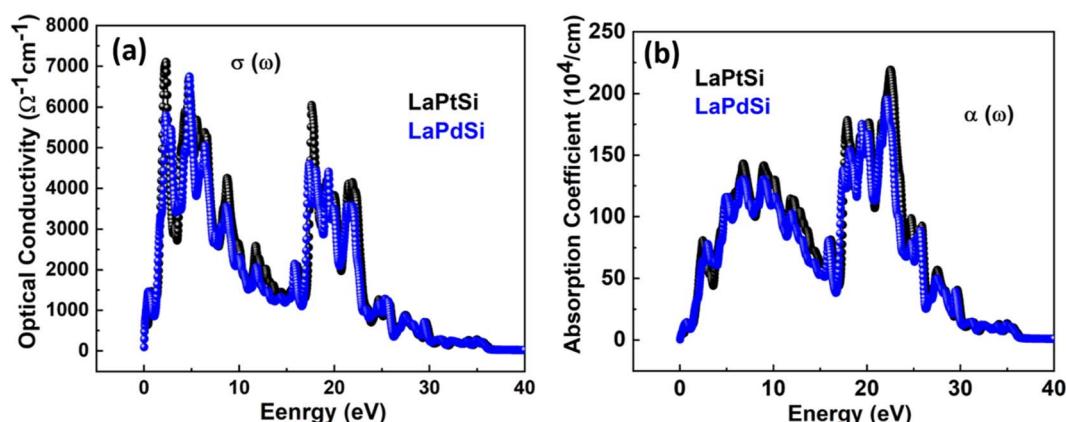


Fig. 8 The optical conductivity  $\sigma(\omega)$  and absorption coefficient  $\alpha(\omega)$  of LaXSi (X = Pt, Pd).



energy loss below 10 eV. However, the peak energy loss begins at approximately 10 eV, and for both LaPtSi and LaPdSi, following similar behavior in the higher energy region.

Within the energy range of 20 eV to 30 eV, a notable and substantial increase in energy loss values is observed for both materials.

### 3.4. Thermoelectric properties

The evaluation of band gap magnitude and its inherent characteristics, as well as the resistance of material contacts, plays a pivotal role in enhancing the capability of materials to harness thermal energy sources. This clean energy harvesting approach holds significant importance and fulfills an essential role in energy storage applications.<sup>65</sup> The significant energy band gap estimation, VB dispersion, and electronic state degeneracy observed in LaPtSi and LaPdSi compounds suggest their favorable thermoelectric properties, as depicted in Fig. 10–14. Consequently, these materials (LaPtSi, LaPdSi) have the potential to attract significant attention for their ability to efficiently convert heat into electrical energy.

The transport properties ( $\sigma$ ,  $S$ ,  $\kappa$ ) are evaluated using the BoltzTrap2 code, as described in the calculation methodology section. The thermoelectric power is determined by the figure of merit ( $\sigma S^2/\kappa$ ) and the power factor scales ( $\sigma S^2$ ).<sup>66,67</sup> To enhance the power factor of the best thermoelectric devices, it is crucial to have a high potential gradient ( $S$ ) and electrical conductivity ( $\sigma$ ). Additionally, the  $ZT$  calculation takes into account the thermal conductivity ( $\kappa$ ). For achieving a high  $ZT$  value and ensuring the stability of thermoelectric materials, it is desirable to have a very low thermal conductivity. The impact of carriers is considered through the chemical potential ( $\mu$ ). Furthermore, the software incorporates the division of  $\sigma$  and  $\kappa$  by the average relaxation time of electron collisions, which is set to  $10^{-14}$  seconds.

**3.4.1. The thermal power factor (PF).** Fig. 10, illustrates the thermoelectric power factor ( $S^2\sigma/\tau$ ) plotted for both the

compounds. The term " $S^2\sigma$ " in the expression for " $ZT$ " represents the thermoelectric PF. The PF values (PF) for LaPtSi and LaPdSi exhibit a peak of  $4 \times 10^{11} \text{ W m}^{-1} \text{ K}^{-2}$  at a temperature close to 900 K. At elevated temperatures, LaPtSi is expected to demonstrate slightly superior thermoelectric properties compared to LaPdSi, as indicated by its higher " $S^2\sigma$ " values. However, a slight decline in the peak is observed for the latter compound.

**3.4.2. The Seebeck coefficient "S".** Fig. 11 illustrates the computed Seebeck coefficient ( $S$ ) of the half-Heusler compounds LaXSi (X = Pt and Pd). The  $S$  values for both compounds exhibit an exponential increase with temperature, starting from approximately 100 K. LaPtSi reaches a maximum " $S$ " value of  $70 \mu\text{V K}^{-1}$ , while LaPdSi achieves a maximum value of  $45 \mu\text{V K}^{-1}$ , illustrated in Fig. 11.

The determination of the Seebeck coefficient ( $S$ ) relies on the charge carrier concentration. The presence of positive values for  $S$  in both compounds signifies their characteristic p-type

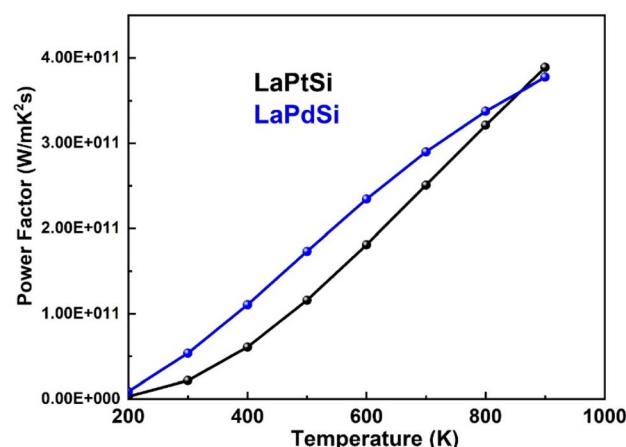


Fig. 10 The thermoelectric PF vs. temperature for LaPtSi (black) and LaPdSi (blue).

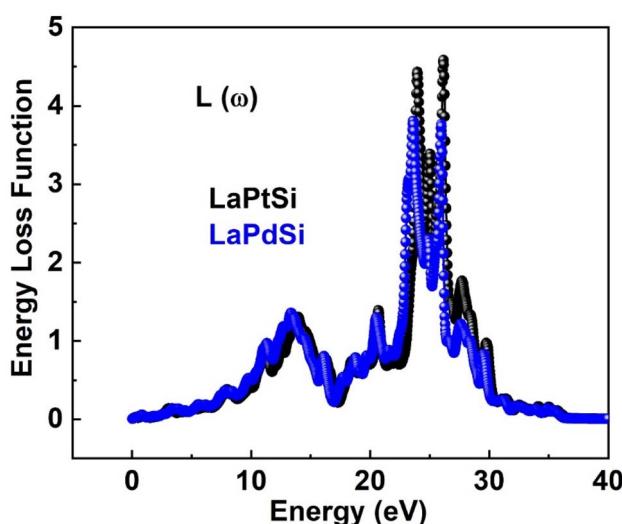


Fig. 9 Energy loss function for LaXSi (X = Pt, Pd).

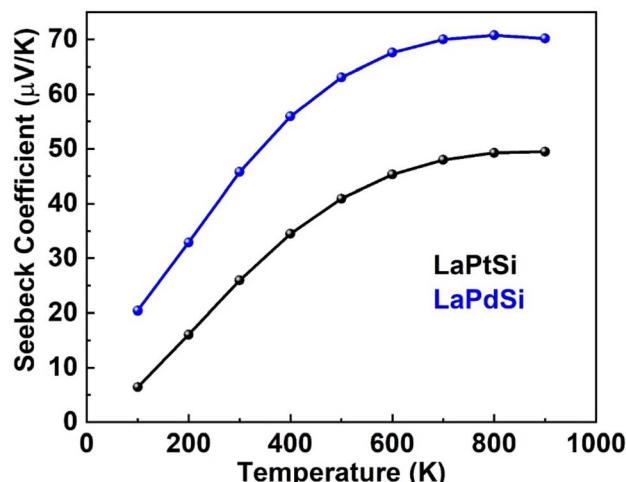


Fig. 11 Illustrating the temperature dependence of the " $S$ " for LaPtSi (black) and LaPdSi (blue).



behavior, indicating a predominance of positive charge carriers. The positive computed values of "S" for LaPtSi and LaPdSi further support their potential as active thermoelectric materials, particularly at higher temperature ranges.

**3.4.3. The thermal conductivity.** Fig. 12 illustrates the thermal conductivity as a function of temperature for LaPtSi and LaPdSi. At low temperature ranges, the thermal conductivity of both compounds, LaPtSi and LaPdSi, demonstrates a linear increase as the temperature rises, indicating favorable thermoelectric behavior. Up to a temperature of 400 K, both materials exhibit similar thermal conductivity behavior. However, after 400 K, they start to deviate from each other. Furthermore, it is noteworthy that the calculated thermal conductivity values for LaPtSi are higher compared to those of LaPdSi, indicating that LaPtSi exhibits superior thermoelectric properties. This observation can be attributed to the increased thermal activation of charge carriers traversing the band gap in the semiconductor compound LaPtSi.

**3.4.4. The electrical conductivity " $\sigma$ ".** Fig. 13 presents the computed electrical conductivity ( $\sigma$ ) for LaPtSi and LaPdSi using the BoltzTrap2 code.

A thermoelectric material is deemed favorable when it possesses low thermal conductivity and high electrical conductivity. The electrical conductivity demonstrates a linear increase with rising temperature for LaPdSi, ultimately reaching maximum values of  $8 \times 10^{19} \Omega^{-1} \text{ m}^{-1} \text{ s}^{-1}$ . These values indicate the excellent conductivity properties of both materials, further establishing their desirability. In the case of LaPtSi, there is a slight linear increase in electrical conductivity with increasing temperature up to 600 K. Beyond that point, the conductivity remains relatively constant, reaching a maximum value of  $4 \times 10^{19} \Omega^{-1} \text{ m}^{-1} \text{ s}^{-1}$ . This indicates that LaPtSi exhibits lower electrical conductivity compared to LaPdSi.

**3.4.5. The figure of merit "ZT".** Thermoelectric materials are evaluated based on their dimensionless  $ZT$ , which represents their efficiency in converting heat to electricity. A higher  $ZT$  value indicates improved thermoelectric conversion efficiency.  $ZT$  is defined as  $ZT = (S^2 \sigma T) / \lambda$ , where  $S^2 \sigma$  represents the

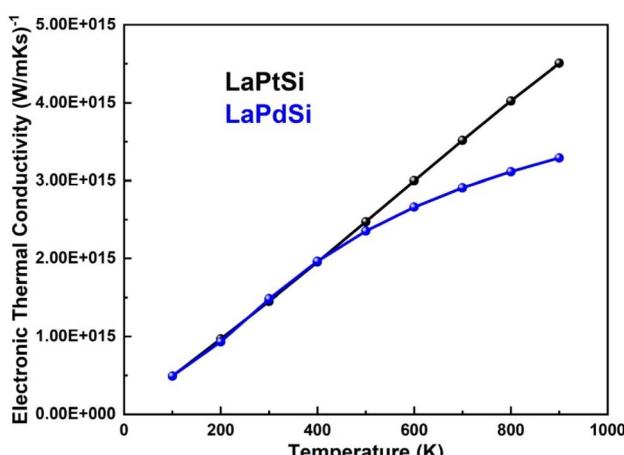


Fig. 12 Electronic thermal conductivity vs. temperature of LaPtSi (black) and LaPdSi (blue).

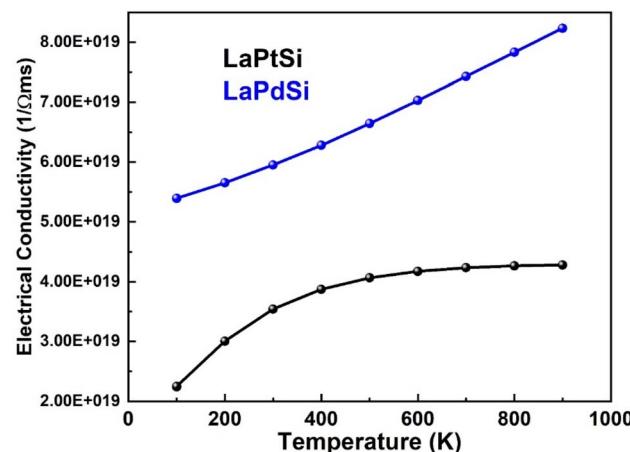


Fig. 13 Electrical conductivity vs. temperature for LaPtSi (black) and LaPdSi (blue).

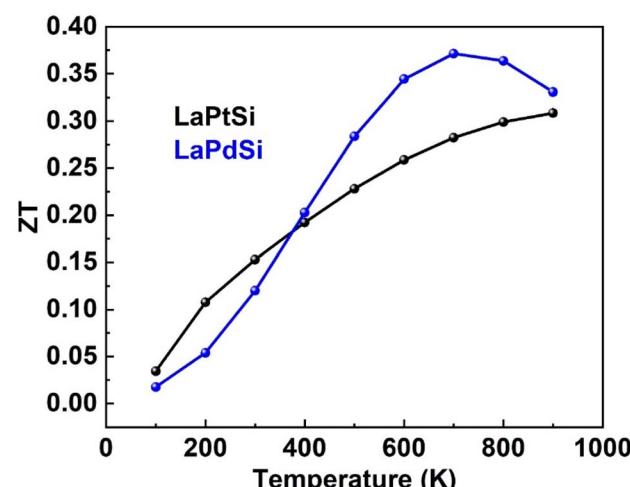


Fig. 14 The figure of merit (ZT) vs. temperature of LaPtSi (black) and LaPdSi (blue).

PF in the numerator. In the pursuit of materials scientists, achieving a  $ZT$  value close to 1 is considered ideal. Fig. 14 illustrates that for LaPtSi, the maximum  $ZT$  values obtained are 0.37, while for LaPdSi, the maximum value is 0.30.

The computed  $ZT$  values for both LaPtSi and LaPdSi affirm their classification as excellent thermoelectric materials. The obtained results suggest that LaPtSi demonstrates superior thermoelectric characteristics when compared to LaPdSi.

## 4. Conclusion

We conducted an investigation on the half-Heusler La<sub>X</sub>Si (X = Pt, Pd) compounds using the DFT approach. The simulations took into account the impact of on-site Coulomb interactions by including the Hubbard U (GGA + U). To ensure the stability of both compounds, two criteria were employed: the Birch Murnaghan equation of state and the negative formation energy. By evaluating their ECs, specifically verifying conditions such as

$C_{11} - C_{12} > 0$ ,  $C_{11} > 0$ ,  $C_{11} + 2C_{12} > 0$ , and  $B > 0$ , the mechanical stability of both compounds was ensured. It was noted that these materials exhibited mechanical anisotropy and ductility. It is revealed that LaPtSi demonstrated metallic behavior in both the spin-up and spin-down states, while LaPdSi exhibited a band gap specifically in the spin-up state, indicating its half-metallic nature. The investigation revealed that these compounds displayed notable absorption and optical conductivity at higher energy levels, while demonstrating transparency to incident photons at lower energy levels. Furthermore, the findings indicate that both materials possess the potential to be utilized as thermoelectric materials. This study represents the initial comprehensive theoretical computational analysis of LaXSi (X = Pt, Pd), which will be subsequently validated through experimental investigations.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

The authors extend their appreciation to the Deputyship for Research & Innovation, Ministry of Education in Saudi Arabia for funding this research work through the project number RI-44-0426.

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